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# Patterns of genetic structuring at the northern limits of the Australian smelt (*Retropinna semoni*) cryptic species complex

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Freshwater fishes often exhibit high genetic population structure due to the prevalence of dispersal barriers (e.g., waterfalls) whereas population structure in diadromous fishes tends to be weaker and driven by natal homing behaviour and/or isolation by distance. The Australian smelt (Retropinniae: *Retropinna semoni*) is a facultatively diadromous fish with a broad distribution spanning inland and coastal drainages of south-eastern Australia. Previous studies have demonstrated variability in population genetic structure and movement behaviour (potamodromy, facultative diadromy, estuarine residence) across the southern part of its geographic range. Some of this variability may be explained by the existence of multiple cryptic species. Here, we examined genetic structure of populations at the northern extent of the species' distribution, using ten microsatellite loci and sequences of the mitochondrial cyt b gene. We tested the hypothesis that connectivity among rivers should be low due to a lack of dispersal via the marine environment, but high within rivers due to potamodromous behaviour. We investigated populations corresponding with two putative cryptic species, the South East Queensland (SEQ), and Central East Queensland (CEQ) lineages. In agreement with our hypothesis, highly significant overall  $F_{st}$  values suggested that both groups exhibit very low dispersal among rivers (SEQ  $F_{sT} = 0.13$ ; CEQ  $F_{sT} = 0.30$ ). The two putative cryptic species, formed monophyletic clades in the mtDNA gene tree and among river phylogeographic structure was also evident within clades. Microsatellite data indicated that connectivity among sites within rivers was also limited, suggesting potamodromous behaviour does not homogenise populations at the within-river scale. Overall, northern groups in the smelt cryptic species exhibit higher among-river population structure and smaller geographic ranges than southern groups. These properties make northern Australian smelt populations potentially susceptible to future conservation threats, and we define eight genetically distinct management units to guide future conservation management.

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#### 22 ABSTRACT

Freshwater fishes often exhibit high genetic population structure due to the prevalence of 23 dispersal barriers (e.g., waterfalls) whereas population structure in diadromous fishes tends to be 24 weaker and driven by natal homing behaviour and/or isolation by distance. The Australian smelt 25 26 (Retropinninae: *Retropinna semoni*) is a facultatively diadromous fish with a broad distribution spanning inland and coastal drainages of south-eastern Australia. Previous studies have 27 demonstrated variability in population genetic structure and movement behaviour 28 (potamodromy, facultative diadromy, estuarine residence) across the southern part of its 29 30 geographic range. Some of this variability may be explained by the existence of multiple cryptic 31 species. Here, we examined genetic structure of populations at the northern extent of the species' distribution, using ten microsatellite loci and sequences of the mitochondrial cyt b gene. We 32 tested the hypothesis that connectivity among rivers should be low due to a lack of dispersal via 33 the marine environment, but high within rivers due to potamodromous behaviour. We 34 35 investigated populations corresponding with two putative cryptic species, the South East 36 Queensland (SEQ), and Central East Queensland (CEQ) lineages. In agreement with our hypothesis, highly significant overall  $F_{ST}$  values suggested that both groups exhibit very low 37 dispersal among rivers (SEQ  $F_{ST} = 0.13$ ; CEQ  $F_{ST} = 0.30$ ). The two putative cryptic species, 38 formed monophyletic clades in the mtDNA gene tree and among river phylogeographic structure 39 40 was also evident within clades. Microsatellite data indicated that connectivity among sites within rivers was also limited, suggesting potamodromous behaviour does not homogenise populations 41 42 at the within-river scale. Overall, northern groups in the smelt cryptic species exhibit higher among-river population structure and smaller geographic ranges than southern groups. These 43 properties make northern Australian smelt populations potentially susceptible to future 44 conservation threats, and we define eight genetically distinct management units to guide future 45 conservation management. 46

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Keywords Dispersal, Population structure, Facultative diadromy, Isolation by distance, Cryptic
species

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### 56 INTRODUCTION

57 Dispersal refers to the exchange of individuals and genes across the geographical range of a species (Wade & McCauley, 1988). Dispersal allows organisms to escape unsuitable 58 environments, avoid competition and maximise fitness in response to changes in the distributions 59 of temporally and spatially patches resources (Haugen et al., 2006). Maintenance of dispersal 60 61 pathways is important from a conservation perspective, particularly for species whose natural habitat is fragmented by anthropogenic disturbances. It is often the only mechanism by which 62 63 organisms can move between populations and thus maintain genetically diverse metapopulations (Clobert et. al., 2012). Dispersal between populations may also reduce local 64 65 extinction rates through a "rescue effect" (Brown & Kodric-Brown, 1977) by reproduction in the populations into which they disperse, and by increasing genetic diversity. Dispersal also plays a 66 67 major role in the genetic structuring of natural populations (Slatkin 1987; Waters, Dijkstra & Wallis, 2000; Wong, Keogh & McGlashan, 2004). Highly mobile, free swimming species are 68 69 likely to exhibit minimal phylogeographic structuring across a broad range, especially where there are no physical barriers (Chapco, Kelln & McFayden, 1992; Wong, Keogh & McGlashan, 70 71 2004). In contrast, stronger genetic subdivision among populations is predicted for species with limited dispersal abilities. 72 73 Genetic structure in aquatic fauna is strongly influenced by the characteristics of the ambient 74 environment. Freshwater species typically exhibit higher levels of genetic differentiation than those living in estuarine or marine habitats (Ward, Woodwark & Skibinski, 1994; Sharma & 75 Hughes, 2009). Movement by obligate freshwater organisms is limited to the water column and 76 the freshwater environment, preventing inter-catchment dispersal via the sea (Burridge et al., 77 78 2008; Hughes, Schmidt & Finn, 2009; Bernays et al., 2015). Within freshwater habitats, a range of other factors also restrict dispersal, including natural topographic barriers, such waterfalls and 79

80 rapids, and artificial dams and weirs (Alp et al., 2012). As a consequence of the physical

81 limitations to dispersal in freshwater environments, genetic structure of aquatic organisms is

82 often highly genetically differentiated both among and within catchments (McGlashan &

- 83 Hughes, 2000; Hughes, 2007; Sharma & Hughes, 2009).
- 84

Population fragmentation and subsequent genetic differentiation among populations have 85 resulted in a high incidence of cryptic speciation in freshwater habitats (Adams et al., 2013). 86 Cryptic species are defined as morphologically indistinguishable species that are genetically 87 distinct (Knowlton, 1993; Bickford et al., 2007; Thomas et al., 2014). Australia is considered as 88 one of the top 17 megadiverse countries in the world (Williams et al., 2001) reflecting the 89 species richness and levels of endemism exhibited for many organismal groups (Chapman, 2009; 90 Hammer et al., 2014). However, Australia's freshwater fish fauna has long been described as 91 depauperate compared to that found in other regions of similar size and climatic range (Allen, 92 1989; Lundberg et al., 2000; Allen, Midgley & Allen, 2003; Adams et al., 2013; Hammer et al., 93 2014). For instance, 209 freshwater-dependent fish species in Australia were recorded in the 94 most recent field guides (Allen, Midgley & Allen, 2003). In contrast, 713 species were found in 95 96 continental temperate USA (i.e. excluding Alaska and Hawaii; Page & Burr, 1991; Adams et al., 2013). Most researchers have suggested that these differences are the result of the effect of 97 98 relative differences in aridity, rainfall reliability, topographic diversity, habitat availability and degree of isolation (Merrick & Schmida, 1984; Williams & Allen, 1987; Allen, Midgley & 99 100 Allen, 2003; Adams et al., 2013). However, Lundberg et al. (2000) proposed a very different explanation for Australia's low number of species and suggests that it reflects the degree of 101 detailed taxonomic effort devoted to this neglected group. Recent assessments, (Hammer, Adams 102 & Hughes 2013; Hammer et al., 2014) have suggested that there may be twice as many fish 103 104 species in Australia than previously described.

105

The Australian smelt (Retropinniae: *Retropinna*) is an abundant fish species distributed
throughout the rivers of south-eastern Australia (McDowall, 1996). They reach a maximum
length of about 100 mm total length (TL), although adults are usually 50-60 mm TL (Pusey,
Kennard & Arthington, 2004). Australian smelts are currently recognised as two formally
described species *R. semoni* Weber, and *R. tasmanica* McCulloch, but recent genetic analyses
have identified a complex of five or more cryptic species across their geographic range based on

allozymes, microsatellites and mitochondrial DNA data (Hammer et al., 2007; Hughes et al.,

- 113 2014; Schmidt, Islam & Hughes, 2016). Otolith chemistry studies in the southern part of their
- 114 distribution have shown that Australian smelt exhibit a range of life history patterns, including
- 115 freshwater residency, facultative diadromy and estuarine residency (Crook, Macdonald &
- 116 Raadik, 2008; Hughes et al., 2014). In inland regions of Australia, large numbers of Australian
- smelt have been observed moving upstream through fishways (e.g., Baumgartner & Harris,
- 118 2007) and the species is widely described as potamodromous (i.e., migration within freshwater)
- 119 (e.g., Rolls, 2011). Nonetheless, Woods et al. (2010) found strong genetic structure among inland
- 120 populations of Australian smelt and suggested low levels of dispersal in at least some
- 121 populations.
- 122 In most studies to date, diadromous behaviour has been shown to facilitate genetic connectivity
- among river catchments and typically results in "isolation-by-distance" (IBD) patterns of
- 124 population genetic structure (Keenan, 1994; Jerry & Baverstock, 1998). In Australian smelt,
- 125 however, there is strong genetic differentiation among catchments across the southern part of the
- 126 range even among populations containing diadromous individuals suggesting high retention of
- 127 fish within estuaries and a lack of marine dispersal (Hughes et al., 2014). The aim of the current
- 128 study was to examine patterns of genetic connectivity of populations in the north of the
- 129 geographic range of Australian smelt, which have not previously been characterised. In light of
- this, sequence data from mtDNA cytochrome b combined with genotypic data from 10
- 131 microsatellite loci were used to test the hypotheses that, i) northern *R. semoni* would display high
- 132 population structure among rivers similar to southern populations; and ii) that genetic structure
- 133 within rivers would be low due to potamodromous migration.
- 134

#### 135 MATERIALS AND METHODS

#### 136 Sampling strategy

- 137 A total of 391 individual samples were collected from 15 locations in south-east Queensland,
- Australia (Fig. 1; Table 1). Samples were collected using a hand held seine net from an
- upstream and a downstream site from each river except the Noosa River (downstream only).
- 140 Where possible, we aimed to collect at least 30 individuals per site. Fin clips or entire individuals
- 141 were placed in 95% ethanol in the field and stored prior to preparation for analysis.
- 142 Molecular methods

Genomic DNA was extracted from fin tissue using the DNeasy Blood and Tissue kit (Qiagen) 143 following the manufacturer's directions. Microsatellite markers developed for *R. semoni* were 144 amplified and genotyped using primers developed by Islam, Schmidt & Hughes (2017). Ten loci 145 were screened across all individuals. The ten loci were BS18, BS3, BS4, BS5, BS20, BS21, 146 BS22, BS24, BS8 and MS24. All subsequent microsatellite screening was carried out in 10 ul 147 PCR reactions consisting of 0.5 µl of genomic DNA, 0.2 mM reverse primer, 0.05 mM tailed 148 forward primer, 0.2 mM tailed fluorescent tag (either FAM, VIC, NED or PET, Applied 149 Biosystems),  $1 \times PCR$  buffer (Astral Scientific) and 0.02 units of *tag* polymerase (Astral 150 Scientific). The following basic thermocycler settings for the polymerase chain reaction (PCR) 151 were performed: initial denaturation at 94°C for 4 min, followed by 35 cycles at 94°C for 1 min, 152 57°C for 30 s, 72°C for 1 min and a final extension at 72°C for 7 min. Fluorescently labelled 153 amplified PCR products were pooled and added to 10 µl of Hi-Di<sup>TM</sup>formamide with 0.1 µl of 154 GeneScan<sup>TM</sup> 500 LIZ size standard. Fragment analysis was conducted on an ABI PRISM 3130 155 Genetic Analyzer (Applied Biosystems) according to the manufacturer's instructions. Data were 156 scored using GENEMAPPER version 3.1 software (Applied Biosystems). 157 158 Two individuals from each of the 15 populations represented in the microsatellite study were randomly selected for mtDNA analysis. Samples from four additional sites not included in 159 160 microsatellite analysis were also sequenced – two from Mary River (Booloumba Creek, MBC, 26°41'02.5"S 152°37'10.6"E, n = 9; Yabba creek, MYC, 26°28'09.3"S 152°38'39.5"E, n = 8) and 161 162 two from the Brisbane River (Bundamba creek, BDC,  $27^{\circ}36'03.9"S 152^{\circ}48'04.2"E$ , n = 10; Banks creek, BSV, 27°26'36.9"S 152°40'13.2"E, n = 10) and sample collecting site were also 163 shown in Fig. 1. In total 68 individuals from 19 sites were sequenced. A 666 bp fragment of the 164 cytochrome b region of the mtDNA genome was selected for sequencing analysis. The primers 165 166 HYPSLA and HYPSHD (Thacker et al., 2007) were used to amplify the region in 10 µL reaction mixtures. PCR conditions were 4 min at 95 °C, followed by 45 cycles of 30 s at 95 °C, 45 s at 53 167 <sup>o</sup>C, 45 s at 72 <sup>o</sup>C and a final extension cycle of 7 min at 72 <sup>o</sup>C. MtDNA sequences were edited 168 and aligned using Geneious version 9.1.5 (Kearse et al., 2012). 169

170

- 171 Data Analysis
- 172 Genetic diversity

Microsatellite genotype frequencies were checked for the presence of null alleles, large allele 173 dropout and stuttering artefacts using Micro-checker v2.2.3 (Van Oosterhout et al., 2004). Tests 174 for linkage disequilibrium (LD) and departures of genotypic proportions expected under Hardy-175 Weinberg Equilibrium (HWE) were calculated with exact tests for each population and over all 176 loci using default settings in GENEPOP v4 (Rousset, 2008). Probability values were corrected 177 using standard Bonferroni correction (Rice, 1989) whenever multiple testing was performed. 178 Genetic diversity averaged across ten loci within each of the fifteen population samples was 179 calculated from observed and expected heterozygosity using ARLEQUIN v3.5.1.2 (Excoffier & 180 Lischer, 2010). Measures of genetic diversity standardized for sample size including Allelic 181 richness (AR) and private allelic richness (AR<sub>priv</sub>) were estimated using HP-RARE 1.1 182 (Kalinowski, 2005). Inbreeding index ( $F_{IS}$ ) was estimated in FSTAT 2.9.3 (Goudet, 2001). 183 184 185 186 187 188 **Population genetic structure** Genetic structure among the 15 populations was quantified by estimating pairwise and global  $F_{ST}$ 189 190 values in ARLEQUIN. These were tested for significant deviation from panmictic expectations by 10,000 permutations of individuals among populations. Population-specific  $F_{ST}$  values were 191 192 calculated using GESTE v2.0 (Foll & Gaggiotti, 2006) to evaluate the contribution of individual population samples to overall  $F_{ST}$ . 193 ARLEQUIN v3.5.1.2 (Excoffier & Lischer, 2010) was used to evaluate the geographic 194 structuring of genetic variation.  $F_{ST}$  was calculated for each locus separately and as a weighted 195 196 average over the ten micosatellite loci. Statistical significance of  $F_{ST}$  was determined by 1000 197 permutations of individuals among populations. Hierarchical structuring of variation was calculated using AMOVA in ARLEQUIN v3.5.1.2 (Excoffier & Lischer, 2010). Two 198 hierarchical arrangements of the 15 populations were analysed where the highest level was either 199 a) two groups, CEQ group (MRD, MRU, NSD, MLD, MLU) and SEQ group (BRD, BRU, LGD, 200 201 LGU, CMD, CMU, NRD, NRU, CRD, CRU) or b) catchment division, site grouped into 8 rivers according to the connectivity of streams to the upper river reaches. These were: Mary (MRD, 202 MRU), Noosa (NSD), Mooloolah (MLD, MLU), Brisbane (BRD, BRU), Logan (LGD, LGU), 203

Coomera (CMD, CMU), Nerang (NRD, NRU) and Currumbin (CMD, CMU). Three hierarchical 204 levels of variation were analysed for each arrangement: among groups ( $F_{CT}$ ), among sites within 205 groups  $(F_{SC})$  and within sites. 206 Bayesian clustering methods implemented in STRUCTURE v.2.3.1 (Pritchard, Stephens & 207 Donnelly, 2000) were applied to estimate the number of genetically homogeneous clusters 208 (Latch et al., 2006; Hasselman, Ricard & Bentzen, 2013). This programme builds genetic 209 clusters by minimizing linkage disequilibrium and deviations from Hardy-Weinberg equilibrium 210 expectations within clusters. All individuals were assigned to clusters without prior knowledge 211 of their geographic origin using the admixture model with correlated allelic frequencies. Ten 212 independent runs with the number of potential genetic clusters (K) from 1 to 15 were carried out 213 to verify that the estimates of K were consistent across runs. The burn-in length was set at 214 215 250,000 iterations followed by a run phase of one million iterations. The generated results were imported into the software STRUCTURE HARVESTER (Earl & vonHoldt, 2012) to calculate 216 the *ad hoc*  $\Delta K$  statistic (Evanno, Regnaut & Goudet, 2005). The K value, where  $\Delta K$  had the 217 highest value was identified as the most likely number of clusters. 218 219 220

221

#### 222 Analysis of isolation by distance

A test for a positive association between genetic and geographic distances [Isolation by distance

(IBD)] based on microsatellite DNA loci was carried out using a Mantel test (10000

permutations) in Arlequin v3.5.2 (Excoffier & Lischer, 2010). Genetic distance was represented

226 as  $F_{ST}$ . Stream distances were calculated between river mouths and then sample sites using

- 227 Google Earth.
- 228

#### 229 Migration and gene flow

230 BAYESASS v1.3 was used to calculate contemporary migration rates over the past few

- 231 generations where  $m_{ji}$  is the proportion of immigrants in a focal population *i* that arrive from a
- source population *j* (Wilson & Rannala, 2003). This Bayesian assignment method follows the
- rule that immigrants and their progeny represent temporary disequilibrium in their microsatellite
- 234 genotypes relative to the focal population under the assumption that background migration is

- comparatively low ( $F_{ST} > 0.05$ ) and that loci are in linkage equilibrium (Faubet, Waples & 235 Gaggiotti, 2007). Analyses were run for  $3 \times 10^7$  iterations, sampling every 2000 iterations with 236 discarded burn-in of 10<sup>7</sup>. Delta values were adjusted to 0.12 to ensure that chain swapping 237 occurred in about 50% of the total iterations as suggested by Wilson & Rannala (2003) and to 238 estimate the accuracy of the results the analysis was repeated three times with different random 239 number of seeds. We also used the Bayesian assignment procedure of Rannala & Mountain 240 (1997), as implemented in GENECLASS 2 (Piry et al., 2004) to estimate whether our samples 241 might contain individuals that were first generation ( $F_0$ ) immigrants from unsampled 242 populations. Here we used Paetkau et al. (2004) method to compute probabilities from 10,000 243
- simulated genotypes to identify  $F_0$  immigrants.
- 245

#### 246 Analysis of mtDNA sequence data

247 A Neighbour - joining (NJ) tree analysis was performed using the HKY distance model in

248 Geneious version 9.1.5 with 1000 bootstrap replicates. In addition to the 68 sequences generated

from this study, two Genbank accessions were used, one representing *R. tasmanica*: JN232589;

- and one representing *R. semoni*: JN232588 (Burridge et al., 2012). The *R. semoni* sequence
- JN232588 lacks locality information but likely belongs to a southern lineage of *R. semoni* which
- are known to have a closer mtDNA relationship with *R. tasmanica* than to northern lineages
- 253 (Hughes et al., 2015).
- 254
- 255

#### 256 **RESULTS**

#### 257 Genetic variability and levels of differentiation

After Bonferroni correction, 3 out of 15 populations exhibited deviations from HWE in only two or three loci. All loci were kept for further analyses since deviations were not consistent across populations. Instances of null alleles estimated using MICRO-CHECKER were rare and not consistently associated with specific loci or populations. We observed little evidence for genotypic linkage disequilibrium between any pair of loci. Among 645 pairwise comparisons, 15 were significant at the P<0.05 level after Bonferroni correction. These significant cases were randomly distributed among populations and pairs of loci.

265

- 266 Population genetic diversity indices are shown in Table 1. Microsatellite genetic diversity was
- high. Mean number of alleles per population ranged from 4.60 (MLD) to 14.70 (CMU).
- 268 Heterozygosity averaged across loci ranged from 0.566(MLU) to 0.887 (CMD and CMU) and
- allelic richness averaged across loci ranged from 3.41 to 7.42 when sample sizes were
- standardized across populations at 6 individuals. Although private alleles were found in all sites,
- 271 the MRU population had the highest private allelic richness. Most sites exhibited positive  $F_{IS}$
- values, indicating that most of the populations had slight heterozygote deficit.
- 273 Most of the pairwise  $F_{ST}$  values between the 15 populations were significant and ranged from -
- 274 0.018 to 0.404. The CEQ populations were more diverged from one another than the populations
- in the SEQ group. The lowest pairwise  $F_{ST}$  value ( $F_{ST} = -0.018$ ; P < 0.05) was observed between
- populations NRD and NRU. The highest genetic divergence ( $F_{ST} = 0.404$ ; P < 0.05) was
- observed between populations NSD and MLU. Out of 105 comparisons, only six comparisons
- were non-significant (P > 0.05) and each of these pairs was from within the same river
- 279 (Mooloolah; Brisbane; Logan; Coomera; Nerang and Currumbin). Generally  $F_{ST}$  comparisons
- 280 revealed much less divergence among populations within the same river than between
- 281 populations from different rivers (Table 2).
- 282

283 The STRUCTURE analysis incorporating all individuals suggested that initially the most likely number of clusters was two, one containing all CEQ populations and the other containing all 284 285 SEQ populations (Fig. 2A). The SEQ group was then further subdivided into two separate groups leaving the Brisbane river populations distinct from all others and remaining populations of SEQ 286 group comprising four distinct clusters (Fig 2B and C). The CEQ group further subdivided into 287 three distinct clusters (Fig. 2C). STRUCTURE analysis revealed that the highest likelihood at 288 289 K= 8 clusters (Average log probability of data  $Ln[P(DK)] = -15246.1 \pm 1.028753$ ) indicating this as the best estimate of the true number of the genetic clusters. The height of  $\Delta K$  was used as an 290 indicator of the strength of the signal detected by STRUCTURE (Evanno, Regnaut & Goudet, 291 2005).  $\Delta K$  showed the highest peak at K = 8, suggesting eight genetically homogeneous clusters 292 across the sampled populations and negligible immigrations among rivers (Fig. 2C). 293 294

Strong population structure was supported by AMOVA with 20.50 % genetic variation exhibited
by differences among populations (Table 3A). The AMOVA showed significant genetic

differentiation between the two groups (CEQ and SEQ) ( $F_{CT} = 0.05$ ), but also among populations within groups ( $F_{SC} = 0.18$ ) (Table 3B). There were similar pattern between the groups when they were analysed separately, with the  $F_{CT}$  value (among rivers) higher than the  $F_{SC}$  value (among sites within rivers) in both groups (Table. 3C i and ii). However, the overall  $F_{ST}$  values, and each of the other *F* statistics in the hierarchy were higher in the CEQ group than the SEQ group.

#### 303 Isolation-by-distance

There was a significant correlation between genetic differentiation and stream distance among populations from the SEQ group ( $R^2 = 0.3687$ , p = 0.001; BRD, BRU, LGD, LGU, CMD, CMU, NRD, NRU, CRD, and CRU) (Fig. 3A), but not for CEQ group ( $R^2 = 0.0355$ , p = 0.302; MRD,

307 NSD, MRU, MLD and MLU) (Fig. 3B).

308

#### **309 Contemporary migration**

310 Very little contemporary migration was observed among the coastal river populations. Only six sampled populations contained individuals that were identified as potential immigrants from the 311 312 BAYESASS analysis. In all cases, the putative source population was the paired site within the same catchment. An average of 19.2 % of individuals at each of the six locations was estimated 313 to be immigrants (range 10 - 33 %, Table 4). In five out of six cases, dispersal was from the 314 upstream to the downstream site. Only individuals from Currumbin creek was estimated to have 315 316 dispersed in an upstream direction. The highest level of migration was also found in this creek (23%). Only fifteen (< 4%) of 391 individuals across all sites were identified as  $F_0$  migrants 317 using the "detection of first generation migrants" option in GENECLASS2 (Table 5). 318

319 320

#### 321 MtDNA sequences analysis

322 The edited alignment for the cyt b gene was 575 bp and included 121 variable positions. All

- neighbour joining tree revealed two strongly supported clades (bootstrap 89% SEQ; 96% CEQ;
- Fig. 4). Phylogeographic structure was also clearly evident within clades. All individuals from
- 326 four sites in the Brisbane River formed a distinct clade, and all three rivers sampled for the CEQ
- 327 lineage formed shallow clades (i.e. Mary, Noosa and Mooloolah rivers; Fig. 4). Genetic distance

- 328 was high between northern smelt lineages and the southern smelt sequences used as an outgroup
- 329 (uncorrected mean nucleotide distance 0.15 0.17). The mean nucleotide distance between two
- northern lineages SEQ and CEQ was 0.04 (SE = 0.007).
- 331

#### 332 DISCUSSION

#### 333 **Population structure and dispersal**

Based on previous studies of Australian smelt in south-eastern Australia using mtDNA and 334 microsatellites (Woods et al., 2010; Hughes et al., 2014), we had hypothesized that R. semoni in 335 the northern part of their distribution would exhibit limited genetic connectivity among river 336 systems due to a lack of marine dispersal: either because they are non-diadromous or because 337 they are diadromous, but are retained within their natal estuaries (see Hughes et al., 2014). Our 338 findings of strong genetic differentiation among rivers support this hypothesis. In both of the 339 regions (CEQ and SEQ) sampled, there were highly significant  $F_{ST}$  values, which indicated that 340 populations were not panmictic within regions. Pairwise  $F_{ST}$  values between populations within 341 regions also revealed significant genetic differentiation, suggesting restricted gene flow and 342 343 limited dispersal among populations of R. semoni in both regions. Limited dispersal was supported by our first-generation migrant detection analysis in Geneclass2, which demonstrated 344

that less than 4% of individuals in each population were immigrants.

346

347 The sample from Tinana Creek (MRD site), was differentiated from the rest of the populations in the CEQ group (Table 2). This might be the result of a barrier which separates Tinana Creek 348 from the rest of the Mary river system despite their close proximity to one another (Hughes et al., 349 2015). Tinana Creek runs into the Mary River not far from the mouth, with both drainages 350 351 having tidal estuarine reaches in the lower sections. The differentiation of the Tinana Creek population from the main stem of the Mary River is also observed in a number of other 352 freshwater species including Mary River Cod, Maccullochella Mariensis (Huey, Espinoza & 353 Hughes, 2013), Mary River Turtle, Elusor macrurus (Schmidt et al., in press), freshwater 354 crayfish Cherax disper (Bentley, Schmidt & Hughes, 2010) and Australian lung fish 355 356 Neoceratodus fosteri (Hughes et al., 2015). 357

In general, populations in the CEQ group were more highly structured than those in the SEQ 358 group, but fishes in both groups exhibited restricted gene flow. These differences could have 359 several explanations. First, obligate freshwater fish are expected to display greater levels of 360 genetic differentiation and population subdivision than marine species due to the isolating nature 361 of river systems and small effective population size (Ward, Woodwark & Skibinski, 1994; 362 Gyllensten, 1985; McGlashan & Hughes, 2001). The degree of genetic differentiation among 363 populations between drainages was consistent with these expectations, although effective 364 population size is unlikely to be very low, given the high levels of diversity. Another plausible 365 reason is that eustasy may affect the genetic structure of populations through the irregular joining 366 and isolation of drainages along the coastal margin. Long term isolation of populations in 367 separate drainages may lead to extensive genetic differentiation among drainages. Particularly 368 369 the high genetic structuring might have resulted from limited spatial dispersal patterns of larvae. In addition to genetic drift in pools, genetic differentiation may arise as a result of local 370 371 extinction/recolonization dynamics because some pools dry out completely during dry seasons and their colonization by a limited number of individuals can result in genetic differentiation due 372 373 to founder effect (Vrijenhoek, 1979; Vrijenhoek & Lerman, 1982; Barr et al., 2008; Tatarenkov, Healey & Avise, 2010). 374

375

An alternative model for stream dwelling species is isolation by distance (IBD). In this model, 376 377 equilibrium between genetic drift and gene flow may be reached in species where the life time dispersal distance is less than the range. Here, a relationship between stream distance and 378 genetic differentiation should be evident (Wright, 1943). In this study, a strong IBD relationship 379 was identified among the SEQ populations, but not among CEQ populations. This suggests that 380 381 for SEQ populations, dispersal, when it occurs, is more likely between nearby catchments. Similar IBD relationships have been reported for other coastline restricted species (Keenan, 382 1994; Jerry & Baverstock, 1998; Shaddick et al., 2011; Schmidt et al., 2014). Lack of IBD for 383 the CEO group may be attributed to insufficient number of population samples available for 384 comparison and/or the greater degree of population isolation within this group relative to the 385 SEQ group, consistent with the overall higher  $F_{ST}$  estimates among CEQ populations. Hughes et 386 al. (2014) observed similarly contrasting patterns of population genetic structure between cryptic 387 species groups of southern Australian smelt. In that study, two informal species groups (MTV 388

and SEC) with adjacent distributions along the western and eastern coast of southern Victoria 389 had microsatellite-based  $F_{ST}$  values of 0.19 and 0.07 respectively (Hughes et al. 2014). Using 390 otolith microchemistry, Hughes et al. (2014) also showed that the more structured western group 391 (MTV) had a greater proportion of nondiadromous populations relative to the weaker structured 392 eastern group (SEC). The similar pattern of contrasting structure observed here between northern 393 groups in the Australian smelt complex (SEQ, CEQ), is probably not due to differences in 394 diadromous behaviour because preliminary evidence from otolith chemistry suggests all of these 395 populations are nondiadromous (R. Islam unpublished data). Higher structuring of the CEQ 396 group could possibly be due to genetic drift if these populations have been established for a 397 longer period of time at the northern-most limit of Australian smelt distribution relative to the 398 SEQ populations. 399

400

The complementary pattern of divergence in both microsatellite and mtDNA data between the 401 SEQ and CEQ groups agrees with a putative species-level boundary identified by Hammer et al. 402 (2007) within the taxon currently referred to as R. semoni. Mean cvt b divergence of 4% between 403 404 SEQ and CEQ samples is close to the 3.6% divergence observed for the full mitochondrial molecule reported by Schmidt et al. (2016), and within the range of lineage divergence reported 405 406 for R. semoni in southern Queensland (Page & Hughes, 2014). The level of cyt b divergence between the SEQ and CEQ groups relative to lineages of R. semoni from the south of this' 407 408 species range is very large (15-17%) and adds to previous studies that have highlighted the likely existence of a cryptic species complex within the taxon currently referred to as *R. semoni* 409 (Hammer et al. 2007; Hughes et al. 2014). 410

411

#### 412 Contemporary migration

The Bayesian assignment analysis detected contemporary movement of individuals only between proximate sites within rivers (Table 4). Contemporary dispersal was not observed between rivers. Although, most of the sites that we sampled were within 10-60 km of another sampled site, there was no contemporary dispersal among the majority of those rivers in either group. In addition, this species appears to occur in pools, many of which are isolated from other pools by long stretches of unfavourable habitat. Our data therefore suggest that if local extinctions occur in one or more of these pools within a reach of the river, then recolonization from elsewhere is unlikely

to occur rapidly. However, the evidence of some localised movement within rivers among local 420 populations suggesting potamodromous migration within rivers. This type of migration of 421 Australian smelt was also reported in previous studies where large number of Australian smelt 422 was found to exhibit potamodromous migrations through fishways in perennial lowland rivers 423 (Mallen-Cooper et al., 1995). This contrast with the findings of the southern smelt migration 424 behaviour where contemporary movement among populations is restricted at least to some extent 425 within the catchment (Woods et al., 2010) although this southern smelt exhibited facultative 426 427 diadromous migration (Hughes et al., 2014).

428

#### 429 CONCLUSION

Little conservation attention has been given to the Australian smelt since it has long been
considered a common species distributed widely across south-eastern Australia. The findings of
the present study and other recent research (Hammer et al., 2007; Crook, Macdonald & Raadik,
2008; Hughes et al., 2014) suggest that Australian smelts are a genetically complex and
ecologically diverse taxonomic group. Therefore, proper conservation and management will
require appropriate taxonomic treatment to align species names with the clear genetic divisions
now recognised across the range of Australian smelt.

In the present study, two major genetic lineages were recognized that are geographically 438 439 concordant with distinct allozyme groups reported by Hammer et al. (2007) and these lineages can be categorised as Evolutionary Significant Units (ESU) (Moritz, 1994; Bernatchez, 1995; 440 Crandall et al., 2000; Sasaki et al., 2016). The broad genetic divergence implies that these 441 lineages have evolved independently from each other for some time. For long term management 442 443 the delimitation of ESUs is imperative where conservation strategy should be specified accurately (Moritz 1994; Sasaki et al., 2016). However, in the present study translocation of 444 individuals between lineages is not recommended for short -term management as it may 445 preclude any local adaptation due to mixing of distinct lineages (Tallmon, Luikart & Waples, 446 2004; Hughes et al., 2015). 447

448

Alternatively, eight isolated management units (MUs) were detected in *R. semoni* from the microsatellite dataset (Fig. 2C) demonstrating little to no gene flow between them. These

451	management units align with individual coastal catchment, which suggests that other genetically
452	distinct populations may exist in coastal rivers not sampled in this study.
453	
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461	
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### Table 1(on next page)

Summary of Sample information and genetic diversity indices for Australian smelt

Number of samples used for genetic analysis (*N*), mean number of alleles per population ( $N_A$ ), observed heterozygosity ( $H_o$ ), expected heterozygosity ( $H_E$ ), allelic richness ( $A_R$ ), mean inbreeding index ( $F_{IS}$ )

1

Group	Sampling site	Site	Latitude (E)	Longitude	N	$N_A$	$H_0$	$H_{\rm E}$	$A_{\rm R}$	P <sub>AR</sub>	F <sub>IS</sub>	Population
name		code		(S)								specific F <sub>ST</sub>
CEQ	Tinana	MRD	152°42'57.8"	25°36'04.3"	28	10.20	0.679	0.758	5.59	0.71	0.107	0.164
CEQ	Mary_upper	MRU	152°48'47.9"	26°38'55.5"	29	10.90	0.779	0.826	6.26	0.85	0.059	0.136
CEQ	Noosa_lower	NSD	152°52'21.4"	26°17'05.7"	30	6.10	0.576	0.617	3.99	0.76	0.067	0.340
CEQ	Mooloolah_lower	MLD	153° 0'44.64"	26°46'18.83"	16	4.60	0.670	0.604	3.41	0.18	-0.115	0.390
CEQ	Mooloolah_upper	MLU	152°55'13.1"	26°45'07.9"	32	6.40	0.609	0.566	3.85	0.23	-0.079	0.334
SEQ	Brisbane_lower	BRD	152°55'49.9"	27°30'16.05"	32	9.50	0.754	0.755	5.49	0.4	0.002	0.191
SEQ	Brisbane_upper	BRU	152°35'13.5"	27°58'43.9"	32	8.80	0.760	0.763	5.18	0.39	0.005	0.216
SEQ	Logan/Albert_lower	LGD	152°59'01.8"	28°10'15.6"	8	7.60	0.701	0.847	6.9	0.69	0.184	0.0846
SEQ	Logan/Albert_upper	LGU	152°56'23.6"	28°19'19.7"	24	11.40	0.828	0.845	6.58	0.57	0.021	0.107
SEQ	Coomera_lower	CMD	153° 11'20.9"	28°02'55.5"	24	13.90	0.839	0.887	7.42	0.53	0.054	0.0743
SEQ	Coomera_upper	CMU	153° 09'13.4"	28°05'01.8"	32	14.70	0.848	0.887	7.4	0.58	0.045	0.0775
SEQ	Nerang_lower	NRD	153° 17'52.0"	28°01'33.7"	8	6.40	0.718	0.798	5.8	0.64	0.106	0.156
SEQ	Nerang_upper	NRU	153° 14'02.8"	28°07'29.2"	32	13.70	0.782	0.853	6.87	0.29	0.084	0.0879
SEQ	Currumbin_lower	CRD	153°25'24.8"	28°10'41.9"	32	11.20	0.771	0.803	5.99	0.33	0.041	0.130
SEQ	Currumbin upper	CRU	153°23'11.9"	28°12'49.6"	32	10.90	0.769	0.785	6	0.34	0.021	0.135

2

### Table 2(on next page)

Pairwise  $F_{st}$  values among all pairs of populations

Bold values were statistically significant after bonferroni correction

1

	MRD	MRU	NSD	MLD	MLU	BRD	BRU	LGD	LGU	CMD	CMU	NRD	NRU	CRD	CRU
MRD	0.000														
MRU	0.097	0.000													
NSD	0.335	0.310	0.000												
MLD	0.231	0.182	0.362	0.000											
MLU	0.299	0.244	0.404	0.009	0.000										
BRD	0.161	0.131	0.323	0.203	0.276	0.000									
BRU	0.159	0.136	0.320	0.218	0.295	0.013	0.000								
LGD	0.222	0.101	0.394	0.248	0.316	0.157	0.170	0.000							
LGU	0.227	0.137	0.368	0.246	0.317	0.173	0.189	-0.006	0.000						
CMD	0.194	0.151	0.319	0.186	0.259	0.130	0.146	0.126	0.161	0.000					
CMU	0.184	0.141	0.293	0.165	0.236	0.124	0.139	0.126	0.164	0.010	0.000				
NRD	0.242	0.125	0.393	0.244	0.316	0.187	0.191	0.073	0.082	0.152	0.141	0.000			
NRU	0.211	0.110	0.329	0.203	0.261	0.176	0.183	0.053	0.074	0.146	0.137	-0.018	0.000		
CRD	0.244	0.152	0.353	0.222	0.277	0.195	0.211	0.125	0.147	0.166	0.156	0.076	0.062	0.000	
CRU	0.287	0.178	0.397	0.270	0.320	0.248	0.256	0.120	0.150	0.215	0.201	0.073	0.059	0.011	0.000

2

### Table 3(on next page)

AMOVA for hierarchical arrangements of the 15 sample sites

\*\*\* P < 0.001

1

Stru	cture tested	Observed par	F- Statistics	
		Variance	% of variation	
A.	All sites			
	Among populations	0.26509 Va	20.50	
	Within populations	1.02804 Vb	79.50	$F_{\rm ST} = 0.21^{***}$
B.	Based on group (CEQ & SEQ)			
	Among group	0.07121 Va	5.36	$F_{\rm CT} = 0.05^{***}$
	Among sites within group	0.23035 Vb	17.32	$F_{\rm SC} = 0.18^{***}$
	Within sites	1.02804 Vc	77.32	$F_{\rm ST} = 0.23^{***}$
C.	Based on river			
i	Among CEQ group			
	Among rivers	0.10506 Va	22.39	$F_{\rm CT} = 0.22^{***}$
	Among sites within rivers	0.03605 Vb	7.69	$F_{\rm SC} = 0.10^{***}$
	Within sites	0.32802 Vc	69.92	$F_{\rm ST} = 0.30^{***}$
ii	Among SEQ group			
	Among rivers	0.27139 Va	12.49	$F_{\rm CT} = 0.13^{***}$
	Among sites within rivers	0.01106 Vb	0.51	$F_{\rm SC} = 0.006^{***}$
	Within sites	1.89107 Vc	87.01	$F_{\rm ST} = 0.13^{***}$

2

### Table 4(on next page)

Contemporary gene flow identifying the immigrant

Diagonal values (in italics): proportion of non-migrant Australian smelt. The most relevant migration rates are shown in bold.

1

	MRD	MRU	NSD	MLD	MLU	BRD	BRU	LGD	LGU	CMD	CMU	NRD	NRU	CRD	CRU
MRD	0.8890	0.0093	0.0079	0.0076	0.0077	0.0076	0.0080	0.0075	0.0085	0.0078	0.0077	0.0080	0.0078	0.0075	0.0081
MRU	0.0104	0.8873	0.0074	0.0075	0.0074	0.0076	0.0122	0.0075	0.0073	0.0076	0.0074	0.0081	0.0076	0.0073	0.0075
NSD	0.0073	0.0068	0.8969	0.0074	0.0074	0.0072	0.0073	0.0072	0.0072	0.0070	0.0079	0.0079	0.0074	0.0074	0.0079
MLD	0.0107	0.0109	0.0109	0.6778	0.1789	0.0108	0.0112	0.0112	0.0111	0.0113	0.0110	0.0109	0.0109	0.0114	0.0108
MLU	0.0071	0.0066	0.0070	0.0070	0.9005	0.0073	0.0073	0.0071	0.0073	0.0071	0.0079	0.0072	0.0068	0.0066	0.0069
BRD	0.0076	0.0120	0.0071	0.0079	0.0076	0.6801	0.2181	0.0076	0.0075	0.0077	0.0072	0.0072	0.0070	0.0077	0.0077
BRU	0.0067	0.0072	0.0068	0.0072	0.0068	0.0070	0.9021	0.0070	0.0071	0.0071	0.0073	0.0071	0.0069	0.0069	0.0069
LGD	0.0172	0.0147	0.0146	0.0149	0.0149	0.0160	0.0150	0.6815	0.1205	0.0158	0.0149	0.0148	0.0155	0.0152	0.0146
LGU	0.0086	0.0089	0.0082	0.0083	0.0085	0.0084	0.0086	0.0086	0.8803	0.0081	0.0082	0.0094	0.0083	0.0092	0.0084
CMD	0.0078	0.0082	0.0081	0.0084	0.0082	0.0083	0.0084	0.0078	0.0084	0.6750	0.2179	0.0087	0.0082	0.0082	0.0084
CMU	0.0071	0.0072	0.0072	0.0074	0.0071	0.0073	0.0067	0.0074	0.0071	0.0072	0.9000	0.0070	0.0069	0.0076	0.0068
NRD	0.0144	0.0139	0.0149	0.0144	0.0139	0.0149	0.0140	0.0141	0.0147	0.0141	0.0145	0.6813	0.1325	0.0145	0.0139
NRU	0.0069	0.0067	0.0071	0.0069	0.0074	0.0076	0.0070	0.0069	0.0075	0.0071	0.0078	0.0070	0.8986	0.0089	0.0068
CRD	0.0073	0.0066	0.0073	0.0075	0.0070	0.0072	0.0072	0.0074	0.0071	0.0077	0.0070	0.0076	0.0075	0.8981	0.0075
CRU	0.0071	0.0073	0.0070	0.0071	0.0072	0.0070	0.0073	0.0067	0.0075	0.0070	0.0073	0.0070	0.0068	0.2318	0.6759

2

Table 5(on next page)

Results of the assessment for detecting first-generation migrant performed using GENECLASS2 showing the number of individual migrants (P < 0.01) detected per sampling location and results are based on the *Lh/Lmax* statistic

1

Sample	F <sub>0</sub> Migrants From														
	MRD	MRU	NSD	MLD	MLU	BRD	BRU	LGD	LGU	CMD	CMU	NRD	NRU	CRD	CRU
MRD		1	0	0	0	0	0	0	0	0	0	0	1	0	0
MRU	0		0	0	0	0	0	0	0	0	0	0	0	0	0
NSD	0	0		0	0	0	0	0	0	0	0	0	0	0	0
MLD	0	0	0		0	0	0	0	0	0	0	0	0	0	0
MLU	0	0	0	1		0	0	0	0	0	0	0	0	0	0
BRD	0	0	0	0	0		0	0	0	0	0	0	0	0	0
BRU	0	0	0	0	0	1		0	0	0	0	0	0	0	0
LGD	0	0	0	0	0	0	0		1	0	0	0	0	0	0
LGU	0	0	0	0	0	0	0	1		0	0	0	0	0	0
CMD	0	0	0	0	0	0	0	0	0		3	0	0	0	0
CMU	0	0	0	0	0	0	0	0	0	1		0	0	0	0
NRD	0	0	0	0	0	0	0	0	0	0	0		0	0	0
NRU	0	0	0	0	0	0	0	0	0	0	0	2		0	0
CRD	0	0	0	0	0	0	0	0	0	0	0	0	0		2
CRU	0	0	0	0	0	0	0	0	0	0	0	0	0	1	

2

Map of Queensland highlighting the fifteen sampling locations



STRUCTURE analysis A) Bar plot of estimated membership of each individual in k = 2 clusters B) Bar plot of estimated membership of each individual in k = 3 clusters C) Bar plot of estimated membership of each individual in k = 8 clusters



A) Analysis of isolation by distance for SEQ populations B) Analysis of isolation by distance for CEQ populations



Neighbour-joining tree of the cyt *b* dataset for 68 Australian smelt samples from 19 sampling localities. Individual sample codes coloured according to river. Node values are bootstrap support



