

1 To Crowdfund Research, Scientists Must Build An Audience For Their 2 Work

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10 Abstract

11 As rates of traditional sources of scientific funding decline, scientists have become increasingly
12 interested in crowdfunding as a means of bringing in new money for research. In fields where
13 crowdfunding has become a major venue for fundraising such as the arts and technology,
14 building an audience for one's work is key for successful crowdfunding. For science, to what
15 extent does audience building, via engagement and outreach, increase a scientist's abilities to
16 bring in money via crowdfunding? Here we report on an analysis of the #SciFund Challenge, a
17 crowdfunding experiment in which 159 scientists attempted to crowdfund their research. Using
18 data gathered from a survey of participants, internet metrics, and logs of project donations, we
19 find that public engagement is the key to crowdfunding success. Building an audience or
20 "fanbase" and actively engaging with that audience as well as seeking to broaden the reach of
21 one's audience indirectly increases levels of funding. Audience size and effort interact to bring
22 in more people to view a scientist's project proposal, leading to funding. We discuss how
23 projects capable of raising levels of funds commensurate with traditional funding agencies will
24 need to incorporate direct involvement of the public with science. We suggest that if scientists
25 and research institutions wish to tap this new source of funds, they will need to encourage and
26 reward activities that allow scientists to engage with the public.

27 Introduction

28 Rise of science crowdfunding and the decline of public research funding

29 Over the past five years, a new method of Internet-based fundraising known as
30 crowdfunding has exploded in popularity [1]. In the first six months of 2013 alone, almost
31 US\$200 million was raised for technology and arts-related projects on just one leading
32 crowdfunding website [2]. But what role can crowdfunding play in the sciences? How must
33 science adapt to take advantage of this growing pool of available funding?

34 The rise of crowdfunding comes at a time when scientists are facing increasing
35 competition for declining sources of public funding [3]. Interest in science crowdfunding is
36 largely driven by recent steady downturns in government funding for science, particularly in the
37 United States. Indeed, well before crowdfunding began to catch on among scientists, Gaggioli
38 and Riva [4] suggested “crowd-funding as a possible strategy to cope with the lack of
39 investments in research, as well as to increase democratization in the sciences”. Crowdfunding
40 democratizes science funding by using a model for supporting projects that charities have long
41 used: combining small donations to achieve a common goal. The arrival of dedicated Internet
42 platforms truly democratized this fundraising model by removing the need for substantial
43 infrastructure and manpower traditionally needed for charity fundraising. Crowdfunding now
44 allows a wider range of potential users, including scientists, to ask for and receive small
45 donations. These users then become involved in science by helping shape what projects get
46 funded and by maintaining their personal investment in new fields of scientific inquiry.

47 This new investment could not come at a better time, as traditional sources of funding for
48 scientific research in the United States are becoming increasingly strained. Between 1992 and

49 2012, state appropriations fell by 15% at the U.S. public research universities with the largest
50 research and development funding inflows [5]. Further, U.S. federal funding for research in most
51 physical sciences, mathematics, and engineering has declined or remained relatively flat in
52 inflation-adjusted purchasing power for several decades [6]. A recent National Research Council
53 report concluded that federal funding for university research has been unstable overall, and is
54 declining in inflation adjusted dollars [7]. As one consequence, the average age of principal
55 investigators receiving their first major research grant (R01) from the National Institutes of
56 Health is an astonishing 42 years old [8].

57 Crowdfunding serves a further need beyond merely funding science. Crowdfunding
58 provides a crucial conduit for communication between scientists and the public. To create a
59 crowdfunding proposal, scientists must talk about their work in a way that appeals to people
60 outside of the academy. They must be good science communicators, and then are rewarded for
61 their efforts with money for their research.

63 Theoretical context: crowdfunding and science communication

64 Little is understood about how crowdfunding works and whether the lessons of the
65 science communication literature can provide a roadmap for successful efforts. The nascent
66 literature on the entire field of crowdfunding is found largely in popular journals and the
67 blogosphere. Analysis of what drives successful campaigns are largely case studies of the most
68 successful projects [9]. A small number of recent articles focus on crowdfunding within the
69 context of new Securities and Exchange Commission regulations [10,11], and new opportunities
70 for entrepreneurs and small businesses [1,12,13,14].

71 The literature documents some best practices that have been gleaned through informal

72 observations of crowdfunding websites. Hughes [15], for example, emphasizes the benefits of
73 creating a fan base for your research through crowdfunding, which can lead to increased
74 visibility and other opportunities down the line. Ordanini et al. [1] recognize the importance of
75 family, friends, and extended social networks as the initial investor base for a successful
76 crowdfunding campaign. Wheat et al. [16] focus on science crowdfunding and, in particular,
77 discuss the nuts and bolts of how researchers should run crowdfunding campaigns.

78 The advent of science crowdfunding also builds on recent trends in science
79 communication toward online and electronic public dissemination of science. Across the
80 disciplines in higher education there have been increasing calls for more publicly and socially
81 engaged research agendas; scholarship that asks socially pertinent questions, science that
82 incorporates the participation of the objects of science in experimental design (particularly in
83 policy-relevant and health sciences); and science that is disseminated to and connects with the
84 public in new ways [17,18,19,20]. This study contributes to these literatures by systematically
85 illustrating the important links between science communication, public engagement, and the
86 burgeoning crowdfunding phenomenon.

88 Successful science crowdfunding: what does it take?

89 In fields where crowdfunding is now a significant source of funds, such as in the arts and
90 technology, it took 3–5 years before participants were able to successfully fund projects in range
91 of hundreds of thousands to millions of dollars [21]. This raises the question: what steps must
92 individual researchers and research institutions take to develop the ability to leverage these large
93 amounts of funds for science?

94 Successful crowdfunding relies on broad appeal and engagement with a large audience.

95 Examples of this dependence can be seen from a leading crowdfunding site where many projects
96 in 2012 raised over a million dollars [21]. Many of the most successful projects come from
97 artists with huge fanbases (e.g., musician Amanda Palmer, who set a crowdfunding record for
98 music [9], has over a million followers on Twitter; <https://twitter.com/amandapalmer>) or for
99 extensions of extremely popular products with a built-in audience (e.g., a watch for smartphones
100 [22] or sequels to the Ultima video games [23]). The same dynamic between audience size and
101 crowdfunding success appears to hold for science. For example, the British charity Cancer
102 Research UK routinely raises over £50,000 for individual research projects via crowdfunding
103 (Table S1). Cancer Research UK and its predecessor organizations have spent decades building
104 an audience for their work. It follows that their success in research crowdfunding stems from
105 leveraging an extensive existing donor base. As with Cancer Research UK, the individuals
106 behind these projects have built large audiences for their work over many years [9]. These
107 examples suggest that building an engaged online audience through outreach by scientists is key
108 to successful crowdfunding for research.

109 While attitudes among most scientists towards outreach and engagement are
110 unenthusiastic [24], the last decade has witnessed dramatic growth in the visibility of scientists
111 online [25]. Scientists are increasingly communicating their work to a public audience via online
112 means like blogs and Twitter [26,27,28].

113 To explore the potential link between online science engagement and successful
114 crowdfunding, we organized a crowdfunding for science initiative, the #SciFund Challenge
115 (hereafter #SciFund). We set up #SciFund with standardized conditions for participants, such as
116 project duration, so that we could use the data to investigate the factors influencing proposal
117 success. We collected data from patterns of web traffic, metrics from social media websites (e.g.,

118 Facebook and Twitter), donations, and from a survey of participating scientists. We used these
119 data for an analysis of the principles of crowdfunding success using a series of statistical models.
120 With well over a hundred crowdfunding projects taking place under the auspices of #SciFund,
121 this study is the most comprehensive analysis of science crowdfunding to date. Here we provide
122 results from #SciFund to demonstrate the link between online outreach and success in
123 crowdfunding for research dollars.

124 125 **Methods**

126 **Structure of the #SciFund Challenge**

127 #SciFund is a crowdfunding experiment for science. As part of #SciFund, we organized
128 scientists to run their own crowdfunding projects simultaneously for their research under the
129 #SciFund banner. #SciFund ran in a round-based format, with three rounds occurring between
130 July 2011 and December 2012. Each round lasted several months and was divided into three
131 phases: (1) soliciting proposals, (2) training participants, and (3) executing proposal
132 “campaigns”. In the soliciting phase of each round, #SciFund organizers encouraged scientists
133 (across disciplines and countries) to participate in this crowdfunding exercise, via e-mail lists,
134 blog posts, and social media (e.g., Twitter and Facebook). This soliciting phase lasted three
135 months in the first round and one month each for the next two rounds. To ensure scientific
136 credibility, each scientist who signed up to participate was vetted, via an application form that
137 was evaluated by a science advisory board (at least two scientists evaluated every application). In
138 the training phase of each round, organizers trained the scientists to run a crowdfunding
139 campaign via instructional blog posts on our website (round 1: [http:// scifund.wordpress.com](http://scifund.wordpress.com);

140 afterwards: <http://scifundchallenge.org>), an online discussion group, and by encouraging
141 discussion and feedback on draft projects within a private online space. This training phase
142 lasted one month in each round. By the end of the training phase, participants had a fully formed
143 crowdfunding proposal ready to be deployed.

144 In the executing phase of each round, the #SciFund crowdfunding projects went “live” on
145 the Internet. All projects within a round launched simultaneously and ran for the same length of
146 time. Although all #SciFund projects were running under the same banner, each participating
147 scientist fundraised primarily for his or her own project (that is, there was no collective
148 fundraising, although during the campaign periods, the project organizers advertised and
149 promoted the #SciFund Challenge more broadly). Most projects each had a single scientist
150 behind them, but there were several multi-researcher projects in each round. The total number of
151 projects and the number of days of fundraising varied with each round (Round 1: 49 projects, 45
152 days, Nov. 1–Dec. 15, 2011; Round 2: 75 projects, 31 days, May 1–May 31, 2012; Round 3: 35
153 projects, 33 days, Nov. 11–Dec. 15, 2012). A wide range of scientific disciplines were
154 represented (Table 1), although most projects focused on ecology or conservation biology,
155 reflecting the professional networks of the #SciFund organizers.

156 These projects were hosted on a special section of the crowdfunding platform RocketHub
157 (<http://scifund.rockethub.com>). Resulting funds were directly disbursed by RocketHub to the
158 recipients designated by the participants (generally the participant’s home institution or affiliated
159 nonprofits). The only charges that #SciFund participants incurred were RocketHub’s customary
160 fees for crowdfunding projects running on their site (8–12% of the total raised, depending on
161 whether they achieved their funding goal). #SciFund participants received funds even if they did
162 not reach their financial targets, unlike the funding model for some crowdfunding platforms,

163 where funds are disbursed only if the project is fully funded. It should be noted that several of
164 this paper's authors (Walker, Byrnes, and Faulkes) ran individual crowdfunding projects under
165 the #SciFund banner in round one. The organizers of #SciFund were not paid by RocketHub nor
166 did they receive any funds, either directly or indirectly, from #SciFund participants or donors
167 (other than the donor funds Walker, Byrnes, and Faulkes received from their individual projects).

168

169 Data Sources

170 After each of the three #SciFund rounds, we compiled data from three sources to analyze
171 the factors that led to successful crowdfunded projects. First, we acquired the web visit and
172 donation logs of each project from RocketHub. Second, we collected publicly available
173 information from the Internet, including the number of tweets on Twitter (<http://twitter.com>) and
174 "Likes" on Facebook (<http://facebook.com>) for each #SciFund project page, and the number of
175 times project videos were viewed [29,30,31].

176 Last, we designed a survey for all #SciFund participants to measure: (1) strategies used to
177 create crowdfunding materials, (2) strategies used to promote crowdfunding campaigns, (3)
178 social network size (i.e., number of Facebook friends), and (4) various aspects of ongoing online
179 outreach activities (e.g., Do they have a blog?); see Table S2 for a complete list of questions.
180 Questions and survey protocols were approved by the UCSB Human Subjects Committee,
181 protocol 12-776 with the title *Evaluation of the #SciFund Challenge*. Participants gave their
182 written consent for use of their data. This survey was completed by #SciFund participants in the
183 first few weeks after their crowdfunding project finished. The survey was answered by 47 of the
184 49 #SciFund round one participants, 48 of 75 round two participants, and 22 of 35 round three
185 participants. The survey instrument for rounds two and three differed in some ways from the

186 instrument we used for round one. Specifically, we changed the requested response for several
187 questions from a Likert scale selection to a specific quantitative answer (see Table S2 for
188 complete list of changes). For example, questions regarding the number of tweets, Facebook
189 posts, Google+ posts, and e-mails made by participants required a numerical response in the
190 survey instruments for rounds two and three (where they had required a Likert scale selection in
191 the round one survey).

192 In addition to quantitative data, the surveys asked opened-ended questions that collected
193 qualitative data about participants' experiences during the #SciFund Challenge, such as what
194 types of outreach and engagement they thought were most and least effective in their campaigns,
195 and overall satisfaction with the experience. These data were compared to the statistical models
196 to determine if participant perceptions about crowdfunding success and failure matched the
197 results of the statistical models.

198

199 Factors influencing success of #SciFund projects

200 To determine the chain of events that attracted donations for the #SciFund projects, we
201 explored four questions using statistical modeling with the data from round one. We then took
202 the fit models, and challenged them with the data from rounds two and three to verify their
203 conclusions. The questions were: First, what effect did the number of donors have on
204 crowdfunding success? Second, where were donations coming from? That is, were donations
205 merely due to scientists somehow drawing attention to their projects, or did personal connections
206 generated through online social networks play a role? Third, was the attention a project received
207 generated from existing social networks or other forms of "buzz" generated by the #SciFund
208 campaign itself? Fourth, did long-term scientific outreach via blogging increase scientists'

209 outreach-generated social networks? Thus, we hoped to examine the influence of a scientist's
210 public presence on crowdfunding success.

211 As we were dealing with count data in many of the analyses, most data were modeled
212 using generalized linear models with linear or log links [32] and a quasi-Poisson error
213 distribution to account for over dispersion [33]. All models were fit using the base package in R
214 Version 2.14.2 [34]. To examine the amount of variance in the response variables retained by our
215 statistical models, we calculated the R^2 of the relationship between predicted and observed
216 values of response variables [35]. Note that different pieces of the analysis had different sample
217 sizes depending on whether survey respondents included answers or not. Sample sizes are
218 reported with each analysis.

219 To examine the relationship between number of donors and total amount raised, we fit a
220 linear relationship as described, but set the intercept at zero, as zero contributions meant zero
221 dollars were raised by definition. We hypothesized that several factors could influence the total
222 number of contributors and fit a model accordingly. First, the number of times a project was
223 viewed should directly influence the number of contributors. Because projects had clear financial
224 goals, and because the probability of someone viewing a project after it hit its funding goal may
225 change, we separated pre- and post-goal page views. Second, the size of someone's personal
226 social network may influence the number of contributors, as friends and family may be more
227 likely to donate to a project. Last, the size of a scientist's online social network generated by
228 previous online outreach activities may also influence the total number of contributors; this was
229 measured by number of Twitter followers.

230 For this and other analyses incorporating project page views, we excluded a single
231 outlier. One project had an enormous number of project page views: 38,131, compared to the

232 mean of 2,217.75 and median of 1,070. The next highest number of page views was 6,702. The
233 number of page views in the most viewed project was due to promotion on two highly popular
234 web sites that other projects did not have. This outlier exerted an enormous leverage on the
235 analysis and was therefore excluded. Analyses with this outlier project were qualitatively the
236 same, but quantitative results and amount of variance retained were quite different. In analyses of
237 future rounds, should there be a larger sample size in the 7,000-30,000-page-view range, we
238 would be better able to detect linear or nonlinear relationships involving this data point. For this
239 round, the 38,131 data point was excluded for analyses involving page views.

240 We next evaluated the relationship between page views and three predictors of project
241 popularity: the size of one's social network (Facebook friends), the size of their outreach
242 generated social network (Twitter followers), and the ability of a scientist to cultivate interest in
243 a project as measured by the number of people who had clicked the "Like on Facebook" button
244 on a project's web page. Again, we split pre- and post-goal views. For pre-goal project page
245 views, we fit a model as above. For post-goal project page views, we only analyzed the subset of
246 projects that met their goal. Additionally, a number of projects met their goal during the final
247 days of #SciFund. Most of these projects had no post-goal project page views. We therefore fit a
248 model with a log rather than linear link function.

249 Last, to explore whether ongoing online outreach efforts by scientists increased their
250 Twitter followers, we looked at the relationship between Twitter followers and the average
251 number of monthly blog posts by #SciFund scientists who had established blogs. We assumed
252 the direction of causality went from monthly blog posts to number of Twitter followers, because
253 it seemed unlikely that researchers would blog more often because they had more Twitter
254 followers. Rather, we hypothesized that the more frequently a researcher posted to their blog, the

255 more likely they would be to attract a larger following on Twitter. For participants who did not
256 have a blog, we set their number of monthly posts to 0. The age of these blogs ranged from a few
257 months to nearly ten years. As blog age and posting frequency were highly correlated ($r=0.68$),
258 we did not include them as independent measures of online outreach.

259

260 The Role of Effort

261 After re-evaluating the models fit during round one with round two and three data, we
262 noted a discrepancy in the link between audience size and number of page views (see Results).
263 We also noted that the difference in effectiveness of pre- versus post-goal page views was much
264 weaker. We therefore revised a question in our survey in order to better assess participant effort
265 for rounds two and three. We were thus able to ask, how does effort modify the effect of
266 audience size on the ability of a researcher to bring people to view their project? For this model,
267 we looked at audience size and number of posts on Twitter and Google+ as well as how the two
268 interacted. We also estimated parameters for the effect of number of people contacted via email
269 and the number of people contacted in the press. We fit models with a Gaussian error term, as the
270 data did not meet the assumption of a mean-variance scaling relationship from a Poisson or
271 quasi-Poisson error distribution. We removed one outlier data point, as its number of press
272 contacted was two orders of magnitude larger than any other data point, and was likely a typo on
273 the form or a misunderstanding of the question (post-hoc requests for verification from the
274 participant yielded no response). We fit this model both for total page views and pre- and post-
275 goal page views. However, due to the smaller sample size for post-goal page views (27) and the
276 high number of parameters for the model ($k=10$), we elected to drop the parameters assessing the
277 impact of Google+, as they were not different from 0 in the initial model and contributed to an

278 exceedingly high variance inflation factor in the post-goal page views model. Last, we fit a
279 simple model examining to what extent post-goal page views were merely explained by pre-goal
280 page views, as none of our predictors appeared to explain variability adequately. After analysis
281 of our increased sample size, we also recognized that Facebook “likes” are often an
282 epiphenomenon of people visiting projects, not a causal driver. Indeed, they were highly
283 correlated with variables that were more causally related to effort, such as number of press
284 contact ($r=0.76$), number of Tweets ($r=0.61$) or number of Facebook posts ($r=0.81$).

286 Results

287 Money raised through the #SciFund Challenge

288 Over three rounds, #SciFund raised US\$252,811 from 3,904 donors funding 159 projects.
289 The timing of donations was relatively similar for all three rounds and conformed to what has
290 been observed in other crowdfunding campaigns [36]: a large amount of funds raised early in the
291 campaign, a gradual leveling out, and then a sudden burst of funding activity at the end (Fig. 1).

292 The first round of #SciFund raised US\$76,230 over 45 days from at least 1,195 donors
293 (donor counts for rounds one and two are likely to be underestimates, as donor names in those
294 rounds were used to identify unique donors and multiple donors may have had the same name).
295 There was a large range in the financial targets of the 49 #SciFund projects (range: US\$500–
296 20,000; median: US\$3,500; average: US\$4,601). Similarly, there was a large range in the amount
297 received by the projects, as measured by total dollars (range: US\$122–10,171; median:
298 US\$1,104; average: US\$1,556). The project that raised the most, both in terms of dollars raised
299 and percentage of goal (US\$10,171 raised on a US\$6,000 goal, 170% of target fundraised), was

300 an outlier, as the second-highest amount fundraised was less than half of the first-place take
301 (US\$5,085). Ten projects matched or exceeded their targets (20% of projects); all six projects
302 that asked for US\$1,200 dollars or less met or exceeded their target.

303 Round two's 75 projects raised US\$100,345 over the course of 31 days with 44% of
304 participants achieving or exceeding their funding goal. At least 1,579 donors contributed to
305 round two (likely an underestimate, as with round one, due to shared donor names). The financial
306 targets of round two projects tended to be much lower than for round one and the range of dollar
307 targets was also narrowed (range: US\$333–12,000; median: US\$2,000; average: US\$2,215). A
308 major reason for these lower funding goals was that #SciFund organizers, based on round one
309 experience, strongly recommended that round two participants lower their financial targets. The
310 amounts raised in round two were within a tighter band than in round one, but the median
311 amount raised remained relatively steady (range: US\$30–5,688; median: US\$1,046; average:
312 US\$1,341).

313 Round three's 35 projects raised US\$75,978 over 33 days with 46% of projects achieving
314 or exceeding their goal. Round three had contributions from 1,130 donors (an exact count, unlike
315 with rounds one and two). The financial targets of round three projects generally rose from the
316 levels found for round two, though they were still lower than the targets for round one (range:
317 US\$380–10,000; median: US\$2,500; average: US\$3,083). In terms of the amounts actually
318 raised, round three projects were on average the most successful of the three rounds (range:
319 US\$0–8,645; median: US\$1,476; average: US\$2,177). This is likely because the training that the
320 Round 3 participants received was refined based on Rounds 1 and 2, and thus more accurate and
321 effective.

322

323 Exploratory Modeling of Factors Influencing Success of Round One #SciFund
324 Projects

325 Overall, in our exploratory analysis for round one, we found a relationship between
326 online outreach efforts and funding. The number of contributors influenced total amount raised
327 (Fig. 2, Likelihood Ratio $\chi^2=567.95$, DF=1, $p<0.001$, $n=47$): for every contributor, projects
328 raised a mean of US\$54.19 (S.E. = 3.19). 86.9% of the variance in money raised was retained by
329 the model. The number of Facebook friends and page views, both before and after a project goal
330 was reached, influenced total number of contributors (Table 2, $n=30$, Fig. 3). The number of
331 Twitter followers, however, did not. 85.3% of the variation in number of contributors was
332 retained by the model. Before a project hit its initial goal, an average of 108 views was needed to
333 generate one contribution. After a project hit its goal, only 21 page views were necessary to
334 generate an additional contributor. Projects had one contributor for every 53 Facebook friends
335 the research had.

336 Both Twitter followers and Facebook “Likes” influenced the number of project page
337 views before reaching a goal (Table 3, $n=30$, Fig. 4). Projects received a mean of 0.78 (S.E. =
338 0.28) page views per follower. They also received roughly 10 additional page views per
339 Facebook “Like.” 78.3% of the variation in post-goal page views was retained in this model. For
340 projects that met their goal, only Facebook “Likes” appeared to influence the number of page
341 views (Table 3, $n=7$, Fig. 5). This model retained 83.7% of the variation in post-goal page views.

342 Posting frequency predicted Twitter followers (Fig. 6, Likelihood Ratio $\chi^2=10.944$,
343 DF=1, $p<0.001$, $n=35$). For every monthly post, participants picked up a mean of 52.66
344 (S.E.=19.96) additional followers. Only 34.4% of the variation in number of Twitter followers
345 was retained by the model. Thus, we suggest that there are additional factors not quantified by

346 our survey instrument that led to scientists aggregating an online following.

347

348 **Confirmatory Model of Factors Influencing Success of Rounds Two and Three**

349 **#SciFund Projects**

350 The broad message of the model from round one—that engaging audiences aided in
351 funding—was retained in our analysis of further rounds. However, we found several
352 discrepancies that were not supported in our confirmatory model analysis. Furthermore, our
353 analysis of rounds two and three revealed a substantial role for effort. Overall, we find that effort
354 on multiple fronts to engage a large audience was important for crowdfunding success. We found
355 that the model suggested by the round one analysis held only insofar as dollars were linked to
356 contributors (Slope= 57.04 ± 2.96 SE, $t=19.29$, $p<0.001$, $R^2=0.83$) which in turn was determined
357 by page views and weak support for Facebook network size (Table 4). The slope of the pre- and
358 post-goal page view relationship with number of contributors had weak support for being
359 different from one another (pre slope= 0.018 ± 0.003 , post slope= 0.037 ± 0.010 , t-test for
360 difference $t=1.82$, $DF=66$, $p=0.07$). However, both pre- and post- goal page views had no
361 relationship with Twitter network size when using models developed from round 1 ($p>0.50$ for
362 both). Clearly, the models we developed for project page views in round one did not hold for
363 round two or three.

364

365 **The Role of Effort**

366 Our initial hypotheses had anticipated that both effort on the part of a researcher and their
367 network size should contribute to the success of their project. Our models incorporating effort

368 (Table 5, Fig. 7, S4) demonstrated that contacting people via email is extremely effective with
369 1.72 visits per person emailed pre-goal. Pre-goal page views were also enhanced by number of
370 press contact (~93 page views per press contacted). Intriguingly, there was an interaction
371 between Twitter network size and number of tweets, such that for every ~75 followers, 1 tweet
372 would bring in 1 page view. Assuming each click is an independent person, thus two tweets a
373 day would ensure that roughly 80% of a scientist's Twitter network has viewed their project.
374 Overall, our effort model provided modest explanatory power for pre-goal page views ($R^2=0.67$).
375 Post-goal page views seemed to be relatively uninfluenced by all factors (Table 5b). Instead, a
376 simple model where post-goal page views was explained by pre-goal page views (i.e., a popular
377 project continues to be popular) appears to provide some explanation for post-goal page views
378 (LR $\chi^2 = 7.09$, DF=1, p=0.008, slope= 0.113 ± 0.047 SE, intercept= 118.283 ± 88.942 SE,
379 $R^2=0.20$).

380

381 Researcher impressions of what contributed to success and failure

382 In the survey, participants were asked about their impressions of “what worked” and “what did
383 not work” in making their crowdfunding campaigns successful (see Table S2 for question list).
384 Answers were open-ended, and several participants identified multiple factors in their answers.
385 Overall, 14 reasons were identified for what worked (Table 6), and 15 for what did not work
386 (Table 7). For the most part, participants' opinions about the sources of their crowdfunding
387 success matched the outcomes of the statistical models. Across all three rounds, participants
388 identified the following three factors as the main contributors to their success (both in terms of
389 direct giving to, and generating interest in, the project): family and friends (36%), personal
390 networks (36%), and online networks (31%). These most frequently cited opinions are in synch

391 with the results of the statistical analysis in that Facebook networks and sending out e-mails to
392 social networks were among the most important drivers of a successful crowdfunding campaign.

393 The other component of a successful campaign, according to the statistical analysis, is
394 press contacts. However, this was not considered a key reason for success by the majority of
395 participants. Less than 5% of the sample across the three rounds identified #SciFund publicity
396 (4%), national media (2%), and local media (1%) as being important to their success.

397 Among the factors that did not work according to the participants, 19% of the sample
398 thought that engaging their online networks (Facebook, Twitter, blogging, and Google) was
399 unsuccessful. Related to this, 13% of the participants thought that they did not promote their
400 project enough (to a variety of potential networks and press outlets). The third most cited factor
401 considered to be unsuccessful was having a small or non-existent online network or social media
402 presence. These impressions are in line with the statistical analysis in that the most frequent
403 answers to this question were related to engaging social networks.

404

405 **Discussion**

406 Our analysis shows that engagement of broad audiences is the key to successful science
407 crowdfunding. To engage, a scientist must first build an audience for their work, hopefully well
408 before their crowdfunding campaign begins, such as through the Twitter and Facebook networks
409 we quantified here. Once the crowdfunding begins, a scientist must then put effort into
410 maintaining the connections between these networks and their science, such as through tweets or
411 direct contact via email. Some activities, such as reaching out via the press, even accomplish
412 goals of both building a wider audience while connecting them to crowdfunding proposals all in
413 one fell swoop. Engagement via science communication then leads to research dollars by

414 bringing people to view project pages. In turn, those views translate into contributions for new
415 scientific work (Fig. 8; see Fig S1 for a full path diagram with coefficients, and S2 and S3 for a
416 similar visualization from round 1). In short, audience multiplied by outreach effort equals
417 successful public engagement, and successful science crowdfunding.

418

419 The Role of Audience

420 Our analyses show that the pathway to raising money via crowdfunding in science
421 requires building a network of people interested in one's work and engaging that audience and
422 additional members of the public interested in a specific project. This occurs largely before the
423 crowdfunding campaign begins, and time invested in engagement yields a larger audience and
424 proportionately greater funds raised. For example, our analyses suggested that Twitter and
425 Facebook network size influences project success. Additional forms of outreach to build one's
426 scientific fan base not measured by our survey (e.g., involvement with museums, public lectures,
427 media history, etc.) quite likely help in crowdfunding a project. These kinds of community
428 engagement activities may facilitate access to local mailing lists as well as the likelihood of a
429 press contact translating into an article. All of these forms of audience building demonstrate the
430 importance of building and maintaining a consistent public presence for raising money through
431 crowdfunding.

432

433 Effort: You are Not Shouting Into the Void

434 Having an audience alone is not enough to be successful. If a scientist launches a
435 crowdfunding campaign, but doesn't tell anyone in their vast audience about it, that audience

436 won't come. However, in the survey data, many scientists admitted to doubts that their efforts
437 were successful. The quantitative data, in contrast, shows that while promotion of a
438 crowdfunding project may at times feel like shouting into the void, the effort can and will lead to
439 success. During a crowdfunding campaign, more effort – that is more tweets, more emails sent,
440 more people in the press contacted - all led to higher funding. Crowdfunding takes effort.
441 Informally, some successful participants reported spending ½–1 hour per day on outreach during
442 their crowdfunding campaign period. Note, that this is after the time-intensive process of
443 producing crowdfunding materials, such as a short video, necessary to engage with a broad non-
444 expert audience. These activities are different from the traditional grant-writing models that are
445 comfortable for most scientists. Rather, these are the activities of a successful outreach program,
446 but with the added benefit of research funding for the time invested.

448 Differences between First and Subsequent Rounds

449 There were two main differences between our exploratory analysis of round one and the
450 results of our confirmatory analysis in rounds two and three. First, blogging was not important in
451 building an audience in rounds two and three. This may well reflect an artifact of participant self-
452 selection. In round one, science crowdfunding was new, and many of our participants had a long
453 history of engaging in online science outreach. Many were active bloggers with long-standing
454 followings (authors' personal observations), sometimes built up over years (mean blog age=28
455 months). In contrast, while many participants in later rounds had substantial Twitter audiences,
456 they often did not have the long experience blogging (mean blog age=14 months) despite having
457 a relatively similar fraction of bloggers (51%, 35%, 50%, respectively).

458 The second difference between the rounds emerged due to differing methodology. Simply

459 put, our Likert scale questions could not adequately capture effort in round 1. The shift to non-
460 Likert questions regarding effort in rounds two and three allowed us to quantify a phenomenon
461 we suspected was important given qualitative interviews, but had not been able to fully capture
462 quantitatively.

463

464 Moving Beyond the US\$10K Barrier in Science Crowdfunding

465 Throughout #SciFund, we were commonly asked whether crowdfunding might someday
466 serve as a replacement for traditional sources of funding. The amounts raised by the #SciFund
467 projects were small compared to a typical National Science Foundation or National Institutes of
468 Health grant. However, they are very much in line with initial crowdfunding efforts in many
469 fields where crowdfunding is now a major source of revenue; a development period of a few
470 years seems to be required for larger amounts to be raised via this method in any given field [21].
471 Indeed for #SciFund, there is evidence that the audience is growing. For example, the percentage
472 of #SciFund projects meeting their goals increased each round (Figure S5), and after a recent
473 fourth round (run on a different platform, Experiment.com, and hence not included here for
474 analysis), scientists are now achieving a 62.5% success rate.

475 Furthermore, since the inception of #SciFund, several science crowdfunding projects
476 have raised substantially more money than the most successful #SciFund projects. Two projects
477 investigating the bacterial communities associated with humans each raised over US\$300,000
478 [37,38]. A project to launch a space telescope raised over US\$1,000,000 [39]. The difference
479 between these projects and #SciFund projects was rewards that directly involve citizens in the
480 scientific process. Donors funding the two microbial projects at a certain minimum level had
481 their very own bacterial communities analyzed by those projects. Funding the space telescope at

482 high levels gave funders direct access to time on the telescope.

483 Examples of US\$100K+ science crowdfunding efforts reinforce the basic lessons of our
484 analyses. The scientists behind these high-earning crowdfunding campaigns also went to great
485 lengths to promote their work. But more importantly, they went to extreme lengths to engage
486 citizens in their scientific process. Audiences were captivated by taking part themselves in
487 microbial and space research. They will likely be engaged with those scientific groups for years
488 to come, potentially crowdfunding future projects.

490 The Future of Crowdfunding for Science

491 Will crowdfunding replace traditional funding sources? No. At the bare minimum,
492 science crowdfunding provides a tangible financial reward for outreach, enabling access to
493 untapped pools of research funds while removing the “waste-of-time” stigma of outreach [24].
494 Moreover, it opens up a new pool of funds for pilot or high-risk projects, allowing a scientist to
495 later leverage their engaged audience alongside preliminary data for larger pools of funds.
496 However, for projects that engage heavily with the public (i.e., provide opportunities for citizen
497 science) or emerge from labs who are deeply engaged with the community around them,
498 crowdfunding may provide a truly alternative funding mechanism for many kinds of research
499 projects.

500 A common concern is that crowdfunding will only be viable for projects with lowest
501 common denominator public appeal, such as projects with charismatic large animals (“panda
502 bear science”), a human health aspect, or some other element that has populist appeal, regardless
503 of the scientific importance of the project. Many successful #SciFund Challenge projects were on
504 topics that are not normally considered popular with the public, however (e.g., statistics, little

505 known invertebrates, etc.). This is not to say that all projects will have equal appeal, but that
506 persistent engagement can build an audience for many kinds of projects. The key to creating an
507 engaging proposal is communicating why the project sparks your passion, and why should it
508 matter to your audience.

509

510 Making crowdfunding part of a lab and university's funding portfolio

511 Our work suggests a clear path forward for individual researchers who wish to fund a
512 portion of their lab's work via crowdfunding. We suggest that researchers should begin by
513 cultivating an audience for their work over time. This can be through a variety of avenues:
514 become active in local public science efforts, foster connections with relevant non-governmental
515 organizations with their own audiences, launch a public science blog (potentially with
516 collaborators), build a Twitter following, and search out as many ways to easily communicate
517 your science to as broad an audience as possible. The skills for running a campaign are identical
518 to those needed to build an audience in the first place. A scientist who has built an audience will
519 therefore have an easier experience running their campaign. When it comes time to crowdfund a
520 project, these are the sources that can be tapped for research funding; this "fan base" will already
521 be invested and engaged in your work. More importantly, once you have crowdfunded your
522 work, maintain the connections with your funders. Keep them apprised of progress. Keep them
523 involved with the process and results of your science. This constant contact has two benefits:
524 first, it should enable more successful repeat crowdfunding, and potentially higher levels of
525 future funding. Second, and more importantly, it will yield direct social benefits by connecting
526 progressively more people to science.

527 In these times of stagnant traditional science funding, every piece of external funding

528 helps labs and universities move forward. Ultimately, if universities want to take advantage of
529 crowdfunding dollars, academic culture must embrace science engagement, in contrast to the
530 current climate of devaluing outreach in university hiring and promotion policies
531 [24,40,41,42,43,44,45,46,47,48,49,50,51,52,53]. To be competitive in the new and dynamic
532 crowdfunding environment, universities must find ways to develop and enrich policies and
533 practices that foster active outreach and engagement by their faculty.

534 #SciFund illustrates that fostering a strong connection between science and society within
535 the culture of academia can benefit both universities and scientists financially. But the benefits of
536 creating an academic climate that encourages science outreach are greater than a new source of
537 research funding. Outreach and engagement create public science literacy [54], new arenas of
538 public support for science, and new connections between scientists and the world that they are
539 trying to understand.

540

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548

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Table Captions

Table 1: Distribution of #SciFund crowdfunding projects (across rounds) by academic discipline.

Table 2: Likelihood ratio tests (a) and coefficient estimates (b) evaluating predictors of number of contributors in round 1.

Table 3: Likelihood ratio tests (a,b) and coefficient estimates (c,d) evaluating predictors of pre- (a,c) and post-goal page views (b,d) in round 1.

Table 4: Likelihood ratio tests (a) and coefficient estimates (b) evaluating predictors of number of contributors in rounds 2 and 3.

Table 5: Likelihood ratio tests (a, b, c) and coefficient estimates (d, e, f) evaluating predictors of pre- (a, d) and post-goal page views (b, c, e, f) in rounds 2 and 3.

Table 6: Factors mentioned by SciFund project creators that **helped** with project fundraising. Respondents could mention multiple factors. N refers to number of completed surveys.

Table 7: Factors mentioned by SciFund project creators that **hurt** project fundraising. Respondents could mention multiple factors. N refers to number of completed surveys.

702

703 **Figure Captions**

704 **Figure 1. Crowdfunding donation patterns.** The daily time series of donations during the fifth
705 three rounds of #SciFund.

706

707 **Figure 2. Total dollars raised plotted against the number of contributors.** Line represents
708 best fit from model described in the text. Shaded grey area represents the 95% confidence
709 interval around the fit relationship.

710

711 **Figure 3. Factors affecting number of contributors to a project.** Plot shows the number of
712 contributors plotted against the number of Facebook friends. Size of points shows the number of
713 page views before achieving success. Color shows the number of project page views after goals
714 were reached with blue representing no views to red representing many views. Line represents
715 best fit from generalized linear model between x and y. Shaded grey area represents the 95%
716 confidence interval around the fit relationship.

717

718 **Figure 4. Relationship between Facebook “likes”, number of Twitter followers, and project**
719 **page views before a project hit its goal.** Line represents best fit from model described in the
720 text. Shaded grey area represents the 95% confidence interval around the fit relationship. Point
721 size is proportional to the number of Twitter followers.

722

723 **Figure 5. Relationship between Facebook “likes” and the number of page views after a**
724 **project has achieved its funding goal.** Line represents best fit from model described in the text.
725 Shaded grey area represents one standard error around the fit relationship.

726

727 **Figure 6. Relationship between monthly blog posts and number of Twitter followers.** Line
728 represents best fit from model described in the text. Shaded grey area represents on standard
729 error around the fit relationship.

730

731 **Figure 7. Relationship between pre-goal page views, press contacts, number of people
732 emailed, and effort times engagement on Twitter.** Line represents best fit from model between
733 press and pre-goal page views. Shaded grey area represents the 95% confidence interval around
734 the fit relationship.

735

736 **Figure 8. How online engagement leads to a crowdfunded research project.**

737

738 **Figure S1. The pathway of interactions leading to money raised for projects in round two
739 and three.** Diagram shows the relationships between different variables in our analyses. Only
740 those relationships that explained significant amounts of variation are included (LR χ^2 test
741 $p \leq 0.05$). Coefficients represent linear relationships and are in the units of variables described.
742 Sample size varies between each analysis represented in the diagram below due to differences in
743 respondent behaviour and the exclusion or inclusion of outlier data.

744

745 **Figure S2. How online engagement leads to a crowdfunded research project based on
746 results from round 1.**

747

748 **Figure S3. The pathway of interactions leading to money raised for projects.** Diagram shows
749 the relationships between different variables in our analyses. Only those relationships that
750 explained significant amounts of variation are included (LR χ^2 test $p \leq 0.05$). Coefficients
751 represent linear relationships and are in the units of variables described with one exception. The
752 relationship between Facebook “likes” and post-goal page views is exponential, and is shown as
753 such. Sample size varies between each analysis represented in the diagram below due to
754 differences in respondent behavior and the exclusion or inclusion of outlier data.

756 **Figure S4. Component-residual plots showing the relationship between pre-goal page**
757 **views, press contacts, number of people emailed, and effort times engagement on Twitter in**
758 **rounds two and three.** Tweet reach = number of Twitter followers \times number of tweets. Press2 =
759 number of people contacted in the press. Email = number of people contacted via email.

761 **Figure S5. Percent of projects hitting 100% of their funding goal over the first four rounds**
762 **of the #SciFund Challenge.**

Table 1.

Academic discipline	Number of #SciFund projects across rounds
Conservation biology and ecology	100
Psychology	8
Biomedical research	6
Organic chemistry	6
Human development	5
Evolution	4
STEM education	4
Climate science	3
Computer science	3
Genetics	3
Anthropology	2
Applied math	2
Open science	2
Astronomy	1
Business research	1
Cancer biology	1
Engineering	1
Neuroscience	1
Paleontology	1
Political science	1
Seismology	1
Toxicology	1

Table 2

(a)	LR χ^2	Df	Pr(>χ^2)	
Twitter Followers	0.041	1	0.84	
Facebook Friends	5.397	1	0.02	
Pre-Goal Page Views	12.849	1	>0.001	
Post-Goal Page Views	44.601	1	>0.001	

(b)	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	4.497	3.925	1.146	0.263
Twitter Followers	-0.001	0.006	-0.224	0.825
Facebook Friends	0.019	0.008	2.301	0.03
Pre-Goal Page Views	0.009	0.003	3.544	0.002
Post-Goal Page Views	0.048	0.009	5.139	>0.001

Table 3

	LR χ^2	Df	Pr(>χ^2)	
(a)				
Twitter Followers	11.621	1	0.001	
Facebook Friends	0.97	1	0.325	
Facebook Likes	58.85	1	>0.001	
(b)				
Twitter Followers	0.307	1	0.579	
Facebook Friends	1.463	1	0.226	
Facebook Likes	8.466	1	0.004	
(c)				
	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	528.414	165.058	3.201	0.004
Twitter Followers	0.782	0.284	2.752	0.011
Facebook Friends	-0.345	0.355	-0.971	0.34
Facebook Likes	10.04	1.769	5.675	>0.001
(d)				
(Intercept)	5.674	1.147	4.949	0.016
Twitter Followers	-0.001	0.001	-0.503	0.649
Facebook Friends	-0.003	0.002	-1.114	0.346
Facebook Likes	0.018	0.009	1.925	0.15

Table 4

(a)	LR χ^2	Df	Pr(>χ^2)	
Facebook Friends	2.981	1	0.084	
Pre-Goal Page Views	58.206	1	0	
Post-Goal Page Views	17.797	1	0	

(b)	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	6.523	2.504	2.605	0.011
Facebook Friends	0.011	0.006	1.816	0.074
Pre-Goal Page Views	0.018	0.003	6.524	0
Post-Goal Page Views	0.036	0.01	3.64	0.001

Table 5

(a)	LR χ^2	Df	Pr(>χ^2)	
Google+ Followers	0.118	1	0.731	
# of Google+ Posts	3.198	1	0.074	
# of Twitter Followers	2.432	1	0.119	
# of Tweets	0.189	1	0.663	
# of People Contacted by Email	21.47	1	>0.001	
# of Press Contacted	33.88	1	>0.001	
Google+ Followers * Posts	0.12	1	0.729	
# of Twitter Followers * Tweets	5.394	1	0.02	
(b) # of Twitter Followers	0.839	1	0.36	
# of Tweets	0.348	1	0.555	
# of People Contacted by Email	0.072	1	0.788	
# of Press Contacted	0.342	1	0.558	
# of Twitter Followers * Tweets	0.249	1	0.618	
(c) Pre-Goal Page Views	7.096	1	0.008	
(d)	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	572.711	93.726	6.11	>0.001
Google+ Followers	0.003	0.097	0.028	0.978
# of Google+ Posts	-14.324	11.371	-1.26	0.214
# of Twitter Followers	-0.269	0.199	-1.354	0.182
# of Tweets	-5.025	4.06	-1.238	0.221
# of People Contacted by Email	1.72	0.371	4.634	>0.001
# of Press Contacted	92.645	15.917	5.821	>0.001
Google+ Followers * Posts	-0.001	0.002	-0.347	0.73
# of Twitter Followers * Tweets	0.014	0.006	2.323	0.024
(e) (Intercept)	156.213	57.159	2.733	0.012
# of Twitter Followers	0.005	0.21	0.023	0.982
# of Tweets	2.04	2.732	0.747	0.463
# of People Contacted by Email	-0.05	0.188	-0.268	0.791
# of Press Contacted	6.263	10.703	0.585	0.564
# of Twitter Followers * Tweets	-0.002	0.003	-0.499	0.623
(f) (Intercept)	118.283	88.943	1.33	0.194
Pre-Goal Page Views	0.114	0.043	2.664	0.012

Table 6

Factor	All rounds (n = 118)	Round 1 (n = 47)	Round 2 (n = 49)	Round 3 (n = 22)
Family and friends giving	43 (36%)	17 (36%)	18 (37%)	8 (36%)
Personal networks	43 (36%)	13 (28%)	23 (47%)	7 (32%)
Online networks	37 (31%)	20 (43%)	7 (14%)	10 (45%)
Effective video	13 (11%)	7 (15%)	4 (8%)	2 (9%)
Social relevance of project	8 (7%)	6 (13%)	2 (4%)	0
General SciFund publicity	5 (4%)	4 (9%)	0	1 (5%)
Small financial goal	4 (3%)	4 (9%)	0	0
National media	2 (2%)	2 (4%)	0	0
Tastemaker involvement	2 (2%)	2 (4%)	0	0
Local media	1 (1%)	1 (2%)	0	0
Luck	1 (1%)	1 (2%)	0	0
Merton effect	1 (1%)	0	1 (2%)	0
Rewards	1 (1%)	1 (2%)	0	0
Specific project goals	1 (1%)	1 (2%)	0	0

Table 7

Factor	All rounds (n = 118)	Round 1 (n = 47)	Round 2 (n = 49)	Round 3 (n = 22)
Blogging or social media (Facebook, Google+, Twitter) did not work for me	23 (19%)	10 (21%)	7 (14%)	6 (27%)
Did not promote enough	15 (13%)	8 (17%)	5 (10%)	2 (9%)
Had no online network or online media presence	14 (12%)	8 (17%)	4 (8%)	2 (9%)
Could not engage professional discipline or relevant organizations	10 (8%)	3 (6%)	3 (6%)	4 (18%)
Could not get press	10 (8%)	3 (6%)	6 (12%)	1 (5%)
Project focus or topic not good	8 (7%)	6 (13%)	2 (4%)	0
Tastemaker involvement not effective	7 (6%)	4 (9%)	3 (6%)	0
Friends and family would not donate	6 (5%)	4 (9%)	2 (4%)	0
Rewards were not a draw	5 (4%)	3 (6%)	1 (2%)	1 (5%)
Bad video or problems with video	4 (3%)	2 (4%)	1 (2%)	1 (5%)
Cold calls and reaching out to strangers not effective	4 (3%)	0	4 (8%)	0
Being faculty as opposed to student	1 (1%)	0	1 (2%)	0
Dollar goal too high	1 (1%)	1 (2%)	0	0
Male voice on video not effective	1 (1%)	1 (2%)	0	0
Timing of the campaign and national events	1 (1%)	0	0	1 (5%)

Figure 1: **The history of #SciFund.** The daily time series of donations during the run of #SciFund Round 1

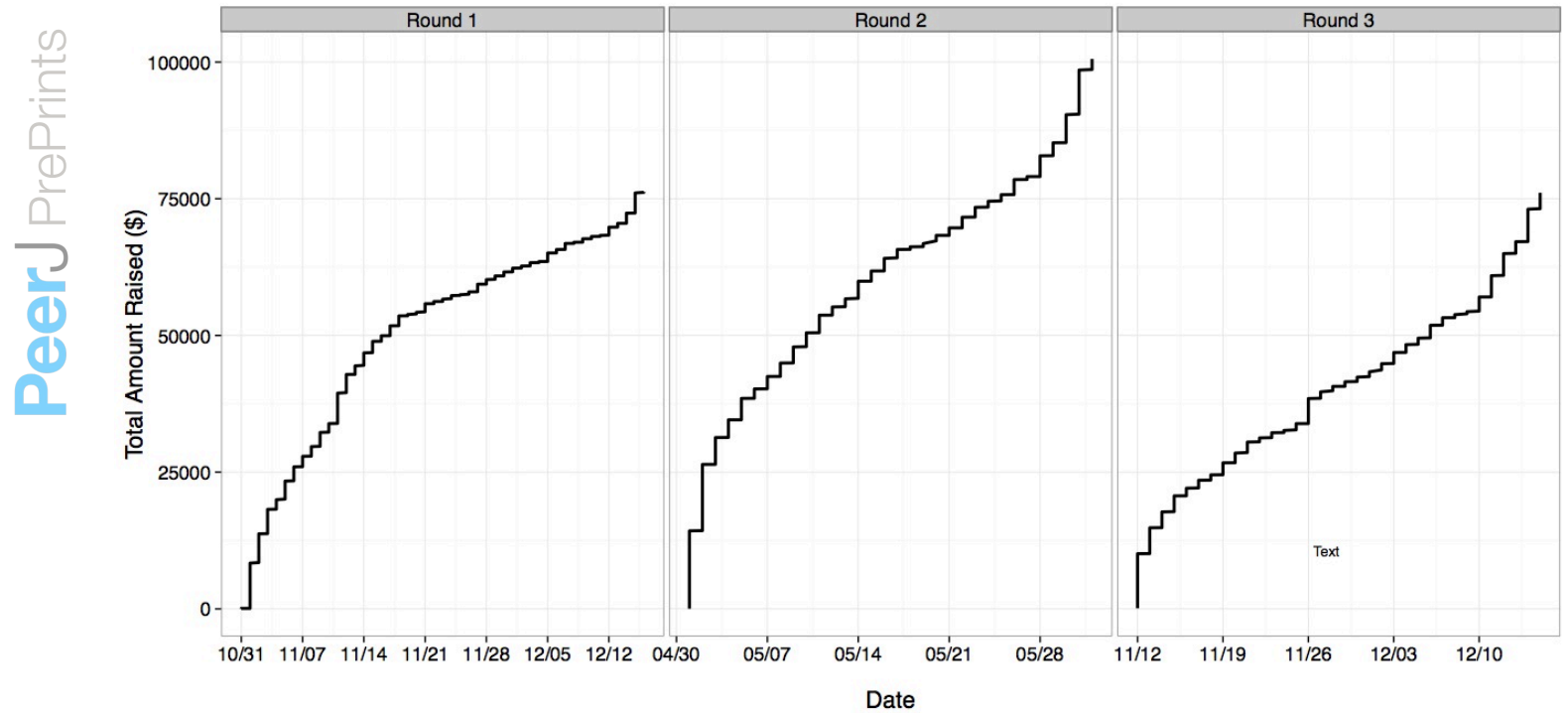


Figure 2: **Total Dollars Raised plotted against the number of contributors.** Line represents best fit from model described in the text. Shaded grey area represents the 95% Confidence Interval around the fit relationship.

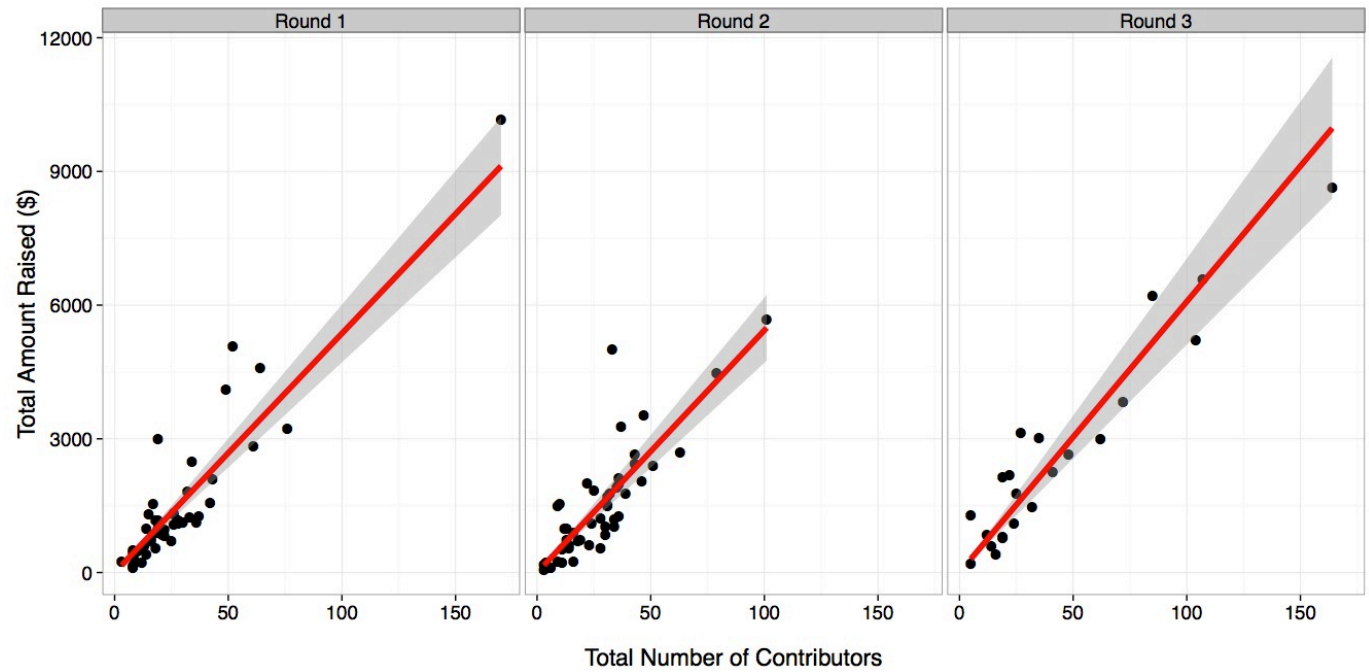


Figure 3: **The factors affecting number of contributors to a project.** Plot shows the number of contributors plotted against the number of pre-goal page views. Size of points shows the number of Facebook friends. Color shows the number of project page views after goals were reached with blue representing no views to red representing many views. Line represents best fit from generalized linear model between x and y. Shaded grey area represents the 95% Confidence Interval around the fit relationship.

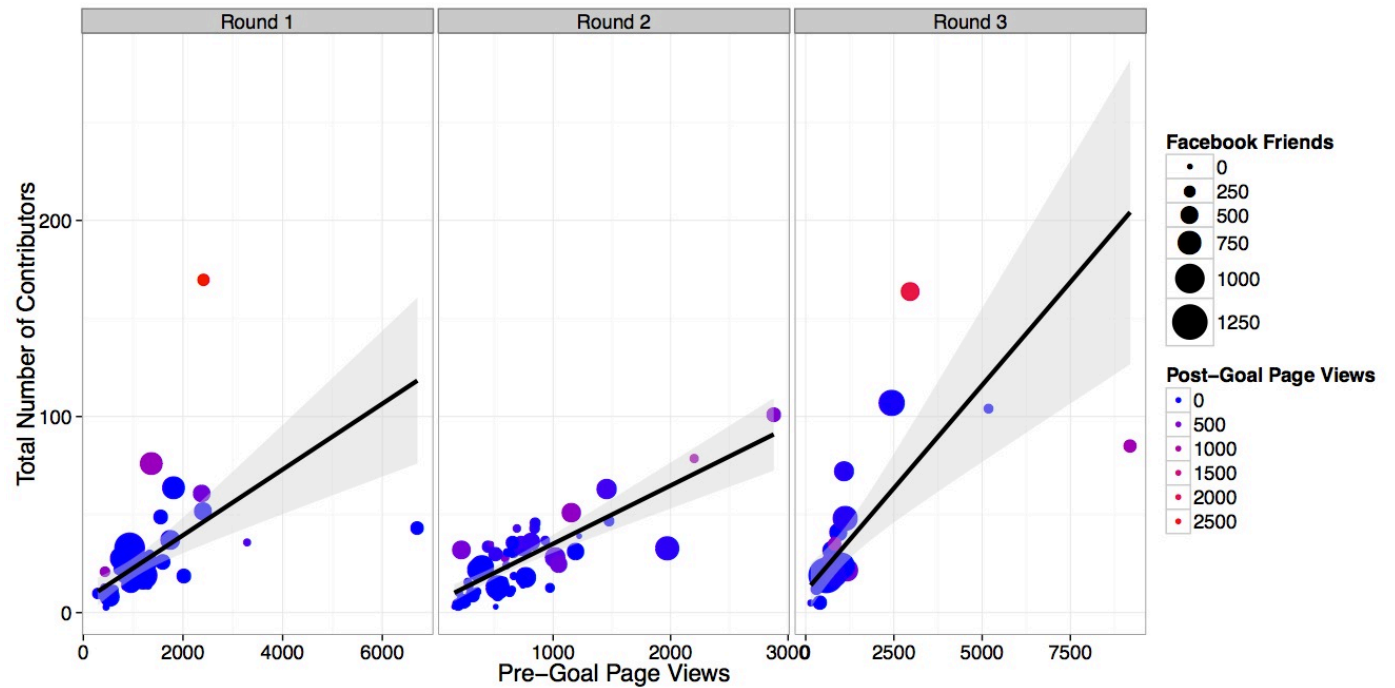


Figure 4: **The relationship between Facebook Likes, number of Twitter Followers, and project page views before a project hit its goal.** Line represents best fit from model described in the text. Shaded grey area represents the 95% Confidence Interval around the fit relationship. Point size is proportional to the number of Twitter Followers.

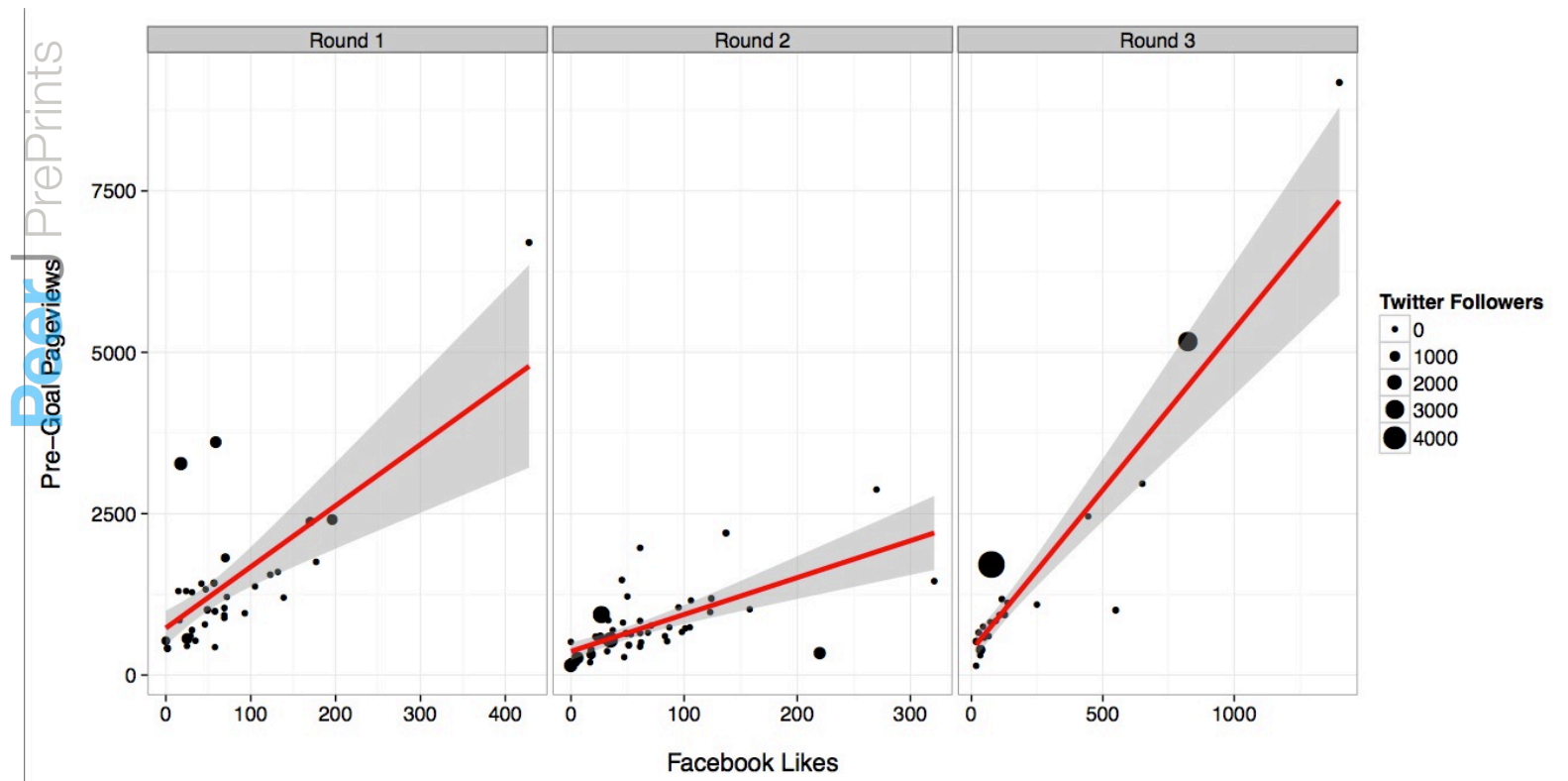


Figure 5: **The relationship between Facebook Likes and the number of page views after a project has achieved its funding goal.** Line represents best fit from model described in the text. Shaded grey area represents 1 Standard error around the fit relationship.

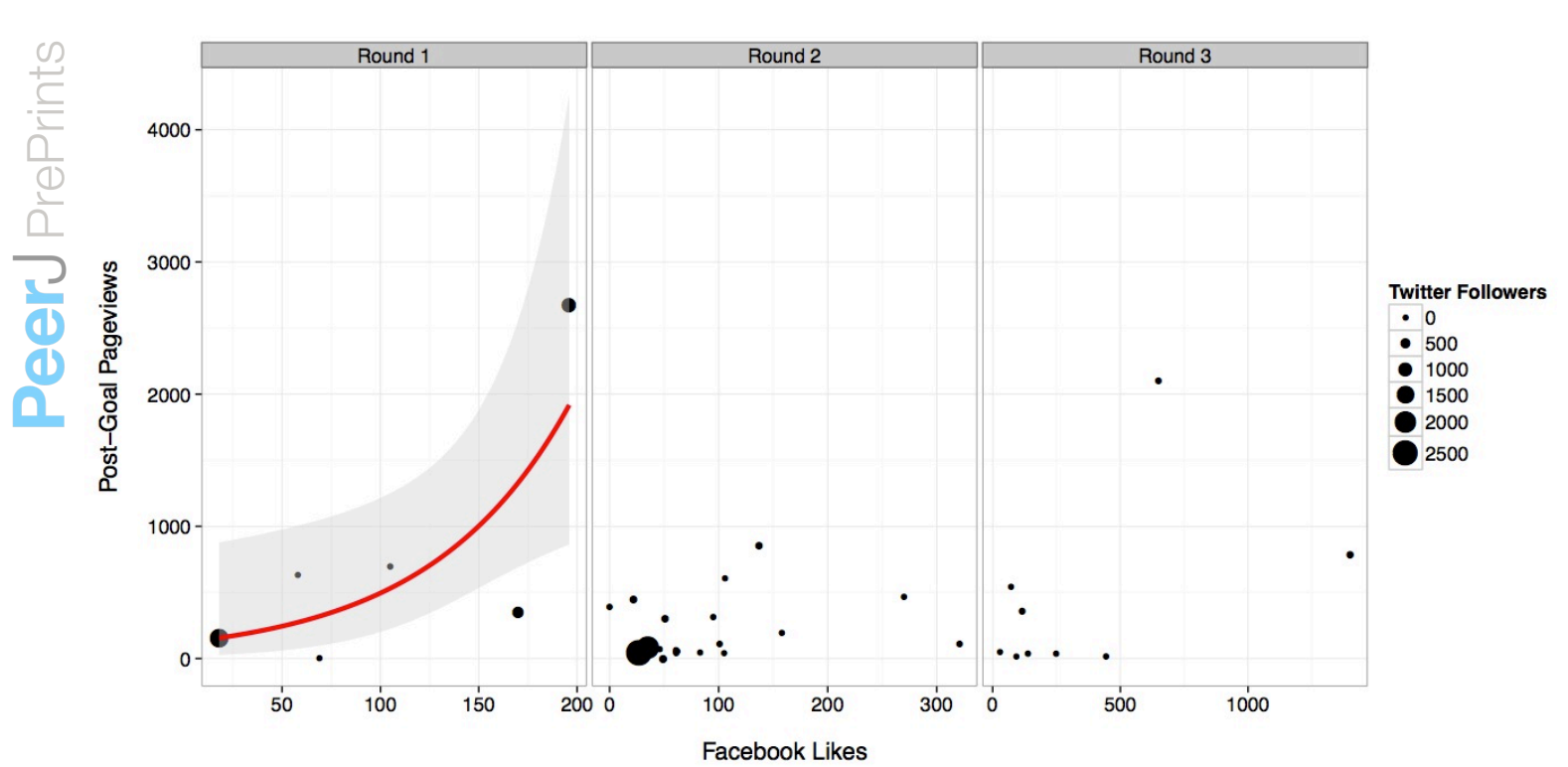


Figure 6: **The relationship between monthly blog posts and number of twitter followers.** Line represents best fit from model described in the text. Shaded grey area represents 1 Standard error around the fit relationship.

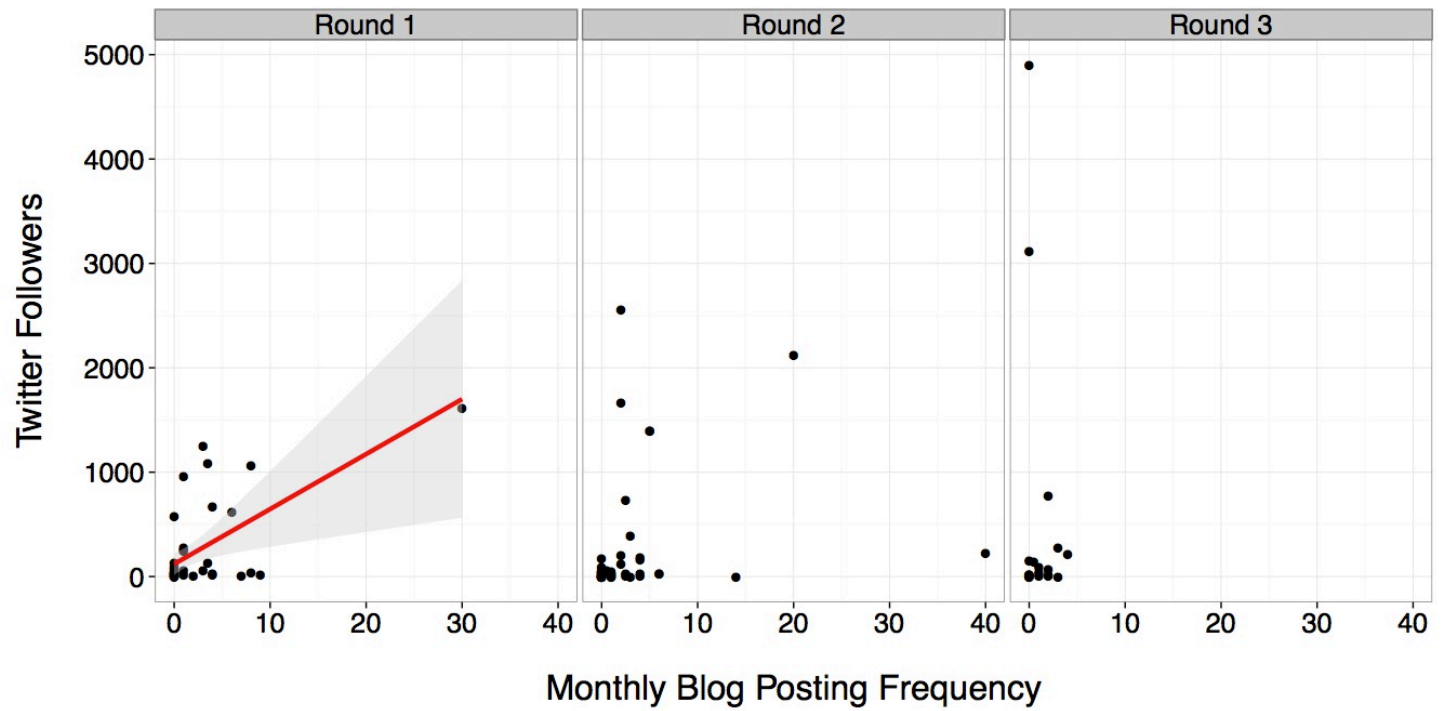


Figure 7: **The relationship between pre-goal page views, press contacts, number of people emailed, and effort * engagement on Twitter.** Line represents best fit from model between press and pre-goal page views. Shaded grey area represents the 95% Confidence Interval around the fit relationship.

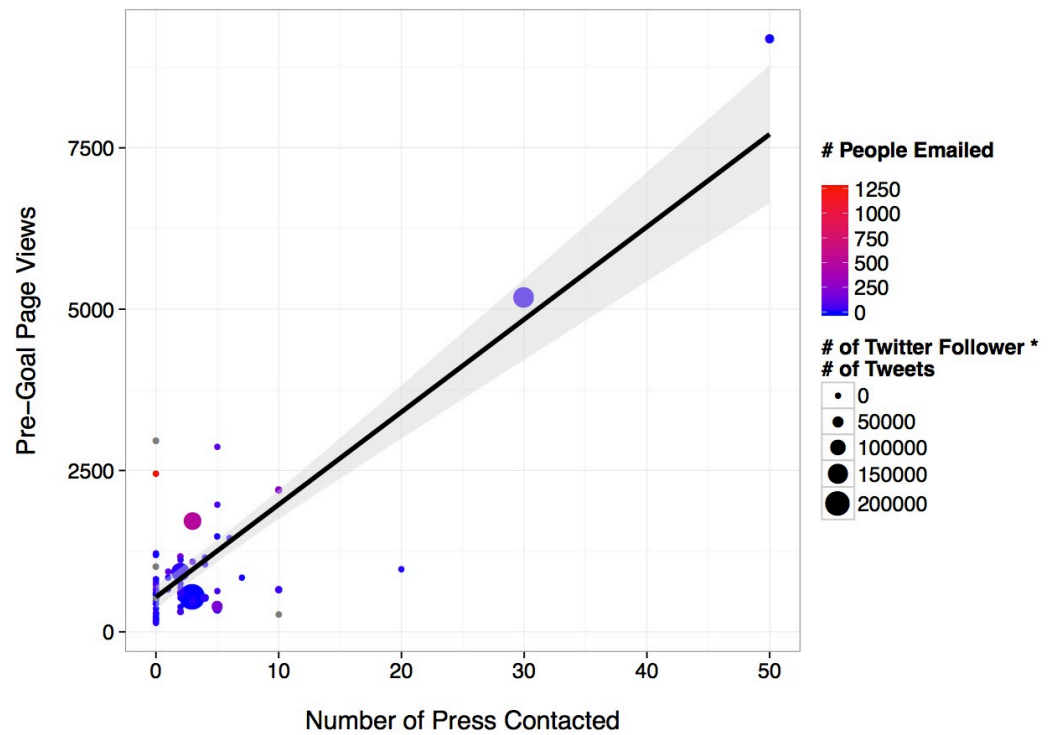


Figure 8: How online engagement leads to a crowd-funded research project.

