1

2	Choosing the Right Tool for the Job: Comparing Stream Channel Classification Frameworks
3	
4	Alan Kasprak <sup>*,1</sup> , Nate Hough-Snee <sup>*,1,2</sup> , Tim Beechie <sup>3</sup> , Nicolaas Bouwes <sup>4</sup> , Gary Brierley <sup>5</sup> , Reid
5	Camp <sup>1,4</sup> , Kirstie Fryirs <sup>6</sup> , Hiroo Imaki <sup>7</sup> , Martha L. Jensen <sup>1,2</sup> , Gary O'Brien <sup>1</sup> , David L. Rosgen <sup>8</sup> ,
6	and Joseph M. Wheaton <sup>1,2</sup>
7	
8	<sup>1</sup> Department of Watershed Sciences, Utah State University, Logan, UT 84322-5210, USA
9	<sup>2</sup> Ecology Center, Utah State University, Logan, UT, 84322-5210, USA
10	<sup>3</sup> Fish Ecology Division, Northwest Fisheries Science Center, National Marine Fisheries Service,
11	National Oceanic and Atmospheric Administration, Seattle, WA 98112, USA
12	<sup>4</sup> Eco Logical Research, Providence, UT, USA
13	<sup>5</sup> School of Environment, University of Auckland, New Zealand
14	<sup>6</sup> Department of Environmental Sciences, Macquarie University, Sydney, Australia
15	<sup>7</sup> Pacific Spatial Solutions, Reston, VA, USA
16	<sup>8</sup> Wildland Hydrology, Fort Collins, CO, 80524, USA
17	*These authors made equal contributions to the manuscript.
18	akasprak@aggiemail.usu.edu; nate@natehough-snee
19	
20	Supporting information attached as .docx

#### 21 ABSTRACT

22 Stream classification provides a means to understand the diversity and distributions of channels 23 and floodplains occurring across a landscape while drawing linkages between geomorphic form 24 and process. Accordingly, stream classification is frequently employed as a watershed planning 25 tool. In practice, a variety of frameworks are available to managers for classifying rivers, yet 26 little information exists about how frameworks compare. Specifically, the data, time, and expertise required to implement a given classification, consistency of classification results, and 27 28 the subsequent geomorphic interpretation between multiple frameworks have not been discussed 29 following data-driven framework comparisons. Here we apply four classification methods within 30 a watershed of high conservation interest in the U.S. Columbia River Basin. We compare the 31 results of the River Styles Framework (RSF), Natural Channel Classification (NCC), Rosgen 32 Classification System (RCS), and channel form-based statistical classification. We find that the 33 four frameworks generally classified reach types consistently. Where divergence in classified 34 channel types occurred, differences could be attributed to the (a) spatial scale of input data used, 35 (b) the requisite metrics and their order in completing a framework's decision tree and/or (c) whether the framework attempted to classify current or historic channel form. We discuss the 36 37 relative effort and disciplinary expertise required to complete each classification, noting that if a 38 framework classifies current or pre-disturbance channel form, results can provide insight on 39 watershed disturbance. By classifying a single watershed using multiple frameworks, we are able 40 to identify trade-offs between frameworks, discussing how each framework mechanistically differs in grouping streams and their driving processes. 41

#### 42 1. INTRODUCTION

The physical form of a stream channel is the result of the coupled climatic, vegetative, and 43 hydrogeomorphic processes acting upon it [Davis, 1899; Schumm and Lichty, 1965; Buffington 44 45 and Montgomery, 2013]. As such, the classification of rivers into reach types by their physical 46 characteristics lends insight into the relative efficacy of the formative processes that shape 47 channels. These insights can be leveraged when assessing channel condition and/or prioritizing the management and restoration of degraded streams [Kondolf, 1995; Fausch et al., 2002; Roni 48 49 et al., 2002]. Numerous frameworks for classifying streams exist, with markedly differing 50 spatiotemporal output scales [see Montgomery and Buffington, 1998; Brierley and Fryirs, 2005; Kondolf et al., 2005, but over the past two decades, there has been intense debate and criticism 51 52 of the utility of particular frameworks [Palmer et al., 2005; Simon et al., 2007; Roper et al., 53 2008; Lave, 2009; Rosgen, 2009].. These criticisms range from the limitations of a given 54 framework, to criticisms of the decisions that can arise when a given classification framework is 55 misapplied, to the fact that measurements of process rates (e.g. sediment flux, bank stability) are 56 absent from most frameworks and process is more often inferred. An unfortunate effect of these criticisms is that stream channel classification frameworks may not be applied for what they 57 58 deliver, but for perceptions of past applications. Important decisions about why stream 59 classification is being undertaken in a watershed are often poorly defined at the outset, leading to 60 difficulty in choosing a framework that will best achieve the intended goals and deliver the 61 information required.

62

63 The lack of acknowledgement and understanding of the core underlying principles of each
64 framework, and for what purpose they were designed, has been lost in a broader debate involving

3

74

65 the relative merits of individual classification frameworks [*Tadaki et al.*, 2014]. The discussion of appropriate channel classification frameworks has been frequently subsumed in a broader 66 conversation on stream restoration [Wilcock, 1997; Lave, 2008; Lave, 2009]. This debate weighs 67 the relative merit of 'process-based' restoration approaches against others that are viewed as 68 69 simpler, 'form-based' approaches. We argue that this broader debate has been ambiguously 70 executed and often simplistically identifies any restoration that leverages channel type classification for context as 'form-based.' We do not digress into this broad restoration debate 71 72 here, but instead attempt to evaluate the requirements of, and agreement between, classification 73 frameworks that can inform such channel restoration.

75 The 'best' classification framework is not simply the least-criticized, nor the oldest, the most 76 popular, or the easiest to implement. In a long and fruitful history of disagreement between proponents and detractors of particular classification frameworks, it would appear that the one 77 78 tenet upon which all frameworks agree is that *geomorphic context matters* in terms of separating 79 channel reaches [Buffington and Montgomery, 2013]. That is, in nearly all frameworks, metrics 80 are used which describe the capacity of a channel to perform geomorphic work and adjust within 81 a valley bottom (e.g. channel gradient, measures of valley setting or entrenchment, and sediment 82 characteristics). At the same time, competing classification frameworks produce results over 83 vastly different spatial scales and may seek to describe past or present channel condition, while 84 also requiring disparate types and amounts of input data, analysis time, and geomorphic expertise. As such, we argue that no single framework is best suited for all classification 85 86 scenarios across all stream networks, or even within a single watershed. This suggestion is 87 similar to that made by *Buffington and Montgomery* [2013] in their recent review on the

geomorphic classification of rivers. Instead the choice to employ a classification framework, and the ensuing choice of which classification to use, varies depending on the management or research objectives of a given watershed or reach-scale assessment. A secondary consideration is that the 'best' framework is the one that matches the time, data, and financial resources available to the practitioner and their level of geomorphic expertise.

93

Despite the fact that the relevance or utility of a particular classification framework may vary on 94 95 a case-by-case basis [*Tadaki et al.*, 2014], few, if any, direct comparisons have been made 96 between the application of several classification frameworks. One byproduct of this lack of a 97 direct comparison is that practitioners and researchers alike may not understand the inherent 98 uncertainty of the classified output and the relative resource commitment and insight provided by 99 one framework versus another. Instead of relying on direct comparisons of the applications and 100 trade-offs between frameworks, watershed managers may instead fall back on the framework 101 they are most comfortable with or know best. While there is a growing body of literature with 102 examples of how individual stream classification frameworks can be applied [Savery et al., 2001; 103 Thomson et al., 2004; Beechie and Imaki, 2014], here we present a direct comparison of stream 104 classification frameworks, discussing not only classification outputs, but also the process by 105 which each classification aggregates reaches into groups that reflect geomorphic processes in 106 their patterns.

107

This paper applies four classification frameworks across a watershed of high conservation
interest in the Pacific Northwest, USA. Our goal is to understand the similarities and differences
in their outputs. Where the frameworks differ, we attempt to ascertain the methodological

111 differences that lead to divergence in classification. We further explore the complexity of each 112 analysis, along with the requisite amount of time and degree of geomorphic expertise necessary 113 for successful stream classification using each framework. Herein we focus on the *River Styles* 114 Framework (RSF; Brierley and Fryirs, 2005), the Natural Channel Classification (NCC) 115 method developed by Beechie and Imaki [2014], and the popular Rosgen Classification System 116 (**RCS**; Rosgen, 1994; Rosgen and Silvey, 1996] approach to stream classification. We contrast these with a statistical classification approach that clusters field-measured, reach-scale data into groups based on channel form. While both the RSF and RCS are well known and commonly applied, the NCC framework as presented here uses elements of the *Montgomery and Buffington* [1997] framework, whereas the statistical classification we use is a good proxy for similar approaches commonly used in geomorphology (e.g. Sutfin et al., 2014) and hydrology (e.g. Coopersmith et al., 2014). This research aims to familiarize watershed scientists with select classification frameworks of the many that are available. In so doing, we anticipate that this discussion will also assist those seeking to perform stream classification in selecting a 124 125 framework that addresses the geomorphic processes at work in the watershed of interest, while 126 also matching their resources and expertise.

127

#### 128 **2. METHODS**

#### 129 2.1. STUDY SETTING

The Middle Fork of the John Day River (Oregon, USA) is 117 km long and drains 2051 km<sup>2</sup>
within the broader Columbia River Basin (Figure 1). The landscape is largely composed of
metamorphic and igneous rocks underlain by basalt and older extrusive rock, which have been
uplifted and reworked to create a watershed marked by steep-sloped canyons, deeply dissected

134 highlands, dissected tablelands, and rounded uplands containing broad meadows. The watershed 135 is generally semi-arid, receiving 560 mm of annual precipitation throughout the basin on average 136 [PRISM Climate Group, 2014]. However, the John Day Basin is also marked by distinct 137 elevation-dependent precipitation boundaries: the upper 10% of elevations receive an average of 138 880 mm of precipitation, while the lowest 10% receive 370 mm. Average annual streamflow measured at the Ritter, Oregon gauging station (USGS #14044000,  $A_d = 1334 \text{ km}^2$ ; 83 years of 139 record) is 7.36  $m^3 s^{-1}$ . This varies considerably from the spring months when snowmelt in the uplands causes peak flows that average 21.0 m<sup>3</sup>s<sup>-1</sup> to low summer base flows that average 1.1 m<sup>3</sup>s<sup>-1</sup>. Lowland vegetation is dominated by sagebrush (Artemisia sp.) and grasslands interspersed with juniper (Juniperus sp.), while uplands are comprised of forests dominated by subalpine fir (Abies lasiocarpa), Engelmann's spruce (Picea engelmannii), lodgepole pine (Pinus contorta spp. latifolia) and Douglas fir (Pseudotsuga menziesii). Riparian vegetation ranges from gallery cottonwood (Populus balsamifera) forests to alder (Alnus spp.) and willow (Salix spp.) shrublands to wetland meadows dominated by sedges (*Carex spp.*), graminoids, and forbs. 147 148

#### 149 2.2. COLUMBIA HABITAT MONITORING PROGRAM

Reductions in native fish populations throughout the Columbia River Basin, including the
Middle Fork John Day River, have led to large-scale aquatic habitat monitoring across the
Columbia Basin. In particular, steelhead trout (*Oncorhynchus mykiss*), listed as threatened under
the U.S. Endangered Species Act, have seen drastic reductions in the size of their runs [*Nehlsen*1997], presumably as a direct effect of anthropogenic habitat degradation [*Waples et al.*, 2009].
As a result, sub-watersheds throughout the Columbia River Basin have received intensive
monitoring efforts to document the status and trend of salmonid populations and habitats. For

example, the U.S. Bureau of Reclamation [2008] has completed channel classification based on
valley confinement [e.g. *Frissell et al.*, 1986] and associated geomorphic condition assessments
for selected sediments of the mainstem Middle Fork John Day River. Additional classifications
documenting the suitability of habitat for native vegetation have been performed by *Beschta and Ripple* [2005], along with assessments of morphologic variability and the presence of thermal
refugia for salmonids along the mainstem [e.g. *Torgerson et al.*, 1999; *McDowell*, 2001].

The Middle Fork John Day River is also monitored as part of the larger Columbia Habitat Monitoring Program (CHaMP; see <u>http://www.champmonitoring.org</u>). CHaMP data are collected at wadeable, perennial streams throughout the Columbia River Basin [*US EPA*, 2006]. Here we use survey data from the Middle Fork John Day River watershed collected during 2012 and 2013 (n = 33 sites). Discrete sampling reaches in the 2012-2013 dataset are twenty times as long as the bankfull channel width at each site and range from 120 to 360 meters in length. We use CHaMP data derived from field measurements of channel bankfull width and depth, gradient, substrate, and sinuosity.

172

173 2.3. THE RIVER STYLES FRAMEWORK

The River Styles framework seeks to provide a "coherent set of procedural guidelines with which to document the geomorphic structure and function of rivers, and appraise patterns of river types and their biophysical linkages in a catchment context" [*Brierley and Fryirs*, 2005]. In practice, the RSF offers the potential for a process-based, watershed-scale classification system for rivers, with implications for prioritizing their management and restoration. It consists of four distinct stages that progress from (1) classifying landscapes and current river form and function, to (2) assessing geomorphic river condition in context of reach evolution, to (3) understanding and
forecasting trajectories of river change, and (4) prioritizing catchment management. A full
description of the methods entailed in the RSF can be found in *Brierley and Fryirs* [2005]. Here
we describe the application of stage one of the River Styles framework, which has been
completed for the Middle Fork John Day River as part of an ongoing effort to contextualize sitespecific CHaMP monitoring data in a watershed-wide framework [*O'Brien and Wheaton*, 2015].
Stage one provides a baseline assessment of current reach types (referred to as 'river styles') in a
system with emphasis on longitudinal variability of stream form (i.e. longitudinal profile
analyses) along the mainstem channel and tributary network.

The RSF explicitly couples channel form and watershed process, beginning with the classification of landscape units (Figure S.1). Each landscape unit has a propensity to contain a unique distribution of river styles. Within a given landscape unit, stream reaches are classified 193 based on their valley confinement, presence or absence of floodplains, channel planform, 194 distribution of in-channel and floodplain geomorphic units, and dominant channel substrate 195 (Table S.1). In contrast to the other classification systems presented herein and those used among 196 practitioners [e.g. Rosgen, 1994; Montgomery and Buffington, 1997], there is no intrinsic limit on 197 the number of river styles that may occur in a watershed of interest. In practice, once the 198 diversity of river styles for a particular watershed is known, a river style tree (Figures S.2 - S.4) 199 can be constructed that allows for the classification of any stream segment from a finite list. The 200 top-level discriminator in the RSF is valley confinement (Figures S.2 - S.4), which Brierley and 201 *Fryirs* [2005] define as "the proportion of the channel length that abuts a confining margin on 202 either side." Therein, *confined* channel reaches abut a confining margin along more than 90% of

their length, *laterally unconfined* channel reaches abut a confining margin along less than 10% of
their length, and *partly confined* channel reaches abut a confining margin along 11-89% of their
length [*Fryirs and Brierley*, 2010b].

206

We used O'Brien and Wheaton's [2015] delineation of river styles for the MFJD where the
boundaries between landscape units were defined using geospatial datasets for elevation (10 m
and 1 m digital elevation models; *US Geological Survey*, 2014), slope, underlying geology
[*Walker and MacLeod*, 1991], dominant vegetation [*US Department of the Interior*, 2012], and
Level IV EcoRegion boundaries [*US Environmental Protection Agency*, 2013]. Following the
delineation of landscape units, individual river styles were initially digitized on the National
Hydrography Dataset (NHD; as polylines in ArcGIS; ESRI, Redlands, CA) using aerial photos
(*US Department of Agriculture*, 2012; 1 m resolution) and elevation datasets as a guide. Field
visits were conducted in the summer of 2012 and 2013 to confirm the accuracy of these
delineations, refine the distinguishing characteristics of each river style and its location in the
river style tree (Figures S.2 – S.4) and pinpoint boundaries between river styles.

218

219 2.4 NATURAL CHANNEL CLASSIFICATION

Beechie and Imaki [2014] constructed a probabilistic map of pre-disturbance, alluvial channel
planforms observed in the Columbia River Basin, USA (drainage area 674,500 km<sup>2</sup>). Beechie
and Imaki's [2014] classes include *confined* channels and four channel patterns for unconfined
reaches: *straight, meandering, island-braided,* and *braided*. These four unconfined channel
patterns are commonly identified planforms for alluvial, floodplain rivers [*Leopold and Wolman,*1957; *Schumm,* 1985; *Beechie et al.,* 2006], which have distinctly different morphology,

226

dynamics, and ecological attributes [Ward et al., 2002; Beechie et al., 2006]. In NCC, 227 confinement is considered simply as the ratio of bankfull width to valley width, and unconfined 228 channels are those where the valley floor width is more than four times the bankfull width. 229 Predictor variables in the model were based on known physical controls on channel pattern, 230 including channel gradient, discharge, valley confinement, sediment supply, and sediment size 231 [Benda et al., 2004]. Channel slope, discharge, and confinement were estimated directly from digital elevation models. Relative reach slope, percent of watershed in unvegetated alpine terrain, and percent of watershed in fine-grained erosive sediments were hypothesized to be surrogates for sediment supply and size, respectively. Relative slope is the slope of a reach minus the slope of its upstream neighbor. Positive relative slope values indicate that a reach is steeper than its upstream neighbor (likely sediment supply-limited or undersupplied), and for a given slope and discharge is likely be narrower, deeper, and more armored [Schumm, 1985; Dietrich et al., 1989], whereas negative values indicate that a reach is more likely to have low transport capacity 239 relative to bed load supply (i.e., transport-limited or oversupplied), and will likely be wider, 240 shallower, and finer grained or less armored.

241

242 For all channel segments with bankfull width > 8 m, attributes were assigned to each 200-m long 243 reach in the study area (> 2,000,000 reaches) based on available geospatial data, and adjacent 244 reaches with similar characteristics were then aggregated into sets of geomorphically meaningful 245 reaches. A sample of more than 30 relatively natural reaches of each channel pattern was 246 selected as the training data set (i.e., the natural channel pattern was not obscured by 247 contemporary land use or dams); hence, the model should predict channel patterns expected in 248 the absence of human impacts, rather than current channel form. A support vector machine

249 (SVM) classifier was used to relate all 63 possible combinations of reach attributes to channel 250 pattern using a total training data set of 147 reaches. The multiple models were evaluated using 251 cross-validation (classification accuracy), and the most accurate SVM model was then used to 252 predict channel pattern for all reaches in the study area. Bootstrapping of the final model created 253 1000 separate predictions of channel pattern for each reach, and the consistency of predictions 254 can be used as an indicator of model uncertainty for each reach. For example, if 85% of the predictions for a reach were 'braided,' we considered that reach to have a high likelihood of having a braided channel pattern. This statistical approach produces maps of (1) the most likely channel pattern for each reach in the Columbia River Basin, and (2) uncertainty in the channel pattern prediction. For channels with bankfull width < 8 m, reaches were classified as pool-riffle, plane-bed, step-pool or cascade based on channel gradient [Montgomery and Buffington, 1997].

#### 1 2.5. ROSGEN CLASSIFICATION SYSTEM

The Rosgen Classification System (RCS; Rosgen, 1994; Rosgen, 2011) is widely used to assess 262 263 channel condition and in the design of reach-scale stream restoration projects, providing a 264 standardized workflow for river classification based on a field survey of the geomorphic 265 characteristics of a particular stream reach. RCS consists of four hierarchical stages of 266 classification moving from coarse to fine spatial scales [Rosgen, 2009]. In Level I, the system uses spatial data describing valley confinement, channel planform, local soil types, hydrologic 267 268 regime, and watershed physiography to establish a broad geomorphic characterization of river 269 reaches. In Level II, the geomorphic characteristics of a site (e.g. entrenchment ratio, width/depth ratio, sinuosity, median grain size, and gradient) are assessed and a particular stream type is 270 271 assigned to the reach using the decision tree first presented by *Rosgen* [1994]. Like the RSF and

NCC, in Level II the RCS emphasizes valley setting and confinement early in the process. RCS uses a field-measured entrenchment ratio (channel wetted width at two times bankfull depth divided by the bankfull width), which is analogous to the bankfull to valley width ratio that NCC uses as a proxy for confinement. In Level III, the stream's condition is assessed based on channel planform, bed and bank stability, occurrence and type of riparian vegetation, and any alterations in flow regime. Finally, stream types delineated in Levels II and III are field-checked by direct measurements of sediment transport and size, flow, bed/bank stability, and rates of bank erosion to ensure a valid stream type classification has been made (Level IV).

We classified the 33 CHaMP reaches in the Middle Fork John Day watershed (Figure 1) using Levels I and II of the RCS. Channel form data used to complete RCS classification were collected during the summers of 2012 and 2013. We used digital elevation models, aerial imagery, and ground-based assessments to infer the Level I valley types surrounding each 285 CHaMP reach. Delineation of bankfull elevation was completed by trained technicians in the 286 field and surveyed as part of the CHaMP topographic survey. Calculations of width-to-depth 287 ratio, channel sinuosity, entrenchment ratio, and channel gradient were derived from CHaMP 288 topographic survey DEMs (0.1 m grid resolution) using the River Bathymetry Toolkit (RBT; 289 McKean et al., 2009). A bankfull water surface was derived by detrending a DEM and best-290 fitting a water stage through the measured bankfull points and examining inflections in the 291 hydraulic geometry using the CHaMP Topo Toolbar 292 (https://sites.google.com/a/northarrowresearch.com/champtools/). Measurements that typically 293 are derived from cross sections using RCS were derived from averages of 100+ of cross sections

spaced at 1-meter intervals at every CHaMP site and processed using the River Bathymetry

Toolkit. These metrics allowed us to categorize each CHaMP reach into broad level RCS stream types (A-G). By combining broad RCS stream types with median grain size data ( $D_{50}$ ) collected during CHaMP surveys, we classified each site into a final channel type according to the RCS classification. Although we did not explicitly validate our reach type delineations in the field (e.g. Level IV as described above), the wealth of on-the-ground photographs and high-resolution topographic data (0.1 m-resolution DEMs) collected as part of CHaMP surveys were used to ensure the validity of classified reaches.

#### 3 2.6 STATISTICAL CLASSIFICATION

We classified the 33 CHaMP reaches in the Middle Fork John Day Watershed by clustering reaches on their multiple instream geomorphic attributes: bankfull width, wetted width, site sinuosity, stream gradient, bankfull width to depth ratio, and  $D_{16}$ ,  $D_{50}$ , and  $D_{84}$  particle size. CHaMP metrics that reflect sediment size and channel form were selected in order to maintain consistency with data used in the classifications presented in Sections 2.3, 2.4, and 2.5. We 308 309 selected a partitioning around medoids clustering algorithm to identify clusters of distinct reach 310 types, testing for differences in stream attributes between reach clusters using PERMANOVA 311 [Anderson, 2001]. We plotted the cluster solution within a principal components analysis (PCA) 312 of the same stream channel attributes, visually comparing the classification of CHaMP reaches 313 between each method. Full clustering methods and results are presented in the supporting 314 information.

315

#### 316 2.7. SPATIOTEMPORAL SCALES OF CLASSIFICATION FRAMEWORKS

317 Each of the four classification frameworks discussed here requires data from, and produces 318 outputs at, different spatial scales and points in time. Both the requisite scale of input data and 319 the scale of output channel classifications are important when considering which framework is 320 appropriate for a particular application. The data requirements and outputs of each framework 321 are shown in Table 1. We discuss only the spatiotemporal scales of input/outputs used for the 322 stage(s) of each framework completed here. The River Styles framework requires information at the watershed scale, including data describing land cover, climate, and bedrock/surficial geology 323 (particularly for the delineation of landscape units). It requires reach-scale information describing channel confinement and the distribution of in-channel and floodplain geomorphic units, ultimately classifying current channel types continuously at the network scale, which in turn require site-level visits for validation of stream types and confirmation of the location of reach breaks.

330 Natural Channel Classification uses regional-scale input data describing slope, bedrock and 331 surficial geology, and vegetation cover to derive a continuous prediction of background (e.g. pre-332 disturbance) channel types across a large land area (here, the Columbia River Basin). The 333 Rosgen Classification System requires field-based, reach-scale measurements of channel and 334 floodplain geometry and physical characteristics (e.g. sediment size, channel gradient) to classify 335 current reach types at the site scale. Finally, statistical clustering employs similar site-scale data 336 of the user's choosing to classify current channel types at the reach scale. Here we employed 337 metrics for clustering reaches most similar to those used in the other frameworks described (see 338 Section 2.6). Note that while the RSF and NCC may be downsampled to derive discrete site-339 scale reach types from the continuous network-scale classification, it is difficult to upscale the

reach types classified by the RCS and statistical clustering to produce a continuous, network-scale classification of past or present channel types.

342

#### 343 2.8 ASSESSING CLASSIFICATION FRAMEWORK AGREEMENT

344 To compare the level of agreement between each classification framework at the 33 CHaMP 345 sites discussed in Section 2.2, we compared classifications by approximating analogous reach types between each classification framework. We began by using the eight reach types identified 346 by Natural Channel Classification, as these descriptors provided intuitive and widely-known examples of channel planforms and associated physical characteristics. For each NCC reach type, we identified the most closely related reach types from the RSF, the RCS (using top-level channel types A-G), and statistical clustering. Where available (RSF, RCS), decision trees were used to select those reach types that best approximated each NCC type based on common geomorphic metrics (gradient, geomorphic units present, planform). In the case of statistical 353 clustering, the geomorphic attributes inherent to each of the four clusters (Figure 4) were used to 354 approximate the corresponding NCC reach type. Those RSF, RCS, and statistical clustering 355 reach types that were most closely related to each NCC type were classified as being in "good" 356 agreement (e.g. all geomorphic attributes of the reach type could conceivably be present in the 357 associated NCC channel class), while those which were only marginally related to each NCC 358 class (that is, some aspects of the reach types fit with an NCC class while others did not) were 359 classified as having "moderate" agreement (Table 3). RSF, RCS, and clustering reach types with 360 no characteristics in common with NCC classes were classified as having "poor" agreement. 361 While this method is inherently qualitative, we attempted to take an inclusive approach when 362 determining agreement among reach types between frameworks, as considerable geomorphic

variability can exist across each reach type within a given framework [*Rosgen*, 1996; *Brierley and Fryirs*, 2005].

365

#### 366 **3. RESULTS**

#### 367 3.1. THE RIVER STYLES FRAMEWORK

368 In total, 14 distinct river styles were classified across the MFJD Watershed. To begin, landscape units were classified across the watershed (Figure S.1). The river styles trees showing the characteristics of each river style are shown in Figures S.2 - S.4, and the distribution of river styles within the MFJD Watershed is shown in Figure 2.1, with distinctions made based on valley confinement (confined, partly confined, laterally unconfined; Fryirs and Brierley, 2010). Overall, confined valley channels were the most common river styles across the MFJD Watershed (86% of total stream length), whereas channels in partly confined valley (8%) and laterally unconfined valleys (6%) were far less common although they comprise the majority of 376 the mainstem (Figure 3.1). Small, low-order, confined channels (boulder bed and steep 377 ephemeral hillslope river styles) comprised the majority of total stream length within the 378 watershed (68%, Table 2). Regarding the most common classifications of CHaMP sites, 33% of 379 sites were classified as partly-confined valley with low-moderate sinuosity planform-controlled 380 discontinuous floodplain reach types, 15% were classified as confined valley with occasional 381 floodplain pockets, and 12% each were classified as partly-confined valley with meandering 382 planform-controlled discontinuous floodplain and bedrock-controlled elongate discontinuous 383 floodplain reach types (Figure 3.1). Classification of all channels (approximately 4100 km total 384 length) across the MFJD Watershed required roughly three to four months to complete using 385 desktop based reach delineation and field work.

386

3

#### 387 3.2 NATURAL CHANNEL CLASSIFICATION

388 Natural Channel Classification derived nine channel patterns across the Columbia River Basin 389 [Beechie and Imaki, 2014], eight of which were predicted within the MFJD Watershed (Figure 390 2.2). By total stream length, the majority of reaches (83%) were small channels with bankfull 391 width < 8 m. Across the MFJD, 35% of the total reach length was classified as step-pool O 392 channels, and 25% classified as plane-bed channels [Montgomery and Buffington, 1997]. For 393 394 395 396 397 398 channels > 8 m bankfull width, 8% of the total reach length was classified as having a straight planform, 3% of channels classified as island-braided, and 2% classified as meandering (Figure 3.2; Table 2). The remaining reaches > 8 m were classified as confined channels because valley width was less than four times bankfull channel width [Beechie and Imaki, 2014]. With regard to the most common classifications of CHaMP sites, 25% of sites each were classified as straight or plane bed reaches, with an additional 15% of sites classified as pool riffle (Figure 3.2). 399 Classification was completed for all channels > 3 m bankfull width over the entirety of the 400 Columbia River Basin. Model development, including data collection and pre-processing -401 projecting to a common coordinate system, mosaicking of individual raster tiles - and subsequent 402 analysis required roughly two months to complete. Once data were collected and pre-processed, 403 actual model run time was approximately two days.

404

#### 405 3.3 ROSGEN CLASSIFICATION SYSTEM

- 406 We classified 11 RCS stream types within 33 CHaMP surveyed reaches in the MFJD Watershed
- 407 (Figure 2.3). The most common stream types, each containing 24% of the CHaMP reaches, were
- 408 B4 (stable plane bed with occasional pools) and C4b (low gradient, meandering, riffle/pool

409 sequences; Figure 3.3). In total, 50% of the reaches were B stream types, all of which were 410 within valley type II (colluvial, moderately steep and confined), with a single exception. C 411 stream types (sinuous, wide and low-gradient) were the next most common (27%) and E (highly 412 sinuous, coarse-fine bed), F (entrenched, wide, moderately sinuous, low gradient), and G 413 (entrenched, low-gradient, low width:depth ratio) types were the least common (3% each). Only one CHaMP site had a substantial length of side channels (24%), however the other metrics did not fit a D stream type. Therefore, we did not delineate any multi-threaded channels (RCS stream type D). Surveying of individual CHaMP sites required approximately eight hours of crew time (typically 2-4 individuals), although some of this time was spent collecting data not used in the classifications here. Subsequent manual RCS classification of all 33 CHaMP sites required about two weeks.

#### 3.4 STATISTICAL CLASSIFICATION

422 Because statistical clustering does not have an *a priori* set of outcomes, we compared multiple 423 classification results (two to ten groups of channels) from the partitioning around medoids 424 algorithm. We selected a four cluster final solution based on cluster fidelity, minimizing overlap 425 between cluster groups (Figure 4; Tables S.2. - S.4.). After plotting the final cluster solution 426 within a principal component analysis, the clustered stream channel attributes showed that each group differed based on multiple channel form attributes. Accordingly, each cluster was named 427 428 based on the dominant attributes that differentiated clusters from one another. The four final 429 groups consisted of (1) narrow, sinuous, high-gradient reaches (n=7), (2) wide, low-gradient, 430 coarse substrate reaches with high width to depth ratios (n=5), (3) high-gradient, narrow reaches 431 with moderate-sized substrates (n=16), and (4) moderate gradient, wide and sinuous, coarsesubstrate reaches (n=5; Figure 4). The number of CHaMP sites assigned into each cluster are shown in Figure 3.4. Channel clusters were significantly different from one another (PERMANOVA; p < 0.05), and particle  $D_{16}$ ,  $_{D50}$ , and  $D_{84}$  were the attributes that were most strongly correlated to the principal component analysis (Tables S.2. – S.4.). Clusters in the final four cluster solution were distinct (silhouette widths 0.24-0.60; mean width 0.41; Figure 4). The cluster group assigned to each CHaMP site is shown in Figure 2.4 and Figure S.7. Because the same CHaMP sites were classified using statistical clustering and RCS, the time spent on data collection is identical to RCS classification detailed above. Actual run time of clustering algorithms was less than one minute.

#### 4. SYNTHESIS

#### 4.1. COMPARING OUTPUTS BETWEEN CLASSIFICATION FRAMEWORKS

Stream channel classification often relies on multiple landscape, watershed, and reach-scale attributes to create pattern-based groups of reaches that reflect hydrologic, geomorphic, and often, ecological processes. Here we classified 33 individual reaches into river styles, Natural Channel Classification planform types, Rosgen Classification System classes, and statistically clustered groups of reaches. We followed each classification framework's data requirements (Table 1) in this process, relying on a mix of remotely-sensed landscape data and field-collected stream channel data.

451

The analysis of agreement between reach types of each framework used here (Section 2.8; Table
3) generally indicates that more often than not, frameworks produced reach type classifications
that were congruent with one another. When comparing the level of agreement between NCC

and each of the other three frameworks at 33 CHaMP sites (for a total of 99 comparisons), we found "good" agreement at 60 sites (61%), "moderate" agreement at 19 sites (19%), and "poor" agreement at 20 sites (Table 4). Thus, reasonable agreement was found at 80% of sites. The reasons that the reach classification of each framework does (or does not) agree with those of the other frameworks may be the result of the spatial scale of the requisite input data, the timeframe (e.g. current or historic) that each framework attempts to classify or alternatively may arise as a result of differences in the workflow of each framework. To illustrate this, here we discuss four cases exhibiting a range of agreement between frameworks (Figure 5).

At a confined valley reach on the Middle Fork John Day River (CHaMP site: CBW05583-004682), we found a B4c RCS type, wide, low-gradient statistical cluster, island-braided NCC, and entrenched bedrock canyon river style (Figure 5). The statistical classification matched the definition of a wide, low-gradient, B4c RCS channel type. While it is plausible that a B4c RCS 468 channel type and an entrenched bedrock canyon river style could be applied to the same reach, 469 the island-braided NCC classification is deserving of further exploration as it may hint at a 470 departure from historic channel condition, which NCC attempts to predict. Subsequent field 471 visits by O'Brien [Personal Communication] note that numerous deposits of legacy sediment [e.g. Walter and Merritts, 2008] above the active channel at this site, along with the wide valley 472 bottom allowing a high capacity for channel adjustment, may imply that the system was 473 474 overwhelmed by sediment during the early Holocene. As such, the pre-disturbance classification 475 of an island-braided channel using NCC may be appropriate in this case, and could hint at the 476 background morphology of the channel. Thus, the divergence in classified reach types at this site may arise as a result of NCC's attempting to discern the background, pre-disturbance channelplanform, while the other frameworks classify present channel condition.

479

480 In contrast, we found good agreement between all classification frameworks at two example 481 reaches. The first is a laterally unconfined reach on the Middle Fork John Day River (Figure 5; 482 CHaMP site: CBW05583-003826) classified as a G4c RCS type, narrow sinuous statistical cluster, pool-riffle NCC, and meandering gravel bed river style. The second site is a partly 483 confined reach on Slide Creek (Figure 5; CHaMP site: CWB05583-144394), classified as a meandering planform-controlled discontinuous floodplain river style. This site was further classified as an E4 RCS reach, pool riffle RCC type, and narrow, sinuous statistical cluster. At these locations, the combination of geomorphic characteristics produced a reach classification that was highly similar in terms of valley setting, planform, and assemblage of geomorphic units between all four frameworks. In the case of the former site, the reach occurs within a broader 490  $\sim 10$  km reach of the Middle Fork John Day that exhibits a sinuous planform in an unconfined 491 valley. The latter site also occurs in a ~5 km segment of Slide Creek that exhibits a consistent 492 meandering planform. These more longitudinally-continuous reaches are undoubtedly helpful for 493 agreement in classification among continuous frameworks (e.g. RSF and NCC) that may use 494 disparate spatial scales of data (e.g. NHD+ and field-based validation versus NHD and basin-495 scale 10 m DEMs, respectively) and derive classifications remotely prior to field-based 496 verification.

497

498 An example moderate agreement site was found in a partly confined valley setting on Slide

499 Creek (Figure 5; CHaMP Site CBW05583-013322). This reach showed different, but plausible

500 combinations of channel types. The reach was classified as a partly-confined valley with 501 meandering planform-controlled discontinuous floodplain river style - whose in-channel 502 geomorphic unit assemblage is essentially repeating pool-riffle sequences - and pool-riffle in 503 NCC, but was classified as a B4 RCS and steep, narrow statistical cluster. Reaches such as this 504 one that exhibit mixed agreement between classification frameworks highlight that subtle 505 differences in channel form, such as channel gradient and sinuosity, can lead to significant differences in the classification of an individual reach. These differences arise as a result of the hierarchical and statistical clustering classifications used here, as the order of appearance of geomorphic metrics in a decision tree can vary between frameworks and subsequently affect classification output.

Individual reaches classified into groups of similar morphologies within one framework sometimes failed to align with a comparable group under another classification framework 513 (Table 4). This pattern was most apparent in confined reach types that did not aggregate into 514 consistent groups across statistical clusters, Rosgen Classification System types, and natural 515 channel classes. For example, River Styles' confined valley with occasional floodplain pockets 516 were classified as all four statistical clusters, five different RCS reaches, and three NCC classes 517 (Table 4). In contrast, partly confined channel types were more likely to be grouped into only 518 one or two channel types from other classifications. For example, River Styles' partly confined 519 low-moderate sinuosity, planform-controlled discontinuous floodplain grouped into RCS types 520 of C4b and B4, and NCC classes of plane bed or straight planform, and steep/narrow and 521 narrow/sinuous statistical clustering classes. Additionally, the partly confined low-sinuosity 522 planform-controlled anabranching river style occurred exclusively as B4 RCS classes, straight,

narrow statistical cluster, and straight NCC. The partly confined bedrock-controlled elongate
discontinuous floodplain river style classified as slightly to moderately entrenched, moderate
sinuosity RCS types (C, B channels), and wide, low-gradient clusters, but was less consistently
grouped by NCC (straight, confined, and island braided). While strict fidelity between groups
within each classification did not occur, partly confined River Styles grouped well with the other
classifications based on their component inputs.

4.2 WHY DO CLASSIFICATION FRAMEWORKS DIFFER?

Differences in the output of classification frameworks ultimately arise because each framework emphasizes physical variables differently throughout the classification process. Although the data requirements between classification frameworks are similar, including channel planform metrics, substrate, and the ability of a channel to migrate and access sediment sources (Table S.1), the order in which these attributes appear within a particular framework's decision tree may vary markedly (see Supporting Information). For example, at the broad planform scale, the first 537 step in the differentiation of reach types within the RCS is to distinguish between single- and 538 multi-thread channels. In contrast, this characterization of channel planform is completed several 539 steps later in the River Styles framework, which instead places the greatest importance on the 540 degree of valley confinement. Both RCS and River Styles, however, make their final 541 differentiation between stream types based on the bed material texture within a reach. 542

543 Natural Channel Classification and statistical clustering, as employed here, both use field-

544 measured or remotely-sensed channel data to classify and group reaches based on their physical

similarity. Using gradient, discharge, valley confinement, sediment supply, and sediment caliber

estimated from GIS data, NCC classified historic reach types in a 147-reach training data set 546 547 before classifying an entire stream network. Each reach type was probabilistically assessed 548 through resampling procedures to provide a measure of error (uncertainty). Here, statistical 549 clustering was used with unweighted variables that estimate channel width, gradient, sediment 550 size, and ability to move laterally (sinuosity). These workflows and their predictor variables, 551 while similar to RCS and RSF in that they require reach-level data from which they fit groups, differ markedly in how they group channels. A key difference between the statistical methods 552 (NCC and statistical clustering) and RCS or RSF is that while RCS and RSF explicitly incorporate channel form (e.g. number of channels, sinuosity, entrenchment) into the classification system, the statistical methods use variables that can be expected to predict channel form (e.g. sediment size, channel dimensions, basin lithology, landscape cover).

When considering statistical approaches such as NCC and clustering as employed here, all 559 physical attributes are used in the grouping algorithm, and true hierarchical decision trees are 560 foregone. Because most statistical classification techniques computationally determine which of 561 the input variables are most important in differentiating stream types, ranking them accordingly, 562 *a priori* importance is not placed upon a given variable. While variables can be weighted in 563 clustering and machine-learning algorithms to emphasize the importance of specific processes, 564 many classifications, like NCC's support vector machine, instead use training data to fit 565 algorithms before computing classes for a data set. This approach is limited not by what variable 566 is perceived to be most important, but rather, what training data are available from which to build 567 a model. Similar constraints exist on clustering, which can only group reaches that have data 568 available. In building representative statistical classifications, having spatially-balanced,

569 randomized sampling is ideal [Stevens and Olsen, 2004]. Another key methodological 570 consideration in using statistical classification approaches is that the number of classes is often 571 determined by the strength of the fit between data and algorithm, and must be validated by expert 572 judgment of the classified statistical groups and their geomorphic likelihood. Relatively strong 573 clustering was observed here with a relatively small number of classes (four), whereas the other 574 three classification schemes had between eight and eleven classes. Accordingly, parameter and algorithm selection, data transformation or standardization can all influence how well data fits a 575 given clustering algorithm, with consequences on whether geomorphically meaningful groups are lumped or split.

More generally, the difference in the relative importance of each physical variable within a particular classification framework points to the form-process interactions that each classification method attempts to document or explain. Distinct differences are also evident when the original intent of the classification framework is considered. Some frameworks produce analyses of current reach type (e.g. RSF, RCS, statistical clustering), while others predict pre-disturbance or natural channel morphology (e.g. NCC). Differences in the temporal output of each framework may not be intuitive, but provide a critical context for interpreting and using the outputs derived [*Grabowski et al.*, 2014].

587

Likewise, in stage one, River Styles attempts to aggregate channels into current groups regardless of their condition; an assessment of channel disturbance is made in later stages, and as such there can be significant variability in the geomorphic characteristics of a single stream type.

591 Thus, the divergent temporal scales of classification necessitates the use of different datasets

592 between these classifications (Sections 2.2 through and 2.4), and as a result channel reaches are 593 classified quite differently when comparing the two frameworks depending on whether current or 594 background channel form/condition is taken into account (Figure 2). The River Styles 595 framework, while also providing a reach-scale classification, places a large degree of importance 596 on landscape-scale controls and patterns of reaches in driving channel dynamics, and thus 597 requires the integration of watershed-scale data (e.g. landscape unit delineation, Section 2.2) in concert with local valley confinement classifications. Taken to the extreme, the results of the 598 statistical clustering approach (Section 2.5) are *entirely* dependent on user-defined data inputs, and variability in the results of this classification framework can be largely ascribed to the choice of metrics fed into the classifier.

#### 5. DISCUSSION

A useful classification framework is one that aggregates channels into geomorphically - and 605 often ecologically - meaningful groups within a watershed that match the purposes of the 606 application at hand. This aggregation into groups may reflect current or historic channel 607 conditions, and should reflect geomorphic processes that control channel form and condition. 608 Our comparison of four distinct classification frameworks demonstrates that there is significant 609 overlap and agreement between the workflows in terms of basin-wide channel classification. The 610 most common classification in all four frameworks was some variant of moderate-high gradient 611 channel with coarse substrate, reflecting the high relief nature of the Middle Fork John Day 612 Basin resulting from resistant igneous and metamorphic lithologies (Figure 2, Table 2). 613 Similarly, the least common channel types in all four frameworks were those variants 614 corresponding to wide, freely meandering, low-gradient streams. Ironically, these laterally

unconfined streams are the ones most emphasized in classic channel planform classification and
fluvial geomorphology text books [*Knighton*, 1998], although they are rare in many montane
regions [*Fryirs and Brierley*, 2010]. Despite the general similarity between the classification
frameworks, different approaches can provide strikingly different answers in several cases, and
comparisons of these classification frameworks' results are not always straightforward.
Therefore, it is imperative that watershed managers understand the underlying formative
processes that control river diversity across their watershed of interest, and implement a
classification framework that best suits the aims of the classification.

For example, in watersheds heavily influenced by mill dams or beaver ponds and their associated legacy sediment deposits [Walter and Merritts, 2008; Polvi and Wohl, 2013], the NCC classification approach may not provide the most informative stream classification as this method attempts to predict pre-disturbance channel planforms. However, in cases where post-628 colonization channel and landscape alterations are so pervasive that they are reflected in DEMs 629 and vegetation data, the NCC approach will more likely predict a natural channel type expected 630 under current conditions rather than the pre-disturbance condition. In contrast, RCS or RSF both 631 lend importance to local-scale channel dimensions and, particularly in the case of RSF, the 632 patterns of river types in a system, and may be quite revealing in pinpointing stream reaches that 633 vary from expected channel forms. Such understandings are pivotal in appraising prospective 634 adjustments to rivers [Fryirs et al., 2009].

635

636 The utility of any classification is highlighted by how each framework can be applied in support637 of watershed or stream condition assessment or used to aid decision-making. While some

638

639 (e.g. statistical classifications), they can provide examples of the range of conditions within a set 640 of monitored reaches. For example, reach-level monitoring data acquired from programs like 641 EPA Wadeable stream assessment [US Environmental Protection Agency, 2006], 642 PACFISH/INFISH Biological Opinion [Kershner et al., 2004], or the CHaMP reach data used 643 here can be used to identify the range of potential channel forms via statistical classification. When channels are classified across broad spatial extents, those reach types consistent with particular process domains, and their characteristic downstream progression in a basin, can be understood [Montgomery, 1999] and anomalous or poor-condition reaches identified. Both RSF and RCS provide guidance in later stages for determining the condition of individual reaches and prioritizing channel restoration activities. Both classifications have been used in planning for watershed disturbance or restoration [Brierley et al., 2002; Hey, 2006]. NCC is a 651 historic planform classification and can be used in concert with knowledge of current channel 652 form to make inference on whether and how changes in sediment supply, floodplain access, 653 hydrology, or vegetation have led to stream degradation. Similarly, these historic forms can be 654 used as restoration baselines where known hydrogeomorphic processes can be restored. Each of 655 these classifications may also provide information regarding habitat availability or suitability for 656 benthic invertebrates, fish, or riparian vegetation, enabling holistic understanding of a stream 657 ecosystem [Thomson et al., 2004]. For example, states and transitions between river styles, 658 statistical classes, or RCS reach types may correspond to observed changes in populations and 659 communities of aquatic biota, although the degree to which these classification frameworks are

frameworks are not inherently designed to provide information about past or future condition

660 ecologically meaningful merits further research [*Thomson et al.*, 2004].

661

662 In many cases, the degree of data, time, effort, or expertise necessary for the completion of a 663 channel classification (Figure 6) may be a primary determinant of which framework is chosen. 664 Because of these issues of convenience, we caution that care must be taken to assure the 665 information provided by that framework is consistent with the driving processes most related to 666 management concerns in the watershed of interest. It is critical to specify the resolution at which the framework was used and the degree of confidence in the output data. With regard to the classification frameworks examined here, both RCS and statistical clustering are relatively straightforward in application, and require minimal time and data to complete for a set of reaches (Figure 6). The simplicity of RCS's reach-scale classification is one of the major reasons for its widespread use within the watershed management community [Palmer et al., 2005]. In our case, the RCS classification presented here (Section 2.4) required roughly three weeks to complete, excluding field data collection. Although the level of computational and statistical expertise 674 required to complete and interpret the results of a statistical clustering framework is not trivial, 675 the rapidity with which clustering or simple statistical classifications can be completed, altered, 676 and adaptively run is attractive. Once metrics are selected for use in the clustering algorithm 677 (Section 2.5), the classification can be run in a matter of minutes. It is essential to point out that in reach-level methods like RCS and statistical clustering, field-based data collection are 678 679 imperative for successful classification (and verification of reach types in the case of RCS). 680 Because we used an existing, high-resolution dataset to complete these classifications, the time 681 spent classifying reaches was greatly reduced.

682

683 In the case of RCS, the classification produced an output in which we have a high level of 684 confidence: that is, we expect the classified channel type to accurately reflect the site-level 685 conditions in nearly all classified reaches. At the same time, we note that in RCS, field-based 686 measurement and validation of classification is of high importance, and so our confidence in 687 classification output would be increased with subsequent site visits. We are somewhat less confident that statistical clustering will produce groups of channels that always reflect conditions in the field. This is because both the clustering algorithm and the choice of the number of groups - in effect, the number of representative channel forms found at individual reaches - is inherently a choice of the classifier. Much like RSF, the user is forced to compromise between selecting an informative number of classification groups and creating parsimonious groups from which to make generalization (i.e. lumping versus splitting groups), which has major implications for subsequent statistical analyses.

In contrast, the NCC framework and RSF require greater investments of time, and require greater 696 697 expertise in fluvial geomorphology to achieve meaningful classification results (Figure 6). Not 698 including algorithm refinement, the NCC classification can be completed for a large watershed 699 (e.g. data gathering, preparation, computation time) in roughly two months' time. However, 700 automated classification over broad areas means that we cannot be as confident in the validity of 701 site-level predictions when using NCC; in fact, site visits to confirm predictions of NCC may not 702 be straightforward since the framework attempts to classify pre-disturbance, and not current, 703 channel planform. Stage one of the RSF, as detailed in Section 2.2, required an investment of 704 roughly 3-4 months. This timeframe included a desktop-based classification, field-based 705 refinement of classes, and field-based ratification of reach boundaries which produced relatively

high levels of confidence in the outputs produced. In the case of NCC, computational expertise is paramount, in addition to a thorough understanding of the landscape-scale controls (independent of anthropogenic disturbance) on channel planform throughout a watershed of interest. In the case of RSF, a similar understanding of both landscape and local-scale controls on channel form is required, as is the ability to distill the formative processes within a watershed down to the most relevant geomorphic characteristics for classification. Other frameworks that are based on morphometric analyses alone may not provide nuanced process-based understanding, but come with the advantage of requiring less geomorphic expertise for completion.

Perhaps obviously, the increased amount of time and expertise required for implementation of the RSF or NCC is counterbalanced by the larger spatial extent across which either framework can be applied, creating continuous, network-scale results (Figure 2; Figure 6.3; Section 2.7), and in the case of RSF the level of process-based detail that is generated. While it would be difficult, 719 if not impossible, to upscale the results of RCS or statistical clustering to approximate a 720 continuous classification throughout a stream network, this scale of classification is a 721 fundamental component of both RSF and NCC. As such, information regarding reach-scale 722 anomalies in channel characteristics can be easily gleaned from continuous network-scale 723 classification frameworks. Placing classified sites along a continuum of channel types using RCS 724 or statistical clustering requires a full representation of the range of potential channel types. To 725 use either framework for network to watershed scale analyses would be difficult without a 726 significant increase in the amount and resolution of data collected in a watershed.

727

728 Finally, we note that one of the as-yet unmentioned hallmarks of a 'good' classification 729 framework is repeatability. That is, when confronted with the same watershed (or dataset), to 730 what degree will two individuals come to the same conclusions regarding the number of reach 731 types and their locations throughout the watershed? The answer to this question has major 732 implications for the transferability of a classification across systems and communication to 733 stakeholders. Unfortunately, this is a largely unexplored question, and must be more fully addressed before the utility of individual classifications can be assessed. Given knowledge of the prescribed workflow for each framework, we can attempt to draw inferences regarding the repeatability of each classification used herein. The reliance of NCC and statistical clustering on pre-determined algorithms indicate that they will be highly repeatable between classification runs, *provided* that the same input data (e.g. the same set of measurements) are used during each run. The number of clusters that are settled upon in a statistical clustering workflow is often reliant on a combination of fit statistics and expert judgment on the attributes being clustered, 741 which may lead to variability in the final number of reach types that are classified.

742

743 The finite number of selectable reach types in the RCS classification, along with the discrete 744 workflow and associated measurements that must be taken while working through the 745 hierarchical tree, suggest that RCS may also be highly repeatable. While inherent observer 746 variability may lead to differences in final stream type [e.g. Roper et al., 2008], Rosgen [2009] 747 argues that this issue may be corrected by increased field crew training, with particular regard for 748 the identification of bankfull discharge level, which influences entrenchment ratio. The RSF does 749 not set concrete quantitative breaks between distinguishing attributes leading to reach types (with 750 the possible exception of valley confinement; Figure S.2 - S.4) and does not set intrinsic limits

on the number of reach types that may be present within a watershed. As such, the number of,
and distinguishing factors between, basin-wide reach types using River Styles may differ
between investigators.

754

#### 755 6. CONCLUSIONS

Classification frameworks are useful for understanding the formative processes that shape 756 channels, either historically or under present conditions. Despite the utility of channel 758 classification, the debate surrounding their relative merits and focus on form versus process of individual frameworks has led to the view that some classification systems are 'better' than others. In fact, the utility of information gained from a particular classification framework depends largely on the classification's intended use. We classified both individual reaches and the full perennial stream network within the Middle Fork John Day River watershed, Oregon, USA, according to four frameworks. In general, we found that the frameworks classified reach 764 types relatively consistently. Where differences occurred between frameworks, those differences 765 could be attributed to variability in (a) the spatial scale of input data used, (b) the relevant 766 metrics and their order in completing a framework's decision tree, or (c) whether the framework 767 attempted to classify current or historic channel form. Additionally, the frameworks require a 768 range of investments of time and geomorphic expertise and result in classification at different 769 spatial scales, from discrete sites to continuous classification across a stream network. The 770 diversity of requisite input data, characteristic timeframe, and necessary investments of time and 771 geomorphic expertise imply that there is no 'best' classification framework. Here we have 772 attempted to highlight the differences so that individual practitioners and researchers can choose 773 the appropriate classification tool for their specific needs.

774

#### 775 **REFERENCES**

- Anderson, M. J. (2001), A new method for non-parametric multivariate analysis of variance,
   *Austral Ecology*, 26(1), 32–46.
- Beechie, T., and H. Imaki (2014), Predicting natural channel patterns based on landscape and
  geomorphic controls in the Columbia River basin, USA, *Water Resources Research*, 50(1),
  39–57, doi:10.1002/2013WR013629.
  - Beechie, T. J., M. Liermann, M. M. Pollock, S. Baker, and J. Davies (2006), Channel pattern and
     river-floodplain dynamics in forested mountain river systems, *Geomorphology*, 78(1-2),
     124–141, doi:10.1016/j.geomorph.2006.01.030.

Benda, L., N.L. Poff, D. Miller, T. Dunne, G. Reeves, G. Pess, and M. Pollock (2004), The network dynamics hypothesis: how channel networks structure riverine habitats, *BioScience*, 54(5), 413-427, doi: 10.1641/0006-3568(2004)054[0413:TNDHHC]2.0.CO;2.

Beschta R.L, and W.J. Ripple (2005), Rapid assessment of riparian Cottonwood recruitment: Middle Fork John Day River, northeastern Oregon, *Ecological Restoration* (23)3, 150-156, doi: 10.3368/er.23.3.150.

Brierley, G., K. Fryirs, C. Cullum, M. Tadaki, H. Q. Huang, and B. Blue (2013), Reading the landscape: Integrating the theory and practice of geomorphology to develop place-based understandings of river systems, *Progress in Physical Geography*, 37(5), 601–621, doi:10.1177/0309133313490007.

- Brierley, G.J., K. Fryirs, N. Cook, D. Outhet, A. Raine, L. Parsons, and M. Healey (2011),
  Geomorphology in Action: Linking policy with on-the-ground actions through applications
  of the River Styles framework, *Applied Geography 31*, 1132-1143, doi:
  10.1016/j.apgeog.2011.03.002.
- Brierley, G. J., and K. A. Fryirs (2005), *Geomorphology and river management : applications of the river styles framework*, Blackwell Pub., Malden, MA.

## Brierley, G., K. Fryirs, D. Outhet, and C. Massey (2002), Application of the River Styles framework as a basis for river management in New South Wales, Australia, *Applied Geography*, 22(1), 91–122, doi:10.1016/S0143-6228(01)00016-9.

Buffington, J. M., and D. R. Montgomery (2013), 9.36 Geomorphic Classification of Rivers, in
 *Treatise on Geomorphology*, pp. 730–767, Elsevier.

# Caratti, J. F., J. A. Nesser, and C. Maynard (2004), Watershed classification using canconical correspondence analysis and clustering techniques: a cautionary note, *Journal of the American Water Resources Association*, 40(5), 1257–1268, doi:10.1111/j.1752 1688.2004.tb01584.x.

- 815 816 817 818 819 820 821 822 823 824 824 825 826 827 828 829
- Chessman, B.C, K.A. Fryirs, and G.J. Brierley (2006), Linking geomorphic character, behaviour
  and condition to fluvial biodiversity: implications for river management, *Aquatic Conservation 16*(3), 267-288, doi: 10.1002/aqc.724.
- Coopersmith, E. J., B.S. Minsker, and M. Sivapalan (2014, Patterns of regional hydroclimatic
  shifts: An analysis of changing hydrologic regimes, *Water Resources Research*, 50(3),
  1960-1983, doi: 10.1002/2012WR013320.
  - B16 Davis, W. (1899), The geographical cycle, *The Geological Journal*, *14*, 481–504.
    - Dietrich, W., J. Kirchner, H. Ikeda, and F. Iseya (1989), Sediment supply and the development of
       the coarse surface layer in gravel-bedded rivers, *Nature*, *340*, 215–217.
      - Frissell, C.A., W.J. Liss, C.E. Warren, and M.D. Hurley (1986), A heirarchical framework for stream habitat classification: viewing streams ina watershed context, *Environmental Management 10*(2), 199-214, doi: 10.1007/bf01867358.
      - Fryirs, K., and G. Brierley (2010), Antecedent controls on river character and behaviour in partly confined valley settings: Upper Hunter catchment, NSW, Australia, *Geomorphology 117*, 106-120, doi: 10.1016/j.geomorph.2009.11.015.
      - Fryirs, K., G.J. Brierley, N.J. Preston, and M. Kasai (2007a), Buffers, barriers and blankets: the (dis)connectivity of catchment-scale sediment cascades, *Catena*, 70, 49-67, doi: 10.1016/j.catena.2006.07.007.
  - Fryirs, K., G.J. Brierley, N.J. Preston, and J. Spencer (2007b), Catchment-scale (dis)connectivity
    in sediment flux in the upper Hunter catchment, New South Wales, Australia, *Geomorphology*, 84, 297-316, doi: 10.1016/j.geomorph.2006.01.044.
  - Fryirs, K., A. Spink, and G. Brierley (2009), Post-European settlement response gradients of
    river sensitivity and recovery across the upper Hunter catchment, Australia. *Earth Surface Processes and Landforms*, *34*, 897-918, doi: 10.1002/esp.1771.
  - Fryirs, K., and G.J. Brierley (2001), Variability in sediment delivery and storage along river
    courses in Bega catchment, NSW, Australia: Implications for geomorphic river recovery. *Geomorphology*, 38, 237-265, doi: 10.1016/s0169-555x(00)00093-3.
  - Grabowski, R. C., N. Surian, and A. M. Gurnell (2014), Characterizing geomorphological
    change to support sustainable river restoration and management, *Wiley Interdisciplinary Reviews: Water*, 1(October), doi:10.1002/wat2.1037.
  - Hey, R. D. (2006), Fluvial Geomorphological Methodology for Natural Stable Channel Design,
     *Journal of the American Water Resources Association*, 42, 357–374.

- 842 Hough-Snee, N., B. B. Roper, J. M. Wheaton, and R. L. Lokteff (2014), Riparian Vegetation 843 Communities of the American Pacific Northwest Are Tied To Multi-Scale Environmental 844 Filters, *River Research and Applications*, doi:10.1002/rra.2815. 845 Kershner, J., B. Roper, N. Bouwes, R. Henderson, and E. Archer (2004), An analysis of stream 846 habitat conditions in reference and managed watersheds on some federal lands within the 847 Columbia River basin, North American Journal of Fisheries Management, 24, 1363–1375. 848 Knighton, D., 1998, Fluvial forms and processes: a new perspective, Arnold, New York, 400 p. 849 Kondolf, G. M. (1995), Geomorphological stream channel classification in aquatic habitat 850 restoration: Uses and limitations, Aquatic Conservation: Marine and Freshwater **()** 851 Ecosystems, 5(2), 127-141, doi:10.1002/aqc.3270050205. 852 Kondolf, G. M., D. R. Montgomery, H. Piégay, and L. Schmitt (2005), Geomorphic Classification of Rivers and Streams, in Tools in Fluvial Geomorphology, edited by G. M. Kondolf and H. Piégay, pp. 171–204, John Wiley & Sons, Ltd, Chichester, UK. Kuo, C.W., and G. J. Brierley (2013), The influence of landscape configuration upon patterns of sediment storage in a highly connected river system, Geomorphology, 180-181, 255-266, doi:10.1016/j.geomorph.2012.10.015. Laub, B. G., D. W. Baker, B. P. Bledsoe, and M. A. Palmer (2012), Range of variability of channel complexity in urban, restored and forested reference streams: Channel complexity and stream restoration, Freshwater Biology, 57(5), 1076–1095, doi:10.1111/j.1365-860 861 2427.2012.02763.x. Lave, R. (2009), The Controversy Over Natural Channel Design: Substantive Explanations and 862 863 Potential Avenues for Resolution, Journal of the American Water Resources Association, 864 45(6), 1519–1532, doi:10.1111/j.1752-1688.2009.00385.x. 865 Lave, R.A. (2008), The Rosgen wars and the shifting political economy of expertise, PhD Dissertation, University of California at Berkeley, 251. 866 867 Leopold, L., and M. Wolman (1957), River channel patterns: braided, meandering, and straight, 868 Washington, DC. McDowell, P.F. (2001), Spatial Variations in Channel Morphology at Segment and Reach 869 870 Scales, Middle Fork John Day River, Northeastern Oregon, in Geomorphic Processes and Riverine Habitat, J.M. Dorava, D.R. Montgomery, B.B. Palcsak, and F.A. Fitzpatrick (eds.), 871 872 AGU Publications. 873 McKean, J., D. Nagel, D. Tonina, P. Bailey, C. W. Wright, C. Bohn, and A. Nayegandhi (2009), Remote Sensing of Channels and Riparian Zones with a Narrow-Beam Aquatic-Terrestrial 874
  - LIDAR, Remote Sensing, 1(4), 1065–1096, doi:10.3390/rs1041065. 875

879 Miller, J. R., and J. B. Ritter (1996), An examination of the Rosgen classification of natural 880 rivers, CATENA, 27(3-4), 295-299, doi:10.1016/0341-8162(96)00017-3. 881 Montgomery, D., and J. Buffington (1998), Channel processes, classification, and response, in River Ecology and Management, edited by R. Naiman and R. Bilby, pp. 13-41, Springer-882 883 Verlag. 884 Montgomery, D. R., and J. M. Buffington (1997), Channel-reach morphology in mountain drainage basins, Geological Society of America Bulletin, 109(5), 596-611, () 885 doi:10.1130/0016-7606(1997)109<0596:CRMIMD>2.3.CO;2. 886 887 888 889 890 891 892 893 894 Nehlsen, W. (1997), Prioritizing watersheds in Oregon for salmon restoration, Restoration Ecology, 5(4S), 25-33, doi:10.1111/j.1526-100X.1997.00025.x. Newman, S., and S. Swanson (2008), Assessment of Changes in Stream and Riparian Conditions of the Marys River Basin, Nevada, Journal of the American Water Resources Association, 44(1), 1–13, doi:10.1111/j.1752-1688.2007.00134.x. O'Brien, G., and J.M. Wheaton, 2015, River Styles Report for the Middle Fork John Day Watershed, Oregon, Ecogeomorphology and Topographic Analysis Lab, Utah State University, Prepared for Eco Logical Research and the Bonneville Power Administration.

895 Palmer, M. A, E.S. Bernhardt, D. Allan, P.S. Lake, G. Alexander, S. Brooks, J. Carr, S. Clayton, 896 C.N. Dahm, J. Folstad-Shah, D.L. Galat, S.G. Loss, P. Goodwin, D.D. Hart, B. Hassett R. 897 Jenkinson, G.M. Kondolf, R. Lave, J.L. Meyer, T.K. O'Donnell, L. Pagano, and E. Sudduth 898 (2005), Standards for ecologically successful river restoration, Journal of Applied Ecology, 899 42(2), 208–217, doi:10.1111/j.1365-2664.2005.01004.x.

900 Polvi L.E., and E.E. Wohl (2013), Biotic drivers of stream planform: implications for 901 understanding the past and restoring the future, *Bioscience* 63(6), 439-452, doi: 902 10.1525/bio.2013.63.6.6.

903 PRISM Climate Group (2014), PRISM Gridded Climate Data.

904 Roni, P., T. J. Beechie, R. E. Bilby, F. E. Leonetti, M. M. Pollock, and G. R. Pess (2002), A 905 review of stream restoration techniques and a hierarchical strategy for prioritizing 906 restoration in Pacific Northwest watersheds, North American Journal of Fisheries 907 Management, 22(1), 1-20.

#### 908 Roper, B., J. Buffington, E. Archer, C. Moyer, and M. Ward (2008), The Role of Observer 909 Variation in Determining Rosgen Stream Types in Northeastern Oregon Mountain

876 Merovich, G. T., J. T. Petty, M. P. Strager, and J. B. Fulton (2013), Hierarchical classification of 877 stream condition: a house-neighborhood framework for establishing conservation priorities 878 in complex riverscapes, *Freshwater Science*, 32(3), 874–891, doi:10.1899/12-082.1.

- 910 Streams1, Journal of the American Water Resouces Association 44(2), 417–427,
- 911 doi:10.1111/j.1752-1688.2008.00171.x.
- Rosgen, D.L. (2011), Natural channel design: fundamental concepts, assumptions, and methods,
  in *Stream restoration in dynamic fluvial systems: scientific approaches, analyses, and tools*,
  Simon A, S.J., and J.M. Castro, eds., AGU Publications.
- Rosgen, D. L. (2009), Discussion "The Role of Observer Variation in Determining Rosgen
  Stream Types in Northeastern Oregon Mountain Streams" by B.B. Roper, J.M. Buffington,
  E. Archer, C. Moyer, and M. Ward, *Journal of the American Water Resources Association*,
  45(5), 1290–1297, doi:10.1111/j.1752-1688.2009.00332.x.
  - Rosgen, D. L., and H. L. Silvey (1996), *Applied river morphology*, Wildland Hydrology, Fort
     Collins CO.
    - Rosgen, D. L. (1994), A classification of natural rivers, *Catena*, 22(3), 169–199.
      - Savery, T. S., G. H. Belt, and D. A. Higgins (2001), Evaluation of the Rosgen Stream Classification System in Chequamegon-Nicolet National Forest, Wisconsin, *Journal of the American Water Resources Association*, 37(3), 641–654, doi:10.1111/j.1752-1688.2001.tb05500.x.
    - Schumm, S. (1985), Patterns of alluvial rivers, Annual Review of Earth and Planetary Sciences, 13, 5–27.
    - Schumm, S. A., and R. W. Lichty (1965), Time, space, and causality in geomorphology, *American Journal of Science*, 263, 110–119, doi:10.2475/ajs.263.2.110.
- Simon, A., M. Doyle, M. Kondolf, F. d. Shields, B. Rhoads, and M. McPhillips (2007), Critical
  Evaluation of How the Rosgen Classification and Associated "Natural Channel Design"
  Methods Fail to Integrate and Quantify Fluvial Processes and Channel Response, *Journal of the American Water Resources Association*, 43(5), 1117–1131, doi:10.1111/j.17521688.2007.00091.x.
- Stevens, D. L., and A. R. Olsen (2004), Spatially Balanced Sampling of Natural Resources, *Journal of the American Statistical Association*, 99(465), 262–278,
  doi:10.1198/01621450400000250.
- Sutfin, N. A., J. R. Shaw, E. E. Wohl, and D. J. Cooper (2014), A geomorphic classification of
  ephemeral channels in a mountainous, arid region, southwestern Arizona, USA, *Geomorphology*, 221, 164–175, doi:10.1016/j.geomorph.2014.06.005.
- 941 Tadaki, M., G. Brierley, and C. Cullum (2014), River classification: theory, practice, politics,
  942 *Wiley Interdisciplinary Reviews: Water*, 1(August), doi:10.1002/wat2.1026.
- 943

- 946 scheme, Aquatic Conservation: Marine and Freshwater Ecosystems, 14(1), 25–48, 947 doi:10.1002/aqc.585. 948 Thomson, J., M.P. Taylor, K.A. Fryirs, and G.J. Brierley (2001), A geomorphic framework for 949 river characterisation and habitat assessment, Aquatic Conservation: Marine and 950 Freshwater Research 11, 373-389. 951 952 953
- **PeerJ** PrePrints 954 955 956 957 958 959 960 961 962

944 945

Torgerson, C.E., C.V. Baxter, H.W. Li, and B.A. McIntosh (2006), Landscape Influences on

Thomson, J. R., M. P. Taylor, and G. J. Brierley (2004), Are River Styles ecologically

meaningful? A test of the ecological significance of a geomorphic river characterization

- Longitudinal Patterns of River Fishes: Spatially Continuous Analysis of Fish-Habitat Relationships, American Fisheries Society Symposium 48, 473-492.
- United States Department of Agriculture (2012), National Agricultural Imagery Program.
- US Department of the Interior (2012), LANDFIRE Existing Vegetation Type.
- US Environmental Protection Agency (2013), Level IV Ecoregions of the United States.
- US Geological Survey (2014), National Elevation Dataset.
- Walker, G. W., and N. S. MacLeod (1991), Geologic Map of Oregon.
- Walter, R., and D. Merritts (2008), Natural streams and the legacy of water-powered mills, Science, 17, doi: 10.1126/science.1151716.

Waples, R., T. Beechie, and G. R. Pess (2009), Evolutionary History, Habitat Disturbance Regimes, and Anthropogenic Changes: What Do These Mean for Resilience of Pacific 963 Salmon Populations? Changes: What Do These Mean for Resilience of Pacific Salmon, Ecology and Society, 14(1). 964

- Ward, J., K. Tockner, D. Arscott, and C. Claret (2002), Riverine landscape diversity, Freshwater 965 Biology, 47(4), 517–539, doi:10.1046/j.1365-2427.2002.00893.x. 966
- 967 Wilcock, P., (1997), Friction Between River Science and Practice: The Case of River 968 Restoration: EOS, Transactions of the American Geophysical Union, 70(40), p. 454, doi: 969 10.1029/97eo00286.
- 970
- 971
- 972
- 973
- 974

#### 975 Acknowledgements

976 Data used in this manuscript can be accessed at https://etal.egnyte.com/dl/i8zXHfzYGG. We 977 thank Brett Roper and Brian Laub for critical reviews that greatly improved the manuscript, and 978 the many collaborators involved in collection and stewarding of CHaMP data. Support for this 979 manuscript was provided by grants from the Bonneville Power Administration to Eco Logical 980 Research (BPA Project Number: 2003-017), Inc. and subsequent grants from ELR to Utah State 981 University (USU Award ID: 100652). NH-S was supported in part by STAR Fellowship Assistance Agreement no. 91768201 - 0 awarded by the U.S. Environmental Protection Agency 982 983 (EPA). This research has not been formally reviewed by the EPA, NOAA or BPA and the views expressed herein are solely those of the authors. The EPA, NOAA, and BPA do not endorse any 984 985 products or commercial services mentioned in this publication. This manuscript is a research communication of the Columbia Habitat Monitoring Program: http://CHaMPMonitoring.org. 986

#### Statement of Author Contributions

This manuscript was conceptualized by AK, NH-S and RC and written by NH-S, AK, RC, GB, TB, DLR, JMW, KF, MJ, GO, and NB. Figures and tables were made by AK, NH-S, and MJ. Data was collected, managed, and analyzed by AK, NH-S, RC, HI, MJ, TB, GO, NB. Author order was determined by coin-toss between NHS (tails) and AK (heads). Following AK and NHS, author order is presented alphabetically and reflects equal contributions to the manuscript.

994

993

**CeerJ** PreP

987

988

989

990

991 992 995 List of Tables

Table 1. Summaries of the four classification frameworks applied to wadeable streams of theMiddle Fork John Day River: River Styles, Columbia Basin Natural Channel Classification,

- 998 Rosgen Classification System, and statistical classification.
- 999

1004

1005

1006

1007

1008

1010

1011

1012

1013

Table 2. Classification results for the four methods compared here. River Styles and Columbia
Basin Natural Channel Classification are summarized across the entire network and at CHaMP
sites, while the Rosgen Classification System and clustering classifications are summarized only
for reaches with CHaMP channel data.

Table 3. Cross-walking of analogous reach types between NCC, RS, RCS, and statistical clustering based on common geomorphic attributes. Those reach types with good (G) or moderate (M) agreement are included, while those with poor agreement are not shown here, but are noted in Table 4.

Table 4. Classification results and agreement for each CHaMP site across the four classification frameworks. The table is sorted by River Styles confinement classes, River Styles channel classes, and then by statistical clusters.

Table 4. Summary of the trade-offs between methodological assumptions, data requirements, and
outputs between River Styles, Natural Channel Classification, Rosgen Classification System, and
statistical clustering methods.

1017

1018 List of Figures

Figure 1. A map of the Middle Fork John Day Watershed, Oregon, USA, including 33 Columbia
Habitat Monitoring Program (CHaMP) reaches monitored between 2012-2013. The National
Landcover Dataset (USGS) is presented as the base map to illustrate biophysical gradients across
the watershed.

1023

1030

1031 1032

1033

Figure 2. Results of the four classifications (1) River Styles, (2) Natural Channel Classes, (3)
Rosgen Classification System, and (4) statistical classification with clustering (partitioning
around medoids), mapped across the Middle Fork John Day Watershed. River Styles and Natural
Channel Classes are mapped across the entire stream network, while Rosgen Classification
System and statistical classification results are presented only for CHaMP reaches. Full River
Style and Natural Channel Class results for CHaMP reaches are presented in Table 4.

Figure 3. Percent of total network channel length and percent of CHaMP sites classified into reach types using each classification framework.

Figure 4. Principal Components Analysis (PCA) of reaches based on gradient,  $D_{16}$ ,  $D_{50}$ ,  $D_{84}$ , bankfull width, bankfull width:depth ratio, and integrated wetted width, illustrating differences between CHaMP reaches classified into four discrete groups using partitioning around medoids. Vectors of stream channel variables are plotted based on the strength of their correlation to the PCA (e.g. longer vectors are more strongly correlated to the channel form variable PCA). The first and second principal components explained 85.6% and 10.9% of the variability in the reach attribute data within the PCA.

1041

Figure 5. Four example reaches at which the four classifications had poor agreement, moderateagreement and good agreement in the observed channel planform.

1044

1045 Figure 6. Trade-offs between each of the four classification frameworks in relative bivariate

space. Trade-offs are between (1) time and data requirements required to perform a

1047 classification, (2) the amount of statistical and geomorphic expertise required by the classifying

1048 individual/organization, and (C) the complexity of analysis versus the spatial scale at which each

- 1049 framework operates. Note that all classifications require either significant data, expertise in
- 1050 statistics and/or geomorphology, and that the position of each framework in panels reflects the
- 1051 stage(s) to which their workflows were completed in this study only.

Table 1.					
Classification	Description	Examples	Data requirements	Classified	References
Framework				output	
(abbreviation)					
River Styles	A hierarchical, multi-scale	Use in river	Field, remote-sensing and other	Continuous	[Brierley and
Framework	classification scheme for	management practice	GIS data on geology,	stream	Fryirs, 2001,
(RS)	describing river character and	across NSW, Australia	hydrology, and stream	network	Brierley et al.,
	behavior. River Styles can be used	[Brierley et al., 2002,	geomorphic setting to identify	(NHD+)	2002, 2011,
	to understand river condition,	2011; Fryirs and	broad-scale to local controls on		2013; Brierley
	recovery potential and prioritize	Brierley, 2005]	river character and behavior.		and Fryirs,
	management.				2005]
	Ū.	Determined correlates to			
		downstream sediment			
		storage and landscape			
		connectivity [Fryirs and			
		Brierley, 2001, 2010;			
	Ū.	<i>Fryirs et al.</i> , 2007a, b;			
	ũ	Kuo and Brierley, 2013]			
		Ecological community			
		composition varies as a			
		function of River Styles			
		[ <i>Thomson et al.</i> , 2004;			
		Chessman et al. 2006]			
Columbia Basin	NCC is a model-based stream	A historic planform map	Remotely-sensed channel slope,	Continuous	[Beechie and
Natural Channel	classification using a machine	and dataset for the	discharge, valley confinement,	stream	Imaki, 2014]
Classification	learning (support vector machine)	Columbia River Basin	sediment supply, and sediment	network	
(NCC)	algorithm to group reaches based	[Beechie and Imaki,	size are used as predictors of	(NHD)	
	on their historic, undisturbed	2014]	channel planform in a modeling		
	planform. Divides reaches into		framework.		
	groups based on channel width				
	before sub-dividing on reach-level				
	remote sensing data.				

Rosgen	RCS is a stream-reach taxonomy	RCS can be employed to	Valley morphology for broad	Individual	[Rosgen.
Classification	based on field-collected empirical	successfully restore a	context, and reach-scale	reaches within	1994: <i>Rosgen</i>
System (RCS)	data that classifies geomorphic	reach to a reference	monitoring data to calculate	a stream	and Silvey.
~jstern (110%)	stream features to identify stream	condition, provided that	basic dimensionless metrics	network	1996]
	types by numerically bounded	the reference reach is	linking form to physical	(field-	1990]
	physical metrics. This is arguably	stable [Hey 2006]	processes	monitored	
	the most commonly used stream			reaches)	
	classification system in North	RCS stream type		reactions	
	America and the world	classifications provide			
		inferences into the			
		sensitivity of stream			
		reaches to natural			
	$\bigcirc$	channel changes			
		[Manual Changes			
		[Newman and Swanson,			
		2008j		T 1' ' 1 1	
Statistical	Statistical classification refers to	Prioritizing conservation	Requires reach-scale	Individual	Hough-Snee
Classification	any classification methods used to	and restoration within	monitoring data for "bottom-	reaches within	<i>et al.</i> , [2014];
(SC)	differentiate or group stream	mined watersheds	up" classifications. Requires	a stream	Sutfin et al.,
	reaches, watersheds, etc. based on	[ <i>Merovich et al.</i> , 2013];	remote sensing and GIS data to	network	[2014];
	multiple physical, chemical, and/or	Comparing restored,	classify reaches from the "top-	(field-	Discussed in
	biological attributes. Attributes are	forested, and urban	down" or correlate classified	monitored	Buffington
	often selected for their role in	channels [Laub et al.,	reaches to larger-scale	reaches)	and
	driving or responding to dominant	2012]; Identifying	environmental or physical		Montgomery
	processes within a catchment.	vegetation communities	processes.		[2013]
		and environmental			
		filters [Hough-Snee et			
		al., 2014]; Classification			
		of desert washes [Sutfin			
		et al., 2014]			

Table 2.						
Classification	Reach types		Total stream	% Total	% CHaMP	# CHaMP
framework			length (km)	length	reaches	reaches
River Styles	Confined	Boulder bed	1230.7	30.2	3.0	1
	valley	Entrenched bedrock	121.1	3.0	6.1	2
		canyon				
		Occasional floodplain	242.5	6.0	15.2	5
	(0)	pockets				
	1to	Step cascade	37.9	0.9	0	0
		Steep ephemeral hillslope	1542.3	37.9	0	0
		Steep perennial headwater	319.4	7.8	0	0
	Partly	Meandering planform	34.5	0.8	12.1	4
	confined	controlled discontinuous				
		floodplain				
	(discontin-	Low sinuosity planform	18.2	0.5	6.1	2
	uous flood-	controlled anabranching				
	plains	Low-moderate sinuosity	170.2	4.2	33.3	11
		planform-controlled				
		discontinuous floodplain				
		Bedrock controlled	113.8	2.8	12.1	4
		elongate discontinuous				
		floodplain				
	Laterally	Low-moderate sinuosity	31.9	0.8	3.0	1
	unconfined	gravel bed				
		Alluvial fan	49.3	1.2	3.0	1
		Meandering gravel bed	62.9	1.5	6.1	2
		Intact valley fill	99.4	2.4	0	0
Columbia	Bankfull	Straight	132.9	7.8	24.2	8
Basin Natural	width	Meandering	34.7	2.0	9.1	3
Channel	> 8m	Island-braided	42.8	2.5	6.1	2
Classification		Confined	76.5	4.5	9.1	3
	Bankfull	Plane bed	431.5	25.4	24.2	8

	width	Pool riffle		129.9	7.7	15.2	5
	< 8m	Step pool		595.3	35.1	12.1	4
		Cascade		253.7	14.9	0	0
Rosgen		А	A4			12.1	4
Classification	Entrenched	F	F3			3.0	1
System		G	G4c			3.0	1
	Moderately		B3c			6.1	2
		В	B4			24.2	8
	Entrenched	D	B4a			3.0	1
			B4c			15.2	5
			C3b			3.0	1
	Slightly	C	C4b			24.2	8
	Entrenched	Б	E3			3.0	1
		E	E4			3.0	1
Statistical	Narrow, sinuou	is (1)				21.2	7
classification	Wide, low-grad	lient (2)				15.2	5
	High-gradient,	narrow (3)				48.5	16
	Wide, sinuous	(4)				15.2	5

#### Table 3.

NCC reach type Island Braided	<i>River Styles reach type</i> Low Sinuosity Planform Controlled Anabranching (G) Intact Valley Fill (M) Alluvial Fan (M)	RCS reach type D (G)	Statistical cluster 2: Wide, Sinuous (M)
Meandering	Meandering Gravel Bed (G) Meandering Planform-Controlled Discontinuous Floodplain (G) Low-Moderate Sinuosity Gravel Bed (M) Low-Moderate Sinuosity Planform-Controlled Disc. Floodplain (M) Bedrock-Controlled Elongate Discontinuous Floodplain (M) Low-Moderate Sinuosity Gravel Bed (M)	C (G) E (G) G (M) F (M)	4: Wide, Sinuous (G) 1: Narrow, Sinuous (M) 2: Wide, Low-Gradient (M)
Straight	<ul> <li>Boulder Bed (G)</li> <li>Meandering Planform-Controlled Disc. Floodplain (G)</li> <li>Confined Valley – Floodplain Pockets (G)</li> <li>Low-Moderate Sinuosity Partly Confined Disc. Floodplain (G)</li> <li>Low-Moderate Sinuosity Gravel Bed (G)</li> <li>Alluvial Fan (M)</li> <li>Bedrock-Controlled Elongate Discontinuous Floodplain (M)</li> </ul>	A (G) B (G) G (M)	2: Wide, Low-Gradient (G) 3: Steep, Narrow (G)
Confined	Entrenched Bedrock Canyon (G) Confined Valley – Floodplain Pockets (G) Step Cascade (G) Steep Perennial Headwater (M) Steep Ephemeral Hillslope (M)	A (G) F (G) G (G) B (M)	1: Narrow, Sinuous (G) 3: Steep, Narrow (G) 2: Wide, Low Gradient (M)

Table 3. (Contin	ued)		
NCC reach type	River Styles reach type	RCS reach type	Statistical cluster
Cascade	Step Cascade (G)	B (G)	3: Steep, Narrow (G)
	Boulder Bed (G)	F (G)	1: Narrow, Sinuous
	Floodplain Pockets (M)	G (G)	
	Steep Perennial Headwater (M)	A (M)	
	Steep Ephemeral Hillslope (M)		
Pool Riffle	Meandering Gravel Bed (G)	C (G)	1: Narrow, Sinuous (G)
	Meandering Planform Controlled Discontinuous Floodplain (G)	F (G)	2: Wide, Low Gradient (G)
	Confined Valley – Floodplain Pockets (G)	G (G)	4: Wide, Sinuous
	Bedrock-Controlled Elongate Discontinuous Floodplain (G)	E (G)	
	Low-Moderate Sinuosity Planform Controlled Disc. Floodplain (M)	B (M)	
	Meandering Partly-Confined Floodplain (M)		
Step Pool	Boulder Bed (G)	B (G)	3: Steep, Narrow (G)
	Ustep Cascade (G)	F (G)	1: Narrow, Sinuous (M)
	Steep Perennial Headwater (G)	G (G)	
	Steep Ephemeral Hillslope (G)	A (M)	
	Confined Valley - Floodplain Pockets (M)		
Plane Bed	Entrenched Bedrock Canyon (G)	A (G)	3: Steep, Narrow (G)
	Confined Valley – Floodplain Pockets (G)	B (G)	1: Narrow, Sinuous (F)
	Bedrock Controlled Elongate Discontinuous Floodplain (G)	C (G)	4: Wide, Sinuous (F)
	Low-Moderate Sinuosity Planform Controlled Disc. Floodplain (G)	F (G)	
	Meandering Planform Controlled Floodplain (M)	G (G)	
	Boulder Bed (M)		
	Steep Perennial Headwater (M)		
	Steep Ephemeral Hillslope (M)		

### Table 4.

CHaMP Site ID	Stream name	UTM Easting	UTM Northing	Rosgen Class.	Statistical Clustering	Natural Channel	River Styles	River Style valley	Agreement
				System		Classes		confinement	
CBW0558	Lunch	377638	4930916	A4	Narrow,	Step Pool	Boulder Bed	CV	RS: Good
3-250506	Creek				sinuous				RCS: Mod
									Cluster: Good
CBW0558	Middle 🕥	333505	4971313	B4c	Wide, low-	Island	Entrenched	CV	RS: Poor
3-004682	Fork John				gradient	Braided	Bedrock		RCS: Poor
	Day River						Canyon		Cluster: Poor
CBW0558	Middle	337657	4968709	F3	Wide,	Confined	Entrenched	CV	RS: Good
3-021066	Fork John				sinuous		Bedrock		RCS: Good
	Day River						Canyon		Cluster: Mod.
CBW0558	Vinegar	380932	4942422	A4	Steep,	Step Pool	Floodplain	CV	RS: Mod.
3-144114	Creek \overline				narrow		Pockets		RCS: Mod.
									Cluster: Good
CBW0558	Bridge	379613	4935524	B4	Steep,	Plane Bed	Floodplain	CV	RS: Good
3-223986	Creek				narrow		Pockets		RCS: Good
									Cluster: Good
CBW0558	Butte	369488	4942756	A4	Steep,	Plane Bed	Floodplain	CV	RS: Good
3-456690	Creek				narrow		Pockets		RCS: Good
									Cluster: Good
OJD0345	West Fork	357991	4940711	B4a	Steep,	Step Pool	Floodplain	CV	RS: Mod.
8-000017	Lick Creek				narrow		Pockets		RCS: Good
									Cluster: Good
CBW0558	Dry Fork	383698	4934662	E3	Wide,	Straight	Floodplain	CV	RS: Good
3-051954	Clear				sinuous		Pockets		RCS: Poor
	Creek								Cluster: Poor
CBW0558	Granite	369068	4945617	B4	Wide, low-	Straight	Alluvial Fan	LUV	RS: Mod.
3-189938	Boulder				gradient				RCS: Good
	Creek								Cluster: Good
CBW0558	Middle	376782	4941104	C4b	Steep,	Meandering	Low-Moderate	LUV	RS: Mod.

3-449266	Fork John				narrow		Sinuosity		RCS: Good
	Day River						Gravel Bed		Cluster: Poor
CBW0558	Summit	386503	4937885	G4c	Narrow,	Pool Riffle	Meandering	LUV	RS: Good
3-003826	Creek				sinuous		Gravel Bed		RCS: Good
									Cluster: Good
CBW0558	Squaw	388721	4936107	B4c	Steep,	Pool Riffle	Meandering	LUV	RS: Good
3-358130	Creek				narrow		Gravel Bed		RCS: Mod.
									Cluster: Poor
CBW0558	Middle 🕐	378688	4939623	C4b	Steep,	Island-	Bedrock-	PC	RS: Poor
3-289522	Fork John				narrow	Braided	controlled		RCS: Poor
	Day River						Elongate		Cluster: Poor
							Discont.		
							Floodplain		
CBW0558	Middle	364436	4947549	B3c	Wide, low-	Straight	Bedrock-	PC	RS: Mod.
3-275954	Fork John				gradient		controlled	_	RCS: Good
	Day River				8		Elongate		Cluster: Good
							Discont.		
	<b>n</b>						Floodplain		
CBW0558	Middle	370912	4944299	B3c	Wide, low-	Straight	Bedrock-	PC	RS: Mod.
3-290034	Fork John				gradient	-	controlled		RCS: Good
	Day River				-		Elongate		Cluster: Good
	-						Discont.		
							Floodplain		
CBW0558	Middle	361529	4948510	C3b	Wide, low-	Confined	Bedrock-	PC	RS: Poor
3-415218	Fork John				gradient		controlled		RCS: Mod.
	Day River						Elongate		Cluster: Mod.
							Discont.		
							Floodplain		
CBW0558	Camp	352247	4942752	B4	Steep,	Straight	Low-Moderate	PC	RS: Good
3-030730	Creek				narrow		Sinuosity		RCS: Good
							Planform-		Cluster: Good
							Controlled		
							Discontinuous		

							Floodplain		
CBW0558 3-330226	Camp Creek	357015	4947826	B4c	Steep, narrow	Straight	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Good RCS: Good Cluster: Good
CBW0558 3-118770	North Fork Bridge S Creek	375925	4933066	A4	Narrow, sinuous	Step Pool	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Poor RCS: Mod. Cluster: Mod.
CBW0558 3-299658	Clear Creek	382042	4930368	B4c	Narrow, sinuous	Plane Bed	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Good RCS: Good Cluster: Mod.
CBW0558 3-438922	Dry Fork Clear Creek	384597	4933274	C4b	Narrow, sinuous	Straight	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Poor RCS: Poor Cluster: Poor
CBW0558 3-234122	Clear Creek	382238	4929332	B4	Steep, narrow	Plane Bed	Low-Moderate Sinuosity Planform- Controlled	PC	RS: Good RCS: Good Cluster: Good

							Discontinuous		
CBW0558 3-381682	Vinegar Creek	380718	4944390	C4b	Steep, narrow	Plane Bed	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Good RCS: Good Cluster: Good
CBW0558 3-383986	Camp Study	353774	4936398	C4b	Steep, narrow	Plane Bed	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Good RCS: Good Cluster: Good
CBW0558 3-404210	Vinegar Creek	379442	4940614	B4	Steep, narrow	Plane Bed	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Good RCS: Good Cluster: Good
CBW0558 3-477938	Clear Creek	381713	4935379	B4	Steep, narrow	Straight	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Poor RCS: Good Cluster: Good
OJD0345 8-000536	Vinegar Creek	378654	4940187	C4b	Steep, narrow	Plane Bed	Low-Moderate Sinuosity Planform- Controlled Discontinuous Floodplain	PC	RS: Good RCS: Good Cluster: Good
CBW0228	Summit	390544	493/0/7	C4b	wide,	Pool Riffle	Low-Moderate	PC	KS: Mod.

3-325362	Creek				sinuous		Sinuosity		RCS: Good
							Planform-		Cluster: Good
							Controlled		
							Discontinuous		
							Floodplain		
OJD0345	Camp	351579	4940332	B4	Wide,	Confined	Low-Moderate	PC	RS: Poor
8-000031	Creek				sinuous		Sinuosity		RCS: Mod.
							Planform-		Cluster: Poor
	S						Controlled		
							Discontinuous		
							Floodplain		
CBW0558	Slide	344959	4955342	E4	Narrow,	Pool Riffle	Meandering	PC	RS: Good
3-144394	Creek				sinuous		Planform-		RCS: Good
							Controlled		Cluster: Good
							Discontinuous		
							Floodplain		
CBW0558	Summit	387760	4937802	C4b	Narrow,	Meandering	Meandering	PC	RS: Good
3-429810	Creek				sinuous		Planform-		RCS: Good
							Controlled		Cluster: Mod.
							Discontinuous		
							Floodplain		
CBW0558	Slide	345607	4957140	B4	Steep,	Pool Riffle	Meandering	PC	RS: Good
3-013322	Creek				narrow		Planform-		RCS: Mod.
							Controlled		Cluster: Poor
							Discontinuous		
							Floodplain		
CBW0558	Middle	385006	4938373	B4c	Wide,	Meandering	Meandering	PC	RS: Good
3-298738	Fork John				sinuous		Planform-		RCS: Poor
	Day River						Controlled		Cluster: Good
							Discontinuous		
							Floodplain		

Table 5.		
Classification	Potential advantages	Potential drawbacks
framework		
River Styles	Explicitly uses watershed-, reach- and geomorphic unit-scale	Requires relatively high-level understanding of fluvial
	processes to classify stream segments [Thomson et al., 2004]. Bi-	and landscape geomorphology
	directional (top down/bottom up) approach captures holistic vision	
	of watershed (e.g. Kuo and Brierley, 2013)	
	Uses flexible, defined criteria of both river forms and processes to	Data-intensive; requires a combination of spatially
	identify groups of reaches and their requisite driving processes	extensive desktop data along with field-based
	[Brierley and Fryirs, 2005]	information on reach/unit-scale channel form
	Open-ended and generic approach that can be used in any	Open-ended and generic approach that can be used in
	watershed [Brierley and Fryirs, 2005]	any watershed [Brierley and Fryirs, 2005]
	Includes components for appraising channel condition, recovery	Time-Intensive; examination of spatial data and
	potential and prioritizing restoration and management [Brierley	development of river styles tree requires large time
	and Fryirs, 2005, 2008].	investment
Columbia	Spatially extensive, pre-calculated planform classification for	NCC channel classes are currently limited to the
	channels $> 3$ m in width across the Columbia River Basin.	Columbia River Basin, but the methodology is
		transferable to other locations.
	Identifies possible restoration targets where planform has been	Pre-disturbance planform may not reflect current
	modified by watershed disturbance, changes in hydrologic regime	watershed disturbances or processes. Conversely, the
	or sediment supply.	NHD channel network reflects current conditions,
		which may lead to errors in predicted natural channel
Basin Natural		pattern where channel alignment has been modified.
Channel	This classification method complements stream monitoring	Cannot be used to assess current channel condition and
Classification	programs across the Columbia River Basin that measure channel	limiting processes without additional information on
	attributes to infer habitat trend (e.g. CHaMP and PacFish InFish	stream disturbance and condition following European
	Biological Opinion; Kershner et al., 2004).	settlement.
	Machine learning workflow can be modified for other watersheds	Relies on coarse-resolution landscape and channel data
	with known relationships between landscape setting, channel	that may not be ideal for creating model training data in
		an channels and landscapes.
Rosgen	Effectively used to help develop restoration plans for stable	Metrics for stream type classification are based on

Classification	meandering gravel and cobble bed rivers, provided a correct	empirical data from selected streams [Rosgen, 1994].
System	reference reach is identified [Hey, 2006].	
	Provides a common language for specialized professionals in	Can be incorrectly applied due to seemingly 'cookbook'
	watershed science to communicate when referencing stream types	style of some reference materials [Roper et al., 2008;
	[Rosgen, 1994; Miller and Ritter, 1996].	Rosgen, 2009].
	Correctly identified stream types have inherently different	As a restoration tool, success is primarily based on
	recovery potential, sensitivity to disturbance, and interactions with	locating a stable and 'correctly identified' reference
	vegetation that can be used to inform management and restoration decisions [ <i>Rosgen</i> , 1994]	reach [Hey, 2006; Simon et al., 2007].
	Can identify relationships between many interrelated reach-scale	Can find unrealistic or hydrogeomorphically irrelevant
Statistical Classification	or watershed-scale processes [ <i>Sutfin et al.</i> , 2014].	patterns in noisy data [ <i>Caratti et al.</i> , 2004]
	Can take top-down (landscape – watershed – reach) or bottom-up	Requires <i>a priori</i> selection of important processes
	(reach – watershed) approaches [Hough-Snee et al., 2014].	within a given watershed or set of reaches.
	Numerous statistical approaches are available for clustering,	Relies on statistical expertise for effective
	classifying, and testing for between-group differences across	implementation and interpretation.
	multiple reaches.	
	Classified groups of reaches make discrete units from which	Often relies on correlations to biotic processes to
	qualitative bioassessment for aquatic biota or habitat can take	differentiate "high quality" reaches from "lower
	place.	quality" reaches.
	A long tradition in ecology, hydrology, and geomorphology has	Rapidly developing methods in statistics machine
	developed well-understood methods that can be implemented in	learning allow for "black box" correlative models that
	many software packages.	can be difficult to interpret, understand or explain to
		managers.
	Allows for user-defined watershed attributes for defining	Workflows can be time consuming and difficult to
	classification groups.	interpret to non-expert users.
	Can be used in the absence of "reference" reaches to identify	Requires moderate to large sample sizes and relatively
	typological gradients between many reaches.	high quantities of remotely sensed or field-collected
		data to find meaningful patterns at large scales.













PeerJ PrePrints | https://dx.doi.org

#### **Poor Agreement**



## **Moderate Agreement**



#### Statistical Google Earth **River Styles** NCC RCS Clustering CBW05583-013822 Meandering Pool Riffle **B**4

Planform

Controlled Discont. Floodplain Steep,

narrow

#### Good Agreement Statistical Google Earth **River Styles** NCC RCS Clustering CBW05583-003826 Pool Riffle G4c Narrow, Meandering Gravel Bed sinuous

## **Good Agreement**



👥 | C-BY 4.0 Open Access | rec: 11 Mar 2015, publ: 11 Mar 2015

