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Ship noise in an urban estuary extends to frequencies used for echolocation by endangered killer whales

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ABSTRACT

Combining calibrated hydrophone measurements with vessel location data from the Automatic Identification System, we estimate underwater sound pressure levels for 1,582 unique ships that transited the core critical habitat of the endangered Southern Resident killer whales during 28 months between March, 2011, and October, 2013. Median received spectrum levels of noise from 2,812 isolated transits are elevated relative to median background levels not only at low frequencies (20-30 dB re $1 \mu\text{Pa}^2/\text{Hz}$ from 100-1000 Hz), but also at high frequencies (5-13 dB re $1 \mu\text{Pa}^2/\text{Hz}$ from 10,000-96,000 Hz). Thus, noise received from ships at ranges less than 3 km extends to frequencies used by odontocetes like the southern resident killer whales for communication and echolocation. Broadband received levels (11.5-40,000 Hz) near the shoreline in Haro Strait (WA, USA) for the entire ship population were 111 ± 6 dB re $1 \mu\text{Pa}$ on average. Mean ship speed was 14.4 ± 4.1 knots. Most ship classes show a linear relationship between received level and speed with a slope near +1 dB/knot. Assuming near-spherical spreading based on a transmission loss experiment we compute mean broadband source levels for the ship population of 173 ± 7 dB re $1 \mu\text{Pa}$ @ 1 m without accounting for frequency-dependent absorption. Spectrum, 1/12-octave, and 1/3-octave source levels for the whole population have median values that are comparable to previous measurements and models at most frequencies, but for select studies may be relatively low below 200 Hz and high above 20,000 Hz. Median source spectrum levels peak near 50 Hz for all 12 ship classes, have a maximum of 159 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m for container ships, and vary between classes by about 25 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m at low frequencies (50 Hz), 13 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m at mid-frequencies (1,000 Hz), and 5 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m at high frequencies (10,000 Hz). Below 200 Hz, the class-specific median spectrum levels bifurcate with large commercial ships grouping as higher power noise sources. Within all ship classes spectrum levels vary more at low frequencies than at high frequencies, and the degree of variability is almost halved for classes that have smaller speed standard deviations.

Keywords: noise, ship, hydrophone, killer whale, orca, odontocete, marine mammal

1 INTRODUCTION

Commercial ships radiate noise underwater with peak spectral power at 20-200 Hz (Ross, 1976). Ship noise is generated primarily from propeller cavitation, propeller singing, and propulsion or other reciprocating machinery (Richardson et al., 1995; Wales and Heitmeyer, 2002; Hildebrand, 2009). The dominant noise source is usually propeller cavitation which has peak power near 50-150 Hz (at blade rates and their harmonics), but also radiates broadband power at higher frequencies, at least up to 100,000 Hz (Ross, 1976; Gray and Greeley, 1980; Arveson and Vendittis, 2000). While propeller singing is caused by blades resonating at vortex shedding frequencies and emits strong tones between 100 and 1000 Hz, propulsion

9 noise is caused by shafts, gears, engines, and other machinery and generates noise mainly below 50 Hz
10 (Richardson et al., 1995). Overall, larger vessels generate more noise at low frequencies (<1,000 Hz)
11 because of their relatively high power, deep draft, and slower-turning (< 250 rpm) engines and propellers
12 (Richardson et al., 1995).

13 This low-frequency energy from ships is the principal source of ambient noise within the deep ocean
14 from approximately 5-1000 Hz (Wenz, 1962; Urick, 1983; National Research Council et al., 2003).
15 Growth of the global shipping fleet and possibly the average size of ships has raised deep-ocean ambient
16 noise levels in low-frequency bands near 40 Hz by up to 20 dB relative to pre-industrial conditions
17 (Hildebrand, 2009) and 8-10 dB since the 1960s (Andrew et al., 2002; McDonald et al., 2006).

18 As these ships enter shallow waters and traverse the estuarine habitat typically occupied by major
19 ports, the noise they radiate may impact marine life. Since many marine mammals rely on sound to find
20 prey, moderate social interactions, and facilitate mating (Tyack, 2008), noise from anthropogenic sound
21 sources like ships can interfere with these functions, but only if the noise spectrum overlaps with the
22 hearing sensitivity of the marine mammal (Southall et al., 2007; Clark et al., 2009; Hatch et al., 2012).

23 Mysticetes (baleen whales) constitute a low-frequency functional hearing group that is likely most
24 sensitive at frequencies 10-10,000 Hz (Southall et al., 2007). They typically emit signals with fundamental
25 frequencies well below 1,000 Hz (Cerchio et al., 2001; Au et al., 2006; Munger et al., 2008) although
26 non-song humpback signals have peak power near 800 and 1700 Hz (Stimpert, 2010) and humpback song
27 harmonics extend up to 24,000 Hz (Au et al., 2006).

28 The frequency overlap of peak power in ship noise and baleen whale signals (and inferred maximum
29 hearing sensitivity) is verified by observed behavioral and physiological responses of mysticetes to ship
30 noise. As examples, the probability of detecting a blue whale D call increases in ship noise, suggesting a
31 Lombard effect (Melcon et al., 2012) and Rolland et al. (2012) found decreased stress levels in North
32 Atlantic right whales when ship noise was absent.

33 Odontocetes (toothed whales) constitute mid-frequency or high-frequency functional hearing groups
34 (Southall et al., 2007). Generally they emit social sounds at about 1,000-20,000 Hz and echolocate at
35 10,000-100,000 Hz or higher. In contrast to baleen whales, auditory response curves have been obtained
36 for many toothed whale species. These curves show maximum auditory sensitivity near the frequencies
37 where toothed whale signals have peak power (Mooney et al., 2012; Tougaard et al., 2014).

38 Southern resident killer whales (SRKW) represent an endangered toothed whale species that is
39 characterized bioacoustically and inhabits an urban estuary in which shipping traffic is common. Their
40 auditory sensitivity, extrapolated from captive killer whales (Hall and Johnson, 1972; Szymanski et al.,
41 1999), peaks at 15,000-20,000 Hz – a frequency range that overlaps with the upper range of their

42 vocalizations and the lower range of their echolocation clicks. SRKW calls have fundamental frequencies
43 at 100-6,000 Hz with harmonics extending up to 30,000 Hz (Ford, 1987). Their echolocation clicks
44 are likely similar to those of salmon-eating northern resident killer whales which have a 40,000 Hz
45 bandwidth and a mean center frequency of 50,000 Hz (Au et al., 2004). SRKWs whistle between 2,000
46 and 16,000 Hz (Riesch et al., 2006) with a mean dominant frequency of 8,300 Hz (Thomsen et al., 2000).

47 Behavioral responses to boat (as opposed to ship) noise have been documented in toothed whales,
48 including SRKWs. For example, bottlenose dolphins whistle (at 4,000-20,000 Hz) less when exposed to
49 boat noise at 500-12,000 Hz (Buckstaff, 2004) and Indo-Pacific bottlenose dolphins lower their 5,000-
50 10,000 Hz whistle frequencies when noise is increased by boats in a band from 5,000-18,000 Hz (Morisaka
51 et al., 2005). For every 1 dB increase in broadband underwater noise (1,000-40,000 Hz) associated with
52 nearby boats, SRKWs compensate by increasing the amplitude of their most common call by 1 dB (Holt
53 et al., 2009).

54 Experiments confirm that cavitation generates high frequency noise up to at least 100,000 Hz (Wenz,
55 1962). Cavitation noise from spinning rods and water jets has spectral power that rises through low
56 frequencies at a rate of 40 dB/decade to a peak near 1,000 Hz and thereafter descends at -20 dB/decade
57 (Mellen, 1954; Jorgensen, 1961). Noise from foil cavitation also has peak spectral power at 1,000 Hz, as
58 well as a secondary peak at 31,000 Hz (Blake et al., 1977). In the vicinity of the higher peak, 1/3-octave
59 levels increase about 10 dB upon cavitation inception (Blake et al., 1977).

60 World War II studies of ship noise, particularly measurements of thousands of transits of hundreds
61 of ships of all types, identified propeller cavitation as the dominant source of noise radiated by ships,
62 including at high frequencies (Dow et al., 1945). In reviewing these studies Ross (1976) and Urick (1983)
63 noted that increases of >40 dB in the 10,000-30,000 Hz band were diagnostic of cavitation inception on
64 accelerating twin-screw submarines and Urick (1983) attributed a 1 dB/knot rise in torpedo spectrum
65 levels from 10,000-75,000 Hz to propeller cavitation.

66 More recently, cavitation has been implicated in ship noise measurements made at close range
67 (< 1,000 m) which show levels between 1,000-160,000 Hz that not only are significantly above back-
68 ground levels, but also rise with increased ship speed faster than at lower frequencies (Arveson and
69 Vendittis, 2000; Kipple, 2002; Hermanssen et al., 2014). Even when portions of the high-frequency
70 energy are excluded, broadband source levels of cavitating propellers are high. Erbe and Farmer (2000)
71 reported median broadband (100-20,000 Hz) source levels for an icebreaker with a cavitating propeller of
72 197 dB re 1 μ Pa @ 1 m.

73 In the open ocean or on the outer continental shelf far from shipping lanes high-frequency noise
74 radiated by a ship will be absorbed within about 10 km (Erbe and Farmer, 2000), typically before reaching

75 a species of concern. In urban estuaries, however, marine mammals are exposed to noise from ships at
76 ranges of 1-10 km routinely, and less than 100 m occasionally. For example, SRKWs frequently transit
77 Haro Strait within 10 to 300 m of the shoreline at Lime Kiln Point where they are about 2 km from
78 the center of the northbound (nearest) shipping lane (Figure 1). Since the absorption rate is only about
79 3 dB/km at 20,000 Hz, compared to 30 dB/km at 100,000 Hz (Francois and Garrison, 1982), ship noise
80 near 20,000 Hz (where SRKWs are most sensitive) in such close quarters may retain the potential to mask
81 echolocation clicks, as well as other high-frequency signals.

82 In an environment where SRKWs may already be food-stressed (Ayres et al., 2012) due to reduced
83 populations of their primary prey – Chinook salmon (Hanson et al., 2010) – echolocation masking could
84 have grave population-level consequences. The potential impacts of ship noise on foraging efficiency may
85 be compounded by simultaneous masking of communication calls, some of which may help coordinate
86 foraging or prey sharing (Ford and Ellis, 2006). One case study has suggested that ship noise may reduce
87 foraging efficiency by 50% in Curvier Beaked whales (Aguilar Soto et al., 2006). Motivated by the
88 possible impacts of ship noise on odontocetes and the scarcity of ship noise measurements made at close
89 range over the full range of frequencies used by SRKWs, we endeavored to estimate source spectrum
90 levels up to 40,000 Hz for a wide variety of ships from measurements made at a range of less than a few
91 kilometers.

92 METHODS

93 Our study site is an area of the inland waters of Washington State and British Columbia known as the
94 Salish Sea. This urban estuary hosts the commercial shipping ports of Vancouver, Seattle, and Tacoma
95 (see Figure 1).

96 Shipping traffic primarily associated with Vancouver – about 20 large (> 65 feet or 19.8 m) ves-
97 sels per day (Veirs and Veirs, 2006) – transits Haro Strait, the core of the summertime habitat of the
98 SRKWs (Hauser et al., 2007). Each ship typically raises sound pressure levels near the shoreline about
99 20 dB re 1 μ Pa (RMS, 100-15,000 Hz) above background levels to about 115 dB re 1 μ Pa for approxi-
100 mately 20 minutes/transit (Veirs and Veirs, 2006). We define ships as all vessels with overall length (LOA)
101 greater than 65 feet (19.8 m); the remaining, shorter vessels (boats) are not characterized in this study.

102 We measured underwater noise radiated by these ships, collecting data continuously during 28 months
103 between March 7, 2011, and October 10, 2013, except for occasional 1-2 day interruptions caused by
104 power outages. About 3.5 months of data were excised due to systematic noise caused during equipment
105 repairs made between July 22, 2011, and November 9, 2011. Consequently, we sampled every month of
106 the year at least twice.

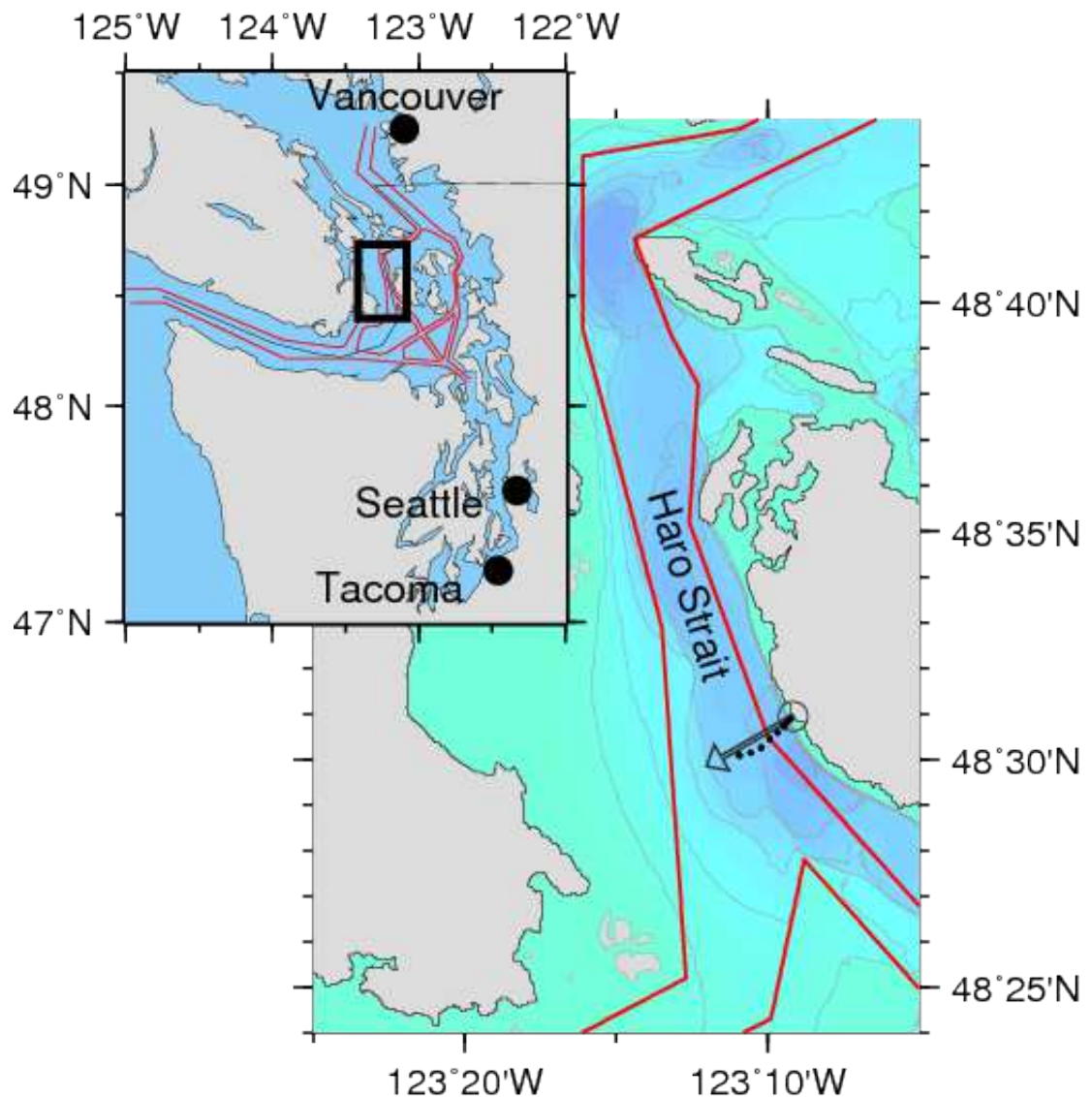


Figure 1. Inset regional map shows the study area (black rectangle) and shipping lanes (in red) leading to the major ports of the Salish Sea. The 240° bearing (gray arrow) extends from the Lime Kiln hydrophone (gray circle) through the northbound shipping lane. Bathymetric contours (50 m) show that Haro Strait is a steep-sided 200-300 m-deep channel. Sound projection locations (black dots) are sites used for the transmission loss experiment.

107 Study site

108 We deployed a calibrated hydrophone 50 m offshore of the lighthouse at Lime Kiln State Park in which The
 109 Whale Museum and Beam Reach maintain an acoustic observatory as part of the Salish Sea Hydrophone
 110 Network (orcasound.net). Midway along the west side of San Juan Island, Lime Kiln lighthouse sits on
 111 a point near the center of the summertime habitat of the SRKWs (Figure 1). While the killer whales
 112 sometimes swim directly over the hydrophone location, they more typically transit the site 100-300 m
 113 offshore where received levels of noise from the shipping lanes would be somewhat higher than those
 114 recorded in this study.

115 The hydrophone was secured to a PVC pipe projecting vertically from a cement-filled tire resulting in
116 a position 1 m above the bottom at a depth of 8 m (below mean lower low water). A cable protected by
117 irrigation pipe secured in the inter- and sub-tidal zones brought the signal to recording hardware within
118 the lighthouse and also housed a saltwater ground wire that helped reduce system noise.

119 The local bathymetry on a transect perpendicular to the shoreline (240° bearing) and running from
120 the hydrophone to the northbound shipping lane descends to deep (>200 m) water within 300 m of the
121 shoreline. The nearshore region (<150 m from shore) has a substrate of boulders and gravel covered with
122 marine vegetation and descends at a slope of about 20° . Further from shore the bottom descends at a
123 slope of about 45° .

124 Relative to the northbound shipping lane the hydrophone position is 1.3 km from the eastern edge,
125 2.25 km from the center of the lane, and about 3.7 km from the center of the traffic separation zone. A
126 histogram of the range to all ships in our database shows peaks at 2.3 and 5.0 km, corresponding with the
127 middle of the north- and south-bound lanes, respectively.

128 **Data acquisition**

129 We made audio recordings of the signal from a Reson TC4032 hydrophone installed with a differential
130 output (sensitivity of -164 ± 3 dB re 1 V/ μ Pa from 5-125,000 Hz) that was amplified and then digitized by
131 a MOTU Traveller sampling at 192,000 Hz with 16 bits per sample. The maximum signal that could be
132 recorded without clipping was 140 dB.

133 A Windows XP computer analyzed and archived the recorded signal. We calibrated the recording
134 system with the analog output of an Interocceans 902 (acoustic listening calibration system) while a ship
135 was passing the lighthouse, thereby converting the samples to decibels (dB) referenced to 1 μ Pa (hereafter
136 dB re 1 μ Pa). This procedure was carried out occasionally to check and make minor changes in the Reson
137 calibration constant during the 28 month study period.

138 A Python program analyzed the digitized hydrophone signal. The program continuously computed
139 running 2-second mean square voltage levels. Each hour the program archived the 2-second recordings
140 that yielded the minimum and maximum averages. We used the minimum files to determine background
141 noise levels.

142 Generally, all commercial ships over 300 tons are required to use the Automatic Identification System
143 (AIS) to broadcast navigational data via VHF radio. The AIS carriage requirements of the U.S. Coast
144 Guard (33 CFR 164.46) and Canada within a vessel traffic service area like Haro Strait mean that some
145 fishing and passenger vessels may be underrepresented in our data set. Each AIS-equipped ship transmits
146 at least its identification number, location, course, and speed a few times each minute. The typical range
147 over which these transmissions are detected is 45 km.

148 The Python program scanned the binary output of an AIS receiver (Comar Systems AIS-2-USB)
149 located in the lighthouse. For each transmission received, the location of the ship was used to calculate its
150 range (R) from the hydrophone. When R was less than 4 nautical miles (7.4 km), the program recorded
151 the broadband received level every 0.5 nautical mile (926 m) as the ship approached and departed. When
152 the ship crossed a line perpendicular to shore (at an azimuth angle of 240° true, see Figure 1), the Python
153 program stored a 30-second WAV file, the date and time, and the decoded ship metadata (ship ID number,
154 range, speed over the ground [SOG], and course over the ground). Given the orientation of the northbound
155 shipping lane, this procedure made it likely that we recorded the starboard beam aspect noise levels
156 of each isolated ship near the closest point of approach. Finally, the program calculated the calibrated
157 broadband received level using the Reson calibration constant and the RMS amplitude of the 30-second
158 file.

159 To maximize the detection of any high-frequency signal generated by passing ships, and to reduce
160 the spatial extent of our transmission loss experiment, we elected to compute source levels for only the
161 closer, northbound portion of the traffic in Haro Strait. Southbound traffic was recorded, counted, and
162 archived, but is not included in this analysis. For the northbound traffic presented herein, the mean and
163 standard deviation of R is 2.30 ± 0.39 km, and the minimum and maximum R are 0.95 km and 3.65 km,
164 respectively.

165 **Data analysis**

166 ***Isolation and identification***

167 Archived WAV files and associated metadata were analyzed with a C++ program developed in the
168 platform-independent Qt environment (qt-project.org). To measure the noise radiated by an individual
169 ship, rather than multiple ships, the program used the AIS data to detect acoustically-isolated ships. A
170 ship was deemed isolated if the previous and subsequent ships were at least 6 nautical miles (11.1 km)
171 away from the hydrophone when the WAV file was recorded. It is only at closer range that human listeners
172 can detect ship noise above ambient levels.

173 For each isolated ship, the program used the ship's identification (Maritime Mobile Service Identity, or
174 MMSI) number to look up details about the ship from online web sites such as the Marine Traffic network
175 (www.marinetraffic.com). These metadata, saved in a MySQL database, include (when available): MMSI,
176 ship name, ship type, year built, length, breadth, dead weight, maximum and mean speed, flag, call sign,
177 IMO, draft, maximum draft, and photographs.

178 We simplified 41 ship type categories returned from online queries into 12 general ship classes: bulk
179 carrier (includes ore carriers); container; tug (includes multi-purpose offshore, pusher tug, and tender);
180 cargo (includes other cargo, heavy lift, wood chip carrier); vehicle carrier (includes all roll-on roll-offs);

181 tanker (includes crude oil, oil product, oil/chemical, chemical, and product tankers); military (includes
 182 Coast Guard, search and rescue); fishing (includes fish carrier, factory, fishing, fishing vessel, and trawler);
 183 passenger (includes cruise ships and ferries); miscellaneous (includes cable layer, reserved, unspecified,
 184 and well-stimulation); pleasure craft (includes sailing vessels, motor yacht, and yachts); and research.

185 **Received levels**

186 From each isolated ship's WAV file the RMS power spectral density (PSD) was calculated using a Fast
 187 Fourier Transform averaged over the 30-second duration of the file (Nyquist frequency of 96,000 Hz;
 188 16,384 (2^{14}) sample overlapping Bartlett window). The bandwidth of each of the 8,192 frequency bins
 189 was 11.5 Hz. These RMS PSD (per Hz) values were calibrated by requiring that the integral of the PSD
 190 equal the calibrated broadband level associated with each WAV file. The resulting power spectral densities
 191 we call the total received spectrum levels.

192 The total received spectrum level is a composite of the power that originated from the ship and the
 193 power associated with the background noise at the time of the ship passage. To enable estimation of the
 194 background level at the time of ship passage we continuously observed 2-second sound samples, saving
 195 the lowest power 2-second sample every hour.

196 The subtraction of the estimated background received level (RL_B) from the total received level (RL_T)
 197 to determine the received level associated with the ship (RL_S) is based on the fact that when two or more
 198 waves pass at once, the pressure on the hydrophone (P) is the sum of the instantaneous pressure from each
 199 wave. The power that we calculate is proportional to the square of the pressure on the hydrophone and is
 200 represented in decibels. These relationships apply both for the power at individual frequencies (PSD) and
 201 the total power (Pwr_T) integrated over all frequencies.

Following the nomenclature of Erbe (2010),

$$Pwr_T(t) = k(P_S(t) + P_B(t))^2 \quad (1)$$

202 where k is a constant dependent on the construction of the hydrophone and t is time. Averaging over the
 203 30 seconds of each WAV file, we assume that the pressure due to the ship at each moment in time is not
 204 correlated with the pressure due to other (background) noise sources. Thus, the power received from the
 205 ship is the average total power minus the average background power:

$$\langle Pwr_S \rangle = \langle Pwr_T \rangle - \langle Pwr_B \rangle \quad (2)$$

206 We estimate Pwr_B for each passing ship as the average of the power in two samples – the quietest

207 2-second sample from the hour before the ship is recorded, and quietest from the hour after the ship
208 passage.

209 On occasion during daylight hours, ship recordings contain noise from vessels unequipped with
210 AIS (usually recreational motorboats and occasionally larger vessels operating without AIS). This
211 contamination is limited to the 50, 75, and 95% quantiles above 20,000 Hz, has peak spectrum levels
212 near 50,000 Hz – a frequency commonly used for depth sounders – and is rare, but we have nevertheless
213 reduced it via a 2-step statistical process.

214 Since it is very rare to have motorboat noise overlapping with ship passage at night, we first determined
215 the 95% quantile of each received spectrum level across all vessels recorded at night (hour of day greater
216 than 19:00 or less than 07:00) and used it as a threshold above which contamination by boat noise may
217 have occurred. Then we re-processed all ship transits, removing any data points for which the threshold
218 was exceeded. Any recording in which at least 100 of the 8,192 spectral received levels were above
219 threshold was omitted from further statistical analysis.

220 Through this robust statistics process, about 15% of transits were omitted, resulting in no difference
221 between the ship population quantiles for ships that pass during the day versus the night. A sensitivity
222 analysis shows that the process did not affect the 5% to 75% quantiles and that the 95% quantile was
223 reduced by less than 2 dB – and only above about 20,000 Hz. The high frequency peaks seen in the 95%
224 quantile in Figure 3 become sharper as the threshold is increased or the total number of vessels analyzed
225 is decreased.

226 Finally, we report received levels (RL) in decibels relative to a reference pressure of 1 μ Pascal and
227 estimate ship received levels as:

$$RL_S = 10 \log_{10} \left(10^{RL_T/10} - 10^{RL_B/10} \right) \quad (3)$$

228 Often RL_T is much higher than RL_B at all frequencies. In such cases, subtraction of the background
229 has little effect on RL_S . But for many ships RL_T is close to RL_B , at least at some frequencies. Therefore,
230 we subtract the estimated background from the RL_T at all frequencies for every isolated ship, yielding the
231 received spectrum level of ship noise, RL_S .

232 We cannot determine RL_S if the associated RL_T is not greater than RL_B . Hence we require that RL_T
233 at any given frequency must exceed a threshold of three times the background spectrum level at that
234 frequency. We choose this factor (4.8 dB) by examining the statistics of typical ship and background
235 recordings to assure that noise is unlikely to be taken as signal. We refrain from reporting ship source
236 spectra above 40,000 Hz because the sample size in bands above this frequency falls below about 10% of

237 the mean sample size at lower frequencies. Furthermore, to calculate broadband source levels with or
238 without absorption we integrate the spectrum levels only up to this 40,000 Hz upper limit.

239 Prior to the background subtraction, our data commonly contained narrow-band noise peaks near 25,
240 38, 43, 50 and 69 kHz in many of the background and total received level quantiles (Figure 3). Unknown
241 sources of transient systematic noise (most commonly near 77 kHz), typically lasted only a few days.
242 Because these noise sources are narrow or brief, they contain little power. Also, since they occur in both
243 the received level and background data, they tend to be removed through background subtraction, and
244 therefore do not significantly contaminate the estimated source levels (Figure 4). One exception is the
245 peak near 25 kHz – likely associated with the Jim Creek Naval Radio Station (transmitting at 24.8 kHz)
246 – which persists in many source level spectra, probably indicating that the submarine communications
247 are intermittent, at times occurring during a ship passage but not during the corresponding background
248 measurements.

249 ***Transmission loss experiment***

250 To estimate the source spectrum level of isolated ships from RL_S we measured the transmission loss along
251 the 240° true bearing line from the near-shore hydrophone at Lime Kiln into the northbound shipping
252 lane (Figure 1). The transmission loss is a combination of geometric spreading and frequency-dependent
253 absorption.

254 We determined the geometric spreading via a field experiment conducted in March 2014 from a 10 m
255 catamaran. We projected a sequence of 2-second tones (Table 1) using a Lubell 9816 underwater speaker
256 lowered in a bifilar harness from the bows and attached to a power amplifier and a digital sound player.
257 During each tone sequence, we noted the location of the projector on the sailboat's GPS and measured the
258 projected sound level with the Interoceans 402 hydrophone, having positioned its calibrated hydrophone
259 near the stern, about 10 m from the projector. We oriented the projection system toward the lighthouse as
260 we played each sequence at the following distances from the projector to the Lime Kiln hydrophone: 290;
261 1,035; 1,446; and 2,893 m.

262 This study focuses on determining the source levels of ships that are northbound at Lime Kiln
263 lighthouse. By limiting our analysis to northbound vessels we reduce the difficulty of determining
264 accurate transmission loss by limiting the variation in range of the targets. Furthermore, our underwater
265 speaker used to measure transmission loss did not have sufficient power especially at high frequencies
266 (near 20,000 Hz) to provide detectable signals at ranges much larger than the 2893 m range that brackets
267 the more distant edge of the north bound traffic lane.

268 We analyzed the spreading of the test tones by measuring the calibrated RMS level received at the
269 Lime Kiln hydrophone for each tone at each distance. The received signal was determined by subtracting

270 the calibrated background level from the received level of the corresponding tone (Equation 3). To
 271 determine the geometric spreading contribution to transmission loss, we added to the received signal
 272 levels the amount of absorption expected for each frequency and range (straight line path, R). Following
 273 [Francois and Garrison \(1982\)](#) we used R to calculate the absorption loss at each frequency. For our highest
 274 test tone frequencies and range, accounting for absorption added from 2 dB re 1 μ Pa (at 10,000 Hz) to
 275 8.6 dB re 1 μ Pa (at 20,000 Hz) back into the received signal levels.

276 We used linear regression to model the absorption-corrected received signal levels as a function of
 277 the base 10 logarithm of the range from receiver to source in meters separately for each of our test
 278 tones. The slopes and goodness of fit are shown in Table 1. Since these slopes are not correlated with
 279 the frequency (correlation coefficient of 0.003), we average them and use the resulting near-spherical
 280 geometric spreading coefficient (transmission loss coefficient, TL) of -18.6 ± 0.4 dB/decade in $\log_{10}(R)$
 281 to represent geometric spreading out to a distance of about 3 km. Also, as these slopes vary little over a
 282 factor of 30 in frequency we assume that we can use this mean slope to extrapolate down from 630 Hz
 283 to our 20 Hz lower frequency cutoff and up from 20,000 Hz to our 96,000 Hz upper frequency Nyquist
 284 cutoff.

Frequency (kHz)	TL (dB/decade)	coefficient of determination
00.63	-18.85	0.926
01.26	-18.08	0.991
02.51	-18.99	0.986
05.00	-18.24	0.964
10.00	-18.37	0.974
15.00	-19.09	0.987
20.10	-18.67	0.971

Table 1. Results of the transmission loss experiment. For each projected frequency, the geometric spreading rate (TL) is near-spherical, with an average slope of -18.6 ± 0.4 dB/decade.

285 **Source levels**

We calculate source spectrum levels of ship noise first by ignoring absorption in equation (4) and then by accounting for it in equation (5), determining α from [Francois and Garrison \(1982\)](#).

$$SL = RL_S + 18.6 \log_{10}(R) \quad (4)$$

$$SL_\alpha = RL_S + 18.6 \log_{10}(R) + \alpha(f)R \quad (5)$$

286 We integrate the source spectrum levels from 11.5 Hz up to 40,000 Hz to compute broadband source

287 levels (SL) (Table 2). We also integrate the source spectrum levels over both 1/3-octave and 1/12-octave
288 bands where the centers of octave bands are determined by $f(i) = f_o 2^{\frac{i}{N}}$ where i is an integer and N is
289 the number of partitions of each octave. This is both consistent with ISO center frequencies and allows
290 comparison with the proposed annual mean noise thresholds at 63 and 125 Hz Tasker et al. (2010);
291 Merchant et al. (2014). Finally, when plotting quantiles of levels we exclude the lowest frequency bin
292 (11.5 Hz) because for some classes an insufficient number of ships passed the 4.8 dB re 1 μ Pa signal-noise
293 threshold to estimate the 5% and 95% quantiles.

294 To facilitate comparison with past studies we generally present ship source spectrum levels as SL .
295 However, due to the presence of high-frequency ship noise in our recordings and its potential impact on
296 marine life exposed at close range, we also present absorption-corrected spectral power levels (SL_a) for
297 the whole ship population.

298 RESULTS AND DISCUSSION

299 Ship statistics

300 Combining all ship classes over the entire study, our data set describes 1,582 unique vessels that made a
301 total of 2,812 isolated, northbound transits of the shipping lanes in Haro Strait (Table 2). The 2,812 isolated
302 transits sample 17.1% of the total transits through Haro Strait (16,357, northbound and southbound)
303 logged by our AIS system during the study period. Of 7,671 total northbound transits, 36% were sampled,
304 suggesting that about 2/3 of the traffic in Haro Strait is not isolated. Dividing the total transits by the
305 850 day study period shows that the average daily ship traffic is 19.5 ships/day. This amount of traffic is
306 comparable to previous estimates for Haro Strait: about 20 ships/day (Veirs and Veirs, 2006) and about
307 1 ship/hour (Erbe et al., 2012).

308 About 1/3 of the isolated transits are bulk carriers and about 1/5 are container ships. The next 4 most
309 prevalent ship classes – tugs, cargo ships, vehicle carriers, and tankers – constitute another 1/3 of the
310 isolated transits. Of the remaining less-prevalent ship classes, we sample military ships 113 times (19
311 unique vessels), and other ship classes 18 to 65 times.

312 Together, bulk carriers and container ships comprise more than half (53%) of the isolated shipping
313 traffic in Haro Strait. About 3/4 of isolated bulk carrier transits are unique vessels, in contrast to container
314 ships which are unique only about 40% of the time. This may indicate that the global bulk carrier fleet is
315 larger than the container fleet, or that shipping economics or logistics limit the diversity of container ships
316 transiting Haro Strait. For example, container ships may ply routes that are more fixed, and therefore
317 repeat transits through Haro Strait more frequently than bulk carriers.

318 Those ship classes that have many isolated transits by a small number of unique ships offer us

319 opportunities to study variability of noise from individual ships. Military vessels, a category with 19
 320 unique ships sampled on 113 isolated transits, have about 7 isolated transits per unique ship, while tugs
 321 and research vessels have about 4 and container ships have about 3.

Ship class	Isolated transits	% of total	Unique ships	RL_S dB	SL dB	SOG m/s	SOG knots
All classes combined	2,812		1,582	111±6	173±7	7.4±2.1	14.4±4.1
Bulk carrier	966	34.3	734	111±6	173±5	7.0±0.7	13.6±1.4
Container	529	18.8	207	116±4	178±4	10.0±1.0	19.5±2.0
Tug	337	12.0	85	108±4	170±5	4.3±1.2	8.3±2.3
Cargo	307	10.9	206	113±5	175±5	7.4±1.0	14.3±1.9
Vehicle carrier	187	6.6	111	113±3	176±3	8.6±1.0	16.8±1.9
Tanker	148	5.3	101	112±4	174±4	7.1±0.7	13.8±1.4
Military	113	4.0	19	103±6	161±10	6.1±2.0	11.9±3.8
Fishing	65	2.3	28	104±5	164±9	4.5±1.1	8.8±2.1
Passenger	49	1.7	31	105±5	166±8	7.7±2.2	14.9±4.3
Miscellaneous	41	1.4	21	103±5	162±9	5.7±2.9	11.1±5.6
Pleasure craft	43	1.5	35	101±6	159±9	6.9±2.9	13.4±5.6
Research	18	0.5	5	105±4	167±5	5.7±1.1	11.1±2.1

Table 2. Ship population statistics and mean broadband sound pressure levels (20-40,000 Hz). Though abbreviated in the table as dB, the units of the received signal levels (RL_S) are dB re 1 μ Pa and source levels have units of dB re 1 μ Pa @ 1 m. Variability is reported as a standard deviation of the mean, and speed over ground (SOG) is provided in m/s and knots.

322 **Broadband levels**

323 **Received levels**

324 Broadband population mean received levels (RL_S , Table 2) vary between ship classes from a low of
 325 101 dB re 1 μ Pa (pleasure craft) to a high of 116 dB re 1 μ Pa (container ships). Combining all classes,
 326 RL_S is 111±6 dB re 1 μ Pa which is about 20 dB re 1 μ Pa above the mean background level (RL_B) of
 327 91±4 dB re 1 μ Pa. These levels are comparable to anthropogenic and background received levels noted
 328 in previous studies at similar distances to shipping lanes and over similar frequency ranges (Veirs and
 329 Veirs, 2006; McKenna et al., 2012). While our RL_S from ships 0.95-3.65 km away is 10-20 dB re 1 μ Pa
 330 lower than the 121-133 dB re 1 μ Pa reported by Bassett et al. (2012), only about 2 dB re 1 μ Pa of this
 331 difference can be explained by the shorter distances to their ships (0.58-2.82 km).

332 **Source levels (SL)**

333 The mean broadband source level (SL , Table 2) for all ship classes combined is 173±7 dB re 1 μ Pa @ 1 m.
 334 Comparing between ship classes, container ships have the highest SL at 178 dB re 1 μ Pa @ 1 m. Other
 335 classes with $SL \geq 174$ dB re 1 μ Pa @ 1 m include vehicle carriers, cargo ships, tankers, and bulk
 336 carriers. Tugs, research, and passenger vessels (primarily cruise ships, as there are no nearby ferry
 337 routes) have SL of 166-170 dB re 1 μ Pa @ 1 m, while the remaining vessel classes have SL from

338 159-164 dB re 1 μ Pa @ 1 m. This range of *SL* across classes (159-178 dB re 1 μ Pa @ 1 m) overlaps the
339 170-180 dB re 1 μ Pa @ 1 m range specified for small ships (lengths 55-85 m) by Richardson et al. (1995).
340 When frequency dependent absorption is included, mean broadband source levels increase by 0.5-1 dB
341 (we have limited the upper frequency to 40,000 Hz).

342 Our range of mean values is similar to recent estimates of broadband source levels for similar-sized
343 modern vessels, but for some classes other estimates are 1-11 dB re 1 μ Pa @ 1 m higher than our estimates.
344 Figure 2 depicts broadband *SL* statistics for each class we studied and juxtaposes the results from other
345 studies of modern ships for comparable classes.

346 Compared with mean broadband source levels (20–30,000 Hz, *TL* of -15, absorption assumed
347 negligible) computed by Bassett et al. (2012) our means are 0-6 dB re 1 μ Pa @ 1 m lower, depending on
348 the class. The comparatively low values of our means cannot be explained by distinct methodology; their
349 study used a narrower broadband bandwidth and a lower (modeled) transmission loss. The most likely
350 explanation for the differences in most classes is a difference in distinct ship design and/or operating
351 characteristics between Puget Sound and Haro Strait populations. There is some evidence that ships
352 measured by Bassett et al. (2012) may have higher speeds than in our study. Of the 24 select ships for
353 which Bassett et al. (2012) provide speed data, 38% have *SOG* greater than 1 standard deviation above
354 our mean values for the corresponding class. The average elevation of *SOG* for those ships is +3.8 knots.

355 Compared with broadband source levels (20-1000 Hz, *TL* of -20) listed for 29 individual ships by
356 McKenna et al. (2012) the mean values for equivalent classes in Table are 1-13 dB re 1 μ Pa @ 1 m lower.
357 These differences are also depicted in Figure 2. Accounting for the difference in *TL* (1.4 dB/decade
358 of range) between the studies would raise our *SL* values an average of 4.7 dB, thereby causing our
359 inter-quartile range to overlap with or encompass the ranges of McKenna et al. (2012) for all comparable
360 classes except bulk carriers. As with the Bassett et al. (2012) study, adjusting for differences in broadband
361 bandwidth would raise their individual ship source levels even higher above our means, so cannot help
362 explain the differences. Examining the *SOG* differences by class offers less of an explanation in this case;
363 of the 29 ships, only 3 (about 10%) have speeds that exceed our mean *SOG* in the associated class, and
364 only by an average of 1 m/s (about 2 knots).

365 A study of 593 container ships by McKenna et al. (2013) yielded a mean source level (20-1000 Hz,
366 *TL* of -20) for the population of 185 dB re 1 μ Pa @ 1 m, 5 dB re 1 μ Pa @ 1 m higher than our mean of
367 180 dB re 1 μ Pa @ 1 m for 716 container ships. While McKenna et al. (2013) do not directly provide speed
368 or range statistics, one figure indicates that speed varied from 4.9-13.6 m/s with a mean of approximately
369 10.5 m/s – roughly 0.5 m/s above our container ship mean speed of 10.0 m/s. This speed difference could
370 only account for about 0.5 dB re 1 μ Pa @ 1 m of the source level discrepancy between the studies, based

371 on the 1.1 dB/knot relationship between broadband source level and speed portrayed for a single ship in
372 [McKenna et al. \(2013\)](#).

373 Compared with broadband source levels (45-7,070 Hz) of individual vessels measured by [Malme](#)
374 [et al. \(1982, 1989\)](#) and tabulated by [Richardson et al. \(1995\)](#) our means for respective classes are
375 1 dB re 1 μPa @ 1 m lower than a tug (171 dB re 1 μPa @ 1 m at 9.7 knots), 5 dB re 1 μPa @ 1 m
376 lower than a cargo ship (181 dB re 1 μPa @ 1 m), and 11 dB re 1 μPa @ 1 m lower than a large tanker
377 (186 dB re 1 μPa @ 1 m). This difference might be due to more modern ships decreasing their speed (at
378 least while in coastal waters) or increasing their propulsion efficiency.

379 [Kipple \(2002\)](#) measured 6 cruise ships at a range of 500 yards and reported broadband source levels
380 (10-40,000 Hz, TL of -20, absorption ignored) of 175-185 dB re 1 μPa @ 1 yard at 10 knots and 178-
381 195 dB re 1 μPa @ 1 yard at 14-19 knots. In comparison, our population of passenger ships (including
382 cruise ships) has a mean SL of 170 ± 8 dB re 1 μPa @ 1 m and a mean speed of 14.9 ± 4.3 knots. Thus, our
383 mean SL is 5-25 dB re 1 μPa @ 1 m lower than the full range reported by [Kipple \(2002\)](#). One possible
384 explanation for the difference is an unspecified upward correction of received levels below 300 Hz that
385 [Kipple \(2002\)](#) made to account for multipath propagation effects. This is substantiated by a statement by
386 [Malme et al. \(1989\)](#) that passenger vessels in Southeast Alaska have SL from 170-180 dB re 1 μPa @ 1 m,
387 a range that falls between our mean and maximum SL for passenger vessels and mostly below the ranges
388 given by [Kipple \(2002\)](#).

389 Finally, [Arveson and Vendittis \(2000\)](#) measured a bulk carrier at 8-16 knots and found broadband
390 source levels (3-40,000 Hz, TL of -20) of 178-192 dB re 1 μPa @ 1 m. The levels they recorded
391 for speeds of 12 and 14 knots, 184 dB re 1 μPa @ 1 m and 190 dB re 1 μPa @ 1 m, respectively,
392 are most comparable to our bulk carrier population with SOG of 13.6 ± 1.4 knots. Without correction
393 for the different transmission loss assumptions, our bulk carrier SL of 174 ± 5 dB re 1 μPa @ 1 m is
394 10-16 dB re 1 μPa @ 1 m below their levels.

395 While this pattern could be interpreted as an underestimation of SL by our methods, we believe our
396 population statistics represent an accurate estimate of source levels for modern ships operating in coastal
397 waterways. In almost all of the cases that we have discussed, the maximum discrepancy is less than 1.5
398 times the inter-quartile distance (25% vs 75% quantiles) for the comparable ship class (see [Figure 2](#)).
399 Exceptions are some of the louder container ships in [McKenna et al. \(2013\)](#) and vehicle carriers in
400 [McKenna et al. \(2012\)](#), the large tanker mentioned in [Richardson et al. \(1995\)](#), the higher-speed cruise
401 ships of [Kipple \(2002\)](#), and the bulk carrier of [Arveson and Vendittis \(2000\)](#) when its speed was greater
402 than 8 knots.

403 Even these exceptional upper values from the literature are almost completely contained within the

404 distribution of our broadband *SL* population. Our maximum *SL* for a bulk carrier (191 dB re 1 μ Pa @ 1 m)
405 is 3.6 dB re 1 μ Pa @ 1 m higher than the loudest bulk carrier tabulated in McKenna et al. (2012)
406 and above the bulk carrier source levels obtained by Arveson and Vendittis (2000) at all speeds except
407 16 knots (192 dB re 1 μ Pa @ 1 m). The loudest bulk carrier tabulated in Bassett et al. (2012) with
408 source level of 182 dB re 1 μ Pa @ 1 m is equal to the 95% quantile of *SL* within our bulk carrier class.
409 The loudest ship tabulated by Richardson et al. (1995), a tanker with *SL* of 186 dB re 1 μ Pa @ 1 m) is
410 only 0.8 dB re 1 μ Pa @ 1 m above our loudest tanker. One explanation for this outlier is that the ship
411 was a supertanker driven by a steam-turbine – and therefore may represent the “upper range of large
412 merchant vessels” (Malme et al., 1989). Finally, our passenger vessel population has a 95% quantile of
413 177 dB re 1 μ Pa @ 1 m and a maximum of 183 dB re 1 μ Pa @ 1 m, a range that encompasses most of the
414 slow ships and the lower portion of the faster ships assessed by Kipple (2002).

415 Across all classes, the maximum broadband *SL* for an individual ship was 195 dB re 1 μ Pa @ 1 m
416 for a container ship, 7 dB re 1 μ Pa @ 1 m above the highest overall values reported by McKenna et al.
417 (2012) and Bassett et al. (2012) – both for container ships, as well. Our maximum is consistent with
418 the study of 593 container ships by McKenna et al. (2013) in which the maximum source level was also
419 195 dB re 1 μ Pa @ 1 m. Our second- and third-highest maxima within a class were from a bulk carrier
420 (191 dB re 1 μ Pa @ 1 m) and a cargo ship (186 dB re 1 μ Pa @ 1 m). All other classes had maximum
421 $SL \leq 185$ dB re 1 μ Pa @ 1 m. The lowest maximum *SL* within a class was 176 dB re 1 μ Pa @ 1 m for
422 pleasure craft.

423 The range of minimum broadband *SL* across all classes in our study was from 130 dB re 1 μ Pa @ 1 m
424 for a cargo ship to 167 dB re 1 μ Pa @ 1 m for a vehicle carrier. In comparison McKenna et al. (2012)
425 reported a minimum *SL* across all classes of 177 dB re 1 μ Pa @ 1 m for a chemical tanker while the
426 minimum *SL* for a container ship in McKenna et al. (2013) was 176 dB re 1 μ Pa @ 1 m. In contrast
427 with the exact agreement of the maxima between our container ship population and the data set of
428 McKenna et al. (2013), this discrepancy of at least 9-10 dB re 1 μ Pa @ 1 m in *SL* minima suggests that
429 methodological differences between the studies may exert greater bias when ship signal levels are near
430 background noise levels.

431 Ship speed

432 Averaged across all vessels, the *SOG* of isolated ships northbound in Haro Strait is $\sim 14.4 \pm 4.1$ knots.
433 This is higher than the mean of 10-12 knots observed during WWII, but possibly lower than the post-war
434 (mid-1970s) mean of about 15 knots (Ross, 1976).

435 In our study, the fastest classes are container ships (mean *SOG* of 19.5 knots) and vehicle carriers
436 (16.8 knots), while the slowest vessels are fishing boats (8.8 knots) and tugs (8.3 knots). For tankers,

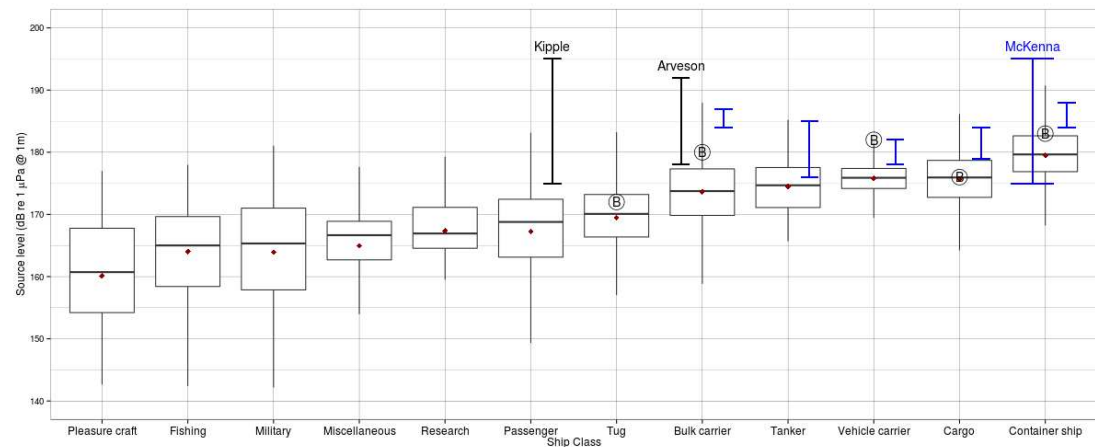


Figure 2. Broadband source level (SL) statistics for each ship class juxtaposed with results from recent studies of comparable classes. Bold horizontal lines are medians; gray box hinges are 25% and 75% quantiles; gray whiskers extend to the value that is most distant from the hinge but within 1.5 times the inter-quartile range (distance between the 25% and 75% quantiles); red dots are mean values from Table 2. Each encircled letter B represents a mean from Bassett et al. (2012); blue vertical bars represent means from McKenna et al. (2012) with the container ship estimate of McKenna et al. (2013) labeled McKenna; black vertical bars represent estimates from Kipple (2002) and Arveson and Vendittis (2000).

437 our SOG of 13.8 ± 1.4 knots is slightly below the 14-16 knot range for “T2 tankers” in WWII and the
 438 14-16 knot range for supertankers built after about 1960 reported by (Ross, 1976).

439 Overall, our data set samples a small range of ship speeds within any given class. Because Haro
 440 Strait is relatively long and straight, most vessels transit it without changing speed. Whether north- or
 441 south-bound, they have consistent SOG means and standard deviations. This low variability in speed
 442 limits our ability to search for relationships between noise and speed, but may help us discern in future
 443 work the influence of other variables – like propeller type, draft (loading), or maintenance levels – building
 444 on insights from McKenna et al. (2013).

445 **Relationship between speed and broadband source level**

446 Upon linear regression of SL versus SOG for all data, we find a slope of +0.97 dB/knot. Slopes vary
 447 from +0.10 to +1.73 dB/knot between vessel classes. Examination of repeated transits of individual
 448 ships shows that the variation in slope is high between individual ships within a class. While slopes are
 449 positive for most individual ships, some are zero or negative. These variations indicate that the overall
 450 population slope should not necessarily be applied to all ship classes or individual ships, echoing the
 451 recommendations of McKenna et al. (2012).

452 **Received spectra**

453 Most ships transiting Haro Strait raise background noise levels in the core summertime habitat of SRKWs
 454 at all measured frequencies (Figure 3). Specifically, 95% of the ships generate received spectrum levels

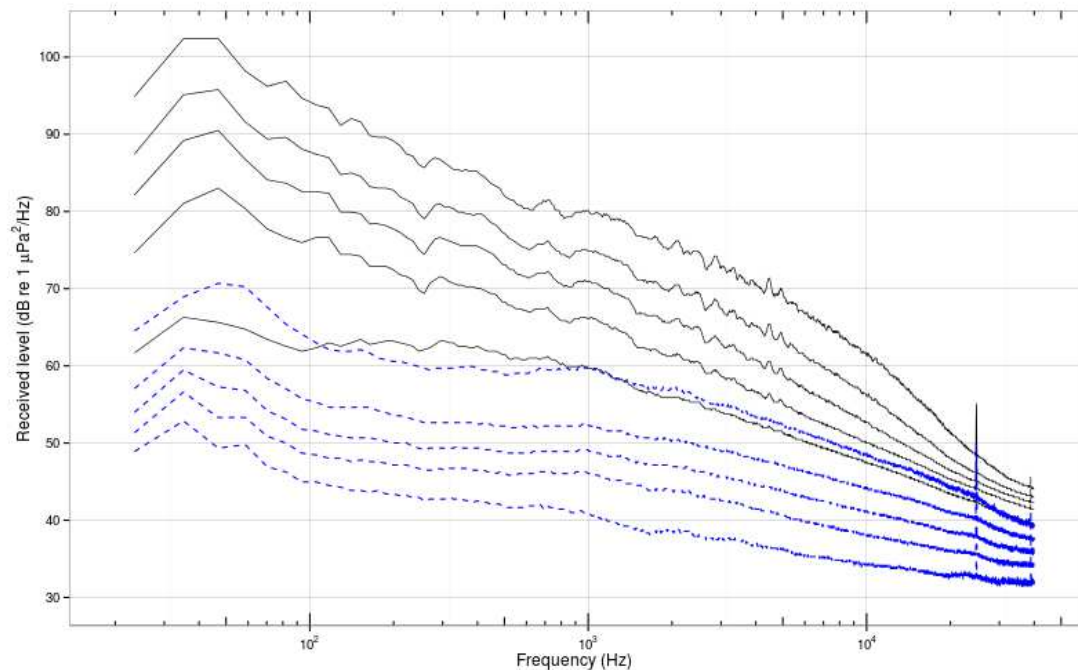


Figure 3. Quantiles (5, 25, 50, 75, & 95%) of background spectrum level (SL_B , dashed blue lines) and total received spectrum level for the entire ship population (SL_T , solid black lines).

455 at or above the 95% quantile of background levels from 20-96,000 Hz. Thus, at ranges of a couple
 456 kilometers, commercial ships cause significant underwater noise pollution not only at low frequencies,
 457 but also at high-frequencies.

458 The difference in median spectrum levels between ship and background noise levels is more than
 459 30 dB re $1 \mu\text{Pa}^2/\text{Hz}$ below 100 Hz and gradually decreases to about 10 dB re $1 \mu\text{Pa}^2/\text{Hz}$ at 20,000 Hz. In
 460 the high frequency range of 20,000-96,000 Hz the median ship noise is elevated above median background
 461 levels by at least 5 dB re $1 \mu\text{Pa}^2/\text{Hz}$. This significant elevation of background levels at high frequencies
 462 is what motivated us to account for absorption when computing ship source levels and is consistent with
 463 an observation by Hildebrand et al. (2006) of a single commercial ship in Haro Strait at a range of 442 m
 464 that elevated the ambient noise spectrum levels by as much as 30-40 dB re $1 \mu\text{Pa}^2/\text{Hz}$ across a broad
 465 band of the spectrum (60-75,000 Hz).

466 If we define the 5% quantile of background noise as an “ancient” ambient condition (Clark et al., 2009)
 467 then the typical (median) modern ship raises noise levels above ancient levels by 12-17 dB re $1 \mu\text{Pa}^2/\text{Hz}$
 468 at frequencies used in killer whale echolocation (20,000-70,000 Hz) and by 17-35 dB re $1 \mu\text{Pa}^2/\text{Hz}$ at
 469 frequencies used in killer whale social vocalization (200-20,000 Hz). In the frequency range used by
 470 vocalizing baleen whales (20-200 Hz), the median ship noise levels are about 32-35 dB re $1 \mu\text{Pa}^2/\text{Hz}$
 471 above the ancient ambient levels.

472 We gain additional confidence in the accuracy of our sound pressure levels (and implicitly our system

473 calibration) by comparing the received spectrum levels in Figure 3 with ambient noise spectra from other
474 studies. Our background quantiles are bracketed by the average deep-water ambient noise levels associated
475 with sea state 1 to 3, though the slope of our median curve from 1,000-10,000 Hz is -8 dB/decade, about
476 half as steep as the open-ocean slope of -17 dB/decade [Urlick \(1983\)](#). The “usual lowest ocean noise”
477 curve of Cato depicted in Plate 5 of [National Research Council et al. \(2003\)](#) is bounded by our 5%
478 and 25% quantiles from about 30 to 10,000 Hz. Two ambient noise spectra obtained in Haro Strait by
479 [Hildebrand et al. \(2006\)](#) have levels that are bounded by our 5% and 95% quantiles of background noise
480 from 300 Hz to 30,000 Hz. The single ship spectrum (60 Hz to 75,000 Hz) obtained opportunistically by
481 [Hildebrand et al. \(2006\)](#) at a range of 442 m has levels that are greater than our 75% quantile of RL_B at all
482 frequencies.

483 Similarly, our quantiles of total received level are consistent with previous studies. For example, the
484 noise spectrum levels recorded in U.S. bays and harbors during World War II by [Urlick \(1983\)](#) are entirely
485 bounded by our quantiles of RL_T from 100 Hz to 10,000 Hz. The peak levels (at about 50 Hz) of the
486 shipping contribution to deep water ambient noise estimated by [Ross \(1976\)](#) for “remote, light, moderate,
487 and heavy” traffic are approximately 71, 77, 85, and 95 dB re $1 \mu\text{Pa}^2/\text{Hz}$, respectively; the upper three
488 traffic levels are encompassed by our 5% and 95% quantiles, while the “remote” levels are no more than
489 2 dB re $1 \mu\text{Pa}^2/\text{Hz}$ below our 5% quantile. Finally, the quantiles of unweighted received spectrum levels
490 in [Bassett et al. \(2012\)](#) peak near 50 Hz and have levels that are within about 5 dB re $1 \mu\text{Pa}^2/\text{Hz}$ of our
491 levels for corresponding quantiles at all frequencies common to the two studies. Even at high-frequencies
492 our data are consistent; [Knudsen et al. \(1948\)](#) reported total received levels of 40-50 dB re $1 \mu\text{Pa}^2/\text{Hz}$ at
493 30,000 Hz in coastal waters, a range which brackets our quantiles at that frequency.

494 **Source spectra**

495 Median source spectra for the whole ship population are shown in Figure 4 as spectrum, 1/12-octave, and
496 1/3-octave levels, with and without accounting for absorption. For the spectrum levels, we also present
497 25% and 75% quantiles.

498 ***Source spectrum levels without absorption***

499 The median spectrum levels peak near 50 Hz at about 154 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m and decrease at higher
500 frequencies with a slope of about -15 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m per decade (from 50-40,000 Hz). The
501 25% and 75% quantiles are 3-5 dB re $1 \mu\text{Pa}^2/\text{Hz}$ from the median below about 10,000 Hz, but at higher
502 frequencies the difference decreases to about 1 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m. In the region between 700 and
503 40,000 Hz the median spectrum has a subtle slope break near 5,000 Hz, with a slope of about -10 below
504 and about -20 above.

505 Previous observations, models, and experimental results all help contextualize these whole-population

506 spectrum levels. Unfortunately, many previous studies of ship noise are not comparable due to presenting
507 species-specific band levels (e.g. Hatch et al. 2012) or band levels rather than spectrum levels, or other
508 limitations: small sample size, non-overlapping frequency ranges, and ship classes with low diversity,
509 distinct definitions, or incomparable ships (e.g. ice breakers in Erbe and Farmer 2000).

510 One exception that allows comparison up to 1,200 Hz is the analysis of 54 ships at ranges of 360-
511 1,800 m by Wales and Heitmeyer (2002). Their measured average source spectral levels are bounded
512 by our 25% and 75% quantiles from 400-1200 Hz. At lower frequencies (below 400 Hz) their mean
513 levels exceed our 75% quantile by 2-20 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m (20 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m at
514 20 Hz; 5 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m at 50 Hz; and 2 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m at 100 Hz). Interestingly,
515 their curve does peak near 50 Hz, but instead continues rising as the frequency decreases to 30 Hz, the
516 lowest frequency they measured. The slope of their mean curve is about -30 dB/decade below 100 Hz,
517 and -20 dB/decade above. They note that the variance around their mean levels decreases with rising
518 frequency from a standard deviation as high as 5.32 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m below 400 Hz to about
519 3.12 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m above it. This suggests that a partial explanation for the elevation of their
520 mean values relative to our 75% quantile may be variability in low-frequency power between ships.

521 Models of ship noise that output spectrum levels provide another point of comparison. Our 50% and
522 75% quantiles are encompassed in the spectrum levels presented by National Research Council et al.
523 (2003) for 3 classes of tankers, as well as merchant and fishing classes, based on the RANDI model
524 (Wagstaff, 1973; Breeding et al., 1994) parameterized with data from Emery et al. (2001) and Mazzuca
525 (2001). The 25% quantile is also encompassed, except below 30 Hz. Below 300 Hz, our median values
526 lie between the fishing and merchant class levels of National Research Council et al. (2003); at higher
527 frequencies – up to 1,000 Hz, the upper limit of their estimates – our median values are above their
528 merchant class but below their intermediate tanker class (length 153-214 m, speed 7.7-9.3 m/s). Overall,
529 this comparison suggests that our median spectra validate the RANDI model as parameterized in National
530 Research Council et al. (2003) at intermediate frequencies (100-1,000 Hz), but below 100 Hz our median
531 levels are lower (by about 5-30 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m) than the RANDI model predicts for all classes
532 except fishing vessels (length and speed bins of 15-46 m, 3.6-5.1 m/s).

533 Other noticeable differences between our population median spectrum levels and those modeled in
534 National Research Council et al. (2003) are the frequency of the peak power, the general slope of the
535 spectra above the peak, and secondary peaks resolved in our data. While our spectra peak near 50 Hz,
536 the peak power in the spectra of National Research Council et al. (2003) occurs slightly lower, at 30 Hz.
537 Between 100 and 1,000 Hz, the slope of our median spectrum is -12 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m per decade,
538 nearly three times less steep than the slope of -35 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m per decade in National

539 [Research Council et al. \(2003\)](#). Our spectrum levels have detailed structure where the RANDI model
540 curves of [National Research Council et al. \(2003\)](#) are smooth. Our quantiles show secondary power peaks
541 between 80 and 1,100 Hz and many narrowband peaks in 1,100-10,000 Hz range, similar to the frequency
542 dependence of spectral line complexity observed by [Wales and Heitmeyer \(2002\)](#).

543 Experiments with cavitation provide a final comparison with our whole-population spectrum levels.
544 Above 5,000 Hz the slope of our median spectrum matches the slope observed during cavitation of a
545 spinning rod ([Mellen, 1954](#)) and a water jet [Jorgensen \(1961\)](#) – -20 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m per decade,
546 (or -6 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m per octave).

547 **Source spectrum levels with absorption**

548 The spectrum levels with absorption are indistinguishable from those without absorption below about
549 5,000 Hz. At higher frequencies, the SL_a median spectrum level curve diverges from the SL curve, and
550 starts to rise rapidly at the 40 kHz cut-off of this study. The associated 25% and 75% quantiles are within
551 3-5 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m of the median values throughout the region of divergence.

552 While these alternative source spectra look unfamiliar at high frequencies, we believe they are rooted
553 in accurate physics and we note that the spectrum levels of SL_a are in agreement with some measurements
554 and theory of underwater noise radiated during fully developed cavitation. For example, [Lesunovskii](#)
555 [and Khokha \(1968\)](#) specify rotating bar noise spectrum levels of 95-115 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m at
556 10,000 Hz while our 25% to 75% quantiles of SL_a spectrum level at that frequency are 114-120 dB
557 re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m. Similarly, [Blake et al. \(1977\)](#) report noise levels from a cavitating hydrofoil of
558 75-110 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 yd at 31,500 Hz which is approaching our 25% to 75% quantiles of SL_a at
559 that frequency (120-125 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m).

560 We expect that propeller cavitation noise intensity will be greater than laboratory measurements due
561 to increased length scale and number of the blades on ships. Evidence from World War II studies of
562 torpedo and submarine noise attributed to cavitation supports this expectation. Figures 10.21-10.23 of
563 [Urick \(1983\)](#) show levels equivalent to or bracketing our SL_a spectrum levels: 24,000 Hz spectrum levels
564 of 118 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 yd for a submarine cruising at 8 knots near periscope depth; 25,000 Hz
565 spectrum levels of 100-130 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 yd for torpedos moving at 20-45 knots; and 20,000 Hz
566 spectrum levels of 115-130 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 yd for a suite of torpedoes.

567 **Source 1/12- and 1/3-octave levels**

568 The median 1/12- and 1/3-octave level curves in Figure 4 are elevated relative to the median spectrum
569 levels and diverge from them above 50 Hz due to the integration of spectrum levels over bands that
570 get progressively wider with increasing center frequency. Like the spectrum levels, these curves have
571 a peak near 50 Hz. Peak values are 158 dB re $1 \mu\text{Pa}^2$ per band @ 1 m for the 1/12-octave levels and

572 163 dB re $1 \mu\text{Pa}^2$ per band @ 1 m for the 1/3-octave levels. Above 50 Hz, both curves have slopes of
573 about -4 dB/decade from 100-5,000 Hz, -10 dB/decade from 5,000-40,000 Hz.

574 While we are unaware of a comparable aggregation of source spectra from multiple ship classes
575 presented as 1/3-octave levels, there are many studies of individual ships or classes that present 1/3-octave
576 source levels. We compare them here with the median 1/3-octave curve for our ship population because
577 we present only spectrum levels when assessing inter- and intra-class differences in subsequent sections.

578 Our median 1/3-octave levels are entirely bounded by the estimated levels for 6 diverse ship types
579 presented in Figure 3.14 of [Malme et al. \(1989\)](#) at all comparable frequencies (20-16,000 Hz). Similarly,
580 our levels are within the estimated 1/3-octave source levels (10-10,000 Hz) summarized in Figure 6.5 of
581 [Richardson et al. \(1995\)](#) for an ice breaker, a composite of supertankers, and a tug/barge at almost all
582 frequencies. Only above about 2,000 Hz is our median curve slightly below comparable vessels described
583 by [Richardson et al. \(1995\)](#): ours is within 2 dB re $1 \mu\text{Pa}^2$ per 1/3-octave @ 1 m of their tug/barge levels,
584 and no more than 10 dB re $1 \mu\text{Pa}^2$ per 1/3-octave @ 1 m below their supertanker levels. Overall, we find
585 the consistency of our results with these two studies to be remarkable.

586 Comparing our median curve with the 7 ships (representing five of our classes) for which [McKenna
587 et al. \(2012\)](#) presented 1/3-octave levels, our levels are 5-10 dB re $1 \mu\text{Pa}^2$ per 1/3-octave @ 1 m lower at
588 all common frequencies (20-1,000 Hz). As discussed when presenting spectrum levels, we are not sure
589 how to account for this difference, other than to recognize key differences between the studies: distinct
590 transmission loss, our much larger sample size, and our higher diversity of classes.

591 Studies of ship noise in which speed was varied present a range of levels that is also consistent with
592 our results. Compared with the maximum-minimum envelopes of 1/3-octave source levels (referenced to
593 1 yard) from 6 cruise ships presented by [Kipple \(2002\)](#) our 1/3-octave levels are within the envelope for
594 both 10 knot and 14-19 knot samples, except below 25 Hz where our levels are lower by 1-7 dB re $1 \mu\text{Pa}^2$
595 per 1/3-octave @ 1 m. Our levels also fall within (but near the lower edge) of the range of 1/3-octave
596 spectra reported by [Arveson and Vendittis \(2000\)](#) for a bulk carrier tested from 68-148 rpm.

597 Our 1/3-octave levels help validate the RANDI model used by [Erbe et al. \(2012\)](#) to compute 1/3-octave
598 spectra for five ship length classes over a range of speeds observed in traffic off the coasts of British
599 Columbia and Washington State. Overall, our median levels are entirely within the range of their estimated
600 levels at all modeled frequencies (10-2,000Hz). More specifically, though, our median crosses their
601 size-specific curves, because it has a less steep slope. Below 400 Hz our levels are bounded by their L1
602 and L3 classes (representing lengths less than 50 m); above 400 Hz our median levels are between their
603 L4 and L5 classes (greater than 50 m).

604 An even more dramatic crossing of model curves by our median curve is evident upon comparison

605 with Figure 1 of Williams et al. (2014). While our median source levels are equivalent to or bounded
606 by the 1/3-octave levels for each of their modeled ship types (tug, cruise ship, container ship) near or
607 below 250 Hz, at higher frequencies our levels exceed the modeled ones by 7-10 dB re $1 \mu\text{Pa}^2$ per
608 1/3-octave @ 1 m.

609 The crossing of such modeled spectra by our 1/3-octave median curve is one manifestation of a subtle
610 slope difference between our results and previous studies (Arveson and Vendittis, 2000; Kipple, 2002;
611 Erbe et al., 2012; Williams et al., 2014). While Arveson and Vendittis (2000) observe slopes from above
612 a 55 Hz cavitation “hump” up to about 30,000 Hz to be -10 dB/decade on a 1/3-octave plot, our slope
613 over the same frequency range is shallower (-6.5 dB/decade) and we observe a slope break near 3,000 Hz.
614 Below the break the slope is about -4.5 dB/decade, while above it is -10 dB/decade.

615 The similarity of our 1/3-octave levels with those from available studies at frequencies below 630 Hz
616 (the lowest tone used in our transmission loss experiment) is the first evidence that our measurements of
617 low-frequency radiated noise are accurate. The lower slope relative to other studies suggests that the ship
618 population in this study is generating proportionally more high-frequency noise than ships in previous
619 studies.

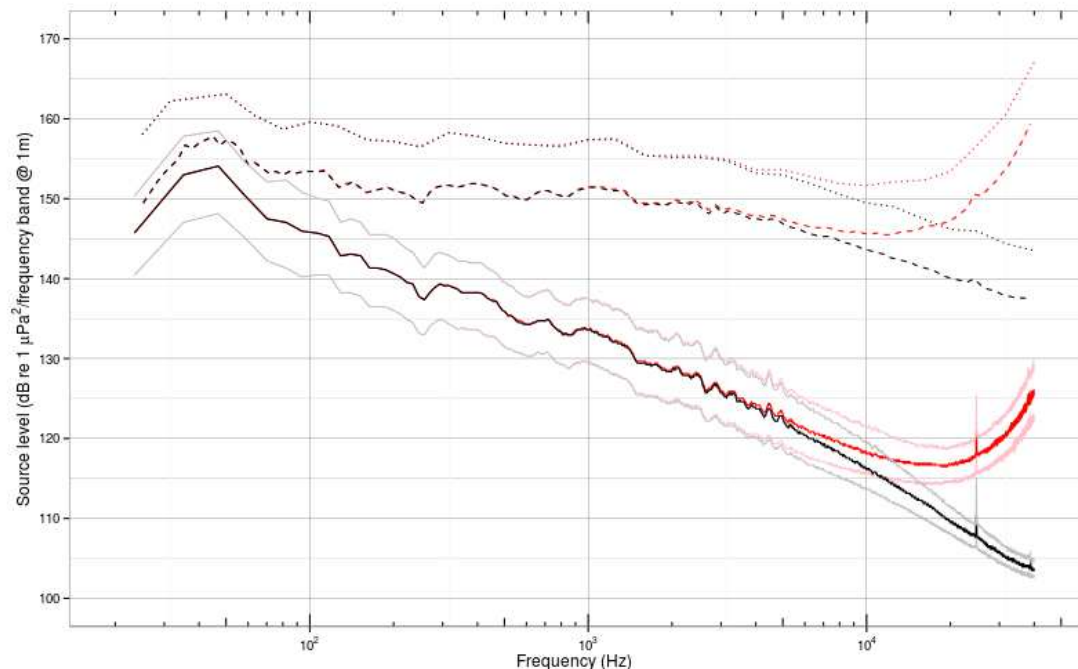


Figure 4. Source level (*SL*) spectra of the entire ship population in 1 Hz (solid), 1/12-octave (dashed), and 1/3-octave bands (dotted). Black curves are medians without absorption; red curves are medians with absorption. For the spectrum levels, we delineate 25 and 75% quantiles in lighter tones. Levels with absorption start to increase rapidly above 15-20 kHz for both the 1/12- and 1/3-octave bands.

620 **Source 1/12- and 1/3-octave levels with absorption**

621 As with the spectrum levels, the 1/12- and 1/3-octave level curves with absorption are indistinguishable
622 from those without absorption below 5,000 Hz. At higher frequencies, the SL_a median 1/12- and 1/3-
623 octave levels rise to match the 50 Hz levels of the associated median SL curves near 35,000 Hz and then
624 continue to increase at higher frequencies.

625 This means that when we account for absorption when computing 1/12- or 1/3-octave levels, modern
626 ships radiate noise in high-frequency bands (centered near 35,000 Hz) at levels equivalent to the low-
627 frequency maxima near 50 Hz. This surprising equivalency, and the theoretically even higher power levels
628 in bands above 35,000 Hz, are important to consider when assessing the masking potential of ship noise
629 in habitats close to or within shipping lanes for marine species that utilize high-frequency signals. Though
630 it is novel to state that ship noise source levels have peak power at high- as well as low-frequencies, we
631 provide these 1/12- and 1/3-octave noise levels to facilitate accurate modeling of acoustic impacts for
632 species that have critical bands overlapping these octave bands Richardson et al. (1995).

633 While the median 1/12-octave source levels reported by Erbe and Farmer (2000) for the cavitating
634 propeller of an ice breaker are not comparable to any of our ship classes, we note that the slope of their
635 median curve is -13 dB/decade from 1,000-10,000 Hz. Importantly, Erbe and Farmer (2000) is rare in
636 stipulating that absorption was accounted for in computing source levels. Their slope is about twice as
637 steep as our 1/12-octave median slope of -7 dB/decade in the same frequency range.

638 **Spectral differences between ship classes**

639 When the ship population is broken down by class (Figure 5) the medians show a striking bifurcation.
640 While all classes have similar median spectrum levels near 20,000 Hz, the curves diverge at lower
641 frequencies, and below 200 Hz they bifurcate into high- and low-power groups. The high-power group
642 has peak power of 153-159 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m near 50 Hz (just above the population median shown
643 in Figure 4) and consists of container ships, vehicle carriers, cargo ships, bulk carriers, and tankers. The
644 low-power group has peak power of 134-141 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m near 50 Hz or just above 100 Hz –
645 levels well below the population median or even 25% quantile – and consists of passenger vessels, tugs,
646 military, research, fishing, miscellaneous, and pleasure vessels.

647 The 25%, median, and 75% spectrum levels at the power peak near 50 Hz in Figure 4 bracket the
648 50 Hz levels of the high-power group of ships in Figure 5. The median of the whole population is most
649 similar to the spectra in the high-power group (e.g. the bulk carrier curve) because the aggregated sample
650 size is much higher in the high-power group than in the low-power one (see Table 2). Modelers interested
651 in assessing impacts of specific ship classes, particularly those in the lower-power group, should not use
652 the median or 25% quantile levels for the whole population, but instead select class-specific levels from

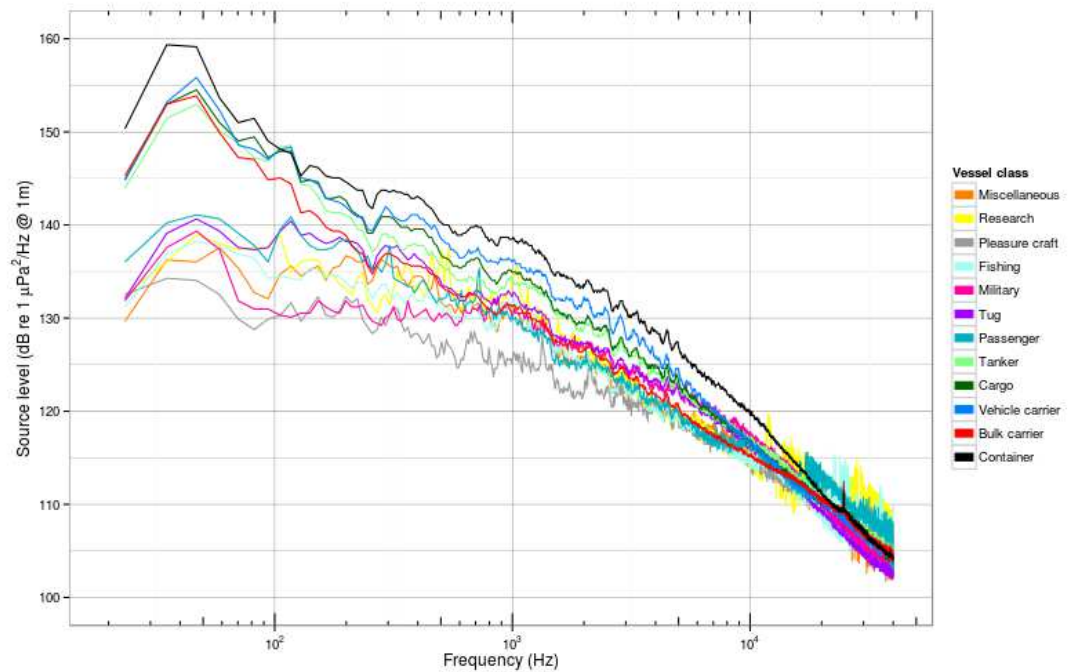


Figure 5. Comparison of median source spectrum levels (without absorption) between ship classes.

653 the curves in Figure 5.

654 Container ships have the highest median power of all classes at almost all frequencies below 10,000 Hz
 655 with peak power of 159 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m near 40 Hz. This is likely because of their relatively
 656 large size and high mean speed (10 m/s) compared to pleasure craft or military ships – the classes with
 657 the lowest median power at all frequencies below 400 Hz.

658 Many of the ship classes show secondary peaks in the median spectrum levels from 100-5,000 Hz.
 659 For example, most classes show a 2 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m dip near 250 Hz and at least container ships,
 660 vehicle carriers, cargo ships, and tankers have peaks near 300, 700, and 1,000 Hz. There are also narrower
 661 peaks for these same classes between 1,000-10,000 Hz, most prominently at 2,000 Hz and near 3,000 Hz.

662 The variability of the median source level in each class decreases above 5,000 Hz and remains low
 663 until about 10,000 Hz. At higher frequencies (10,000-40,000 Hz) the variability increases again for most
 664 ship classes, but the degree of increase is a strong function of sample size within a class. While we know
 665 from examining spectrograms from individual ships that some of the narrow peaks are associated with
 666 active acoustic sources (depth sounders, scientific echosounders, and fish finders), in Figure 5 the high
 667 variance above 10,000 Hz is due primarily to some ships having spectrum levels that do not meet the
 668 robust threshold at higher frequencies. Particularly in classes where the sample size is already small this
 669 leads to some high frequency bins having many fewer data points than adjacent bins which in turn results
 670 in more-variable median values across this high-frequency range.

671 The quantiles of source level by class in Figure 6 provide further detail about inter-class differences.
672 Comparing the 95% quantiles, container ships still have the highest peak power (165 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m)
673 near 50 Hz, but bulk and vehicle carriers, cargo ships and tankers also have peak power greater than
674 160 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m. Other classes have peak power in the 95% quantiles near 50 Hz at spectrum
675 levels that range from 156 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m (research) to 150 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m (tugs).
676 Comparing the 5% quantiles, we expected that the military class would have the lowest levels due to more
677 advanced ship-quieting technologies. While the military class levels are much lower than container ships
678 (10 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m less at 1,000 Hz and 20 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m less at 100 Hz), other
679 classes have even lower levels at those frequencies, particularly fishing vessels and pleasure craft.

680 ***Spectral variability within ship classes***

681 All classes of ships have spectrum levels that vary more at low frequencies than at high frequencies
682 (Figure 6). Near 50 Hz there is a 15-35 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m difference between the 5% and 95%
683 quantile levels. That difference decreases with rising frequency until above 20,000 Hz it is typically less
684 than 10 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m.

685 Below 20,000 Hz, source level variability in Figure 6 tends to be lower for the classes that have
686 smaller speed over ground standard deviations and that have larger sample size as shown in Table 2.
687 While container and cargo ships, bulk and vehicle carriers, and tankers have 95-5% spectrum level
688 differences of about 15 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m, the other classes exhibit larger differences up to
689 25-30 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m. The classes with the largest number of vessels are most uniform in
690 their speed over ground and most consistent in their vessel design and operation. Tugs are a special
691 case because there are many transits and their speed is not unusually variable, but their loading is. Our
692 passenger vessels are all cruise ships and hence similar in design, but their speeds are quite variable as
693 they adjust their arrival times in the Port of Vancouver. Finally, the small numbers of pleasure craft and
694 vessels classed as miscellaneous are highly variable in both their designs and their operations.

695 Other studies have observed a similar pattern of source level variability with frequency. In mean
696 source spectrum levels from 54 ships Wales and Heitmeyer (2002) noted higher, more-variable standard
697 deviations from 30-400 Hz and lower, more-constant ones from 400-1200 Hz. Figure 8 of McKenna et al.
698 (2013) displays histograms of octave-band power for 593 container ships which have widths that decrease
699 from about 35 dB re $1 \mu\text{Pa}^2$ per octave @ 1 m in the 16 Hz band to 26 dB re $1 \mu\text{Pa}^2$ per octave @ 1 m in
700 the 500 Hz band.

701 One explanation for this pattern is that the low-frequency portion of ship noise spectra is influenced
702 by diverse design and operational details (many sources of variability), while cavitation generates high-
703 frequency broadband noise (including up to 100,000 Hz) no matter its source. As mentioned in the

704 introduction, there are many sources of ship noise below 1,000 Hz that should be expected to vary between
 705 individual ships in a particular class. Conversely, a wide range of vessels have been documented to radiate
 706 elevated high-frequency noise upon increased engine RPM or SOG – conditions reasonably associated
 707 with increased cavitation (Erbe and Farmer, 2000; Kipple, 2002; Hildebrand et al., 2006).

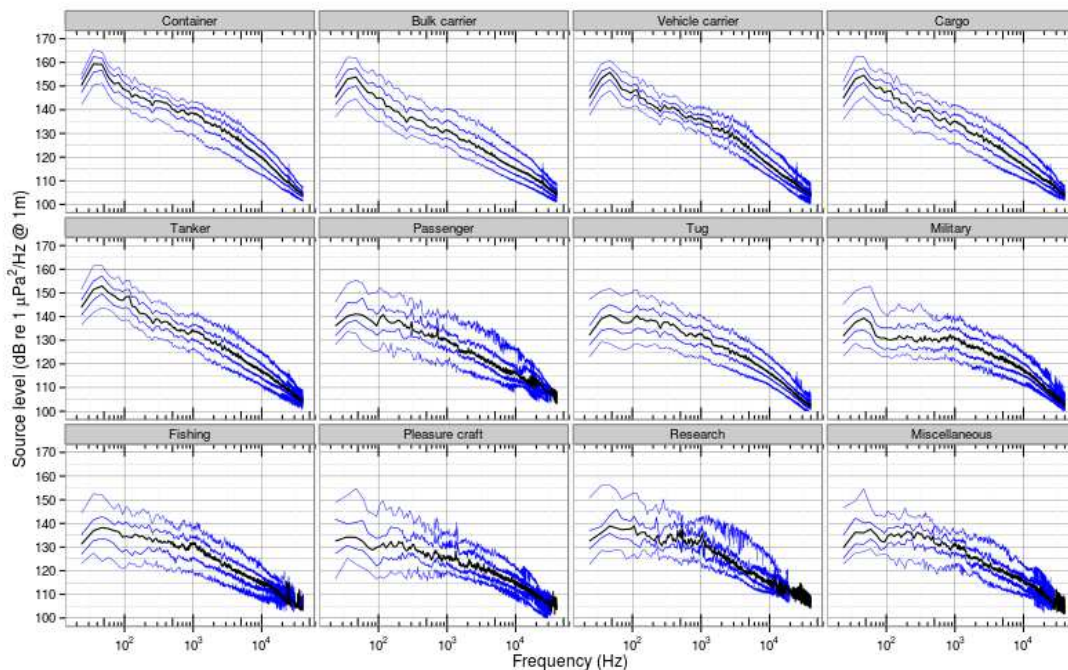


Figure 6. Quantiles of source spectrum levels for each class of ship. Median (50%) quantile (black) overlies 5, 25, 75, and 95% quantiles (blue).

708 The literature offers a handful of spectra for particular classes that can be compared with the quantiles
 709 of Figure 6. These spectra typically come from individual ships, though, so can only serve to verify the
 710 range of our quantiles, rather than assessing the accuracy of the quantiles themselves.

711 The spectrum levels provided by McKenna et al. (2012) for individual ships in comparable classes (a
 712 container ship, a vehicle carrier, two bulk carriers, and a few tankers) all fall within a few dB re $1 \mu\text{Pa}^2 @ 1 \text{m}$
 713 of our 95% quantile. Only their bulk carrier deviates from this pattern with levels near 100 Hz higher by
 714 about 10 dB re $1 \mu\text{Pa}^2/\text{Hz} @ 1 \text{m}$. Overall, the broadband and spectrum levels of ships associated with
 715 the port of Los Angeles (McKenna et al., 2012) are most comparable to the noisiest 5% of ships transiting
 716 Haro Strait.

717 Similarly, the source spectrum levels for a single container ship measured in the middle of Haro Strait
 718 by Hildebrand et al. (2006) also fall within the 5% and 95% quantiles of our cargo class (from 90 Hz to
 719 40,000 Hz). The alignment of such individual ship spectra within the quantiles of their associated class at
 720 all common frequencies – and most importantly at frequencies below that of our lowest transmission loss
 721 test tone – helps verify our extrapolation of the near-spherical spreading we observed from 630-20,100 Hz

722 to all frequencies reported in our study.

723 We take this spectral consistency across multiple classes as evidence that the ship noise received at our
724 nearshore hydrophone has not undergone shallow water attenuation. While normal mode theory (Urlick,
725 1983) would predict a cutoff frequency of about 50 Hz if our hydrophone were in a shallow channel 8 m
726 deep, that is not the bathymetric situation at our study site. Instead, Haro Strait is a 250-300 m deep
727 channel with a steep western wall of sparsely sedimented solid rock (Jones and Wolfson, 2006) and our
728 hydrophone is positioned near the top of the wall where the offshore bottom slope is 20-30 degrees. In this
729 situation, Jones and Wolfson (2006) expect not only destructive interference at ranges much greater than
730 the source depth, but also upslope enhancement. In our transmission loss experiment, we did not observe
731 any frequency dependent attenuation consistent with these phenomena. Furthermore, the theoretical
732 cutoff frequency for a 250 m deep channel is 1.5 Hz (Urlick, 1983), well below our lowest measured
733 frequency band. We therefore argue that any effects of interference and backscatter are averaged out in
734 our study, primarily because each isolated ship ensonifies the full width of this reverberating channel and
735 moves 150-300 m during a 30-second recording (1-2 times the 130 m wavelength or our lowest measured
736 frequency, 11.5 Hz).

737 CONCLUSIONS

738 Having ensured our samples were isolated (uncontaminated by noise from other ships or boats) and
739 subtracted estimated background levels, we are confident that median received levels of ship noise in the
740 core of SRKW critical habitat are elevated above median background levels not only at low frequencies
741 (20-30 dB re $1 \mu\text{Pa}^2/\text{Hz}$ from 100-1000 Hz), but also at high frequencies (5-13 dB re $1 \mu\text{Pa}^2/\text{Hz}$ from
742 10,000-40,000 Hz). Thus, underwater noise radiated by modern ships extends to high frequencies just as
743 boat noise does (Erbe, 2002; Kipple and Gabriele, 2004; Hildebrand et al., 2006). Earlier studies have
744 also observed this aspect of ship noise, but with smaller sample size, over different frequency ranges and
745 less diverse ship classes (Kipple and Gabriele, 2004; Hildebrand et al., 2006; Bassett et al., 2012), and/or
746 in received rather than source levels (Hermannsen et al., 2014).

747 Such ship noise has the potential to mask odontocete signals, especially in coastal environments
748 where shipping lanes are close enough to the shoreline (< 10 km) that high frequency sound is not fully
749 absorbed. In the summertime habitat of the endangered SRKWs ship noise may interfere not only with
750 SRKW communication (vocalizations) but also foraging and navigation (echolocation clicks).

751 Average broadband received levels (11.5-40,000 Hz) for the entire ship population are 111 ± 6 dB re $1 \mu\text{Pa}$
752 and ranged from 101 ± 6 dB re $1 \mu\text{Pa}$ for pleasure craft to 116 ± 4 dB re $1 \mu\text{Pa}$ for container ships. The
753 range of *RL* for container ships (112-120 dB re $1 \mu\text{Pa}$) show that levels received by SRKWs along the

754 coastline at Lime Kiln from some container ships occasionally meet or exceed the 120 dB re 1 μ Pa
755 threshold currently used by NOAA to define level B harassment from non-impulsive noise in the U.S.

756 Ships northbound in Haro Strait exhibit typical speeds with low variability (*SOG* of 14.4 ± 4.1 knots
757 or 7.4 ± 2.1 m/s). Nevertheless, there is enough variation in speed across the whole population to reveal
758 a linear relationship between received level and speed with a slope near +1 dB/knot. This suggests
759 a potential mitigation strategy for the average ship – slowing down – that has been recommended
760 previously as an operational ship quieting option (?). This strategy has other environmental benefits,
761 like reducing collision risks, and is consistent with recent industry efforts to increase fuel efficiency
762 (e.g. the “slow steaming” initiative of Maersk). For a passenger ship measured at speeds of 9-18 knots
763 during WWII Ross (1976) shows in Figure 8.19 that reducing speed lowers source spectrum levels by
764 at about 1.5 dB re 1 μ Pa @ 1 m per knot at all frequencies, but most notably lowers them by about
765 3.0 dB re 1 μ Pa @ 1 m per knot – both at high frequencies (above 10,000 Hz) and at low frequencies
766 (less than 100 Hz).

767 Average broadband source levels were 173 ± 7 dB re 1 μ Pa @ 1 m for the population. Comparing
768 broadband source levels between ship classes, container ships have the highest mean *SL* of
769 178 ± 4 dB re 1 μ Pa @ 1 m. Therefore, assuming near-spherical transmission loss, marine life within a
770 couple kilometers of shipping lanes will commonly receive noise levels above NOAA’s 120 dB re 1 μ Pa
771 threshold. At ranges less than about a kilometer, receive levels from many ships in Haro Strait will exceed
772 the 130-150 dB modeled ship noise (10-50,000 Hz) dose associated with minor changes in northern
773 resident killer whale behavior (Williams et al., 2014).

774 At distances of less than about a kilometer, it is likely that received 1/12- or 1/3-octave band levels at
775 high frequencies are equal or greater than they are at low frequencies. Further research should be carried
776 out to measure ship spectrum levels at ranges of a few hundred meters in order to more fully quantify the
777 high frequency (40-100 kHz) components of ship sound signatures.

778 Models of noise impacts in habitat containing shipping lanes will be more accurate if parameterized
779 with spectral data, as opposed to broadband levels. Since we observe spectral variability between and
780 within the 12 classes of vessels in this study, most prominently the bifurcation at low frequencies between
781 classes, such models should use the class-specific spectrum level quantiles if possible, rather than the
782 whole-population spectrum and band level medians we have presented.

783 Our broadband, spectrum, 1/12-octave, and 1/3-octave source levels for the whole population have
784 median values that are comparable to the literature, with a few exceptions that we believe are due primarily
785 to methodological differences. Some past analyses may not have made all recommended corrections
786 (TC43 Acoustics, 2012); most commonly, methods sections are ambiguous about the definition and

787 subtraction of background noise levels from total received levels prior to source level computations. It is
788 also possible that these exceptions are due to sampling ship populations that are distinct (being composed
789 of different individual ships/classes and/or operating differently). In any case, since our source level
790 quantiles have slightly lower levels than some studies, particularly at low frequencies, they can be taken
791 as a conservative characterization of the current fleet when developing ship noise models or policies.

792 One subtle pattern we note is that compared to some previous measurements and models, our median
793 source spectrum levels are relatively low below 200 Hz and relatively high above 20,000 Hz. One
794 implication of this is that noise models using previous measurements may overestimate the low-frequency
795 noise levels of some ship types and underestimate high-frequency noise levels. Such flattening of the
796 spectral slope in more modern ships is described in Figure 8.20 of Ross (1976) which shows spectrum
797 levels (below 100 Hz and from 1,000-20,000 Hz) elevated 1-3 dB re $1 \mu\text{Pa}^2/\text{Hz}$ @ 1 m in large populations
798 of post-War versus WWII-era vessels. Some studies show a flattening of spectra above 100-1,000 Hz as
799 ship and engine speed increases (Ross, 1976; Arveson and Vendittis, 2000; Kipple, 2002). We speculate
800 that this historical trend may be continuing and recommend further investigation of the evolution of both
801 ship speed (Leaper et al., 2014) and the mitigation of low-frequency internal noise on ships for human
802 health reasons.

803 We recommend that future ship noise studies statistically characterize populations of ships – both
804 their broadband and spectrum source levels. Having struggled to discern which studies in the literature are
805 comparable to our results, we also suggest that future method sections be explicit about ship classification,
806 calibration procedures, background subtraction and/or criteria for isolation from other sources, models
807 and/or measurements of transmission loss, band width(s) and centers, absorption, and any other corrections.
808 Metadata should include statistical representations of ship speeds and measurement ranges.

809 Future work should also assess covariates other than speed, such as size, as well as azimuthal and
810 temporal variability in source spectrum levels. We know from years of listening to live audio streams
811 of Salish Sea ship noise (free via orcasound.net) that there is great temporal variability in the noise
812 radiated by many ships. A small percentage of ships emit periodic strong mid-frequency tones that are
813 likely caused by singing propellers (Ross, 1976). Our next step is to explore such temporal variations
814 in amplitude and frequency, identify statistical outliers that may represent extreme masking cases, and
815 further investigate possible governing variables, including speed, class, azimuth, and loading.

816 The variability we observe within ship classes indicates opportunities for reducing noise in ships,
817 particularly those associated with the upper quantiles in each class. While the details of the spectral and
818 temporal variability of noise from an individual ship may be important to a receiving species, metrics for
819 measuring and regulating underwater noise will practically involve some temporal averaging, and possibly

820 integration over bands wider than 1 Hz. We suggest a reasonable time scale for averaging ship noise is
821 seconds or minutes, rather than a year as stipulated in the European Union’s Marine Strategy Framework
822 Directive 2008/56/EC (Tasker et al., 2010). Additionally, based on the received signal above background
823 noise that we observe at high frequencies, we recommend that future guidelines for monitoring ship
824 noise raise the upper frequency limit of recording systems from 20,000 Hz (Dekeling et al., 2014) to at
825 least 50,000 Hz. As Registered Ship Classification Societies continue to issue underwater radiated noise
826 notations, we hope that these data can be used to assess their validity.

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