

Meta-analysis of resting-state fMRI in depression

generating spatial hypotheses for potential clinical applications

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Information derived from functional magnetic resonance imaging (fMRI) during wakeful rest has been introduced as a candidate diagnostic biomarker in unipolar major depressive disorder (MDD). Multiple reports of resting state fMRI in MDD describe group effects. Such prior knowledge can be adopted to pre-select potentially discriminating features, for example for diagnostic classification models with the aim to improve diagnostic accuracy. Purpose of this analysis was to consolidate spatial information about alterations of spontaneous brain activity in MDD to serve such feature selection and as a secondary aim to improve understanding of disease mechanisms. 32 studies were included in final analyses. Coordinates extracted from the original reports were assigned to two categories based on directionality of findings. Meta-analyses were calculated using the non-additive activation likelihood estimation approach with coordinates organized by subject group to account for non-independent samples. Results were compared with established resting state networks (RSNs) and spatial representations of recently introduced temporally independent functional modes (TFMs) of spontaneous brain activity. Converging evidence revealed a distributed pattern of brain regions with increased or decreased spontaneous activity in MDD. The most distinct finding was hyperactivity/ hyperconnectivity presumably reflecting the interaction of cortical midline structures (posterior default mode network components associated with self-referential processing and the subgenual anterior cingulate cortex) with lateral frontal areas related to externally-directed cognition. One particular TFM seems to better comprehend the findings than classical RSNs. Alterations that can be captured by resting state fMRI show considerable overlap with those identifiable with other neuroimaging modalities though differing in some aspects.

1. Introduction

Mental disorders featuring depression as a predominant symptom and more specifically major depressive disorder (MDD) are important worldwide public health concerns. In recent years significant progress has been achieved regarding the identification of biological correlates and potential neural mechanisms involved in the pathogenesis of

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6 MDD. These scientific efforts comprise studies of genetic foundations, molecular
7 mechanisms including neurotransmitter systems and structural as well as functional
8 neuroimaging (Kupfer et al., 2012). Thereby candidate neural systems have been
9 identified that support emotion processing, reward seeking, regulate emotion and are
10 therefore presumed to play an important role in MDD. These networks include
11 subcortical as well as cortical (particularly prefrontal and cingulate) brain regions
12 modulated by serotonin and dopamine neurotransmission (Kupfer et al., 2012).

13 A majority of reported functional magnetic resonance imaging (fMRI) studies in MDD
14 has applied stimulus-based acquisition protocols. Participants were confronted with
15 predefined stimuli in the scanner, e.g. pictures of emotional faces. Brain activity in
16 response to these stimuli was analyzed (Groenewold et al., 2013; Fitzgerald et al., 2008;
17 Diener et al., 2012; Delvecchio et al., 2012; Stuhmann et al., 2011). Stimulus-based fMRI
18 requires rather complex experimental setups. In contrast, fMRI at rest, so-called resting-
19 state fMRI (rs-fMRI), facilitates the examination of spontaneous neural activity in
20 networks that highly resemble those observed in task-based fMRI (Smith et al., 2009). It
21 necessitates simpler, but nonetheless highly standardized procedures (Van Dijk et al.,
22 2010) and has therefore attracted attention by researchers interested in clinical
23 applications of fMRI (Lee et al., 2012; Zhang and Raichle, 2010; Sundermann et al., 2014).

24 Analyses of rs-fMRI data are usually either based on regional features or on connectivity
25 of distant brain regions. Typical regional measures include regional homogeneity
26 (ReHo) or the (fractional) amplitude of characteristic low-frequency fluctuations (ALFF
27 or fALFF). Functional connectivity (FC) can be operationalized as the temporal
28 correlation of signal fluctuations in remote brain areas. Conventional FC-analyses are
29 seed-based but FC-analyses in a wider sense include independent component analyses
30 or complex graph theoretical network measures. A minority of studies has applied
31 analyses of effective connectivity (such as Granger causality taking temporal
32 dependencies into account) (Margulies et al., 2010; van den Heuvel and Hulshoff Pol,
33 2010). Rs-fMRI is increasingly adopted scientifically in subjects with MDD (Wang et al.,
34 2012; Kühn and Gallinat, 2013). Despite the qualitative similarity of networks observed
35 during task-fMRI and rs-fMRI it has not been firmly established which features of
36 stimulus-related neural correlates of MDD can be sufficiently captured by rs-fMRI. The
37 exact relation of rs-fMRI and other neuroimaging methods at rest including positron
38 emission tomography (PET) is still subject to ongoing research as well (Chetelat et al.,
39 2013; Riedl et al., 2014).

40 Whereas most neuroimaging studies in MDD focus on disease mechanisms at the group
41 level, there is substantial interest in identifying biomarkers that are clinically applicable

42 as diagnostic tools in single subjects (Mossner et al., 2007; Atluri et al., 2013; Schneider
43 and Prvulovic, 2013). Particularly, important recent approaches for diagnostic
44 classification in various mental disorders are based on the combination of rs-fMRI with
45 multivariate pattern analysis techniques (MVPA) (Orru et al., 2012; Zarogianni et al.,
46 2013; Klöppel et al., 2011; Sundermann et al., 2014). Seminal work in this field has been
47 done in subjects with MDD (Craddock et al., 2009). Functional neuroimaging data are
48 typically rather noisy and high-dimensional. Therefore, different feature selection (FS)
49 methods have been proposed to identify a subset of most informative features to be used
50 with the aim to increase classification accuracy (Pereira et al., 2009). There is a
51 fundamental distinction between FS approaches using prior knowledge (Chu et al., 2012)
52 and data-driven methods, particularly filters or wrappers, that use the training dataset
53 itself for FS (Pereira et al., 2009; Mwangi et al., 2013). Recent evidence from structural
54 neuroimaging in dementia indicates that FS based on prior knowledge may be
55 advantageous. In that report support vector machines (SVM) were used for classification
56 (Chu et al., 2012). Such kernel methods like SVM are especially popular in recent
57 attempts to classify fMRI datasets (Orru et al., 2012; Sundermann et al., 2014).

58 There seems to be a substantive body of scientific studies on rs-fMRI in MDD now.
59 However methods of data analysis and results are very heterogeneous. Previous efforts
60 to summarize these findings have focused on specificity and interpretability regarding
61 disease mechanisms and therefore adopted rather exclusive study selection criteria
62 (Kühn and Gallinat, 2013) or qualitative methods of data synthesis (Wang et al., 2012).
63 Consequently, they are not optimally suited to select brain areas that contain
64 particularly important information for clinical decisions in MDD.

65 Purpose of this meta-analysis is to consolidate spatial information about alterations of
66 spontaneous brain activity in patients with unipolar depression compared to healthy
67 controls. This investigation is primarily intended to generate and make available “prior
68 knowledge” that can be readily used as spatial hypotheses in rs-fMRI studies in MDD.
69 This includes but is not limited to pre-selection of features for diagnostic MVPA
70 approaches. In addition results are compared with well-established resting-state
71 networks (RSNs) and spatial representations of recently introduced temporally
72 independent functional modes (TFMs) in the human brain to generate network-based
73 hypotheses to be tested in further investigations. By emphasizing spatial precision and
74 sensitivity this approach only provides limited information about the exact functional
75 nature of altered spontaneous brain activity in MDD.

76

77 2. Materials and Methods

78 2.1 Identification and selection of relevant studies

79 We conducted a PubMed (<http://www.ncbi.nlm.nih.gov/pubmed/>) search using the
80 following query on August 20, 2013: ("*depression*" OR "*depressive*") AND ("*fMRI*" OR
81 "*functional MRI*" OR "*functional magnetic*") AND ("*functional connectivity*" OR "*resting*
82 "*state*" OR "*resting-state*").

83 Initially, 183 results were identified. In addition, we also screened a recent review for
84 further papers (Wang et al., 2012) and a prior rather exclusive meta-analysis (Kühn and
85 Gallinat, 2013) comprising rs-fMRI alterations in depression. Thereby six additional
86 articles were identified. Titles and abstracts were manually screened twice (by two
87 individuals, BS and MOLB) for studies (in English language) reporting results on rs-fMRI
88 in adult patients with typical subtypes of unipolar depression (not adolescent,
89 postpartum and late-life depression as well as studies that aimed at investigating a
90 specific comorbidity) compared to healthy controls. Of these 51 studies identified, whole
91 text versions were screened for studies fulfilling these criteria as well as including at
92 least 10 subjects per group and reporting resulting coordinates of group comparisons
93 (depression versus healthy controls) in either MNI/ICBM (Mazziotta et al., 2001) or
94 Talairach (Talairach, 1988) space. 32 studies fulfilled these criteria and were therefore
95 included in the final analyses. Studies by the same authors were screened for highly
96 similar demographical characterization of samples and were otherwise considered
97 independent in further analyses based on consensus of BS, BP and MOLB.

98 2.2 Coordinate-based meta-analysis

99 Reported maxima coordinates were extracted and, if reported in Talairach space,
100 converted to MNI space using tal2icbm (Lancaster et al., 2007; Laird et al., 2010). As an
101 exception, coordinates from one study (Lui et al., 2011) were transformed using tal2mni
102 (Brett et al., 2001) as final coordinates in that study had initially been transformed using
103 this method. Coordinates were assigned to two categories based on directionality of
104 findings in order to avoid that clearly opposed findings in the original studies enhance
105 each other in the ALE-analysis: Group A comprises findings of decreased long distance
106 or local connectivity (including lower correlation coefficients or lower regional
107 homogeneity) or lower power of typical low frequency fluctuations representing
108 spontaneous neural activity in depression compared to healthy controls and findings
109 without clearly interpretable directionality information (Wang et al., 2013; Wang et al.,
110 2012; Wang et al., 2013; Guo et al., 2013; Guo et al., 2012; Liu et al., 2013; Guo et al., 2013;
111 Guo et al., 2013; Ma et al., 2013; Zeng et al., 2013; Liu et al., 2013; Tang et al., 2013; Peng

112 et al., 2012; Peng et al., 2011; Guo et al., 2012; Ma et al., 2012; Ye et al., 2012; Zhu et al.,
 113 2012; Guo et al., 2011; Guo et al., 2011; Furman et al., 2011; Veer et al., 2010; Wu et al.,
 114 2011; Liu et al., 2010; Hamilton et al., 2011; Zhou et al., 2010; Yao et al., 2009; Bluhm et
 115 al., 2009; Lui et al., 2011; Greicius et al., 2007). Details of these studies are presented in
 116 table 1. Group B represents increased connectivity or low frequency fluctuations in
 117 depression compared to controls (table 2) (Wang et al., 2013; Wang et al., 2012; Guo et
 118 al., 2013; Guo et al., 2013; Liu et al., 2013; Liu et al., 2013; Guo et al., 2012; Ma et al., 2012;
 119 Ye et al., 2012; Zhu et al., 2012; Cao et al., 2012; Guo et al., 2011; Guo et al., 2011; Furman
 120 et al., 2011; Veer et al., 2010; Wu et al., 2011; Liu et al., 2010; Sheline et al., 2010;
 121 Hamilton et al., 2011; Zhou et al., 2010).

Table 1 - Studies in group A (representing mainly decreased connectivity / function in depression and ambiguous directionality). Individual reports are grouped by samples according to potential overlap.

Sample number	Author and year	Samle size and depression subtype	Medication	primary analysis method
1	(Wang et al., 2013)	14 (MDD, first episode), 14 (HC)	partially	ReHo
	(Wang et al., 2013)	17 (MDD, first episode), 17 (HC)	no	VMHC
	(Wang et al., 2012)	18 (MDD, first episode), 18 (HC)	no	(f)ALFF
2	(Guo et al., 2013)	22 (MDD, treatment resistant), 23 (MDD, treatment sensitive), 19 (HC)	yes	VMHC
	(Guo et al., 2012)	22 (MDD, treatment resistant), 23 (MDD, treatment sensitive), 19 (HC)	yes	ReHo-based
	(Liu et al., 2013)	22 (MDD, first episode), 19 (HC)	no	fALFF
3	(Guo et al., 2013)	24 (MDD, first episode), 24 (HC)	no	fALFF, Seed-FC (Cerebellum)
	(Guo et al., 2013)	24 (MDD; first episode), 24 (HC)	no	VMHC
4	(Zeng et al., 2013)	24 (MDD), 29 (HC)	no	seed-FC (anterior cingulate)
	(Ma et al., 2013)	24 (MDD), 29 (HC)	no	seed-FC (cerebellum)
5	(Liu et al., 2013)	22 (MDD), 26 (HC)	yes	fALFF
6	(Tang et al., 2013)	28 (MDD), 30 (HC)	no	seed-FC (amygdala)
7	(Peng et al., 2012)	16 (MDD), 16 (HC)	no	seed-FC (anterior cingulate)
	(Peng et al., 2011)	16 (MDD), 16 (HC)	no	ReHo
8	(Ma et al., 2012)	18 (MDD, treatment resistant), 17 (MDD, treatment sensitive) 17 (HC)	yes	seed-FC (based on gray matter abnormalities)
	(Guo et al., 2012)	18 (MDD, treatment resistant), 17 (MDD, treatment sensitive)	yes	ALFF

		17 (HC)		
9	(Ye et al., 2012)	22 (MDD, first episode), 30 (HC)	no	seed-FC (right DLPFC)
10	(Zhu et al., 2012)	35 (MDD, first episode), 35 (HC)	no	ICA
11	(Guo et al., 2011)	17 (MDD), 17 (HC)	yes	ReHo
	(Guo et al., 2011)	24 (MDD, treatment resistant) 19 (MDD, treatment resistant)	yes	ReHo
12	(Furman et al., 2011)	21 (MDD, women only), 19 (HC, women only)	yes	seed-FC (striatum)
13	(Veer et al., 2010)	19 (MDD), 19 (HC)	no	ICA
14	(Wu et al., 2011)	22 (MDD, treatment resistant), 26 (HC)	yes	ReHo
15	(Liu et al., 2010)	14 (MDD), 15 (HC)	no	ReHo
16	(Hamilton et al., 2011)	16 (MDD), 14 (HC)	no	Granger causality
17	(Bluhm et al., 2009)	14 (MDD), 15 (HC)	no	seed-FC (precuneus / posterior cingulate cortex)
18	(Yao et al., 2009)	22 (MDD), 22 (HC)	partially	ReHo
19	(Greicius et al., 2007)	28 (MDD), 20 (HC)	yes	ICA
20	(Lui et al., 2011)	32 (MDD, treatment sensitive), 28 (MDD, treatment resistant), 48 (HC)	yes	seed-FC (multiple)
21	(Zhou et al., 2010)	18 (MDD), 20 (HC)	no	seed-FC (multiple)

HC: healthy controls, MDD: major depressive disorder, FC: functional connectivity, ReHo: regional homogeneity, (f)ALFF: (fractional) amplitude of low frequency fluctuations, VMHC: voxel-mirrored homotopic connectivity, ICA: independent component analysis, DLPFC: dorsolateral prefrontal cortex

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Table 2 – Studies in group B (representing mainly increased connectivity / function in depression). Individual reports are grouped by samples according to potential overlap.

Sample number	Author and year	Sample size and depression subtype	Medication	primary analysis method
1	(Wang et al., 2013)	14 (MDD, first episode), 14 (HC)	partially	ReHo
	(Wang et al., 2012)	18 (MDD, first episode), 18 (HC)	no	(f)ALFF
2	(Guo et al., 2013)	24 (MDD, first episode), 24 (HC)	no	fALFF, Seed-FC (Cerebellum)
	(Guo et al., 2013)	24 (MDD, first episode), 24 (HC)	no	VMHC
3	(Liu et al., 2013)	22 (MDD), 26 (HC)	yes	fALFF
4	(Liu et al., 2013)	22 (MDD, first episode), 19 (HC)	no	fALFF
5	(Peng et al., 2012)	16 (MDD), 16 (HC)	no	seed-FC (anterior cingulate)
6	(Ma et al., 2012)	18 (MDD, treatment resistant), 17 (MDD, treatment sensitive) 17 (HC)	yes	seed-FC (based on gray matter abnormalities)

	(Guo et al., 2012)	18 (MDD, treatment resistant), 17 (MDD, treatment sensitive) 17 (HC)	yes	ALFF
7	(Ye et al., 2012)	22 (MDD, first episode), 30 (HC)	no	seed-FC (right DLPFC)
8	(Cao et al., 2012)	42 (MDD), 32 (HC)	no	seed-FC (hippocampus)
9	(Zhu et al., 2012)	35 (MDD, first episode), 35 (HC)	no	ICA
10	(Guo et al., 2011)	17 (MDD), 17 (HC)	yes	ReHo
	(Guo et al., 2011)	24 (MDD, treatment resistant) 19 (MDD, treatment resistant)	yes	ReHo
11	(Furman et al., 2011)	21 (MDD, women only), 19 (HC, women only)	yes	seed-FC (striatum)
12	(Veer et al., 2010)	19 (MDD), 19 (HC)	no	ICA
13	(Wu et al., 2011)	22 (MDD, treatment resistant), 26 (HC)	yes	ReHo
14	(Sheline et al., 2010)	18 (MDD), 17 (HC)	no	seed-FC (multiple)
15	(Liu et al., 2010)	14 (MDD), 15 (HC)	no	ReHo
16	(Hamilton et al., 2011)	16 (MDD), 14 (HC)	no	Granger causality
17	(Zhou et al., 2010)	18 (MDD), 20 (HC)	no	seed-FC (multiple)

HC: healthy controls, MDD: major depressive disorder, FC: functional connectivity, ReHo: regional homogeneity, (f)ALFF: (fractional) amplitude of low frequency fluctuations, VMHC: voxel-mirrored homotopic connectivity, ICA: independent component analysis, DLPFC: dorsolateral prefrontal cortex

125 Coordinate-based meta-analyses were calculated with GingerALE (Research Imaging
 126 Institute, University of Texas Health Science Center, San Antonio, TX, USA, version
 127 2.3.1, <http://www.brainmap.org/ale/>) using the non-additive activation likelihood
 128 estimation (ALE) approach with coordinates organized by subject group (ALE-S method)
 129 to account for non-independent samples (Turkeltaub et al., 2012). ALE-S is an extension
 130 of the random effects ALE approach (Eickhoff et al., 2009) that prevents multiple
 131 experiments performed by one subject group from cumulatively influencing ALE values.
 132 Therefore a modelled activation map is generated for each subject group independently
 133 based on published coordinates in a first step. These maps are then combined in a
 134 second step to calculate final ALE values (Turkeltaub et al., 2012). Coordinates in group
 135 A were assigned to 21, in group B to 17 presumably independent subjects groups as
 136 indicated in tables I and II. After excluding 11 locations in group A and 7 locations in
 137 group B which are potentially located outside the gray matter by masking coordinates
 138 using the conservative standard mask in GingerALE 305 (group A) and 132 (group B)
 139 foci remained. Study specific smoothing using a Gaussian kernel (group A: FWHM
 140 median = 9.17 mm, range 8.88 to 9.57 mm, group B: FWHM median = 9.28 mm, range
 141 8.87 to 9.50 mm) was applied based on the mean sample size per subject group to take

142 different sample sizes into account. Results were thresholded at $p < 0.05$ corrected for
143 multiple comparisons using cluster-based correction with a cluster-forming threshold of
144 $p < 0.01$ (uncorrected) and 1000 permutations (Eickhoff et al., 2012) resulting in a
145 minimum cluster size of 528 mm^3 in group A and 544 mm^3 in group B. All analyses were
146 calculated in MNI space.

147 Anatomical labels were automatically assigned in GingerALE. Visualizations were
148 created using Mango (Research Imaging Institute, University of Texas Health Science
149 Center, San Antonio, TX, USA, version 3.0.4, <http://ric.uthscsa.edu/mango/>) and a high
150 resolution anatomical template with isotropic voxels in MNI space as distributed with
151 GingerALE.

152 **2.3 Assessment of conjunction with functional networks**

153 Maps of meta-analytic results in MNI space were overlaid and compared with maps of
154 published RSNs and TFMs (Smith et al., 2012) based on publicly available data from the
155 WU-Minn Human Connectome Project (1U54MH091657), funded by the 16 NIH
156 Institutes and Centers that Support the NIH Blueprint for Neuroscience Research.
157 Correspondence of RSNs or TFMs with meta-analytic results was judged by consensus
158 of BS and BP. In contrast to RSNs, TFMs emphasize the temporal instead of the spatial
159 independence of functionally connected brain areas and thus represent an alternative
160 approach to defining functional networks. While RSN- and TFM-subcomponents
161 resemble each other, the overall combination of these regions can be quite different,
162 potentially depicting an at least equally valid aspect of functional brain organization
163 (Smith et al., 2012).

164 **3. Results**

165 **3.1 Decreased or ambiguously altered FC in MDD**

166 Results of group A spatially converged mainly in the left superior/middle temporal
167 gyrus and bilaterally in the insula, precuneus, superior frontal gyrus, lentiform nucleus
168 and thalamus. For detailed results see figure 1A, figure 1C and table 3. Complete
169 thresholded ALE-maps are made available in NIfTI-1 data format as supplementary
170 material.

171

Table 3 – Brain areas (cluster-information and peak voxels) with significant convergence across studies in Group A (mainly decreased connectivity / activity in depression)

Anatomical label	BA	(Sub-)Maxima coordinates			ALE
		x	y	z	
Cluster 1 (4 contributing subject groups, volume: 1048 mm ³ , weighted center: x = -59, y = -9, z = 2)					
Left Superior Temporal Gyrs	22	-60	-10	2	0.017
Cluster 2 (4 contributing subject groups, volume: 960 mm ³ , weighted center: x = -36, y = 7, z = -14)					
Left Superior Temporal Gyrus	38	-36	2	-18	0.016
Left Insula	13	-36	12	-10	0.014
Cluster 3 (3 contributing subject groups, volume: 960 mm ³ , weighted center: x = 42, y = -1, z = 2)					
Right Claustrum		40	-2	2	0.019
Right Insula	13	48	4	2	0.010
Cluster 4 (3 contributing subject groups, volume: 952 mm ³ , weighted center: x = -56, y = -32, z = -13)					
Left Middle Temporal Gyrus	21	-58	-30	-16	0.015
Left Middel Temporal Gyrus	20	-54	-36	-10	0.013
Cluster 5 (3 contributing subject groups, volume: 856 mm ³ , weighted center: x = 15, y = -66, z = 26)					
Right Precuneus	31	16	-66	26	0.016
Cluster 6 (3 contributing subject groups, volume: 840 mm ³ , weighted center: x = 9, y = -51, z = 46)					
Right Precuneus	7	8	-52	46	0.016
Cluster 7 (3 contributing subject groups, volume: 656 mm ³ , weighted center: x = 27, y = 6, z = -3)					
Right Putamen		30	4	0	0.013
Right Putamen		24	6	-6	0.011
Cluster 8 (2 contributing subject groups, volume: 616 mm ³ , weighted center: x = 15, y = -25, z = -2)					
Right Thalamus		14	-26	-2	0.016
Cluster 9 (3 contributing subject groups, volume: 608 mm ³ , weighted center: x = -53, y = -24, z = 7)					
Left Superior Temporal Gyrus	41	-54	-24	6	0.015
Cluster 10 (4 contributing subject groups, volume: 584 mm ³ , weighted center: x = -4, y = -18, z = 4)					
Left Thalamus (Medial Dorsal Nucleus)		-4	-16	6	0.012
Left Thalamus		-6	-22	-2	0.010
Right Thalamus		4	-20	6	0.009
Cluster 11 (2 contributing subject groups, volume: 528 mm ³ , weighted center: x = 22, y = 62, z = 12)					
Right Superior Frontal Gyrus	10	22	62	10	0.012
Right Superior Frontal Gyrus	10	22	62	8	0.011

Right Superior Frontal Gyrus 10 30 60 6 0.009

p < 0.05 with cluster-based thresholding to correct for multiple comparisons, coordinates reported in MNI space, anatomical labels representing nearest gray matter locations, contributing subjects groups only denotes groups with original foci located within the resulting cluster

172 3.2 Increased FC in MDD

173 Findings in group B mainly comprised the pre-/subgenual anterior cingulate cortex and
 174 neighboring medial frontal cortex, the precuneus and neighboring posterior cingulate
 175 cortex, lateral prefrontal cortex bilaterally with a left predominance, left lateral parietal
 176 cortex as well as the right hippocampus and right cerebellum. Detailed results are
 177 presented in figure 1B, figure 1C and table 4. For thresholded ALE-maps see the
 178 supplementary material.

Table 4 – Brain areas (cluster-information and peak voxels) with significant convergence across studies in Group B (increased connectivity / activity in depression)

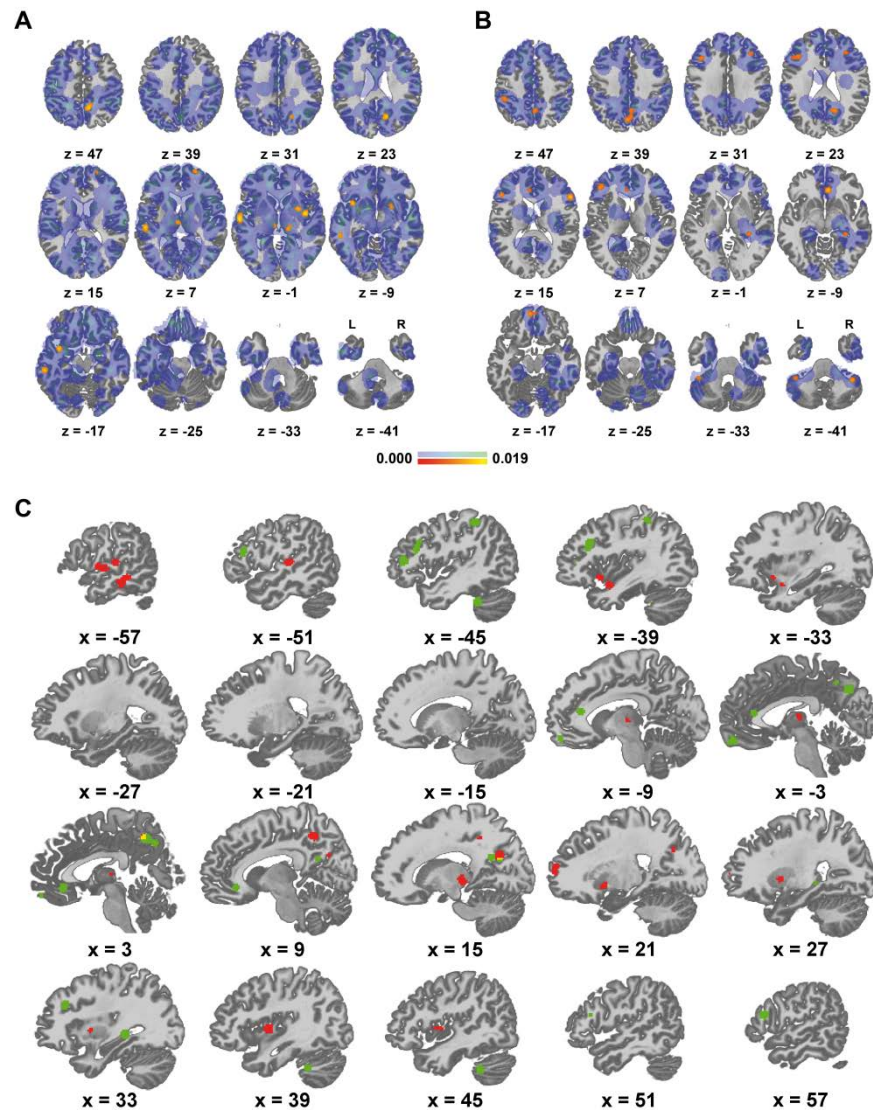
Anatomical label	BA	(Sub-)Maxima coordinates			ALE
		x	y	z	
Cluster 1 (3 contributing subject groups, volume: 1792 mm ³ , weighted center: x = 1, y = -63, z = 41)					
Left Precuneus	7	2	-56	44	0.015
Left Cuneus	7	-2	-70	38	0.014
Cluster 2 (4 contributing subject groups, volume: 1704 mm ³ , weighted center: x = -43, y = 25, z = 24)					
Left Middle Frontal Gyrus	46	-48	26	18	0.012
Left Middle Frontal Gyrus	9	-38	24	28	0.011
Left Middle Frontal Gyrus	9	-40	26	22	0.010
Cluster 3 (3 contributing subject groups, volume: 928 mm ³ , weighted center: x = -42, y = -39, z = 52)					
Left Inferior Parietal Lobule	40	-42	-40	52	0.013
Cluster 4 (2 contributing subject groups, volume: 896 mm ³ , weighted center: x = -3, y = 56, z = -18)					
Left Medial Frontal Gyrus	10	-6	56	-16	0.012
Left Medial Frontal Gyrus	10	0	60	-20	0.009
Right Medial Frontal Gyrus	10	2	56	-18	0.008
Cluster 5 (2 contributing subject groups, volume: 736 mm ³ , weighted center: x = 6, y = 33, z = -10)					
Right Anterior Cingulate Cortex	24	6	34	-10	0.015
Cluster 6 (2 contributing subject groups, volume: 688 mm ³ , weighted center: x = -44, y = -42, z = -36)					
Left Cerebellum (Anterior Lobe, Culmen)		-44	-42	-36	0.015
Cluster 7 (2 contributing subject groups, volume: 680 mm ³ , weighted center: x = 33, y = -34, z = -4)					
Right Hippocampus		32	-34	-4	0.015

Cluster 8 (2 contributing subject groups, volume: 680 mm ³ , weighted center: x = 15, y = -58, z = 23)					
Right Posterior Cingulate Cortex	31	16	-56	24	0.011
Right Precuneus	31	14	-66	22	0.008
Cluster 9 (2 contributing subject groups, volume: 664 mm ³ , weighted center: x = -43, y = 39, z = 8)					
Left Middle Frontal Gyrus	46	-44	38	8	0.011
Cluster 10 (2 contributing subject groups, volume: 648 mm ³ , weighted center: x = 57, y = 22, z = 17)					
Right Inferior Frontal Gyrus	9	56	22	18	0.015
Cluster 11 (2 contributing subject groups, volume: 624 mm ³ , weighted center: x = 43, y = -45, z = -42)					
Right Cerebellum (Tonsil)		44	-44	-42	0.012
Cluster 12 (2 contributing subject groups, volume: 624 mm ³ , weighted center: x = -5, y = 34, z = 12)					
Left Anterior Cingulate Cortex	24	-6	34	12	0.013
Cluster 13 (2 contributing subject groups, volume: 592 mm ³ , weighted center: x = 34, y = 31, z = 28)					
Right Middle Frontal Gyrus	9	34	30	28	0.013

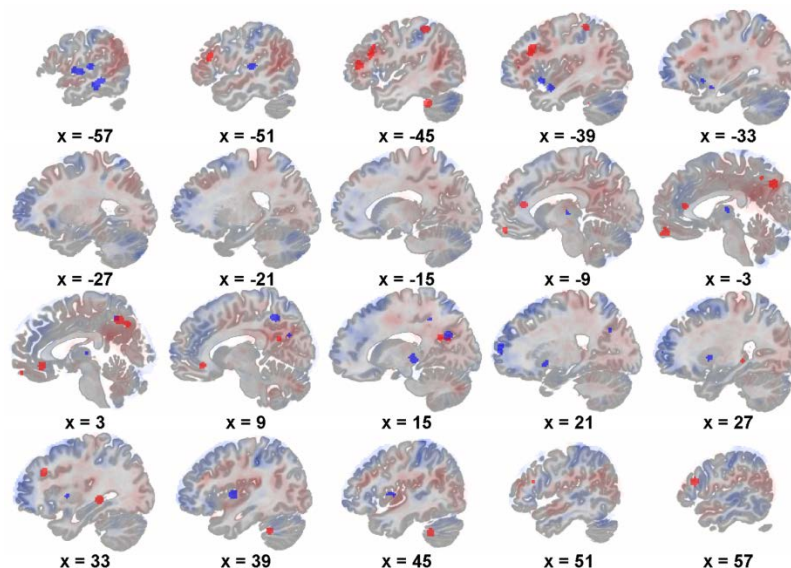
p < 0.05 with cluster-based thresholding to correct for multiple comparisons, coordinates reported in MNI space, anatomical labels representing nearest gray matter locations, contributing subjects groups only denotes groups with original foci located within the resulting cluster

179 3.3 Correspondence with functional networks

180 Single local maxima of these results corresponded with single RSN subregions, mainly
 181 posterior aspects of the DMN and lateral frontal areas with fronto-parietal RSNs.
 182 However, there was no RSN that spanned larger aspects of the meta-analytic results. In
 183 contrast one TFM, TFM 21 in the original publication (Smith et al., 2012), exhibited a
 184 good correspondence with a larger set of meta-analytically derived clusters from group
 185 B (Figure 2). This TFM comprises DMN areas anticorrelated with lateral-frontal regions
 186 often seen as part of an executive control or cognitive control network (Smith et al.,
 187 2012). Left lateral frontal areas and the posterior cingulate / precuneus cluster in group
 188 B representing increased connectedness in MDD correspond with positive connectivity
 189 in this TFM. In addition, the left parietal cluster in group B corresponds to a negatively
 190 correlated area included in the data on this TFM.



191 **Figure 1** – Areas of altered functional connectivity / activity in depression compared to controls: (A) red to yellow: significant meta-analytic results ($p < 0.05$) in group A
 192 (representing mainly decreased connectivity / function in depression), blue to green: unthresholded ALE values, (B) equivalent representation of group B (increased
 193 connectivity / function in depression), (C) qualitative display of significant results, red:
 194 group A, green: group B, yellow: overlap
 195
 196



197 **Figure 2** – Areas of altered functional connectivity / activity in depression ($p < 0.05$)
 198 compared to controls overlaid with TFM 21 (semi-transparent) from (Smith et al.,
 199 2012); blue: group A, red: group B

200 4. Discussion

201 4.1 Correspondence with functional networks

202 In terms of classical RSNs several clusters of altered FC in MDD correspond either to
 203 midline structures as DMN subregions (Fox et al., 2005; Smith et al., 2012) or lateral
 204 frontal areas within a fronto-parietal network (Smith et al., 2012) associated with
 205 cognitive control (Niendam et al., 2012). However, neither one of these network
 206 definitions comprehends the major meta-analytical findings nor do these point towards
 207 all major subregions of these networks. Results therefore seem to represent interactions
 208 between these major classical RSNs.

209 Such interactions have recently been addressed using a different operationalization of
 210 network identification in rs-fMRI data, so-called TFMs (Smith et al., 2012). Indeed, the
 211 spatial representation of one of these TFMs seems to better comprehend rs-fMRI
 212 alterations in MDD observed here. Analyses focusing on temporal dynamics of
 213 spontaneous brain activity assuming non-stationarity of functional networks, such as
 214 TFMs, seem to be at least as valid and biologically plausible as those aiming at classical
 215 RSNs. Still, the exact neurophysiological nature of TFMs has to be elucidated by further
 216 research (Hutchison et al., 2013). Due to the nature of the studies underlying this meta-
 217 analysis the exact temporal characteristics can, however, not be explored here. Thus the

218 observation of a good correspondence with this TFM is only an exploratory result and
219 should be tested in further studies. The original definition of this TFM 21 relied upon
220 fMRI data acquisition techniques with increased temporal resolution (Ugurbil et al.,
221 2013; Smith et al., 2013). Thus it seems desirable as well to investigate if such faster data
222 sampling can improve diagnostic classification in MDD.

223 As a notable finding, the subgenual ACC peak coordinates observed were not well
224 captured by either RSNs or TFMs. Generally, results of group B seem to better
225 correspond to RSNs or TFMs as more heterogeneously appearing results of group A.

226 **4.2 Comparison of results with additional functional neuroimaging results in** 227 **MDD and functional implications**

228 First a subset of studies that did not fulfill the inclusion criteria, mostly because of
229 missing coordinate data, but relate to the main meta-analytic findings are discussed. In a
230 study using independent component analyses (ICA) (Li et al., 2013) a distinction of the
231 DMN into an anterior and a posterior component was addressed. Both showed increased
232 FC before treatment. Differences in the posterior DMN were normalized after
233 antidepressant treatment, while abnormal FC persisted within the anterior DMN (Li et
234 al., 2013). This distinction potentially relates to the fact that only one of these
235 components was significantly identified across studies. Zhang et al. adopted graph
236 theoretical measures to study the topological organization on networks in MDD.
237 Patients exhibited increased nodal centralities, predominately in the caudate nucleus
238 and DMN as well as reduced nodal centralities in occipital, orbitofrontal and temporal
239 regions (Zhang et al., 2011). In another recent rs-fMRI study, published after the date of
240 study identification for this analysis, Sambataro et al. also highlight a differential
241 involvement of DMN subsystems in MDD: Patients exhibited increased connectivity of
242 ventral, posterior and core DMN components. The interplay from the anterior to the
243 ventral DMN subsystems was reduced (Sambataro et al., 2013). These findings are in line
244 with meta-analytically observed increases in spontaneous activity in some but not all
245 DMN subregions.

246 Brain activity at rest has also been studied using positron emission tomography (PET) or
247 single-photon emission computed tomography (SPECT). In contrast to rs-fMRI analyses
248 these studies rarely adopt FC measures. In an ALE meta-analysis Fitzgerald et al. report
249 a complex pattern of predominantly frontal alterations of brain activity featuring medial
250 frontal hypoactivity, heterogenous findings regarding directionality of alterations in
251 lateral frontal areas in both cerebral hemispheres and hyperactivity in the thalami
252 (Fitzgerald et al., 2008). There is a fair spatial overlap with rs-fMRI findings but the

253 directionality of alterations is not directly comparable. However, in an exclusive
254 analysis of only four studies using 18F-Fluorodeoxyglucose-PET, regionally increased
255 glucose metabolism was observed near the subgenual ACC (Sacher et al., 2012), an area
256 of increased activity / connectivity observed by rs-fMRI. As a notable aspect, early
257 results of single PET studies of increased brain activity in MDD in the subgenual ACC,
258 orbitofrontal cortex, ventrolateral prefrontal cortex, thalamus as well as the amygdala
259 and less-markedly even medial parietal areas who have substantially informed current
260 integrated neurocircuitry models of mood disorders (Price and Drevets, 2010) exhibit a
261 better correspondence with rs-fMRI results than the meta-analytic reports of PET-
262 studies in MDD. Thus it seems desirable to investigate in further studies if features
263 selected by rs-fMRT itself are better suited than PET-derived features that have been
264 used in previous MVPA studies in MDD, for example (Craddock et al., 2009). However,
265 this issue cannot be finally resolved at the moment as there is no consensus regarding
266 optimal classification algorithms for diagnostic purposes (Sundermann et al., 2014).

267 In a comprehensive voxel-based meta-analysis of 22 task-based functional neuroimaging
268 studies (fMRI, ^{15}O -H $_2$ O PET, $^{99\text{m}}\text{Tc}$ -ethyl-cysteinate-dimer SPECT) on altered emotion
269 and cognition in MDD a rather heterogenous set of brain regions with altered activity
270 spanning all lobes of the telencephalon as well as the thalamus and striatum was
271 observed. Some of these regions exhibited consistent hyper- other hypo-activity in
272 response to cognitive or emotional challenge but there were also areas with coincidental
273 hyper- and hypoactivity (Diener et al., 2012). Those results in task-fMRI correspond only
274 moderately with findings in rs-fMRI reported here: There was a lateral frontal
275 (Brodmann area 9) increase in task-related activity in MDD compared to controls as well
276 as increased spontaneous activity / connectivity at rest. Insular, thalamic and striatal
277 activity exhibited comparable directionality of findings as well. However, task-based
278 fMRI did not reveal consistent alterations of activity in posterior DMN components or
279 the subgenual ACC. Thus rs-fMRI may be better suited to depict these systems
280 presumably involved in MDD pathophysiology. However, the heterogeneity of findings
281 may also be attributable to the heterogeneity of analysis methods and true biological as
282 well as treatment-associated variability in the original samples.

283 In another voxel-based meta-analysis on methodologically more homogenous fMRI
284 studies of facial affect processing, a widely used paradigm in MDD research, only
285 increased engagement of few limbic regions (amygdala and parahippocampal gyrus) and
286 a relative hypoactivation of the striatum were observed (Delvecchio et al., 2012). Thus
287 there was only fair correspondence with rs-fMRI results. However, results reported in
288 another voxel-based meta-analysis (Fitzgerald et al., 2008) and a more comprehensive

289 systematic review (Stuhrmann et al., 2011) of facial emotion processing exhibited a
290 slightly better spatial correspondence with rs-fMRI results. As a notable finding,
291 alterations of amygdala activity or connectivity were not consistently observed in rs-
292 fMRI. It has been highlighted, that even the directionality of amygdala activity is highly
293 dependent on the emotional valence of stimuli (Groenewold et al., 2013). Therefore, this
294 dynamical aspect of potential disease mechanisms in MDD may not be sufficiently
295 captured by potential diagnostic classification efforts based on spontaneous activity
296 only. But relying on amygdala activity diagnostically may complicate the differentiation
297 of patients with anxious comorbidity, which is an important symptom in a subset of
298 patients with MDD (Kupfer et al., 2012).

299 The abnormal interplay of cortical midline structures associated with self-referential
300 processing, emotion-related brain areas and lateral cortical areas related to higher
301 cognitive processing has been functionally interpreted as a correlate of pathologically
302 increased ruminative brooding in MDD. In particular, a reduced top-down inhibition of
303 cortical midline and limbic regions has been discussed (Nejad et al., 2013; Marchetti et
304 al., 2012).

305 **4.3 Comparison of results with structural neuroimaging in depression**

306 There are repeated and meta-analytically ascertained reports about specific regional
307 volume reductions in MDD affecting the basal ganglia, hippocampus, frontal lobe
308 (including the orbitofrontal cortex) and less consistently the cingulate cortex and
309 thalamus (Arnone et al., 2012; Koolschijn et al., 2009; Kempton et al., 2011; Lorenzetti et
310 al., 2009). Though these reported locations, based on anatomical descriptors, resemble a
311 subset of findings in rs-fMRI, a strict formal comparison is not feasible as results were
312 mostly not reported in a common coordinate space. Another voxel-based meta-analysis
313 of volumetric studies (Sacher et al., 2012) reports only weak convergences of findings
314 across studies. However that analysis might have been underpowered with only six
315 studies fulfilling the inclusion criteria. Posterior midline structures, central locations of
316 aberrant spontaneous brain activity in MDD, do not seem to be significantly affected by
317 these volume reductions.

318 Moreover, morphological imaging in MDD revealed moderate increases in white matter
319 hyperintensities based on T2-weighted imaging as a common finding at the group level
320 (Kempton et al., 2011; Arnone et al., 2012). Though white matter hyperintensities are a
321 common finding, they can reflect small vessel disease and are therefore associated with
322 an increased risk of cardiovascular events, dementia and death within a studied period
323 (Debette and Markus, 2010).

324 White matter microstructure as an important aspect of suspected network pathology in
325 affective disorders has been studied using diffusion tensor imaging (DTI) and derivative
326 techniques: As a meta-analytically ascertained finding, reduced anisotropy, a potential
327 measure of fiber integrity, was observed in parts of the superior frontal white matter
328 presumed to connect the dorsolateral prefrontal cortex and anterior cingulate cortex
329 with subcortical nuclei (Sexton et al., 2009). Another meta-analysis, specifically focusing
330 on voxel-based analyses of fractional anisotropy (FA) in depression reported decreased
331 FA in the superior longitudinal fasciculus and increased FA in the fronto-occipital
332 fasciculus in MDD (Murphy and Frodl, 2011). The subgenual ACC associated with
333 increased spontaneous activity / connectivity was identified as a potential site for
334 therapeutic deep brain stimulation in MDD (Johansen-Berg et al., 2008; Coenen et al.,
335 2011; Mayberg et al., 2005; Lozano et al., 2008). The structural connectivity of this area
336 has been investigated using diffusion imaging demonstrating widely distributed
337 connectivity with frontal, limbic and visceromotor brain regions. An associated
338 connectivity-based parcellation of the perigenual ACC revealed two distinct
339 subdivisions with distinct connectivity profiles, the pre- and the subgenual ACC. While
340 both subregions are connected with the midcingulate cortex, frontal pole, hypothalamus
341 and nucleus accumbens, the subgenual ACC was connected more strongly with the
342 orbitofrontal cortex, medial temporal lobe and through the fornix (Johansen-Berg et al.,
343 2008). The subgenual ACC observed in this meta-analysis of rs-fMRI data corresponds
344 well with the latter location defined by distinct structural connectivity features.

345 Results of functional and structural imaging in MDD seem somewhat contradictory:
346 Some areas with increased spontaneous activity / functional connectivity seem to
347 exhibit volume reduction or are served by white matter tracts with decreased
348 anisotropy. Though functional and structural connectivity metrics show mostly
349 concordant variations (Honey et al., 2010; Damoiseaux and Greicius, 2009), there are
350 other examples of a similar paradox, e.g. in multiple sclerosis (Hawellek et al., 2011).

351 4.4 Potential applications

352 Results presented here can be used as prior knowledge about spatial locations of altered
353 spontaneous brain activity in MDD. This includes definition of regions of interest for
354 hypothesis-driven group comparisons and particularly for FS (Mwangi et al., 2013;
355 Pereira et al., 2009; Chu et al., 2012) in diagnostic classification efforts based on rs-fMRI
356 data. For approaches using correlation based on seeds or pairs of regions of interest
357 (Margulies et al., 2010), coordinates from tables III and IV can be used. In addition, most
358 software tools for voxel-based classification facilitate masking for FS (Schrouff et al.,

359 2013). Therefore NIfTI-files of thresholded ALE-maps in MNI space are provided
360 (supplementary material).

361 A different approach is to use corresponding representations of established functional
362 networks in the brain instead of the original results to enhance biological plausibility of
363 analyses. However, although primarily subregions of the DMN and a cognitive control
364 network seem to be affected, the overall correspondence of results with a single classical
365 RSN is at best moderate. We hypothesize that a recently defined TFM, supplied as a
366 parametric map of TFM 21 in NIfTI-1 data format through the authors of the original
367 study (Smith et al., 2012), may be an appropriate substitute as a mask in voxel-based
368 approaches.

369 **4.5 Limitations of the current analysis**

370 The analysis predominantly provides information about spatial congruency of resting-
371 state fMRI findings in depression. It does, however, not allow estimation of effect sizes.
372 Information about the directionality of supposed alterations of functional connectivity is
373 limited. This especially pertains to the number of different post-processing
374 methodologies used in the studies reviewed. While interpretation of directionality in
375 most of these methods is well-established for the so-called default mode network (Van
376 Dijk et al., 2010) this does not necessarily generalize to other networks.

377 The ALE-approach adopted here relies on sufficiently reliable studies reporting results
378 in terms of whole brain coordinates. Thus not every study reporting relevant group
379 comparison results based on rs-fMRI data in MDD could be included for this
380 methodological reason. In seed- or ROI-based analyses (Margulies et al., 2010) the
381 original seed coordinates less strictly reflect the spatial location of potentially associated
382 alterations and could therefore not be included in this coordinate-based analysis. This
383 may limit the sensitivity for alterations in such regions that have been regarded of
384 special importance by the authors of the original studies.

385 The generalizability of results to other samples is also limited by the heterogeneity of
386 samples in the studies included as these range from first-episode medication naïve
387 subjects to treatment resistant patients after multiple depressive episodes. However, the
388 literature currently available does not seem to facilitate a more specific meta-analysis
389 yet. As stated above this meta-analysis primarily pursued a methodological goal and
390 therefore emphasized spatial specificity.

391 Multiple reports based on the same or similar data and overlapping samples are a
392 generic problem in meta-analyses (Littell et al., 2008). In this work a recent modification

393 of the ALE method (Turkeltaub et al., 2012) was adopted to minimize within-group
394 effects of potentially overlapping samples without sacrificing valuable information.
395 Despite that, it cannot be fully excluded that there is residual overlap of samples in
396 studies considered independent here. However, we adopted a consensus based approach
397 involving three reviewers to reduce this potential bias.

398 Even despite this issue the recent literature on rs-fMRI in MDD displays a noticeable
399 tendency towards particular Asian as well as North American or European populations.
400 As prevalence and clinical symptomatology differ significantly between cultural
401 contexts (Yeung and Chang, 2014; Juhasz et al., 2012; Halbreich et al., 2007; Kirmayer,
402 2001) results reported in this meta-analysis may not necessarily be applicable to other
403 populations.

404 This meta-analysis focused on comparisons of depressive subjects and healthy controls.
405 However, it seems to be even more desirable to identify differential neuroimaging
406 biomarkers that provide information about individual prognosis or guide therapeutic
407 decisions (Mossner et al., 2007; Sundermann et al., 2014). Feature (pre-)selection for such
408 efforts may be optimized specifically in the future as soon as further rs-fMRI research in
409 these situations becomes available.

410 5. Conclusion

411 Resting-state fMRI studies in depression have identified a distributed pattern of brain
412 regions with increased or decreased spontaneous activity compared to healthy controls.
413 The most distinct finding is hyperactivity or hyperconnectivity presumably reflecting
414 the interaction of midline structures (particular posterior DMN components associated
415 with self-referential processing and the subgenual ACC) with lateral frontal areas
416 related to externally-directed cognition. Alterations that can be captured by rs-fMRI
417 seem to differ from those identifiable with other neuroimaging modalities but show
418 considerable overlap. Results of this meta-analysis can be readily applied for defining
419 ROIs in rs-fMRI studies in MDD including feature selection for diagnostic classification
420 approaches.

Conflict of Interest Statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Author contributions

BS and BP conceived and designed the study. MOLB and BS identified and screened the articles. BS, BP and MOLB participated in final study selection and group assignment. BS and MOLB conducted the ALE-analyses. BS and BP compared meta-analytic results with established RSNs and TFMs. BS, BP and MOLB participated in interpretation of the results. BS drafted the manuscript. All authors critically revised the manuscript for important intellectual content and approved the final version.

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