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# Predicting *Pinus monophylla* forest cover in the Baja California Desert by remote sensing

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## ABSTRACT

**Background.** The Californian single-leaf pinyon (*Pinus monophylla* var. *californiarum*), a subspecies of the single-leaf pinyon (the world's only 1-needled pine), inhabits semi-arid zones of the Mojave Desert (southern Nevada and southeastern California, US) and also of northern Baja California (Mexico). This tree is distributed as a relict subspecies, at elevations of between 1,010 and 1,631 m in the geographically isolated arid Sierra La Asambleta (Baja California, Mexico), an area characterized by mean annual precipitation levels of between 184 and 288 mm. The aim of this research was i) to estimate the distribution of *P. monophylla* var. *californiarum* in Sierra La Asambleta by using Sentinel-2 images, and ii) to test and describe the relationship between the distribution of *P. monophylla* and five topographic and 18 climate variables. We hypothesized that i) Sentinel-2 images can be used to predict the *P. monophylla* distribution in

the study site due to the finer resolution (x3) and greater number of bands (x2) relative to Landsat-8 data, which is publically available free of charge and has been demonstrated to be useful for estimating forest cover, and ii) the topographical variables aspect, ruggedness and slope are particularly important because they represent important microhabitat factors that can determine the sites where conifers can become established and persist. **Methods.** An atmospherically corrected a 12-bit Sentinel-2A MSI image with ten spectral bands in the visible, near infrared, and short-wave infrared light region was used in combination with the normalized differential vegetation index (NDVI). Supervised classification of this image was carried out using a backpropagation-type artificial neural network algorithm (BPNN). Stepwise multiple linear binominal logistical regression and Random Forest classification including cross validation (10-fold) were used to model the associations between presence/absence of *P. monophylla* and the five topographical and 18 climate variables. **Results.** Using supervised classification of Sentinel-2 satellite images, we estimated that *P. monophylla* covers  $6,653 \pm 319$  (standard error) hectares in the isolated Sierra La Asamblea. The NDVI was one of the variables that contributed most to the prediction and clearly separated the forest cover ( $NDVI > 0.35$ ) from the other vegetation cover ( $NDVI < 0.20$ ). Ruggedness was the most influential environmental predictor variable, indicating that the probability of occurrence of *P. monophylla* was greater than 50% when the degree of ruggedness TRI was greater than 17.5 m. The probability of occurrence of the species decreased when the mean temperature in the warmest month increased from 23.5 to 25.2 °C. **Discussion.** The accuracy of classification was similar to that reported in other studies using Sentinel-2A MSI images. Ruggedness is known to create microclimates and provides shade that minimizes evapotranspiration from pines in desert environments. Identification of the *P. monophylla* stands in Sierra La Asamblea as the most southern

populations represents an opportunity for research on climatic tolerance and community responses to climate variability and change.

## INTRODUCTION

The Californian single-leaf pinyon (*Pinus monophylla* var. *californiarum*), a subspecies of the single-leaf pinyon (the world's only 1-needled pine), inhabits semi-arid zones of the Mojave Desert (southern Nevada and southeastern California, US) and also of northern Baja California (BC) (Mexico). It is both cold-tolerant and drought-resistant and is mainly differentiated from the typical subspecies *Pinus monophylla* var. *monophylla* by a larger number of leaf resin canals and longer fascicle-sheath scales (Bailey, 1987). This subspecies was first reported in BC in 1767 (Bullock et al., 2006). The southernmost record of *P. monophylla* var. *californiarum* in America was previously in BC, 26-30 miles north of Punta Prieta, at an elevation of 1,280 m (longitude -114 °.155; latitude 29 °.070, catalogue number ASU 0000235), and the type specimen is held in the Arizona State University Vascular Plant Herbarium.

This species is distributed as a relict subspecies in the geographically isolated Sierra La Asamblea, at a distance of 196 km from the Southern end of the Sierra San Pedro Martir and at elevations of between 1,010 and 1,631 m (Moran, 1983) in areas with mean annual precipitation levels of between 184 and 288 mm (Roberts & Ezcurra, 2012). The Californian single-leaf pinyon grows together with up to about 86 endemic plant species, although the number of species decreases from north to south (Bullock et al., 2008).

Adaptation of *P. monophylla* var. *californiarum* to arid ecosystems enables the species to survive annual precipitation levels of less than 150 mm. In fact, seeds of this variety survive well under

shrubs such as *Quercus spp.* and *Arctostaphylos spp.*, a strategy that enables the pines to widen their distribution, as has occurred in the great basin in California (Callaway et al., 1996; Chambers, 2001), and for them to occupy desert zones such as Sierra de la Asamble. Despite the importance of this relict pine species, its existence is not considered in most forest inventories in Mexico (CONABIO, 2017).

Remote sensing with Landsat images has been demonstrated to be useful for estimating forest cover; the Landsat-8 satellite has sensors (7 bands) that can be predicted vegetation attributes at a spatial resolution of 30 m (Madonsela et al., 2017). However, the European Space Agency's Copernicus program has made Sentinel-2 satellite images available to the public free of charge. The spatial resolution (10 m is pixel) of the images is three times finer than of Landsat images, thus increasing their potential for predicting and differentiating types of vegetation cover (Drush et al., 2012; Borrás et al., 2017). The Sentinel-2 has 13 bands, of which 10 provide greater-quality radiometric images of spatial resolution 10 to 20 m in the visible and infrared regions of the electromagnetic spectrum. These images are therefore ideal for land classification (ESA, 2017).

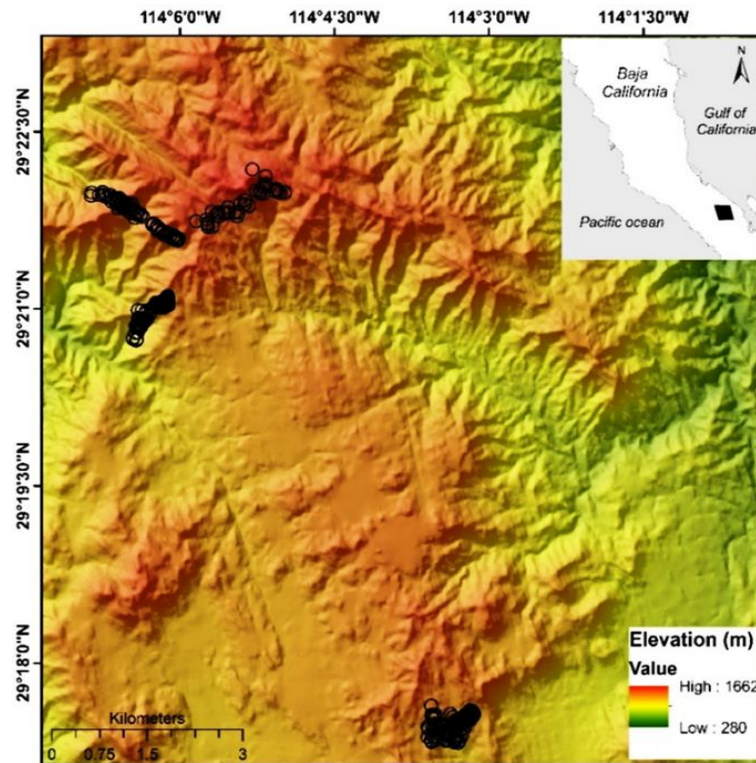
The aim of this research was i) to estimate the distribution of *Pinus monophylla* var. *californiarum* in Sierra La Asamble, Baja California (Mexico) by using Sentinel-2 images, and ii) to test and describe the relationship between this distribution of *P. monophylla* and five topographic and 18 climate variables. We hypothesized that i) the Sentinel-2 images can be used to accurately predict the *P. monophylla* distribution in the study site due to finer resolution (x3) and greater number of bands (x2) than in Landsat-8 data, and ii) the topographical variables aspect, ruggedness and slope are particularly influential because they represent important

microhabitat factors that can determine where conifers can become established and persist (Marston, 2010).

## MATERIALS AND METHODS

### Study area

Sierra La Asamblea is located in Baja California's central desert ( $-114^{\circ} 9' W$   $29^{\circ} 19' N$ , elevation range 280-1,662 m, Fig. 1). The climate in the area is arid, with maximum temperatures of  $40^{\circ} C$  in the summer (Garcia, 1998). The sierra is steeper on the western slopes, with an average incline of  $35^{\circ}$ , and with numerous canyons with occasional springs and oases. Valleys and plateaus are common in the proximity of the Gulf of California. Granite rocks occur south of the sierra and meta-sedimentary rocks along the north and southeast of the slopes. The predominant type of vegetation is xerophilous scrub, which is distributed at elevations ranging from 200 to 1,000 m. Chaparral begins at an altitude of 800 m, and representative specimens of *Adenostoma fasciculatum*, *Ambrosia ambrosioides*, *Dalea bicolor orcuttiana*, *Quercus tuberculata*, *Juniperus californica* and *Pinus monophylla* are also present at elevations above 1,000 m. Populations of the endemic palm tree *Brahea armata* also occur in the lower parts of the canyons with superficial water flow and through the rocky granite slopes (Bullock et al., 2006).



**Figure 1.** Map of Sierra La Asamblea. The black circles indicate georeferenced sites occupied by *Pinus monophylla*.

## Datasets

### Sentinel-2

The Sentinel-2A multispectral instrument (MSI) L1C dataset, acquired on 11 October 2016, in the trajectory of coordinates latitude 29 °.814, longitude 114 °.93, was downloaded from the US Geological Survey (USGS) Global Visualization Viewer at <http://glovis.usgs.gov/>. The 12-bit Sentinel-2A MSI image has 13 spectral bands in the visible, NIR, and SWIR wavelength regions with spatial resolutions of 10-60 m. However, band one, used for studies of coastal aerosols, and bands nine and ten, applied for respectively water vapour correction and cirrus detection, were not used in this study (ESA, 2017). Hence, the data preparation involved four bands at 10 m and

the resampling of the six S2 bands acquired at 20 m to obtain a layer stack of 10 spectral bands at 10 m (Table 1) using the ESA's Sentinel-toolbox ESA Sentinel Application Platform (SNAP) and then converted to ENVI format.

Because atmospherically improved images are essential to enable assessment of spectral indices with spatial reliability and product comparison, Level-1C data were converted to Level-2A (Bottom of Atmosphere -BOA- reflectance) by taking into account the effects of aerosols and water vapour on reflectance (Radoux et al., 2016). The corrections were made using the Sen2Cor tool (Telespazio VEGA Deutschland GmbH, 2016) for Sentinel-2 images.

**Table 1.** Sentinel-2 spectral bands used to predict the *Pinus monophylla* forest cover

| Band                         | Central wavelength (µm) | Resolution (m) |
|------------------------------|-------------------------|----------------|
| Band 2–Blue                  | 0.490                   | 10             |
| Band 3 –Green                | 0.560                   | 10             |
| Band 4 – Red                 | 0.665                   | 10             |
| Band 5- Vegetation red edge  | 0.705                   | 20             |
| Band 6– Vegetation red edge  | 0.740                   | 20             |
| Band 7– Vegetation red edge  | 0.783                   | 20             |
| Band 8- NIR                  | 0.842                   | 10             |
| Band 8A– Vegetation red edge | 0.865                   | 20             |
| Band 11 –SWIR                | 1.610                   | 20             |
| Band 12 –SWIR                | 2.190                   | 20             |

The following equation was used to calculate the normalized difference vegetation index (NDVI):  $NDVI = (NIR - R) / (NIR + R)$ , where NIR is the near infrared light (band) reflected by the vegetation, and R is the visible red light reflected by the vegetation (Rouse et al., 1974). The NDVI is useful for discriminating the layers of temperate forest from scrub and chaparral. Areas occupied by large amounts of unstressed green vegetation will have values much greater than 0

and areas with no vegetation will have values close to 0 and, in some cases, negative values (Pettorelli, 2013). The NDVI image was combined with the previously described multi spectral bands.

## Environmental variables

Tree species distribution is generally modulated by hydroclimate and topographical variables (Elliot et al., 2005; Decastilho et al., 2006), which can be estimated from digital terrain models (DTM) (Osem et al., 2005; Spasojevic et al., 2016). A DTM was obtained by using tools available from the Instituto Nacional de Estadística y Geografía (<http://www.inegi.org.mx/geo/contenidos/datosrelieve>) with a spatial resolution of 15 m. The DTM was processed with the QGIS (QGIS Development Team, 2016), using *Terrain analysis* tools, elevation, slope and aspect (Table 2).

The ruggedness was estimated using two indexes: i) the terrain ruggedness index (TRI) of Riley et al. (1999) and ii) a vector ruggedness measure (VRM), both implemented in QGIS (QGIS Development Team, 2016). The TRI computes the values for each grid cell of a DEM. This calculates the sum change in elevation between a grid cell and its eight-neighbor grid cell. VRM incorporates the heterogeneity of both slope and aspect. This measure of ruggedness uses 3-dimensional dispersion of vectors normal to planar facets on landscape. This index lacks units and ranges from 0 (indicating a totally flat area) to 1 (indicating maximum ruggedness) (Sappington et al., 2007).

In addition, 18 climate variables with a 30-arc second resolution (approximate 800 meters) (Table 2) were obtained from a national database managed by the University of Idaho (<http://charcoal.cnre.vt.edu/climate>) and which requires point coordinates (latitude, longitude and

elevation) as the main inputs (Rehfeldt, 2006; Rehfeldt et al., 2006). These variables are frequently used to study the potential effects of global warming on forests and plants in Western North America and Mexico (Sáenz-Romero et al., 2010; Silva-Flores et al., 2014).

**Table 2.** Topographical and climatic variables considered in the study

| Variable                                                      | Abbreviation | Units | Mean    | SD     | Max    | Min   |
|---------------------------------------------------------------|--------------|-------|---------|--------|--------|-------|
| Ruggedness                                                    | IRT          | m     | 20.33   | 6.66   | 35.90  | 4.69  |
| Ruggedness VRM                                                | VRM          | NA    | 0.005   | 0.007  | 0.13   | 0     |
| Slope                                                         | S            | °     | 28.38   | 8.92   | 48.34  | 3.42  |
| Aspect *                                                      | A            | °     | 190.51  | 68.72  | 350.44 | 20.55 |
| Elevation *                                                   | E            | m     | 1302.41 | 124.96 | 1631   | 1010  |
| Mean annual temperature *                                     | MAT          | °C    | 16.57   | 0.38   | 17.4   | 15.5  |
| Mean annual precipitation *                                   | MAP          | mm    | 229.56  | 19.95  | 288    | 184   |
| Growing season precipitation, April-September *               | GSP          | mm    | 79.08   | 9.60   | 108    | 57    |
| Mean temperature in the coldest month *                       | MTCM         | °C    | 10.85   | 0.37   | 11.7   | 9.8   |
| Minimum temperature in the coldest month *                    | MMIN         | °C    | 3.42    | 0.41   | 4.3    | 2.3   |
| Mean temperature in the warmest month                         | MTWM         | °C    | 24.52   | 0.31   | 25.2   | 23.5  |
| Maximum temperature in the warmest month                      | MMAX         | °C    | 34.10   | 0.31   | 34.7   | 33.1  |
| Julian date of the last freezing data of spring *             | SDAY         | Days  | 82.57   | 7.86   | 106    | 60    |
| Julian date of the first freezing data of autumn *            | FDAY         | Days  | 331.28  | 2.62   | 339    | 324   |
| Length of the frost-free period *                             | FFP          | Days  | 259.22  | 8.36   | 285    | 240   |
| Degree days > 5°C *                                           | DD5          | Days  | 4245.26 | 137.52 | 4550   | 3852  |
| Degree days > 5°C accumulating within the frost-free period * | GSDD5        | Days  | 3491.82 | 164.76 | 3944   | 2995  |
| Julian date when the sum degree days > 5°C reaches 100 *      | D100         | Days  | 17.07   | 1.10   | 20     | 15    |
| Degree days < 0 °C *                                          | DD0          | Days  | 0       | 0      | 0      | 0     |
| Minimum degree days < 0 °C *                                  | MMINDD0      | Days  | 8.07    | 20.29  | 145    | 45    |
| Spring precipitation                                          | Sprp         | mm    | 7.54    | 0.71   | 10     | 6     |
| Summer precipitation *                                        | Smrp         | mm    | 43.74   | 6.29   | 62     | 29    |
| Winter precipitation *                                        | Winp         | mm    | 110.93  | 7.93   | 133    | 93    |

\* Variables for which no significant difference between the medians was obtained after Bonferroni correction ( $\alpha = 0.0005$ ) were excluded from further analysis.

## Pixel-based classification

### Classification method

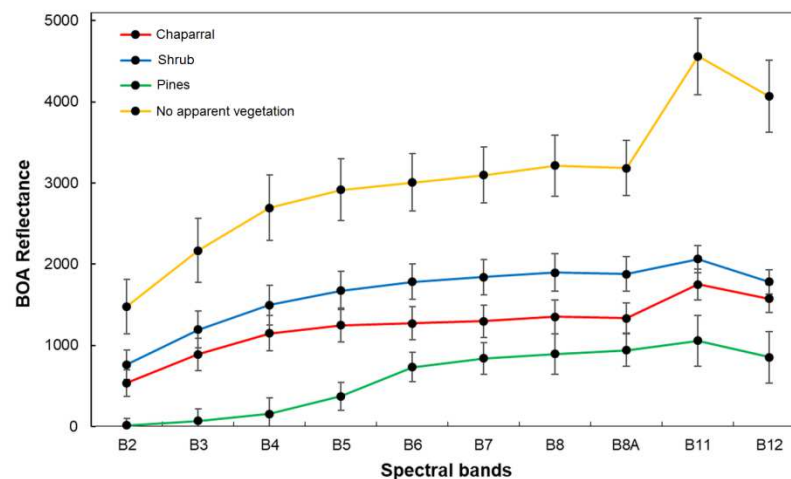
Pixel-based classification was carried out in order to predict four different types of land cover in the study area (*P. monophylla*, scrub, chaparral and no apparent vegetation). A supervised classification approach with a backpropagation-type artificial neural network (BPNN) (Tan and Smeins, 1996) was applied. BPNN is widely used because of its structural simplicity and robustness in modelling non-linear relationships. In this study, the BPNN comprises a set of three layers (raster): an input layer, a hidden layer and an output layer (Richards, 1999). Each layer consists of a series of parallel processing elements (neurons or nodes). Each node in a layer is linked to all nodes in the next layer (Guo et al., 2013).

The first step in BPNN supervised classification is to enter the input layer, which in this study corresponded to the values of the pixels of ten Sentinel-2 bands and of the NDVI image. Weights were then assigned to the BPNN to produce analytical predictions from the input values. These data were contrasted with the category to which each training pixel belongs, corresponding to Georeferenced sites (Datum WGS-84, 11N) obtained in the field in October 2014 and October 2015.

A stratified random sampling method (Olofsson et al., 2013) was used to generate the reference data in QGIS software (QGIS Development Team, 2016). A total of 2143 random points were sampled, with at least 400 points for each class (Goodchild et al., 1994). The following classes were considered: i) *P. monophylla*, 536 sites, ii) scrub, 764 sites, iii) chaparral, 405 sites, and iv)

no apparent vegetation, 438 sites. Class discrimination processes occurred in the hidden layer and the synapses between the layers were estimated by an activation function. We used a logistic function and training rate of 0.20, previously applied to land cover classification (Hepner et al., 1990; Richards, 1999; Braspenning & Thuijisman, 1995). Learning occurs by adjusting the weights in the node to minimize the difference between the output node activation, and BPNN then calculates the error at each iteration with root mean square error (RMS). The output layer comprised four neurons representing the four target classes of land cover (*P. monophylla*, Scrub, Chaparral and no apparent vegetation). Average spectral signatures for the four different types of land cover are shown in Figure 2.

**Figure 2.** Average spectral signatures of cover vegetation in Sierra La Asamblea, Baja California.



## Validation

The BPNN classification was cross-validated (10-fold) using a confusion matrix, which is a table that compares the reference data and the classification results. We estimated the uncertainty of the classification using estimated error matrix in terms of proportion of area and estimates of

211 overall map accuracy ( $\hat{O}$ ), user's accuracy ( $\hat{U}_i$ ) (or commission error) and producer's accuracy  
 212 ( $\hat{P}_j$ ) (or omission error) recommended by Olofsson et al. (2013):  $p_{ij}$  is defined as a cell entry of  
 213 error matrix of  $i$  map classes. A poststratified estimator of  $p_{ij}$  is:

$$\hat{p}_{ij} = W_i \frac{n_{ij}}{n_{i.}} \quad (1)$$

where  $W_i$  is the proportion of the area mapped as class  $i$ .  $n_{i.}$  is the total number of sample units in map class  $i$ .  $n_{ij}$  is the sample count at cell  $(i,j)$  in the error matrix.

214  $\hat{p}_{.j}$  is a poststratified estimator for simple random and systematic sampling:

$$\hat{p}_{.j} = \sum_{i=1}^q W_i \frac{n_{ij}}{n_{i.}} \quad (2)$$

215 where  $q$  is the class number.

216 An unbiased estimator of the total area of class  $j$  is then

$$\hat{A}_j = A \cdot \hat{p}_{.j} \quad (3)$$

217 where  $A$  is the total map area. For  $\hat{p}_{.j}$ , the standard error is estimated by:

$$S(\hat{p}_{.j}) = \sqrt{\sum_{i=1}^q W_i^2 \frac{\frac{n_{ij}}{n_{i.}} \left(1 - \frac{n_{ij}}{n_{i.}}\right)}{n_{i.} - 1}} \quad (4)$$

218 The standard error of the error-adjusted estimated area is

$$S(\hat{A}_j) = A \cdot S(\hat{p}_{.j}) \quad (5)$$

219 Finally,

$$\hat{A}_j \pm 1.96 \cdot S(\hat{A}_j) \quad (6)$$

220 presents an approximate 95% confidence interval.

221 The  $\hat{O}$ ,  $\hat{U}_i$  and  $\hat{P}_j$  were calculated with Eqs. (7-9) (Congalton, 1991).  $\hat{U}_i$  of class  $i$  is the  
 222 proportion of the area mapped as class  $i$  that has reference class  $i$ .  $\hat{P}_j$  of class  $j$  is the proportion of  
 223 the area of reference class  $j$  that is mapped as class  $j$ .

$$\hat{O} = \sum_{j=1}^q \hat{p}_{jj} \quad (7)$$

$$\hat{U}_i = \frac{\hat{p}_{ii}}{\hat{p}_{i.}} \quad (8)$$

$$\hat{P}_j = \frac{\hat{p}_{jj}}{\hat{p}_{.j}} \quad (9)$$

224 We then generated a map from the results of the probability of class assignment. The accuracy of  
 225 classification was also estimated using the Kappa ( $K$ ) coefficient. The  $K$  coefficient is often used  
 226 as an overall measure of accuracy (Abraira, 2001). This coefficient takes values of between 0  
 227 and 1, where values close to one indicate a greater degree of agreement between classes and  
 228 observations, and a value of 0 suggests that the observed agreement is random. However, the use  
 229 of  $K$  is controversial because i)  $K$  would underestimate the probability that a randomly selected  
 230 pixel is correctly classified, ii)  $K$  is greater correlated with overall accuracy so reporting Kappa is  
 231 redundant for overall accuracy (Olofsson et al., 2014).

## 232 **Relationship between presence of *P. monophylla* and environmental variables**

233 To model and test the association between presence/absence of *P. monophylla* in the study area  
 234 and topographical or climate variables, a Kruskal-Wallis test was used to estimate the difference  
 235 in the median values in relation to presence and absence of *P. monophylla*. All variables for  
 236 which no significant difference between the median values was predicted after Bonferroni

correction ( $\alpha = 0.0005$ ) were excluded from further analysis. The collinearity between the variables with a significant difference between the medians of presence and absence was estimated using the Spearman correlation coefficient ( $r_s$ ). When the  $r_s$  value for the difference between two variables was greater than 0.7, only the variable with the lowest  $p$  value in the Kruskal-Wallis test was used in the models (as reported by Salas et al., 2017 and Shirk et al., 2018). Finally, stepwise multiple linear binominal logistical regression and Random Forest classification including cross valuation (10-fold) were used to model the associations between presence/absence of *P. monophylla* and the most important topographical and climate variables (Shirk et al., 2018).

Regression and classification including cross-validations were carried out using the trainControl, train, glm (family = "binomial") and rf functions, as well as the "randomForest" and "caret" packages (Venables and Ripley, 2002) in R (version 3.3.2) (Development Core Team, 2017). The goodness-of-fit of the logistical regression model was evaluated using the Akaike information criterion (AIC), root-mean-square error (RMSE) and residual deviance. Validation of the randomForest model was performed using under the curve (AUC; Fawcett, 2006), True Skill Statistic (TSS; Allouche et al., 2006), Kappa (Abraira, 2001), specificity and sensitivity.

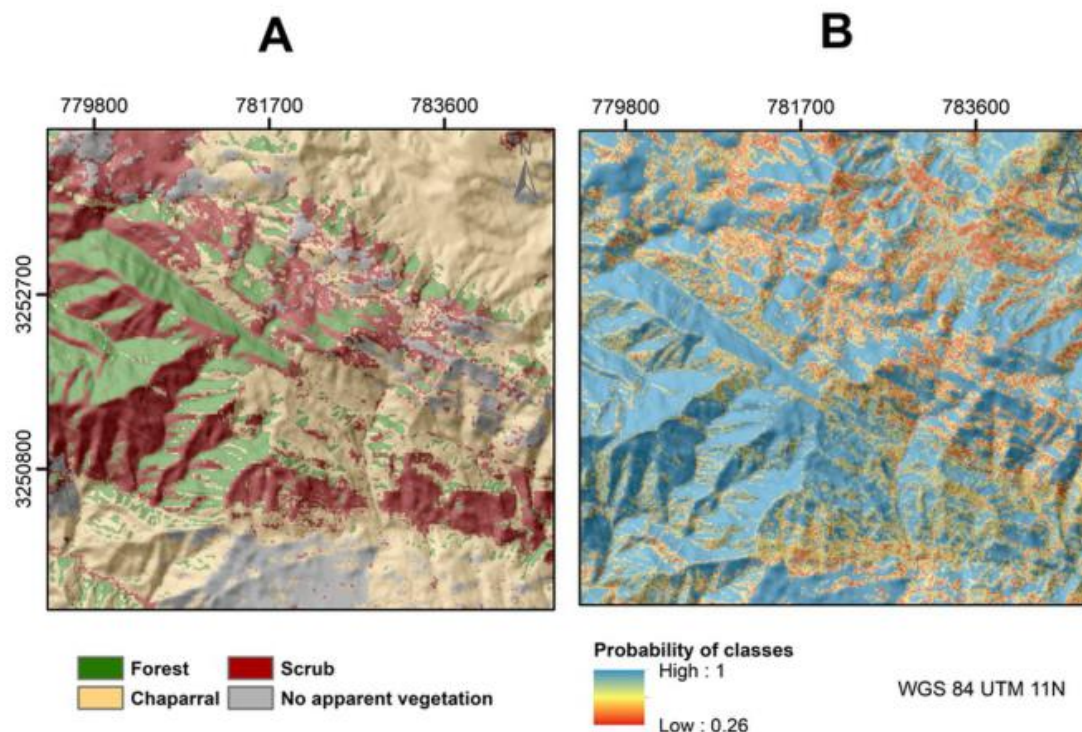
## RESULTS

### Pixel-based classification

We estimated the area of *P. monophylla* cover with a margin of error (at approximate 95% confidence interval) of  $6,653 \pm 319$  (standard error) hectares in Sierra de la Asamble, Baja California, Mexico (Fig. 3). The confusion matrix of the accuracy assessment is listed in Table 3 including user's and producer's accuracy for each class. The supervised classification with

BPNN yielded predictions with an overall accuracy of identification of 87.74% (Table 4). This level of accuracy was estimated in the 32 interactions with 0.04 RMS training. The proportion of omission errors in the *P. monophylla* class was only 2.62%, i.e. 97.39% of the pixels were correctly classified. The shrub class had the larger proportion of omission errors (18.98%). The value of NDVI in the *P. monophylla* forest fluctuated between 0.30 and 0.41, and in chaparral between 0.24 and 0.28. The smallest values of NDVI corresponded to scrub vegetation, with values between 0.10 and 0.15.

**Figure 3.** (A) Estimated land cover classes using BPNN classification in Sierra La Asambla. (B) Probability map of class assignment.



**Table 3.** Estimated error matrix based of sample counts ( $n_{ij}$ ) from the accuracy assessment sample. Map classes are the rows while the reference classes are the columns

|     | Classes* | Reference |     |     |     | Total | Map area (ha) | $W_i$ |
|-----|----------|-----------|-----|-----|-----|-------|---------------|-------|
|     |          | P         | S   | C   | WV  |       |               |       |
| Map | P        | 522       | 0   | 14  | 0   | 536   | 5,395         | 0.169 |
|     | S        | 24        | 619 | 119 | 2   | 764   | 12,309        | 0.387 |
|     | C        | 50        | 0   | 348 | 7   | 405   | 8,206         | 0.258 |
|     | WV       | 0         | 0   | 20  | 418 | 438   | 5,913         | 0.186 |
|     | Total    | 596       | 619 | 501 | 427 | 2,143 | 31,823        | 1     |

\* Classes: P = *Pinus monophylla*; S = shrub; C = chaparral; WV= without vegetation;  $W_i$  = proportion of the area mapped as class  $i$ .

**Table 4.** Error matrix of four classes with cell entries ( $p_{ij}$ ) based on Table 3 and expressed in terms of proportion of area. Accuracy measures are presented with a 95% confidence interval. Map classes (rows), reference classes (columns).

|     | Classes | References |        |        |        | Accuracy    |            |            |
|-----|---------|------------|--------|--------|--------|-------------|------------|------------|
|     |         | P          | S      | C      | WV     | User's      | Producer's | Overall    |
| Map | P       | 0.1651     | 0.0000 | 0.0044 | 0.0000 | 0.970±0.07  | 0.790±0.04 | 0.877±0.01 |
|     | S       | 0.0122     | 0.3134 | 0.0602 | 0.0010 | 0.810±0.02  | 1.000      |            |
|     | C       | 0.0318     | 0.0000 | 0.2216 | 0.0045 | 0.859±0.01  | 0.752±0.07 |            |
|     | WV      | 0.0000     | 0.0000 | 0.0085 | 0.1773 | 0.954±0.002 | 0.970±0.02 |            |
|     | Total   | 0.2091     | 0.3134 | 0.2947 | 0.1828 |             |            |            |

## Relationship between presence of *P. monophylla* and environmental variables

The Kruskal-Wallis test indicated that the median values for ruggedness TRI ( $p < 2.1 \times 10^{-16}$ ), slope ( $p < 2.2 \times 10^{-16}$ ), ruggedness VRM ( $p = 4.9 \times 10^{-9}$ ), MTWM ( $p = 0.000014$ ), MMAX ( $p = 0.000048$ ) and SPRP ( $p = 0.00037$ ) were most variable between sites in which *P. monophylla* was present and absent. The variable slope was closely correlated with ruggedness as well as with MMAX and MTWM ( $r_s > 0.7$ ). The  $p_{\text{slope}}$  of the Kruskal-Wallis test was larger than  $p_{\text{ruggedness}}$  and  $p_{\text{MMAX}}$  was larger than  $p_{\text{MTWM}}$ . Slope and MMAX were therefore excluded from the model analysis. The stepwise multiple linear binominal logistical and Random Forest models showed that the “presence of *P. monophylla*” model included the independent variables ruggedness, ruggedness VRM and average temperature in the warmest month (MTWM) (Table 5).

The ruggedness factor was the most influential predictor variable and indicated that the probability of *P. monophylla* occurrence was larger than 50% when the degree of ruggedness TRI was greater than 17.5 m (Table 5). The ruggedness VRM also indicated that a minimum change in roughness increases the probability of presence of the pine. The probability of occurrence of *Pinus monophylla* decreased when MTWM increased from 23.5 to 25.2 °C (Table 5). After cross validation (10-fold), the Random Forest model revealed that the variables ruggedness TRI, ruggedness VRM and MTWM yielded a greater correlation for their ability to predict presence of the *P. monophylla* (AUC = 0.920, TSS = 0.690, Kappa = 0.691). The sensitivity was 0.812 and specificity was 0.878.

**Table 5.** Results of the multiple linear binomial logistic regression model (AIC = 601.8; residual deviance= 593.85 on 588 degrees of freedom), TRI = terrain ruggedness index, VRM = vector ruggedness measure, MTWM = mean temperature in the warmest month.

| Variable      | Estimate | Std. Error | Z value | Pr(> z ) |
|---------------|----------|------------|---------|----------|
| Intercept     | 25.351   | 8.895      | 2.850   | 0.0044   |
| MTWM          | -1.159   | 0.362      | -3.201  | 0.0014   |
| Roughness TRI | 0.178    | 0.015      | 11.200  | < 2e-16  |
| Roughness VRM | 28.476   | 13.847     | 2.056   | 0.0397   |

## DISCUSSION

### *Pixel-based classification*

Predicting the presence of pine forest by using BPNN proved feasible. The NDVI was one of the variables that contributed to the prediction and clearly separated forest cover ( $NDVI > 0.35$ ) from the other types of vegetation cover ( $NDVI < 0.20$ ). The overall accuracy of classification ( $K = 0.87$ ) was similar to that reported in other studies using Sentinel-2A MSI images. For example, Immitzer et al. (2016) reported a  $K$  of 0.85 for tree prediction in Europe by using five classes and a random forest classifier. Vieira et al. (2003) reported a  $K = 0.77$  in eastern Amazon using seven classes and 1999 Landsat 7 ETM imagery. However, Sothe et al. (2017) reported  $K$  values of 0.98 and 0.90 for respectively three successional forest stages and field in a subtropical forest in Southern Brazil by using Sentinel-2 and Landsat-8 data associated with the support vector machine algorithm. Kun et al. (2014) estimated  $K$  values of 0.70 to 0.85 for land-use type prediction (including forest) in China by using the support vector machine algorithm classifier

and Landsat-8 images of rougher spatial resolution than Sentinel images. The very greater accuracy of predictions by Kun et al. (2014) was probably due to the large-scale of the study and the clearly differentiated types of land considered.

### **Relationship between presence of *P. monophylla* and environmental variables**

Ruggedness of the terrain was the most important topographic variable, significantly explaining the presence of pines in Sierra La Asamblea (Table 5). Ruggedness, which is strongly positively correlated with slope, may reduce solar radiation, air temperature and evapotranspiration due to increased shading (Tsujino et al., 2006; Bullock et al., 2008). The ruggedness indicated by the TRI index explains the presence of the pines because Sierra La Asamblea is heterogeneous in terms of elevation. The VRM index was less important partly because the index is strongly dependent on the vector aspect (Gisbert & Martí, 2010) and in the case of Sierra Asamblea the aspect is very homogeneous and the index values therefore tend to be very low (Table 5), as also reported by Wu et al. (2018). The pines were expected to colonize north facing slopes, which are exposed to less solar radiation than slopes facing other directions. However, the topographical variable aspect was not important in determining the presence of *P. monophylla* var. *californiarum* in the study site, possibly because of physiological adaptations regarding water-use efficiency and photosynthetic nitrogen-use efficiency (DeLucia & Schlesinger, 1991), as reported for the *Pinus monophylla*, *P. halepensis*, *P. edulis* and *P. remota* in arid zones (Lanner & Van Devender, 2000; Helman et al., 2017). The Mediterranean climate, with wet winters and dry summers, is another characteristic factor in this mountain range. In the winter in this part of the northern hemisphere, the sun (which is in a lower position and usually affects the southern aspect by radiation) is masked by clouds, rainfall and occasional snowfall (León-Portilla, 1988).

During the summer, the solar radiation is more intense, but similar in all directions because the sun is closest to its highest point (Stage & Salas, 2007).

The above-mentioned finding contrasts with those of other studies reporting that north-eastern facing slopes in the northern hemisphere receive less direct solar radiation, thus providing more favourable microclimatic conditions (air temperature, soil temperature, soil moisture) for forest development, permanence and productivity than southwest-facing sites (Astrom et al., 2007; Stage & Salas, 2007; Hang et al 2009; Marston et al., 2010; Klein et al., 2014). DeLucia & Schleinger (1991) reported that *P. monophylla* populations in the Great Basin California desert with summer rainfall (monsoon) preferred an east-southeast aspect with less intense solar radiation and evapotranspiration.

The probability of occurrence of *P. monophylla* was also related to the climatic variable MTWM. In Sierra La Asamblea, this pine species was found in a narrow range of MTWM of between 23.5° and 25.2° (Table 1), which, however, is a smaller range than reported for the other pine species (Tapias et al., 2004; Roberts & Ezcurra, 2012). Therefore, this species should adapt well to greater temperatures in the summer (Lanner et al., 2000), which is usually a very dry period in the study site (León-Portilla, 1988). However, the probability of occurrence was greatest for an MTWM of 23.5 °C (Table 5), which occurred at the top of Sierra La Asamblea, at an elevation of about 1,660 m). We therefore conclude that this species can also grow well when the MTWM is below 23.5 °C. On the other hand, considering MTWM as factor yielded a probability of occurrence of 25-80%. The spatial resolution of the climatic data by the national database run by the University of Idaho is probably not adequate for describing the microhabitat of *P. monophylla* (Rehfeldt et al., 2006; Marston et al., 2010).

Identification of the *P. monophylla* stands in Sierra La Asamblea as the most southern populations represents an opportunity for research on climatic tolerance and community responses to climatic variation and change.

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