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1 Population dynamics and orientation of the central Andean cushion *Azorella compacta*

2  
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10 Running Title: *Azorella compacta* growth

11  
12 **Abstract**

13 *Azorella compacta* (llareta; Apiaceae), a large woody cushion plant, is a remarkable  
14 species forming dense cushions and characterizing the high elevation rocky slopes of the central  
15 Andean Altiplano. Field studies of an elevational gradient of *A. compacta* within Lauca National  
16 Park in northern Chile found a reverse J-shape distribution of size classes of individuals with  
17 abundant small plants at all elevations. A new elevational limit for *A. compacta* was found at  
18 5250 m. A series of cushions marked 14 years earlier showed either slight shrinkage or small  
19 degrees of growth up to 2.2 cm yr<sup>-1</sup>. Despite their irregularity in growth, cushions of *A. compacta*  
20 show a strong orientation, centered on a north-facing aspect and angle of about 20° from  
21 horizontal. This exposure to maximize solar irradiance closely matches previous observations of  
22 a population favoring north-facing slopes at a similar angle. Populations of *A. compacta* appear  
23 to be stable, or even expanding, with young plants abundant and recolonization of disturbed  
24 habitats.

25 **Key words:** Andes, Parque Nacional Lauca, cushion plant, puna, growth rate

## 32 INTRODUCTION

33 *Azorella compacta* (Apiaceae), a large woody cushion plant, forms an iconic species of the  
34 Altiplano Plateau of northern Chile, Bolivia, Argentina and Peru (Kleier & Rundel 2004).  
35 Known locally as *llareta*, it forms broad irregular cushions that commonly reach diameters of 3–  
36 4 m, or much more, on rocky slopes at high elevations. Its range extends across the Altiplano  
37 Plateau of the south-central Andes from southern Peru through western Bolivia and into the  
38 northeastern Chile and north-western Argentina (Martinez 1993). The species only rarely occurs  
39 below 4000 m and an upper elevational limit of 5200 m has been reported (Halloy 2002), making  
40 it one of the highest occurring woody plant species in the world.

41 *Azorella compacta* forms unusual bright green woody mounds on steep rocky slopes where  
42 few other plants survive (Fig 1a). Its surface consists of thousands of small stems that grow so  
43 tightly together that the plants have the consistency of smooth, green wood (Fig. 1b). While  
44 there are other cushion plants that have a similar growth form in the Andes and other parts of the  
45 world such as New Zealand, there are none that grow as large. Individual *A. compacta*, perhaps  
46 in some cases resulting from the merger of several individuals, can form an irregular but  
47 continuous cushion up to 10 m or more across. Previous research on *A. compacta* has described  
48 microhabitat selection, population structure, germination rate, and growth rate of the plants  
49 (Kleier & Rundel 2004), as well as the ecophysiology and energy balance of the *A. compacta*  
50 cushions (Kleier & Rundel 2009). There have also been concerns about conservation of the  
51 species due to past major harvesting of *llareta* for fuel in the early and mid-20<sup>th</sup> century, and *A.*  
52 *compacta* continues to be classified as a “data deficient” species (IUCN 2012).

53 The present research continues a long-term study of *A. compacta* begun in 1998, and expands  
54 existing data for growth rates in these cushions by revisiting plants marked 14 years earlier. A  
55 second objective was to broaden a community survey to better understand patterns in population  
56 structure by sampling an elevation gradient of *A. compacta* populations extending from  
57 elevations of 4400-5250 m. Finally, previous investigations on the significance of energy balance  
58 in cushion establishment (Kleier & Rundel 2009) were expanded to look at aspects of orientation  
59 and solar irradiance in mature cushions.

## 61 METHODS

### 62 Site description

63 Field studies were carried out in Lauca National Park, a protected area located 145 km east of the  
64 coastal city of Arica and adjacent to both Peru and Bolivia. The park covers 1379 km<sup>2</sup> of land  
65 classified as the central Andean dry puna (McGinley 2009), with elevations ranging from 3220-  
66 6342 m. A UNESCO World Heritage site, the park is renowned for high-altitude lakes Chungará  
67 and Cotacotani, a rich diversity of wildlife and flora, a spectacular backdrop of high volcanoes,  
68 and the small village of Parinacota with its 17<sup>th</sup> century church (Rundel & Palma 2000). The  
69 broad Altiplano Plateau in Lauca National Park lies largely at elevations of 4400-4900 m  
70 elevation, but with higher volcanic slopes which are home to extensive populations of *Azorella*.

71 The Andean Cordillera in the study region consists of folded and faulted Cretaceous and  
72 Tertiary sediments mixed with former volcanic centers of activity. Highway 11 crossing Lauca  
73 National Park reaches as high as 4600 m before dropping to 4500 m at Lago Chungará. Much of  
74 the substrate geology in the study region is formed by a chain of deeply eroded Miocene  
75 volcanoes, which make up the western margin of the Lauca Basin, and which are sometimes  
76 termed the Chilean Western Cordillera. The most prominent peaks are the Nevados de Putre  
77 (5775 m) and Cerro Belén, Cerro Tallacollo, Cerro Orotunco, and Cerro de Anocarire all of  
78 which reach above 5000 m. Several relatively young volcanic cones rise above the Altiplano  
79 plateau, including the Parinacota (6342 m), Ponerape (6240 m), and Guallatire (6063 m) within  
80 Lauca National Park (Rundel and Palma 2000).

81

## 82 **Demography and elevational range**

83 To assess elevational gradients in population structure, we measured 406 cushions sampled in 30  
84 separate 100-m line transects established on rocky slopes with *A. compacta* populations  
85 throughout Lauca National Park. The lowest elevation transect was at 4247 m and the highest at  
86 5182 m. The line intercept of each *A. compacta* cushion along these transects was recorded to the  
87 nearest cm. Each cushion was measured along two orthogonal axes, roughly corresponding to the  
88 greatest width and length, to provide a squared estimate of surface area (Kleier & Rundel 2004).  
89 The tape measure was allowed to follow the surface of the plant to account for irregular planar  
90 features. This was necessary as some plants have more undulations within them than others. GPS  
91 measurements were made to record the latitude, longitude, and elevation at the beginning point  
92 of each transect.

93 Elevational transects were extended on two different peaks, an unnamed peak that  
94 Corporación Nacional Forestal de Chile (CONAF) rangers called Cerro Apacheta Choquelimpie  
95 (5289 m) and Cerro Larancaugua (5447 m), to visually search for the highest occurring  
96 individual of llareta. Access was restricted by heavy snow and ice cover and avalanche risk to  
97 two higher peaks, Volcán Parinacota and Volcán Pomerape.

98

### 99 **Determination of growth rate**

100 The growth rate of *A. compacta* was determined by changes in dimensions of marked individuals  
101 that were first tagged in 1998, measured again in 2000 (Kleier and Rundel 2004), and resampled  
102 in January 2012. These plants are located approximately 2.5 km northwest of the village of  
103 Parinacota along the path to Lagunas Cotacotani (18° 12.554' S and 69° 16.132' W) at an  
104 elevation of 4454 m. Although 100 plants in four separate plots were originally marked, only 9  
105 of the marked plants within one plot were able to be relocated. Presumably, *A. compacta*  
106 completely grew over at least some of the permanent tags of the remaining plants). However, one  
107 plot of tags was removed between 1998 and 2000, and it is likely that more were removed  
108 between 2000 and 2012, due in part to controversies regarding ownership and control of park  
109 land. In 1998, park staff indicated that the proposed plots would be located on public land.  
110 However, in 2012, we found several painted messages denoting the area as private property.  
111 While removal of the tags lessened the value of data gained from the plots, it indicates  
112 underlying desires of local Amayara populations to own and protect the park's resources.  
113 Notably, we found no signs of recent llareta harvesting in this area.

114 For the nine remaining tagged plants, we measured length and width in orthogonal axes  
115 across the apex of the cushion, perimeter, and height, which was determined from the apex of the  
116 cushion to the nearest western edge. We also noted any dieback (increase in dead tissue) and the  
117 presence of flowers or fruits.

118

### 119 **Statistical analysis**

120 For demography data, we used SPSS version 19 (IBM, USA). We used Pearson Correlation to  
121 determine if there were more plants at higher elevations and to determine if plants were smaller  
122 at higher elevation. Because we only had nine individuals to assess a long term growth rate, we

123 used bootstrap analysis in R to expand the variation to a hypothetical population of 10,000 plants  
124 and assessed 95% confidence intervals of growth around the mean and median.

125

## 126 **Cushion orientation**

127 The aspect and the angle from horizontal that maximized the projected area of individual *A.*  
128 *compacta* cushions were determined visually with a compass and clinometer. After an isolated  
129 cushion was identified in a flat area without significant influence from local terrain, a raster-like  
130 approach was used. The assistant stood approximately 2 m from the individual cushion at a low  
131 angle (crouching) and walked in an arc around the plant, visually gauging the projected area at  
132 different aspects. When an aspect had been determined that maximized the projected area of the  
133 cushion at the low angle, the angle was increased (the assistant stood at an increased height off  
134 the ground), and the process was repeated until a maximum projected area was determined for all  
135 aspects and angles. A transect line was then used to connect the center of the individual cushion  
136 to the point in space that maximized the visual projected area of the cushion and the aspect of  
137 that transect line and the angle from horizontal was measured (Fig. 2). The same field assistant  
138 was employed for all measurements to avoid changes in bias between individual measurements.  
139 The declination from magnetic north of 5.33° W was determined for latitude 18°12'6.70" S,  
140 longitude 69°16'5.16" W for January 6, 2012 using the online NOAA Estimated Value of  
141 Magnetic Declination Calculator <<http://www.ngdc.noaa.gov/geomag-web/#declination>>.

142

## 143 **RESULTS AND DISCUSSION**

### 144 **Demography and elevational distribution**

145 A histogram demonstrates that the smallest size classes of *A. compacta* are most common at all  
146 elevational ranges (Fig. 3). This trend is the same when plants were measured using perimeter,  
147 instead of area. The mean canopy area for the 406 cushions measured, calculated as length x  
148 width, was 29 m<sup>2</sup> (± 2.10 SEM). A Pearson correlation analysis found a slight ( $r = 0.129$ ), but  
149 significant ( $p = 0.009$ ), negative relationship between elevation and size of plants. However,  
150 much of this pattern is due to the presence of a number of very large plants in transects sampled  
151 above 5000 m. The number of plants per 100-m transect ranged from 6 to 24, with a mean of  
152 13.5 plants, and the number of plants per transect did not significantly correlate with elevation.

153 Our field measurements included a new high altitude record for llareta, sampling *A.*  
154 *compacta* at an elevation of 5250 m, 50 m higher than previously reported (Halloy 2002). The  
155 species almost certainly grows at even higher altitudes on the slopes we surveyed, but a deep  
156 snowpack at the time of sampling restricted access.

157

### 158 **Growth rate**

159 The changes in perimeter over 14 years for the nine plants that were tagged in 1998 are shown in  
160 Table 1 and Fig. 4. A bootstrap analysis to determine a 95% confidence interval of growth rate  
161 around the mean and the median based on 10,000 replicates found a range from  $-2.86 \text{ cm yr}^{-1}$  to  
162  $0.55 \text{ cm yr}^{-1}$ . The negative growth rates resulted from a decrease in the individual perimeter over  
163 the sample interval. When the median was used for bootstrapping, the 95% confidence intervals  
164 around perimeter growth ranged  $-2.55 \text{ cm yr}^{-1}$  to  $2.09 \text{ cm yr}^{-1}$ . These changes in perimeter  
165 corresponded to a radial growth rate of  $-0.5$  to  $0.4 \text{ cm yr}^{-1}$ .

166 Despite these slow rates of mean growth, we also found that individual *A. compacta* can  
167 grow significantly more quickly under some conditions. As an example of rapid growth, we  
168 observed a semi-rectangular individual 20 cm by 40 cm, with a perimeter of 110 cm growing in a  
169 ditch on the side of the Highway 11 (Fig. 5). The ditch was presumably created when the  
170 highway was repaved in 1996. Thus, this individual is at most 16 years old and would have a  
171 minimum estimated growth in perimeter of 6.88 cm per year. It is possible that young *A.*  
172 *compacta* may grow more quickly in a planar fashion, while older plants allocate more growth to  
173 vertical changes in surface area; thus, increased water availability due to runoff may allow for  
174 increased growth rates.

175

### 176 **Orientation of growth**

177 Despite their seemingly irregular surface, the orientation of *A. compacta* cushions showed strong  
178 patterns favoring the maximum exposure of cushion surface area to annual solar radiation. The  
179 mean aspect of this orientation was to the north, with 60% of the plants sampled having a  
180 maximum exposure facing  $-30$  to  $30^\circ$  from true north. No plants had a maximum exposure of  
181 surface area that was more than  $90^\circ$  from north (Fig. 6). The angle of maximum exposure  
182 similarly showed a marked orientation with a mean inclination of about  $20^\circ$  from horizontal.  
183 Almost 60% of cushions has an angle between  $16$ - $30^\circ$  (Kleier & Rundel, 2009).

184 This orientation of exposure and inclination not only maximizes solar irradiance over the  
185 course of the year, but smoothes out much of the seasonal swings in irradiance that occur on a  
186 normal surface. Although an equivalent angle of inclination with a south-facing exposure would  
187 add up to 20% greater irradiance in summer, this orientation would receive less than half of the  
188 winter irradiance received by the north-facing exposure.

189

## 190 **GROWTH AND POPULATION DYNAMICS**

191 The large area of many *A. compacta* cushions is not unique within this interesting genus.

192 Continuous mats of *Azorella selago* on the subantarctic Marion Island can be tens of meters  
193 across (Huntley 1972), although these broad mats have been shown to often consist of multiple  
194 individuals grown together (Mortimer et al. 2008). A similar pattern of merged canopies is likely  
195 present in *A. compacta*. For *A. selago*, smaller round cushions are found growing at all angles to  
196 the slope, but as cushions become larger and more elongated, growth is oriented vertically  
197 perpendicular to the plain of steeper slopes (Boelhouwers et al. 2000). *Azorella monantha* in the  
198 central Andes of Argentina occur as broad carpets that grow over all manner of objects including  
199 rocks, debris and other plants (Méndez 2011).

200 Population structure in *A. compacta* follows the same trend of a reverse J-shaped curve of  
201 population distribution that was noted in 2000, with many smaller plants in what appears to be a  
202 pre-reproductive stage, i.e. < 2 m perimeter (Kleier & Rundel 2004), and this pattern is repeated  
203 at all elevations including those growing above 5000 m. This population structure suggests that  
204 there is some degree of regular success in the establishment of cushion seedlings. There are  
205 clearly tradeoffs between seedling establishment and life span in many alpine plants, but many  
206 cushion plants seem able to maintain such success as well as great longevity. Similar population  
207 structures have been reported for *Azorella madreporica* in the high Andes of central Chile  
208 (Fajardo et al. 2008), *A. selago* in the subantarctic Indian Ocean (le Roux & McGeoch 2004),  
209 and in the closely related *Llaretia acaulis* in the Andes of central Chile (Armesto et al. 1980).  
210 Similarly, cushions of *Eritrichium nanum* in the Austrian Alps exhibit a reverse J-shaped curve  
211 of population distribution (Zoller & Lenzin 2004). Other high elevation woody species have been  
212 shown to exhibit similar trends of a reverse J-shaped population structure. The dwarf tree  
213 *Polylepis tarapacana* (Rosaceae) that co-occurs with *A. compacta* has a similar size class



214 distribution with many young plants across a similar elevational gradient (Hoch & Körner,  
215 2005).

216 Such size-age structure can be readily maintained by episodic but frequent seedling  
217 recruitment, followed by relatively low rates of mortality once these seedlings are established  
218 (Doak & Morris 2010). Poor recruitment of seedlings in the temperate alpine cushions *Minuartia*  
219 *obtusiloba* and *Paronychia pulvinata* is balanced by an estimated longevity of 200 and 324  
220 years, respectively (Forbis & Doak 2004). Similarly, the alpine cushion *Silene acaulis* in the  
221 Pyrenees Mountains has irregular seedling establishment but life spans in excess of 300 years  
222 (Morris & Doak 1998, García et al. 2002).

223 Our findings of slow or even negative growth rates for *A. compacta* are supported by our  
224 previous research which reported very low rates of radial growth. We previously reported a mean  
225 radial growth rate of 1.46 cm yr<sup>-1</sup> over 14 months (Kleier & Rundel 2004). The large size and  
226 slow rates of growth established for *Azorella* clearly indicate a great age of centuries or more for  
227 the larger cushions. The current studies of growth averaged over 14 years have shown slow but  
228 variable rate of radial growth from shrinkage, despite the woody structure of the cushion, to  
229 about 0.4 cm yr<sup>-1</sup>, although it is based on a small sample size. Salguero-Gómez and Casper  
230 (2010) illustrate the need to keep plant shrinkage in demographic models.

231 Other studies have suggested even lower growth rates for *A. compacta*. Ralph (1978)  
232 reported annual radial growth averaging about 1.4 mm yr<sup>-1</sup>. Halloy (2002) reported average  
233 radial growth of 1.55 mm yr<sup>-1</sup>, but also found that individual plants could grow at rates up to 12.3  
234 mm yr<sup>-1</sup>, consistent with our observations of faster growth in young plants. Radial growth rates  
235 for cushions of *A. monantha* in the central Andes of Argentina are 1.15-1.90 cm per year  
236 (Méndez 2011), while *A. selago* on sub-Antarctic Marion Island ranged from an average of 0.28  
237 cm per year (Frenot et al. 1993) to 0.426 cm per year (le Roux & McGeoch 2004).

238 Growth in *A. compacta* is seasonal (Halloy 2002), reflecting the highly seasonal summer  
239 precipitation regime of its habitat. Although the Altiplano climate regime presents favorable  
240 daytime temperatures for growth throughout the year, two-thirds of the annual precipitation falls  
241 in January and February, with a long dry season from April through November that accounts for  
242 only 4% of the total.

243 Slow rates of radial growth have been reported in other alpine cushion plants. *Silene acaulis*  
244 in the Rocky Mountains which has been reported to have a radial growth rate of 1.0-1.5 cm yr<sup>-1</sup>

245 (Benedict 1989), and the arctic cushion *Diapensia lapponica* has a mean radial growth rate of  
246 only 0.6 mm yr<sup>-1</sup> (Molau 1996).

247 Our study also indicated that the way plants are measured changes overall growth rate  
248 substantially. Several authors have noted that growth in *A. monantha* mats is not equal rates in all  
249 directions (Halloy 2002, Méndez 2011), supporting our concerns about the manner in which  
250 growth rates should be measured. Unlike growth measurements of the temperate cushion *Silene*  
251 *acaulis* (Morris & Doak 1998), *A. compacta* cannot be measured in simple terms of radial  
252 growth because this omits volume of the plant. Likewise, growth measurements of the congener,  
253 *A. selago*, were analyzed by using height (le Roux & McGeoch 2004), but that is not possible  
254 with *A. compacta* because the cushion is too dense and often forms over small boulders. To take  
255 a height measurement, a hole would have to be drilled through the plant. As *A. compacta*  
256 contains more three-dimensional volume than most cushion plants, further ontogenetic models  
257 are necessary to determine more robust growth rates.

258 *Azorella compacta* was found up to an elevation of 5250 m in our study, which is higher than  
259 the previously recorded elevation by Halloy (2002). The individual found at this elevation was  
260 not flowering and was of a size < 2 m perimeter that may not be reproductive (Kleier & Rundel  
261 2004). We did not note a significant trend in smaller cushions with increasing elevation, and thus  
262 failing to provide evidence of plants at higher elevations in response to climate change (Lenoir et  
263 al. 2008). A change in morphology to shorter and broader canopy architecture with increasing  
264 elevation has been noted for co-occurring high elevation trees of *Polylepis tarapacana* (Macek et  
265 al. 2009).

266

## 267 **ORIENTATION**

268 Microsite selection by *A. compacta* strongly favors establishment at the base a moderate to  
269 large-sized boulders, and preferentially on the north-facing side (Kleier & Rundel 2004).  
270 Nowhere in our surveys have we observed individuals growing in sandy soils without boulders  
271 present. One potential advantage of such positions would be that heat storage in boulders could  
272 provide some benefit to adjacent seedlings in buffering diurnal changes in soil temperature  
273 (Poesen & Lavee 1994). Positions adjacent to boulders may also offer favorable conditions of  
274 water availability in arid and semi-arid regions. Boulders can influence surrounding hydrology  
275 by collecting surface flow, slowing evaporation caused by soil warming, and condensing

276 moisture in the evening at the rock/soil interface (Flint & Childs 1984; Nobel et al. 1992; Poesen  
277 & Lavee 1994).

278 However, the strong and significant correlation of establishment on the north side of boulders  
279 (Kleier & Rundel 2004) suggested that energy balance may be a more significant factor in  
280 microhabitat selection. *Azorella compacta* cushions, despite their irregular form, strongly favor  
281 maximizing exposure of surface area to solar radiation. Cushions are oriented to favor an  
282 exposure to the north at angle centered on about 20° from horizontal. This orientation at 18°S,  
283 well within the Tropic of Capricorn, favors solar radiation input. This conclusion was reinforced  
284 by Kleier and Rundel (2009) who demonstrated the significance of slope exposure and azimuth  
285 as components of seasonal patterns of irradiance. Models of total daily solar irradiance over a  
286 seasonal cycle showed that north-facing slopes at a slope angle of 20°, very close to the favored  
287 slope angle for *Azorella* establishment (Kleier & Rundel 2009), received more annual irradiance  
288 than those on horizontal or south-facing slopes of the same angle.

## 289 290 **CONCLUSIONS**

291 Although populations of *A. compacta* appear to have rebounded well since heavy harvesting  
292 of the cushions for fuel in the early and middle parts of the last century, growth rates of  
293 individual plants are clearly very slow. Low growth rates for *A. compacta* led Alliende and  
294 Hoffman (1983) to consider that the species could become threatened under conditions of  
295 continued harvesting for fuel. Likewise, Benoit (1989) concluded that the species is vulnerable in  
296 Chile. However, we have observed large reproductive populations of *A. compacta* across Lauca  
297 National Park, and little evidence of significant harvesting. At least in this area, the future  
298 survival of *A. compacta* does not appear to be under significant threats from direct human  
299 interactions. However, global change may present some level of unknown threat as Andean  
300 communities are pushed to higher elevations. Global change modes predict a 3 to 4 °C rise in  
301 temperatures in the central Andes (Anderson et al. 2011), which might well shift elevational  
302 distributions several hundred meters higher.

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392 high alpine, non-clonal cushions of *Eritrichium nanum* (Boraginaceae). Flora 199:398-  
393 408.
- 394  
395

396 Table 1. Representing measured perimeters of individual *Azorella compacta* in 1998 and  
 397 resampled in 2012.  
 398

Individual	1998 perimeter (m)	2012 perimeter (m)	Change in perimeter (m)
1	0.78	0.88	0.1
2	4.3	4.23	-0.07
3	6.13	5.23	-0.9
4	1.15	1.11	-0.04
5	3.61	3.92	0.31
6	5.12	5.37	0.25
7	4.47	4.3	-0.17
8	8.64	8.25	-0.39
9	5.51	4.94	-0.57
Average ± SD	4.41 ± 2.42	4.25 ± 2.24	-0.16 ± 0.39

399  
 400

401 List of Figures

402

403 Figure 1. *Azorella compacta*. a) irregular cushion form of growth; b) surface of male cushion.

404

405 Figure 2. Sampling design for measuring cushion angle and azimuth of orientation.

406

407 Figure 3. Relative proportion of cushion sizes in three groups of elevational populations of  
408 *Azorella compacta*.

409

410 Figure 4. Comparison of surface area, calculated as length x width, for *Azorella compacta* in  
411 1998 (closed circles) and 2012 (open circles); n =9.

412

413 Figure 5. *Azorella compacta* growing in a ditch alongside Highway 11, which connects La Paz,  
414 Bolivia and Arica, Chile. The highway was paved in 1996, presumably when the curb was  
415 constructed, and thus this plant is at most 16 years old. Photo was taken January 5, 2012.

416

417 Figure 6. Relative distribution of orientation by cushions of *Azorella compacta*: a) orientation by  
418 aspect; b) orientation by angle from horizontal.

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Figure 1a.

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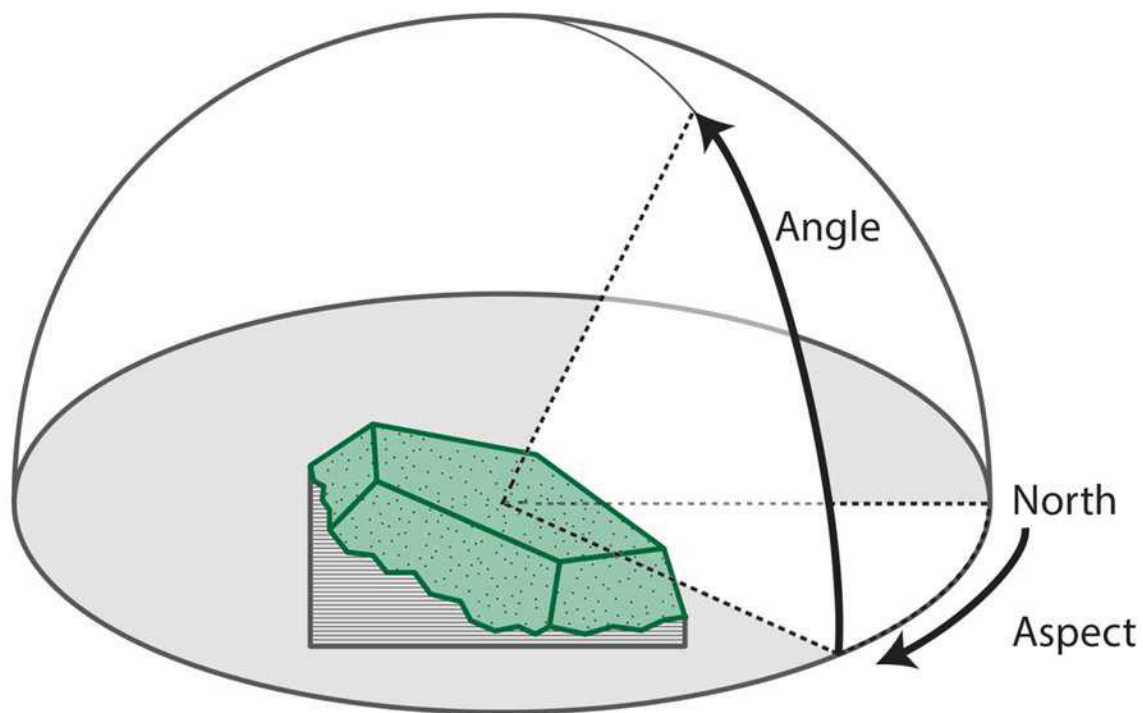
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Figure 1b.

427

428 Figure 2.



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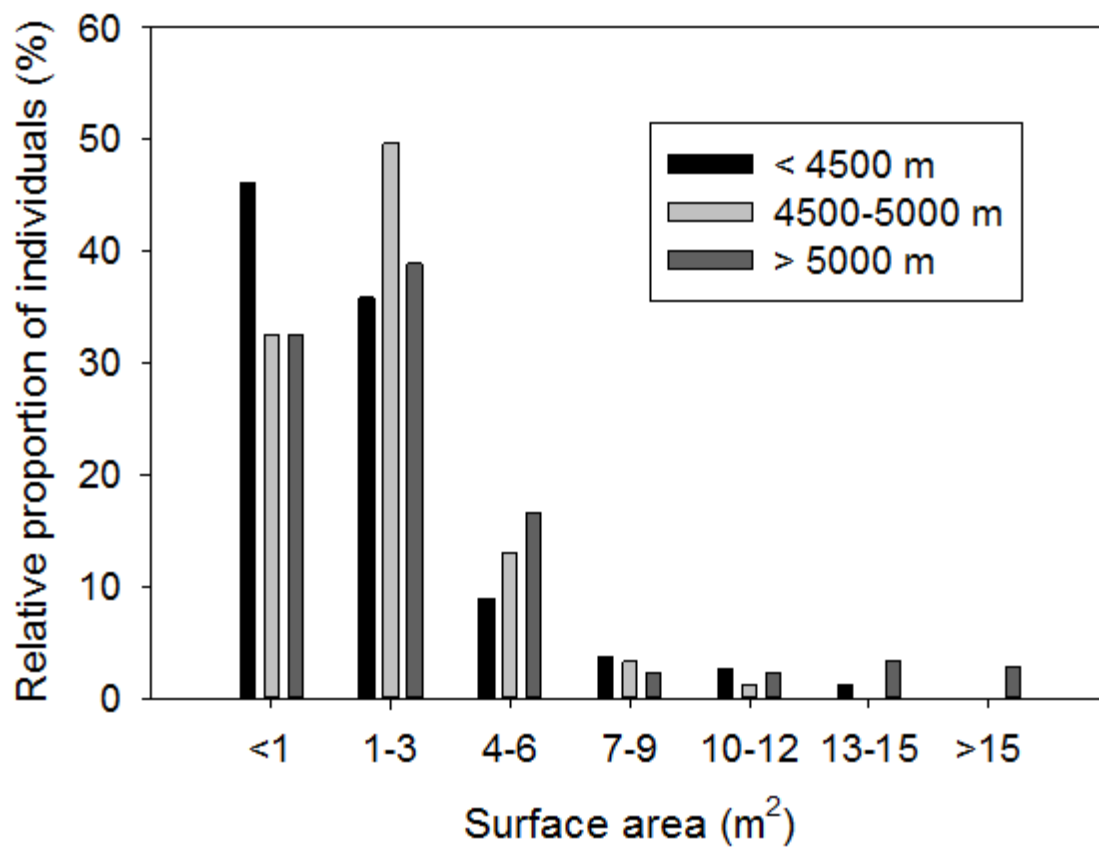
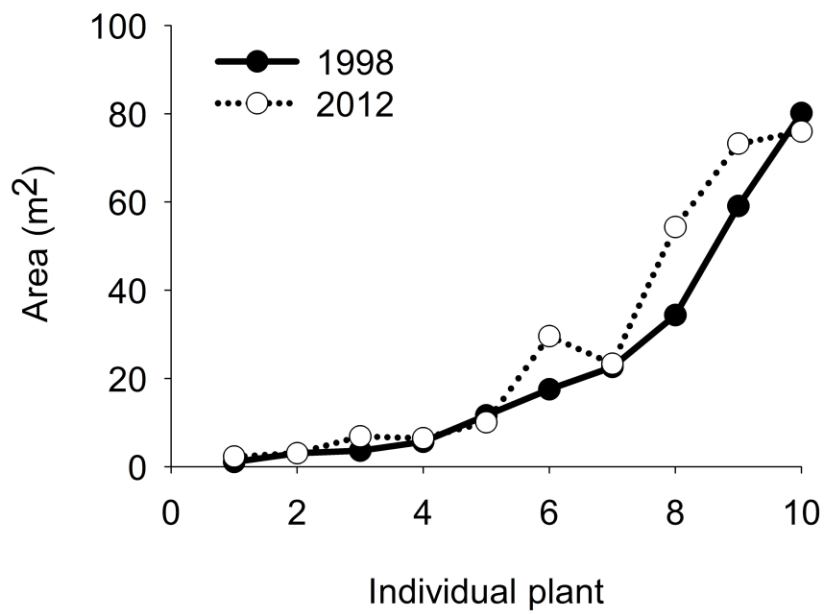


Figure 3.



431

432 Figure 4.

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Figure 5.

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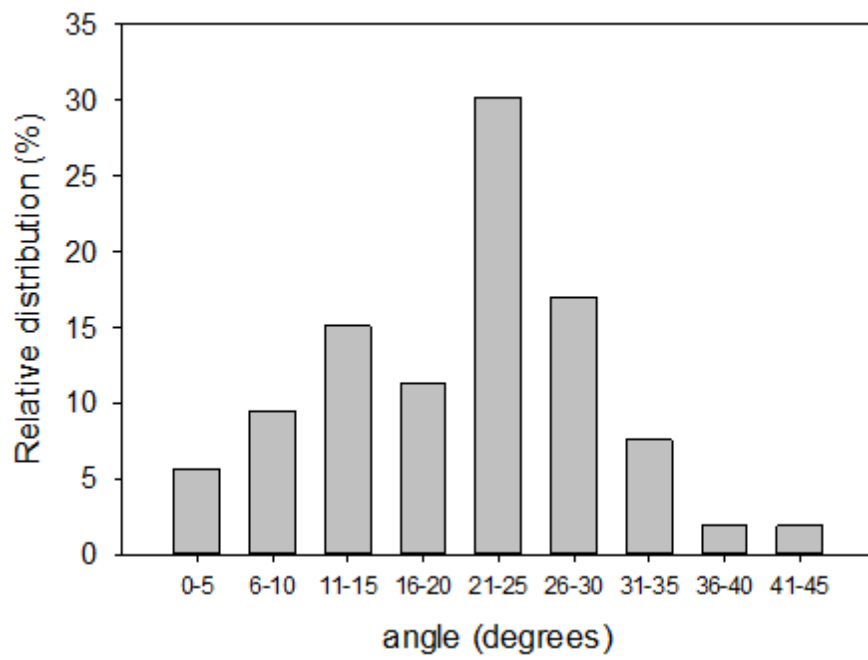


Figure 6.