

# A RESEARCH DATA SHARING GAME

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## Abstract

While reusing research data has evident benefits for the scientific community as a whole, decisions to archive and share these data are primarily made by individual researchers. For individuals, it is less obvious that the benefits of sharing data outweigh the associated costs, i.e. time and money. In this sense the problem of data sharing resembles a typical game in interactive decision theory, more commonly known as game theory.

Within this framework we analyse in this paper how different measures to promote sharing and reuse of research data affect sharing and not sharing individuals. We find that the scientific community can benefit from top-down policies to enhance sharing data even when the act of sharing itself implies a cost. Namely, if (almost) everyone shares, many individuals can gain a higher efficiency as datasets can be reused. Additionally, measures to ensure better data retrieval and quality can compensate for sharing costs by enabling reuse. Nevertheless, an individual researcher who decides not to share omits the costs of sharing. Assuming that the natural tendency will be to use a strategy that will lead to maximisation of individual efficiency it is seen that, as more individuals decide not to share, there is a point at which average efficiency for both sharing and non-sharing researchers becomes lower than was originally the case and scientific community efficiency steadily drops. With this in mind, we conclude that the key to motivate the researcher to share data lies in reducing the costs associated with sharing, or even better, turning it into a benefit.

## Introduction

Science is driven by data and even more so now data collection has been enabled by new technologies. In addition, the use and reuse of data have been facilitated by techniques for data mining and analysis [Hanson et al., 2011; Levy et al., 2012]. Summing up all the reasons arguing that reuse of data is beneficial, it is obvious that making data widely available is an essential element of scientific research. Firstly, society relies on scientific data of diverse kinds; for example, in responding to disease outbreaks, managing resources, responding to climate change, and improving transportation [Hanson et al., 2011]. Secondly, sharing data enables the scientific community to benefit from a whole suite of novel possibilities. Sharing data opens access to and reinforces open scientific inquiry; encourages diversity of analysis and opinion; promotes new research; facilitates the education of new researchers; enables the exploration of topics not envisioned by the initial investigators; permits the creation of new data sets when data from multiple sources are combined; and it sets the stage for new experiments [Ascoli, 2007]. Thirdly, in terms of scientific quality and integrity, data underlying scientific publications can be assessed and replicated to check the scientific

42 results and conclusions [Hernan and Wilcox, 2009]. Lastly, if (re-)collection of data is  
43 minimized, use of resources is optimized and scientific efficiency is enhanced [Piwowar et  
44 al., 2011]. The efficiency of the scientific system is of key importance to ensure the  
45 competitiveness of a group, university, nation or region.

46 While sharing data has obvious group benefits for the scientific community and  
47 society, decisions to archive data are made by individual researchers, and it is less obvious  
48 that the benefits of sharing data outweigh the costs for all individuals [Tenopir et al., 2011].  
49 Many researchers are reluctant to share their data publicly because of real or perceived  
50 individual costs [Roche et al., 2014; Pitt and Tang, 2013] which probably explains why  
51 sharing data is far from universal. Improving participation in sharing data will require  
52 lowering costs and/or increasing benefits for primary data collectors [Smith, 2009] [Roche et  
53 al., 2014]. Costs to individual researchers include the time investment, high costs (and lack of  
54 funding), the chance of being scooped by others on any future publications on the data, a  
55 chance on over-scrutinization of results from published papers, misinterpretation of data  
56 resulting in faulty conclusions [Atici et al., 2013], misuse [Bezuidenhout, 2013], and possible  
57 infringement of the privacy of test subjects [Antman, 2014]. Also, there is the perception  
58 that data is intellectual property and researchers simply don't want others to benefit from  
59 their hard-won data [Vickers, 2011]. In contrast, there are signs that sharing of research data  
60 confers an advantage. In a study of Piwowar and Vision [Piwowar and Vision, 2013] it was  
61 calculated that papers with open microarray data were cited, on average, nine percent more  
62 than studies without the data available. Belter [Belter, 2014] found an even higher number  
63 for three selected oceanographic datasets. Scientific reach might also be extended into other  
64 than the original research areas [Chao, 2011], and researchers' reputations could improve by  
65 good sharing practices, possibly initiating new collaborations. Moreover, there is a  
66 movement towards regarding datasets as full-fledged research output that can be cited in  
67 itself [Costello et al., 2013; Neumann and Brase, 2014]. This would mean that sharing data in  
68 the near future will have a direct positive influence on a researchers' scientific impact.

69 To summarize, the act of sharing data means either a benefit or a cost for the  
70 individual researcher, even though it could be of clear benefit to the scientific community as  
71 a whole in which, of course, the individual researcher also takes part. The problem of data  
72 sharing is therefore in essence a game-theoretical problem. Specifically, game theory is the  
73 study of mathematical models of conflict and cooperation between intelligent rational  
74 decision-makers. An assumption herein is that an individual will always try to maximize his or  
75 her gains *relative to the gains of others*. Here we have a framework to investigate the  
76 community gains versus the gains of the individual researcher in the competitive world of  
77 scientific research. For our analysis, we have constructed a simple model of a scientific  
78 community where researchers publish a certain amount of papers in a given year and have  
79 the habit either to share or not to share. With help of the model, we simulate the effect of  
80 sharing policies, explore several cost scenarios, and evaluate the overall benefits to the  
81 scientific community relative to the benefits of the individual researcher. Although this is a  
82 simple model, it enables us to assess these key principles. With the model we show how

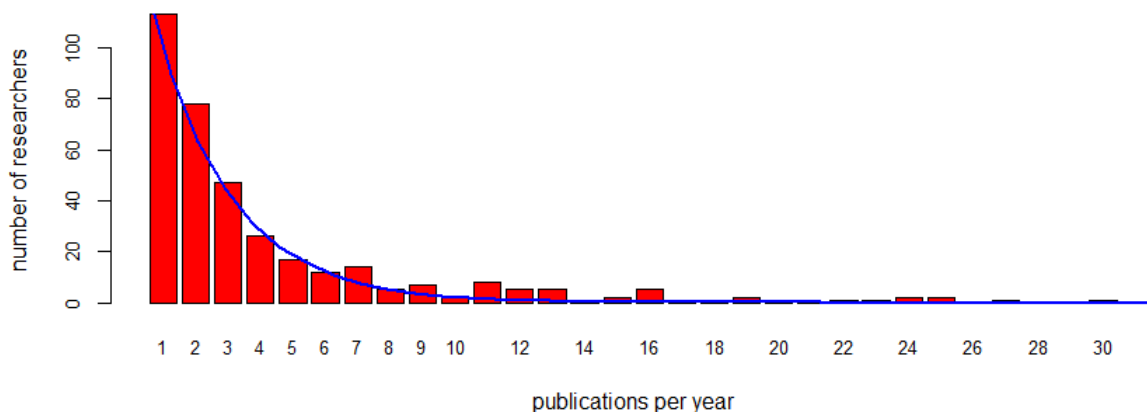
83 research data sharing fits in a game-theoretical framework. More importantly, we assess  
84 which measures to alter costs and benefits would turn the balance in a scientific community  
85 towards more sharing and more benefits from sharing, benefitting the community, society  
86 and the individual researcher.

87

## 88 **Methods**

### 89 **The simulated scientific community**

90 We construct a steady-state community of ten thousand researchers that each have  
91 published a certain amount of papers in a given year. To determine a distribution of  
92 published papers for an average scientific community we sampled the bibliographic  
93 database Scopus. We selected the first four papers for each of the 26 subject areas in  
94 Scopus-indexed papers, published in 2013. If a paper appeared within the first four in more  
95 than one subject area, it was replaced by the next paper in that subject area. For each of the  
96 selected papers we noted down all authors and checked how many papers each author (co-  
97 authored in total in 2013. We came to 366 unique authors for our selected papers. Authors  
98 that were ambiguous, because they seemingly published many papers, were checked  
99 individually and excluded if it was a group of authors publishing under the same name with  
100 different affiliations between the papers. The distribution of papers that the selected  
101 authors published in 2013 is shown in Figure 1 (for the data see [Pronk et al., 2014]). This  
102 distribution, based on our sampling, implies that most researchers publish one paper in a  
103 year, declining fast down to a few researchers that publish many papers in a given year. We  
104 fitted an exponential distribution through the sampled population and take this as a basis for  
105 our simulated scientific community of 10.000 researchers.



106  
107 Figure 1. The sampled (bars) and fitted (line) distribution of published papers per researcher in a  
108 given year, in this case 2013. For reasons of visualisation the distribution is shown up to thirty  
109 publications, whereas the sampling sporadically included more publications per researcher. The  
110 fitted line is used as the publishing distribution for the simulated community.

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### 113 **Determinants for efficiency**

114 We assume the goal for each researcher in our community is scientific efficiency and that  
 115 this efficiency can be gained by (high-quality) publications. The researchers have the habit  
 116 either to share or not to share the data (i.e. dataset) from all papers that they publish, as  
 117 appointed by random selection of these researchers in the simulated community. We  
 118 assume there is a certain probability for each researcher for each paper to find an  
 119 appropriate dataset to improve that paper, resulting in higher efficiency papers. In the  
 120 model these factors affecting efficiency are formalized into four different parameters (Table  
 121 1), namely the improved efficiency per paper ' $e$ ' with the reuse of an external dataset, the  
 122 chance of finding such an external dataset ' $f$ ', the cost for sharing data per dataset ' $c$ ', the  
 123 percentage of sharing researchers ' $r$ ' (Table 2). The standard values for these parameters,  
 124 given in Table 2, are quite arbitrary as we do not know their true value. They are used here  
 125 to resemble a situation in which the cost for sharing is relatively high compared to the  
 126 possible efficiency gain with reuse of datasets. As such they function as a reference point for  
 127 other, more profitable parameter settings that we will test. The following rules apply to the  
 128 four determinants of efficiency:

- 129 • We assume that a paper is produced with a higher efficiency ' $e$ ' in the case of reuse  
 130 of external data. This is expressed as a percentage of improvement of efficiency per  
 131 paper.
- 132 • We assume that there is a certain probability ' $f$ ' that a researcher can find an  
 133 appropriate external dataset that will be useable for his paper.
- 134 • We consider an offset ' $c$ ', either a cost or benefit, when sharing the research data  
 135 underlying a paper, as we want to simulate the consequences in both scenario's. Cost  
 136 or benefit is expressed as a percentage offset from the total efficiency per researcher  
 137 who shares data.
- 138 • We consider a range of percentages ' $r$ ' of researchers sharing their datasets (ranging  
 139 from 0 to 100%).

140  
141

142 Table 1. Overview of parameters in the model determining scientific community efficiency and  
 143 possible measures to improve this.

144

| Parameters in the model   | Possible associated measures to improve the parameter in a real world situation  |
|---|--|
| Increased efficiency ' $e$ ' of a paper with inclusion of an external dataset | <ul style="list-style-type: none"> <li>• Improve data quality, for instance by the use of data journals, or peer review of datasets.</li> <li>• Offer techniques to easily assess the quality or other techniques to reuse datasets with less effort.</li> </ul> |
| Chance ' $f$ ' to find an external dataset                                    | <ul style="list-style-type: none"> <li>• Harvest databases through data portals to reduce 'scattering' of datasets.</li> <li>• Standardization of metadata-terms.</li> </ul>   |

|   |   |
|---|---|
|   | <ul style="list-style-type: none"> <li>Advanced community and project-specific databases</li> <li>Library assistance in finding and using appropriate datasets</li> </ul>   |
| Offset (cost or benefit) in efficiency 'c' associated with sharing of research data | <ul style="list-style-type: none"> <li>Offer a good storing &amp; sharing IT infrastructure.</li> <li>Fund open data.</li> <li>Increase attribution to datasets by citation rules and establish impact metrics for datasets.</li> </ul>             |
| Percentage 'r' of scientists sharing their research data                            | <ul style="list-style-type: none"> <li>Promote sharing by a top down policy from an institute, funder, or journal.</li> <li>Promote sharing bottom up by offering education on the benefits of sharing, to change researchers' mind set.</li> </ul> |

Table 2. Overview of all parameters and variables and their standard values in the model

| Parameter | Meaning   | Value                             |
|-----------|---|-----------------------------------|
| $r$       | Percentage sharing researchers                            | From 0 to 1 (none to all sharing) |
| $c$       | Sharing cost (efficiency offset per sharing researcher)   | - 0.1                             |
| $f$       | Probability of finding an appropriate dataset (per paper) | 0.2                               |
| $e$       | Improved efficiency (per paper)                           | 0.2                               |
| $P_r$     | Published papers (per researcher)                         | From distribution (Fig. 1)        |
| $P_t$     | Total number of published papers                          | ~30130                            |
| $E_s$     | Efficiency of sharing researchers                         | See Formula (1)                   |
| $E_n$     | Efficiency of non-sharing researchers                     | See Formula (2)                   |
| $E_t$     | Total efficiency of the scientific community              | See Formula (3)                   |

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151 The actual efficiencies for researchers and the exact number of published papers in our  
 152 simulation are subjected to some stochasticity from the random draw of the number of  
 153 publications per researcher (from the exponential distribution, see Figure 1) and the random  
 154 assignment of researchers who share their research data. The efficiency of any sharing  
 155 researcher can on average be approached by

$$156 \quad E_s = P_r \cdot (1 + f \cdot r \cdot e + c) \quad (1)$$

157 The efficiency of any non-sharing researcher can be approached by

$$158 \quad E_n = P_r \cdot (1 + f \cdot r \cdot e) \quad (2)$$

159 since these researchers have no costs but do have the benefits of the shared datasets. Total  
 160 efficiency of the scientific community is represented by

161  $E_t = P_t \cdot (1 + r \cdot c + f \cdot r \cdot e)$  (3)

162 If there is no sharing at all, the total efficiency  $E_t$  reduces to the amount of published papers  
163  $P_t$ . In order to have benefits from sharing; we need to satisfy the following statement:  $E_t > P_t$   
164 . In the case of a cost for sharing for individual researchers, a benefit from sharing (by reuse  
165 of datasets) for the community is achieved if:

166  $f \cdot e > -c$  (4)

167 In case of a benefit for sharing, i.e. 'c' is positive, the efficiency will of course always increase  
168 with increased sharing of research.

169

## 170 Simulations

171 With the model as described in the previous paragraphs we simulate the efficiency of  
172 individual researchers at different cost scenarios, from which scientific community efficiency  
173 follows. First of all, we simulate a range of costs to benefits and sharing percentages, with  
174 offset (cost or benefit) ranging from -0.25 to 0.25 per shared dataset and sharing ranging  
175 from 0 to 100% of researchers, with otherwise standard parameters (Table 2). Secondly, we  
176 simulate the efficiencies at two levels of sharing: at low percentage 'r' of sharing researchers  
177 (5%) and at high percentage 'r' of sharing researchers (95%) and compare these contrasting  
178 scenarios. In these simulations, in addition to the standard parameter values, we assume a  
179 higher probability 'f' of finding an appropriate paper, similarly a higher efficiency 'e' per  
180 paper with a reused external dataset, and positive 'c' with sharing a dataset. We show the  
181 results in different visualisations. For the R-scripts to generate these plots see [Pronk et al.,  
182 2014] .

183

## 184 Results

185 In Figure 2 we show results of the first simulation of the average efficiency for researchers  
186 over the community with different cost 'c' (ranging from -0.25 to 0.25 per shared paper) and  
187 sharing rate 'r' (ranging from 0 to 100% of researchers) with otherwise standard parameters  
188 (see Table 2). Cost 'c' and sharing rate 'r' are changed within their range in one hundred  
189 equal steps. It can be observed that the average efficiency for the community gradually goes  
190 up with costs changing from negative to positive. On the contrary, with an increase in  
191 percentage of sharing researchers, the increase or decrease of average community efficiency  
192 is dependent on the cost. If costs are relatively high the average community efficiency drops  
193 with more sharing instead of rises. Policies increasing sharing would in this case backfire and  
194 reduce scientific community efficiency. The point of balance between costs and benefits  
195 where there is no change in efficiency with a change in percentage of sharing researchers  
196 can, for any parameter setting, be deduced from Formula (4). For the parameters 'f' and 'e'  
197 as used in Figure 2 (see Table 2) this is at a cost of -0.04. It can be seen that with more  
198 profitable costs / benefits for sharing the average community efficiency increasingly starts to

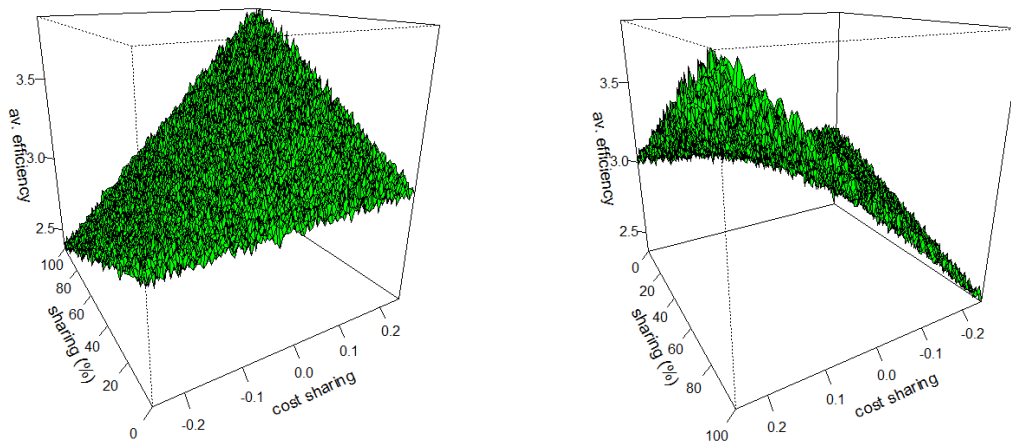


Figure 2. Shown here on the z-axis is the average efficiency per researcher in the simulated scientific community, simulated at standard parameter values (Table 2) and on the x and y axes changing costs for sharing (up to a benefit) from -0.25 to 0.25 and changing percentage of sharing researchers (sharing rate) from 0-100%. The same plot is shown from two perspectives: in the second plot rotated 180 degrees.

Of course, the community efficiency as depicted in Figure 2 is the average per researcher, while actually the simulated individual researchers have various efficiencies depending on their publication rate, reuse, and dataset sharing habits. In Figure 3 we show four simulations (a-d) that distinguish between sharing and non-sharing researchers in the community. Results for individual researchers are shown at 5% sharing (leaving 95% not sharing) (top left figure within each subfigure) and 95% sharing (leaving 5% not sharing) (bottom left figure within each subfigure). The bar plots within each subfigure provide the average community net efficiencies for sharing and not sharing researchers. Subfigure a) provides a reference at standard parameter values (Table 2). In subfigure (b) the efficiency per paper when reusing a dataset is increased from 0.2 to 0.8. In subfigure (c) the chance to find an appropriate dataset for reuse is increased from 0.2 to 0.8. In subfigure (d) the costs for sharing are turned into a benefit for sharing and is set from -0.1 to 0.1. In Table 1 we list a score of measures that could accomplish these effects in a 'real world' scientific community.

Subfigure a) shows that in a situation with costs higher than benefits, almost no individual sharing researcher has a higher net efficiency gain than a researcher that does not share. Subfigures b) and c) exemplify that, at low sharing levels, net efficiency gain for sharing researchers is negative for most of them. At high sharing levels, more have a positive net gain from the reuse of papers. It is notable that b) has more individual researchers with high costs than subfigure c), even though the average community average as seen in the bar plot, is the same. This is because in b) the gain in efficiency 'e' per paper is high, benefitting

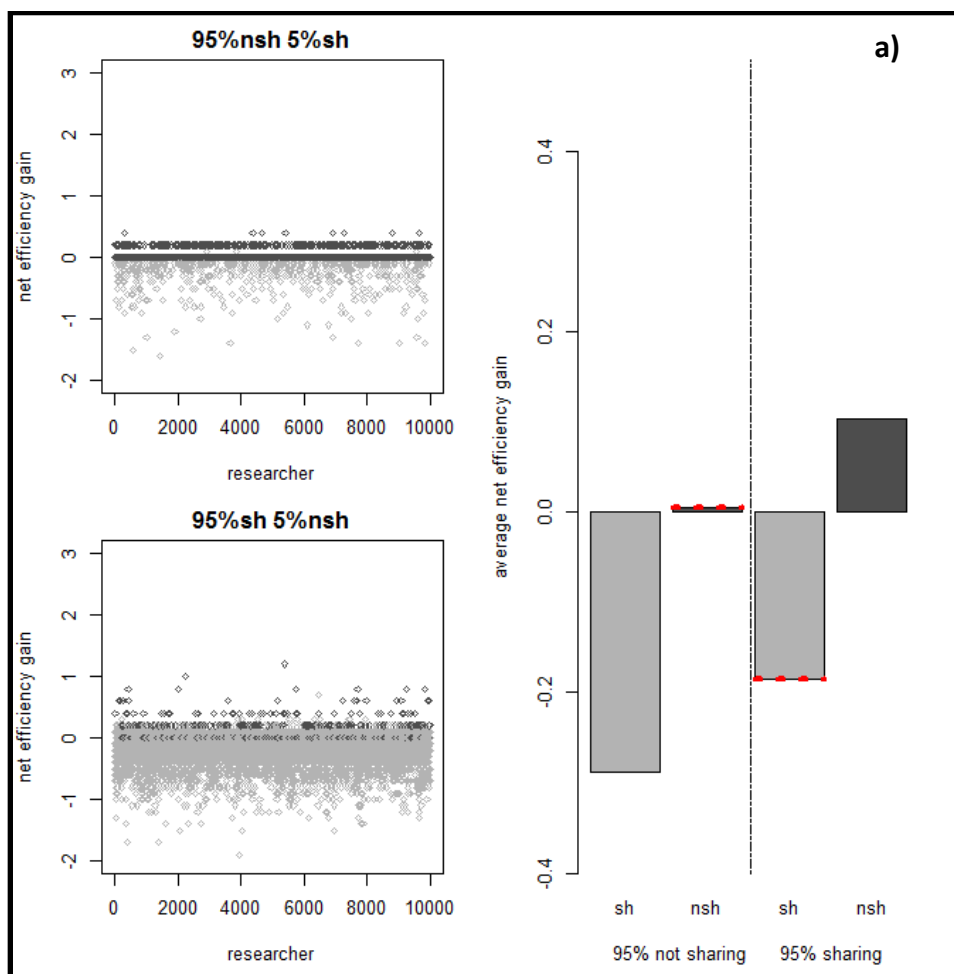


228 some, but for those that do not find a reusable set the costs for sharing remain  
 229 uncompensated. In c) the probability of finding an appropriate dataset 'f' is very high, to  
 230 compensate for the costs for sharing for more (almost all) of the sharing researchers.

231 The bar plots in b) and c) indicate an intriguing result. The average efficiency of non-  
 232 sharing researchers at low sharing drops below the average efficiency of sharing researchers  
 233 at high sharing. This counterintuitive result implies that, even though *not sharing* is  
 234 beneficial compared to sharing for the individual, there is a point after which *not sharing* can  
 235 lead to a lower efficiency overall if more researchers adhere to this strategy.

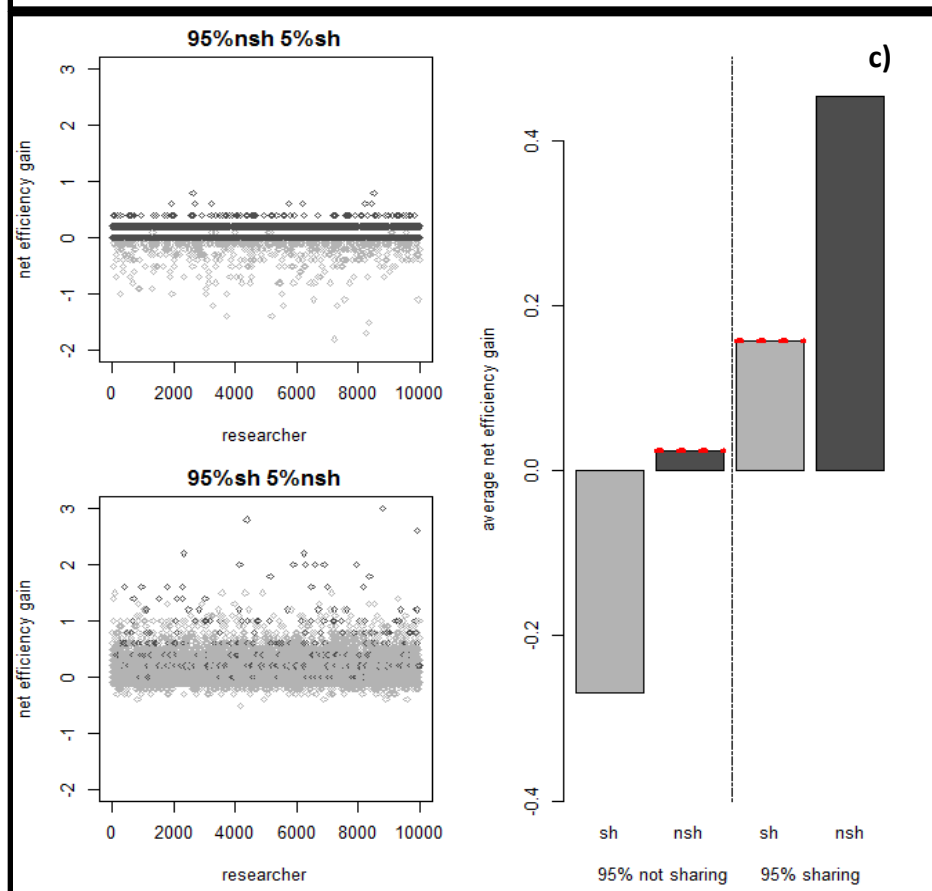
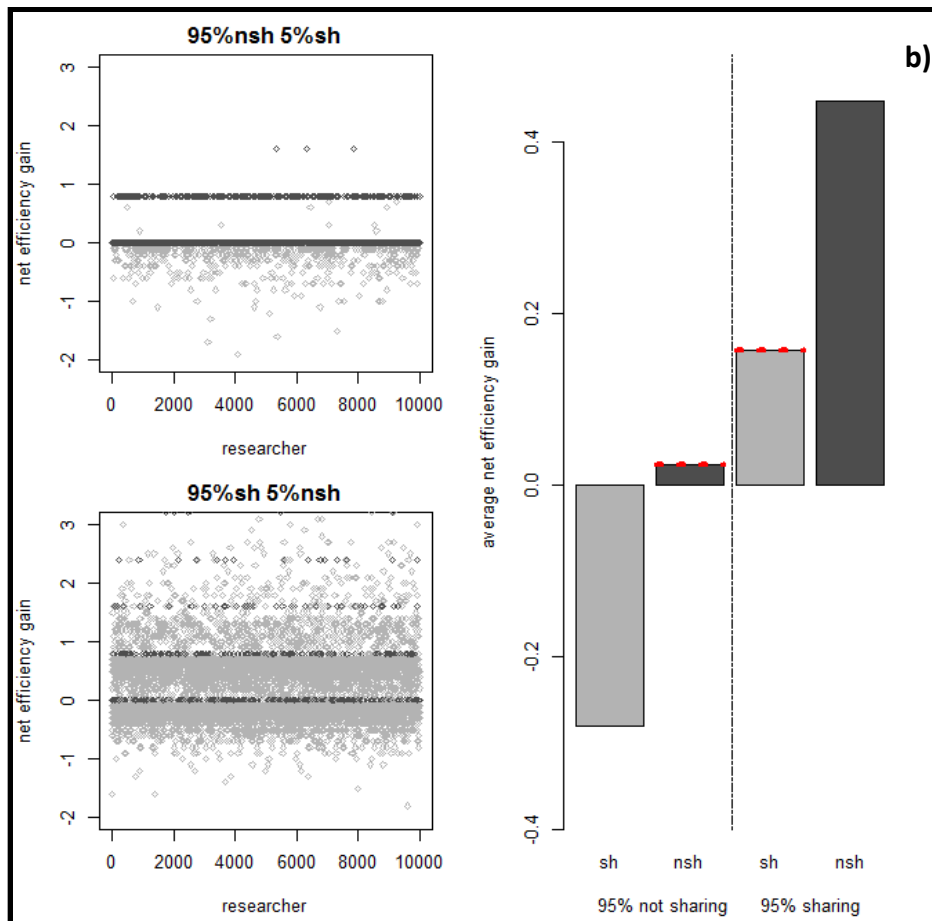
236 In Appendix 1 more visualisations of these simulations are shown, with a focus on  
 237 reusing and non-reusing researchers, high and low publishing researchers, the average costs  
 238 and benefits for sharing researchers.

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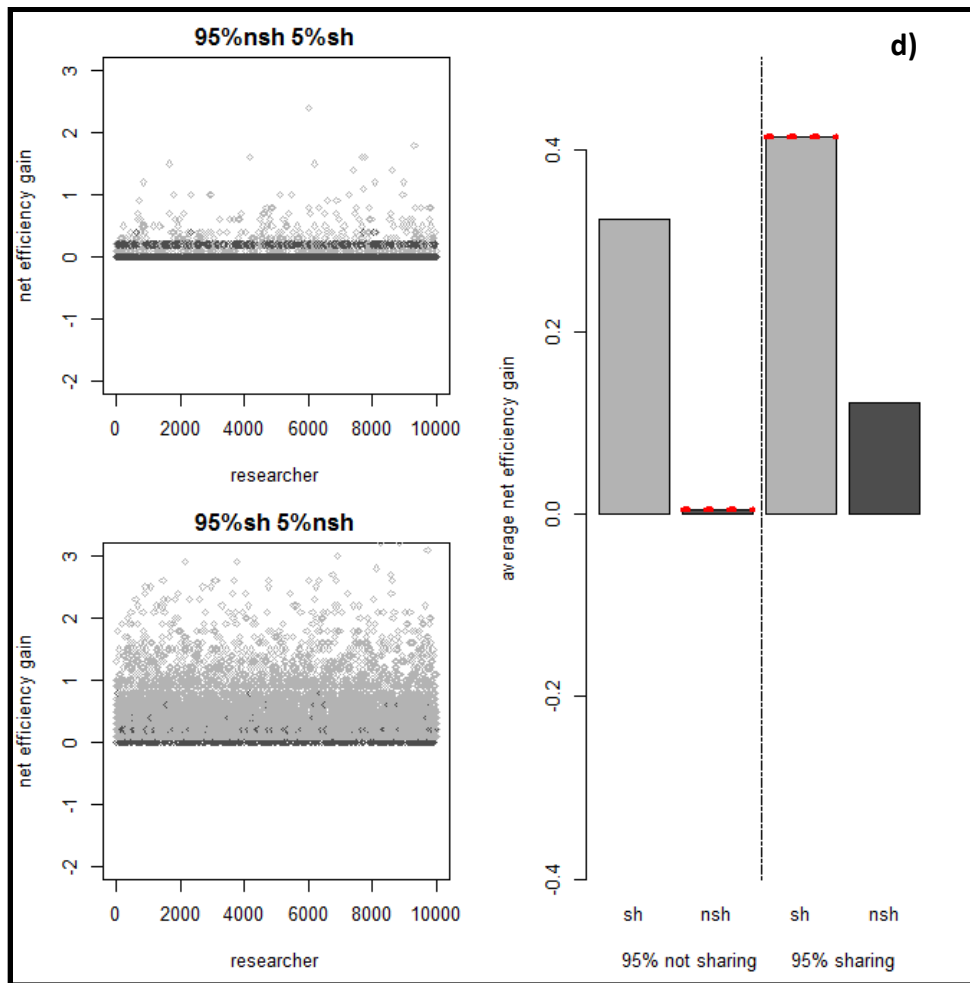


Figure 3. The net efficiency gain for individual researchers sharing and not sharing in the simulated community. Left in each subfigure a,b,c,d, are net gains per individual researcher at 5% sharing rate (top) and 95% sharing rate (bottom). Right in each subfigure are averaged net gains for sharing and non-sharing researchers at these sharing rates. Sharing researchers are light grey, not sharing researchers are dark grey. Dots at the top of a bar emphasise that the average is for **95%** of the researchers. a) Costs are relatively high compared to benefits (parameters as in Table 2). b) efficiency ' $e$ ' from reusing data is raised to 0.8. c) The probability ' $f$ ' to find an appropriate dataset is raised to 0.8. d) Cost ' $c$ ' for sharing data is raised to 0.1, turning sharing to a benefit.

## 255 Discussion

256 The strength of game theory is the methodology it provides for structuring and analysing  
257 problems of strategic choice. Constructing such a model thus already has the potential of  
258 providing a clearer and broader view of the situation as the players, their strategic options,  
259 and the external factors of influence on those decisions have to be made explicit. In this  
260 paper we use game theory as a prescriptive application, with the goal of improved strategic  
261 decision making. This could help prioritizing measures that could accomplish advantageous  
262 effects for scientific efficiency in a 'real world' scientific community.

263 We analysed the effect of sharing and not sharing data on the scientific community  
264 efficiency, relative to the efficiency of the individual researcher. In our simulations we  
265 assume a number of parameters that can be of influence on share-rate and reuse-rate and,  
266 with that, on the efficiency of individual researchers and that of the community as a whole.  
267 These parameters are: the percentage of sharing researchers, the efficiency gain in  
268 producing a high quality paper when reusing a dataset, the probability of finding an  
269 appropriate dataset, and the costs associated with sharing data. In Table 1 of this paper we  
270 address these parameters and measures that could improve these parameters in a 'real  
271 world' scientific community [Chan et al., 2014]. With the result from our simulations we can  
272 assess and prioritize these measures.

273 Results show that in the case of moderate costs associated with sharing, sharing  
274 research data can still lead to a general higher community efficiency. This is because of the  
275 supposition that the more research data is shared, the more can be reused and as a result  
276 (high quality-) papers are more efficiently produced. However, an individual researcher can  
277 decide to reuse the datasets provided by others, and omit the sharing costs as indicated in  
278 the introduction of this paper. If *everyone* should adopt this strategy, *everyone* is worse off  
279 and average efficiency for both sharers and non-sharers declines. Efficiency at some point  
280 even drops below a level that was the efficiency when the researcher was sharing in the  
281 original situation. This means that in the end, nobody benefits from the decision not to  
282 share. This counterintuitive result implies that for an individual, even though *not sharing* is  
283 beneficial compared to sharing, *not sharing* can lead to a lower efficiency overall if more  
284 researchers adhere to this strategy.

285 We show that policies to enforce higher percentages of sharing researchers could  
286 increase community efficiency. Policies can be enforced on the level of institutions, funders,  
287 or journals. In several studies on the public availability of published research data, journal  
288 policy stating data should be made available with a publication was (not yet) apt to convince  
289 researchers to actually make their data publicly available. Between different studies, the raw  
290 data availability rate differed from 9% to 41% of papers adhering to journal policies  
291 [Wichert et al., 2006; Alsheikh-Ali et al., 2011; Vines et al., 2013; Savage and Vickers, 2009].  
292 This could be exemplary for the reluctance of individual researchers to share data because of  
293 real or perceived costs. This could mean that, even though policy measures could increase  
294 community efficiency in theory, the problem of costs for sharing individuals and consequent  
295 reluctance to share are not addressed.

296 Therefore, another solution is to compensate for sharing costs for individuals. This  
297 can be done by increasing the benefits with reusing available data for individual researchers.  
298 In this way sharing costs are indirectly compensated for. We analysed these by two  
299 measures: increasing the data quality so datasets can be reused with less effort and  
300 increasing findability of datasets. To improve quality, many archives now provide the  
301 opportunity for researchers to comment on the deposited dataset. Data journals are another  
302 means to ensure high data quality by peer review and strict data preparation guidelines  
303 [Costello et al., 2013; Atici et al., 2013; Gorgolewski et al., 2013]. Although this is an  
304 important and valid measure, results show that as a single measure this has a lesser impact if  
305 only a few researchers can profit from this. It would be more important to take measures to  
306 improve the findability of datasets. Datasets are scattered across different archives and  
307 metadata is minimal and not standardized, making it difficult to retrieve appropriate  
308 datasets. Our results show that with an improved findability for datasets more sharing  
309 researchers acquire a net positive efficiency. This could be an effective means to  
310 compensate for sharing costs in a community where sharing is common.

311 Another simulated measure is to reduce the costs with sharing or even turning it into  
312 a benefit for the individual sharing researcher [He et al., 2013; Roche et al., 2014]. When it  
313 comes to sharing data, in practice researchers are hesitant because of real or perceived costs  
314 associated with sharing, as pointed out in our introduction. Not much effort has been done  
315 to quantify these costs [Roche et al., 2014]. Nevertheless, as long as there is a cost  
316 associated with sharing data, the researcher that has the strategy 'reuse-don't share' will  
317 have the highest efficiency in the scientific community. Especially the high-publishing  
318 scientists will fall under this category as they potentially have higher costs in sharing all their  
319 datasets. This is troublesome as these researchers have a relatively high influence on the  
320 reuse-rate within the community because of the high number of papers with underlying  
321 datasets that they themselves could make available. The 'reuse-don't share' strategy is a true  
322 current sentiment towards using: according to a survey in 2011 of about 1,300 scientists,  
323 more than 80 percent said they would use other researchers' data sets. At the same time  
324 there were a relatively small number of scientists who wanted to make their data  
325 electronically available to others, for a variety of reasons [Tenopir et al., 2011]. In contrast,  
326 when data sharing incurs a benefit for the individual researcher, the researcher that has the  
327 strategy 'reuse-share' will have the highest efficiency in the scientific community. If we again  
328 assume that the natural tendency will be to use any strategy that will lead to maximisation  
329 of individual efficiency, a benefit with sharing data will automatically lead to a higher  
330 efficiency of the community as a whole. With the improvement of benefits and reduction of  
331 costs for the individual researchers, the balance will shift more naturally towards more  
332 sharing, benefitting the scientific community and therewith society. This would be a better  
333 mechanism to promote sharing than simply imposing an obligation to share by funders,  
334 institutes, or journals. Better incentives arguably also lead to better sharing practices.

335 With our model we derived general phenomena for the scientific community,  
336 whereas (perceived) costs and benefits with sharing in reality will differ between scientific

337 communities. This means that the measures taken for each scientific community to make  
338 sharing worthwhile will have to differ in their focus between them [Borgman et al., 2007;  
339 Acord and Harley, 2013]. For instance, standardization of data and metadata is easier in  
340 some disciplines, such as genomics, than it is in others [Acord and Harley, 2013]. Moreover,  
341 attitudes towards sharing can differ between disciplines. For instance, surveys revealed that  
342 in pharmaceutical research, sharing is opposed by the larger part (75%) of researchers  
343 [Vickers, 2011], while in biodiversity research most researchers are positive towards sharing  
344 their article-related data [Huang et al., 2012]. Also forensic geneticists are more willing to  
345 make their data available than evolutionary or medical geneticists, there being quite a  
346 difference (6% and 23%, respectively) [Anagnostou et al., 2013]. Possible explanations given  
347 for this particular difference are the policies for data sharing by the two most important  
348 forensic journals. Plus, “familiarity” and collaborative spirit among investigators increase  
349 their predisposition towards sharing [Pitt and Tang, 2013; Anagnostou et al., 2013].

350 Lastly, not all data can or should be made fully or immediately publicly available for a  
351 variety of practical reasons (e.g., lack of interest, sheer volume and lack of storage, cheap-to-  
352 recreate data, the need of specialist software to access data, want to publish later perhaps,  
353 patents pending) [Cronin, 2013]. For instance, in some disciplines, the amount of data grows  
354 faster than the financial and technical means of sharing it, causing problems of scale and  
355 data deluge [Kim, 2013]. With our simulations we show that if costs for sharing are too high  
356 relative to the benefits of reuse, in theory sharing policies to increase sharing could even  
357 backfire and reduce scientific community efficiency. It should be carefully considered  
358 whether the alleged benefits of storage for the scientific community will outweigh the costs  
359 for each data type and set. For easily obtainable data such as the data underlying this paper,  
360 recreating it is probably cheaper than storing and interpreting the datasheet.

361 In conclusion, we performed a game-theoretic analysis to provide structure and to  
362 analyse problems of strategic data sharing. While increasing benefits with sharing will have  
363 the most positive influence on the efficiency of both the individual researcher and the  
364 scientific community, we showed that in the case of moderate costs, sharing research data  
365 can still lead to a general higher scientific community efficiency as a result of efficient data  
366 reuse. An intriguing result is that although for the individual researcher *not sharing* is  
367 beneficial compared to sharing, *not sharing* can lead to a lower efficiency for all researchers  
368 in the community if more than a certain ratio of all researchers adhere to this strategy.  
369 Although policies should be able to increase the rate of sharing researchers, and increased  
370 findability and data quality could partly compensate for costs, a better measure would be to  
371 lower the costs for sharing, or even turn them into a benefit.

372

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## 456 Appendix 1.

457 The figures in Appendix 1 are the results of simulations at several parameter values with  
 458 sharing varied in each simulation from 0 to 100% researchers sharing. Other parameter  
 459 settings are as in the simulations for Figure 2. The figure consists of four results in columns:  
 460 1) the community efficiency, 2) average efficiency per paper of researcher that did and did  
 461 not find datasets to reuse, 3) average efficiency per paper of researchers that did find  
 462 datasets to reuse, divided in high and low publishing researchers, 4) the average costs and  
 463 benefits for a sharing researcher. For reasons of illustration for the point at which costs  
 464 equal benefits, the cost is depicted positive where it is negative and vice versa.

465 Column 1: In the first simulation (a) we see the community efficiency decline with an  
 466 increase in sharing. The costs for sharing outweigh the benefits and sharing has a negative  
 467 impact on the whole. In the second (b) and third (c) and fourth (d) simulation, we see the  
 468 community efficiency increase with sharing. This was accomplished in (b) by increasing the  
 469 efficiency per paper when reusing a dataset. In (c) this was accomplished by increasing the  
 470 chance to find an appropriate dataset for reuse. In (d) this was accomplished by turning the  
 471 costs for sharing into a benefit for sharing. In Table 1 we list a score of measures that could  
 472 accomplish both effects in a 'real world' scientific community.

473 Column 2: This column shows the efficiencies per publication for data reusing and  
 474 non-data reusing researchers. To recall, in our model the papers for which a reusable set is  
 475 found are appointed by chance. If 'e' is set to a high value in b), the average benefit of reuse  
 476 is higher. The benefit increases relatively with more researchers sharing data. Efficiency of  
 477 researchers who do not reuse data declines because part of these researchers do share their  
 478 data, while there is no benefit of reuse.

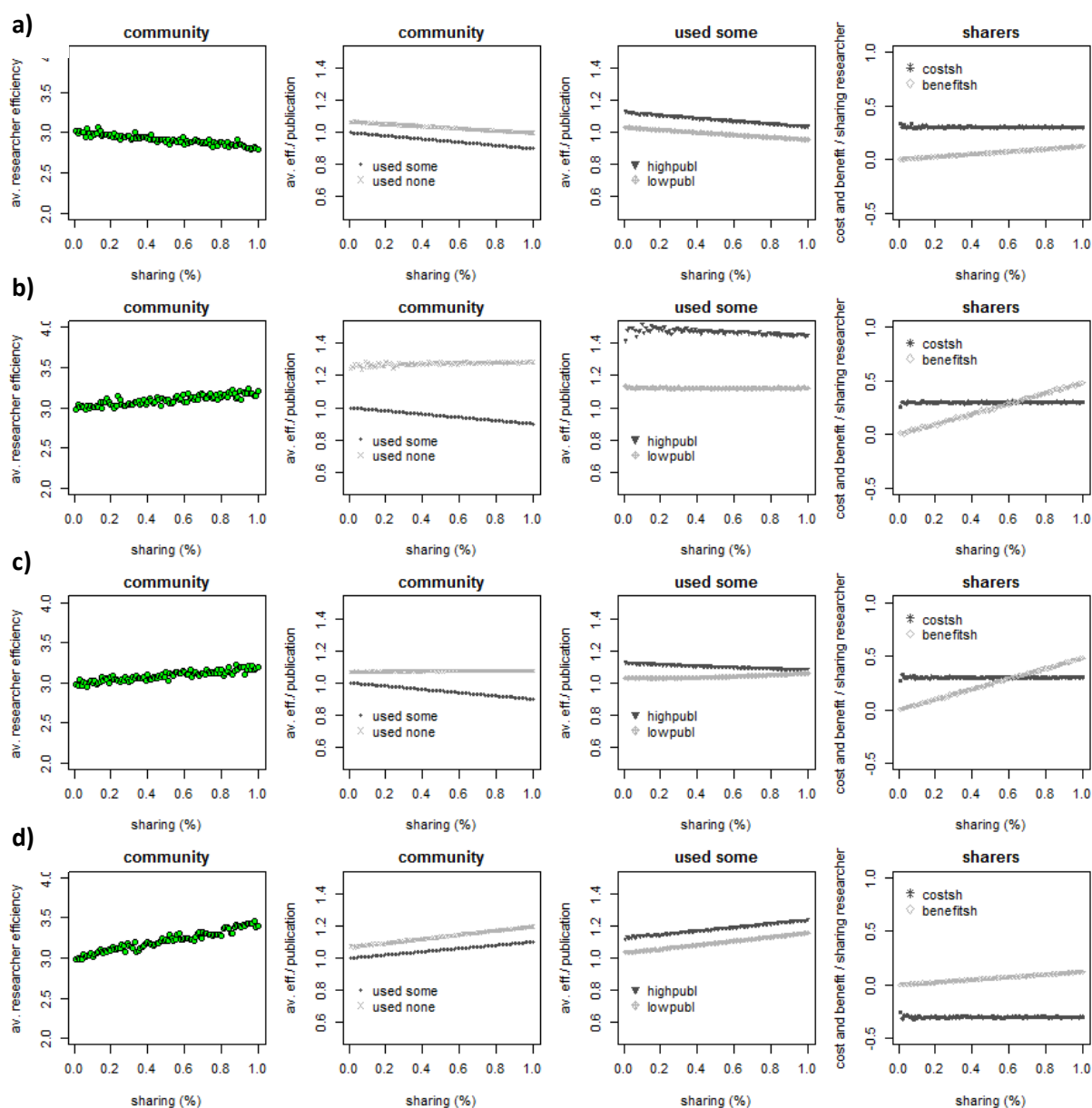
479 Column 3: This column shows the efficiency, for data reusing researchers only. The  
 480 high publishing researchers benefit the most from the availability of sets in any of the  
 481 simulations. On average they have a higher efficiency per paper. This is because the  
 482 probability of encountering a good set for any of their many publications is larger. Of course



483 for non-reusing researchers, there is no difference between efficiency per paper for high and  
 484 low publishing researchers so we do not show them.

485 Column 4: This column shows the costs and benefits for sharing researchers. In  
 486 simulation b) and c) there is a point after which the benefits of reuse outweigh the costs for  
 487 sharing. The benefits of reuse increase with the number of sharing researchers. There is no  
 488 difference for sharing researchers between high and low publishing researchers, as both  
 489 high and low publishing researchers have a cost or benefit as a percentage of their  
 490 publications.

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495 Figure 4. Simulation of average efficiencies per researcher in the scientific community with increased  
 496 sharing (0 to 100% of researchers) with associated cost (a-c) and with associated benefit (d) to  
 497 sharing. (a) gives the situation at default values (see Table 2). (b) with higher benefit attached to  
 498 reuse of a dataset (c) with a higher probability of finding a dataset for reuse. (d) with a benefit to

499 sharing research data instead of a cost. Abbreviations: 'sharers' : researchers that share research  
500 data. 'community': all researchers belong to the scientific community. 'used some': a researcher that  
501 has reused at least one dataset to improve a paper. 'used none': a researcher that has not reused a  
502 dataset. 'highpubl': a researcher that has published 3 or more papers in a year. 'lowpubl': a  
503 researcher that has published less than 3 papers in a year. 'costsh': the costs for sharers. 'benefitsh':  
504 the gains (by data reuse) for sharing researches.