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Using network clustering to predict copy number variations associated with health disparities

Substantial health disparities exist between African Americans and Caucasians in the United States. Copy number variations (CNVs) are one form of human genetic variations that have been linked with complex diseases and often occur at different frequencies among African Americans and Caucasian populations. Here, we aimed to investigate whether CNVs with differential frequencies can contribute to health disparities from the perspective of gene networks. We inferred network clusters from human gene/protein networks based on two different data sources. We then evaluated each network cluster for the occurrences of known pathogenic genes and genes located in CNVs with different population frequencies, and used false discovery rates to rank network clusters. This approach let us identify five clusters enriched with known pathogenic genes and with genes located in CNVs with different frequencies between African Americans and Caucasians. These clustering patterns predict two candidate causal genes located in four population-specific CNVs that play potential roles in health disparities

1 **Using network clustering to predict copy number variations**
2 **associated with health disparities.**

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14 **Abstract**

15 Substantial health disparities exist between African Americans and
16 Caucasians in the United States. Copy number variations (CNVs) are one form
17 of human genetic variations that have been linked with complex diseases and
18 often occur at different frequencies among African Americans and Caucasian
19 populations. Here, we aimed to investigate whether CNVs with differential
20 frequencies can contribute to health disparities from the perspective of gene
21 networks. We inferred network clusters from human gene/protein networks
22 based on two different data sources. We then evaluated each network cluster
23 for the occurrences of known pathogenic genes and genes located in CNVs
24 with different population frequencies, and used false discovery rates to rank
25 network clusters. This approach let us identify five clusters enriched with
26 known pathogenic genes and with genes located in CNVs with different
27 frequencies between African Americans and Caucasians. These clustering
28 patterns predict two candidate causal genes located in four population-
29 specific CNVs that play potential roles in health disparities.

30 **Keywords:**

31 Health disparities, Copy Number Variations (CNVs), gene network, clustering,
32 gene-disease association, Gene Ontology (GO).

33 **List of Key Abbreviations:**

34 CNV: Copy number variation

35 SNP: Single nucleotide polymorphism

36 PPIN: Protein-protein interaction network

37 HPRD: Human protein reference database

38 PPI: Protein-protein interaction

39 AA: African American

40 MCL: Markov Cluster Algorithm

41 FDR: false discovery rate

42 GO: Gene ontology

43 OMIM: Online Mendelian Inheritance in Man

44 dbSNP: Single Nucleotide Polymorphism Database

45 SERCA1: Sarco/endoplasmic reticulum Ca²⁺-ATPase 1

46 **Introduction**

47 Health disparities refer to differences in the disease distribution and/or health
48 outcomes across racial and ethnic groups. In United States, health disparities
49 in African Americans are found in life expectancy, death rates, and health
50 measures (National Center for Health Statistics 2013). In addition to social
51 determinants, such as socio-economical status, health care access and
52 cultural practices, human genetic variations play a significant role in health
53 disparities. Genetic variations at different frequencies among populations can
54 lead to differences in disease susceptibility. Studies on genetic variations and
55 disease association are greatly advanced by the completion of the
56 International HapMap Project and new genome sequencing techniques
57 (Ramos & Rotimi 2009).

58 Genome-wide association studies (GWAS) are currently an effective approach
59 to identify diseases-associated genetic variations (Hirschhorn & Daly 2005;
60 Wang et al. 2005). Although GWAS have revealed many disease-associated
61 single nucleotide polymorphisms (SNPs), GWAS are often limited to individual
62 genetic variations and often do not address complex gene interactions.
63 Moreover, associated SNPs are often located in haplotype blocks that contain
64 more than one gene. To address these limitations, human gene networks
65 have been used to improve GWAS detection of genes associated with
66 complex diseases, such as the comorbidity analysis (Sharma et al. 2013), an
67 improved guilt-by-association method (Baranzini et al. 2009; Lee et al. 2011),

68 and a distance-based scoring method using seeded diseases genes (Liu et al.
69 2012).

70 Copy number variations (CNVs) are duplications or deletions of genomic
71 segments that can contain one or more genes (McCarroll & Altshuler 2007).
72 CNVs have been associated with complex diseases such as autism (Gilman et
73 al. 2011; Glessner et al. 2009). Computational tools and methods have been
74 developed, such as the CNV annotator (Zhao & Zhao 2013) and NETBAG
75 (Gilman et al. 2011), to address the potential roles of CNVs in human
76 diseases. Recently, it is reported that CNVs can occur at different frequencies
77 between African Americans and Caucasians (McElroy et al. 2009), and
78 naturally the question about the potential roles of CNVs in health disparity is
79 raised.

80 Here, we aim to investigate the clustering of pathogenic genes and genes in
81 CNVs with different population frequencies in two human gene/protein
82 networks, in order to better understand health disparities between African
83 Americans and Caucasians. The current human gene/protein networks
84 contain thousands of interacting molecules (Barabasi et al. 2011; Vidal et al.
85 2011). We will partition gene networks into clusters and use these clusters to
86 predict potential diseases associated with population-specific CNVs, based on
87 the rationale that interacting genes often share similar functions (Pizzuti et
88 al. 2012).

89 **Materials and Methods**

Our overall work flow is shown in Figure 1. To identify potential diseases associated with CNVs, our basic idea is to identify gene interaction clusters that involve genes in population-specific CNVs. The diseases associated with a CNV-gene's interacting genes are potential diseases associated with this CNV. Specifically, we first obtained two human gene/protein networks and partitioned them into gene clusters. We then performed statistical tests on each cluster to estimate its significances of containing pathogenic genes and genes in population-specific CNVs. Finally, we ranked gene clusters based on false discovery rates (FDRs). High-ranked clusters were enriched both for pathogenic genes and for genes in CNVs with differential frequencies between African-Americans and Caucasians. These clusters were then searched for enriched Gene Ontology (GO) terms and related disease phenotypes.

Network clustering

We obtained two human gene/protein networks, one from Human Protein Reference Database (HPRD) (Mishra et al. 2006; Peri et al. 2003; Prasad et al. 2009) and another from MultiNet (Khurana et al. 2013). The HPRD network (referred to as HPRDNet) contains only physical protein-protein interactions (PPIs), whereas MultiNet is a unified network including PPI, phosphorylation, metabolic, signaling, genetic and regulatory networks. The two networks share 8468 genes (89.6% of HPRDNet and 58.6% of MultiNet) but only 8769 interactions (23.8% of HPRDNet and 8% of MultiNet). These two networks were both partitioned into gene clusters using the Markov Cluster (MCL)

113 Algorithm (van Dongen 2000). Clustering was done with the inflation
114 parameter I ranging from 1.1 to 2.0 with a step of 0.1. Descriptive statistics
115 of the two networks and their clustering results are summarized in
116 Supporting Table S1.

117 **Mapping of CNVs and SNPs**

118 CNV coordinates were obtained from a CNV map in African Americans and
119 Caucasians (McElroy et al. 2009). There are three types of CNVs in this map:
120 (1) CNVs only occur in African Americans, (2) CNVs only occur in Caucasians,
121 and (3) CNVs occurred in both African Americans and Caucasians. To simplify
122 the analysis, we further partitioned the last type: CNVs that occurred more
123 than 50% in African Americans or in Caucasians were combined with the first
124 and second types of CNVs, respectively. This repartition resulted in two
125 modified CNV sets with differential population frequencies. The coordinates of
126 these CNVs were then searched in the UCSC Genome Database (Karolchik et
127 al. 2014) through its MySQL API to obtain the corresponding gene sets. For
128 simplicity, CNVs that occur more frequently in African Americans were called
129 African-American CNVs or CNV_AA; CNVs that occur more frequently in
130 Caucasians were called Caucasian CNVs or CNV_CA.

131 Disease-associated SNPs were retrieved from a file, OmimVarLocusIdSNP.bcp,
132 from the FTP site of Single Nucleotide Polymorphism Database (dbSNP)
133 (Sherry et al. 2001). Coordinates of these SNPs were then queried against the

134 MySQL API of the UCSC Genome Database to identify genes in which those
135 SNPs are located. This identified gene set was termed as pathogenic genes.
136 Details of gene mapping results are shown in Supporting Table S2.

137 **Cluster Analyses**

138 Clusters were obtained from both HPRDNet and MultiNet using MCL with a
139 range of ten inflation parameters. For each cluster, contingency tables were
140 constructed using the numbers of pathogenic genes and CNVs related genes
141 (Table 1A and 1B). Right-tailed Fisher's exact tests were applied to these
142 contingency tables to calculate enrichment significance of pathogenic genes,
143 and CNV_AA or CNV_CA genes, respectively. Based on obtained *p*-values,
144 false discovery rates (FDRs) were calculated using the Robust FDR Routine
145 (Pounds & Cheng 2006). Fisher's exact tests and Robust FDR Routine were
146 both performed in the R statistical environment (R Development Core Team
147 2013). Ranking were applied to clusters with *p*-value<0.10 and FDR<0.20 in
148 both enrichment tests for pathogenic genes and population-preferred CNVs
149 genes. Assuming both enrichment tests are independent, the FDR values
150 were multiplied to jointly rank the network clusters. The same cluster
151 analysis procedure was applied to clustering results with different MCL
152 inflation parameters.

153 For clarity, we focused our functional analyses on clusters that were
154 consistently ranked at the first place with different MCL inflation parameter
155 values.

156 **Biological Significance Analyses**

157 Biological relevance of selected network clusters were analyzed by GOrilla
158 (Eden et al. 2009) to search for enriched gene ontology (GO) terms. In GOrilla
159 search, genes in the selected clusters were target genes, and all genes in the
160 network were treated as background genes. To investigate the possible links
161 of population-specific CNVs to health disparities, we first identified
162 significantly enriched GO terms that are associated with CNV_AA or CNV_CA
163 genes. We then focused on the pathogenic genes with the enriched GO
164 terms, and examined their associated disease phenotypes in OMIM database
165 (Online Mendelian Inheritance in Man 2014).

166 **Results and Discussions**

167 **Top-ranked network clusters**

168 We performed cluster analyses with ten MCL inflation parameters values for
169 both HPRDNet and MultiNet (Table S1), and scored the resulted clusters for
170 their potential roles in CNV related health disparities (Table S3). For clarity,
171 we focused on clusters that are consistently top-ranked with different MCL
172 inflation parameters. The graph representations of selected clusters are
173 shown in Figure 2.

174 We found four similar clusters, (AA1, AA2, and AA3 in HPRDNet and AA4 in
175 Multinet), that are enriched both for pathogenic genes and for genes located
176 in African-American CNVs (Table 2). In HPRDNet, cluster AA1, AA2 and AA3
177 together were ranked at first place five times; and cluster AA4 were ranked
178 five times in Multinet (Table S3). Cluster AA1 contains 11 genes, within which
179 eight are pathogenic genes (Figure 2A). Cluster AA2 and AA3 contain one and
180 two more genes than cluster AA1, respectively (Figure S1). In MultiNet,
181 cluster AA4 contains five genes and can be considered as a sub-cluster of
182 cluster AA1, AA2 and AA3 (Figure 2B). In these four clusters, gene *HSPB1* is
183 mainly duplicated in African Americans (Table 2 and Table 3). Since cluster
184 AA1, AA2 and AA3 were selected from the same network and are highly
185 similar to each other, only cluster AA1 and AA4 were studied in biological
186 significance analyses.

187 In both HPRDNet and MultiNet, the same cluster, named as CA1, was
188 identified to be enriched with both pathogenic genes and genes located in
189 Caucasian CNVs (Table 2). Cluster CA1 was ranked at first place four times in
190 HPRDNet and seven times in MultiNet (Table S3). This cluster contains five
191 genes, and four of them are associated with diseases (Figure 2C). Cluster CA1
192 contains gene *ATP2A1* that is duplicated only in Caucasians (Table 3).

193 **Duplication of *HSPB1* and health disparities in African Americans.**

194 Gene *HSPB1* is located in genomic duplication regions occurring more
195 frequently in African Americans (Table 3), and is found in the cluster family of
196 AA1, AA2, AA3, and AA4 (Table 2). For cluster AA1, only one GO molecular

197 function term related to gene *HSPB1* is significantly enriched (Cluster AA1 in
 198 Table 4). For cluster AA4, in addition to the same enriched GO molecular
 199 functions term, three GO biological process terms and one GO cellular
 200 component term are found significantly enriched (Cluster AA4 in Table 4). In
 201 the genes with the enriched GO terms, four of them are known to be
 202 associated with diseases (Cluster AA1/AA4 in Table 5). Among these four
 203 genes, three of them are implicated in health disparities of African
 204 Americans. Specifically, gene *CRYAB* is related to dilated cardiomyopathy and
 205 myofibrillar myopathy. African Americans were found at higher risk for
 206 idiopathic dilated cardiomyopathy compared with Caucasian, and this could
 207 not be explained by income, education, alcohol use, smoking, or history of
 208 some other diseases (Coughlin et al. 1993). Moreover, gene *CRYAA*, *CRYAB*
 209 and *CRYBB2* are all related to various types of cataract. It was reported that
 210 age-specific blindness prevalence was higher for African Americans compared
 211 with Caucasian, and cataract accounts for 36.8% of all blindness in African
 212 American, but for only 8.7% in Caucasian (Congdon et al. 2004).

213 How could *HSPB1* duplication contribute to health disparities? Based on the
 214 direct interaction between *HSPB1* and *CRYAB* and the fact that both genes
 215 are expressed in Z-disc (Table 4), it is plausible that *HSPB1* may play an
 216 unknown role in cardiomyopathy. Alternatively, *HSPB1* might be involved in
 217 cataract, because *HSPB1*, *CRYAA* and *CRYAB* interact with each other and all
 218 can negatively regulate apoptotic process (Table 4). Studies suggested that
 219 lens epithelial cell apoptosis may be a common cellular basis for initiation of
 220 non-congenital cataract formation (Li et al. 1995), and inhibition of epithelial

221 cell apoptosis may be one possible mechanism that inhibits cataract
222 development (Nahomi et al. 2013). Our results here argue for further
223 experimental studies to test the possible role of *HSPB1* CNVs in
224 cardiomyopathy or cataract/blindness in African Americans.

225 **Duplication of *ATP2A1* and cardiomyopathy.**

226 Gene *ATP2A1* in cluster CA1 is located in a genomic duplication region that
227 occurs only in Caucasians (Table 3). We found that three genes in cluster CA1
228 are enriched with various GO biological process terms that involve *ATP2A1*
229 (Cluster CA1 in Table 4). All of the three genes are related to diseases when
230 they are mutated (Cluster CA1 in Table 5).

231 How would *ATP2A1* influence health disparities? Among the diseases related
232 to the pathogenic genes in cluster CA1, idiopathic dilated cardiomyopathy
233 occurs less often in Caucasians than in African Americans (Coughlin et al.
234 1993). One possibility is that higher copies of *ATP2A1* may offer some
235 benefits to Caucasians. Studies have shown that increased activity of
236 sarco/endoplasmic reticulum Ca^{2+} -ATPase 1 (SERCA1), which is encoded by
237 *ATP2A1*, can partially rescue the heart from $\cdot\text{OH}$ -induced injury (Hiranandani
238 et al. 2006), and protect the heart from ischemia-reperfusion (I/R) injury
239 (Talukder et al. 2007). Another possibility is that higher copies of *ATP2A1* only
240 lead to moderate risk of cardiomyopathy in Caucasians, and this moderate
241 effect is overshadowed by other genetics factors not covered by our CNV
242 dataset.

243 **Remarks and future directions**

244 Although genetic factors play a crucial role in health disparities, only a few
245 association studies have been reported in health disparities in common
246 complex diseases, such as breast cancer (Long et al. 2013), prostate cancer
247 (Bensen et al. 2014; Bensen et al. 2013; Xu et al. 2011), type 2 diabetes (Ng
248 et al. 2014) and vascular diseases (Wei et al. 2011).

249 Our study here is closely related to network-based meta-analyses of GWAS
250 results (Atias et al. 2013; Leiserson et al. 2013). One important aim of
251 network-based meta-analysis of GWAS data is to distinguish the bona fide
252 causal gene from others in the same haplotype block associated with the
253 significant SNP. Likewise, our network approach aims to predict a potential
254 causal gene from a population-specific CNV that can be associated with
255 pathogenic genes.

256 Noticeably, our method does not require network permutations, whereas
257 many existing methods of network/pathway based meta-analyses of GWAS
258 data do. This difference is because we first partitioned the network into
259 clusters and then perform association tests. In comparison, many network
260 based GWAS meta-analysis methods use traversal distances to seed genes to
261 evaluate candidate genes. This kind of traversal distance based method
262 generally prohibits pre-partition of network into clusters and require network
263 permutations for estimation of p-values. It can be seen that our cluster-
264 based method naturally accommodate multiple candidate genes in the

265 association analysis, whereas traversal distance in a network is by definition
266 often limited to single candidate gene evaluation.

267 In future studies, we plan to improve network clustering results by integrating
268 functional genomics data sets, such as gene expressions, into gene networks
269 to generate weighted interactions.

270 **Conclusions**

271 In this study, gene clusters were inferred from two human gene/protein
272 networks, HPRDNet and MultiNet, by MCL clustering algorithm with different
273 parameters. Each cluster was ranked based on products of FDR values based
274 on the right-tailed Fisher's exact tests for enrichment of pathogenic or CNV-
275 genes. Five clusters were consistently found to be enriched with both
276 pathogenic genes and genes located in African-American or Caucasian CNVs.
277 In cluster AA1, AA2, AA3 and AA4, gene *HSPB1* is duplicated more frequently
278 in African-Americans. In clusters CA1, gene *ATP2A1* is duplicated only in
279 Caucasians. All gene clusters are associated with certain diseases that occur
280 more often in one population than in the other. Although we only studied
281 population-preferred CNVs and did not consider the roles of other genetic
282 factors, our computational studies have generated some interesting
283 hypotheses for further experimental studies to understand health disparities
284 in these diseases.

285 **Author contributions**

286 HQ initiated this study. HQ and LY designed the overall project. YJ
287 implemented the methods and performed data analyses. All authors
288 participated in writing.

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Figure 1

Overview of our approach to identify CNVs associated with health disparities

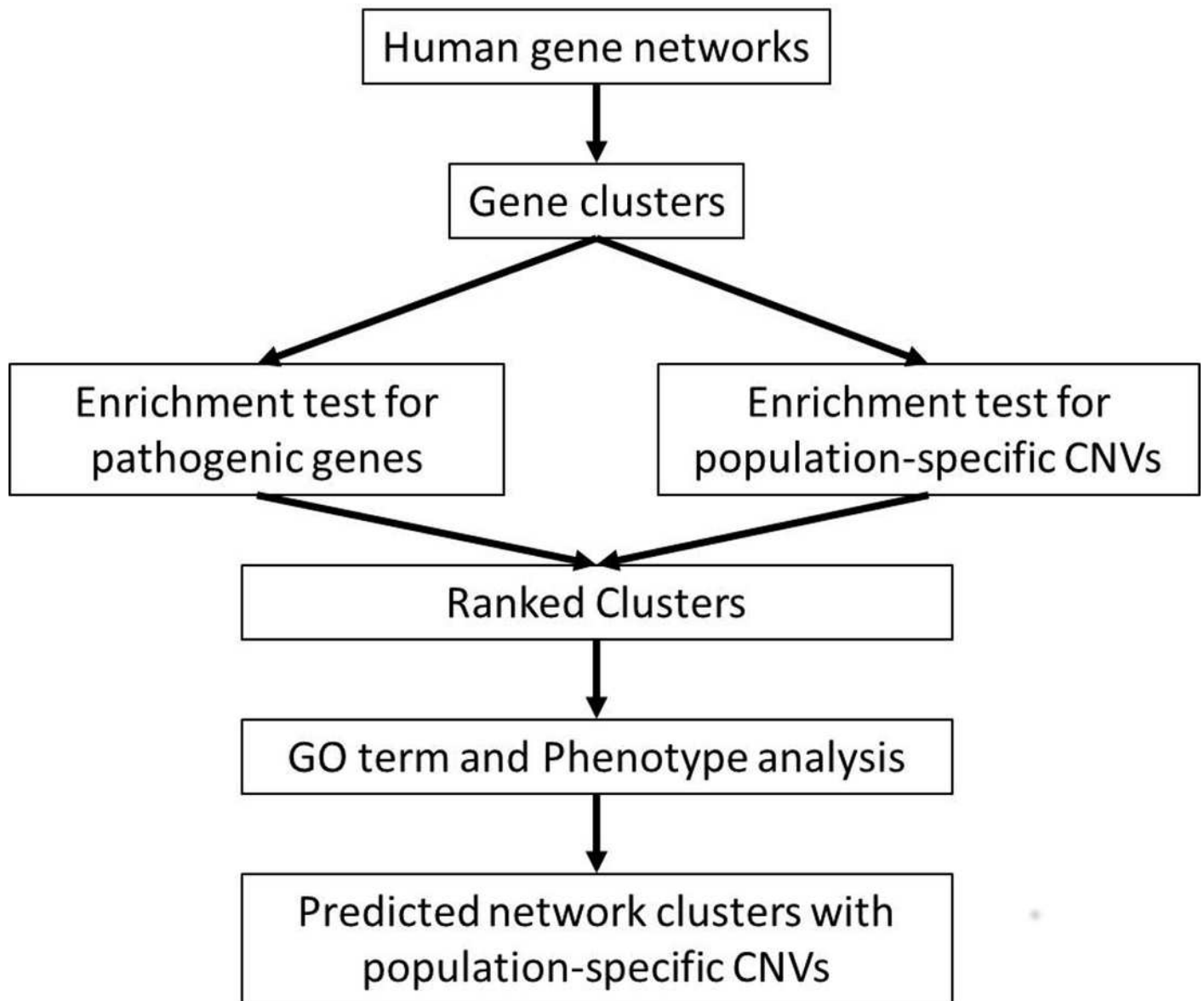


Figure 2

Graph representations of selected clusters for biological significance analysis.

Each rounded rectangle represents a gene and each gray line represents a gene-gene interaction. Black rounded rectangles represent non-pathogenic genes and orange rounded rectangles represent pathogenic genes. Genes labeled with red or blue ovals are located in African American CNVs or in Caucasian CNVs. Genes with Green lines share the same GO terms. In each cluster, different line types represent the enrichment of different GO terms. Line types shown in different clusters refer to the enrichment of different GO terms.

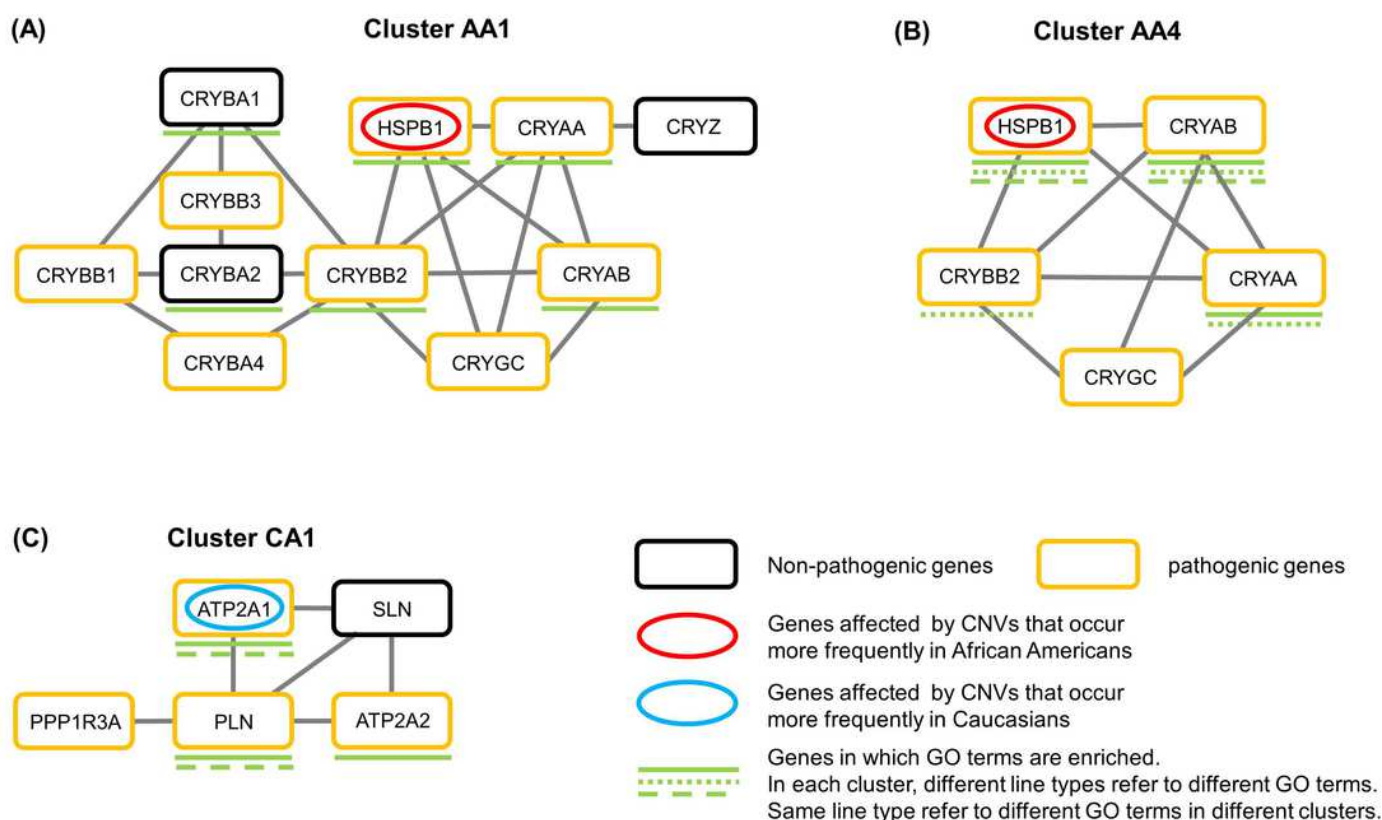


Table 1 (on next page)

Contingency tables

Table 1A. Contingency Table for Fisher's exact Test on Pathogenic Genes. Table 1B.

Contingency Table for Fisher's exact Test on CNV genes. For each cluster, contingency tables were constructed for right-tailed Fisher's exact Tests. Table 1A is for pathogenic significance test, and Table 1B is for tests of enrichment significance of CNV genes (CNV_AA or CNV_CA genes). Q and q are the number of pathogenic genes in the whole networks and that in current cluster, respectively. N and n are the number of genes in whole networks and that in current cluster, respectively. S and s are the number of CNV_AA or CNV_CA genes in the whole networks and that in current cluster, respectively.

Table 1A. Contingency Table for Fisher's exact Test on Pathogenic Genes

	Pathogenic Genes	Non-pathogenic Genes	Total
Genes in this cluster	q	m-q	m
Genes in other clusters	Q-q	N-Q-m+q	N-m
Total	Q	N-Q	N

Table 1B. Contingency Table for Fisher's exact Test on CNV genes

	CNV Genes	Non-CNV Genes	Total
Genes in this cluster	s	m-s	m
Genes in other clusters	S-s	N-S-m+s	N-m
Total	S	N-S	N

For each cluster, contingency tables were constructed for right-tailed Fisher's exact Tests. Table 1A is for pathogenic significance test, and Table 1B is for tests of enrichment significance of CNV genes (CNV_AA or CNV_CA genes). Q and q are the number of pathogenic genes in the whole networks and that in current cluster, respectively. N and m are the number of genes in whole networks and that in current cluster, respectively. S and s are the number of CNV_AA or CNV_CA genes in the whole networks and that in current cluster, respectively.

Table 2(on next page)

Cluster analysis results for HPRDNet and MultiNet

Table 2. Cluster analysis results for HPRDNet and MultiNet

Network	Cluster Name	CNV_AA	CNV_CA	Pathogenic gene number	Cluster Size
HPRDNet	AA1	<i>HSPB1</i>	-	8	11
	AA2	<i>HSPB1</i>	-	8	12
	AA3	<i>HSPB1</i>	-	8	13
	CA1	-	<i>ATP2A1</i>	4	5
MultiNet	AA4	<i>HSPB1</i>	-	5	5
	CA1	-	<i>ATP2A1</i>	4	5

Selected clusters were listed. CNV_AA and CNV_CA are CNV-related genes.

Table 3(on next page)

Detected genes with potential roles in health disparity and their located CNVs

Table 3. Detected genes with potential roles in health disparity and their located CNVs

Gene	Chr	Gene Coordinates	CNV Region	CNV Type	CNV Occurrence preference
HSPB1	7	75,931,861- 75,933,614	75,867,431- 76,481,102	Duplication	Only in African American
			75,929,740- 76,481,102	Duplication	Only in African American
			75,929,740- 76,568,388	Duplication	More in African American than in Caucasian
			28,306,730- 28,936,772	Duplication	Only in Caucasian
ATP2A1	16	28,889,726- 28,915,830			

Chr represents chromosomes. CNV Regions are regions of CNVs identified in more than a single individual; all CNVs listed have a type of Duplication, referring to one copy increase. CNV Regions and Types are from the CNV map (McElroy et al. 2009). CNV Occurrence preference describes in which population those CNVs have higher occurrence frequency.

Table 4(on next page)

Enriched GO terms with CNV-genes in the identified network clusters

Table 4. Enriched GO terms with CNV-genes in the identified network clusters

Clusters	Involved Genes	GO Domain	GO ID	GO term
AA1	HSPB1, CRYAA, CRYAB, CRYBB2, CRYBA1, CRYBA2	Molecular Function	GO:0042802	Identical protein binding
AA4	HSPB1, CRYAA, CRYAB	Biological Process	GO:0043086 GO:0043066 GO:0043069	negative regulation of catalytic activity negative regulation of apoptotic process negative regulation of programmed cell death
	HSPB1, CRYAA, CRYAB, CRYBB2	Molecular Function	GO:0042802	Identical protein binding
CA1	HSPB1, CRYAB	Cellular Component	GO:0030018	Z disc
	ATP2A1, ATP2A2, PLN	Biological Process	GO:0048878	chemical homeostasis
	ATP2A1, PLN	Biological Process	GO:0006937 GO:0008016	regulation of muscle contraction regulation of heart contraction

Biological relevance of network clusters was analyzed by GOrilla (Eden et al. 2009) to search for enriched gene ontology (GO) terms. Genes in the selected clusters were used as target genes, and all genes in the networks were treated as background genes. Three types of GO terms were analyzed: biological process, molecular function and cellular component. The default p -value threshold (1×10^{-3}) was used. In the results, enriched GO terms that are associated with CNV_AA gene HSPB1 and CNV_CA gene ATP2A1 were selected and listed in the table.

Table 5(on next page)

Associated diseases of genes with enriched GO terms.

Table 5. Associated diseases of genes with enriched GO terms.

Cluster	Gene	Associated Disease
AA1 and AA4	<i>HSPB1</i>	Axonal Charcot-Marie-Tooth disease type 2F Distal hereditary motor neuronopathy type 2B
	<i>CRYAA</i>	Multiple types of cataract 9
	<i>CRYAB</i>	Multiple types of cataract 16 Dilated cardiomyopathy-1II Myofibrillar myopathy-2 <i>CRYAB</i> -related fatal infantile hypertonic myofibrillar myopathy
	<i>CRYBB2</i>	Multiple types of Cataract 3
	<i>ATP2A1</i>	Brody myopathy
CA1	<i>ATP2A2</i>	Acrokeratosis verruciformis Darier disease
	<i>PLN</i>	Dilated cardiomyopathy-1P Familial hypertrophic cardiomyopathy-18

Only GO terms that contain CNV-genes are studied due to our focus on the role of CNV-genes in health disparity.