

1 **Futures of Tropical Forests (*sensu lato*)**

2
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8 **ABSTRACT**

9 When net deforestation declines in the tropics, attention will be drawn to the composition and
10 structure of the retained, restored, invaded, and created forests. At that point the seemingly
11 inexorable trends towards increased intensities of exploitation and management will be recognized
12 as having taken their tolls of biodiversity and other forest values. Celebrations when a country passes
13 the “forest transition” (i.e., suffers no net deforestation) will then be tempered by realization that
14 what has been accepted as “forest” spans the gamut from short-rotation mono-clonal stands of
15 genetically engineered trees to fully protected old growth natural forest. With management
16 intensification, climate change, species introductions, landscape fragmentation, fire, and shifts in
17 economics and governance, forests will vary along gradients of biodiversity, novelty of composition,
18 stature, permanence, and the relative roles of natural and anthropogenic forces. Management
19 intensity will increase with the increased availability of financial capital associated with economic
20 globalization, scarcity of wood and other forest products, demand for biofuels, improved governance
21 (e.g., security of property rights), improved accessibility, and technological innovations that lead to
22 new markets for forest products. In a few places the trend towards land-use intensification will be
23 counterbalanced by recognition of the many benefits of natural and semi-natural forests, especially
24 where forest-fate determiners are compensated for revenues foregone from not intensifying
25 management. Land-use practices informed by research will help minimize the tradeoffs between the
26 financial profits from forest management and the benefits of retention of biodiversity and the full
27 range of environmental services.

28
29 *Key words:* natural forest management; plantation forestry; REDD+; selective logging; silviculture.

30 TWEETABLE ABSTRACT: Environmentalists need to be aware that as a result of intensification of
31 management, tropical forests spared from conversion may lose much of their natural value.

33 FUTURE FATES OF TROPICAL FORESTS will continue to be determined by market forces, labor availability,
34 governmental policies, qualities of governance and institutional frameworks, and cultural values that
35 interact in complex ways with the diverse impacts of climate change and the many effects of
36 globalization. From environmental and long-term social welfare perspectives, these fates could be
37 improved if interventions are designed to address the complexities of conservation in real-world
38 landscapes (e.g., identifying and negotiating tradeoffs; Sayer *et al.* 2013).

39 While environmentalists will continue to prize natural capital stocks and biodiversity, the
40 drivers of forest degradation, loss, gain, and modification will remain mostly financial, as mediated by
41 governance (e.g., security of property rights; Agrawal *et al.* 2008), economic policies (e.g., taxes and
42 trade barriers; Chomitz 2007, Rautner *et al.* 2013), and cultural proclivities (e.g., Meijaard *et al.* 2013).
43 Strengths of these drivers vary with accessibility, as proposed by von Thünen (1826), adapted by
44 modern economists and geographers (e.g., Chomitz 2007, Southworth *et al.* 2011, Angelsen & Rudel
45 2013), and modified to emphasize the high cost of property right security near forest frontiers (Hyde
46 2012). Basically, improved access increases potential land rents while it spurs immigration and
47 promotes good governance. These trends are reinforced by the fact that many areas that remain
48 remote are otherwise unsuitable for intensive land uses due to steep slopes, high elevations, and/or
49 particularly infertile soils.

50 Rather than repeat the ample contents of several recent analyses of the rates and drivers of
51 tropical forest loss and recovery (e.g., Pfaff *et al.* 2010, Wright 2010, Corlett 2011, Rautner *et al.*
52 2013, Köthke *et al.* 2013, Krupnick 2013, Wilcove *et al.* 2013, Laurance *et al.* 2014), we focus on the
53 natures of forests that will remain standing and those that will be restored or created. Our main point
54 is that the undesirable environmental impacts of forest use can be minimized if there is a desire to do
55 so and if attention is paid to the structure, composition, and dynamics of the antecedent and
56 consequent ecosystems and landscapes and not just on the total land surface occupied by forest. This
57 focus is further justified by recent evidence of accelerated fluxes in forest cover, with losses
58 exceeding gains but without a clear sense of the equivalence of what was lost and gained (Hansen *et al.*
59 2013). Our concern is that without clarity on this issue, areas spared from agricultural conversion
60 will not be spared from use and will little resemble natural forests.

61 To reveal the consequences of land-use change to forests, clarity is needed about what is
62 meant by “forest,” “forest degradation,” “reforestation,” and “restoration.” Unfortunately, previous
63 efforts at elucidation mostly failed perhaps because of the inherent complexities of classifying

64 conditions that vary continuously and often subtly (e.g., Lund 2002, Sasaki & Putz 2009, Putz &
65 Redford 2010; but see Thompson *et al.* 2013). That many forest states are not easily differentiated
66 with remote sensing is another impediment and perhaps motivates continued reliance on the
67 definition of “forest” by the Food and Agricultural Organization of the United Nations as an area of
68 >0.5 ha with >10% potential tree cover, with “trees” defined as plants (including palms and bamboo)
69 capable of growing to >5 m tall (FAO 2010). With “arborealization” of international conservation
70 agendas, such a broad definition may help legitimize claims of forest dependency and confer political
71 and even financial benefits (Walker 2005). Finally, a broad definition favors some geo-political
72 agendas and businesses such as the restoration firms and forest industries that benefit if large areas
73 are deemed deforested or degraded.

74 To illuminate the environmental consequences of different land-use practices, the states of
75 ecosystems and landscapes need to be assessed relative to an agreed upon reference condition. With
76 on-going changes in cultures, climates, and other ecosystem-shaping factors (e.g., increased nitrogen
77 deposition), reference states need to be flexible enough to accommodate change, but they still need
78 to be specified. In full recognition of the cultural trappings and biophysical impossibilities of truly and
79 fully natural, wild, pristine, primary, primeval, or virgin forests (e.g., Soulé & Nease 1995), we here
80 cling to this ideal in our reference state selection of “old growth forest.” What constitutes old growth
81 is not free of cultural values and varies among forest types, so here we rely on the most general
82 definition *as an area with naturally regenerated trees older than the silvicultural or economic rotation*
83 *age* (modified from Spies & Duncan 2009). We contrast old growth with forests that are managed,
84 degraded, secondary, planted, restored, novel, or domesticated. To the extent that biodiversity
85 confers resilience against environmental shocks and stresses, old growth should be more resistant to
86 change and resilient to perturbations than these derived states (e.g., Messier *et al.* 2013). Our
87 emphasis on old growth is in no way meant to diminish the values of degraded primary, secondary,
88 and other sorts of forests or of trees outside forests. We also recognize that other reference states
89 would be more relevant in other contexts such as where there is no old growth.

90 Natural forest values are jeopardized when land-use decisions are informed by remote
91 sensing analyses that distinguish only forest and non-forest and when “forest” is defined solely on the
92 basis of tree cover. These practices engender somewhat false senses of accomplishment when the
93 forests reported to cover substantial portion of tropical landscapes little resemble old growth.

94 FAO's efforts to separate "planted forests" are commendable (FAO 2010), but it is not clear
95 why short-rotation monoculture fiber farms are accepted as "planted forests" but simple as well as
96 complex multispecies agroforests are not [e.g., African oil palm (*Eleais guineensis*) and rubber (*Hevea*
97 *brasiliensis*) plantations, shade-grown coffee (*Coffea* spp.), and dammar gardens and other domestic
98 forest types; Michon *et al.* 2007]. Certainly many sorts of agroforests maintain more biodiversity and
99 provide more forest services (e.g., canopy cover and carbon storage) than short-rotation fiber farms
100 of woody species (e.g., Barlow *et al.* 2007, Vandermeer and Perfecto 2007).

101 As a further illustration of the importance of clarity about what is meant by "forest" as well as
102 "reforestation" and "restoration," consider the consequences of a country passing the "forest
103 transition" (i.e., the point in time when forest losses and gains balance; for recent reviews see Perz
104 2007, Gregersen *et al.* 2011, Angelsen & Rudel 2013). If the forest gains came from planting native or
105 non-invasive exotic trees in deforested and severely degraded areas such as over-grazed and eroded
106 pastures on steep slopes, then the transition should be celebrated. If the restored areas contribute to
107 the well-being of local people, then so much the better. In contrast, consider industrial monocultures
108 of invasive exotic and low water-use efficiency trees that replace secondary forests or naturally non-
109 forested ecosystems such as savannas or grasslands; these too might confer some social and
110 economic benefits, but with high biodiversity costs (Putz & Redford 2009; Stickler *et al.* 2009).
111 Similarly, passing the forest transition has negative consequences for human welfare if that
112 accomplishment involved reduced food production or loss of local control as when agribusinesses
113 accumulate lands from smallholders to plant non-food commodities (Zoomers 2010).

114 If old growth forest is the reference state against which other ecosystem states are compared,
115 then it should be recognized that many old growth characteristics (e.g., large trees) are slow to
116 accrue. Although the environmental values of secondary forests (i.e., forests that develop in
117 previously cleared areas) are substantial and increase over time (e.g., Chazdon *et al.* 2009; Hall *et al.*
118 2011, but see Van Breugel *et al.* 2013), full recovery of old growth values occurs at centennial scales
119 and then only under appropriate conditions (e.g., availability of propagules of native species and the
120 dispersal agents to transport them, isolation from the onslaught of exotic species, and continued
121 climatic suitability).

122 We refer to losses of old growth values from areas that remain forested as "degradation."
123 Thompson *et al.* (2013) present an operational framework for consideration of many sorts of forest
124 degradation. They propose ways to conceptualize and measure losses of a forest's productive and

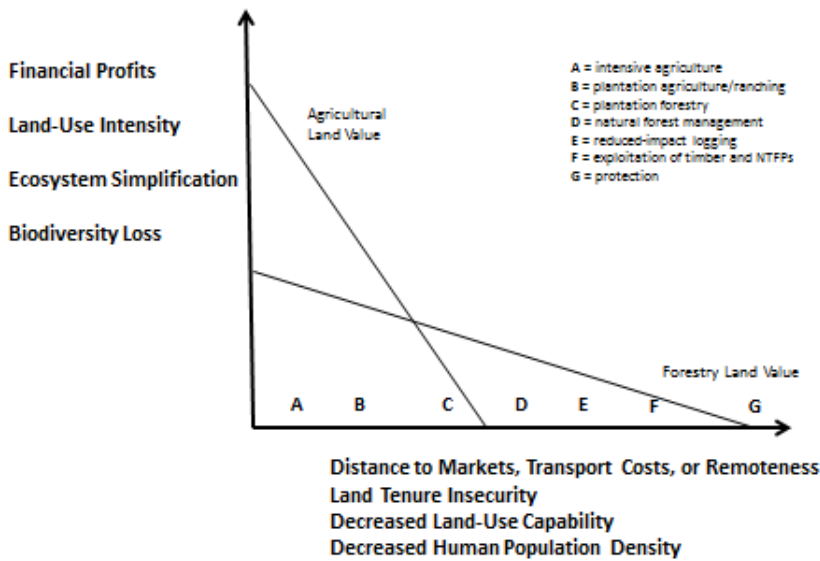
125 protective functions, biodiversity, and carbon, as well as whether the area is subjected to unusual
126 disturbances (e.g., alien invasive species or altered fire regimes). Clarity about how and to what
127 extent a forest is degraded will also inform decisions about the extent to which natural recovery
128 mechanisms can be relied upon and where investments in restoration are warranted. To be avoided
129 are situations in which forests designated as degraded are automatically rendered available for
130 intrusive restoration or conversion (Sasaki & Putz 2009, Barr & Sayer 2012).

131 One problem with the use of old growth as the reference state is that most forest
132 management interventions will thereby constitute degradation. Thompson *et al.* (2013) suggest that
133 to avoid this conundrum, sustainably managed forests (SFM) should replace for primary forest as the
134 reference condition. We agree with this suggestion but caution that timber yields can be sustained at
135 landscape levels by either very gentle or very harsh silvicultural treatments (e.g., light harvests over
136 large areas at long intervals or heavy harvests followed by enrichment planting in concentrated areas,
137 respectively). Once again, clarity is needed about what is being lost and gained relative to a defined
138 and accepted reference state.

139

140 **A GRADIENT OF FOREST USE INTENSITIES**

141 Although forests (*sensu lato*) vary along non-orthogonal gradients of structure and composition while
142 they differ in the reasons for their continued existence or re-creation, their condition can often be
143 predicted from their accessibility (Fig. 1). For example, in accessible areas where land tenure is
144 relatively secure and both human population densities and potential land rents are high, most of the
145 forests present are likely to be planted or at least intensively managed. Depending on tenure type,
146 property size, capital availability, institutions, and markets, the plantations might be of African oil
147 palm, rubber, or any of a variety of other tree species grown for fruit, seed, fiber, timber, biomass, or
148 biofuel. The biodiversity and other natural values of these plantations vary with management
149 intensity and practices (see the *Tradeoffs* section below). In a few accessible areas where “protective
150 rents” are more valued by society than the possible “productive rents” (Angelsen 2010), tree
151 plantations are established to restore ecosystem services (e.g., erosion control) while some
152 fragments of semi-natural forest remain standing (e.g., sacred groves; Bhagwat & Rutte 2006) or be
153 allowed to recover. At this end of the Von Thünen gradient most forests are surrounded and affected
154 by more intensive land uses and prone to degradation by exotic species invasion, edge effects, and
155 altered fire regimes.



156

157 FIGURE 1. A modified Von Thünen diagram that depicts generalized relationships between the profits and consequences of different intensities of agricultural and forestry land uses (A-G) versus
158 accessibility and its correlates (e.g., human population density, land-use capability, and the costs of
159 securing property rights). Likely land uses along the accessibility gradient are noted; natural forest
160 management is for multiple uses and involves reduced-impact logging (RIL) plus various silvicultural
161 treatments.
162
163

164 In rural areas of moderate accessibility and substantial human presence, forests develop that
165 are novel in composition, structure, and dynamics. These novel communities often emerge near
166 human settlements where propagules of invasive exotic species are abundant (e.g., Lugo 2009). Novel
167 communities also develop where fire suppression allows trees to invade savannas and open
168 woodlands (e.g., Hoffman *et al.* 2012). Despite their often radical difference from old growth forest,
169 many of these novel ecosystems are be valued for the goods and services they supply (Hobbs *et al.*
170 2013).

171 On lands of intermediate accessibility and use value, some previously cleared forests are
172 reforested with native or exotic tree species for commercial purposes or to cash in on environmental
173 incentive programs. Other areas revert naturally to forest through secondary succession due to
174 shortages of agricultural labor or where conditions are unsuitable even for plantation forestry
175 (Harvey *et al.* 2008; Nagendra & Southworth 2009). At this access level, where land tenure is
176 sufficiently secure and the necessary financial capital and, knowledge are available, some land-
177 owners manage their secondary and badly degraded forests to increase wood production or other

178 purposes. Where these conditions do not exist, the patches of forest are exploited for timber,
179 fuelwood, and other forest products whenever it becomes financially remunerative to do so. The
180 fauna in these forests varies with hunting pressure, biophysical conditions (e.g., connections with
181 source areas), and other factors (e.g., introductions of exotics).

182 In less accessible areas where human population densities and the financial opportunity costs
183 of forest retention are both low, exploited and managed semi-natural forests cover an increasingly
184 large proportion of the landscape. Whether exploitation or management prevail remains mostly a
185 function of tenure regimes with their associated rights and responsibilities coupled with institutional
186 capacities to exercise these rights and obligations. For example, forest fates are likely to differ
187 between lands granted to well-capitalized timber concessionaires and those controlled by indigenous
188 communities (e.g., Nolte *et al.* 2013). That said, company-community partnerships can result in land-
189 uses that range from low-intensity selective logging to forest conversion for industrial agriculture.
190 And if financial opportunities arise, even officially demarcated protected areas are not immune to
191 being degazetted and converted (Mascia and Pailler 2011).

192 With further decreases in accessibility, the costs of securing property rights increase
193 substantially and governance failures allow repeated uncontrolled harvests of timber and other forest
194 products. Finally, further into the frontier, forests are demarcated as protected areas or exploited for
195 their high-value-to-mass non-timber forest products (e.g., hides, gums, incense woods, and medicinal
196 plants) because alternatives are financially unattractive.

197 Over time, forest fates in most countries or at least large regions within countries have
198 followed the trajectories described above (e.g., Hyde 2012, Angelsen & Rudel 2013). According to this
199 *developmentalist* model (e.g., Lane & McDonald 2002), the sequence starts with a prehistoric era of
200 low intensity subsistence use by scattered indigenous people. Much later when human populations
201 increase and society recognizes the value of forests and especially the value of a sustainable supply of
202 wood, governmental forest reserves are typically established and management evolves from a focus
203 solely on timber to management for a wide range of goods and ecosystem services. Mather (2001)
204 posited a further phase of post-industrialism for when biodiversity conservation, recreational use,
205 and existence values determine the fates of some forests. The distinctiveness and durations of these
206 stages have varied within and among countries and over time, but the basic transition from
207 exploitation and liquidation to management and protection seems to apply across the globe (e.g.,
208 Hyde 2012).

209

210 **FUTURE TRADEOFF TRAJECTORIES ALONG THE FOREST-USE INTENSITY GRADIENT**

211 To explore some of the possible ways to rectify the consequences of sub-optimal forest uses, we
212 describe a variety of policy and practice pathways towards more natural forest conditions that are at
213 least revenue neutral. The focus is on nature-profit tradeoffs along the accessibility gradient
214 described above.

215 Perhaps the most effective conservation tactic for all sorts of forests regardless of their
216 location is avoidance of uses that cause more biodiversity loss than necessary to secure the desired
217 productivity or profitability. When depicted in terms of the maximum attainable biodiversity
218 conservation over a range of forest-use intensities, the conditions to be avoided are those that fall
219 inside this production-possibility frontier (Fig. 2). In this section we present a series of examples of
220 unnecessarily intensive or otherwise environmentally sub-optimal forest-use practices that are
221 common along the Von Thünen gradient described above. In the parlance of the land-sharing versus
222 land-sparing debate (e.g., Ewers *et al.* 2009, Phalan *et al.* 2011, Tilman *et al.* 2011, Laurance *et al.*
223 2014), we focus on land spared from agricultural expansion. Admittedly this focus is obscured when
224 the intensive agriculture is not for staple foods (e.g., luxury vegetables and fruits) or for biofuel and
225 other products. For example, palm oil is currently used predominantly for food, but also has markets
226 as a biofuel as well as for cosmetics and lubricants. It also seems relevant to note that the stems of
227 this species are increasingly used for flooring, veneer, and other standard forest products (Nordin *et al.*
228 *et al.* 2004, Wan Asma *et al.* 2012). Similarly, the recent market penetration of rubberwood highlights
229 one of the complications in the land-sharing and sparing debate. Finally, it should also be noted that
230 lands spared from both agricultural and forestry uses might nevertheless be used for mining (e.g.,
231 Edwards *et al.* 2013).

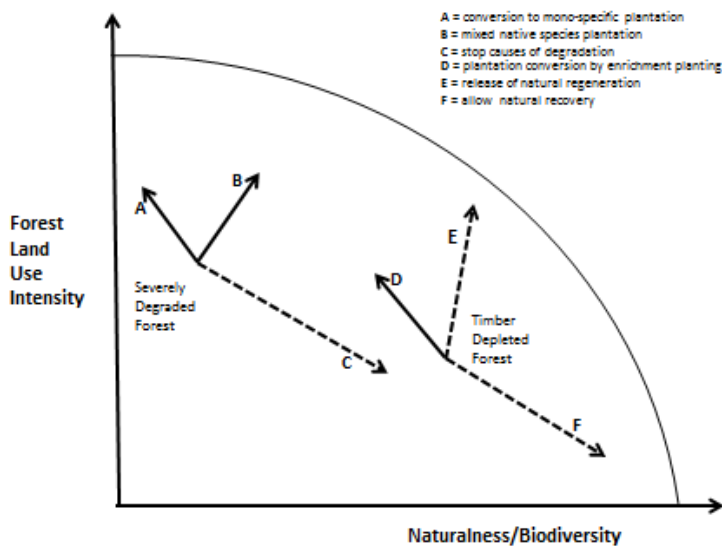


FIGURE 2. A diagrammatic representation of the tradeoffs between forest-use intensity and the maintenance of the biodiversity or “naturalness” of old growth forests relative to the production possibility frontier (PPF), which represents the best possible compromise. Plausible management practices for two forest states beneath the PPF are depicted. Note that the PPF depicted is a theoretical construct, but resembles those that typically emerge when tradeoffs are complex.

In accessible areas with arable soils and substantial population pressure, forest management tradeoffs will relate mostly to planted trees grown as agricultural crops. Examples include trees on farms, agroforestry and agrosilvopastoral systems, and industrial plantations managed for a multitude of uses including shade, wind-brakes, fruit, charcoal, biomass feedstock, pulp and saw timber for high-end uses (e.g., furniture). Intensively managed plantations can attain rates of biomass and timber volume accumulation an order-of-magnitude higher than natural forests with further increases likely with genetic and technological improvements (e.g., Carle & Holmgren 2008). Some planted stands can also contribute to the well-being of poor people (e.g., by providing fuel-wood and employment), others help control erosion, and an increasing proportion supply global markets with forest products. The expansion of plantation forestry will likely continue, if not accelerate, in response to emerging markets, improved access and governance, new technologies, environmentally perverse policies, and increased awareness of the associated business opportunities (e.g., Asen *et al.* 2013). In the absence of proper land-use plans, plantations owned by companies and communities will likely replace some natural forests and displace food production (e.g., Boulay and Tacconi 2012).

254 For relatively accessible forests severely degraded by wildfires and over-harvesting we
255 consider three land-use trajectories that differ in management intensity and biodiversity impacts (Fig.
256 2). Trajectory A represents conversion to an industrial monoculture, B is a mixed plantation with
257 natural forest buffers along streams, and C represents the unlikely scenario of cessation of
258 degradation followed by secondary succession or active restoration. Despite some evidence to the
259 contrary (e.g., Kanowski & Catterall 2010, Paquette & Messier 2013), the preponderance of
260 Trajectory A suggests that there are financial costs associated with managing plantations to more
261 resemble natural forests. Additional research carried out at appropriate spatial and temporal scales is
262 needed to show how the productivity as well as the profitability of intensively managed plantations
263 can be maintained or enhanced with increased within-stand diversity in the over- and under-stories
264 as well as by maintenance of natural forests in riparian and other environmentally sensitive areas
265 (e.g., Brockerhoff *et al.* 2012, Paquette & Messier 2013). Translations of this new knowledge into
266 packages of practices that deliver financial, biodiversity, and other benefits will need to consider the
267 constraints and possibilities for the full range of forest owners and operators (e.g., governments,
268 communities, private landowners, and industrial firms). Widespread adoption of these diversifying
269 practices will happen only after the associated operational and marketing challenges are adequately
270 addressed or compensation mechanisms are developed (e.g., payments for biodiversity). Until
271 researchers demonstrate to the satisfaction of plantation managers the benefits of more close-to-
272 nature silviculture, monoculture stands are likely to continue to dominate the plantation sector and
273 many landscapes considered to be forested.

274 In defense of Trajectory A (Fig. 2), there apparently are socioeconomic, cultural, and political
275 conditions under which intensively managed forestry plantations reduce pressure on natural forests
276 as sources of timber and other forest products (e.g., Sedjo & Botkin 1997). New Zealand is often used
277 as an example of where natural forests on public land were spared from logging after much the
278 country's landscape was converted into plantations of exotic trees (Maclaren 2001). That example
279 notwithstanding, it is not clear when and where this natural forest sparing tradeoff is likely. In the
280 only study on this topic of which we are aware for tropical forests, Ainembabazi and Angelsen (2014)
281 found that proximity to plantations established in degraded forests in Uganda only slightly improved
282 the fates of nearby natural forests. The benefits are even harder to see in the Southeastern USA
283 where despite the private ownership of much of the forest, high labor costs, huge expanses of
284 extremely productive plantations, substantial development pressure, and considerable wealth,

285 logging continues apace in an increasingly small area of semi-natural forest (Hyde 2012).
286 Nevertheless, avoidable losses of natural forests can be reduced if they are valued by society, strong
287 local institutions and accountability systems support forest-friendly land management decisions,
288 cultural values are recognized and incorporated in the design and implementation of incentive
289 mechanisms, and the full range of forest users are considered when forest access and use policies are
290 formulated and implemented (e.g., L'Roe & Naughton-Treves 2014).

291 Insights from the literature on agricultural intensification as a means to reduce forest
292 conversion might reveal the conditions under which intensification of forest use in selected areas will
293 allow forests in other areas to regain or retain their natural values (i.e., be spared). Both empirical
294 (Phalan *et al.* 2011) and theoretical (Tilman *et al.* 2011) studies demonstrate the landscape-scale
295 carbon and biodiversity benefits of intensification of food production. How biofuel production will
296 affect the land-sparing versus land-sharing tradeoff is less clear but the results of full carbon
297 accounting exercises represent only one element to be considered. What is clear is that areas spared
298 from agricultural conversion and mining are unlikely to be spared from other sorts of management.
299 Fates of spared forests will vary with a range of factors that include accessibility, labor availability,
300 societal values, substitutability of plantation products for those from natural forests, land tenure, and
301 a suite of institutional and policy factors (e.g., incentives for competing land uses, costs of compliance
302 with burdensome regulations, and law enforcement; Kaimowitz & Angelsen 1998, Angelsen 2010,
303 Pirard & Belna 2012).

304 In areas beyond the agricultural frontier that are depleted of their commercial timber stocks
305 by overharvesting, well-intentioned efforts at restoration can result in unwarranted biodiversity
306 losses (Sasaki & Putz 2009, Kettle 2012). One commonly advocated path towards restoration involves
307 planting of native species of commercial value in cleared areas, which should be referred to as
308 plantation conversion by enrichment planting (Trajectory D, Fig.2; Evans 1982). In Indonesia, for
309 example, after selective logging the government now requires that tree seedlings be planted at 2.5 m
310 spacing along 3 m wide clearcut strips opened at 20 m intervals (KKRI 2010). While the planted trees
311 remain standing, the biodiversity effects of this intervention are surprisingly minor (Berry *et al.* 2010;
312 Ansell *et al.* 2011). Unfortunately, when these planted trees are harvested after 25-30 years, the
313 logging intensity will be so high that the effects will be devastating regardless of the harvesting
314 techniques employed (e.g., Sist *et al.* 1998).

315 Enrichment planting can be warranted where logged forests lack natural regeneration of
316 commercial species, but this intensive treatment is too often motivated by the mistaken idea that
317 forest management necessarily involves tree planting. More gradual but similarly intensive forest
318 domestication is sometimes carried out by forest-dwelling rural people who often manage for a wide
319 diversity of forest products (e.g., Michon *et al.* 2007). An alternative and gentler path towards natural
320 forest restoration of areas that have lost their commercial growing stock involves the release from
321 competition of naturally regenerated seedlings, saplings, and pole-sized trees of the desired species
322 (Fig. 2, Trajectory E). Application of this approach requires forest workers and forest inspectors who
323 can recognize all the commercial species, but can still be both cost-effective and biodiversity friendly.
324 Perhaps the best option is a hybrid approach that rewards workers equally for planting or discovering
325 and releasing commercial species. Under appropriate social and political conditions where funds are
326 available, some of these degraded forests might be purchased and protected from further logging
327 (Fig. 2, Trajectory F; Rice *et al.* 1997, but see Romero & Andrade 2004 and Karsenty 2007).

328 A final example of a tradeoff between management intensity and biodiversity impacts (not
329 depicted on Fig. 2) involves avoidance of one of the principal causes of degradation close to forest
330 frontiers, uncontrolled timber exploitation by untrained crews. There is no need to review here the
331 already ample evidence for the environmental benefits of training forest workers and adoption of
332 reduced-impact logging (RIL) practices; these benefits include enhancements in biodiversity, carbon
333 storage, and hydrological functions and come at modest costs or financial savings (e.g., Medjibe &
334 Putz 2012 and references therein). These benefits can be further enhanced if RIL is combined with
335 gentle silvicultural practices such as liberation of liana-laden future crop trees (Peña-Claros *et al.*
336 2008).

337 In a recent study of sparing and sharing of selectively logged forest in Borneo, Edwards *et al.*
338 (2014) provide more evidence for the benefits of the former based on data on abundances and
339 species richness of birds, dung beetles, and ants. Their simulations indicate that in contrast to the
340 impacts of low intensity logging over entire concessions, biodiversity benefits if loggers harvest
341 timber intensively in some areas in exchange for protection of portions of primary forest; profits are
342 equalized when 25% of the area is not logged. Actually, if stipulations about logging in riparian buffer
343 zones and on steep slopes are respected, often more than that portion of concessions remains
344 unlogged for legal as well as logistical reasons (FEP, personal observation). Whether that spared
345 forest is equivalent in biodiversity value to the areas that are logged remains to be determined; if the

346 values of hydrological functions (e.g., erosion control) and aquatic biodiversity are included in the
347 equation, then those spared areas might be of even higher value. Also to be considered on Borneo is
348 the Indonesian government's requirement that after intensive harvests, concessionaires need to
349 clearcut strips and plant timber tree seedlings through logged-over forest (see below). Finally, it is
350 unfortunately the case that much of Borneo has already been logged at least once (Griscom *et al.*
351 2014), which means that comparisons with large tracks of old growth are no longer of much
352 relevance in many areas.

353

354 **HOPES FOR NATURAL FOREST**

355 Fiscal incentives for natural forest retention based on market capture of environmental benefits will
356 continue to be required because natural forest management for timber competes poorly with more
357 intensive land uses under all but the most adverse conditions (e.g., Pearce *et al.* 2002; Fisher *et al.*
358 2011; Ruslandi *et al.* 2011). Natural forests might also benefit from the realization of market
359 advantages for certified products from responsibly managed natural forests and effective restrictions
360 on illegal logging but suffer if new uses and markets for wood from tropical trees (e.g.,
361 nanocrystalline cellulose and cellulosic ethanol) motivate management intensification.

362

363 CONTRIBUTIONS OF CAPACITY BUILDING.--Tropical foresters will continue to manage the rapidly expanding
364 timber, biofuel, and fiber plantations but some will focus on more close-to-nature approaches to
365 natural forest management. In the already substantial and growing areas of secondary forests that
366 are not agricultural fallows destined for re-clearing, foresters will manage some stands to enhance
367 their biodiversity, timber, carbon, or other values. In degraded natural forests, forestry expertise
368 coupled with appropriate policies and incentives will be marshaled to mitigate the damage caused by
369 premature re-entry logging, wildfires, and other abuses. It is also likely that with increased societal
370 scrutiny of forestry practices made possible by new remote sensing methods, portions of designated
371 production forests that are unsuitable for management (e.g., due to high risks of landslides) or that of
372 especial conservation value will be taken out of production and protected. With proper landscape-
373 level plans that designate some forests for full protection, others for close-to-nature management for
374 multiple objectives including forest products, and some managed intensively, the tradeoffs between
375 production and protection can be minimized (e.g., Côte *et al.* 2010).

376 The transition from timber exploitation to forest management can happen only if there are
377 adequate numbers of appropriately trained foresters and forest laborers. Forestry training programs
378 need to embrace the increased diversity of demands on their graduates. Unfortunately, many of
379 these programs have closed and most have morphed to the extent that their graduates are no longer
380 equipped to design and implement sound timber harvests or to prescribe and apply appropriate
381 silvicultural treatments where timber yield maximization is not the sole goal (Guariguata & Evans
382 2010). Many tropical foresters are uncomfortable dealing with diversities of stakeholders, few can
383 correctly identify more than a handful of commercial timber species (Baraloto *et al.* 2007), and most
384 know much more about plantations than natural forests. In managed natural forests,
385 professionalization of the work force will help improve management practices, but this will require
386 steady funding for training of tree finders and fellers, skidder drivers, and logging crew bosses as well
387 as incentives to implement good practices (Putz & Romero 2012). One reason why tropical forestry is
388 not attracting many of the best and brightest students is that, despite the modest impacts of
389 selective logging on biodiversity (reviewed by Gibson *et al.* 2011, Putz *et al.* 2012), foresters and
390 forestry are sometimes vilified and often ignored (e.g., Semple 2013). Furthermore, managed natural
391 forests are typically remote, the work is physically demanding, and field forester salaries are generally
392 low. Improvements in remote sensing will help, but a culture of hearty field foresters needs to be
393 rejuvenated.

394

395 ACCEPTANCE OF NATURAL FOREST MANAGEMENT AS A CONSERVATION OPTION.--With increased urbanization and
396 attendant reductions in public exposure to forestry, the tendency to disregard the conservation
397 potential of natural forest management is likely to increase (White 1995). The more the benefits of
398 responsible the land-use are made evident, the higher the likelihood that it will expand. It will help if
399 research is framed in conceptual spaces with social, economic, and cultural dimensions and carried
400 out in participatory manners with representatives of logging firms (including those run by
401 communities) and governments. When more attention is paid to natural forest management as a
402 conservation strategy, ways will surely be discovered to mitigate the deleterious environmental and
403 social impacts of logging and other silvicultural treatments. These contributions will be enhanced if
404 conservation-minded researchers spend more time in managed forests and come to understand
405 more fully the cultural, economic, engineering, and ecological dimensions of silviculture. If changes
406 in attitudes and perceptions about forest management come to pass, future reviews of landscape-

407 level management practices will also feature the richness of multiple-objective natural forest
408 management rather than just living fence posts, agroforests, and secondary forests (Gardner *et al.*
409 2009). While pluralistic approaches to conservation will most likely prevail, we can expect the
410 occasional pundit to dismiss tropical forestry as unsustainable and to exhume arguments against any
411 sort of conservation other than complete protection.

412 With abundant high-quality research emerging from managed forests, fewer informed
413 researchers will confound exploitative log mining (i.e., degradation) with responsible forest
414 management. This step towards differentiation of exploitation and management will be facilitated if
415 the avoidable and unavoidable tradeoffs associated with forest-use are revealed, discussed,
416 negotiated, and minimized. For this to happen, decision-makers first need to accept that silvicultural
417 practices are prescribed to favor particular species, functional groups, or life forms at the expense of
418 others (e.g., enhancing the survival and growth of future crop trees by girdling non-commercial
419 neighbors).

420
421 ECONOMIC CONSIDERATIONS.--As demands for tropical timber increase and illegal supplies dwindle, prices
422 should increase especially given that supplies already peaked in much of the world (Shearman *et al.*
423 2012). That prices have not already climbed substantially is distressing, but this peculiar condition
424 results in part from abundant illegal supplies and blockage of scarcity signals by over-sized processing
425 industries and compliant forest management agencies. Demands for timber are also satisfied by legal
426 but pre-mature re-entry logging and logs from areas where timber should not be extracted due to
427 high environmental value or sensitivity. Continued improvement in the enforcement of forest and
428 trade laws partially due to international efforts like the European Union's FLEGT Program (Dooley &
429 Ozinga 2011) should complement rigorous third-party certification to expand the area of tropical
430 forest under responsible management (Romero *et al.* 2013). Although it will be socially disruptive,
431 were timber stocks are depleted, some forest industries and their associated governmental agencies
432 will need to be down-sized, but might then be re-tooled to accommodate a wider range of
433 responsibilities including restoration and recreation.

434 On sites marginal for agriculture, regardless of their accessibility, for-profit natural forest
435 management for timber and non-timber products can tip the financial balance towards forest
436 retention. In contrast, on arable lands in accessible areas, the financial opportunity costs of forest
437 retention will often be too high for investors, property owners, and government officials (e.g., Butler

438 *et al.* 2009). This financial reality means that other than where cultural factors intervene, natural
439 forests will continue to be sacrificed for production of food, fuel, and fiber as well as for suburban
440 and exurban sprawl (e.g., Fisher *et al.* 2011).

441 INSTITUTIONAL CONSIDERATIONS.--How forests are affected by economic development and improved
442 governance will continue to depend on whether the concern is about deforestation or degradation,
443 with the identities of the responsible parties, and with the pertinent drivers. At least over the short-
444 term and where population densities are low, poverty, political instability and social conflict, non-
445 democratic regimes, lack of devolution, smuggling of drugs and other contraband, and poor
446 infrastructure can all protect forests from large-scale conversion because they increase financial risks
447 and cause capital constraints (e.g., Price 2003, Larjavaara 2012). Under high population pressure, in
448 contrast, these same factors often promote large-scale forest degradation and deforestation from a
449 multitude of small-scale events. What is clear is that land-use decisions need to be informed by
450 knowledge about the tradeoffs between the financial benefits of forest management intensification
451 and the associated costs in biodiversity and other natural forest values.

452 Devolution of control over forest lands to rural communities in the tropics is poised to
453 accelerate (e.g., Agrawal *et al.* 2008, Pokorny and Johnson 2008; Bowler *et al.* 2011), but it is not clear
454 whether this power shift will change the fates of many forests. Rates of large scale conversion may
455 decline, at least as long as these communities remain poor, poorly organized, and beset with land
456 tenure problems and governance failures (e.g., Börner *et al.* 2010). Under these conditions, payments
457 for environmental services, including carbon retention, as well as certification of products from well
458 managed forests, could tip the balance towards forest conservation if their implementation
459 effectively thwarts governance failures. When forest-controlling rural communities accrue financial
460 and institutional capital, such payments and supportive novel institutional and legal regimes could
461 steer them away from land-use intensification that lead to forest cover loss or replacement by
462 plantations (Feintrenie *et al.* 2010, Guillerme *et al.* 2011). These instruments should recognize that
463 the values members of these communities place on nature vary and are subject to change in
464 response to economic opportunities and environmental education (Coomes *et al.* 2008, Pfund *et al.*
465 2011, Meijaard *et al.* 2013).

466 Whether the determiners of forest fates are rural people, government functionaries, or board
467 members of multi-national corporations, management intensities will likely continue to vary with
468 market demands, availability of financial capital and labor, security of property rights, site

469 capabilities, accessibility and the associated costs of management, and cultural preferences (e.g.,
470 Rudel *et al.* 2002) Alternatives to environmentally destructive management intensification on lands
471 spared from agricultural conversion will only become likely if there is recognition of the variety of
472 states that can be considered forest. It will also help if the local, regional, and global benefits of
473 natural forest (e.g., Oliveira *et al.* 2013) are taken into account when decisions are made about land-
474 use intensification.

475

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