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# An assessment of linear enamel hypoplasia defects in Early Archaic Texan hunter-gatherers

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Digital photographs taken under controlled conditions were used to examine the incidence of linear enamel hypoplasia defects (LEHs) in burials from the Buckeye Knoll archaeological site (41VT98 Victoria county, Texas), which spans the Early to Late Archaic Period (ca. 2500-6500 BP uncorrected radiocarbon). The majority (68 of 74 burials) date to the Texas Early Archaic, including one extremely early burial dated to 8,500 BP. The photogrammetric data collection method also results in an archive for Buckeye Knoll, a significant rare Archaic period collection that has been repatriated and reinterred. We analyzed the incidence and developmental timing of LEHs in permanent canines. Fifty-nine percent of permanent canines ( $n = 54$ ) had at least one defect. There were no significant differences in LEH frequency between the maxillary and mandibular canines ( $U = 640.5$ ,  $n_1 = 37$ ,  $n_2 = 43$ ,  $p = .110$ ). The sample studied ( $n=92$ ) had an overall mean of 0.93 LEH defect per tooth, with a median of one defect, and a mode of zero defects. Average age at first insult was 3.92 (median = 4.00, range = 2.5 - 5.4) and the mean age of all insults per individual was 4.18 years old (range = 2.5 - 5.67). Age at first insult is consistent with onset of weaning stress—the weaning age range for hunter-gatherer societies is 1- 4.5. Having an earlier age of first insult was associated with having more LEHs ( $n = 54$ ,  $\rho = -0.381$ ,  $p = 0.005$ ).

# 1 An Assessment of Linear Enamel Hypoplasia Defects in Early Archaic Texan Hunter-Gatherers

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## 7 **Highlights**

- 8 • Our study population were hunter-gatherers spanning the Early to Late Archaic period
- 9 • We analyzed incidence and developmental timing of Linear Enamel Hypoplasia defects in  
10 canines
- 11 • Fifty-nine percent of canines in this population had one or more defects
- 12 • Average developmental age of first insult was is 3.92 years
- 13 • Having an earlier age of first insult was associated with having more LEHs

# 14 Abstract

15 Digital photographs taken under controlled conditions were used to examine the incidence  
16 of linear enamel hypoplasia defects (LEHs) in burials from the Buckeye Knoll archaeological site  
17 (41VT98 Victoria county, Texas), which spans the Early to Late Archaic Period (ca. 2500-6500  
18 BP uncorrected radiocarbon). The majority (68 of 74 burials) date to the Texas Early Archaic,  
19 including one extremely early burial dated to 8,500 BP. The photogrammetric data collection  
20 method also results in an archive for Buckeye Knoll, a significant rare Archaic period collection  
21 that has been repatriated and reinterred. We analyzed the incidence and developmental timing of  
22 LEHs in permanent canines. Fifty-nine percent of permanent canines ( $n = 54$ ) had at least one  
23 defect. There were no significant differences in LEH frequency between the maxillary and  
24 mandibular canines ( $U = 640.5$ ,  $n_1 = 37$ ,  $n_2 = 43$ ,  $p = .110$ ). The sample studied ( $n=92$ ) had an  
25 overall mean of 0.93 LEH defect per tooth, with a median of one defect, and a mode of zero  
26 defects. Average age at first insult was 3.92 (median = 4.00, range = 2.5 – 5.4) and the mean age  
27 of all insults per individual was 4.18 years old (range = 2.5 - 5.67). Age at first insult is  
28 consistent with onset of weaning stress—the weaning age range for hunter-gatherer societies is 1-  
29 4.5. Having an earlier age of first insult was associated with having more LEHs ( $n = 54$ ,  $\rho =$   
30  $-0.381$ ,  $p = 0.005$ ).

# 31 Introduction

32 The Buckeye Knoll site (41VT98) contains a prolonged record of short-term continuous  
33 site use over a period of 8,000 years (8,500-500 BP) with evidence of resource caching for future  
34 occupations. We know very little about Archaic life history and Buckeye Knoll constitutes one of  
35 the largest populations available for testing hypotheses regarding health and disease in this early  
36 period of North American prehistory. Excavation uncovered 75 discrete burial loci and recovered  
37 a minimum number of 116 individuals that were dated to 8500-3500 BP using tooth and bone  
38 collagen samples. Buckeye Knoll was exhumed and reburied in compliance with the Native  
39 American Graves Protection and Repatriation Act (NAGPRA), so any future data collection or  
40 analysis must come from the digital photographs collected for archival purposes (Ricklis et al.,  
41 2012c). Here, we infer nonspecific nutritional and developmental stresses via the developmental  
42 timing and frequency of linear enamel hypoplasia defects (LEH) in the canines using  
43 photogrammetric methods.

44 Dental enamel hypoplasia defects represent an interruption in the growth process of teeth  
45 and can be attributed to genetics (Brook, 2009; Hart et al., 2002; Zilberman et al., 2004), trauma  
46 (Brook, 2009), and insult (Goodman, 1988; Sarnat and Schour, 1942; Sarnat and Schour, 1941).  
47 Those linked to external biological insult (e.g., foreign disease pathogen, injury) develop when  
48 resources normally directed to growth and development are rerouted to defending the body or are  
49 only insufficient to sustain maintenance activities (e.g., malnourishment, diarrhea) (Sarnat and  
50 Schour, 1942; Sarnat and Schour, 1941). Enamel hypoplastic defects occur on the buccal and  
51 labial surfaces of teeth and mostly commonly manifest as transverse grooves, or linear enamel  
52 hypoplasia (LEH), but also can appear as pits or grooves (Hillson and Bond, 1997). Because teeth  
53 do not remodel, defects captured during growth and development are permanent and have been  
54 used to infer early life health in a number of populations (e.g. Berbesque and Doran, 2008;  
55 Guatelli-Steinberg et al., 2004; Hoover and Matsumura, 2008; Lieveise et al., 2007; Temple,

2010). Of particular note are the associations between weaning stress (e.g. Herring et al., 1998; Katzenberg et al., 1996; Moggi-Cecchi et al., 1994) and earlier age at death (DeWitte and Stojanowski, 2015; Walter and DeWitte, 2017; Yaussy et al., 2016).

A major shift in dietary pattern and environmental adaptations occurred in the southern United States during the transition from early to mid-Holocene. This period was a time of dramatic worldwide changes in temperature, sea level, and coastal 'configuration'. Buckeye Knoll may have been in a period of climatic transition, the severity of which is unknown. The climate reconstruction of Buckeye Knoll was primarily from palynology. Two cores were taken from the Guadalupe River Flood Plain adjacent to the Buckeye Knoll Site for palynological analysis. These cores enable a regional vegetation reconstruction extending back to 9500 cal. B. P. until present. During this period, there were marked changes in climate reflected in the pollen taxa represented, particularly circa 6000 BP when climate change resulted in enough increases in upland-prairie biomass that it may have caused a shift in subsistence strategy (Ricklis et al., 2012a). This might be a factor in the overall levels of systemic stress in populations of this time period, such as Buckeye Knoll.

## Methods

*Study Population* The first evidence for human activity at Buckeye Knoll dates to the Paleo-Indian period and consists of scattered artifacts, specifically stone darts. Prolonged occupation of the site begins in the Archaic period, which is marked by a variety of human activities linked to repeated short-term occupation. Primary artifacts include debitage, projectiles, tools, beads, bone, shell, and hearths. More recent artifacts include indigenous ceramics. The site record contains evidence for a prolonged record of short-term continuous use for a period of 8,000 years (8,500-500 BP). Of particular interest are large pits which may have been used to store food which

suggests longer occupations of up to a few months; even more interesting is evidence for material caching which suggests intentional regular re-occupation (Ricklis et al., 2012c).

Faunal remains recovered from the site are abundant—74,000 identifiable fragments representing a minimum of 126 vertebrate taxa including fish (mostly gar), small mammals (often rodents), some large mammals (e.g., deer), and rarely birds. The pattern of resource exploitation evidenced by faunal analysis suggests that opportunistic hunting of larger game was gradually replaced by increased emphasis on net-fishing (evidenced by a shift from larger to smaller fish body sizes) and wider exploitation of other taxa; this may be attributable to increased population demands over time (Ricklis et al., 2012c) or the previously noted climate change that resulted in changes to the local environment and possible dietary shifts in response to that change.

A total of 75 discrete burials containing 119 individuals were excavated. The majority of burials were single interment but there were also graves containing multiple individuals. All but one burial (dated to the Late Archaic) were interred on the Knoll Top. Of the remaining 74 burials, the vast majority (n=68) date to the Texas Early Archaic, including one extremely early burial dated to 8,500 BP. The Texas Early Archaic burial dates tend to cluster between 7,400-6300 BP—the lack of non-mortuary activity at the site during the 7<sup>th</sup> millennium (roughly 7,000-6200 BP) suggests that the Knoll Top space was reserved exclusively for treatment of the dead during this time (Ricklis et al., 2012b; Ricklis et al., 2012c). Texas Early Archaic burials are associated with artifacts that form a unique mortuary assemblage that is closely related to Middle Archaic period (i.e., ca. 8,000-5,000 BP) cultures in the Mississippi Valley region and beyond. Thus, this assemblage reflects larger regional cultural associations. During this period, flexed or semi-flexed burials were most common followed by a smaller number of disarticulated individuals, and an even smaller number of individuals interred in sitting postures. The Late Archaic period was characterized by extended burials (Ricklis et al., 2012b).

# 103 *Materials*

104        Photographs were used for data collection because the Buckeye Knoll sample was  
105    reinterred. Reliability of LEH scoring is more robust in photogrammetric methods, with a  
106    significant increase in LEH number identified compared to direct examination method (Golkari et  
107    al., 2011). This method was successfully applied to a similar published study on another Early  
108    Archaic population, Windover (Berbesque and Doran, 2008).

109        Photographs were taken of the left maxillary and mandibular canines using the Nikon 990  
110    Coolpix in macro mode. The diminished focal length presents some difficulty with depth or focus  
111    on anything other than one plane. As teeth are often curved, every attempt was made to capture  
112    the labial surface of the tooth with most clarity. Multiple photographs were taken from different  
113    angles to ensure defects were scorable. A metric scale was placed in the plane of the tooth surface  
114    in each photograph. The photographs were taken in high quality TIFF file format. Missing teeth  
115    or teeth too worn to score were excluded from analysis. In some cases, dental calculus prevented  
116    an accurate measurement of crown height, and measurements were then taken from the bottom of  
117    the calculus to the top of the crown. These measurements are primarily for quality control in  
118    using an imaging software for analysis.

119        Permanent canines were chosen for data collection because they have a prolonged period  
120    of crown formation (7.5 months to 6.5 years for maxillary canines and 10.5 months to 5.5 years  
121    for mandibular canines) (AlQahtani et al., 2014) and can best capture the peak window of  
122    developmental stress caused by weaning (Sandberg et al., 2014). LEH was scored in Microsoft  
123    Paint. Once scored, the images were imported into Scion Image for analysis (a PC friendly  
124    software modeled after the National Institute of Health ImageJ, which is commonly used in  
125    morphometrics studies) (Scion, 2000–2001). Developmental timing of each defect was  
126    determined using the estimate by Reid and Dean (Reid and Dean, 2000), which necessitates



estimation of complete, unworn crown height for every tooth. An estimate of completeness for each canine was based on surrounding dentition and other canines within the population. The median percent complete for permanent dentition is 85% overall. Mandibular canines were 86% complete, and maxillary canines were 81% complete. Because this population has significant dental wear, stage of development for each defect was determined by measuring the distance from the cemento-enamel junction to the bottom of each defect rather than from the tip of the cusp down to the defect. All statistical analysis was conducted using SPSS version 22. None of the variables met the assumptions of a normal distribution, so nonparametric statistics were used for all analyses.

To place Buckeye Knoll in context with similar populations, data from this study were compared to published data from populations dating to an average of 3000 years or older contained in the public *Global History of Human Health Database* (Steckel and Rose, 2002) (see Table 1). Buckeye Knoll was also compared with another Early Archaic population, Windover (8,120–6,980 14C years B.P. uncorrected), using the same methods deployed in this study (Berbesque and Doran, 2008).

Insert Table 1.

## Results

There were 41 deciduous canines in the sample and 92 adult canines. The adult dentition consisted of 37 maxillary canines and 43 mandibular canines—12 could not be identified as maxillary or mandibular. The permanent dentition had a hypoplasia frequency rate of 59% (n=54 canines with at least one hypoplastic defect) in the population. There was an overall mean of 0.93 defects per tooth, with a median of one defect, and a mode of zero defects. Deciduous dentition was not anatomically partitioned and only one defect was

found. Despite limited demographic information available for these mostly isolated dentition, a basic breakdown by sex and age category (adult versus juvenile with permanent dentition) shows that juveniles had higher rates of multiple defects than the general population (see Table 2).

Insert Table 2.

There were no significant differences between the maxilla and mandible in timing of earliest defect (Mann Whitney  $U = 228$ , earliest maxillary defect  $N = 20$ , earliest mandibular  $N = 27$ ,  $p = .366$ ) or number of defects ( $U = 640.5$ , maxillary defects  $N = 37$ , mandibular defects  $N = 43$ ,  $p = .110$ ). The mean age for the earliest defect per individual was 3.92 (range = 2.5 – 5.4). Individuals with more LEHs also had earlier age of first insult ( $n = 54$ ,  $\rho = -0.381$ ,  $p = 0.005$ ). The mean developmental age of all defects was 4.18 years old (range = 2.5 - 5.67).

A comparative analysis of individual LEH frequency in Buckeye Knoll and populations in the Global History of Human Health Database (Steckel and Rose, 2002) found that Buckeye Knoll frequencies were significantly higher with one or more LEH on their canine (see Table 3) ( $\text{Chi-Square} = 58.425$ ,  $df = 4$ ,  $p = 0.000$ ).

Insert Table 3.

LEH incidence in another Early Archaic population, Windover, was more than twice that of Buckeye Knoll (see Table 4) (Berbesque and Doran, 2008). LEH data collection methods for both sites used the same photographic methods.

Insert Table 4.

## Discussion and Conclusions

Juveniles with permanent dentition had the highest incidence of LEH. Also, greater numbers of individual LEH were associated with earlier age at death, providing some evidence

for a mortality curve that would support the use of LEH as a stress indicator in this population and indicating social factors that warrant further investigation. This finding provides some evidence for the Barker Hypothesis; wherein individuals exposed to stressors earlier in life may actually have damaged immunological competence as a consequence of those stressors (Armstrong et al., 2009; Goodman and Armstrong, 1989).

The location of each defect gives insight into the timing of metabolic insult. Cusp enamel completion occurs at 1.7 years for maxillary canines and 0.98 years for mandibular canines (Reid and Dean, 2000). As the first period on the occlusal surface of the crown is often worn away by attrition, much of the data on the second year of life is lost. Clustering of LEH around a location on the tooth that corresponds to a particular age might indicate some stressful milestone event whether culturally flexible (e.g. age of weaning) or not (e.g. birth). Weaning ages across hunter-gatherer societies vary considerably, with New World hunter-gatherers weaning earlier (mean=2.32 years old) than Old World hunter-gatherers (mean = 3.20 years old) and a combined range of 1 to 4.5 (Marlowe, 2005). Age of the mean earliest defect for Buckeye Knoll is within this range (mean = 3.92), but late for the mean age of weaning in ethnographically described hunter-gatherers in the New World. Perhaps the developmental timing of most LEH defects has less to do with extreme stress from weaning and more with the acute angles formed by the Striae of Retzius relative to the enamel surface to enamel formation. It has been suggested that these acute angles make even small disruptions in enamel production are more pronounced and visible in the intermediate and occlusal thirds of the tooth (Blakey et al., 1994; Newell et al., 2006).

Of the limited samples of comparable antiquity (minimally over 3000 years old on average) in the Global History of Human Health Database (Steckel and Rose, 2002; Steckel et al., 2002), most populations demonstrated lower incidence of LEH compared to Buckeye Knoll (59%

with at least one defect). The comparative sample with the closest frequency of Buckeye Knoll LEH was Tlatilco. Tlatilco was a sedentary population with evidence of domesticated plants and animals. Sedentary populations and those using domesticated plants were found to have higher incidence of various stress indicators, and agriculturalists are documented as having higher LEH incidence than foragers (Larson, 1995; Starling and Stock, 2007). It has been suggested that fishing populations might be at higher risk for LEH defects due to parasite load (Bathurst, 2005). One example of this is found in Japan; prehistoric hunter-gatherer-fishers have surprisingly high rates of LEH but these are sedentary complex stratified populations (Hoover and Matsumura, 2008; Temple, 2010). And, the higher incidence of defects is widely documented across the island and throughout time; given the abundance of resources and consistently high rates of LEH, a likelier explanation might be a genetic etiology (Hoover and Hudson, 2016; Hoover and Matsumura, 2008; Hoover and Williams, 2016). Coastal populations share a host of traits that may contribute to LEH defects, such as sedentism and reliance on domesticates. Although the Buckeye Knoll population likely relied at least partially on coastal resources, there is no evidence of domesticated plants or animals or sedentism at Buckeye Knoll.

The population most comparable to Buckeye Knoll is Windover. Windover has been assessed for LEH defects using the same methods used in the GHHD as well as the photogrammatic methods. Even when examining data on LEH defects using the unaided eye, Windover had a very high number of individuals affected by LEH defects. In the GHHD, 100% represents a population completely unaffected by LEH, and the GHHD score for LEH in Windover was = 39.5% (Wentz et al., 2006) . It is not clear why these two Early Archaic populations both appear to have a surprisingly high incidence of LEH, but a possible ecological explanation for the high overall incidence of LEH defects in this population is the climate shift

219 during this time that may have caused physiological stress during periods of diminished  
220 resources.

## 221 **Conclusions**

222 Buckeye Knoll had greater incidence of LEH than any other population in the Global  
223 History of Health Database of comparable age. However, these data are taken by unaided visual  
224 assessment only, and photogrammetric methods have been shown to result in identification of  
225 greater numbers of LEH defects. However, Buckeye Knoll had fewer LEH defects compared  
226 with data collected using the same photogrammetric methods from Windover, a population of  
227 comparable antiquity. It is not clear whether the higher incidence of defects seen in these  
228 populations are entirely due to methodological differences in data collection, or whether an  
229 environmental factor such as the climate change documented during the Early Archaic period  
230 affected the health of coastal/riverine foragers such as the Windover and Buckeye Knoll  
231 populations.

## 232 **Acknowledgements**

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# **Table 1** (on next page)

Descriptive information for comparative sites, including domesticated plants/animals

Table 1. Descriptive information for comparative sites, including domesticated plants/animals

Site	n	Animals	Plants	Climate	Settlement	Site Date
Preceramico	60	None	None	Subtropical	Mobile	2000-4000
Tlatilco	80	Some	Maize, beans, squash	Temperate	Small / Medium Village	2930-3250
Realto	34	Some	None	Tropical	Settled Dispersed	3450-5876
Sta. Elena	39	None	None	Tropical	Mobile	6600-8250
Buckeye Knoll	92	None	None	Subtropical	Mobile	3500-8500

## Table 2 (on next page)

LEH count and frequency, Buckeye Knoll

<sup>1</sup>No sex identification <sup>2</sup>Loose, not affiliate with any burial

Table 2. LEH count and frequency, Buckeye Knoll

	n	0 LEH		1 LEH		2 LEH		3 LEH		4 LEH	
		n	Freq	n	Freq	n	Freq	n	Freq	n	Freq
Males	5	1	0.20	2	0.40	1	0.20	1	0.20	0	0.00
Females	1 3	5	0.38	5	0.38	2	0.15	1	0.08	0	0.00
Juveniles	6	0	0.00	1	0.17	0	0.00	3	0.50	2	0.33
Adult <sup>1</sup>	9	7	0.78	1	0.11	1	0.11	0	0.00	0	0.00
Canines <sup>2</sup>	5 9	25	0.42	23	0.39	8	0.14	2	0.03	1	0.02

<sup>1</sup>No sex identification

<sup>2</sup>Loose, not affiliate with any burial

# **Table 3**(on next page)

LEH count and frequency, Comparative populations.

Table 3. LEH count and frequency, Comparative Populations

Site	n	0 LEH		1 LEH		2+LEH	
		Count	Freq	Count	Freq	Count	Freq
Preceramico	60	41	0.68	16	0.27	3	0.05
Tlatilco	80	41	0.51	32	0.40	7	0.09
Realto	34	31	0.91	3	0.09	0	0.00
Sta. Elena	39	38	0.97	1	0.03	0	0.00
Buckeye Knoll	92	38	0.41	32	0.35	22	0.24

# **Table 4**(on next page)

LEH count and frequency, Buckeye Knoll and Windover.

Table 4. LEH count and frequency, Buckeye Knoll and Windover

	<b>Mandibular Canine</b>		<b>Maxillary Canine</b>	
	<b>Windover</b>	<b>Buckeye Knoll</b>	<b>Windover</b>	<b>Buckeye Knoll</b>
N	59	43	48	37
Mean LEH	2.78	1.07	2	0.7
Median LEH	3	1	2	1
Mode LEH	3	0	2	0
Range	1-6	1-4	1-4	1-4
SD	1.34	1.06	0.99	0.85