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## 5 Abstract

7 The dinosaur Plateosaurus engelhardti is the most abundant dinosaur in the Late Triassic of 8 Europe and the best known basal sauropodomorph. Plateosaurus engelhardti was one of the 9 first sauropdomorph dinosaurs to display a large body size. Remains can be found in the 10 Norian stage of the Late Triassic in over 40 localities in Central Europe (France, Germany, 11 Greenland and Switzerland). Since the first discovery of *P. engelhardti* no juvenile specimens of this species had been found. Here we describe the first remains of juvenile individuals, 12 isolated cervical and dorsal neural arches. These were separated postmortem from their 13 respective centra because of unfused neurocentral sutures. However the specimens share the 14 15 same neural arch morphology found in adults. Morphometric analysis suggests a body lengths of the juvenile indivduals that is greater than those of most adult specimens. This supports the 16 17 hypothesis of developmental plasticity in Plateosaurus engelhardti that previously had been 18 based on histological data only. Alternative hypotheses for explaining the poor correlation 19 between ontogenetic stage and size in this taxon are multiple species or sexual morphs with 20 little morphological variance or time-averaging of individuals from populations differing in 21 body size.

22 Keywords: Late Triassic, Norian, Switzerland, Basal Sauropodomorpha, Plateosaurus

23 engelhardti, juvenile, neurocentral suture closure, bone histology.

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## 34 Introduction

35 The prosauropods are a presumably paraphyletic assemblage of basal sauropodomorpha 36 and form successive sistergroups to the largest terrestrial animals ever known, the Sauropoda, 37 with which they form the Sauropodomorpha (Huene, 1932). Prosauropods were the dominant 38 high-browsing herbivores from the late Norian until the end of the Early Jurassic, when they 39 were replaced in dominance by sauropods (Barrett & Upchurch, 2005). The prosauropod 40 Plateosaurus was one of the first larger-bodied dinosaurs. The first fossil remains of this taxon were found in 1834 at the Heroldsberg near Nuremberg by Johann Friedrich Philipp 41 42 Engelhardt. The first to describe the material was Herman von Meyer in 1837 naming it 43 Plateosaurus engelhardti (Moser, 2003).

44 Basal sauropodomorpha are important for understanding the unique gigantism of 45 sauropod dinosaurs (Sander & Klein, 2005; Upchurch, Barrett & Galton, 2007) because they 46 inform us about the plesiomorphic condition from which sauropod gigantism evolved. One 47 such plesiomorphic condition may be the developmental plasticity seemingly present in 48 *Plateosaurus engelhardti*, expressed in a poor correlation of ontogenetic stage and size 49 (Sander & Klein, 2005). Developmental plasticity was initially hypothesized based on long 50 bone histology (Sander & Klein, 2005), but in this paper we corroborate its presence based on 51 body size at neurocentral suture closure, as documented by the first juvenile remains of P. 52 engelhardti.

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#### 54 Systematics of *Plateosaurus*

A premise of any hypothesis of developmental plasticity is that the sample in question is
derived from a single species. This necessitates a review of the systematics of *Plateosaurus*.
The remains of *Plateosaurus* occur in the middle to the late Norian of Germany (Huene,

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59 and Greenland (Jenkins et al., 1994). The type species of *Plateosaurus* is *P. engelhardti* 60 Meyer, 1837. Several more species have been described from other localities in Germany 61 such as P. trossingensis (Fraas, 1913) from Trossingen and P. longiceps (Jaekel, 1914a) from 62 Halberstadt, and P. gracilis (Yates, 2003) from the Löwenstein Formation of Stuttgart. 63 Currently the *Plateosaurus* finds from Halberstadt, Trossingen and Frick are currently 64 assigned to one species: P. engelhardti. However, nomenclatorial controversy still surrounds 65 this name (Galton, 1984a; Galton 1984b; Galton, 1985a; Galton, 1985b; Galton & Bakker, 1985; Weishampel & Chapman, 1990; Galton, 1997; Galton, 1999; Galton, 2000; Galton, 66 67 2001; Moser, 2003; Yates, 2003; Galton, 2012).

A massive abundance of *Plateosaurus* material found in "Plateosaurus bonebeds" (Sander, 1992) can be found at three localities: Halberstadt (Central Germany), Trossingen (Southern Germany) and Frick (Switzerland). The locality in Switzerland with a massive abundance of *Plateosaurus* material found in "Plateosaurus bonebeds" (Sander, 1992) is in an active clay quarry of the Keller AG in Frick (Canton Aargau, Switzerland), where the first dinosaurs fossils were discovered in 1963.

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#### 75 **Plateosaurus from Frick: Geologic setting**

76 Since the focus of this study lies on recently discovered juvenile Plateosaurus material, a 77 review of this and other Plateosaurus bonebeds is necessary. The Gruhalde quarry exposes a 78 section representing 20 million years of geologic time, from the entire Middle Keuper (Upper 79 Triassic) up to the upper Sinemurian Obtusus clays (Early Jurassic) (Sander, 1990). The 80 middle Keuper sediments are about 20 m thick, the upper 19 m of this section are the Upper 81 Variegated Marls (Rieber, 1985; Sander, 1992). Plateosaurus remains are embedded in the 82 Upper Variegated Marls (Norian), which is partially equivalent in stratigraphy, lithology and

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(Finckh, 1912; Matter et al., 1988), and Eastern France (Weishampel & Westphal, 1986). The
Upper Variegated Marls at Frick mainly consist of reddish, grayish or greenish marls
commonly containing carbonate concretions or layers (Sander & Klein, 2005). There are three
horizons producing dinosaur remains (pers. comm. Dr. Benedikt Pabst, 2012), the lowermost
of which represents the *Plateosaurus* bonebed and was the subject of the study by Sander
(1992). The lowermost horizon is also the source of the material sampled histologically
(Sander & Klein, 2005) and of the juvenile material described in this study.

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#### The miring hypothesis of *Plateosaurus* bonebed origin

93 Mass accumulations of prosauropod remains in Frick, but also Halberstadt and 94 Trossingen in Germany, share the same taphonomy, resulting in their description as 95 Plateosaurus bonebeds (Sander, 1992). The sediments encasing the bones in all three 96 localities are alluvial mudstones overprinted by pedogenesis, representing a floodplain in a 97 semiarid climate. Apparently, *Plateosaurus* individuals as the heaviest animals in the 98 environment were preferentially mired in shallow depressions when the mud was wet, acting 99 as a deadly trap. Once the animal got stuck in the soft ground, trying to pull itself out, the 100 mud liquified and the resulting undertow made it impossible to get out. This process 101 happened several times over a long period, explaining the mass accumulations (Sander, 102 1992), which cannot be shown to represent mass death events, however. Sander (1992) noted 103 the lack of animals of less than 5 m total body length and of juveniles in all *Plateosaurus* 104 bonebeds. He suggested that this lack was due to smaller body size and the resultant negative 105 scaling of the load on the juvenile feet, reducing the risk for animals of less than 5 m in body 106 length to become mired. The miring hypothesis of Sander (1992) predicted that no juveniles 107 would be found in *Plateosaurus* bonebeds. Until 2011 this prediction was not violated,

although the discovery by Sander & Klein (2005) of developmental plasticity opened up the
possibility that juveniles exceeding 5 m in body length would be found.

Nevertheless, it came as a surprise that juvenile remains of *Plateosaurus* were found in the locality Frick in 2010 and particularly 2011. The 2011 material represents the remains of at least two individuals and primarily consist of isolated neural arches found in a bone field catalogued as MSF 11.3. in the lowermost bone layer. The term 'bone field' had been introduced by Sander (1992).

Ontogenetic studies of sauropodomorph dinosaurs: bone histology and suture closure In general, there are only two methods to ascertain the postnatal ontogenetic stage in a dinosaur individual: bone histology and suture closure patterns, particularly in the skull and the vertebral column.

120 The long bone histology of Plateosaurus engelhardti from the localities of Trossingen 121 and Frick has been studied in more detail (Sander & Klein, 2005; Klein & Sander, 2007) than 122 any other basal sauropodomorph including Massospondylus carinatus (Chinsamy, 1993). The 123 primary bone of Plateosaurus engelhardti consists of fibrolamellar bone tissue, indicating fast 124 growth, but also reveals growth cycles demarcated by LAGs (lines of arrested growth). More 125 importantly, the histological ontogenetic stage of similar sized individuals shows great 126 variation (Sander & Klein, 2005), indicating a poor correlation between body size and age, 127 suggesting developmental plasticity (Sander & Klein, 2005) with growth probably being 128 influenced by environmental factors. The basal sauropodomorph Massospondylus carinatus 129 does not seem to show such plasticity (Chinsamy, 1993; Chinsamy-Turan, 2005).

130 On the other hand, the histology of sauropod long bones received a great deal of attention

131 (Curry, 1999; Sander 1999; Sander, 2000; Sander & Tückmantel, 2003; Curry & Erickson, PeerJ PrePrints | http://dx.doi.org/10.7287/peerj.preprints.325v1 | CC-BY 4.0 Open Access | received: 30 Mar 2014, published: 30 Mar 2014 132 2005; Sander, Mateus & Knötschke, 2006; Klein & Sander, 2008; Sander et al., 2011).

Sauropods revealed a fast-growing bone tissue, described as laminar fibrolamellar bone and a generally uniform histology. They grew along a genetically determined growth trajectory with a certain final size. Sauropods display a good correlation between body size/ontogentic stage and age with little individual variation in rate of growth and final size (Sander, 2000; de Ricqlès, Padian & Horner, 2003; Sander & Klein, 2005; Sander et al., 2011).

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Yet another vertebral feature is important to determine osteological maturity: the stage of closure in the neurocentral suture between the neural arch and its centrum. Brochu (1996) observed different maturity stages in extant and extinct crocodilians by studying the neurocentral suture closure as an size-independant maturity criterion. He pointed out the presence of three different stages of neurocentral suture closure: open, partially closed and completely closed. The pattern of neurocentral suture closure plays another important role. In different groups and also within onmire group different patterns of closure can be found. Within Sauropodomorpha basal sauropodomorphs like *Thecodontosaurus caducus* 

(Yates, 2003) and *Unaysaurus tolentinoi* (Leal et al., 2004) seem to show a pattern consistent
with a posterior-anterior pattern of suture closure. Unfortunately the study on a close relative
to *Plateosaurus*, *Massospondylus carinatus*, does not give a reliable pattern of a neurocentral

suture closure due to the incompleteness of the material of also different specimens (Cooper,

150 1981). Recently described material of a juvenile prosauropod *Yunnanosaurus robustus* 

151 (Sekiya et al., 2013) indicates a roughly posterior-anterior pattern of suture closure. Within

152 Sauropoda diverse patterns of suture closure can be recognized with different centers of

153 ossification and in some cases with no visible order (Ikejiri, 2003; Ikejiri, Tidwell & Trexler,

154 2005; Gallina, 2011)

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# morphology of *Plateosaurus*, to test the hypothesis of developmental plasticity, and to test the miring hypothesis of *Plateosaurus* bonebed origins. We first give a detailed morphological description of neural arch morphology (laminae and fossae) of the immature isolated neural arches found in bone field MSF 11.3. and compare it with the neural arch morphology of osteological mature specimens of *Plateosaurus*. To address developmental plasticity, we need to determine individual body size. Since no femora from bone field 11.3. can be reliably associated with the isolated neural arches, morphometric analysis of the neural arches was used to calculate femur lengths of the juveniles as a proxy of body size. Femur lengths of the juveniles was then added to the Frick dataset on which the hypothesis of developmental plasticity was based. We thus tested if developmental plasticity is also reflected by the morphology of *Plateosaurus engelhardti* and not only in its histology. Finally, we evaluate the implications of the finds of juveniles for the miring hypothesis.

The current study has three objectives: to describe and compare juvenile neural arch

170 **Institutional abbrevations** 

171 MSF, Sauriermuseum Frick, Frick, Kanton Aargau, Switzerland; NAA, Naturama, Aarau,

172 Kanton Aargau, Switzerland; SMA, Sauriermuseum Aathal, Aathal, Kanton Zurich,

173 Switzerland; SMNS, Staatliches Museum für Naturkunde, Stuttgart, Germany.

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#### 175 Material

176 The juvenile specimens of *Plateosaurus* were excavated in the Gruhalde clay pit of the

177 Tonwerke Keller AG in Frick (Switzerland) as part of a bone field in 2011. The discovery

178 was part of systematic paleontological excavations preceeding clay mining each year since

<sup>2004.</sup> Already in 2010, a seemingly juvenile individual had been discovered but this specimen PeerJ PrePrints | http://dx.doi.org/10.7287/peerj.preprints.325v1 | CC-BY 4.0 Open Access | received: 30 Mar 2014, published: 30 Mar 2014 179

180 remains unprepared. Since the bone field yielding the 2011 juveniles was the third bone 181 concentration encountered in the 2011 field season, the bones received consecutive collection 182 numbers starting with "MSF 11.3.". The site was destroyed by mining but the exact position 183 of the bonefield was recorded (Swiss State Coordinates: 642 953.5 / 261 961, lowermost bone layer, 80 – 90 cm above base of the gray beds). Bone field 11.3. yielded several different juvenile bones besides the studied juvenile neural arches, namely isolated centra. These were not used for further analyses because they lack diagnostic features, making a reliable determination of the position within the vertebral column impossible. Vertebrae belonging to the caudal vertebral series were not included to this study because the neurocentral sutures were closed in all specimens. In addition, tail vertebrae can only be assigned to a general region in the tail and not to an exact position. Caudal vertebrae, however, will be considered in terms of morphological change during ontogeny later on.

The girdle skeleton of the juvenile individuals is represented by a right scapula, right coracoid, a right pubis, a left ischium, and the appendicular skeleton is represented by a left femur, a tibia, a fibula, a left humerus, and a radius. These bones probably derive from immature individuals since the sheer size/length of the bones is much smaller than in adults. The articular surfaces at proximal and distal ends of appendicular bones still show an immature stage of ossification. A host of ribs and haemapophyses may also derive from the juveniles. This study focuses on the isolated neural arches from bone field 11.3. The sample includes 17 specimens of isolated neural arches belonging to the cervical and dorsal vertebral series (Table 1).

During the excavation, bone field 11.3. was covered with transparent foil to document the position of the bones found. This map shows that all bones were distributed over the whole area with no recognizably articulation or connection to each other (Fig. S1). The next step was to ascertain how much animals are represented and if specimens of different ontogenetic <u>PeerStane area incognizable of the recognizable of the recog</u> 206 bonefield 11.3.

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#### 208 **Preservation of the neural arches**

209 The preservation of the bones in bone field 11.3. is characterized by various degrees and 210 directions of diagenetic compactions, making the description of the neural arches sometime 211 challenging. The preservation ranges from no obvious compaction to slight dorsolateral 212 pressure and heavily dorsolateral pressure with three dimensional preservation (see  $\Omega_{213}$ description of neural arches). The most obvious feature are fractures, going through the 214 bones. Some of the neural arches and other bones like the ischium show another new feature, 214 215 216 217 218 219 which has not been seen before in material from Frick: dessication cracks originating from the bone lying on the surface for some time, which are filled in with a ferrous mineral during diagenetic processes (see Fig. S2 D-E, Fig. S4 A, Fig. S5A, Fig. S9 A-C, Fig. S10 B-C, Fig. S11 A-C, Fig. S13 B-C, Fig. 4).

#### 220 Adult specimens studied for comparison

221 A morphological comparison of the studied juvenile specimens to other specimens, 222 especially osteological mature individuals, is important. This may reveal ontogenetic 223 morphological variation (Carballido & Sander, 2013). We studied three Plateosaurus 224 vertebral columns in detail for comparison. Two of these are from Frick (MSF 5, MSF 23) 225 and one is from Trossingen (cast of SMNS 13200), see Fraas (1913), Huene (1926), Galton 226 (1985a), Galton (1986), and Sander (1992) on this material.

227 Specimen MSF 5 consists of a block with two incomplete individuals of *Plateosaurus*,

228 with the smaller animal lying on the top of a larger one. The larger animal (MSF 5B)

229 preserves the anterior half of the skeleton with a partial and partially disarticulated skull,

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231 disarticulated elements as shown in Fig. 1 (Rieber, 1985; Galton, 1986; Sander, 1992). The 232 smaller individual (MSF 5A) is represented by a left humerus being smaller compared to the 233 right humerus of the big animal lying on the right side of the block. The remains are 234 preparated right-side up and still remain in the sediment (Sander 1992). The specimen is exhibited in situ at the Sauriermuseum Aathal (SMA) on permanent loan from the MSF. For this study the complete cervical and partly preserved dorsal vertebrae series of MSF 5B is of interest. MSF 5B represents the most complete and best preserved articulated cervical and partial dorsal series from the second cervical vertebra (C2) to the fifth dorsal vertebra (D5) found in Frick, anterior body regions being underrepresented due to the specific taphonomy of the locality (Sander 1992). The bones did not suffer much distortion compared to the bone field 11.3. specimens and are well preserved in three dimensions. All of the vertebrae of MSF 5B show completely closed neurocentral sutures. The neural arches show well and fully developed laminae and fossae throughout the vertebral series with no feature missing.

MSF 23 is a nearly complete and essentially articulated skeleton of a *Plateosaurus* from 245 Frick, on display at the Sauriermuseum Frick (Sander, 1992) (Fig. 2). The morphology of the 246 skeleton has not yet been described in detail, but it was figured by Sander (1992, fig. 3) as 247 well as in the non-technical literature (Sander, 1993; Sander, 2012). The vertebral column is 248 not complete. The segment of C1 to C6 is articulated but separated by a fault from C8 to D15 249 that follow in full articulations. Apparently the seventh cervical vertebra is missing. The 250 absence of C7 may be due to the fault or because MSF 23 had been excavated in three blocks 251 with C7 getting destroyed. Another reason for the missing vertebra might be that MSF 23 just 252 had one vertebra less than other plateosaurs, possibly as a species-level difference. At a first 253 glance, D15 may be considered to belong to the sacrum, being a dorsosacral, because it seems 254 to be fused with the ilia on both sides. However no other *Plateosaurus* revealed more than 255 three sacrals (Jaekel, 1914a; Galton, 1999; Galton, 2001). The diapophyses of D15 also are 256 Peepotosimassiveringthein morphology as these of the sacrals Seetherachesigonal 214 toothed: 30 Mar 2014 259 diagenesis. 7
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MSF 23 generally experienced strong dorsolateral pressure from the right side during
diagenesis. This led to extreme deformation of the vertebrae in the specimen. Nevertheless
MSF 23 shows fully developed vertebral morphology with all laminae and fossae being
present. All of the neurocentral sutures are completely closed.
The third specimen is a cast of a complete skeleton from Trossingen (SMNS 13200, Fig.
3), exhibited at the Naturama (NAA) in Aarau (Switzerland). SMNS 13200 was excavated as

anteriormost part of the sacrum may be due to the age of the animal. The neural arches in

nearly complete articulated skeleton in 1911 in the Knollenmergel Beds of Trossingen at the Obere Mühle (Fraas, 1913) and forms the basis of the osteological description by Huene (1926). The left forelimb distal to the humerus is missing, and the tail is incomplete as well, missing some vertebrae. However, the presacral vertebral column is complete and well preserved. SMNS 13200 shows good three dimensional preservation with no or little influence of compaction on the whole complete vertebral column. All vertebrae display well developed laminae and fossae with all neurocentral sutures being closed.

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#### 272 Methods

273 The morphological description of the neural arches follows the nomenclature of Wilson 274 (1999) and Wilson et. al (2011). Because of their complex morphology and because 275 morphological characters change sequentially throughout the axial skeleton (Carballido et al., 276 2012; Carballido & Sander, 2013), sauropodomorph neural arches can be assigned to specific 277 positions in the vertebral column with a margin of error of one position or less. In sauropods, 278 not only do vertebral characters change within one animal but also during the ontogeny of the 279 same animal (Carballido et al., 2012). Before a sauropod reached osteological maturity, its 280 vertebrae passes developmental stages, often displaying more primitive characters known in 281 Peernone basalutaxa Jigrde termineethe stages of ostero bygio adpanatues of the diversity of the diversi a direct comparison of morphological characters to osteological mature (completely closed
neurocentral sutures) plateosaurs is necessary.

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## 285 Terminology of laminae and fossae

The morphological description of the neural arches of this study follows the nomenclature of Wilson (1999) for the laminae and Wilson et al. (2011) for the fossae of sauropod dinosaurs which can be applied to basal sauropodomorphs as well (Wilson, 1999; Wilson et al., 2011). The nomenclature for laminae set by Wilson (1999) is based on landmarks on the vertebra, namely the connections a lamina establishes, whereas the nomenclatures set before by other scientists were mainly based on the origin the laminae have. The fossae's names are defined by the surrounding laminae (Wilson et al., 2011).

#### 4 Morphometrics

Simple morphometric analysis was applied to estimate the body length of the juvenile 296 *Plateosaurus* from measurement that can be taken on neural arches. In dinosaurs, femur 297 length is a reliable proxy for body mass (Carrano, 2006). In the case of Plateosaurus the 298 femur lengths equals approximately 1/10 of body length (Sander, 1992). Since our material 299 only consists of isolated neural arches, we needed to establish a new proxy which is suitable 300 for determining the body lengths of the juveniles. We decided to use the zygapophyseal 301 lengths of the neural arches for developing a proxy. Due to the extreme dorsolateral 302 compression of some specimens and the better preservation of the pre- and postzygapophyses 303 compared to the transerve processes of the neural arches, measuring zygapophyseal length 304 appears to be the most reliable size proxy. The zygapophyseal length of the neural arches of 305 all specimens studied was measured from the tip of the prezygapophysis to the tip of the

308 and SMNS 13200 was measured (Table 2) as maximal length on the medial side. The femur 309 of specimen MSF 5B is not preserved, but its scapula is. Based on the scapula/femur ratio 310 (76%) of specimen MSF 23 and on measured scapula length of MSF 5B, we were able to 311 calculate the femur length of MSF 5B. 312 The ratio between zygapophyseal length and femur length of MSF 5B, MSF 23, and 313 <u>\$</u>314

SMNS 13200 were measured to calculate the femur lengths of the juvenile specimens of bone field 11.3. (Table S2). For the calculation of the femur lengths of the juveniles in percentage, we only used data of specimen SMNS 13200, where the material is the most complete and best preserved one, compared to all other specimens studied.

the calculations of body lengths of the juveniles, femur length of specimens MSF 5B, MSF 23

316 317 317 318 319 320 The main problems during measurements of zygapophyseal lengths in neural arches of all specimens studied were caused by poor preservation in some bones, with the tips of pre- or postzygapophyses missing. Sometimes heavy deformation, e.g., in MSF 23 in the region of 320 the posteriormost dorsal vertebrae, made measurements impossible. In partly articulated 321 specimens like MSF 5B and MSF 23, bones like dorsal ribs and gastralia obscure parts of the 322 vertebral column.

323 Morphometric measurements were performed with a sliding caliper for distances between 324 0-150 mm. If the distance was greater than 150 mm, or the measurement was not accessible 325 with the sliding caliper, a measuring tape was used. The measurements were taken to the 326 nearest 0.1 mm (calliper) and to the nearest millimeter (measuring tape).

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#### 328 **Anatomical abbrevations**

329 acdl, anterior centrodiapophyseal lamina; acpl, anterior centroparapophyseal lamina; Cd?,

caudal of indeterminate position; **cdf**, centrodiapophyseal fossa; **cpol**, *PeerJ PrePrints* | <u>http://dx.doi.org/10.7287/peerj.preprints.325v1</u> | CC-BY 4.0 Open Access | received: 30 Mar 2014, published: 30 Mar 2014 330

centropostzygapophyseal lamina; cprl, centroprezygapophyseal lamina; C1, atlas; C2, axis;
C3, third cervical; C4, fourth cervical; C6, sixth cervical; C7, seventh cervical; C8, eighth
cevival; C10, tenth cervical; C?, cervical of indeterminate position; d, diapophysis; D3, third
dorsal; D4, fourth dorsal; D5, fifth dorsal; D6, sixth dorsal; D7, seventh dorsal; D10, tenth
dorsal; D11, eleventh dorsal; D15, fifteenth dorsal; D?, dorsal of indeterminate position; pa,
parapophysis; pcdl, posterior centrodiapophyseal lamina; poz, postzygapophysis; pocdf,
postzygapophyseal centrodiapophyseal fossa; podl, postzygodiapophyseal lamina; ppdl,
paradiapophyseal fossa; prdl, prezygodiapophyseal lamina; spol, spinopostzygapophyseal
centrodiapophyseal fossa; prdl, prezygodiapophyseal lamina; spol, spinopostzygapophyseal
lamina; sprl, spinoprezygapophyseal lamina; tprl, intraprezygapophyseal lamina; tpol,
intrapostzygapophyseal lamina; zyga, zygantrum; zygo, zygosphene.

# **Results**

## 344 **Description**

Among the juvenile bones, there are six isolated neural arches (specimens MSF 11.3.074,

346 MSF 11.3.258, MSF 11.3.317, MSF 11.3.366, MSF 11.3.371, and MSF 11.3.388, see also

Table 1 and Supplemental Information) that can be assigned to the cervical vertebral column.

348 This is based on their low and elongated appearance in comparison to the taller and shorter

349 proportions of the dorsal neural arches (Huene, 1926). We identified eleven dorsal neural

- arches from the bone field 11.3. sample (specimens MSF 11.3.049, MSF 11.3.067, MSF
- 351 11.3.095, MSF 11.3.107, MSF 11.3.167, MSF 11.3.169, MSF 11.3.241, MSF 11.3.303, MSF
- 352 11.3.339, MSF 11.3.360 and MSF 11.3.376, see Table 1 and Supplemental Information). The
- 353 specimens can be further subdivided into anterior (C1 to C5) and posterior (C6 to C10)

<sup>354</sup> \_\_\_\_\_ cervical neural arches and into anterior (D1 to D5), middle (D6 to D10) and posterior (D11 to PeerJ PrePrints | http://dx.doi.org/10.7287/peerj.preprints.325v1 | CC-BY 4.0 Open Access | received: 30 Mar 2014, published: 30 Mar 2014

355 D15) dorsal neural arches. The identification of the position of the neural arches are 356 performed with the help of characters and features of diapophyses (d), prezygapophyses (prz), 357 postzygapophyses (poz), parapophyses (pa), and the neural spines, as described by von Huene 358 (1926) and Bonaparte (1999). The laminae and fossae play an important role in the 359 morphology of the neural arch (Bonaparte, 1999; Wilson, 1999; Wilson et al., 2011). 360 Furthermore the processes of the neural arch change gradually along the vertebral column, 361 e.g. in length, shape, size, location on the arch and angle at which these stand out from the 362 vertebra (Wilson, 1999).

The complete vertebral column of *Plateosaurus engelhardti* consists of a rudimentary proatlas, 10 cervical vertebrae, 15 dorsal vertebrae, three sacral vertebrae, and at least 50 caudal vertebrae (von Huene, 1926; Bonaparte, 1999; Upchurch, Barrett & Galton, 2007). Specimens MSF 11.3.388 (cervical neural arch) and MSF 11.3.169 (dorsal neural arch) displayed the worst preservation and were not described in detail. We were unable to reliably determine the position of these two arches within the vertebral column since all of the important characters were net preserved.

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371 Cervical neural arches

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373 Axis, **MSF** 11.3.317 (Fig. S2 A-C)

The axis is the anteriormost neural arch identified in the bone field. With the diapophysis and parapophysis missing, the diapophyseal and parapophyseal laminae are not present in the axis. The prezygapophysis shows much smaller and shorter facets than the postzygapophysis. The prezygapophysis is ventrally supported by a single cprl. The tprl connecting both prezygapophyses is missing. Short sprl's line up dorsally to the neural spine. As a counterpart

the cpol holds up the postzygaphysis, and the spol runs up dorsally from the postzygapophysis towards the neural spine. A poorly developed tpol connecting the postzygapophysis is present. The only fossa is the spof, but it does not extend deeply into the neural arch. In ventral view, the pedicels show the zipper-like surface of the neurocentral suture, which is typical for morphologically immature bones originating from the open neurocentral suture (Brochu, 1996; Irmis, 2007). Further on, the articular surfaces of the poz's in ventral view are abrasive and were only partly ossified at the time of death. The morphology of the axis arch does not differ from the adult condition as described by von Huene (1926).

Third cervical, **MSF** 11.3.258 (Fig. S2 D-F)

The neural arch can be assigned to the third position within the vertebral column. No diapophysis or parapophysis is present, therefore the arch is missing any diapophyseal and parapophyseal laminae. Postzygapophysis and prezygapophysis are both small and form a low angle, indicating that this neural arch is an anterior cervical one. The tprl (the connecting lamina between the prezygapophyses) and tpol is well developed. The sprl is hardly developed in contrast to the spol being quite present. The cprl and cpol are well developed. Like in the axis, the spof is present and becomes deeper. Though less developed, the sprf is present now. Zipper-like suture surfaces on the pedicels are recognizable in ventral view.

397

398 Fourth cervical, **MSF** 11.3.371 (Fig. S3 A-E)

The arch shows a partly preserved diapophysis on the right lateral side, but still no
parapophysis is present. Nevertheless, diapophyseal as well as parapophyseal laminae do not
extend onto the arch. The prezygapophyses of MSF 11.3.371 are much more elongated

403 same arch. The surfaces of the articular surfaces have a quite low angle of less than 45°.

404 While the cool remains short in length, the corl is a thick elongated lamina. Sprl and spol are

405 well developed along with the sprf and the spof, with the spof being the deeper and broader

406 fossa. Other fossae are not present.

407

408 Sixth Cervical, MSF 11.3.074 (Fig. S4 A-B)

409 410 411 412 413 414 415 The partly preserved diapophysis fully moved dorsally onto the neural arch and is situated at the midlength of the neural arch. No parapophysis is present. The prezygapophysis and postzygapophysis seem to be very steeply angled, and the surface of the articular facets is rough, suggesting a cover by cartilage. This is unlike in adults, where zygapophyseal articular facets are well ossified and smooth. Intense lateral compaction of the arch with a slightly ventral to dorsal shift is recognizable. The acdl emerges as a thin lamina going anterodorsally 415 up from the anterior part of the junction between centrum and neural arch to the tip of the 416 prezygapophysis, recognizable on both the left and right lateral side; concomitant with the 417 presence of a small and shallow prcdf. The pcdl is not present. The neural spine is higher than 418 in the anterior cervicals. Sprl, spol, tprl and tpol are present. Both cprl and cpol seem to be 419 shorter than in the more anterior cervical arches. The sprf is not well developed whereas the 420 spof is deeper. The pedicels lack the zipper-like structures due to poor preservation.

421

422 Tenth cervical, MSF 11.3.366 (Fig. S5 A-B)

423 In the tenth cervical neural arch the cervicodorsal transition is visible. Posteriormost 424 cervical neural arches show strong reduction in centrum and zygapophyseal length in 425 comparison to the previous arches. The neural spine gets higher. The size, shape and 426 Peerful Rie Richtes of Philip and Standing S 427 supported from below by parapophyses which migrate onto the dorsal neural arches (Wilson, 428 1999). Though the diapophysis of the specimen is not complete with the tip missing, the 429 diapophysis arises fully from the neural arch. As a consequence, all of the diapophyseal 430 laminae are present and well developed. These include the acdl, which is a thin lamina in the 431 sixth cervical (MSF 11.3.074), but which is thickened and well established in specimen MSF 11.3.366. The diapophysis is well supported ventrally by the pcdl, being the stronger and broader lamina, and the acdl. The cdf is still simple and not deep. On the contrary, the prcdf and pocdf are deep and extensive. The surface of the prezygapophyses and postzygapophyses are much more extensive, which is not the case for zygapophyses of anterior cervicals. Still, a parapophysis is not visible, but the laminae connecting the diapophysis with the prezygapophysis (prdl) and the diapophysis with the postzygapophysis (podl) are distinctly developed. All the other laminae like sprl, spol, tprl, tpol, cprl and cpol as well as sprf and spof are well developed. In contrast to the neural spine of more anterior cervicals, the neural spine of this specimen is much thicker. Specimen MSF 11.3.366 is the anteriormost specimen in the cervical series to exhibit a zyposphene and zygantrum for further support of the vertebral column.

443

#### 444 Dorsal neural arches

Anterior neural arches from the first to the seventh dorsal are most abundant in bone field 11.3., and only two posterior dorsal neural arches can be recognized. Some positions are represented twice like the third, the fifth, the sixth and the tenth/eleventh dorsal. All of the dorsal neural arches show well developed zygosphenes and zygantra if this region is preserved. Zipper-like sutural surfaces are preserved for the dorsals MSF 11.3.360, MSF 11.3.167, MSF 11.3.095, MSF 11.3.107 and MSF 11.3.339. 452 Third dorsal, **MSF** 11.3.360 (Fig. S6 A-D)

This specimen is one of the most anterior dorsal neural arch in the dorsal series. With the shortest and thickest neural spine within the whole vertebral series, being nearly square in shape in dorsal view and sticking out from the arch at a right angle, the identification of this neural arch as a third dorsal is unmistakeable (Huene, 1926). The diapophysis is slightly oblique and gently posteriorly directed. Furthermore, three very deep fossae are well recognizable below the diapophysis (prcdf, pocdf and cdf). A first sign of a slight parapophysis articular facet is recognizable on both sides of the bone. The parapophysis still seems to have been located more on the centrum than on the neural arch. The much broader facets of the prezygapophyses in comparison to small ones of the postzygapophyses are remarkable. Nonetheless, both show rough articular sufaces like all the cervical neural arches. All laminae (acdl, pcdl, prdl, podl, sprl, spol, cprl and cpol) are fully developed.

## 465 Third dorsal, **MSF** 11.3.376 (Fig. S7 A-C)

466 Specimen MSF 11.3.376 can also be identified as a D3 due to the same diagnostic 467 characters. However, there are some striking differences in comparison to the previous 468 specimen. The prezygapophyses are much smaller and seem to be elongated instead of being 469 broad. This may be due to preservation, though the shape of MSF 11.3.360 appears to be little 470 affected by diagenetic deformation. MSF 11.3.376 experienced dorsoventral crushing. In 471 addition, the parapophysis articular area has clearly developed and is situated on the neural 472 arch while the parapophyses of MSF 11.3.360 still articulates with the centrum, because it is 473 hardly visible. Commonly, the parapophyses first come in contact with the acdl in the fifth or 474 sixth dorsal (von Huene, 1926). All laminae are fully present and developed, whereas the acdl 475 is slightly truncted by the parapophysis articular facet.

476 Fourth dorsal, **MSF** 11.3.049 (Fig. S8 A-B)

477 In the fourth dorsal neural arch, the thickness of the spine decreases a little and the spine 478 gets longer. Unfortunately the tip of the diapophysis is missing on both sides. No 479 parapophysis is visible. In all likelihood, the parapophysis articular facet is situated on the 480 centrum. This may lead to the assumption that we deal with a cervical, but the neural spine 481 indicates the specimen to be a dorsal. The appearance of the prezygapophyses and the very 482 short postzygapophyses also argue for a dorsal neural arch. Fossae and accompanying <u>2</u>483 laminae are well developed. All three fossae below the diapophysis are very deep and well 484 visible (prcdf, cdf and pocdf). No parapophysis influences the laminae and fossae existent. 485 486 487 488 488 Tough the cdf seems to be not as deep as in the third dorsals, though. The well established laminae and fossae indicate the neural arch to belong to a forth dorsal. Cprl and cpol distinctly arise from the prezygapophysis and postzygapophysis, increasing the general height of the neural arch.

489

#### 490 Fifth dorsal, **MSF** 11.3.067 (Fig. S9 A-C)

491 The fifth dorsal neural arch shows partly preserved diapophyses, but no parapophysis 492 articular facet due to poor preservation. The neural spine shows that a posterior inclination is 493 seen from now on backwards in the vertebral column. The left lateral side of the arch shows 494 that all the laminae and fossae are well developed in this specimen. As expected, the prcdf 495 begins to diminish in size and extent due to the parapophysis articular facet moving dorsally onto the neural arch, also slowly closing the acdl, separating the lamina into acpl and ppdl in 496 497 posterior dorsal neural arches. In addition, the parapophysis articular facet also influences the 498 prdl to the extent that it forms back. This process takes place stepwise, visibly beginning in

499 the fifth dorsal and being complete in the eigth dorsal in which there are only two fossae left 500 below the diapophysis (pocdf and cdf).

501

502 Fifth dorsal, MSF 11.3.167 (Fig. S10 A-C)

503 This is another neural arch belonging to a fifth dorsal vertebra. The specimen is heavily 504 crushed on the left side, leaving the right side for the description. All laminae are well 505 506 507 508 509 510 developed beneath the diapophysis with deep fossae (pcdl, acdl, prdl, podl, sprl, spol, cprl and cpol). A parapophysis articular facet is present interrupting the acdl. The appearance of the zygapophyses conforms with those of specimen 11.3.067.

Sixth dorsal, MSF 11.3.095 (Fig. S11 A-C)

Specimen MSF 11.3.095 is assigned to the sixth position in the dorsal vertebral column. 511 The diapophyses are posteriorly oriented, suggesting a middle dorsal neural arch. The 512 prezygapophyses are elongated in contrast to the postzygapophyses being shorter and smaller 513 in expanse. Furthermore all laminae are fully developed. At the anterior end of the arch, 514 dorsal of the neurocentral suture, a distinctive parapophysis articular facet is present on both 515 sides. The parapophysis articular facet displaces the acdl, giving rise to the ppdl, connecting 516 the parapophysis from ventral to dorsal with the diapophysis, and the acpl and the prpl. The 517 prpl connects the parapophysis anterodorsally with the prezygapophysis. The prdl is still well 518 visible. All the rest of the laminae are well developed, like in the arches described before. The 519 same applies to all of the fossae. Further evidence for the identification of the specimen as a 520 sixth dorsal is that the prcdf becomes narrower and decreases in depth compared to the prcdf 521 in more anterior neural arches.

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21

522 Sixth dorsal, MSF 11.3.107 (Fig. S12 A-C)

523 This specimen can also be identified as a sixth dorsal neural arch. All features seen in this 524 specimen coincide with those of specimen MSF 11.3.095. The bone is complete although the 525 diapophysis is broken off on the left side and is diagenetically recemented to the arch.

526

527 Seventh dorsal, MSF 11.3.339 (Fig. S13 A-C)

> Although being the most complete and best preserved specimen of all, this neural arch is strongly influenced by anteroposterior compaction. This implies an extremely posteriorly directed diapophysis and a constrained elongation of the prdl on the right lateral side. Aside from the preservation, the prdl is much shorter and more inconspicuous than in the more anterior neural arches which argues for a position around the seventh dorsal, where the prdl is fused with the ppdl, the acdl is consumed by the acpl, and the cprl is disrupted by the prpl, connecting the parapophysis anterodorsally with the prezygapophysis. Unfortunately, no parapophysis articular facet is preserved. Furthermore, the specimen impressively shows the rough and only partly ossified zygapophyseal articular surfaces.

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538 Tenth/Eleventh dorsal, **MSF** 11.3.241 (Fig. S14 A-C)

539 This is the posteriormost position represented by the neural arches found in bone field 540 11.3., being the tenth or eleventh dorsal neural arch. The arch has broad and extensive 541 diapophyses, oriented nearly at right angless to the arch. The partly preserved neura spine 542 does not show any indication of a bifurcation in the posterior part, which is mainly the reason 543 why the arch cannot be assigned to the 12th up to the 15th dorsal. A sure indicator for a 544 posterior dorsal position are the presence of only two fossae below the diapophyses. The prdl

545 has fully vanished from the arch in this position. A parapophysis articular facet is well 546 preserved on the left lateral side of the specimen. Prezygapophyses and postzygapophyses are 547 both short compared to prezygapophyses and postzygapophyses in the middle dorsal neural 548 arches (i.e., the fifth, sixth, and seventh dorsal). In all middle and posterior dorsal neural 549 arches, the articular surfaces of the zygapophyses are horizontal. At the same time, the 550 zygosphene and zygantrum are very distinctive.

551

552 Tenth/Eleventh dorsal, MSF 11.3.303 (Fig. S15 A-C)

This posterior dorsal neural arch can also be assigned to a position around the tenth dorsal. The diapophyses are not well preserved, missing the tip on the right lateral side and not being preserved on the left lateral side, to which a partly preserved bone (MSF 11.3.304) is cemented. Presumably this bone is a posterior caudal vertebra. Again the diapophysis is directed laterally at a 90-degree angle like in specimen MSF 11.3.241. The shape and appearance of the prezygapophyses and postzygapophyses also coincide with those of the previously described specimen. In contrast to specimen MSF 11.3.241, the postzygapophyses 560 show completely ossified articular surfaces. All laminae and fossae are well developed (acpl, 561 ppdl, pcdl, podl, sprl, spol, tprl, tpol, cprl and cpol).

562

#### 563 Minimal number of individuals (MNI)

564 The assignment to position of the neural arches indicates the minimum number of 565 juvenile individuals (MNI) represented in bone field MSF 11.3. In the dorsal series, some 566 positions are represented twice, such as the the third dorsal (MSF 11.3.360 and MSF 567 11.3.376), the fifth dorsal (MSF 11.3.067 and MSF 11.3.167), the sixth dorsal (MSF 11.3.095

- and MSF 11.3.107), and the tenth/eleventh dorsal (MSF 11.3.241 and MSF 11.3.303). The
  MNI of juvenile *Plateosaurus* from bone field 11.3. is thus two.
- 570

## 571 Morphometric analysis

#### 572 Neural arch size measured as zygapophyseal length

573 The values of zygapophyseal length of the isolated neural arches pertaining to juveniles and described here and of the specimens MSF 5B, MSF 23 and SMNS 13200 were measured 574 575 for morphometric analysis (Table S1). The trend of zygapophyseal lengths along the cervical 576 577 578 579 580 and dorsal series shows a clear pattern in all adult specimens studied (MSF 5B, MSF 23 and SMNS13200) (Fig. 5). This pattern is roughly followed by the disarticulated neural arches from bone field 11.3 as well. The anterior cervical neural arches show a rapid increase in zygapophyseal length, with C4/C5 showing the maximal length. Posteriorly, a decrease in the length of the cervical neural arches takes place, with the anterior dorsals (D3) showing the 581 lowest value of zygapophyseal length. Subsequently the zygapophyseal length again 582 increases, though at a much lower rate than in the anterior cervicals. The comparison of 583 neural arches at the same positions suggests that the two juvenile individuals are of a slightly 584 different size. The maximal size difference is approximately 20%.

585

Specimen SMNS 13200 with the greatest femur length (685 mm) generally possesses the greatest zygapophyseal lengths. Except for a few outliers, its lengths are clearly greater in comparison to the other specimens. Though specimen MSF 23 is the second largest individual on the basis of a femur length of 610 mm, the zygapophyseal lengths of the slightly smaller MSF 5B (calculated femur length of 565 mm), overlap with those of MSF 23. Throughout the vertebral series, the zygapophyseal lengths of the isolated neural arches are less than those of

<sup>592</sup> the adult specimens. The zygapophyseal lengths of the juveniles only overlap with those of PeerJ PrePrints | http://dx.doi.org/10.7287/peerj.preprints.325v1 | CC-BY 4.0 Open Access | received: 30 Mar 2014, published: 30 Mar 2014

specimen MSF 23 in the cervical series which may be due to the strong deformation in MSF23.

595

## 596 Zyg/Fe ratios

597 Zygapophyseal length was calculated as a percentage of femur length (Table S2) to 598 estimate femur length from the isolated neural arches (Table 3). With the help of these ratios, 599 it is possible to estimate femur length of the juvenile specimens, which is documented in \$600 601 602 603 604 605 606 Table 3. Though the Zyg/Fe ratios of MSF 5B, MSF 23 and SMNS 13200 show a wide range between 12.5 – 28.3 % (Table S2), they all reflect a pattern, following the regular change in zygapophyseal length throughout the vertebral column visible in all specimens. The pattern of increase and decrease of zygapophyseal lengths explains the wide range in the Zyg/Fe ratios in these individuals. The calculated femur lengths of the two 11.3. individuals range from 478.9 to 594.9 mm, depending on position of the neural arch and size of the individual. Again the variation in zygapophyseal length, which can be seen in all specimens studied, accounts 607 for the relative large variation in estimated femur length. Based on the vertebral positions that 608 are represented twice, the femur length estimate for the larger juvenile is between 539 mm 609 and 595 mm and that for the smaller juvenile is between 479 mm and 593 mm.

#### 610 Discussion

### 611 Ontogenetic changes in vertebral morphology

Morphological changes through ontogeny in sauropodomorphs are poorly known because juveniles are rarely found and are mainly represented by late juveniles to subadult specimens (Ikejiri, Tidwell & Trexler, 2005; Tidwell and Wilhite, 2005). Until now there are just three basal sauropodomorphs and two sauropods with embryos or very young specimens known: *Massospondylus carinatus* (Reisz et al., 2005; Reisz et al., 2012), *Mussaurus patagonicus* 

(Bonaparte & Vince, 1979; Otero & Pol, 2013), the basal sauropodomorph *Yunnanosaurus robustus* (Sekiya et al., 2013), a baby titanosauriform closely related to *Brachiosaurus*(Carballido et al., 2012), and *Europasaurus* (Sander et al. 2006; Marpmann et al., 2011;
Carballido & Sander, 2013). The most detailed study of ontogenetic changes in vertebral
morphology has been done on *Europasaurus holgeri*, with different ontogenetic stages being
recognized and defined (Carballido & Sander, 2013). Though in most cases isolated bones
and incomplete specimens of vertebral column remains exacerbate studies on morphological
changes through ontogeny (Carpenter & MacIntosh, 1994; Foster, 2005).

Based on neural arch morphology, Carballido and Sander (2013) recognized five morphological ontogenetic stages: early immature, middle immature, late immature and two stages of maturity. In the early and middle immature stage, laminae and/or fossae of a neural arch are not fully developed. In the late immature stage all morphological characters of adults are already present, but the neurocentral suture remains open. The ontogenetic stage of the juvenile MSF 11.3. specimens equals the late immature stage found in *Europasaurus holgeri*.

The comparison of the morphology of cervical and dorsal neural arches between the juvenile MSF 11.3. specimens and the mature *Plateosaurus* did not reveal any differences at all. Laminae as well as fossae are all well developed in all osteologically mature individuals as well as in the juvenile *Plateosaurus* of bone field 11.3. The only distinction which can be made are the fully open neurocentral sutures in the 11.3. juveniles and the fully closed and invisible neurocentral sutures in the mature individuals (MSF 5B, MSF 23 and SMNS 13200).

637 The series of ontogenetic changes in the neural arch morphology as detected for

638 Tazoudasaurus (Allain & Aquesbi, 2008), the brachiosaurid SMA 0009 (Carballido et al.,

639 2012), *Phuwiangosaurus* (Martin, 1994) and especially the camarasauromorph *Europasaurus* 

640 *holgeri* in Carballido and Sander (2013) cannot be observed in *Plateosaurus*. While this may

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plesiomorphy of basals sauropodomorphs. Basals sauropodomorphs are more plesiomorphic
in their neural arch morphology than more derived sauropods and may have been more
plesiomorphic in having less ontogenetic change in vertebral morphology. The function of
laminae in sauropodomorphs was in the structural support of the neck and trunk region
(Osborn, 1899; McIntosh, 1989), but also evolved as a correlate of axial pneumaticity
(Seeley, 1870; Wilson, 1999; Taylor & Wedel, 2013). Most probably laminae can be
explained by both factors.

Ŧ )

#### Size and ontogenetic stage in *Plateosaurus*

The fully open neurocentral sutures of the neural arches described in this study are a reliable indicator for immaturity (Brochu, 1996). However, the calculated femur length for both juvenile individuals ranges between 479 mm and 595 mm, indicating that these were not smaller than many mature individuals from the Frick Plateosaurus bonebed. Histological mature animals from Frick and Trossingen studied in Sander and Klein (2005) display a 656 femur length between 480 mm and 990 mm. The femur lengths of osteological immature, as 657 well as osteological mature, specimens and histological mature animals merge into one 658 another (Fig. 6). Furthermore comparing the osteological mature specimen MSF 5B (femur 659 length: 565 mm) with the juveniles one can assume that the immature animals would have 660 become larger than MSF 5B. Both this study and Sander and Klein's study in 2005 show no 661 correlation between age and size. Developmental plasticity is not only observable in histology 662 of *Plateosaurus*, but also corroborated by its morphology.

663 However, as discussed in the introduction, alternative explanations to

664 developmental plasticity such as the presence of several *Plateosaurus* species

represented at the locality of Frick cannot be excluded, and a combination of several

666 Peels protines estinder dopp 1017227 plasticity is if for a location of the propagation of the propagati

and/or sexual dimorphism) still remain possible and cannot be tested without further
detailed study of the material from the *Plateosaurus* bonebeds and the taphonomy of
the bonebeds.

670 Patterns of neurocentral suture closure

The isolated neural arches from bone field MSF 11.3. contribute little to our understanding of the pattern of neurocentral suture closure in *Plateosaurus*. Circumstantial evidence consists of the lack of isolated posterior dorsal and caudal arches compared to the large number of caudal vertebrae preserved in the bone field. This is suggestive of suture closure beginning in the tail and posterior dorsal region. Further we missed most of posterior cervical neural arches (C7 to C9) in our sample size. Those, as well as posterior dorsals (D12 to D15) may have had completely closed neurocentral sutures and thus are present on bone field 11.3. We just could not assign them to belong to juveniles because the only reliable character for immaturity in our specimens (open neurocentral sutures) are not present. This indicates a pattern of suture closure with more than one ossification center.

681

682 Implications for taphonomic hypothesis

683 As noted, the taphonomic hypothesis for origin of the Plateosaurus bonebeds of Central 684 Europe proposed by Sander (1992) predicted a size threshold for animals below which 685 animals did not become mired. According to Sander (1992), this would explain the lack of 686 juveniles because of their small size. While the discovery of juveniles in the lowermost bone 687 horizon seemingly contradicts the hypothesis of Sander (1992), this is not the case. The 688 juvenile *Plateosaurus* individuals described in this study are as large or even larger than the 689 smallest fully grown *Plateosaurus* present at Frick, upholding the view that a size threshold 690 existed that kept animals smaller than a 5-m Plateosaurus from becoming mired in the mud

<sup>691</sup> traps. This conclusion was implicit in the work of Sander & Klein (2005) and Klein & Sander PeerJ PrePrints | http://dx.doi.org/10.7287/peerj.preprints.325v1 | CC-BY 4.0 Open Access | received: 30 Mar 2014, published: 30 Mar 2014

692 (2007), but it was not expressed because histological immaturity could not be properly

693 correlated with skeletal immaturity because isolated neural arches were not known from Frick694 at the time.

## 695 Conclusions

696 The study focuses on the first remains of juveniles of the basal sauropodomorph 697 Plateosaurus engelhardti. P. engelhardti can be found in over 40 localities in Central Europe <del>ري</del> 698 (Sander, 1992). The juveniles studied come from the locality of Frick, one of three localities 699 700 701 702 703 703 preserving abundant remains of *Plateosaurus* and sharing the same taphonomy. These localities were described as *Plateosaurus* bonebeds by Sander (1992). The juveniles were found in a bone field in the lowermost bone horizon in the Gruhalde clay pit of the Tonwerke Keller AG, revealing a concentration of several juvenile and adult bones. The most interesting specimens were isolated neural arches, representing an MNI of two juveniles that slightly 704 differed in size. The juvenility and osteological immaturity of the remains can reliably be 705 linked to the lack of fusion of the neural arches to the centra (Brochu, 1996). The ventral 706 surface of the pedicel reveals the characteristic zipper-like surface of the suture, but the 707 morphology of the immature neural arches does not differ from the morphology of the 708 osteologically mature specimens (MSF 5B, MSF 23 and SMNS 13200) studied for 709 comparison. Thus, the juvenile specimens of P. engelhardti seem to represent late immature 710 individuals. Patterns of abundance in the bone field hint at suture closure pattern in 711 Plateosaurus from posterior to anterior. Though a pattern of suture closure with more than 712 one ossification center is possible.

713 Morphometric analysis based on the ratio of zygapophyseal length to femur length

- indicates the femur length of the juvenile specimens to have been between 479 and 595 mm.
- Thus these animals were larger than the smallest histologically fully grown individual with a PeerJ PrePrints | http://dx.doi.org/10.7287/peerj.preprints.325v1 | CC-BY 4.0 Open Access | received: 30 Mar 2014, published: 30 Mar 2014

716 femur length of 480 mm from Frick (Sander & Klein 2005) and most probably would have 717 become larger than another individual with a femur length of 565 mm. The morphometric analysis thus independently confirms the poor correlation between age and size in the finds from Frick assigned to P. engelhardti, most likely reflecting pronounced developmental plasticity of *Plateosaurus* (Sander & Klein 2005). However, alternative explanations such as the presence of several *Plateosaurus* species represented at the locality Frick cannot be excluded, and a combination of several hypotheses (developmental plasticity, different species, populations separated in time, and/or sexual dimorphism) still remain possible and cannot be tested without further detailed study of the material from the *Plateosaurus* bonebeds and the taphonomy of the bonebeds. Our study also failed to falsify the taphonomic miring hypothesis of Sander (1992) explaining the origin of the Plateosaurus bonebeds. While juvenile, the newly described individuals are not smaller than some adults and above the size threshold for miring.

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- 743

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Specimen Number	Position in vertebral column	
MSF 11.3.317	Axis	
MSF 11.3.258	C3	
MSF 11.3.371	C4	
MSF 11.3.074	C6	
MSF 11.3.366	C10	
MSF 11.3.388	C?	
MSF 11.3.360	D3	
MSF 11.3.376	D3	
MSF 11.3.049	D4	
MSF 11.3.067	D5	
MSF 11.3.167	D5	
MSF 11.3.095	D6	
MSF 11.3.107	D6	
MSF 11.3.339	D7	
MSF 11.3.241	D10/D11	
MSF 11.3.303	D10/D11	
MSF 11.3.169	D?	
MSF 11.3.348	Cd?	
MSF 11.3.304	Cd?	

Table 1: List of juvenile neural arches of bone field 11.3.

List of juvenile neural arches of bone field 11.3. with their respective position determined.

1010 The complete vertebral column of Plateosaurus engelhardti consists of 10 cervical vertebrae

1011 (Axis to C10) and 15 dorsal vertebrae (D1 to D15). Positions D3, D5, D6 and D10/D11 can

1012 be recognized twice in the sample size. Specimen MSF 11.3.348 is the only caudal vertebrae

1013 to be studied in the research since caudal neural arches at least in the posterior region do not

1014 reveal characters to make a determination of if its position impossible. Specimens MSF

1015 11.3.388 and MSF 11.3.169 were not assignable to a position due to poor preservation.

- 1016
- 1017
- 1018
- 1019
- 1020
- 1021

		Adult (osteological mature) specimens	Femur length (mm)
		MSF 5B	565
		MSF 23	610
1022		SMNS 13200	685
1023	Table 2: Fei	mur lengths of the adult specimens MSF 5B,	, MSF 23 and SMNS 13200.
1024	The femur le	ength of the adult specimens MSF 5B, MSF	23 and SMNS 13200 with completely
1025	closed neuro	ocentral sutures on their vertebral column. The	he femur length of specimen MSF 5B
1026 ഗ	was calculat	ed with the given scapula/femur ratio (76%)	of specimen MSF 23 and the
1027	measured sc	apula length of MSF 5B, since the femur itse	elf is not preserved.
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Location	SMNS 13200	MSF 11.3.	MSF 11.3.
	Zyg/Fe ratio (%)	Zygapophyses length	Femur length
		(mm)	(mm)
C1			
C2 (axis)		77.4	
C3	21.4	117.7	549.2
C4	25.0	142.5	570.0
C5	25.1		
C6	22.1	129.7	586.3
C7	25.8		
C8	25.5		
C9	19.6		
C10	19.0	109.9	578.4
D1	17.8		
D2	16.5		
D3	16.1	77.1	478.9
		(86.7)	(538.5)
D4	16.6	98.7	593.15
D5		94.2	
		(101.1)	
D6	19.7	108.5	550.5
		(109.2)	(554.0)
D7	20.4	106.6	521.5
D8			
D9	17.8		
D10	20.4	110.8	542.1
		(121.6)	(594.9)
D11	20.7		
D12	19.6		
D13	20.9		
D14	19.4		
D15			

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1047 For the calculation of a range of femur length of the juvenile specimens MSF 11.3. specimens

1048 we only used the Zyg/Fe ratio of specimen SMNS 13200 due to completeness and good

1049 preservation of this specimen. The femur length of the juvenile specimens lies in between 479

and 595 mm. Lengths given in parentheses are again resulting from the longer specimen at

1051 positions occupied twice (refer to Table S1). The femur length estimate for the larger juvenile

- 1052 is between 539 mm and 595 mm and that for the smaller juvenile is between 479 mm and 593
- 1053 mm.

<sup>1045</sup> 

**Table 3:** Calculated range of femur length of the MSF 11.3. specimens.



**Figure 1:** Specimen MSF 5 on exhibition in the SMA.

- and articulated dorsal vertebrae from D1 to D5. MSF 5B. All zygapophyseal lengths were
- 1076 available for measurements. MSF 5B being an osteological mature specimen of *Plateosaurus*
- 1077 engelhardti shows completely closed neurocentral sutures with all morphological characters
- 1078 being well developed. Scale bar measures 5 cm.
- 1079
- 1080

<sup>1074</sup> Specimen MSF 5B reveals a complete articulated cervical series from vertebrae C2 to C10

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1094 Specimen MSF 23 is a nearly complete and in most parts articulated *P. engelhardti*. The

1095 cervical vertebrae series is complete from C1 to C10, but missing C7. The dorsal series is

1096 complete from D1 to D15. The vertebrae of this specimen are heavily deformed, especially in

1097 the posterior dorsal series making measurements difficult. This specimen shows completely

1098 closed neurocentral sutures and all morphological characters are well developed. Scale bar

- 1099 measures 20 cm.
- 1100
- 1101
- 1102



Figure 3: Specimen SMNS 13200: a cast exhibited in the NAA.

A complete mounted skeleton cast of SMNS 13200 from Trossingen, Germany. The cervical as well as the dorsal vertebrae series is well preserved. All neurocentral sutures are

6 completely closed and all morphological characters are well developed. For scaling: the left

117 femur length of specimen SMNS 13200 measures 68.5 cm.



Figure 4: Caudal vertebrae MSF 11.3.348

MSF 11.3.348 is one of the caudal vertebrae in left lateral view found on bone field 11.3. The only morphological characters being present are the pre- and postzygapophyses. The neurocentral suture is completely closed as indicated by the line drawn. The whole caudal is

interveined with dessication cracks. The scale bar measures 1 cm.

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Figure 5: Zygapophyseal lengths in the vertebral column of specimen MSF 11.3., MSF 5B,
MSF 23 and SMNS 13200.

1169 The zygapophyseal lengths of all specimens follow a distinct pattern throughout the vertebral1170 column. The zygapophyseal lengths show a sharp increase in the anterior cervical series. The

1171 posterior cervicals decrease in length reaching their minimum length at the third dorsal neural

arch. Afterwards thex increase at a much lower rate than in the anterior cervical series.

1173 Specimen SMNS 13200 with the greatest femur length out of all specimens studied, also

shows greater zygapophyseal lengths. The juvenile MSF 11.3. specimens generally show a

1175 zygapophyseal sitting below of those from the mature specimens and only intervene with

- those of MSF 23 at some positions in the posteriormost cervical series.
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1191 The femur lengths of the juvenile specimens of bone field 11.3. (blue) have been combined 1192 with the femur lengths of osteological mature specimens studied: MSF 5B (red/black), MSF 1193 23 (green/black) and SMNS 13200 (gray/black); and the femur lengths of histological mature 1194 specimens (black) from Sander & Klein (2005). The femur length range of the juveniles has 1195 been divided up into 10 mm intervals to make it more practible in the diagram. The column 1196 diagram clearly shows the juvenile specimens and mature specimens merging into one 1197 another. The striking outlier of the whole diagram is specimen IFG with a remarkable great 1198 femur length of 990 mm. Nevertheless the diagram illustrates poor correlation between age 1199 (maturity) and size. Developmental plasticity is supported by histology as well as 1200 morphology.