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# Variant analysis of RNA sequences in severe equine asthma

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**Background.** Severe equine asthma is a chronic inflammatory disease of the lung in horses similar to low-Th2 late-onset asthma in humans. The disease in horses has complex inheritance including both dominant and recessive patterns that are ill defined. This study aimed to determine the utility of RNA-Seq to call gene variants and identify mutations potentially linked to disease. **Methods.** RNA-Seq data were generated from endobronchial biopsies collected from 6 asthmatic and 7 non-asthmatic horses before and after challenge (26 samples total). Sequences were aligned to the equine genome with Spliced Transcripts Alignment to Reference software. Read preparation for variant calling was performed with Picard tools and Genome Analysis Toolkit (GATK). Coverage was visualized using Integrative Genomic Viewer software and variants were called and filtered using GATK and Ensembl Variant Effect Predictor (VEP) tools. Novel variant selection by VEP was based on score of  $<0.01$  predicted with Sorting Intolerant From Tolerant (SIFT) software, missense nature, location within the protein coding sequence and presence in all asthmatic individuals. For selected mutations, the effect of predicted variants on protein function was assessed with Polymorphism Phenotyping (PolyPhen) 2 and Screening for Non-Acceptable Polymorphism (SNAP) 2 softwares. RNA-Seq predicted variants were confirmed in all horses, and investigated in an additional 4 asthmatic and 7 non-asthmatic individuals with PCR and Sanger sequencing. Gene alignment and 3D protein structures were predicted with Geneious software. **Results.** Level of expression across the genome was similar in all individuals. RNA-Seq variant calling and filtering identified with highest confidence mutations in *PACRG* and *RTTN*. Sanger sequencing confirmed that the *PACRG* variant was appropriately identified in all 26 samples while the *RTTN* variant was identified correctly by RNA-Seq in 24 of 26 samples. SIFT and PolyPhen2 indicated both mutations would result in loss of function, and SNAP2 that they would be non-neutral. Amino acid substitutions projected no change of hydrophobicity and isoelectric point in *PACRG*, a change in both for *RTTN*; and a slight change in 3D structure for *PACRG* and *RTTN*. For *PACRG*, samples from additional individuals confirmed higher frequency of the heterozygous genotype in

asthmatics, while the *RTTN* homozygous mutant phenotype was more prevalent in the asthmatic compared to non-asthmatic group. **Discussion.** RNA-Seq was sensitive and specific for calling gene variants in this disease model. Even moderate coverage (<10-20 cpm) yielded correct identification in 92% of samples, suggesting RNA-Seq may be suitable to detect variants in low coverage samples. The impact of amino acid alterations in PACRG and RTTN proteins are unknown at this point, but their role in structure and function of cilia may warrant further investigation.

1 **Variant analysis of RNA sequences in severe equine asthma**

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34 **ABSTRACT**

35 **Background.** Severe equine asthma is a chronic inflammatory disease of the lung in horses  
36 similar to low-Th2 late-onset asthma in humans. The disease in horses has complex inheritance  
37 including both dominant and recessive patterns that are ill defined. This study aimed to  
38 determine the utility of RNA-Seq to call gene variants and identify mutations potentially linked  
39 to disease.

40 **Methods.** RNA-Seq data were generated from endobronchial biopsies collected from 6 asthmatic  
41 and 7 non-asthmatic horses before and after challenge (26 samples total). Sequences were  
42 aligned to the equine genome with Spliced Transcripts Alignment to Reference software. Read  
43 preparation for variant calling was performed with Picard tools and Genome Analysis Toolkit  
44 (GATK). Coverage was visualized using Integrative Genomic Viewer software and variants were  
45 called and filtered using GATK and Ensembl Variant Effect Predictor (VEP) tools. Novel variant  
46 selection by VEP was based on score of <0.01 predicted with Sorting Intolerant From Tolerant  
47 (SIFT) software, missense nature, location within the protein coding sequence and presence in all  
48 asthmatic individuals. For selected mutations, the effect of predicted variants on protein function  
49 was assessed with Polymorphism Phenotyping (PolyPhen) 2 and Screening for Non-Acceptable  
50 Polymorphism (SNAP) 2 softwares. RNA-Seq predicted variants were confirmed in all horses,  
51 and investigated in an additional 4 asthmatic and 7 non-asthmatic individuals with PCR and  
52 Sanger sequencing. Gene alignment and 3D protein structures were predicted with Geneious  
53 software.

54 **Results.** Level of expression across the genome was similar in all individuals. RNA-Seq variant  
55 calling and filtering identified with highest confidence mutations in *PACRG* and *RTTN*. Sanger  
56 sequencing confirmed that the *PACRG* variant was appropriately identified in all 26 samples  
57 while the *RTTN* variant was identified correctly by RNA-Seq in 24 of 26 samples. SIFT and  
58 PolyPhen2 indicated both mutations would result in loss of function, and SNAP2 that they would  
59 be non-neutral. Amino acid substitutions projected no change of hydrophobicity and isoelectric  
60 point in *PACRG*, a change in both for *RTTN*; and a slight change in 3D structure for *PACRG*  
61 and *RTTN*. For *PACRG*, samples from additional individuals confirmed higher frequency of the  
62 heterozygous genotype in asthmatics, while the *RTTN* homozygous mutant phenotype was more  
63 prevalent in the asthmatic compared to non-asthmatic group.

64 **Discussion.** RNA-Seq was sensitive and specific for calling gene variants in this disease model.  
65 Even moderate coverage (<10-20 cpm) yielded correct identification in 92% of samples,  
66 suggesting RNA-Seq may be suitable to detect variants in low coverage samples. The impact of  
67 amino acid alterations in PACRG and RTTN proteins are unknown at this point, but their role in  
68 structure and function of cilia may warrant further investigation.

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## 72 INTRODUCTION

73 Severe equine asthma (recurrent airway obstruction, heaves) is a chronic inflammatory lung  
74 disease caused by inhalation of environmental dust and microbial components [1]. Exacerbation  
75 of the disease triggers excessive mucus production, cough, neutrophilic airway inflammation,  
76 bronchial hyperreactivity, and bronchospasm. Recurrent exacerbations induce smooth muscle  
77 hyperplasia and hypertrophy, fibrosis and eventual irreversible airway remodeling [2-5].

78 Asthma in humans is recognized to be a heterogeneous disease that is classified  
79 considering genetic, molecular and clinical features [6,7]. Severe equine asthma is most similar  
80 to human severe, late-onset asthma characterized by absence of Th2 cytokines, and presence of  
81 neutrophilic inflammation and bronchial neutrophil chemokines [6,8]. Severely asthmatic horses  
82 do not have a hypersensitivity response [2] and efforts to associate equine asthma with a Th2  
83 cytokine profile have yielded inconsistent or inconclusive results [9-17]. Mechanisms leading to  
84 the development of both severe equine asthma and late-onset low-Th2 severe asthma in humans  
85 remain largely undefined.

86 Interactions between genes and environmental factors have been recognized to contribute  
87 to development of equine asthma for many years [18]. Genetic factors likely reside in multiple  
88 gene variants, and may be influenced by age and sex [18-20]. Several susceptibility gene  
89 variants, haplotypes and regions have been associated with human asthma [21-34], but no  
90 specific markers have been identified in the late-onset low-Th2 sub-phenotype [6,8]. Similarly,  
91 genetic markers of equine severe asthma were identified in certain families, but were not  
92 significantly associated across different families and genetic backgrounds [20,35-38].

93 As landmarks in epithelial-environmental interaction, cilia are highly specialized cellular  
94 projections. Most vertebrate cells have a single non-motile ('primary') cilium that transduces  
95 signals from the environment or other cells, while motile cilia occur in multiples on specialized  
96 cells of the respiratory tract, oviduct and central nervous system ventricles [39,40]. Motile cilia  
97 directionally propel cells or extracellular fluid through "metachronal wave" beating movements  
98 [41,42]. The ability of motile cilia to beat in a synchronized manner requires specialized proteins  
99 that are absent in non-motile primary cilia, but otherwise both types of cilia have similar internal  
100 architecture. The main part of the cilium is the axoneme, which is comprised of nine outer  
101 microtubule doublets, one central microtubule pair (in motile multiple cilia) and a multitude of  
102 affiliated proteins. Prominent among these are tektins that stabilize microtubules and regulate

103 axoneme length [43], and protofilament ribbon proteins that are essential for sliding of adjacent  
104 microtubule doublets to generate ciliary movement [44]. Abnormalities in cilia are now  
105 appreciated as cause for the development of respiratory diseases, often through genetic variants  
106 associated with a loss of function affecting unique ciliary proteins [39]. Factors that affect  
107 beating, synchronization or orientation of motile cilia result in accumulation of mucus in airways  
108 [39]. Furthermore, hedgehog (HH) signaling is strongly linked to ciliary function, and many  
109 components of the HH signaling pathway localize to cilia [45,46].

110 Parkin co-regulated (*PACRG*) is a gene conserved across species [47], and shares a bi-  
111 directional promoter with parkin (*PARK2*) [48]. *PACRG* is affiliated with axonemal doublet  
112 microtubules, and contributes to the signaling pathway that controls dynein-driven microtubule  
113 sliding [47,49-51]. A single nucleotide variant (SNV) in *PACRG* was strongly associated with an  
114 increased risk of developing childhood asthma following early-life tobacco smoke exposure [52].  
115 Rotatin (*RTTN*) is a cilium-associated protein [53] essential for assembly of centrosomes in  
116 non-motile and motile cilia [54]. Absence of *RTTN*, or presence of gene variants that disrupt the  
117 interaction of *RTTN* with *SCL/TAL1* interrupting locus (*STIL*), abrogate proper ciliary  
118 development and function [54], and recessive mutations in *RTTN* are linked to abnormal primary  
119 ciliary development in humans [55]. Collectively, these findings incriminate that aspects of  
120 ciliary function may be impaired in asthma.

121 RNA-Seq is a promising approach for calling genetic variants concurrent with analysis of  
122 gene and allele-specific expression, alternative splicing, and pathways. In this study we  
123 investigated whether SNV detected by RNA-Seq were also present in Sanger-sequenced  
124 amplicons, and whether specific variants were associated with the equine asthmatic phenotype.  
125

## 126 **METHODS**

### 127 **Animals and procedures**

128 Animal procedures, sample collection and sample processing were as previously described [56].  
129 Briefly, six horses with asthma in remission and seven horses without asthma that belong to the  
130 institutional research herd (mean ages of 15 and 12 years, respectively,  $p = 0.352$ , unpaired t test)  
131 were placed in a dust-free indoor environment for 24 hours before exposure to dusty hay until  
132 respiratory impairment was apparent in asthmatic horses (range 1 to 3 days, average 2.2 days).



133 Non-asthmatic horses were exposed to dusty hay for 3 days. Physical examination, pulmonary  
134 function test, bronchoalveolar lavage and endoscopic bronchial biopsy were performed before  
135 and after exposure to the asthmatic challenge, with sequential biopsies obtained from the  
136 contralateral lung lobe. Samples from an additional four asthmatics and seven non-asthmatic  
137 horses were used for PCR-amplification of specific variant regions and Sanger sequencing. All  
138 procedures were approved by the Institutional Animal Care Committee of the University of  
139 Guelph (protocol R10-031) and conducted in compliance with Canadian Council on Animal Care  
140 guidelines.

141

### 142 **RNA-Seq sample preparation and sequence alignment**

143 Total RNA was extracted from endobronchial biopsies (Qiagen, Toronto, ON). RNA quality and  
144 concentration was determined with the Bioanalyzer RNA Nanochip (Agilent, Mississauga, ON)  
145 and capillary electrophoresis. RNA-Seq unstranded library preparation and sequencing were  
146 performed at The Centre for Applied Genomics (TCAG; Toronto, ON) using Illumina TruSeq  
147 RNA sample preparation and sequencing protocols (Illumina, San Diego, CA). For each sample,  
148 approximately 1 µg of non-degraded, high quality total RNA was enriched for poly-A RNA,  
149 fragmented into 200 to 300 bases, and converted to double stranded cDNA libraries. Final RNA  
150 libraries were quantified (KAPA Library Quantification kit, Kapa Biosystems, Wilmington, MA)  
151 prior to pooling and sequencing. Illumina flow cells were prepared and sequenced on an Illumina  
152 HiSeq 2500 instrument in 5 lanes following the manufacturer's instructions to generate paired-  
153 end reads of 100-bases.

154         Raw read quality was assessed using FastQC software version 0.10.1 [57] and aligned to  
155 the horse reference genome [58] (Ensembl v70) with STAR version 2.4 [59]. The STAR\_pass2  
156 alignment protocol was followed including these adaptations: horse Ensembl version 70 GTF  
157 annotation file for first- and second-pass, and the junction SJ.tab file generated by STAR for the  
158 second-pass after non-canonical junctions were removed. Default settings were used except for: -  
159 -runThreadN 8 --outFilterScoreMinOverLread 0.5 --outFilterMatchNminOverLread 0.5.

160

### 161 **Variant calling and filtering**

162 Read processing, variant calling and initial filtering were performed following the Genome  
163 Analysis ToolKit (GATK) best practice guide for variant calling on RNA-Seq, except for the

164 Indel realignment step considering the pass-2 STAR alignment initially performed. Initial read  
165 processing was first performed with Picard tools version 1.114  
166 (<http://broadinstitute.github.io/picard/>) to add read groups and mark duplicates. Split n' Trim as  
167 well as base recalibration were performed using the GATK software version 3.2.2 [60] and the -  
168 *T SplitNCigarReads*, *-rf ReassignOneMappingQuality*, *-RMQF 255*, *-RMQT 60* and *-U*  
169 *ALLOW\_N\_CIGAR\_READS* options.

170 Variants were subsequently called using the Haplotype Caller function in GATK with the  
171 same genome annotation file used in the read alignment phase and the following options: -  
172 *recoverDanglingHeads*, *-dontUseSoftClippedBases*, *-stand\_call\_conf 20.0* and *-stand\_emit\_conf*  
173 *20.0* options. Resultant variants were processed with the variant filtration function of GATK  
174 software and the following options: *-window 35*, *-cluster 3*, *-filterName FS*, *-filter "FS > 30.0"*, -  
175 *filterName QD* and *-filter "QD < 2.0"*.

176 Variants were analyzed individually in each of 26 samples (6 asthmatics and 7 non-  
177 asthmatics, before and after asthmatic challenge). Variants of interest were first identified based  
178 on presence in pre- and/or post-challenge samples from asthmatic horses. The STATS function  
179 in the SeqMule software [61] was used to identify consensus variants within groups with the *-c -*  
180 *vcf* options. Venn diagrams depicting variants shared between and within groups were  
181 constructed using the *-p -venn* options (Suppl. Figure 1). The Ensembl Variant Predictor (EVP)  
182 on-line tool [62] was used to further analyze and filter variants. In asthmatic horses, a total of  
183 26,619 pre- and 24,527 post-challenge variants were identified, respectively, while the  
184 corresponding numbers were 28,909 and 28,451 for non-asthmatic horses. Approximately 30%  
185 of variants were novel and not previously described. The types of variants and their coding  
186 region effects are summarized in Suppl. Figures 2 and 3. For further variant selection using VEP  
187 the inclusion criteria were 1) missense mutation in protein-coding sequence; and 2) predicted to  
188 cause loss of protein function. The variant effect on protein function was analyzed with the  
189 Sorting Intolerant From Tolerant (SIFT) [63-67] tool and the threshold score was set at <0.01.  
190 Low confidence loss of function predictions and existing variants were excluded. Ten variants  
191 present in all asthmatic horses (before or/and after challenge) but not all non-asthmatic horses  
192 were identified. Of these, only two variants were expressed in all samples and were more  
193 prevalent in asthmatic compared to non-asthmatics. Effects of these two variants were then

194 investigated with Polymorphism Phenotyping (PolyPhen) 2 [68] and Screening for Non-  
195 Acceptable Polymorphism (SNAP) 2 softwares [69-71].

196

### 197 **Sequence alignment and protein secondary structure prediction**

198 DNA sequence alignment and prediction of secondary structure was performed with Geneious  
199 version 10.2.3. Default settings were used for both operations. Hydrophobicity and isoelectric  
200 point (pI) were included for each amino acid of the protein sequence.

201

### 202 **PCR**

203 Primers for amplification of variant regions from bronchial cDNA were *PACRG* forward (5'  
204 -CTC TGA ACC TCC GAA ACC GAC-3') and reverse (5'-CTC CTG GGA TAA CTC ACC  
205 ATT C-3'), and *RTTN* forward (5'-TCC TGA GTT GTA TCA AGA AGT G-3') and reverse (5'-  
206 CCA GCC TGC AAT TCC TTT CT-3'). A Taq polymerase PCR kit (Invitrogen, Mississauga,  
207 ON) was used for PCR amplifications. Each reaction was performed in a 25 µL final volume,  
208 including 5 µL of 10X PCR buffer, 0.2 mM dNTPs, 2 mM MgSO<sub>4</sub>, 0.3 µM of each primer, 2 U  
209 of Platinum Taq, and 5 µL (100 ng) of template DNA. PCR conditions for amplification were  
210 3 min at 94 °C followed by 35 cycles of 94 °C for 45 s, 60 °C or 58 °C for 30 s for *PACRG* and  
211 *RTTN*, respectively, and 72 °C for 90 sec, followed by final elongation for 10 min at 72 °C.  
212 Twenty µL of each PCR product was separated by electrophoresis in a 1% agarose gel stained  
213 with SYBR Safe (Invitrogen). Amplicons of appropriate size were cut out and DNA extracted  
214 and purified (QIAquick, Qiagen). Extracted and purified PCR products were Sanger sequenced  
215 at Laboratory Services Division (Guelph, ON).

216

## 217 **RESULTS**

### 218 **RNA-Seq coverage**

219 RNA-coverage was visualized with Integrative Genomics Viewer (IGV) software. With the IGV  
220 tool large-scale genomic data sets are visualized in real-time over a wide range of resolutions  
221 [72]. Coverage (Fig. 1) was similar across horses and conditions except for slightly higher  
222 coverage in some asthmatic horses following challenge.

223

### 224 **Variant calling and filtering**

225 The GATK variant calling and filtering workflow yielded 2823 and 1788 variants present  
226 specifically in the asthmatic group pre- and post-challenge, respectively (Suppl. Figure 1). Of  
227 these, 10 were missense mutations, coded for proteins and had SIFT scores <0.01. Variants in  
228 *PACRG* (Fig. 2A) and *RTTN* (Fig. 2B) had lowest prevalence in non-asthmatic horses. A  
229 missense G/A substitution was detected in the coding sequence of *PACRG* at position 265,643  
230 (Ensembl sequence ENSECAG00000014308) /264,806 (NCBI accession number 100050378)  
231 (Fig. 2A). A missense T/A substitution was detected in the coding sequence of *RTTN* at position  
232 27,190 (Ensembl sequence ENSECAG00000009711) / 27,871 (NCBI accession number  
233 100052029) (Fig. 2B).

234

### 235 **Protein alignment**

236 In *PACRG*, the G/A substitution resulted in replacement of valine (V) for methionine (M) at  
237 position 182 (Fig. 3A). *PACRG* sequence alignment of wild type (WT) and mutant proteins  
238 predicted changes from beta-strand to alpha-helix structure in the mutant protein a few amino  
239 acids distant from the site of substitution (182) at positions 187 and 188 (Fig. 3B).

240 Hydrophobicity and isoelectric point were expected to remain similar despite the substitution

241 (Geneious). In the *RTTN* sequence alignment, T/A substitution resulted in replacement of  
242 arginine (R) with tryptophan (W) at position 1807 of the ENSECAT00000010304 protein  
243 isoform (Ensembl sequence, corresponding to position 1812 of isoform X1 in NCBI  
244 [XP\_001493238]) in NCBI sequence) (Fig. 4A). Sequence alignment of WT and mutant proteins  
245 also indicated a change from alpha helix to beta strand structure near the site of substitution (bp  
246 1807) at position 1816 (Fig. 4B). In addition, increased hydrophobicity and decreased pI were  
247 projected at the site of substitution (1807) in the mutant compared to the WT protein.

248

### 249 **Expression of *PACRG* and *RTTN***

250 Expression of *PACRG* in counts-per-million (CPM) was overall higher than that of *RTTN* (Fig.  
251 5). *PACRG* CPM ranged from ~30.7 to 66.3 in asthmatic horses (Fig. 5A) and ~25.1 to 65.6 in  
252 non-asthmatic horses (Fig. 5B), while expression of *RTTN* varied from ~11.5 to 24.9 and ~13.1  
253 to 29.9 in asthmatic (Fig. 5C) and non-asthmatic (Fig. 5D) horses, respectively. Expression of  
254 *PACRG* overall was slightly higher in asthmatic than non-asthmatic horses.

255

## 256 **Predicted effect of variant on protein function**

257 The mutations detected in *PACRG* and *RTTN* were considered to cause loss of protein function  
258 when analyzed with SIFT and PolyPhen2 (Suppl. Fig. 4A and B). For *PACRG*, the mutation  
259 score was 0.993 with sensitivity of 0.70 and specificity of 0.97. For *RTTN*, the score was 0.979  
260 with a sensitivity of 0.76 and a specificity of 0.96. Furthermore, for *PACRG*, V to M substitution  
261 at position 182 was predicted to affect protein function with a score of 64 at 80% expected  
262 accuracy (Table 1). For *RTTN*, R to W substitution at position 1807 would affect protein  
263 function with a score of 81 at 91% expected accuracy (Table 2).

264

## 265 **Sanger sequencing**

266 *PACRG* variants identified by RNA-Seq were confirmed with Sanger sequencing of PCR  
267 amplicons in asthmatic (Fig. 6A) and non-asthmatic (Fig. 6B) horses. In the asthmatic group,  
268 four horses were heterozygous [A/G] and two had homozygous-mutant alleles [A/A] (Fig. 6A).  
269 In the non-asthmatic group, one horse was heterozygous with alleles [A/G], three horses were  
270 homozygous-WT [G/G] and three horses were homozygous-mutant [A/A] (Fig. 6B). DNA was  
271 not available to confirm the genotype of horse 1. Hence, all genotypes derived from RNA-Seq  
272 were identical to Sanger sequencing results.

273 For *RTTN*, results of RNA-Seq and Sanger sequencing were very similar (Fig. 7). Four  
274 asthmatic horses were heterozygous [A/T] and two were homozygous-mutant [A/A] (Fig. 7A). In  
275 the non-asthmatic group, horse 5 was heterozygous [A/T] and four horses were identified as  
276 homozygous-WT [T/T]. However, horse 1 was identified as homozygous before and  
277 heterozygous after the asthmatic challenge, while horse 4 was identified as heterozygous before  
278 and homozygous after the asthmatic challenge. Based on Sanger sequencing the genotype of  
279 horse 4 was homozygous. DNA was not available to confirm the genotype of horse 1. In all  
280 asthmatic horses, genotypes were consistent between pre- and post-challenge and sequencing  
281 methods.

282

## 283 **Sequence alignment**

284 Sanger DNA sequences of *PACRG* from 10 asthmatic horses and 14 non-asthmatic horses  
285 (including those that were analyzed by RNA-Seq) were aligned. Among asthmatic horses, eight  
286 had the heterozygous [A/G], two had the homozygous mutant [A/A] and none had the

287 homozygous-WT [G/G] genotype. Among non-asthmatic horses, six had the heterozygous  
288 [A/G], five had the homozygous mutant [A/A] and three had the homozygous-WT [G/G]  
289 genotype (Fig. 8A). *RITN* alignment in asthmatic horses yielded five heterozygous [A/T], two  
290 homozygous mutant [A/A], and three homozygous-WT [T/T] genotypes. In non-asthmatic  
291 horses, four had the heterozygous [A/T], 10 had the homozygous-WT [T/T], and no horse had  
292 the homozygous mutant [A/A] genotype (Fig. 8B).

293

## 294 **DISCUSSION**

295 The goal of this study was to assess the reliability of an adapted RNA-Seq variant calling  
296 workflow compared to Sanger sequencing, and to identify genetic variants of potential interest in  
297 asthmatic horses. Variant calling using RNA-Seq reads is recent practice, and reliability of  
298 results is a function of sequencing platform, depth, quality, precision of read mapping and  
299 appropriate variant calling and filtering methods. The reliability of identifying genetic variants  
300 using RNA-Seq has been considered uncertain. In some reports RNA-Seq was considered useful  
301 for identifying genetic variants [73,74] while in others differences between RNA and DNA  
302 sequences were found with potentially frequent false results [75-77].

303         In this study we applied a modification of GATK best practices for variant calling with  
304 RNA-Seq, and verified the results with Sanger sequencing. In 24 of 26 samples variants in  
305 *PACRG* and *RITN* were identified by both methods, while two horses' genotypes were  
306 discordant by RNA-Seq with inconsistent genotypes before and after challenge. Sanger  
307 sequencing confirmed one of the discordant genotypes, while the other could not be further  
308 assessed.

309         We first visually assessed the overall genome coverage of RNA-Seq using IGV.  
310 Although this method has limited precision, there was a similar expression pattern across all  
311 horses, conditions and the entire genome, and expression appeared slightly higher in asthmatic  
312 horses after challenge. This was expected since differential gene expression analysis previously  
313 showed more prominent changes in asthmatic compared to non-asthmatic horses [56]. Two  
314 candidate missense variants in the *PACRG* and *RITN* coding sequence were identified after  
315 variant filtering. SIFT was initially applied, followed by PolyPhen2 and SNAP2, to predict the  
316 variant effect on protein function. SIFT uses phylogenetic data [63-67], while PolyPhen2 uses  
317 structural information and multiple alignments [68] to predict whether or not a mutation may

318 cause loss of function. The two methods often yield similar results, but limited specificity  
319 suggests that results should be interpreted with caution [78]. SNAP2, on the other hand, uses  
320 evolutionary, structural, solvent-access and annotation information, as well as data from  
321 available homologs to predict whether a mutation is likely to have an effect or not on protein  
322 function [69-71]. While these three approaches can yield different results [79,80], inferences  
323 regarding *PACRG* and *RTTN* amino acid substitutions were consistent.

324        Presence of the mutation was confirmed with PCR and Sanger sequencing in 24 samples.  
325 Correlation between RNA-Seq and Sanger sequencing showed that for *PACRG* both alleles of  
326 the gene were properly identified in all horses and conditions by the modified GATK workflow.  
327 For *RTTN*, two of the samples were misidentified by the workflow with alleles inconsistently  
328 identified before and after challenge. Lower expression and therefore lesser sequencing  
329 coverage, in particular in post-challenge samples, might have increased the likelihood of error in  
330 variant calling. Nonetheless, the vast majority of alleles were identified properly, suggesting that  
331 this workflow is suitable for variant calling in RNA-Seq at gene coverage in the 10 to 20 cpm  
332 range. Read counts were not filtered by common read counting algorithms, such as HTSeq, and  
333 may have included ambiguous reads warranting filtering for other purposes such as gene  
334 expression analysis.

335        The substitutions identified changed V182M (valine to methionine) and R1807W  
336 (arginine to tryptophan) in *PACRG* and *RTTN*, respectively. For *PACRG*, the V->M  
337 substitution minimally affected hydrophobicity and pI, while the R->W substitution in *RTTN*  
338 increased hydrophobicity and decreased pI. The mutations were considered to potentially cause  
339 loss of function and to have non-neutral effects (Tables 1 and 2). The *PACRG* mutation could  
340 impair or modify ability of the protein to bind interacting partners or form homodimers. For the  
341 *RTTN* mutation, tryptophan is an aromatic, non-polar and hydrophobic amino acid often buried  
342 in hydrophobic cores, while arginine is a polar and positively charged amino acid often found on  
343 outside chains [81]. A change in the structural stability or binding affinity of the entire protein or  
344 the affected residue could impact ciliary structure and function. *RTTN* interacts with *STIL* and  
345 is essential for proper full-length centriole assembly [54]. The R1807W mutation in the  
346 carboxy-terminal region is not immediately at the suggested centrosome-targeting and *STIL*-  
347 binding site [54] but could nevertheless result in defective centrioles and hence cilium structure  
348 and function.

349 Variant sequence determination in 13 RNA-Seq and 11 additional samples showed that  
350 80% of asthmatic animals were heterozygous and 20% were homozygous mutant for PACRG,  
351 and that no individual had the homozygous-WT genotype (G/G). Conversely, in non-asthmatic  
352 animals more than half were homozygous, whether WT or mutant (5 [A/A] and 3 [G/G]). In both  
353 groups the frequency of the homozygous-WT genotype was lower than either heterozygous  
354 genotype

355 For *RTTN*, 20% of asthmatic horses were homozygous mutant (A/A), 30% were  
356 homozygous WT (T/T) and 50% were heterozygous. Among non-asthmatics, none was  
357 homozygous mutant (A/A), while 71% of horses were homozygous WT (T/T) and 29% were  
358 heterozygous. Therefore, the mutation was present in 70% of asthmatic horses and in only 30%  
359 of non-asthmatic horses (heterozygous or homozygous mutant). Considering samples from non-  
360 asthmatic outnumbered those from asthmatic horses, there appears to be a clear trend for  
361 presence of the mutation in the latter group.

362 The PACRG protein associates with protofilaments [82] of the ciliary axoneme  
363 [47,83,84], has a role in ciliary morphogenesis and function [50] and is directly involved in  
364 ciliary motility through control of dynein-driven microtubule sliding [49]. PACRG also has a  
365 variety of interacting partners such as microtubules,  $\alpha$ - and  $\beta$ -tubulin and meiosis/spermiogenesis  
366 associated 1 (MEIG1) protein, heat shock protein (HSP) 70 and HSP 90 [51,85,86]. Impaired  
367 function or interaction of PACRG with its partners could weaken or impair ciliary stability and  
368 motility. We also suggest the possibility of homodimer formation by PACRG, which could  
369 explain the prevalence of the heterozygous genotype observed here and in pulmonary  
370 tuberculosis in humans [87]. A genome-wide interaction study also identified a *PACRG* SNP to  
371 be linked to an increased risk of childhood-onset asthma development following early-life  
372 exposure to tobacco smoke [52]. SNPs in *PACRG* also contributed to susceptibility to  
373 tuberculosis [88].

374 PACRG may be linked to HH signaling in mice where patched1 (*PTCH1*) and *PACRG*-  
375 *PARK2* loci are thought to interact and regulate ciliary function in ependymal cells [89].  
376 Interestingly, *PTCH1* is differentially expressed in asthmatic compared to non-asthmatic horses  
377 following challenge [56], linking *PACRG* and an asthmatic response to environmental agents  
378 with the HH pathway.



379 The exact nature and function of methionine in protein structure remains incompletely  
380 understood, and substitutions involving methionine has been associated with several diseases  
381 [90]. Both valine and methionine are hydrophobic residues grouped among the least polar amino  
382 acids [91]. Methionine is a sulfur-containing amino acid that is among the most hydrophobic  
383 residues and also easily oxidized if exposed [92]. Although V->M substitutions are generally  
384 neutral, methionine's sulfur connected to a methyl group would make it less likely to interact  
385 with other proteins [93]. Methionine was overrepresented as a mutant residue in several  
386 mutations associated with decrease or loss of function [94], including the human androgen  
387 receptor [95]. Although the effect of a V->M substitution is unknown, any change in PACRG  
388 structure or binding affinity could impact ciliary function, and may be of great interest in the  
389 context of severe asthma.

390 For *RTTN*, the R->W substitution altered the hydrophobicity and isoelectric point at  
391 position 1807. *RTTN* is a centrosome-associated protein first discovered for its role in axial  
392 rotation and left-right specification in the mouse embryo [53]. R <=> W substitutions were  
393 predicted to be the most problematic in the human genome [96], and R->W substitution is  
394 generally disfavored in all proteins types [93]. Overrepresentation of mutated arginine was a  
395 prominent feature among disease-causing mutations in a range of diseases [94]. *RTTN* appears to  
396 be highly conserved in exons but not introns (unpublished results), which suggests strong  
397 evolutionary pressure on the coding sequence and renders mutations in the coding sequence as  
398 particularly unusual.

## 399 CONCLUSIONS

400 Variants can be confidently called with RNA-Seq results as low as 10-20 CPM. Single point  
401 mutations in *PACRG* and *RTTN* were more prevalent in asthmatic compared to non-asthmatic  
402 horses. The heterozygous mutant genotype of *PACRG* was more prevalent among asthmatics  
403 while the homozygous-WT genotype of *RTTN* was more prevalent in non-asthmatics. Functional  
404 cilia are crucial for lung health, and mutations resulting in impaired function will have a negative  
405 impact. The significance of the substitutions in *PACRG* and *RTTN* remain to be determined but  
406 they are highly suggestive to affect ciliary function. Analysis of variant frequency at a population  
407 level and WT and mutant protein-protein interactions, and determining protein crystal structure,  
408 may be useful future investigations.

411

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415

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681 **LEGENDS**

682

683 **Figure 1.** RNA-Seq coverage visualized with IGV software and illustrated by a heat map graph.

684 White indicates <10 counts, and gradually darkening blue indicates raw counts from 10 (light  
685 blue) to a maximum of 5000 (dark blue). Sample origin from asthmatic and non-asthmatic horses  
686 pre- and post-challenge is indicated on the y-axis. Chromosomal location (1-31, X) is indicated  
687 on the top, and annotations from Refseq (Refseq genes) and Ensembl (EqCab2.70.gtf) on the  
688 bottom. Coverage is similar across different samples, with slightly higher coverage in some  
689 asthmatic horses post-challenge.

690

691 **Figure 2.** Variants in *PACRG* (A) and *RTTN* (B) genes. Diagrams show position of gene (thick  
692 green line), mRNA (red line) and coding region (yellow line). Whole gene (upper) and close-up  
693 view surrounding the variant (lower) are included with gene sequence at the bottom. Location of  
694 the variant is indicated in blue for NCBI and Ensembl databases.

695

696 **Figure 3.** Alignment of wild type (WT) and mutant (mut) *PACRG* proteins with associated  
697 predicted hydrophobicity and isoelectric point. Replacement of methionine for valine at position  
698 182 changes a beta strand to an alpha helix at position 187 and 188. Alpha helices (pink), coils  
699 (gray line), turns (blue arrows) and beta strands (yellow arrows).

700

701 **Figure 4.** Alignment of WT and mutant *RTTN* protein with predicted hydrophobicity and  
702 isoelectric point. Replacement of arginine with tryptophan at position 1812 changes alpha helix  
703 to beta strand at position 1816. Alpha helices (pink), coils (gray line), turns (blue arrows) and  
704 beta strands (yellow arrows).

705

706 **Figure 5.** Expression of *PACRG* (A, B) and *RTTN* (C, D) in asthmatic and non-asthmatic horses  
707 in counts-per-million (CPM; y-axis) pre- and post-challenge. *PACRG* expression varied from  
708 ~30.7 to 66.3 CPM in asthmatic horses (A) and ~25.1 to 65.6 CPM on non-asthmatic horses (B),  
709 while *RTTN* expression varied from ~11.5 to 24.9 CPM and ~13.1 to 29.9 CPM in asthmatic (C)  
710 and non-asthmatic (D) horses, respectively.

711

712 **Figure 6.** Comparison of GATK variant calls and Sanger sequencing results for *PACRG* in  
713 asthmatic (A) and non-asthmatic (B) horses. For both groups, the bar graph indicates the IGV  
714 count for each allele (A-red, G-yellow), horse and condition. Below the bar graph is the GATK  
715 variant call, the electropherogram of the Sanger sequence, and agreement. (A) Four asthmatic  
716 horses (1, 2, 5, 6) had heterozygous alleles [A/G] and two (3 and 4) were homozygous for the  
717 mutant allele [A/A]. (B) In non-asthmatic horses, one horse (6) had heterozygous alleles [A/G],  
718 three horses (1, 2 and 4) were homozygous for the wild-type allele [G/G] and three horses (3, 5  
719 and 7) were homozygous for the mutant allele [A/A]. All genotypes were consistent across  
720 horses and methods. DNA was not available for non-asthmatic horse 1.

721

722 **Figure 7.** Comparison of GATK variant calls and Sanger sequencing results for *RTTN* in  
723 asthmatic (A) and non-asthmatic (B) horses. Details as in Fig. 6. (A) Four asthmatic horses (3-6)  
724 had heterozygous alleles [A/T] and two (1 and 2) were homozygous for the mutant allele [A/A].  
725 Genotypes were consistent across horses and methods. (B) In non-asthmatic horses, one (5) had  
726 heterozygous [A/T] alleles, four horses had homozygous wild type [T/T] alleles, and two horses  
727 (1 and 4) were inconsistently identified as homozygous wild type and heterozygous in different  
728 samples. Sanger sequencing confirmed the genotype of horse 4 as heterozygous. DNA was not  
729 available for non-asthmatic horse 1.

730

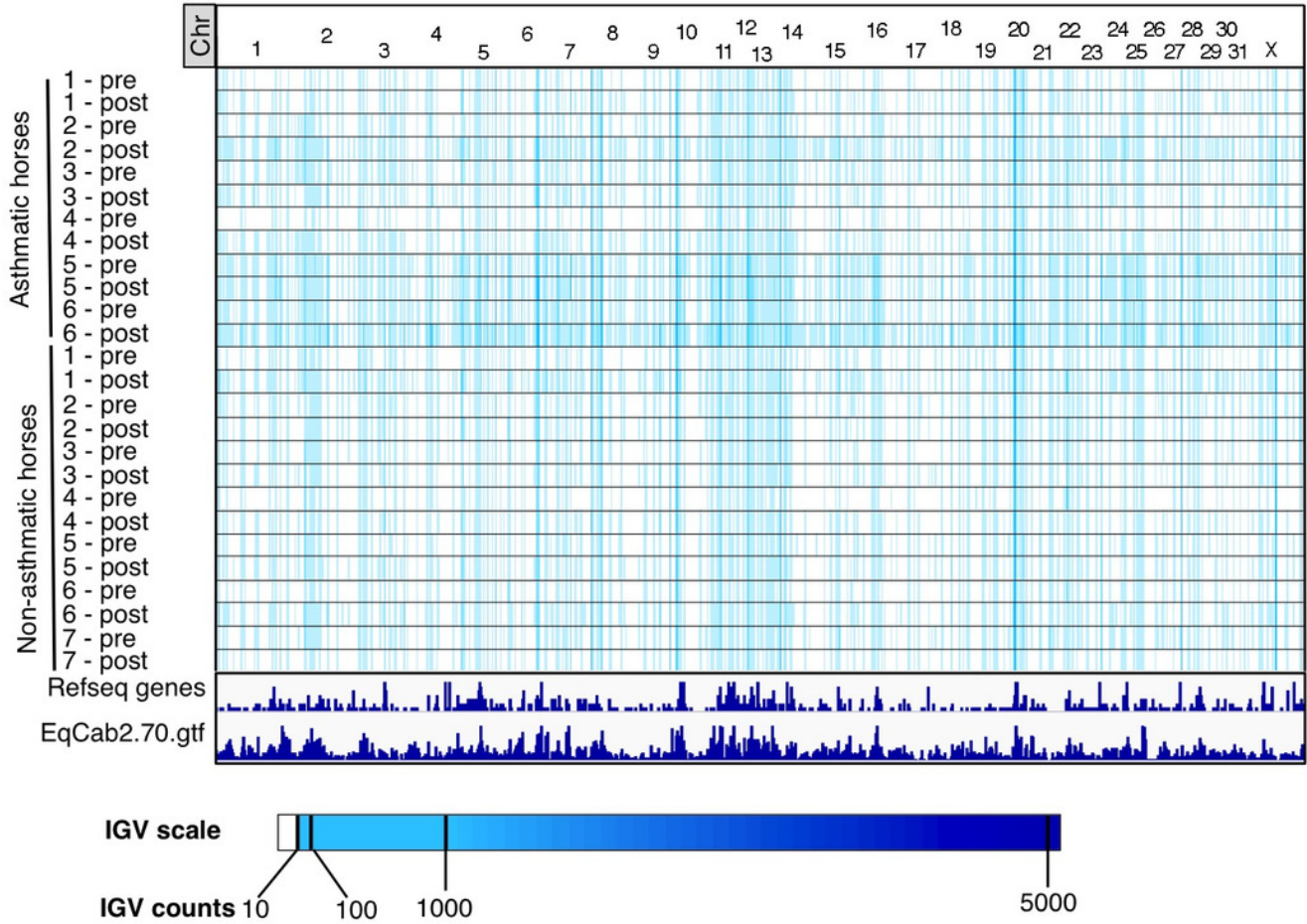
731 **Figure 8.** Alignment of *PACRG* (A) and *RTTN* (B) Sanger sequences for 10 asthmatic and 14  
732 non-asthmatic horses with the reference genome. (A) For *PACRG*, 8 asthmatic horses (80%)  
733 were heterozygous [A/G], 2 (20%) were homozygous mutant [A/A] and none was homozygous  
734 wild-type [G/G]. Six non-asthmatic horses (43%) were heterozygous [A/G], 5 (36%) were  
735 homozygous mutant [A/A] and 3 (21%) were homozygous wild type [G/G]. (B) For *RTTN*, in  
736 the asthmatic group, there were 5 (50%) heterozygous [A/T], 2 (20%) homozygous mutant [A/A]  
737 and 3 (30%) homozygous wild type [T/T] genotypes. In the non-asthmatic group, 4 (29%) were  
738 heterozygous [A/G], 10 (71%) were homozygous wild type [T/T], and none had the homozygous  
739 mutant [A/A] genotype.

740

# Figure 1

RNA-Seq coverage visualized with IGV software and illustrated by a heat map graph.

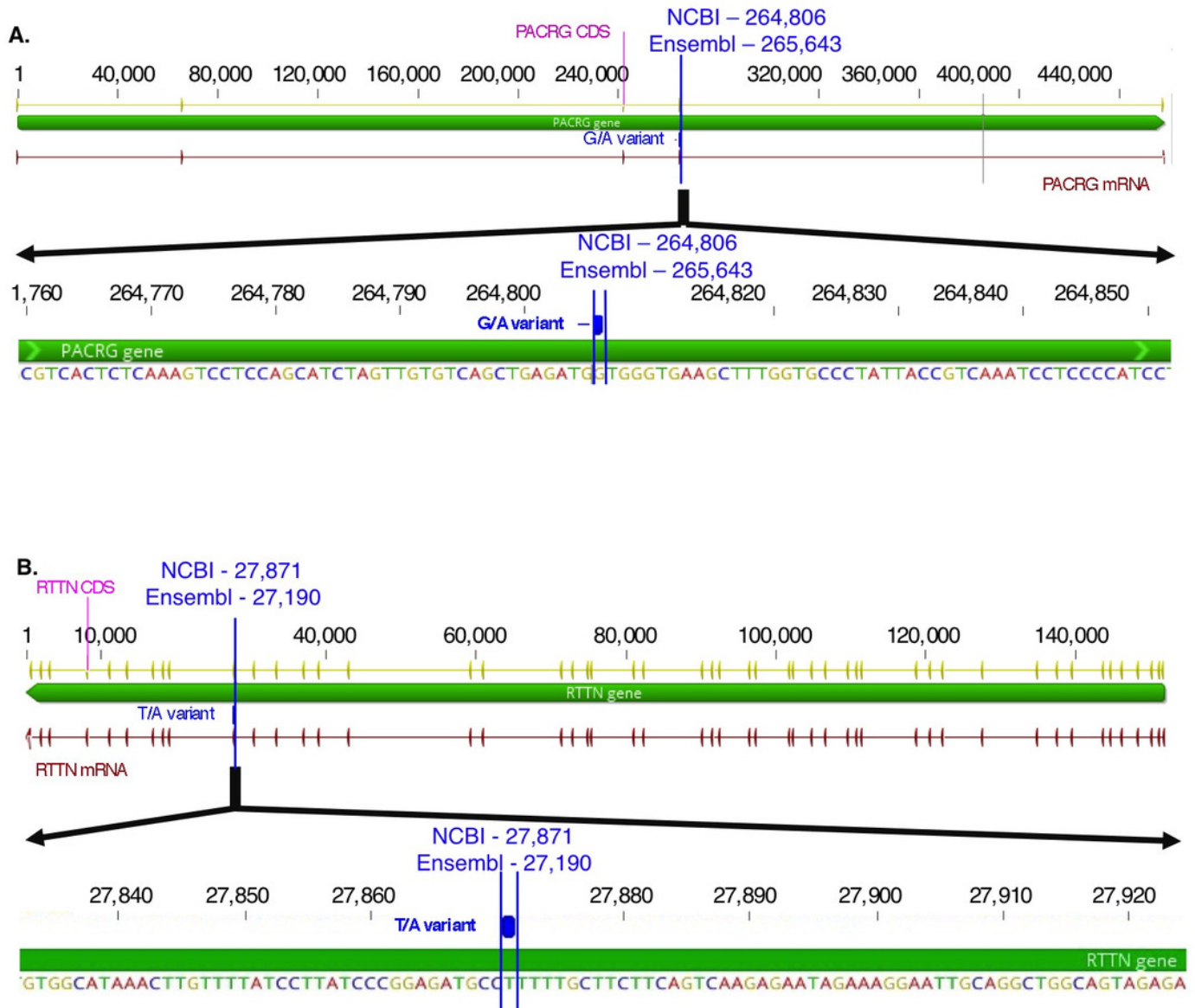
White indicates <10 counts, and gradually darkening blue indicates raw counts from 10 (light blue) to a maximum of 5000 (dark blue). Sample origin from asthmatic and non-asthmatic horses pre- and post-challenge is indicated on the y-axis. Chromosomal location (1-31, X) is indicated on the top, and annotations from Refseq (Refseq genes) and Ensembl (EqCab2.70.gtf) on the bottom. Coverage is similar across different samples, with slightly higher coverage in some asthmatic horses post-challenge.



## Figure 2

Variants in *PACRG* (A) and *RTTN* (B) genes.

Diagrams show position of gene (thick green line), mRNA (red line) and coding region (yellow line). Whole gene (upper) and close-up view surrounding the variant (lower) are included with gene sequence at the bottom. Location of the variant is indicated in blue for NCBI and Ensembl databases.

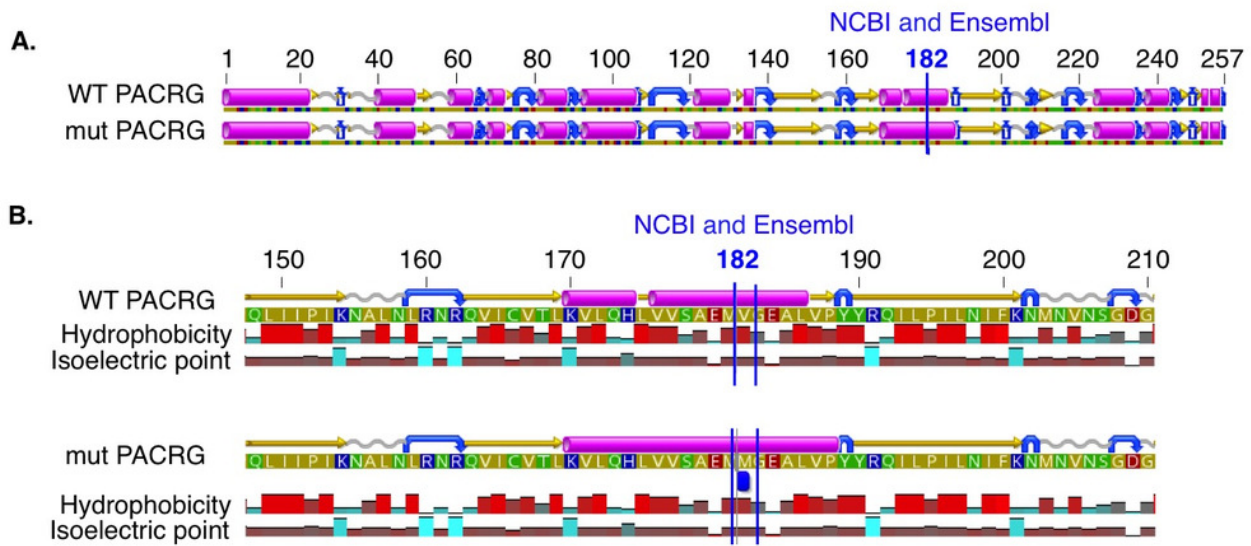




## Figure 3

Alignment of wild type (WT) and mutant (mut) PACRG proteins with associated predicted hydrophobicity and isoelectric point.

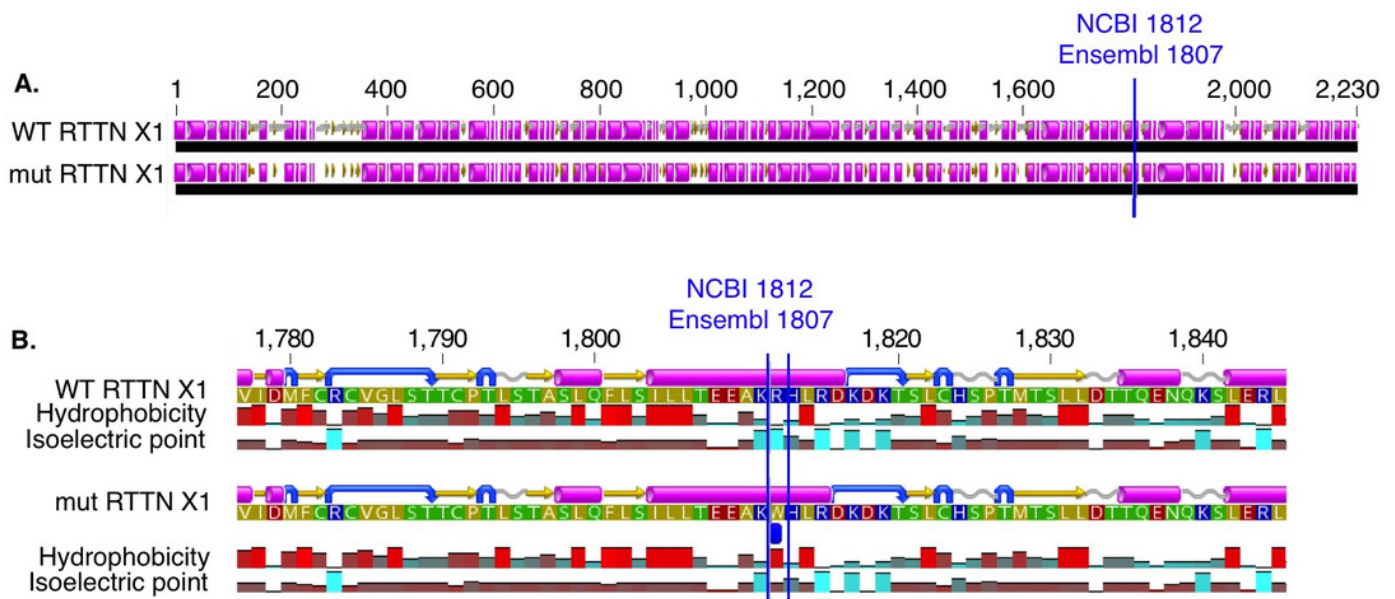
Replacement of methionine for valine at position 182 changes a beta strand to an alpha helix at position 187 and 188. Alpha helices (pink), coils (gray line), turns (blue arrows) and beta strands (yellow arrows).



## Figure 4

Alignment of WT and mutant RTTN protein with predicted hydrophobicity and isoelectric point.

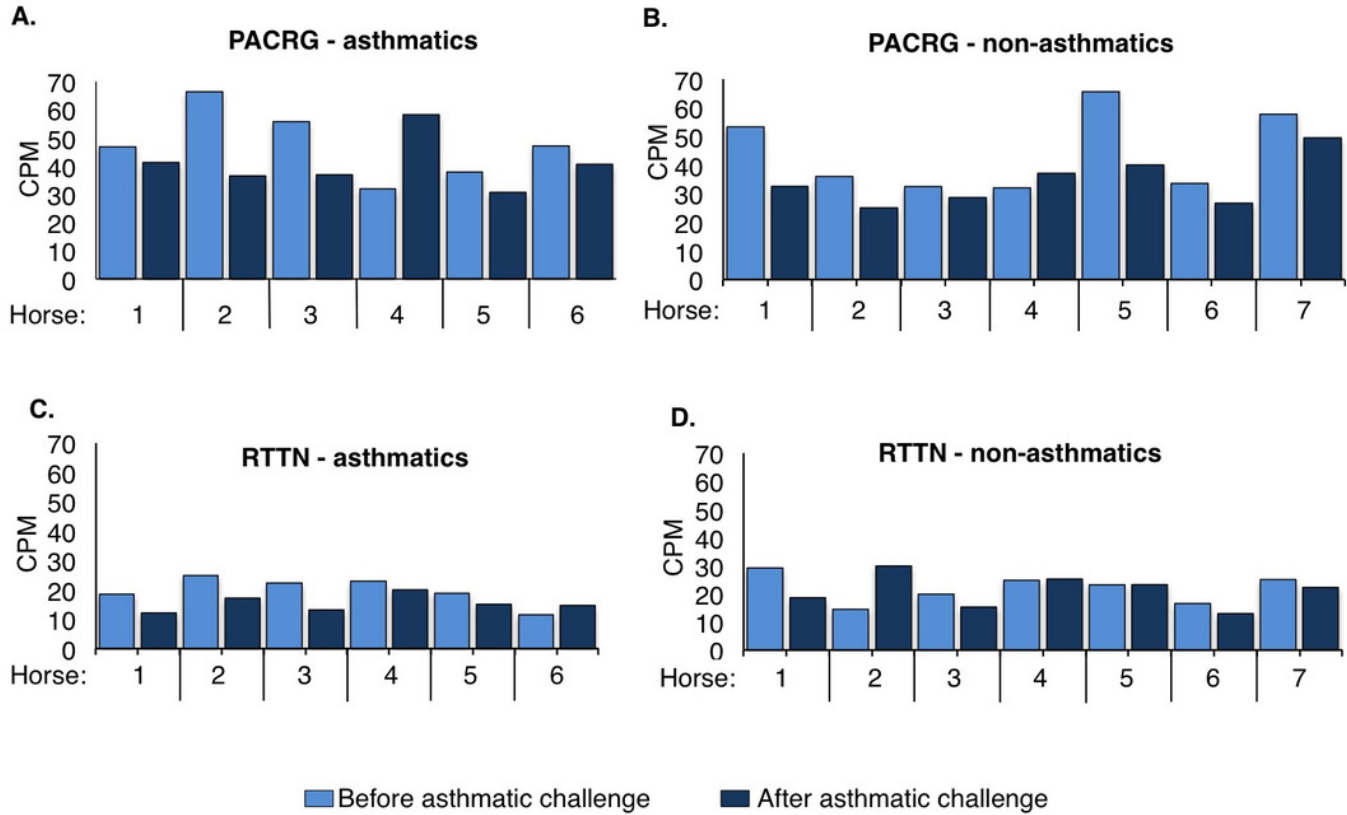
Replacement of arginine with tryptophan at position 1812 changes alpha helix to beta strand at position 1816. Alpha helices (pink), coils (gray line), turns (blue arrows) and beta strands (yellow arrows).



## Figure 5

Expression of PACRG (A, B) and RTTN (C, D) in asthmatic and non-asthmatic horses in counts-per-million (CPM; y-axis) pre- and post-challenge.

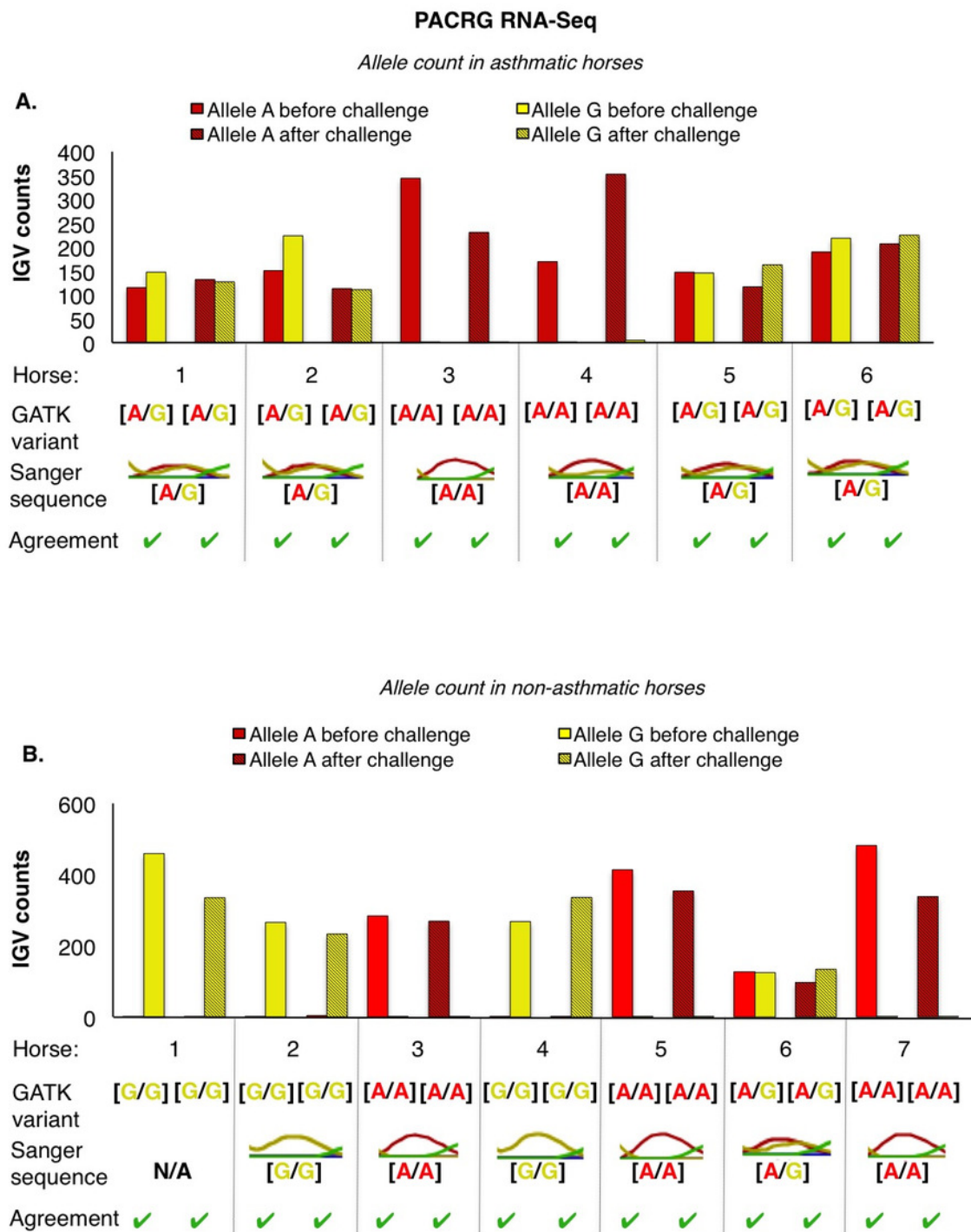
PACRG expression varied from ~30.7 to 66.3 CPM in asthmatic horses (A) and ~25.1 to 65.6 CPM on non-asthmatic horses (B), while RTTN expression varied from ~11.5 to 24.9 CPM and ~13.1 to 29.9 CPM in asthmatic (C) and non-asthmatic (D) horses, respectively.



## Figure 6

Comparison of GATK variant calls and Sanger sequencing results for *PACRG* in asthmatic (A) and non-asthmatic (B) horses.

For both groups, the bar graph indicates the IGV count for each allele (A-red, G-yellow), horse and condition. Below the bar graph is the GATK variant call, the electropherogram of the Sanger sequence, and agreement. (A) Four asthmatic horses (1, 2, 5, 6) had heterozygous alleles [A/G] and two (3 and 4) were homozygous for the mutant allele [A/A]. (B) In non-asthmatic horses, one horse (6) had heterozygous alleles [A/G], three horses (1, 2 and 4) were homozygous for the wild-type allele [G/G] and three horses (3, 5 and 7) were homozygous for the mutant allele [A/A]. All genotypes were consistent across horses and methods. DNA was not available for non-asthmatic horse 1.

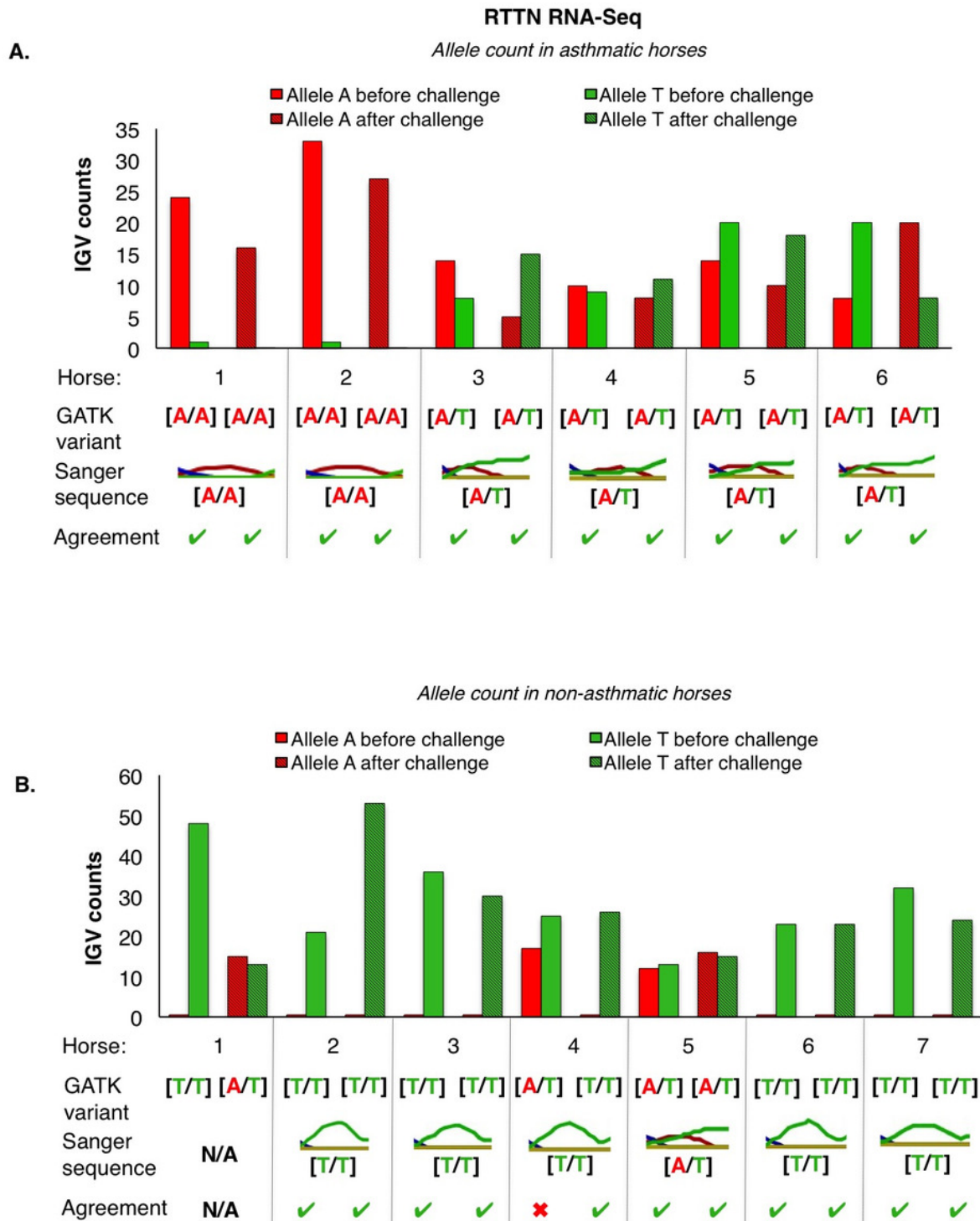




## Figure 7

Comparison of GATK variant calls and Sanger sequencing results for *RTTN* in asthmatic (A) and non-asthmatic (B) horses.

Details as in Fig. 6. (A) Four asthmatic horses (3-6) had heterozygous alleles [A/T] and two (1 and 2) were homozygous for the mutant allele [A/A]. Genotypes were consistent across horses and methods. (B) In non-asthmatic horses, one (5) had heterozygous [A/T] alleles, four horses had homozygous wild type [T/T] alleles, and two horses (1 and 4) were inconsistently identified as homozygous wild type and heterozygous in different samples. Sanger sequencing confirmed the genotype of horse 4 as heterozygous. DNA was not available for non-asthmatic horse 1.



## Figure 8

Alignment of PACRG (A) and RTTN (B) Sanger sequences for 10 asthmatic and 14 non-asthmatic horses with the reference genome.

(A) For PACRG, 8 asthmatic horses (80%) were heterozygous [A/G], 2 (20%) were homozygous mutant [A/A] and none was homozygous wild-type [G/G]. Six non-asthmatic horses (43%) were heterozygous [A/G], 5 (36%) were homozygous mutant [A/A] and 3 (21%) were homozygous wild type [G/G]. (B) For RTTN, in the asthmatic group, there were 5 (50%) heterozygous [A/T], 2 (20%) homozygous mutant [A/A] and 3 (30%) homozygous wild type [T/T] genotypes. In the non-asthmatic group, 4 (29%) were heterozygous [A/G], 10 (71%) were homozygous wild type [T/T], and none had the homozygous mutant [A/A] genotype.

## A.

Asthmatics	Horse 1	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	[ A / A ] = 2 (20%) [ A / G ] = 8 (80%) [ G / G ] = 0 (0%)
	Horse 2	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 3	G T C A G C T G A G A T G [ A / A ] T G G G T G A A G	
	Horse 4	G T C A G C T G A G A T G [ A / A ] T G G G T G A A G	
	Horse 5	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 6	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 7	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 8	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 9	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 10	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
Non-asthmatics	Horse 1	G T C A G C T G A G A T G [ G / G ] T G G G T G A A G	[ A / A ] = 5 (36%) [ A / G ] = 6 (43%) [ G / G ] = 3 (21%)
	Horse 2	G T C A G C T G A G A T G [ A / A ] T G G G T G A A G	
	Horse 3	G T C A G C T G A G A T G [ G / G ] T G G G T G A A G	
	Horse 4	G T C A G C T G A G A T G [ A / A ] T G G G T G A A G	
	Horse 5	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 6	G T C A G C T G A G A T G [ A / A ] T G G G T G A A G	
	Horse 7	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 8	G T C A G C T G A G A T G [ A / A ] T G G G T G A A G	
	Horse 9	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 10	G T C A G C T G A G A T G [ G / G ] T G G G T G A A G	
	Horse 11	G T C A G C T G A G A T G [ A / A ] T G G G T G A A G	
	Horse 12	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 13	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
	Horse 14	G T C A G C T G A G A T G [ A / G ] T G G G T G A A G	
Reference	G T C A G C T G A G A T G [ G / G ] T G G G T G A A G		

## B.

Asthmatics	Horse 1	T C C C G G A G A T G C C [ A / A ] T T T T G C T T C T	[ A / A ] = 2 (20%) [ A / T ] = 5 (50%) [ T / T ] = 3 (30%)
	Horse 2	T C C C G G A G A T G C C [ A / A ] T T T T G C T T C T	
	Horse 3	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
	Horse 4	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
	Horse 5	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
	Horse 6	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
	Horse 7	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 8	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 9	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 10	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
Non-asthmatics	Horse 1	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	[ A / A ] = 0 (0%) [ A / T ] = 4 (29%) [ T / T ] = 10 (71%)
	Horse 2	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 3	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 4	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
	Horse 5	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 6	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 7	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 8	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 9	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
	Horse 10	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 11	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 12	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T	
	Horse 13	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
	Horse 14	T C C C G G A G A T G C C [ A / T ] T T T T G C T T C T	
Reference	T C C C G G A G A T G C C [ T / T ] T T T T G C T T C T		

**Table 1** (on next page)

Table 1. Predicted effect of substitution at position 182 in PACRG

1  
2 Table 1. Predicted effect of substitution at position 182 in PACRG  
3

<b>Variant</b>	<b>Outcome</b>	<b>Score</b>	<b>Accuracy</b>
V182A	neutral	-1	53%
V182R	effect	85	91%
V182N	effect	75	85%
V182D	effect	81	91%
V182C	effect	34	66%
V182Q	effect	78	85%
V182E	effect	75	85%
V182G	effect	78	85%
V182H	effect	82	91%
V182I	neutral	-59	78%
V182L	effect	65	80%
V182K	effect	86	91%
V182M	effect	64	80%
V182F	effect	66	80%
V182P	effect	83	91%
V182S	effect	32	66%
V182T	effect	51	75%
V182W	effect	84	91%
V182Y	effect	79	85%
V182V	neutral	-85	93%

4  
5

**Table 2** (on next page)

Table 2. Predicted effect of substitution at position 1807 for RTTN

1 Table 2. Predicted effect of substitution at position 1807 for RTTN

2

<b>Variant</b>	<b>Outcome</b>	<b>Score</b>	<b>Accuracy</b>
R1807A	effect	44	71%
R1807R	neutral	-99	97%
R1807N	effect	54	75%
R1807D	effect	80	91%
R1807C	effect	64	80%
R1807Q	effect	34	66%
R1807E	effect	67	80%
R1807G	effect	55	75%
R1807H	effect	43	71%
R1807I	effect	62	80%
R1807L	effect	49	71%
R1807K	effect	21	63%
R1807M	effect	60	80%
R1807F	effect	74	85%
R1807P	effect	84	91%
R1807S	effect	45	71%
R1807T	effect	50	75%
R1807W	effect	81	91%
R1807Y	effect	67	80%
R1807V	effect	66	80%

3