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Culture-independent detection and characterisation of *Mycobacterium tuberculosis* and *M. africanum* in sputum samples using shotgun metagenomics on a benchtop sequencer

Tuberculosis remains a major global health problem. Laboratory diagnostic methods that allow effective, early detection of cases are central to management of tuberculosis in the individual patient and in the community. Since the 1880s, laboratory diagnosis of tuberculosis has relied primarily on microscopy and culture. However, microscopy fails to provide species- or lineage-level identification and culture-based workflows for diagnosis of tuberculosis remain complex, expensive, slow, technically demanding and poorly able to handle mixed infections. We therefore explored the potential of shotgun metagenomics, sequencing of DNA from samples without culture or target-specific amplification or capture, to detect and characterise strains from the *Mycobacterium tuberculosis* complex in smear-positive sputum samples obtained from The Gambia in West Africa. Eight smear- and culture-positive sputum samples were investigated using a differential-lysis protocol followed by a kit-based DNA extraction method, with sequencing performed on a benchtop sequencing instrument, the Illumina MiSeq. The number of sequence reads in each sputum-derived metagenome ranged from 989,442 to 2,818,238. The proportion of reads in each metagenome mapping against the human genome ranged from 20% to 99%. We were able to detect sequences from the *M. tuberculosis* complex in all eight samples, with coverage of the H37Rv reference genome ranging from 0.002X to 0.7X. By analysing the distribution of large sequence polymorphisms (deletions and the locations of the insertion element IS6110) and single nucleotide polymorphisms (SNPs), we were able to assign seven of eight metagenome-derived genomes to a species and lineage within the *M. tuberculosis* complex. Two metagenome-

derived mycobacterial genomes were assigned to *M. africanum*, a species largely confined to West Africa; the others that could be assigned belonged to lineages T, H or LAM within the clade of “modern” *M. tuberculosis* strains. We have provided proof of principle that shotgun metagenomics can be used to detect and characterise *M. tuberculosis* sequences from sputum samples without culture or target-specific amplification or capture, using an accessible benchtop-sequencing platform, the Illumina MiSeq, and relatively simple DNA extraction, sequencing and bioinformatics protocols. In our hands, sputum metagenomics does not yet deliver sufficient depth of coverage to allow sequence-based sensitivity testing; it remains to be determined whether improvements in DNA extraction protocols alone can deliver this or whether culture, capture or amplification steps will be required. Nonetheless, we can foresee a tipping point when a unified automated metagenomics-based workflow might start to compete with the plethora of methods currently in use in the diagnostic microbiology laboratory.

2 **Culture-independent detection and characterisation of**
3 ***Mycobacterium tuberculosis* and *M. africanum* in**
4 **sputum samples using shotgun metagenomics on a**
5 **benchtop sequencer**

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15 Introduction

16 Tuberculosis (TB) is an infection, primarily of the lungs, caused by *Mycobacterium tuberculosis*
17 and related species within the *M. tuberculosis* complex. TB remains a major global health
18 problem, second only to HIV/AIDS in terms of global deaths from a single infectious agent—
19 according to estimates from the World Health Organisation (WHO), 8.6 million people
20 developed TB in 2012 and 1.3 million died from the disease, including 320,000 deaths among
21 HIV-positive individuals (WHO, 2013).

22 Central to management of TB in the individual patient and in the community are laboratory
23 diagnostic methods that allow effective, early detection of cases. Since the pioneering work of
24 Koch and Ehrlich in the 1880s, laboratory diagnosis of pulmonary TB has largely relied on acid-
25 fast staining of sputum samples and culture on selective laboratory media for the isolation of
26 mycobacteria (Ehrlich, 1882; Koch, 1882). Microscopy is still generally used as a first-line
27 diagnostic approach and as the only laboratory approach in resource-poor settings (Drobniewski
28 et al., 2012) Smear-positivity is also used as a guide to infectivity and responsiveness to
29 treatment. However, microscopy fails to provide species-level identification of acid-fast bacilli
30 (Maiga et al., 2012). Such identification is important in guiding treatment, because pathogenic
31 mycobacteria from outside the *M. tuberculosis* complex often fail to respond to conventional
32 anti-TB treatment (Maiga et al., 2012). Furthermore, there are important differences in response
33 to treatment even within the *M. tuberculosis* complex. *M. bovis* and *M. canettii* fail to respond to
34 the first-line anti-tuberculous agent pyrazinamide—as a result, failure to recognise *M. bovis* as a
35 cause of TB can have fatal consequences (Allix-Beguec et al., 2010). In addition, *M. canettii*
36 appears to show decreased susceptibility to a promising new anti-TB drug candidate, PA-824
37 (Feuerriegel et al., 2011; Feuerriegel et al., 2013).

38 There is also increasing recognition of lineage- or species-specific differences in pathogen
39 biology within the *M. tuberculosis* complex. *M. africanum*, which is largely restricted to West
40 Africa, where it causes up to half of human pulmonary TB, is associated with less transmissible
41 and less severe infection than typical strains of the “modern” *M. tuberculosis* clade (de Jong et
42 al., 2010b). Similarly, *M. canettii*, restricted to the horn of Africa, and *M. bovis*, both usually a
43 spillover from animals, transmit relatively poorly from human to human (Fabre et al., 2010;
44 Gonzalo-Asensio et al., 2014). By contrast, the Beijing-W lineage of *M. tuberculosis* *sensu*
45 *stricto*, which has spread around the world in recent decades, appears to cause more aggressive
46 disease and is more likely to become drug-resistant (Nicol and Wilkinson, 2008; Borgdorff and
47 van Soolingen, 2013).

48 Owing to the slow growth rate of the *M. tuberculosis* complex, traditional culture-based diagnosis
49 of TB typically takes several weeks or even months. Similarly, conventional phenotypic
50 mycobacterial sensitivity testing remains slow and may not be reliable for all classes of anti-
51 tuberculous agent. In recent decades, automated detection of growth in liquid culture, through
52 e.g. the mycobacteria growth indicator tube (MGIT), has led to improvements in the speed and
53 ease of diagnosis, so that diagnosis by culture is now often possible within a fortnight (Pfyffer et
54 al., 1997).

55 However, in comparison to most other laboratory procedures, culture-based diagnostic workflows
56 for TB remain complex, expensive, slow, technically demanding and require expensive

57 biocontainment facilities. Furthermore, as isolation of mycobacteria in pure culture and
58 sensitivity testing remain onerous, in resource-poor settings these steps are omitted and, even in
59 well-resourced laboratories, typically only one or a few single-colony subcultures are followed up
60 from each sample. This leads to under-recognition of mixed infections, where more than one
61 strain from the *M. tuberculosis* complex is present or where TB co-occurs with infection by other
62 mycobacteria (Shamputa et al., 2004; Warren et al., 2004; Cohen et al., 2011; Wang et al., 2011).
63 This can lead to difficulties in treatment when strains or species susceptible to conventional anti-
64 tuberculous treatment co-exist with resistant strains or species within the same patient (Hingley-
65 Wilson et al., 2013).

66 As an alternative to culture and phenotypic sensitivity testing, the WHO has recently
67 recommended a new, rapid, automated, real-time amplification-based TB diagnostic test, the
68 Xpert MTB/RIF assay (WHO, 2011). This system allows simultaneous detection of *M.*
69 *tuberculosis* and rifampicin-resistance mutations in a closed system, suitable for use in a simple
70 laboratory setting, while providing a result in less than two hours directly from sputum samples
71 (Helb et al., 2010). However, this approach performs suboptimally on mixed infections, fails to
72 provide the full range of clinically relevant information (e.g. speciation, susceptibility to other
73 agents) and, in sampling only a small fraction of the genome, affords no insight into pathogen
74 biology, evolution, and epidemiology (Zetola et al., 2014).

75 Epidemiological investigation of clinical isolates from the *M. tuberculosis* complex plays an
76 important role in the management and control of TB. A range of molecular typing schemes have
77 been developed, including IS6110 fingerprinting, mycobacterial interspersed repetitive unit-
78 variable number of tandem repeat (MIRU-VNTR) and spoligotyping (Jagielski et al., 2014).
79 These approaches can be valuable in distinguishing relapse from re-infection and in recognising
80 mixed infections within the individual patient, as well as identifying sources of infection,
81 detecting outbreaks and tracking spread of lineages within a community. However, as these
82 approaches usually require isolation of the pathogen in pure culture, clinically relevant typing
83 data is typically not available until 1-2 months after collection of a sputum sample.

84 Over the past fifteen years, whole-genome sequencing has been applied to a steadily wider range
85 of isolates from *M. tuberculosis* and related species (Cole et al., 1998; Brosch et al., 2002;
86 Gutierrez et al., 2009). These efforts have shed light on the evolution and population structure of
87 this group of pathogens, showing that members of the *M. tuberculosis* complex are
88 reproductively isolated, engaging in almost no horizontal gene transfer and showing a clonal
89 population structure in which lineages diverge through a limited set of genetic changes, including
90 point mutations, deletions, movement of insertion elements and rearrangements within repetitive
91 regions. Whole-genome analyses allow isolates to be assigned to a range of species, global
92 lineages and sub-lineages on the basis of single nucleotide polymorphisms (SNPs) and large
93 sequence polymorphisms (typically deletions, which are often termed “regions of difference” or
94 RDs, and insertion of the transposable element IS6110).

95 In recent years, the availability of rapid, cheap high-throughput sequencing and, particularly, the
96 arrival of user-friendly benchtop sequencing platforms, such as the Illumina MiSeq (Loman et al.,
97 2012a; Loman et al., 2012b), have led to the widespread use of whole-genome sequencing in TB
98 sensitivity testing and epidemiology, with adoption of whole-genome sequencing for routine use
99 in some TB reference laboratories (Gardy et al., 2011; Koser et al., 2012; Roetzer et al., 2013;

100 Walker et al., 2013; Walker et al., 2014). However, high-throughput sequencing has not yet been
101 used as a diagnostic tool for TB, because it has been assumed that one needs to subject clinical
102 samples to prolonged culture before sufficient mycobacterial DNA can be obtained for whole-
103 genome sequencing and analysis. Some researchers (Koser et al., 2013) have recently challenged
104 this assumption by obtaining mycobacterial genome sequences from DNA extracted directly from
105 a three-day MGIT culture of a sputum sample. However, this begs the questions: why bother with
106 culture; why not obtain mycobacterial genome sequences directly from a sputum sample, without
107 culture?

108 Shotgun metagenomics—that is the unbiased sequencing *en masse* of DNA extracted from a
109 sample without target-specific amplification or capture—has provided a powerful assumption-
110 free approach to the recovery of bacterial pathogen genomes from contemporary and historical
111 material (Pallen, 2014). This approach allowed an outbreak strain genome to be reconstructed
112 from stool samples from the 2011 *Escherichia coli* O104:H4 outbreak and has proven successful
113 in obtaining genome-wide sequence data for *Borrelia burgdorferi*, *M. leprae*, *M. tuberculosis* and
114 *Brucella melitensis* from long-dead human remains (Keller et al., 2012; Chan et al., 2013; Loman
115 et al., 2013; Schuenemann et al., 2013; Kay et al., 2014). Metagenomics has recently provided
116 clinically useful information in cases of chlamydial pneumonia and neuroleptospirosis (Fischer et
117 al., 2014; Wilson et al., 2014).

118 Here, we explore the potential of metagenomics in detecting and characterising *Mycobacterium*
119 *tuberculosis* and *M. africanum* strains in smear-positive sputum samples from patients from The
120 Gambia in West Africa.

121 Materials and Methods

122 Microbiological analysis and sample selection

123 Eight smear- and culture-positive sputum samples were selected for metagenomic analysis from
124 specimens collected in May 2014 under the auspices of the Enhanced Case Finding project
125 (<http://clinicaltrials.gov/show/NCT01660646>). The joint Gambia Government/MRC Ethics
126 Committee approved this investigation under reference SCC 1232 and informed written consent
127 was obtained for all participants. The sputum samples were collected by expectoration into a
128 sterile cup and transported on ice to the TB laboratory at the MRC Gambia unit within 24 hours
129 of collection.

130 Prior to selection for metagenomic investigation, an aliquot of each sample was subjected to
131 microbiological analysis. These specimens were decontaminated by the sodium hydroxide and *N*-
132 acetyl-l-cysteine (NaOH/NALC) method, with final concentrations of 1% for NaOH, 1.45%
133 sodium citrate and 0.25% for NALC. Sputum smears were prepared by centrifuging 3-10 ml
134 decontaminated sputum and then resuspending pellets in 2ml buffer. Smears were stained with
135 auramine-O and then examined by fluorescence microscopy. Positive smears were confirmed by
136 Ziehl-Neelsen staining. 20-100 fields were examined at 1000X magnification and smear-positive
137 samples were scored quantitatively as 1+, 2+ or 3+ (Kent and Kubica 1985). The presence of *M.*
138 *tuberculosis complex* in samples was confirmed by culture in the BACTEC MGIT 960
139 Mycobacterial Detection System and on slopes of Löwenstein–Jensen medium. Cultured isolates
140 were subjected to spoligotyping as previously described (Kamerbeek et al., 1997; de Jong et al.,
141 2009).

142 DNA extraction using differential lysis

143 DNA extraction was performed in the TB laboratory in the MRC Unit in The Gambia. Aliquots
144 of unprocessed sputum were subjected to a differential lysis protocol, modified from a published
145 method for metagenomic analysis of sputum from cystic fibrosis patients (Lim et al., 2012). In
146 this method, human cells are subjected to osmotic lysis and then the liberated human DNA is
147 removed by DNase treatment. To monitor contamination within the laboratory, we processed two
148 negative-control samples containing only sterile water via the same method.

149 At the start of the differential lysis protocol, a 1mL aliquot of whole sputum was mixed with 1
150 mL decongestant solution (0.25g N-acetyl L-cysteine, 25mL 2.9 % sodium citrate, 25 mL water)
151 until liquefied and incubated for 15 min at room temperature. 48mL phosphate-buffered solution
152 (pH 7) was added and mixed thoroughly, before centrifugation at 3220 x g for 20 min. The pellet
153 was resuspended in 10 mL sterile deionised water and incubated at room temperature for 15 min,
154 so that human cells undergo osmotic lysis, while mycobacterial cells remain intact. The
155 centrifugation and resuspension-in-water steps were repeated before a final round of
156 centrifugation. The pellet was then treated with the RNase-Free DNase Set (Qiagen), adding 25
157 μ L DNase I (2.73 Kunitz units per μ L), 100 μ L RDD buffer and 875 μ L sterile water. The sample
158 was then incubated at room temperature for 2 hours, with repeated inversion of the tubes. The
159 sample underwent two rounds of centrifugation and resuspension of the pellet in 10 mL TE buffer
160 (0.01M Tris-HCl, 0.001 M EDTA, pH 8.0). Finally, before DNA extraction began, the sample
161 was centrifuged and the pellet was resuspended in 500 μ L TE buffer. On completion of the

162 differential lysis protocol, samples underwent heat treatment at 75 °C for 10 min, followed by
163 DNA extraction using a commercial kit, the NucleoSpin Tissue-Kit (Macherey-Nagel, Duren,
164 Germany), according to the manufacturer's protocol for hard-to-lyse bacteria.

165 **Library preparation and sequencing**

166 DNA samples were sent to Warwick Medical School, Coventry, UK, where all further laboratory
167 and bioinformatics analyses were performed. The concentration of DNA present in each extract
168 was determined using the Qubit 2.0 fluorometer and Qubit® dsDNA Assay Kits according to the
169 manufacturer's protocol (Invitrogen Ltd., Paisley, United Kingdom), using the HS (high-
170 sensitivity) or BR (broad-range) kits, depending on the DNA concentration. There was no
171 detectable DNA in the negative control samples with the HS kit, which is sensitive down to 10
172 pg/µL. DNA extracts were diluted to 0.2 ng/µL and were then converted into sequencing
173 libraries, using the Illumina Nextera XT sample preparation kit according to the manufacturer's
174 instructions (Illumina UK, Little Chesterford, United Kingdom). The libraries were sequenced on
175 the Illumina MiSeq at the University of Warwick.

176 **Identification of human and mycobacterial sequences**

177 Sequence reads were mapped against the genome of *Mycobacterium tuberculosis* H37Rv
178 (GenBank accession numbers AL123456) and the human reference genome hg19 (GenBank
179 Assembly ID: GCA_000001405.1), using Bowtie2 version 2.1.0 (Langmead and Salzberg, 2012),
180 using relaxed and stringent protocols. The relaxed protocol exploited the option --very-
181 sensitive-local. The stringent protocol allowed only limited mismatches (3 per 100 base
182 pairs) and soft clipping of poor quality ends, by exploiting the options --ignore-quals
183 --mp 10,10 --score-min L,0,0.725 --local --ma 1. A custom-built script was
184 used to convert coverage data from the BAM files into a tab-delimited format that was then
185 entered into Microsoft Excel, which was then used to generate coverage plots. Metagenomic
186 sequence reads from this study (excluding those that mapped to the human genome) have been
187 deposited in the European Nucleotide Archive (project accession number pending).

188 **Species and lineage assignment using low-coverage SNPs**

189 For the phylogenetic analysis using SNPs, we selected representative genomes from each of the
190 species and major lineages within the *M. tuberculosis* complex that infect humans, drawing on
191 lineage designations reported by PolyTB. Genome sequences were taken from entries in the short
192 read archive ERP000276 and ERP000124 (<http://www.ncbi.nlm.nih.gov/Traces/sra/>). We then
193 mapped these genomes against *M. tuberculosis* H37Rv with Bowtie2 under default settings and
194 then called SNPs using VarScan2 (Koboldt et al., 2012). Any SNPs that fell within a set of
195 previously published repetitive genes were excluded from further analysis (Comas et al., 2010).
196 SNPs were used to construct a tree with RAxML version 7 (Stamatakis, 2014), using default
197 parameters with the GTR-gamma model. Reads from the metagenome from each sample were
198 mapped against the reference strain *M. tuberculosis* H37Rv using the default settings in Bowtie2
199 and the majority base called from each SNP position with no quality filtering. If no base was
200 present at the position, a gap was used. The pplacer suite of programs (Matsen et al., 2010) was
201 then used to assign the sequence to a species and lineage on the mycobacterial tree.

202 **Lineage assignment using IS6110-insertion-site profiles**

203 We mapped each metagenome against the sequence of IS6110 (Genbank accession number:
204 AJ242908) using Bowtie's `--local` option, which performs a softclipping of the mapped
205 sequences. We then extracted IS6110-flanking sequences by retrieving all sequences >30bp that
206 had that had been softclipped from the ends of the element. These sequences were then mapped
207 against the H37Rv genome using Bowtie2 and the coordinates of the IS6110 insertion points
208 determined.

209

Results

210 **Detection of the *M. tuberculosis* complex in sputum
211 samples**

212 We obtained metagenomic sequences from eight smear- and culture-positive sputum samples.
213 The number of sequence reads in each sputum-derived metagenome ranged from 989,442 to
214 2,818,238 (Table 1). The proportion of reads from each sample mapping to the human reference
215 genome hg19 varied from 20% to 99%.

216 Coverage from reads mapping to the genome of the *M. tuberculosis* reference strain H37Rv under
217 relaxed settings ranged from 0.009X to 1.3X (Table 2). However, we suspected that many of the
218 matches represented false-positives. To confirm our suspicion, we calculated the average read
219 depth at the positions where reads matched.

220 If the matches occurred because of sequence identity with conserved genes from other species,
221 one would expect there to be multiple reads matching each mapped position, whereas for a
222 shotgun library where the coverage is less than 1X, one would expect the average read depth to
223 be around 1. However, as we created our sequence libraries using a paired-end protocol, there
224 will be variable overlap between reads originating from the same DNA fragment, so one would
225 expect the average read depth for a genuine random shotgun under these conditions to sit between
226 1 and 2. However, when mapping was performed under relaxed conditions, the average read
227 depth was >2 in six of the eight samples and in two cases was >7 (Table 2), indicating a major
228 contribution from spurious matches to conserved genes.

229 To restrict matches to the H37Rv genome to genuine on-target alignments, we then mapped each
230 metagenome against the reference strain under high-stringency conditions (≤ 3 mismatches per
231 100 base pairs, with soft clipping of poor quality ends). This led to a decrease in reads mapping
232 to H37Rv in all samples, with coverage of the H37Rv under stringent settings ranging from
233 0.002X to 0.7X. Nonetheless, we recovered between ~11,000 and 3 million base pairs of *M.*
234 *tuberculosis* sequence from our samples under such stringent conditions (Table 2). The average
235 read depth in the samples fell to between 1.2 and 1.9, consistent with expectations for a random
236 shotgun (Table 2).

237 **Phylogenetic placement of *M. tuberculosis* strains using
238 SNPs**

239 Conventional phylogenetic methods based on identification of trusted SNPs cannot be applied to
240 the kinds of low-coverage genome sequences we have obtained here. However, the technique of
241 "phylogenetic placement" provides an alternative solution (Matsen et al., 2010; Kay et al., 2014).

242 Here, one draws on a fixed reference tree, computed from high-coverage genomes, and places the
243 unknown query sequence on to the tree using programs such as pplacer (Matsen et al., 2010). To
244 perform phylogenetic placements on our samples, we derived a set of phylogenetically
245 informative SNPs from representatives of the major lineages within the *M. tuberculosis* complex.
246 We then analysed reads from each of the sputum metagenomes that aligned to equivalent
247 positions in the H37Rv genome.

248 Using this approach, despite the low coverage, we could confidently assign (with a posterior
249 probability of >0.97), all but one of the metagenome-derived mycobacterial genomes to a species
250 and lineage within the *M. tuberculosis* complex (Figure 1). In all these cases, the conclusions
251 from metagenomics matched those from spoligotyping of cultured isolates (Table 3). For two of
252 the samples (K3, K5), the metagenome-derived genome was assigned to *M. africanum* clade 2,
253 which is consistent with the known high-prevalence of this lineage in The Gambia (de Jong et al.,
254 2010a). Five samples were assigned to the Euro-American lineage (also termed Lineage 4),
255 which sits within the clade of modern *M. tuberculosis* strains and which is known to be highly
256 prevalent in The Gambia (de Jong et al., 2010a). Phylogenetic placement allowed three of these
257 samples to be assigned to sub-lineage H, one to the T-clade and one to the LAM clade.

258 **Species and lineage assignment using IS6110 insertion 259 sites**

260 From four samples, we were able to retrieve information on IS6110 insertion sites (Table 4). In
261 two of the three samples (K2, K4) assigned to the H clade by phylogenetic placement, we
262 discovered IS6110 insertion sites that had previously been reported as specific to the Haarlem or
263 H clade (HSI1, HSI2, HSI3), thereby confirming the SNP-based lineage assignment (Cubillos-
264 Ruiz et al., 2010). In the sample assigned to the LAM clade, we retrieved information on a single
265 IS6110 insertion site, which disrupts the coding sequence Rv3113. This insertion has been
266 reported as specific to the LAM clade (Lanzas et al., 2013), again confirming the SNP-based
267 lineage assignment. In one of the two samples assigned to *M. africanum*, we retrieved
268 information on a single IS6110 insertion site. However, this insertion appeared to be absent from
269 all other available genome-sequenced strains from the *M. tuberculosis* complex, so was
270 phylogenetically uninformative.

271 **Discussion**

272 Here, we have provided proof of principle that shotgun metagenomics can be used to detect and
273 characterise *M. tuberculosis* sequences from sputum samples without culture or target-specific
274 amplification or capture, using an accessible benchtop-sequencing platform, the Illumina MiSeq,
275 and relatively simple DNA extraction, sequencing and bioinformatics protocols.

276 There are several proven or potential advantages to metagenomics as a diagnostic approach for
277 pulmonary TB. By circumventing the need for culture, it could provide information more quickly
278 than conventional approaches. Even in this proof-of-principle study, for most samples it has
279 provided more detailed information than conventional approaches, including spoligotyping. In
280 addition, it represents an open-ended one-size-fits-all approach that could allow the reunification
281 of TB microbiology with other sputum microbiology, particularly as metagenomics has already
282 been shown to work on other respiratory tract pathogens, including bacteria and viruses (Lysholm
283 et al., 2012; Fischer et al., 2014). It also aids in the detection of mixed infections (Chan et al.,

284 2013; Koser et al., 2013), which are clinically important, but hard to recognise (Shamputa et al.,
285 2004; Warren et al., 2004; Cohen et al., 2011; Wang et al., 2011; Hingley-Wilson et al., 2013).

286 However, as things stand, there are several important limitations to metagenomics as a diagnostic
287 approach. Our study has been limited to the investigation of smear-positive sputum samples,
288 where a diagnosis can already be obtained quickly and easily by microscopy; considerable
289 improvements in sensitivity are likely to be needed before metagenomics can be made to work on
290 smear-negative culture-positive samples. However, it is worth stressing that smear-positive cases
291 are the most important TB cases in terms of infectivity and severity of disease and rapid, accurate
292 diagnosis and epidemiological investigation of such samples is likely to aid TB control (Shaw
293 and Wynn-Williams, 1954; Colebunders and Bastian, 2000; Wang et al., 2008). Plus, for all our
294 samples, metagenomics goes beyond mere detection of acid-fast bacilli to deliver clinically
295 important information at the level of species and lineage within the *M. tuberculosis* complex.

296 Surprisingly, metagenomics has not proven quite so informative when applied to contemporary
297 sputum samples as when applied to historical samples, from which we have gained much higher
298 coverage of pathogen genomes, which allowed recognition of phylogenetically informative large
299 sequence polymorphisms (Chan et al., 2013; Kay et al., 2014). Furthermore, in our hands, sputum
300 metagenomics does not yet deliver sufficient depth of coverage of TB genomes to allow the
301 accurate SNP calling necessary for sequence-based sensitivity testing. It remains unclear whether
302 increased depth of coverage can be achieved by refinements in DNA extraction protocols alone—
303 or whether one might need to sacrifice the speed, simplicity and open-endedness of shotgun
304 metagenomics by incorporating amplification of mycobacterial DNA or cells (i.e. by culture in
305 MGIT tubes (Koser et al., 2013)) or by capture of mycobacterial cells or DNA (Sweeney et al.,
306 2006; Bouwman et al., 2012; Schuenemann et al., 2013).

307 Some have argued that metagenomics is too expensive for routine use (Köser et al., 2014).
308 However, the same was true of whole-genome sequencing a few years ago; in this study, reagent
309 costs amounted to <£50 per sample. Plus, with minor modifications, we anticipate that DNA
310 extraction could be completed in a few hours of receipt of a sputum sample and sequencing and
311 analysis within a few days. In addition, now that cultured TB isolates are being routinely genome
312 sequenced in many laboratories (Koser et al., 2012; Kohl et al., 2014), a catalogue of local TB
313 genomes will be available for comparison with the metagenome-derived genomes, facilitating
314 epidemiological analyses

315 With likely future improvements in the ease, throughput and cost-effectiveness of sequencing,
316 twinned with commoditisation of laboratory and informatics workflows, one can foresee a tipping
317 point when a unified automated metagenomics-based workflow might start to compete with the
318 plethora of methods currently in use in the diagnostic microbiology laboratory, while also
319 delivering additional useful information on epidemiology, antimicrobial resistance and pathogen
320 biology.

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326 Kay and Andrew Millard for advice on laboratory and bioinformatics procedures.

327 **Tables**328 **Table 1 Sample characteristics and sequencing results**

Sample	ZN grade	DNA concentration in extract (µg/mL)	Total no. reads	% reads aligning to human genome
K1	3+	27.8	989,442	73.71
K2	3+	2.28	2,170,640	78.46
K3	2+	71	1,617,808	99.3
K4	2+	250	1,204,408	97.22
K5	2+	7.7	1,537,676	74.17
K6	2+	48.8	2,411,708	97.47
K7	1+	25	2,818,238	50.59
K8	1+	0.63	1,851,892	20.29

329 **Table 2 Mapping to *M. tuberculosis* H37Rv reference genome**

Sample	Under relaxed mapping conditions			Under stringent mapping conditions		
	Bases aligning to H37Rv	Coverage of H37Rv	Average read depth	Bases aligning to H37Rv	Coverage of H37Rv	Average read depth
K1	410,228	0.093	2.2	141,906	0.032	1.3
K2	5,685,901	1.289	2.3	3,057,187	0.693	1.9
K3	99,643	0.023	1.3	54,413	0.012	1.2
K4	40,019	0.009	1.9	10,840	0.002	1.3
K5	732,623	0.166	2.5	238,451	0.054	1.3
K6	94,023	0.021	2.3	34,704	0.008	1.7
K7	1,366,309	0.310	11.4	50,873	0.012	1.5
K8	1,725,816	0.391	7.7	109,514	0.025	1.3

330 Table 3 Species and lineage assignments by phylogenetic placement and spoligotyping

Sample	Phylogenetic placement by pplacer		Spoligotyping	
	Species, lineage, clade	Posterior probability	Lineage	Spoligotype
K1	<i>M. tuberculosis</i> Euro-American / Lineage 4 LAM clade	1	Euro-American	1101111111101111110000111111100001111011
K2	<i>M. tuberculosis</i> Euro-American / Lineage 4 H clade	1	Euro-American	111111111111111111111111111111110100001111111
K3	<i>M. africanum</i> Lineage 6 <i>M. africanum</i> clade 2	1	West African 2	1111110001111111110000010000111111101111
K4	<i>M. tuberculosis</i> Euro-American / Lineage 4 H clade	0.99	Euro-American	111111111111111111111111111111110100001111111
K5	<i>M. africanum</i> Lineage 6 <i>M. africanum</i> clade 2	1	West African 2	111111000111111111111111111111111111111101111
K6	Not determined		West African 2	111111000111111111111111111111111111111101111
K7	<i>M. tuberculosis</i> Euro-American / Lineage 4 H clade	0.97	Euro-American	111111111111111111111111111111110100001111111
K8	<i>M. tuberculosis</i> Euro-American / Lineage 4 T clade	1	Euro-American	11111100000000000000000000000011111100001111111

331 **Table 4 IS6110 profiles**

Sample	No. reads mapping to IS6110	No. reads spanning IS6110 insertion site	IS6110 insertion site coordinates	Comments
K1	11	1	3480371	Specific to LAM clade
K2	199	22	2610861 (HSI1), 1075947-1075950 (HSI2), 1715974 (HSI3). 212132-212135, 483295-483298, 888787, 1695606, 1986622-1986625, 3120523	HSI1, HSI2, HSI3 specific to H clade:
K3	2	0	Not determined	
K4	6	2	2610861-2610864 (HSI1)	HSI1 specific to H clade
K5	4	1	2631765	Unique so uninformative
K6	0	0	Not determined	
K7	2	0	Not determined	
K8	5	0	Not determined	

332 **Supplementary Data**

- 333 Detailed phylogenetic placement of metagenome-derived genomes
334 SNP matrix used to generate tree.
335 List of repetitive genes excluded from SNP calling.

336

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Figure 1

Figure 1 Maximum likelihood tree showing placement of mycobacterial metagenome-derived genomes amongst the major lineages and clades within the *M. tuberculosis* complex.

Detection and characterisation of *Mycobacterium tuberculosis* in sputum samples using shotgun metagenomics Two representatives from each lineage/clades are shown. Tree calculated using RaXML and rooted with *M. canetti* (not shown)

