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# Kill two birds with one stone: making multi-transgenic pre-diabetes mouse models through insulin resistance and pancreatic apoptosis pathogenesis

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**Background.** Type 2 diabetes, a chronic disease to which susceptibility is hereditary, is characterized by insulin resistance accompanied by defective insulin secretion. Mouse models, especially transgenic mice, play an important role in medical research. However, the transgenic mouse models that have been used in diabetes research are involved with single transgenes, focusing on the insulin gene or its mutants. Thus they mainly provide information related to Type 1 diabetes.

**Methods.** Here, we attempted to focus comprehensively on genes related to pancreatic islet damage, peripheral insulin resistance and related environmental inducing factors by generating single-transgenic mice (CHOP), dual-transgenic mice (hIAPP-CHOP) and triple-transgenic mice (11 $\beta$ -HSD1-hIAPP-CHOP). The latter two types of transgenic animals were induced with high-fat, high-sucrose diets (HFHSD). We evaluated and analyzed the diabetes-related symptoms and the histopathological and immunohistochemical features of the transgenic animals.

**Results.** Specifically, in the triple-transgene animals, the results of intraperitoneal glucose tolerance tests (IPGTT) began to change 60 days after induction ( $p < 0.001$ ). After 190 days of induction, the body weights ( $p < 0.01$ ) and plasma glucose levels of the animals in the Tg group were higher than those of the animals in the Nc group. After the mice were sacrificed, large amounts of lipid were found deposited in the adipose tissues ( $p < 0.01$ ) and ectopically deposited in the non-adipose tissues ( $p < 0.05$  or  $0.01$ ) of the animals in the Tg HFHSD group. The weights of the kidneys and hearts of the Tg animals were significantly increased ( $p < 0.01$ ). Serum C-P was decreased due to transgene effects, and insulin levels were increased due to the effects of the high-fat high-sucrose diet in the Tg HFHSD group, indicating that damaged insulin secretion and insulin resistance hyperinsulinemia existed simultaneously in these animals. The serum corticosterone levels of the animals in the Tg group were slightly higher than those of the Nc animals due to the effects of the 11 $\beta$ HSD-1 transgene and obesity. In the Tg HFHSD group, hepatic adipose deposition was more severe and the pancreatic islet area was enlarged under compensation, accompanying apoptosis. In the Tg ControlID group, hepatic adipose deposition was also severe, pancreatic islets were damaged, and their areas were decreased ( $p < 0.05$ ), and apoptosis of pancreatic cells occurred. Taken together, these data show that the transgenes led to early-stage pathological changes characteristic of type 2 diabetes in the triple-transgene HFHSD group. The disease of triple-transgenic mice was more severe than that of dual or single-transgenic mice.

**Conclusion.** The use of multi-transgenes involved in insulin resistance and pancreatic apoptosis is a better way to generate polygene-related early-stage diabetes models.

1 **Kill two birds with one stone: making multi-transgenic pre-diabetes mouse models through**  
2 **insulin resistance and pancreatic apoptosis pathogenesis**

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40 **Abstract**

41 **Background.** Type 2 diabetes, a chronic disease to which susceptibility is hereditary, is  
42 characterized by insulin resistance accompanied by defective insulin secretion. Mouse models,  
43 especially transgenic mice, play an important role in medical research. However, the transgenic  
44 mouse models that have been used in diabetes research are involved with single transgenes,  
45 focusing on the insulin gene or its mutants. Thus they mainly provide information related to  
46 Type 1 diabetes.

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48 damage, peripheral insulin resistance and related environmental inducing factors by generating  
49 single-transgenic mice (CHOP), dual-transgenic mice (hIAPP-CHOP) and triple-transgenic mice  
50 (11 $\beta$ -HSD1-hIAPP-CHOP). The latter two types of transgenic animals were induced with high-  
51 fat, high-sucrose diets (HFHSD). We evaluated and analyzed the diabetes-related symptoms and  
52 the histopathological and immunohistochemical features of the transgenic animals.

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54 tolerance tests (IPGTT) began to change 60 days after induction ( $p < 0.001$ ). After 190 days of  
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56 were higher than those of the animals in the Nc group. After the mice were sacrificed, large  
57 amounts of lipid were found deposited in the adipose tissues ( $p < 0.01$ ) and ectopically deposited  
58 in the non-adipose tissues ( $p < 0.05$  or  $0.01$ ) of the animals in the Tg HFHSD group. The weights  
59 of the kidneys and hearts of the Tg animals were significantly increased ( $p < 0.01$ ). Serum C-P  
60 was decreased due to transgene effects, and insulin levels were increased due to the effects of the  
61 high-fat high-sucrose diet in the Tg HFHSD group, indicating that damaged insulin secretion and  
62 insulin resistance hyperinsulinemia existed simultaneously in these animals. The serum  
63 corticosterone levels of the animals in the Tg group were slightly higher than those of the Nc  
64 animals due to the effects of the 11 $\beta$ HSD-1 transgene and obesity. In the Tg HFHSD group,  
65 hepatic adipose deposition was more severe and the pancreatic islet area was enlarged under  
66 compensation, accompanying apoptosis. In the Tg ControlD group, hepatic adipose deposition  
67 was also severe, pancreatic islets were damaged, and their areas were decreased ( $p < 0.05$ ), and  
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69 early-stage pathological changes characteristic of type 2 diabetes in the triple-transgene HFHSD  
70 group. The disease of triple-transgenic mice was more severe than that of dual or single-  
71 transgenic mice.

72 **Conclusion.** The use of multi-transgenes involved in insulin resistance and pancreatic

73 apoptosis is a better way to generate polygene-related early-stage diabetes models.

74

75 **Key word:** Muti-transgenic mice, 11 $\beta$ HSD-1, hIAPP, CHOP, experiment animal disease model

76

## 77 **Introduction**

78 Type 2 diabetes mellitus (T2DM), which is characterized by peripheral insulin resistance  
79 and impaired insulin secretion, is a chronic metabolic disease that has shown an increased  
80 incidence in obese and aged individuals in recent years(Association 2009; Lee & Cox 2011).  
81 T2DM is a multifactorial disease that is associated with genetic factors such as susceptibility  
82 genes and environmental factors such as intake of high-fat and high-sucrose diets(Nath et al.  
83 2016; Qiu et al. 2016).  $\beta$ -cell failure and peripheral insulin resistance are pathogenic features of  
84 T2DM(Kahn 2003; Lee & Cox 2011). Several mechanisms may be responsible for the  
85 progressive  $\beta$ -cell failure and insulin resistance that occurs in T2DM, including long-term  $\beta$ -cell  
86 stress, apoptosis, functional exhaustion and enhanced glucocorticoid levels(Höppener et al. 2000;  
87 Höppener & Lips 2006; HH et al. 2016). It was reported that  $\beta$ -cell endoplasmic reticulum stress  
88 (ERS) can induce cell apoptosis and insulin secretion deficiency in pancreatic cells(HH et al.  
89 2016). C/EBP homology protein (CHOP) was discovered as a direct upstream factor that drives  
90 ERS and apoptosis(Oyadomari & Mori 2004). In addition, islet amyloid polypeptide (IAPP)  
91 levels have been commonly demonstrated in patients who are obese or who display damaged  
92 glucose secretion or glucose intolerance(Hartter et al. 1991). IAPP, also referred as amylin, is the  
93 primary component of the insoluble pancreatic amyloid fibrils in T2DM(Clark et al. 1987). The  
94 human gene hIAPP encodes amylin, which accumulates in patients' pancreatic  $\beta$ -cells(Costes et  
95 al. 2013; Hull et al. 2013). It is known that this phenomenon can induce the  $\beta$ -cell unfolded  
96 protein response (UPR) and apoptosis(Khemtmourian et al. 2008; Meier et al. 2007). Moreover,  
97 11 $\beta$ -hydroxysteroid dehydrogenase type 1 (11 $\beta$ -HSD1) is an important dehydrogenase that is  
98 associated with insulin resistance(Peng et al. 2016; Pereira et al. 2012). 11 $\beta$ -HSD1  
99 overexpression can increase glucocorticoid levels(Pereira et al. 2012) in liver. Insulin receptor  
100 desensitization and glucose absorption reduction are promoted by glucocorticoids, which can  
101 also inhibit  $\beta$ -cell insulin secretion and disrupt normal insulin-plasma glucose balance(Johnson et  
102 al. 1992; Masuzaki & Flier 2004).

103 Transgenic animal models are needed in T2DM research. As a disease to which  
104 susceptibility is controlled by multiple genes (polygenes), T2DM is to a certain degree  
105 hereditary(Qiu et al. 2016). Most currently available mouse diabetes models are single-  
106 transgenic. Because it is difficult for these mouse models to comprehensively describe the  
107 characteristics of a disease to which susceptibility is polygenic, methods for obtaining more  
108 appropriate genetically modified disease models are an important research priority. During our

109 work in transgenic disease model construction, we conceived the idea of combining the  
110 functional genes involved in insulin secretion defects and peripheral insulin resistance together at  
111 the genetic level(Kong et al. 2016; Kong et al. 2015; Lee & Cox 2011). Although there is no  
112 direct evidence in the literature concerning the interaction of the three aforementioned genes in  
113 diabetes pathogenesis, they are all important genes that can lead to diabetes(Matveyenko &  
114 Butler 2006; Oyadomari & Mori 2004; Pereira et al. 2012). Thus, mouse models of the disease  
115 phenotype might be developed by combing these three genes. In our groundbreaking research,  
116 CHOP single-transgenic mice, hIAPP-CHOP dual-transgenic mice and 11 $\beta$ HSD1-hIAPP-CHOP  
117 triple-transgenic mice were constructed, and their characteristics were compared to determine  
118 whether the multi-transgenic model offered a better T2DM modeling strategy. Diabetes-related  
119 experiments were performed using the three types of transgenic models. Since HFHSD may be  
120 the main environmental factor that leads to amyloid formation and peripheral insulin resistance  
121 in T2DM<sup>24,25</sup>, transgenic mice and negative control mice that were fed a control diet were  
122 compared with transgenic and control mice that had been challenged by HFHSD(Hull et al. 2003;  
123 Winzell & Ahrén 2005). By comparing the diabetes-related symptoms in 11 $\beta$ HSD1-hIAPP-  
124 CHOP transgenic mice with those of CHOP mice and hIAPP-CHOP transgenic mice, the disease  
125 of triple-transgenic mice was more severe than that of dual or single-transgenic mice. The body  
126 weights, fasting plasma glucose levels, intraperitoneal glucose tolerance test (IPGTT) results and  
127 serological parameters of the animals were measured. Histological and pathological changes in  
128 the animals' pancreases and livers were also elucidated, including abnormal islet status and  
129 hepatic lipidosis detected by hematoxylin-eosin staining (HE) and islet apoptosis status by  
130 molecular immunohistochemistry. The results showed that 11 $\beta$ HSD1-hIAPP-CHOP triple-  
131 transgenic mice were better than dual- or single-transgenic mice in providing a model that  
132 mimics human diabetes. Several (early-stage) disease phenotypes were observed. Consequently,  
133 the use of multi-transgenes may offer a better way to "kill two birds with one stone" for  
134 generating polygene-related diabetes models.

135

## 136 **Methods**

### 137 **Transgenic mice**

138 Three kinds of single, dual and triple transgenic C57BL/6 mice with a porcine  
139 apolipoprotein E promoter fragment linked to the 11 $\beta$ -HSD1 gene and/or a porcine insulin  
140 promoter fragment linked to the CHOP gene and the hIAPP gene were generated. pGL3-PIP-  
141 CHOP is a single transgene vector in which the CHOP gene is driven by pancreas-specific PIP  
142 (porcine insulin promoter). pGL3-PIP-hIAPP-F2A-CHOP is a dual-gene polycistronic system in  
143 which the two genes hIAPP and CHOP are connected to *Furin-2A* and are driven together by the  
144 PIP. pcDNA3.1-PapoE-11 $\beta$ HSD1-PIP-CHOP-F2A-hIAPP is a tissue-specific polycistronic

145 system in which 11 $\beta$ -HSD1 is driven by the liver-specific PapoE (porcine apoE promoter)(Xia et  
146 al. 2014) and hIAPP and CHOP are linked to the F-2A peptide, which is driven by the pancreas-  
147 specific PIP(Kong et al. 2016). The PapoE (porcine apolipoprotein E promoter) sequence(Xia et  
148 al. 2014), the PIP (porcine insulin promoter) sequence(Kong et al. 2016), the 11 $\beta$ -HSD1 gene  
149 sequence (GenBank:NM\_214248.1), CHOP gene sequence (GenBank: NM\_007837.3) and the  
150 hIAPP gene sequence (GenBank: NM\_000415.2) are stored in the National Center for  
151 Biotechnology Information (NCBI) database. The vectors pGL3-PIP-CHOP, pGL3-PIP-hIAPP-  
152 F2A-CHOP and pcDNA3.1-PapoE-11 $\beta$ HSD1-PIP-CHOP-F2A-hIAPP were synthesized by  
153 Generay Biotech Co. Ltd. (Shanghai, China). The linear DNA sequence was microinjected into  
154 the pronuclei of zygotes of C57BL/6 mice. Positive males from the F0 generation of transgenic  
155 mice were bred to wild-type female mice purchased from Vital River Laboratory Technology Co.  
156 Ltd. (Beijing, China). Finally, positive male F1 generation mice were obtained. The related  
157 positive identification primers are list in Table S1. The transgenic and control mice used in the  
158 four treatments were male. The mice were allowed free access to food and water and were  
159 maintained at a temperature of 20-22°C, relative humidity of 30-70%, and a 12-h light/dark cycle.  
160 The animals received humane care according to the recommendations in the Guide for the Care  
161 and Use of Laboratory Animals. All procedures were approved by the Animal Care and Use  
162 Committee of the Germplasm Resource Center (Institute of Animal Sciences, Chinese Academy  
163 of Agricultural Sciences, Beijing, China) (permit no. ACGRCM 2013-035).

164

### 165 **High-fat high-sucrose diet (HFHSD) induction strategy**

166 In the research, single-transgenic mice (CHOP), dual-transgenic mice (hIAPP-CHOP) and  
167 triple-transgenic mice (11 $\beta$ -HSD1-hIAPP-CHOP) were generated. Single-transgenic mice  
168 (CHOP) were fed a control diets (Research Diets, D12450K) ad libitum. The dual-transgenic and  
169 triple-transgenic mice were fed high-fat high-sucrose diets (HFHSD) from the age of 13 weeks  
170 until sacrifice. At 12 weeks of age, the positive (Tg) mice and the control (Nc) mice were  
171 divided into two groups (n=5-6) for acclimatization. At 13 weeks, the animals were subdivided  
172 into four groups as follows: Tg animals fed a high-fat high-sucrose diet (Research Diets, D12451)  
173 (Tg HFHSD); a negative control group fed a high-fat high-sucrose diet (Nc HFHSD); a Tg group  
174 fed a control diet (Research Diets, D12450K) (Tg ControlD); and a negative control group fed a  
175 control diet (Nc ControlD). The diets were purchased from Research Diets, Inc., USA. The  
176 induction time was 190 days.

177

### 178 **Glucose, body weight and IPGTT**

179 Body weights were monitored daily for 30 days. Prior to the IPGTT experiment, the mice  
180 were weighed using an electronic balance. The animals' fasting plasma glucose concentrations

181 were determined by random inspection using the glucose oxidase method (One Touch® Ultra,  
182 USA). IPGTT was performed at the beginning of diet induction (at 13 weeks of age, induced 0  
183 days) and again at 60 days and 190 days of induction. The mice were fasted for 12-14 hours prior  
184 to IPGTT testing (drinking water was maintained, and food was removed). A volume of 20%  
185 glucose solution equal to 1% of the weight of the animal was injected into the intraperitoneal (for  
186 example, 50 g x 1%, inject 0.5 ml). Then, the glucose concentrations in blood taken from the tail  
187 vein were measured using a One Touch glucometer at time points of 0 min, 15 min, 30 min, 45  
188 min, 60 min, 90 min and 120 min.

189

### 190 **Anatomy and sampling**

191 Mice were sacrificed by cervical dislocation after plasma sampling and photographed  
192 immediately in front and back views. The pancreas, liver, other viscera and adipose tissue were  
193 collected and divided into two parts. Tissues for HE and immunohistochemistry were fixed in 4%  
194 paraformaldehyde (PFA), and the second portion was immediately frozen and stored at -80°C.

195

### 196 **Serological measurements**

197 Blood samples were taken from the retrobulbar intraorbital vessels of the animals before  
198 sacrifice. The samples were placed in 1.5-ml sterile EP tubes (without heparin sodium) and  
199 stored on ice. Serum was obtained by centrifugation (12,000 rpm, 4°C). Fasting glucose, high-  
200 density lipoprotein cholesterol, low-density lipoprotein cholesterol, corticosterone, triglycerides  
201 and C peptide were determined using a HITACHI 7080 automatic biochemical analyzer (Hitachi,  
202 Ltd. Japan). Insulin was measured using a DFM-96 radioimmunoassay gamma counter (Hitachi,  
203 Ltd. Japan).

204

### 205 **HE and immunohistochemistry**

206 To further determine the pathological changes in the livers (left lobe) and islets (pancreatic  
207 tail), the animals' livers and pancreases were embedded in paraffin and sectioned at 5-8 µm. The  
208 samples were subjected to conventional hematoxylin and eosin staining using routine  
209 methods(Ruan et al. 2016). The numbers of liver lipid vacuoles in each group were counted, and  
210 their corresponding area ratios were calculated and binned based on size from "<1500" to  
211 ">70,000" in a series of 10 intervals as shown in Figure. 5B and C. The immunohistochemistry  
212 was tested for islets, and insulin secretion was assessed using an antibody to pancreatic insulin  
213 (Abcam, ab63820). The AOD of pancreatic insulin expression (insulin immunohistochemistry as  
214 shown in the corresponding left panel of the figure) was calculated as the IOD (integral optical  
215 density) sum/Area sum(Hu 2014; Ruan et al. 2016). To detect amylin deposition within islets in



216 the pancreas, immunohistochemical analysis of IAPP was performed using an anti-amylin  
217 antibody (Abcam ab115766). Apoptotic cells in the pancreas were detected using an antibody  
218 against caspase 3 (Abcam, ab217550). An Olympus microscope (CX31; Olympus Corporation)  
219 with a Pixera digital camera (Pro 120es; Pixera Corporation, San Jose, CA, USA) was used to  
220 photograph the sections. The procedures have been described in detail previously(Kong et al.  
221 2016).

222

### 223 **Statistical analysis**

224 The data were analyzed using SPSS v.22.0 (IBM, USA). The figures were drawn using  
225 Graph Pad Prism 5 (GraphPad Software, Inc., USA). The data are presented as the mean±SEM.  
226 Comparison of the differences between two groups was made using an unpaired, one-tailed  
227 Student's t test. One-way ANOVA analysis of variance and Tukey's test were performed for  
228 visceral organ and adipose tissue weight comparisons. P values of <0.05 were regarded as  
229 statistically significant (\* p <0.05, \*\*p <0.01, \*\*\*p <0.001). The average optical density values  
230 (AOD): "IOD sum" and "Area sum" were calculated using Image-Pro Plus 6.0 software (Media  
231 Cybernetics, Inc, USA).

232

### 233 **Results**

234

#### 235 **Generation and identification of single-transgenic, dual-transgenic and triple-transgenic** 236 **mice**

237 Because diabetes is a disease involving many metabolic pathways, prominent among which  
238 are damaged central insulin secretion and accompanying peripheral insulin  
239 resistance(Association 2009), we constructed diabetes-related gene engineering mouse models  
240 containing either one transgene (CHOP), two transgenes (hIAPP and CHOP) or three transgenes  
241 (11β-HSD1,CHOP and hIAPP). To do this, the vectors pGL3-PIP-CHOP, pGL3-PIP-hIAPP-  
242 F2A-CHOP and pcDNA3.1-PapoE-11βHSD-PIP-CHOP-F2A-hIAPP were constructed (Fig. 1A).  
243 Gene expression from the first and second vector sequences is controlled by the pancreas-  
244 specific insulin promoter. It was supposed that expression of the transgene specifically promotes  
245 pancreatic islet β cell apoptosis, leading to damaged insulin secretion. The third vector contains a  
246 liver-specific apoE promoter and a pancreas-specific insulin promoter; together, these promoters  
247 promote hepatic insulin resistance and damage pancreas insulin secretion simultaneously.  
248 Agarose gel electrophoresis of the genomic PCR products showed positive identification results  
249 (Fig. 1B, C and D). The primers and methods have been described previously(Kong et al. 2016).

250 Single-transgenic CHOP mice were observed until 5 months of age without any induction.  
251 At that time, intraperitoneal glucose tolerance tests (IPGTT) were administered (Fig. 2A, Single-

252 Tg). Administration of the IPGTT rapidly increases plasma glucose levels, which should  
253 stimulate the secretion of insulin, which in turn reduces plasma glucose levels. If the animal's  
254 plasma glucose was still very high after the test or returned only slowly to normal levels, the  
255 animal was considered insulin resistant. The plasma glucose change showed mild GTT damage  
256 in the Single-Tg group, but these animals recovered normal levels of plasma glucose ( $7.17 \pm 0.35$   
257 mmol/l) at 120 minutes. Compared with 5-month-old triple-transgenic mice (Fig. 2A, Triple-Tg),  
258 whose IPGTT 120-minute glucose level was  $9.72 \pm 0.77$  mmol/l, this showed that the effect of a  
259 single transgene was not as great as the effect of the triple transgene ( $p < 0.05$  vs Single-Tg). The  
260 corresponding area under the curve was calculated; the AUC of Triple-Tg was larger than that of  
261 Single-Tg and significantly larger than that of Nc ( $p < 0.05$  vs Nc group) (Fig. 2B, Triple-Tg).  
262 Furthermore, after HFHSD induction for approximately 190 days, the 120-minute IPGTT  
263 glucose level of triple-transgenic mice ( $16.3 \pm 0.76$  mmol/l) was higher than that of dual-  
264 transgenic mice of the same age, ~11 months, which was  $12.47 \pm 1.61$  mmol/l, lower than 15  
265 mmol/l (Fig. 2C, Triple-Tg HFHSD  $p < 0.01$  vs Nc HFHSD). The corresponding areas under the  
266 curve were also calculated. The AUC of Triple-Tg HFHSD was larger than those of Double-Tg  
267 and Nc HFHSD ( $p < 0.05$  vs Nc HFHSD) (Fig. 2D, Triple-Tg).

268 In humans, the current diagnostic criteria for fasting plasma glucose (FPG) are 7.0 mmol/l  
269 for FPG and 11.1 mmol/l for the 120-min PG value (Statements 2012). We compared the FPG of  
270 three kinds of transgenic mice at the time of sacrifice (Fig. 2E). The glucose levels of triple-  
271 transgenic and dual-transgenic mice were indeed increased above 7.0 mmol/l by ~2 mmol/l.  
272 Consequently, in further experiments, we focused on the triple-transgenic animals.

273

#### 274 **Analysis of early-stage diabetes-related phenotypes in triple-transgenic mice fed a high-fat,** 275 **high-sucrose diet**

276 *Disease symptoms related to early-stage T2DM.* The triple-transgenic mice were fed control  
277 diets ad libitum until 13 weeks of age. At 13 weeks of age, some of the animals were placed on  
278 HFHS diets to enhance the food and drink effect. The food and drink effect led to obesity, which  
279 is one of the most important environmental factors associated with type 2 diabetes (Association  
280 2009; Statements 2012). Obese individuals with genetic susceptibility to type 2 diabetes very  
281 often develop this disease (Statements 2012). It has been reported that obesity causes some  
282 degree of insulin resistance, and a large number of patients with type 2 diabetes are  
283 obese (Statements 2012). Weight tracking showed that the animals in the Tg HFHSD group  
284 gained more weight than the control animals from the beginning (starting point: 0 days induction)  
285 to the end of the induction period (terminal point: 190 days) (Fig. 3A,  $p < 0.01$  vs Nc HFHSD). A  
286 significant difference ( $p < 0.01$ ) in body weight was found at 120 days and at 190 days (the time  
287 of sacrifice); the weight of the mice in the Tg HFHSD group was larger than that of the mice in

288 the Nc HFHSD group. At the time of sacrifice, the average weight of the mice in the Tg HFHSD  
289 group was nearly 55 g more than that of the mice that were fed a normal control diet. Whether  
290 induced or not, the weight of the Tg mice (Tg HFHSD group and Tg ControlD group) was larger  
291 than that of the Nc mice ( $p < 0.01$  or  $p < 0.05$ ). (Fig. 3A).

292 IPGTT was performed to assess insulin resistance (Fig. 3B and C) in the Triple-Tg mice.  
293 The area under the IPGTT curve (AUC) for animals that received each of the four treatments was  
294 measured at 0, 60 and 190 days (Fig. 3C). At the beginning (0 days), the plasma glucose curve  
295 obtained from IPGTT showed no abnormalities in glucose levels in the Tg group. Plasma  
296 glucose recovered to normal levels (5-6 mmol/l) at 120 minutes (Fig. 3C,  $AUC_{Tg\ HFHSD(0)}$  vs  
297  $AUC_{Tg\ ControlD(0)}$ ,  $p > 0.05$ ). After 60 days of HFHSD induction, the animals that received HFHSD  
298 showed damaged glucose tolerance, especially those in the Tg HFHSD group (Fig. 3C  $AUC_{Tg\ HFHSD(60)}$   
299 vs  $AUC_{Tg\ HFHSD(0)}$ ,  $p < 0.001$ ); the peak glucose appeared retarded at 45 minutes (Fig.  
300 3B). These results indicate that the Tg mice that were subjected to HFHSD challenge showed  
301 impaired glucose tolerance (Fig. 3C  $AUC_{Tg\ HFHSD(60)}$  vs  $AUC_{Tg\ ControlD(60)}$ ,  $p < 0.001$ ). At 190 days  
302 of induction, the Tg HFHSD group showed more serious damage (Fig. 3B); the IPGTT 120-  
303 minute glucose level was  $16.3 \pm 0.76$  mmol/l. For Tg animals that were induced ( $p < 0.001$ , vs  
304  $AUC_{Tg\ HFHSD(0)}$ ) and not induced ( $p < 0.05$ ,  $AUC_{Tg\ ControlD(0)}$ ), the AUC was found to increase as a  
305 function of the induction time. At 190 days of induction (~11-month-old animals), the AUC  
306 significantly increased ( $p < 0.001$ , vs  $AUC_{Tg\ HFHSD(0)}$ ). At this time, the AUC of the Tg HFHSD  
307 group was significantly higher than that of the Nc HFHSD group ( $p < 0.05$ ).

308

309 *Morphological and anatomical analysis.* Patients who are susceptible to type 2 diabetes may  
310 have an increased percentage of body fat, predominantly visceral fat (Statements 2012). Triple-  
311 transgenic mice were dissected at 190 days of induction. The animals were photographed prior to  
312 sacrifice to demonstrate their morphology (Fig. 4A). The morphology of the animals was  
313 consistent with their body weights (Fig. 4B). The mice in the Tg HFHSD group were  
314 significantly larger than those in the Nc HFHSD group ( $P < 0.01$ ) and very significantly larger  
315 than those in the Nc ControlD group ( $P < 0.001$ ). The visceral organs and adipose tissues of the  
316 four groups of animals were dissected (Fig. 4C). Excessive fat deposits, especially of abdominal  
317 subcutaneous fat ( $p < 0.01$ ), abdominal visceral fat, perirenal fat ( $p < 0.01$ ), mesenteric adipose  
318 tissue ( $p < 0.01$ ) and pericardial adipose tissue ( $p < 0.05$ ), were found in the Tg HFHS group.  
319 Typical photographs of these animals are shown in Fig. 4C. The kidneys and hearts of the  
320 animals in the Tg HFHS group also weighed significantly more ( $p < 0.01$ ) than those of the  
321 animals in the other groups, similar to findings reported in previous research using a miniature  
322 pig model of early-stage diabetes (Li et al. 2015; Xia et al. 2015).

323

324 *Serological analysis.* The serum-related parameters associated with diabetes phenotype,  
325 insulin resistance and insulin secretion of triple-transgenic mice were measured (Table 1). Under  
326 the challenge of HFHSD treatment, the serum glucose (GLU) of the Tg group was slightly higher  
327 than that of the Nc group. The C peptide (C-P) level was decreased compared with that of the Nc  
328 HFHSD group, indicating dysfunctional insulin secretion in the Tg animals(Hope et al. 2016).  
329 Although HFHSD can increase insulin secretion (the C-P level of the Nc HFHSD group  
330 increased), the Tg effect damages it (the Tg HFHSD and Tg ControlD groups' C-P values were  
331 lower). However, Tg HFHS insulin (INS) was increased, indicating the presence of  
332 hyperinsulinemia associated with insulin resistance and its cumulative effect. The corticosterone  
333 (COR) was slightly higher in the Tg HFHS group than in the Nc group due to the combined  
334 effects of the transgenes and obesity (COR in the Tg ControlD group was also higher, mostly  
335 due to the effects of the 11 $\beta$ -HSD1 transgene). High-density lipoprotein cholesterol (HDL-C)  
336 was low in the Tg ControlD group ( $p < 0.05$ ), and there were reduced trends of "good cholesterol"  
337 in both Tg groups resulting from the Tg effect. The triglyceride (TG) level in the Tg animals was  
338 slightly increased, indicating increased serum lipid content. This was probably due to the  
339 increased liver lipid deposition (TG) mass, which was transported through the plasma circulation  
340 in Tg individuals (11 $\beta$ -HSD1 can enhance hepatic lipid deposition(Kong et al. 2016; Masuzaki  
341 & Flier 2004; Paterson et al. 2004)). In addition, under non-inducing treatment (Control Diet),  
342 Tg animals exhibited high serum glucose accompanied by low insulin and C peptide levels,  
343 indicating a consistent Tg insulin secretory defect trend. The related parameters indicated that the  
344 mice in the Tg HFHS group were in an early pre-diabetic stage accompanied by insulin  
345 resistance (Table 1, Fig. 3B and C). The mice in the Tg ControlD group were also in the pre-  
346 diabetic stage, but their condition was less pronounced than that of the Tg HFHS group. The  
347 mice in the Tg ControlD group mouse primarily displayed weak insulin secretion (Table 1, Fig.  
348 3B and C).

349  
350 *Hepatic pathology.* Representative livers of the triple-transgenic mice and control mice that  
351 received the different diets were photographed (Fig. 5A, upper panel). Hematoxylin-eosin (HE)  
352 staining showing the hepatic adipose deposition in the four treatment groups is presented in the  
353 lower panel of Fig. 5A. In total, the areas of 11,000 fat bubbles in non-contiguous sections from  
354 36 mice from the four groups were statistically analyzed (Fig. 5B and C). The results indicated  
355 that hepatic adipose deposition was more severe in the Tg and HFHS groups(Ruan et al. 2016).  
356 Specifically, in the two groups of mice that were not fed the HFHS diet (Control diet), the  
357 percentage of lipid deposition vacuoles with areas "<1500" was nearly 45% in the Tg animals  
358 and only 10% in the Nc animals. There were large numbers of small hepatic adipose vacuoles in  
359 Tg animals (Fig. 5B). In the two groups of mice that were fed the HFHS diet, the percentages of

360 lipid deposition vacuoles with areas “<1500”, “1500-5000” and “5000-10000” were all larger in  
361 Tg animals than in Nc animals. Based on this observation, we supposed that for a period of time  
362 after HFHS induction, the areas of hepatic adipose vacuoles become enlarged; from this, we  
363 deduced that Tg may increase the number of smaller adipose “points” (Fig. 5B), whereas HFHS  
364 induction can increase the areas of small vacuoles (Fig. 5C).

365

366 *Pancreatic pathology.* For pathological evaluation of pancreatic tissue, 74 HE-stained islets  
367 (magnification: 200×) sections and 55 immunohistochemical sections were prepared from the tail  
368 portion of pancreases obtained from a total of 29 mice. Hematoxylin-eosin (HE) staining of  
369 tissue from the four groups of animals shows the size of the pancreatic islets (Fig. 6A). In the Tg  
370 HFHS group, the areas of the islets are very large compared with those in the Nc HFHS group  
371 (Fig. 6A and Fig. 6B). In animals that did not receive the HFHSD, the islet areas appear smaller  
372 in the Tg group than in the Nc group (Fig. 6A). The corresponding average pancreatic areas (sum  
373 of the number of pixels of each group) were calculated (Fig. 5B). The average area was  
374 significantly smaller in the Tg ControlD group than that in the Nc Control group (\*  $p < 0.05$ ),  
375 mostly because the concerted action of hIAPP and CHOP induced stress and apoptosis and led to  
376 islet damage or hypotrophy. In addition, HFHSD strongly promoted the hyperplasia of pancreatic  
377 tissue. Correspondingly, the compensatory effect was enlarged under the concerted action of  
378 hIAPP and CHOP, resulting in an increase in the areas of islets in the Tg HFHSD group.

379 The results obtained by immunohistochemistry of pancreatic islets mainly reflected the islet  
380 insulin secretion status (Fig. 6C), islet IAPP deposition density (Fig. 6D) and islet apoptosis (Fig.  
381 6E). Compared with the Nc ControlD group, the average insulin secretion status of the other  
382 three groups was reduced (Fig. 6C, \*  $p < 0.05$  or \*\*  $p < 0.01$ ). Tg ControlD animals showed  
383 reduced insulin secretion and damaged islets ((Fig. 6C, Tg ControlD group < Nc ControlD, \*\*  
384  $p < 0.01$ ), and HFHSD resulted in enlargement of the islet area (as a result, Tg HFHSD insulin  
385 intensity was low) (Fig. 6B)(Hu 2014; Ruan et al. 2016). The AOD of pancreatic IAPP  
386 expression shows the IAPP average accumulation density of the animals in the four groups (the  
387 corresponding left panel shows the IAPP immunohistochemistry) (Fig. 6A). The IAPP AOD  
388 values were negatively related to the area (Fig. 6D). Interestingly, the average expression of  
389 caspase 3 was higher in the Tg animals under both diets (Fig. 6E). The concerted action of  
390 hIAPP and CHOP may lead to apoptosis and increased expression of the apoptosis marker  
391 caspase 3 in islets.

392

## 393 Discussion

394

395 **The insulin resistance- and insulin secretion-related three-gene model provides a valid**

**396 basis for a diabetes model that mimics the pathology of diabetes**

397 Several hIAPP-overexpressing single-transgenic rodents of different strains(Butler et al.  
398 2004; Butler et al. 2003; Matveyenko & Butler 2006) and two types of 11 $\beta$ HSD-1 single-  
399 transgenic mice (fat-specific overexpression and liver-specific overexpression) have been  
400 reported(Masuzaki et al. 2001; Paterson et al. 2004). However, the pathogenesis of type 2  
401 diabetes is complex. Most of the previously reported transgenic mice can be used to evaluate the  
402 effects of only one factor. It is known that peripheral insulin resistance and impaired insulin  
403 secretion are two of the major pathological changes associated with T2DM. Altering insulin  
404 resistance and insulin secretion-related gene expression will make the diabetes model more  
405 closely mimic the pathology of diabetes(Association 2009). The specific mechanisms of the  
406 three genes addressed in our work and the associated model have been discussed in previous  
407 reports(Kong et al. 2016; Kong et al. 2015). As is known, the representative morphological  
408 change in pancreatic islets of Langerhans in T2DM is intracellular and extracellular amyloid  
409 deposition(Costes et al. 2013; Hull et al. 2013; O'Brien et al. 1993). These deposits consist of  
410 human islet amyloid proteins derived from islet amyloid polypeptide (hIAPP)(Hull et al. 2013;  
411 O'Brien et al. 1993). Amylin precipitation overload in islet  $\beta$ -cells can lead to ERS and to the  
412 unfolded protein response(Kayed et al. 2004; Meier et al. 2007). However, although islet  
413 amyloid associated with diabetes has been found in humans, monkeys, and cats, it has not been  
414 found in rodents(Johnson et al. 1992; Knight et al. 2006). Therefore, we attempted to introduce  
415 humanized hIAPP into the rodent transgenic model. When the protein is overexpressed,  $\beta$ -cells  
416 become exhausted in response to the deposition of the unfolded protein, leading to  
417 apoptosis(Höppener et al. 2000; Höppener & Lips 2006). Accumulating evidence suggests that  
418 islet amyloid deposits may play a significant role in the progressive reduction in the number of  
419 insulin-producing cells and in the deterioration of islet function that occurs in  
420 diabetes(Westermarck et al. 1987). In addition, CHOP, which is a transcription factor associated  
421 with endoplasmic reticulum stress, is also a direct upstream factor with effects on  
422 apoptosis(Oyadomari & Mori 2004) because the CHOP apoptosis pathway in islet  $\beta$ -cells is  
423 induced by endoplasmic reticulum stress(Oyadomari et al. 2002a). CHOP gene knockout  
424 diabetic mice showed delayed ER stress and apoptosis(Oyadomari et al. 2002b). Therefore, we  
425 hypothesized that co-expression of hIAPP and CHOP in the pancreas would increase the  
426 apoptosis of  $\beta$ -cells(Höppener et al. 2000; Johnson et al. 1992; Matveyenko & Butler 2006;  
427 Oyadomari et al. 2002a; Oyadomari et al. 2002b; Oyadomari & Mori 2004). Moreover, 11 $\beta$ -  
428 HSD1 plays an important role in insulin resistance(Masuzaki & Flier 2004; Peng et al. 2016;  
429 Pereira et al. 2012). Thus, because it brings together insulin resistance and insufficient secretion  
430 of insulin(Association 2009), the multi-transgenic mouse model prepared using the tissue-  
431 specific polycistronic system described in this work represents an ideal animal model for pre-

432 diabetes mellitus. Because multiple genes are involved in the insulin resistance and the insulin  
433 secretion pathways, this model offers distinct advantages compared to the single transgenic  
434 diabetic mouse model(Lee & Cox 2011).

435

#### 436 **The 2A polycistronic system is an efficient method for multi-transgenic biotechnology**

437 Interestingly, the multi-transgene can be realized by connecting functional genes by a *foot*  
438 *and mouth disease virus (FMDV) 2A self-cleaving polypeptide element*-mediated polycistronic  
439 system(Deng et al. 2012; Park et al. 2014; Tian et al. 2013; Webster et al. 2005). A  
440 “polycistronic system” refers to a single vector carrying multiple genes connected by 2A, which  
441 can randomly integrate multiple functional genes for effective one-step incorporation into the  
442 animal genome(Deng et al. 2012; Webster et al. 2005). The 2A polypeptide contains 24 amino  
443 acids and possesses a self-shearing property. Two genes were linked by it. After expression, self-  
444 splicing occurred at the last two amino acids of the 2A polypeptide, and the two polypeptide  
445 chains were separated(Deng et al. 2012). In addition, the *Furin* (RAKA) site is cleaved *in vivo* by  
446 the *Furin* enzyme. Thus, in the 2A system, the *Furin* (RAKA) cleavage site can be joined to the  
447 end of the 2A polypeptide so that the 2A tail of the first gene can be removed by *Furin* enzyme,  
448 thereby reducing interference(Park et al. 2014). In this work, we prepared dual-transgenic mice  
449 by constructing a pancreatic insulin promoter-mediated hIAPP gene and a CHOP gene  
450 overexpression vector in which the two genes were linked via *Furin-2A* (F-2A). The vector used  
451 to produce triple-transgenic mice was generated by overexpressing 11 $\beta$ HSD-1 in liver and  
452 overexpressing hIAPP and CHOP in pancreas, thereby making possible a one-step transection  
453 operation(Kong et al. 2016; Kong et al. 2015; Xia et al. 2014) in which the hIAPP and CHOP  
454 genes were also linked by F-2A. Thus, both of these recombinant vectors can be used to  
455 transform organisms with two or three genes(Deng et al. 2012). This approach avoided the  
456 problems associated with low efficiency of multi-plasmid co-transformation, cumbersome  
457 selection using a variety of antibiotics(Deng et al. 2012; Kong et al. 2016; Park et al. 2014; Tian  
458 et al. 2013; Webster et al. 2005) and random expression of transgenic proteins.

459

#### 460 **A combination of multi-transgene expression and HFHSD induction leads to early-stage** 461 **T2DM with obvious insulin resistance, fatty liver and damaged pancreatic islets**

462 Basing on the comparisons made in this work, the triple-transgenic (Triple-Tg) mice  
463 maintained on an HFHS diet were a better model of T2DM than the other transgenic animals that  
464 were tested in this study. In young triple-transgenic mice (13 weeks of age), no abnormalities in  
465 plasma glucose levels were found. Diet induction was therefore used to mimic the disease  
466 induction process of human T2DM. The triple-Tg mice were fed a HFHSD(Nath et al. 2016;  
467 Winzell & Ahrén 2005). The results of this study showed that triple-Tg mice fed a high-fat and

468 high-sucrose diet showed early symptoms of diabetes including obesity, impaired glucose  
469 tolerance, insulin resistance, abnormal insulin secretion and slightly elevated plasma glucose  
470 levels(Masuzaki et al. 2001; Paterson et al. 2004; Winzell & Ahrén 2005). After several months  
471 of induction, the triple-transgenic mice fed a HFHSD had developed early-stage diabetes. The  
472 results showed that the IPGTT curve of transgenic mice induced by HFHSD was higher than that  
473 of control mice. That is to say, glucose tolerance decreased and insulin resistance occurred  
474 earlier in transgenic mice induced by HFHSD than in the other three groups of animals.

475 In this work, the average blood glucose level of triple-transgenic mice was increased by  
476 approximately 2 mmol/l. Why did these animals show only a modest increase in plasma glucose  
477 level? It may be that in a multigenic disease<sup>3</sup> such as diabetes, the effects of two or three  
478 transgenes on many of the complex metabolic pathways involved the disease are mild. The  
479 observed changes in plasma glucose levels alone are not sufficient to indicate the development of  
480 diabetes(Herbach et al. 2005). Especially at 190 days, the IPGTT AUC of the Tg HFHS group  
481 showed a significant change, indicating that insulin resistance was severe in this group. For the  
482 control wild-type animals, the trends were increased slightly, but the plasma glucose levels of  
483 these animals were still below 15 mmol/l and were less than those of the Tg HFHSD group  
484 (more than 15 mmol/l).With respect to the serological parameters of the two groups (HFHS,  
485 Control), the glucose level was slightly higher and the C peptide level was lower in triple-  
486 transgenic mice than in the corresponding Nc animals. A series of parameters showed a  
487 disordered tendency. These signaling pathways are closely related to insulin resistance and  $\beta$ -cell  
488 dysfunction(Xia et al. 2015; Yang et al. 2015). With respect to liver fat deposition, the results for  
489 the Tg groups were consistent with previous results obtained in mice with liver overexpression of  
490 11 $\beta$ HSD-1(Paterson et al. 2004), although the phenotypes of both Tg groups were somewhat  
491 more severe. To demonstrate liver tissue pathology, we show typical individual livers with  
492 progressive disease damage. Preliminary hepatic phenotype analysis showed that transgene  
493 expression can accelerate the disease onset process. The Tg effect appeared to increase the  
494 number of hepatic adipose vacuoles (Fig. 5B). Moreover, the HFHS diet resulted in an increase  
495 in the volume of adipose bubbles (Fig. 5C). Based on the observed phenotypes and the results of  
496 HE staining, all of the C57BL/6J mice in the study, regardless of treatment, suffered to a certain  
497 degree from hepatic adipose deposition when sacrificed at ~42 weeks of age. Wild-type  
498 C57BL/6 mice tend to develop metabolic syndrome in old age (at 25-78 weeks) (C57BL/6J  
499 information from the Jackson laboratory), leading to the production of a measure of fat  
500 deposition in the livers of the animals in the negative control group (indicating that these mice  
501 are susceptible to type 2 diabetes). In the pancreas, AOD can be used to quantify the average  
502 expression density indicated by immunohistochemistry and thereby to determine the gene  
503 expression status of the tissue per unit area (pixel)(Li et al. 2015; Ruan et al. 2016). In the Tg



504 ControlD group, insulin expression was reduced because the islets may have been destroyed due  
505 to the long-term effects of Tg. Although hIAPP may be overexpressed at the early stage, later the  
506 AOD of IAPP was very small, likely mostly due to the destruction and enlarged area of islets.  
507 The reasons for the reduction in the AOD of insulin and IAPP in the two treatment groups on  
508 HFHS diets are likely to be different. The Nc HFHS group was reduced due to islet hyperplasia  
509 and increased size. In the Tg HFHSD group, the mechanism may be more complex, merging the  
510 role of Tg and HFHS. HFHS can not only enlarge the area of islets, creating hyperplasia, it can  
511 also increase insulin secretion, leading to hyperinsulinemia at earlier times as well as to the  
512 destruction of islets, slowly reducing insulin or IAPP secretion at later periods when islet  
513 overload occurs(Ruan et al. 2016; Winzell & Ahrén 2005; Xia et al. 2015; Yang et al. 2015).  
514 However, Tg can also lead to accumulation of IAPP (HFHS can aggravate human IAPP  
515 deposition); when the cells are overloaded with unfolded protein, the islets become stressed,  
516 leading to damaged islets with reduced insulin and IAPP secretion(Kayed et al. 2004). Figures  
517 6C and 6D illustrate the general average results obtaining under different Tg and HFHS  
518 conditions that had synergistic or antagonistic effects during the induction period. It is possible  
519 that the patterns exhibited by individual mice mimic the complex variations observed in human  
520 individuals with diabetes. Many phenotypic and symptomatic differences and some similarities  
521 in pathogenesis are also observed in diabetic patients(Association 2009). In triple-Tg mice,  
522 caspase 3 expression was higher, so the apoptotic effect was relatively obvious. After induction  
523 by HFHSD, although the islet areas were enlarged, these effects were significantly enhanced,  
524 leading to severe islet damage and insulin secretion deficiency.

525

### 526 **The advantages of multi-transgenic modeling of polygenic susceptibility diabetes**

527 Hiroaki Masuzaki *et al.* prepared transgenic mice with adipose tissue-selective  
528 overexpression of 11 $\beta$ -HSD1(Masuzaki et al. 2001). These mice were fed a high-fat diet,  
529 inducing visceral obesity and increased body weight accompanied by hyperlipidemia(Masuzaki  
530 et al. 2001). Janice Paterson *et al.* prepared transgenic mice with liver tissue-selective  
531 overexpression of 11 $\beta$ -HSD1(Paterson et al. 2004). These animals showed fatty livers,  
532 dyslipidemia and normal body weights in the absence of diet induction(Paterson et al. 2004).  
533 These two reported types of transgenic mice were defined as models of metabolic syndrome  
534 (susceptible to diabetes) with insulin resistance(Masuzaki et al. 2001). The triple-transgenic mice  
535 in our study had fatty liver and dyslipidemia, consistent with the mice in Paterson's  
536 study(Paterson et al. 2004). Moreover, with HFHSD induction, the Tg animals' body weights  
537 and serum triglyceride levels increased significantly, similar to the phenotypes of Masuzaki's  
538 mice(Masuzaki et al. 2001). The triple-transgenic mice combine the advantages of the previous  
539 two 11 $\beta$ -HSD1 single-transgenic mice with insulin resistance(Masuzaki et al. 2001). The serum

540 glucose levels of the Tg animals were slightly increased (>7 mmol/l) in both diet-induced and  
541 non-induced conditions. Janice Paterson's single-transgenic mice with hepatic overexpression of  
542 11 $\beta$ -HSD1 showed no significant differences from normal animals in body weight, fat mass, or  
543 organ weight. In our study, triple-transgenic mice showed significant increases in body weight  
544 (Fig. 3A, Tg vs Nc HFHSD and Tg vs Nc ControlD group), significant changes in insulin  
545 resistance (Fig. 3B and C) and increased anatomic fat mass and organ weight (Fig. 4C, Tg vs Nc  
546 HFHSD and Tg vs Nc Control Diet), indicating that they represent a good model for "high-fat  
547 diet-based, obesity, metabolic syndrome" type 2 diabetes(Nath et al. 2016; Winzell & Ahrén  
548 2005).

549 A large number of rodent hIAPP single transgenic models have been reported, but the diets,  
550 induction times and disease onset times reported for these animals vary greatly(Lee & Cox 2011;  
551 Matveyenko & Butler 2006). Most studies have aimed to stress islet cells and thereby cause  
552 apoptosis. Rebecca Hull *et al.* generated an hIAPP Tg rat that develops disordered amylin  
553 formation and reduced  $\beta$ -cell counts when fed a high-fat diet; this rat could be regarded as an  
554 insulin-deficient rodent model(Hull et al. 2003). Compared with it, our serological data show that  
555 plasma glucose levels in Tg HFHSD mice were slightly higher than those in the ControlD group.  
556 C peptide decreased, indicating impaired insulin secretion. In the Tg ControlD group, plasma  
557 glucose was also slightly higher, insulin was low, and C peptide had a tendency to decrease,  
558 indicating that the specific genetic modifications influenced insulin secretion. In triple-Tg mice  
559 fed a high-glucose and high-fat diet, HE staining showed that the area of pancreatic islets  
560 increased, as has been observed in hIAPP Tg rats. Both the transgenic group and the wild-type  
561 control group showed an increase in islet area after HFHSD induction; however, at later time  
562 points, the transgenic group's insulin secretion was defective, and hIAPP amyloid was weakly  
563 deposited. Notably, the expression of the apoptosis marker caspase 3 was still higher,  
564 accompanying the islets' apoptotic damage, enlarged islet areas of  $\beta$ -cells and decreased density  
565 of deposition of hIAPP.

566 The research presented here describes a method for preparing, by genetic modification, an  
567 animal model of diabetes that promotes insulin resistance accompanied by islet  $\beta$  cell  
568 apoptosis(Kong et al. 2016; Kong et al. 2015). The advantage of this research is that it uses  
569 multiple specific genes to produce a model of diabetes (reverse genetics methodology). In future  
570 work, it would be very interesting to conduct transcriptomic and proteomic analyses of the liver  
571 and pancreatic tissue of transgenic mice to determine how transgene expression affects the  
572 compensatory proliferation pathway and stress-induced apoptotic pathways(Li et al. 2015; Xia et  
573 al. 2015; Yang et al. 2015).

574

575 **The road of multi-transgenesis may lead to the *Rome* of polygene-related disease animal**

**576 modeling**

577 We progressively created model transgenic mice that combine 11 $\beta$ -HSD1 gene expression  
578 for insulin resistance with hIAPP and CHOP expression to promote insulin-deficient defects in  
579 conjunction with mechanisms that are known to be involved in diabetes(Kahn 2003; Kong et al.  
580 2016; Winzell & Ahrén 2005). Compared with the 11 $\beta$ -HSD1 and hIAPP single-transgenic  
581 mice reported in previous studies, in which the transgenes act in a single pathway(Butler et al.  
582 2004; Hull et al. 2013; Johnson et al. 1992; Masuzaki & Flier 2004; Masuzaki et al. 2001;  
583 Matveyenko & Butler 2006; Meier et al. 2007; Paterson et al. 2004) and with the weak  
584 symptoms of our CHOP single-Tg mice, the triple-transgenic mice appear to have integrated the  
585 characteristics of single-transgenic, dual-transgenic and triple-transgenic animals. Our  
586 preliminary studies suggested that the model of multiple gene transfer succeeded, as shown by  
587 the fact that the animals presented early-stage diabetes symptoms. The triple-transgenic mice  
588 represent a model of early-stage diabetes mellitus that mimics the progress of diabetes mellitus in  
589 two ways and can be used in research on diabetes mechanisms and the development of drugs. To  
590 obtain a more ideal multi-gene genetic disease animal model for this research, we have attempted  
591 to develop a road map by co-expression of multiple functional genes in a specific tissue as a  
592 more comprehensive model of disease pathogenesis. Multi-transgenesis embraces various related  
593 factors<sup>21,22</sup> and co-expresses functional transgenes in animal models that can then be used in  
594 modeling of diseases to which susceptibility is polygene-related. However, determining the  
595 effects of specific individual genes is not a simple task. In this research, we obtained preliminary  
596 results for the preparation of multi-transgenic animal models; the results showed that the multi-  
597 transgene technology is a feasible method than can contribute to polygene disease modeling  
598 research. Although the three genes chosen in this study indeed worked to produce an animal  
599 model of diabetes, in fact, it is not possible to guarantee that each gene played its ideal role at the  
600 same time. Some additional in-depth approaches could be used to further expand the multi-  
601 transgenic technology; these would include fixed-point integration using a CRISPR/Cas9 system  
602 to avoid random incorporation(Ruan et al. 2015), individual evaluation of the effectiveness of  
603 each gene's function, and changing the combinations of transgenes to increase the number of  
604 functional and effective genes.

605

**606 Conclusions**

607 An early-stage diabetic mouse model represented by the triple-transgenic 11 $\beta$ -HSD1-CHOP-  
608 hIAPP mouse was successfully generated. This research colligated susceptible genes, plasma  
609 glucose levels, changes in weight, and physiological and biochemical histopathological features.  
610 A polygene-modified animal model offers an efficient way to ideally mimic human diseases for  
611 which there is heredity susceptibility.

612

**613 Data Availability**

614 Raw Data is attached as Supplemental Information.

615

**616 Author Contributions**

617 S.Y., Y.Z. and K.L. designed the experimental strategy. S.K., J.R., Y.C. and B.H.  
618 performed the experiments. S.Y. and J.R. analyzed the data on transgenic CHOP mice. S.Y.,  
619 S.K., J.R., Y.C. and B.H. analyzed the data on transgenic hIAPP-CHOP mice. S.K. and K.Z.  
620 analyzed the data on transgenic 11 $\beta$ -HSD1-hIAPP-CHOP mice. S.K. and S.Y. wrote the  
621 manuscript. All authors read and reviewed the final version of the manuscript.

622

**623 Competing Interests**

624 The authors declare there are no competing interests.

625

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631

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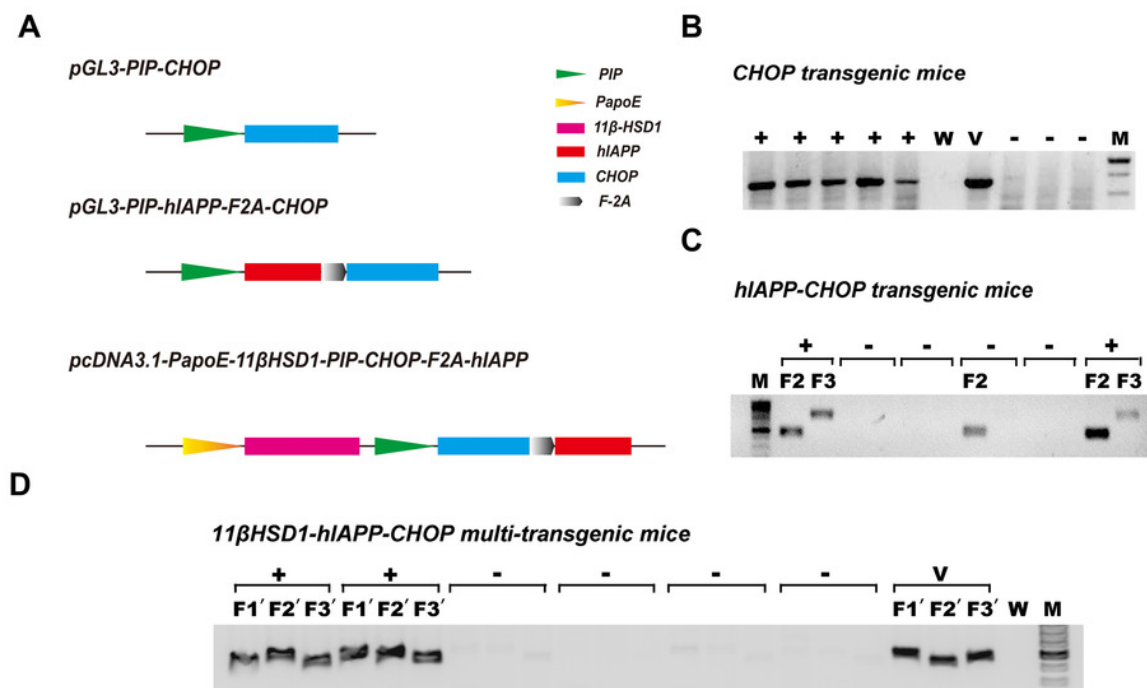
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# Figure 1

Figure 1. Schematic diagram of the vectors used in genetic engineering of mice and identification of positive transgenic animals.

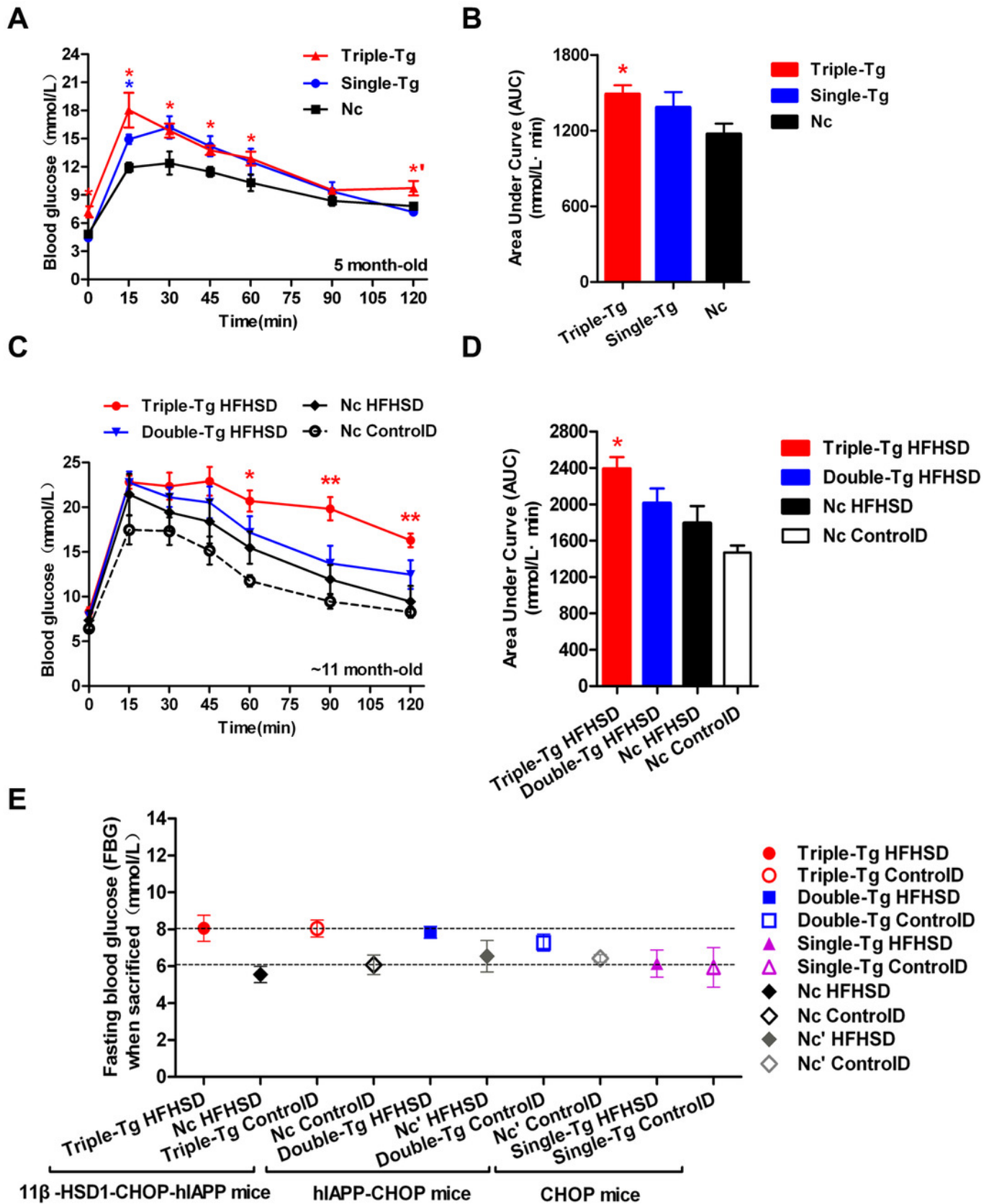
**(A)** Schematic structures of the vectors containing the three genes (CHOP, hiAPP-CHOP and 11 $\beta$ HSD1-hiAPP-CHOP) used to create transgenic mice. **(B)** Genomic PCR positive amplification of the CHOP transgene. +, positive; -, negative; W, ddH<sub>2</sub>O; V, positive plasmid vector; M, 100-bp DNA marker. **(C)** Genomic PCR positive amplification of animals carrying the CHOP (F2) and hiAPP (F3) transgenes. Mice were regarded as positive (+) when the two bands (F2 and F3) were present at the same time; otherwise, they were considered negative (-). **(D)** Genomic PCR positive amplification of 11 $\beta$ -HSD1 (F1'), CHOP(F2') and hiAPP(F3'). If the three bands F1', F2' and F3' of the object were present at the same time, the animal was considered positive. V, positive plasmid vector; W, ddH<sub>2</sub>O; M, 100-bp DNA marker.



## Figure 2

Figure 2. Comparison of plasma glucose levels in single-transgenic, dual-transgenic and triple-transgenic mice.

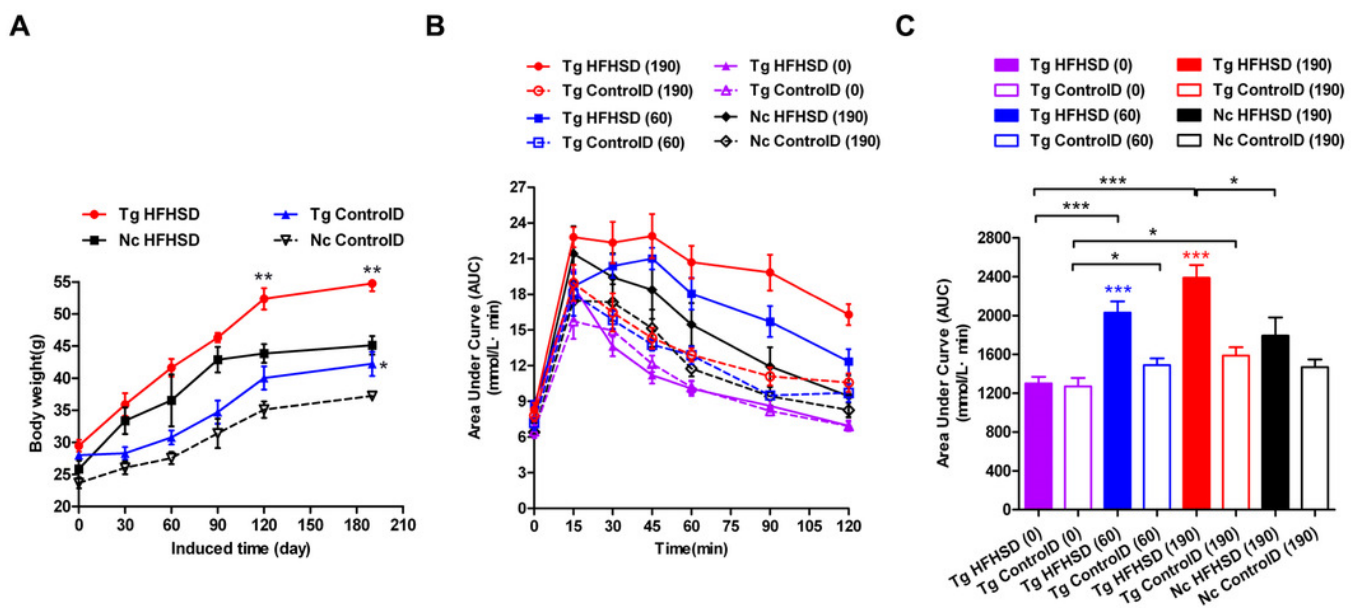
**(A)** IPGTT without HFHSD diet induction (5-month-old animals). Single-Tg (blue), CHOP-transgenic mice; Triple-Tg (red), 11 $\beta$ -HSD1-CHOP-hIAPP transgenic mice; Nc (dark), negative control. n=3-5. \* p<0.05 vs Nc group. \*' p<0.05 vs Single-Tg. **(B)** Area under the curve (AUC) of IPGTT of (A). **(C)** IPGTT with HFHS diet induction (~11-month-old animals). Triple-Tg HFHSD (red), 11 $\beta$ -HSD1-CHOP-hIAPP transgenic mice with HFHS diet induction; Double-Tg HFHSD (blue), hIAPP-CHOP transgenic mice with HFHS diet induction; Nc HFHSD (dark), negative control mice with HFHS diets induction; Nc ControlID (white), negative control mice with control diets. \* p<0.05 or \*\* p<0.01 vs Nc HFHSD Group; n=4-13. **(D)** Area under the curve (AUC) of IPGTT of (C). **(E)** Fasting plasma glucose levels of the three kinds of transgenic mice at the time of sacrifice. The gray symbols indicate Nc mice (HFHS diet-induced or not induced). The colored symbols indicate Tg mice (HFHS diet-induced or not induced). n=5-6. The data are shown as the mean $\pm$ SEM. P values were calculated using Student's t-test.



## Figure 3

Figure 3. Body weights and IPGTT data indicating insulin resistance of triple-transgenic mice.

**(A)** The increasing weight trends of triple-transgenic mice during the induction period (the induction period lasted for 190 days and was started when the mice were 13 weeks of age. Tg HFHSD (red), 11 $\beta$ -HSD1-CHOP-hIAPP triple-transgenic mice with HFHS diet induction; Tg ControlD (blue), triple-transgenic mice fed a control diet; Nc HFHSD (black), negative control mice with HFHS diet induction; Nc Control D (white), negative control mice fed a control diet. \*\*  $p < 0.01$  vs Nc HFHSD group; \*  $p < 0.05$  vs Nc ControlD group;  $n = 3-6$ . **(B)** IPGTT dynamic trends of triple-transgenic mice under HFHS diet induction. The checkpoints include induction for 0 days, 60 days and 190 days. The results for the Nc HFHSD group and the Nc ControlD group at 190 days of induction are included as negative references.  $n = 4-6$  **(C)** The area under the curve (AUC) of (B). The data are presented as the mean  $\pm$  SEM. Significance levels are indicated by \*  $p < 0.05$ , \*\*  $p < 0.01$  and \*\*\*  $p < 0.001$ . P values were calculated using Student's t-test.

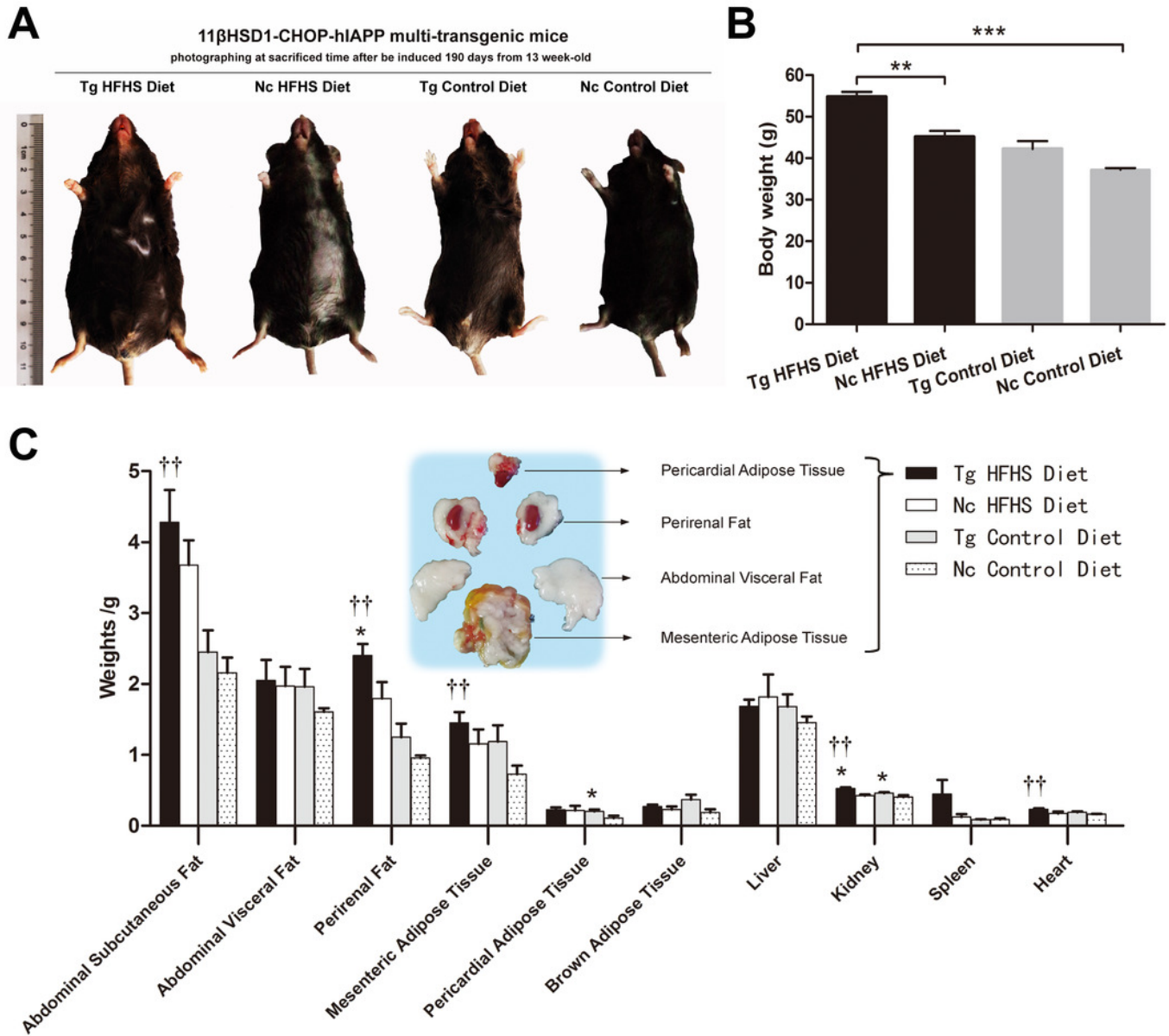


## Figure 4

Figure 4. Anatomical analysis of triple-transgenic mice.

**(A)** Photographs of triple-transgenic mice at the time of sacrifice. Representative mice from the four treatment groups are shown together with a scale (~11 cm). Tg: n=5; Nc: n=3-6.

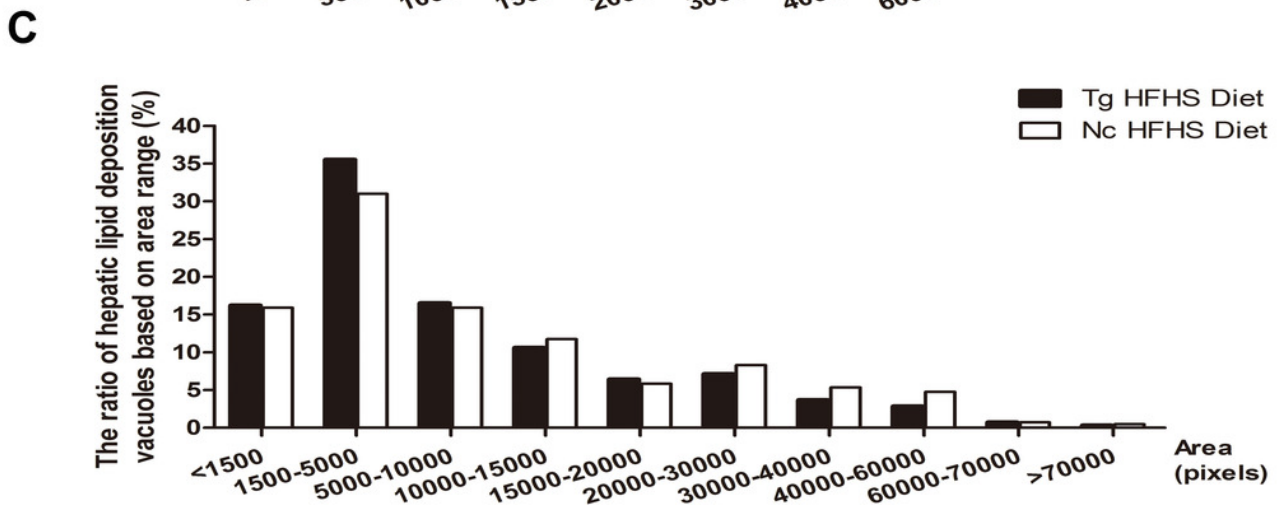
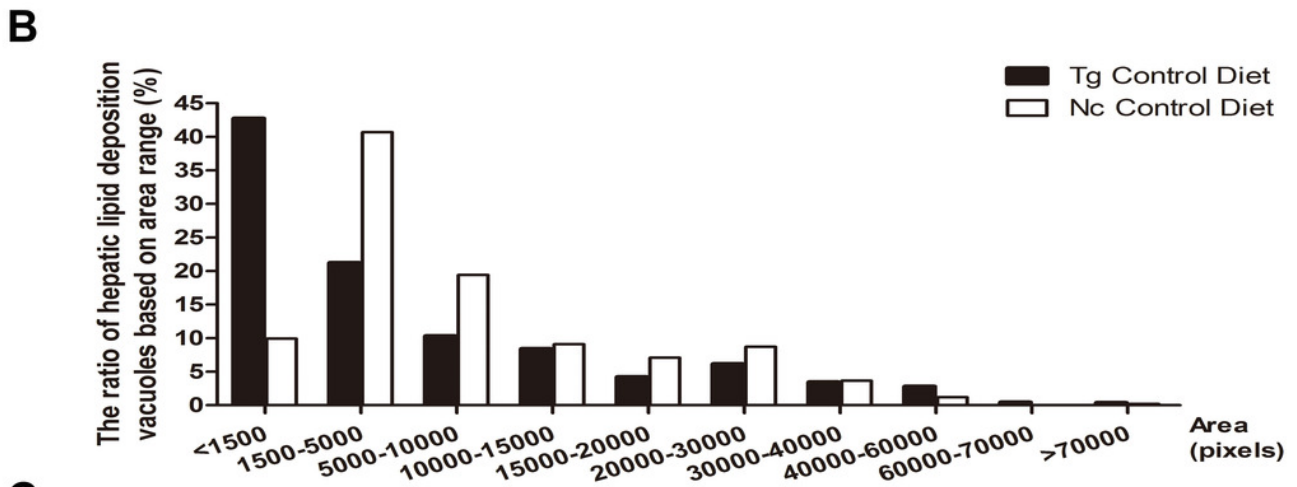
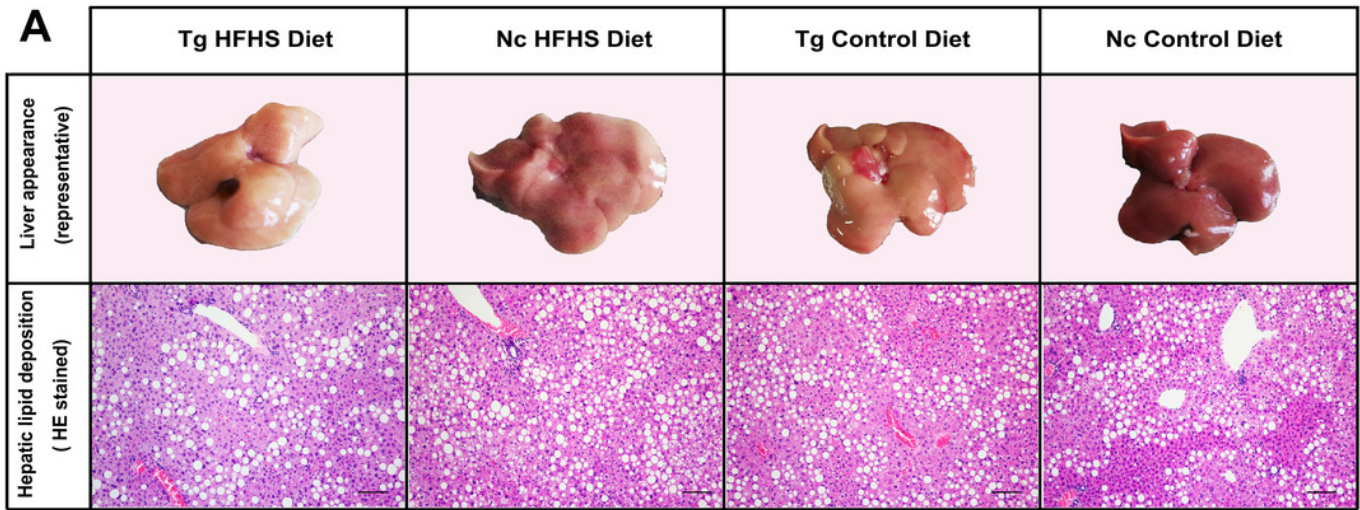
**(B)** Body weight comparison of triple-transgenic mice at the time of sacrifice. The significance levels are indicated by \*\*  $p < 0.01$  and \*\*\*  $p < 0.001$ . P values were calculated using the Turkey's multiple comparison test. **(C)** Visceral organ and adipose tissue weight comparison. The histogram lists adipose tissues ( abdominal subcutaneous fat , abdominal visceral fat, perirenal fat, mesenteric adipose tissue, pericardial adipose tissue and brown adipose tissue) and visceral organs (liver, kidney, spleen and heart) of the four treatments. Some of the adipose tissues obtained from the prominent Tg HFHSD group are shown in the inset. Tg: n=5; Nc: n=3-6. Significant differences between the transgenic group (Tg) and the control group (Nc) are indicated by \*  $p < 0.05$ , \*\* $p < 0.01$ . Significant differences between the Tg HFHSD group and the Nc Control Diet group are indicated by †  $p < 0.05$ , ††  $p < 0.01$ . P values were calculated using Student's t-test. The data are expressed as the means  $\pm$  SEM.



## Figure 5

Figure 5. Liver tissue (hepatology) pathology.

**(A)** Representative views of the surface of the liver in normal control and triple-transgenic mice and hematoxylin-eosin (HE) staining of the left liver lobe of the four treatment groups is shown. Tg: n=5; Nc: n=3-6. Magnification: 400×. Scale bar=100 μm. **(B)** The ratio of hepatic lipid deposition vacuoles based on area range in the two groups of animals that received the Control diet. n=3-5. **(C)** The ratio of hepatic lipid deposition vacuoles based on area range in the two groups of animals that received the HFHSD diet. n=5-6. The statistical analysis was performed on results obtained using ImagePro Plus v. 6.0. The unit of area was the pixel.

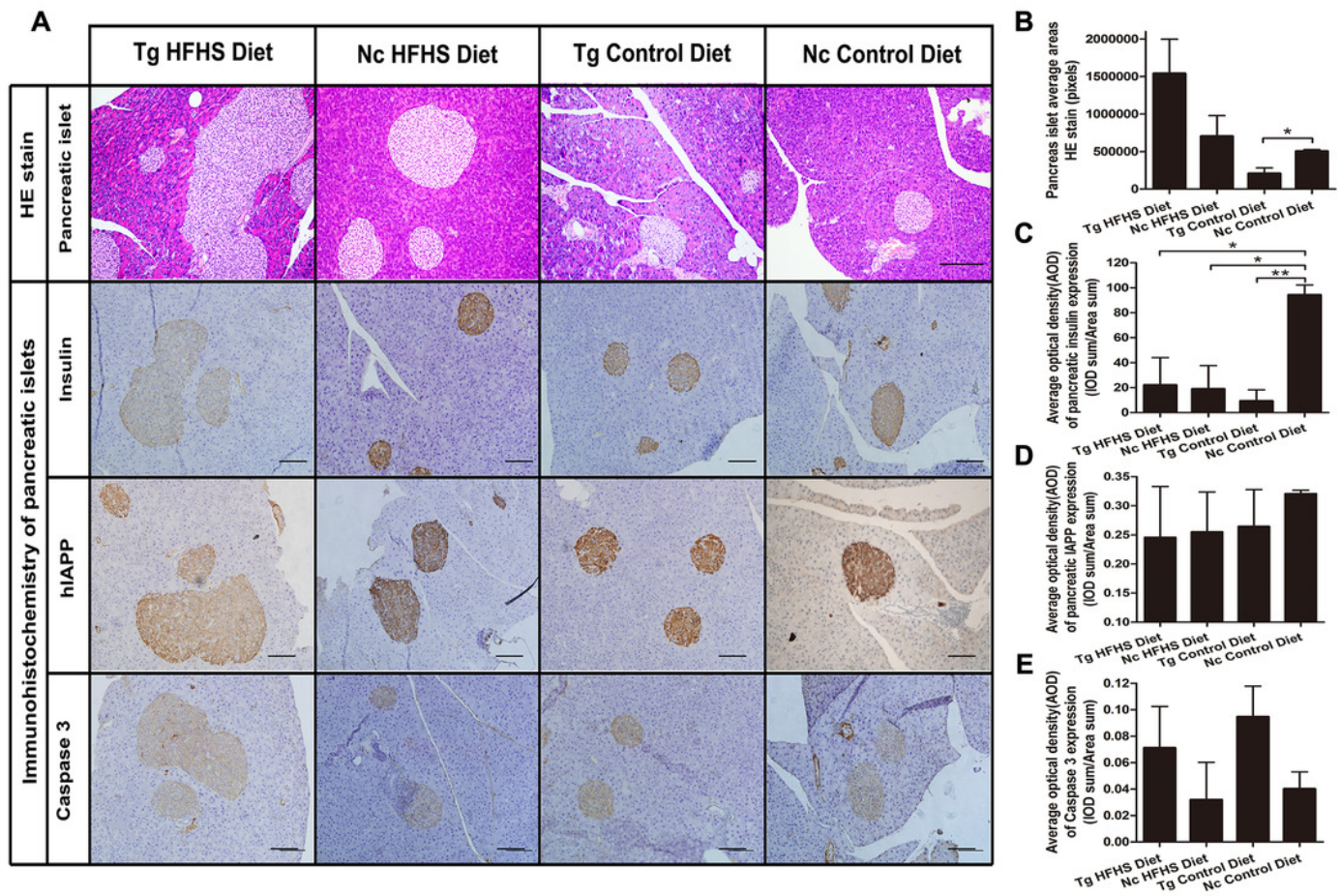




## Figure 6

Figure 6. Pathology of pancreatic islets of Langerhans.

**(A)** Hematoxylin-eosin (HE) staining of pancreatic islets of animals in the four groups (first panel). Magnification: 200 $\times$ . Scale bar=150  $\mu$ m. Immunohistochemistry of pancreatic islets for insulin (second panel), IAPP (third panel) and caspase 3 (fourth panel). All sections were obtained from the pancreatic tail. Tg: n=5; Nc: n=3-6. Magnification: 200 $\times$ . Scale bar=100  $\mu$ m. **(B)** The corresponding left panel shows the pancreatic areas (sum pixels of each) from which the values were calculated. **(C-E)** The AODs include pancreatic insulin expression density (the corresponding left panel shows insulin immunohistochemistry) (C); total IAPP expression density (the corresponding left panel shows IAPP immunohistochemistry) (D); and caspase 3 expression density (the corresponding left panel shows caspase 3 immunohistochemistry) (E). The significance levels are \*  $p < 0.05$  or \*\*  $p < 0.01$ . P values were calculated using Student's t-test.



**Table 1** (on next page)

Table 1. Serological parameters of mouse models related to pre-diabetes.

Abbreviations: GLU, glucose; HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; COR, corticosterone; TG, triglyceride; INS, insulin; C-P, C peptide. Significant differences between the transgenic group (Tg) and the control group (Nc) are indicated by \*  $p < 0.05$ , \*\* $p < 0.01$ . Tg:  $n=5$ ; Nc:  $n=3-6$ . The data are presented as the  $\text{mean} \pm \text{SEM}$ .

Parameter	Tg HFHSD	Nc HFHSD	Tg ControlD	Nc ControlD
<b>Diabetes phenotype</b>				
GLU (mmol/l)	5.81±1.26	2.42±0.41	5.40±0.78	2.36±1.99
HDL-C (mmol/l)	1.49±0.25	2.12±0.10	1.65±0.09*	2.15±0.04
LDL-C (mmol/l)	0.41±0.06	0.59±0.12	0.72±0.24	0.50±0.03
<b>Insulin resistance</b>				
COR (ng/ml)	11.21±3.39	10.62±2.52	12.07±3.05	4.75±1.38
TG (mmol/l)	0.52±0.35	0.12±0.03	0.17±0.04	0.08±0.02
<b>Insulin secretion</b>				
INS (uIU/ml)	19.28±4.92	13.50±0.76	12.88±1.87*	18.55±0.34
C-P (mmol/l)	0.81±0.15	1.39±0.69	0.71±0.10	0.75±0.11