1 Levodopa-stimulated dopamine release in Tourette syndrome

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24 **Abstract**

- 25 **BACKGROUND:** Several lines of evidence suggest that dopamine (DA)-influenced neuronal
- 26 pathways may malfunction in Tourette Syndrome (TS). A dopamine-responsive abnormality of
- brain function in TS could be either presynaptic or postsynaptic. Some PET studies support the
- 28 hypothesis of presynaptic abnormalities in levodopa uptake, dopamine synthesis, or dopamine
- 29 release. Alternatively, presynaptic dopaminergic function could be normal in TS but dopamine-
- 30 sensitive abnormalities could exist in striatum, pallidum, thalamus, or cortex.
- 31 **METHODS:** In this study we directly tested the presynaptic hypothesis using a new approach.
- We used positron emission tomography (PET) and [11C]raclopride (RAC*) to measure synaptic
- dopamine release in response to levodopa and placebo infusions (with carbidopa) in
- 5 neuroleptic-naïve adults with TS and 5 matched control subjects. The primary analysis
- examined RAC* binding potential (BP_{ND}) in predefined volumes of interest (VOIs). A secondary
- analysis compared BP_{ND} voxel by voxel over the entire brain.
- 37 **RESULTS:** (1) Overall, baseline RAC* BP_{ND} did not differ significantly between groups,
- though nucleus accumbens BP_{ND} was higher in TS (16%, p=0.051). (2) Across regions, DA
- release declined from before to during infusion (p=0.014), including with placebo. (3) This
- 40 decline was smaller in TS (p=0.080). (4) Levodopa's effect on BP_{ND} differed significantly in

- 1 right midbrain (p=0.002, corrected), where levodopa displaced RAC* by 59% in control subjects
- but *increased* BP_{ND} by 74% in TS subjects, and in parahippocampal gyrus (p=0.02, corrected).
- 3 **DISCUSSION:** Our finding that a before/after RAC* design is confounded by time and/or
- 4 expectation effects has implications for other RAC* PET studies. The smaller magnitude of the
- 5 decrease with time in TS may be attributable to impaired habituation to the scan environment.
- 6 Levodopa's opposite effect on RAC* binding in TS dopaminergic midbrain was not predicted,
- 7 but may signify an abnormal response to dopaminergic stimulation in TS. These findings invite
- 8 confirmation in a larger sample.

9 Introduction

- 10 Tourette Syndrome is a chronic neuropsychiatric disorder defined by the presence of both vocal
- and motor tics that begin early in life, fluctuate in phenomenology over time, and are not caused
- by another illness (American Psychiatric Association 2000; Black 2010b). Tics are brief
- movements or noises, repeated many times a day in a highly stereotyped fashion, that may look
- intentional but that serve no useful purpose (Black 2010b). Several lines of evidence suggest that
- dopamine-influenced neuronal pathways malfunction in Tourette Syndrome (TS) (Albin 2006;
- 16 Anderson et al. 1999; Black 2008; Hershey et al. 2004; Singer 2013).
- One of the earliest clues to the pathophysiology of tics was their clear response to dopamine D₂-
- like (D2, D3, or D4) receptor antagonists, now confirmed by over 35 randomized controlled
- trials (Black 2010a; Singer & Wendlandt 2001). Tics also improve with postsynaptic
- dopaminergic stimulation (Anca et al. 2004; Black & Mink 2000; Carpenter et al. 1999; Feinberg
- & Carroll 1979; Friedhoff 1982; Gilbert et al. 2003; Gilbert et al. 2000a; Gilbert et al. 2000b;
- Nomura & Segawa 1982; Nomura & Segawa 2003), but all these treatment studies confirm that
- 23 in TS, abnormal activity in movement-related brain circuits is sensitive to dopamine. Nonmotor
- brain circuits also manifest a dopamine-sensitive abnormality of brain function in TS (Hershey et
- 25 al. 2004).
- However, identifying why this occurs has not been easy (for a superb review, see Singer 2013).
- A dopamine-responsive abnormality of brain function in TS could be either presynaptic or
- 28 postsynaptic. Studies of TS in vivo have examined dopamine D₂-like receptors (D2Rs),
- dopamine precursor uptake and monoamine transporters (Albin et al. 2009; Anderson et al. 1999;
- Peterson 2001; Singer & Wendlandt 2001; Wong et al. 2008). Post-mortem data are limited by
- 31 the small number of adequately studied subjects (Minzer et al. 2004; Swerdlow & Young 2001;
- 32 Yoon et al. 2007). Most studies suggest that post-synaptic dopamine D2-like receptor binding is
- similar in TS and control subjects (Albin et al. 2009; Hwang et al. 2008; Singer et al. 2002;
- Wong et al. 1997), though there are exceptions (de Vries et al. 2010; de Vries et al. 2009; Gilbert
- et al. 2006; Minzer et al. 2004; Yoon et al. 2007). Even if dopamine D₂-like receptors (D2Rs) are
- anormal in TS, a postsynaptic abnormality in the response to dopamine stimulation could be
- 37 located downstream in striatum, pallidum, thalamus, or cortex (Mink 2006).
- 38 Alternatively, several PET or SPECT studies support the hypothesis of presynaptic
- 39 abnormalities, *i.e.* dysfunction in levodopa uptake, dopamine synthesis, or dopamine release
- 40 (Albin et al. 2003; Butler et al. 2006; Ernst et al. 1999; Heinz et al. 1998; Hwang et al. 2008;
- 41 Malison et al. 1995; Serra-Mestres et al. 2004; Singer et al. 2002; Wong et al. 1994), though
- some studies do not (Meyer et al. 1999; Singer 2013; Stamenkovic et al. 2001). One widely

- discussed theory is that basal, tonic dopamine release is normal, but that transient, phasic
- dopamine release is not (Singer 2013; Singer et al. 2002; Wong et al. 2008; Yeh et al. 2007a).
- 3 Phasic dopamine release is crucial to dopamine's role in changing behavior (Breitenstein et al.
- 4 2006), including learning sequences of movements (Badgaiyan et al. 2007). Remarkably,
- 5 however, little research has been done on phasic dopamine release in TS. Amphetamine-induced
- 6 striatal dopamine release has been studied, with some support for differences in TS (Singer et al.
- 7 2002; Steeves et al. 2010; Wong et al. 2008; Yeh et al. 2007b). However, amphetamine also has
- 8 some disadvantages—primarily, that it does not really produce *phasic* dopamine release in the
- 9 usual sense of the word. Rather, it causes prolonged, substantial dopamine release regardless of
- 10 environmental demands. Amphetamine also induces euphoria (Drevets et al. 2001) and briefly
- increases tic severity (de Vries et al. 2010; de Vries et al. 2009), clouding interpretation of the
- 12 results.
- 13 Ideally, if a pharmacological challenge drug is used to test phasic dopamine release, it should not
- produce effects noticed by the subject. Levodopa, the body's natural synthetic precursor to
- dopamine, is such a drug. Systemic levodopa administration, given with an adequate dose of
- carbidopa, which prevents conversion to dopamine but does not cross the blood-brain barrier,
- essentially delivers dopamine only to the brain. Confirming this, with adequate carbidopa
- levodopa does not alter quantitative whole-brain blood flow (Hershey et al. 2003; Hershey et al.
- 19 2000; Hershey et al. 1998). Furthermore, volunteers usually cannot tell whether they are
- 20 receiving levodopa or a placebo (Black et al. 2003; Gordon et al. 2007).
- The present study tests the presynaptic dopaminergic hypothesis in TS using a novel approach.
- Specifically, the hypothesis tested was that levodopa would stimulate striatal dopamine
- production differently in people with TS than in people without tics. The radioligand
- $[^{11}C]$ raclopride (hereinafter RAC*) binds to the dopamine D_2 receptor loosely enough to be
- 25 displaced by physiological increases of dopamine at the synapse. We used PET and RAC* to
- 26 measure synaptic dopamine release in response to a standardized levodopa infusion (with
- 27 carbidopa) in TS and matched control subjects.

28 Materials & Methods

29 Regulatory approvals

- 30 This study was approved by the Human Studies Committee of Washington University School of
- 31 Medicine (IRB, protocol # 03-0347, the WUSM Radioactive Drug Research Committee
- 32 (protocol # 497F), and the U.S. Food and Drug Administration (Investigator IND #69,745 for i.v.
- 33 levodopa). All subjects provided written confirmation of informed consent before study
- 34 participation.

Subjects

- 36 Diagnostic assessment included psychiatric and neurological examination by a movement-
- 37 disorders-trained neuropsychiatrist (KJB) and a validated semistandardized psychiatric
- diagnostic interview (SCID-IV; First et al. 2002). Tic subjects met DSM-IV-TR criteria for
- 39 Tourette's disorder. Control subjects with no history of tics were matched one-to-one for age, sex
- and handedness (except one ambidextrous TS subject was matched with a right-handed control).

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- 1 Exclusion criteria included any lifetime neurological or Axis I psychiatric disorder (except TS,
- 2 ADHD and OCD were allowed in tic subjects, and migraine and specific phobia were allowed in
- 3 either group), current serious general medical illness, medication history of dopamine
- 4 antagonists or other drugs likely to affect the dopaminergic system, current use of any
- 5 neuroactive medication, lactation, possibility of pregnancy, or contraindication to levodopa or
- 6 MRI.
- 7 Clinical features were characterized by the Diagnostic Confidence Index (0=no features of TS;
- 8 100=all enumerated features of classic TS; scores in the clinical validation sample ranged from 5
- 9 to 100 with mean \pm S.D. = 61 \pm 20) (Robertson et al. 1999); the YGTSS, an expert-rated measure
- of tic severity over the previous week (motor tic scale 0-25, vocal tic scale 0-25, impairment
- scale 0-50, higher scores indicating a higher symptom burden) (Leckman et al. 1989; Walkup et
- al. 1992); the revised Tic Symptom Self-Report (TSSR) scale, a self-report scale including
- scores of 0-3 for each of 18 motor tics and 16 vocal tics, with 3 indicating tics were "very
- 14 frequent and very forceful" over the preceding two weeks (Cohen et al. 1984; Scahill et al.
- 15 1999); the ADHD Rating Scale, an expert-rated measure of current severity of Attention-Deficit/
- 16 Hyperactivity Disorder (ADHD), based on DSM-IV criteria (range 0-54, higher scores indicating
- 17 a higher symptom burden) (DuPaul et al. 1998); and the Y-BOCS, an expert-rated measure of
- current obsessive-compulsive disorder (OCD) severity (range 0-40, higher scores indicating a
- 19 higher symptom burden) (Goodman et al. 1989a; Goodman et al. 1989b).

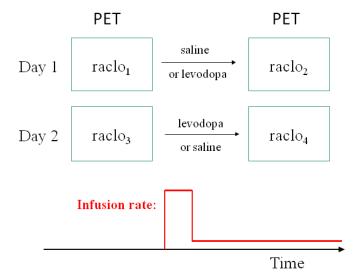
Overview of subject participation

Each subject had 4 RAC* PET scans: two scans on each of two days at least a week apart (Figure 1). After oral carbidopa and the baseline PET scan, an infusion of

the baseline PET scan, an infusion oflevodopa or saline placebo was begun by

- vein at an individualized dose intended to
- 27 produce a steady-state levodopa plasma
- 28 concentration of 600ng/mL. After allowing
- 29 30 minutes to approach steady-state
- 30 levodopa concentration, a second scan was
- 31 done while the infusion continued. The
- order (levodopa on day 1 and placebo on
- day 2, or the reverse) was assigned
- randomly to each subject, and subjects and
- 35 PET staff were blind to drug assignment
- 36 during all scans.

Figure 1. Study overview.



- 37 The room was darkened and subjects were instructed to lie quietly in the scanner with eyes
- 38 closed throughought each scan. Study staff asked subjects every 5 or 10 minutes if they were
- 39 comfortable and made sure they were awake.

Levodopa infusion

41 Subjects took 200mg carbidopa by mouth at least 1 hour before levodopa infusion began. A dose

- of levodopa estimated to fill each subject's volume of distribution at a target concentration of
- 2 600ng/mL was infused over 10 minutes, followed until the second PET scan of the day was
- 3 completed by a maintenance infusion at a rate estimated to compensate for elimination. In prior
- 4 work, these infusion rates produced a mean blood level across subjects of ~625ng/mL after 25
- 5 minutes of infusion (Black et al. 2003). On average, that concentration produces substantial
- 6 motor benefit in early Parkinson disease (Contin et al. 2001; Harder & Baas 1998). However,
- 7 this infusion method is well enough tolerated that subjects cannot reliably distinguish the
- 8 levodopa and saline infusions (Black et al. 2003; Gordon et al. 2007).

Levodopa plasma concentration

- 10 Levodopa plasma concentration was
- 11 measured by a validated method (Karimi
- 12 et al. 2006).

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Image acquisition

- 14 RAC* was given i.v. over an interval of
- 15 30 seconds. PET images were acquired
- on a Siemens ECAT 961 camera
- beginning with arrival of radiotracer in
- the head and continuing for 60 minutes
- 19 using image frames of increasing
- duration.
- 21 An MP-RAGE sequence was used to
- acquire a 3-dimensional T1-weighted
- 23 image of the brain with acquisition time
- 24 ~400 sec and voxel dimensions
- $25 1.25 \times 1 \times 1 \text{mm}^3$.

Image alignment

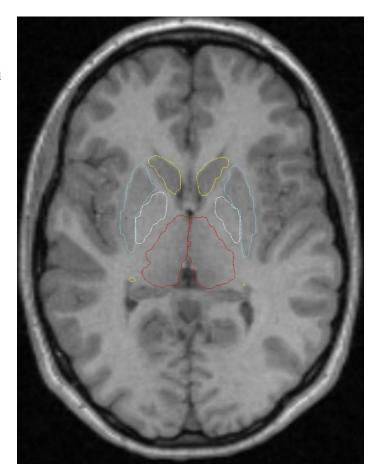
- 27 The PET images were realigned within
- each subject and then to the subject's
- 29 MRI using a rigid-body alignment
- 30 method with low measured error,
- optimized for dynamic PET images (Black et al. 2001; Black et al. [submitted]; Eisenstein et al.

Figure 2. Automated striatal VOIs.

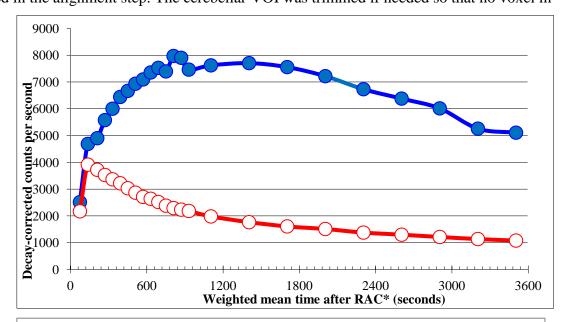
32 2012; Perlmutter et al. 1998).

VOI analysis

- Nine subcortical volumes of interest (VOIs) were defined for each subject from that subject's
- 35 MRI by a high-dimensional semi-automated method of known high test-retest reliability (Wang
- et al. 2007) (Figure 2). These VOIs corresponded to thalamus (Th) and to left and right putamen
- 37 (Pu), caudate (Cd), nucleus accumbens (NA), and globus pallidus (GP). A tenth VOI was created
- from the average (weighted by region volume) of 22 FreeSurfer-labeled gray matter regions
- 39 comprising frontal cortex (11 left- and 11 right-hemisphere VOIs). This large frontal VOI



produced adequate counting statistics for modest noise in the time-activity curve (Figure 3, lower panel). A cerebellum VOI was traced on each subject's MR image. All VOIs were transferred to each subject's realigned PET images using the optimized MRI-to-PET transformation matrix computed in the alignment step. The cerebellar VOI was trimmed if needed so that no voxel in



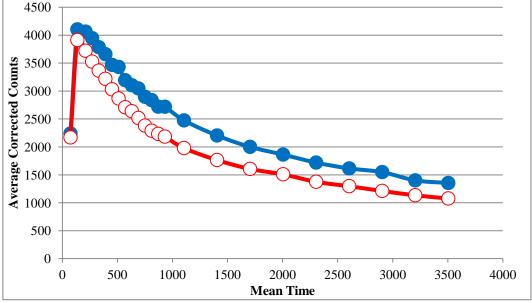


Figure 3. Decay-corrected time-activity curves (filled circles) for the putamen VOI (upper panel) and the frontal lobe VOI (lower panel) from one subject's pre-levodopa PET scan. Hollow circles mark the TAC in the cerebellar reference region.

- 5 the VOI corresponded to any of the inferior-most 4 slices in any frame of that subject's original
- 6 PET images. Thus in each subject the VOI corresponding to a given region was identical for all 4
- 7 PET scans.
- 8 The binding potential BP_{ND} (Innis et al. 2007; Mintun et al. 1984), an estimate of the quotient
- 9 B_{max}/K_D, was computed as one less than the distribution volume ratio (DVR), which was derived

- for each of the nine subcortical VOIs and the frontal lobe VOI using the cerebellar reference
- 2 region (Logan et al. 1996). As we had no *a priori* hypothesis about laterality of results in any of
- 3 the paired basal ganglia nuclei, we averaged corresponding left and right BP_{ND}s (weighted by
- 4 VOI volume) to produce for each PET scan 6 final BP_{ND} values, one each for frontal lobe cortex
- 5 (FL), thalamus (Th), putamen (Pu), caudate (Cd), nucleus accumbens (NA), and globus pallidus
- 6 (Pl).

- 7 The primary statistical analysis used a repeated-measures analysis of variance (rmANOVA) with
- 8 BP_{ND} as dependent variable, diagnosis (tic or control) as a between-group variable, time (before
- 9 or during the infusion) and day (placebo or levodopa) as within-subject variables, and region (the
- 10 6 VOIs) as a repeated measure. Exploratory analyses used a rmANOVA for each of the 6 VOIs.

Whole-brain analysis

- 12 For each subject, a DVR image was computed using at each voxel in the brain the Logan
- graphical method with the cerebellar VOI described in the preceding section as reference region
- 14 (Logan et al. 1996). As a methods check, the mean across striatal VOIs of the voxelwise DVR
- value was essentially identical to the regional DVR computed using the standard methods
- described above. Analysis was limited to voxels in atlas space at which every subject contributed
- data from all frames of the dynamic PET acquisition.
- Whole-brain comparisons used voxelwise t tests corrected by FDR for multiple comparisons in
- 19 SPM 8, as follows. A t test compared DVR images between the TS and the control group, and
- clusters of contiguous voxels with t exceeding the threshold corresponding to p<0.001 were
- 21 accepted as significantly different between groups if cluster volume exceeded the threshold
- required to control False Discovery Rate for the entire dataset at p < 0.05.
- Two comparisons were made, one based on mean baseline DVR images and the other based on
- 24 levodopa effect ΔDVR images. Each subject's two pre-infusion RAC* PET scans, one from each
- scan day, were averaged to create that subject's mean baseline DVR image. The difference of the
- 26 during-levodopa DVR image and the during-placebo DVR image in a subject was used to create
- 27 that subject's levodopa effect $\triangle DVR$ image.

28 **Results**

29 Subjects

- 30 Subject characteristics and adequacy of matching are reported in Table 1, and clinical
- 31 characteristics of the Tourette syndrome group are reported in Table 2.

Table 1.		
Measure	Tic Subjects (N=5)	Controls (N=5)
Age (years; mean \pm S.D.)	33.8 ± 12.9	32.8 ± 11.1
Sex, male (N)	4	4
Race, Caucasian (N)	4	4

Table 1.		
Measure	Tic Subjects (N=5)	Controls (N=5)
Handedness, right (N)	4	3
OCD dx (N)	1	0
ADHD dx (N)	2	0

Table 2.		
Scale		Scores (mean \pm S.D.)
DCI score		36.8 ± 22.0
YGTSS	Motor tic score	10.6 ± 3.4
	Vocal tic score	7.8 ± 4.0
	Impairment score	9.4 ± 9.8
TSSR score	Motor	9.3 ± 5.9
	Vocal	3.2 ± 2.3
	Total	12.5 ± 7.9
ADHD Rating Scale		11.6 ± 10.7

^{*}Abbreviations: DCI=Tourette Syndrome Diagnostic Confidence Index, YGTSS=Yale Global Tic Severity Scale, Y-BOCS=Yale-Brown Obsessive Compulsive Scale, ADHD=Attention Deficit Hyperactivity Disorder, TSSR=Tic Symptom Self Report **The Y-BOCS was completed for only 1 tic subject; the score was 9 on day 1 and 14 on day 2.

Levodopa levels

- 6 Levodopa plasma concentrations were ~800-1000ng/ml before the RAC* scan and ~500-
- 7 700ng/ml after the RAC* scan. in ng/mL, and did not differ significantly between groups
- 8 (Table 3 or Figure 4).

Table 3. Levodopa plasma concentrations, ng/ml, mean ± SD				
Time	Controls	Tic subjects	p (t test)	
Peak (10' into infusion)	1591.5 ± 232.5	1938.8 ± 726.3	0.36	
Just before RAC* scan	788.0 ± 152.4	992.4 ± 322.9	0.26	
Just after RAC* scan	529.5 ± 149.2	662.8 ± 136.1	0.21	



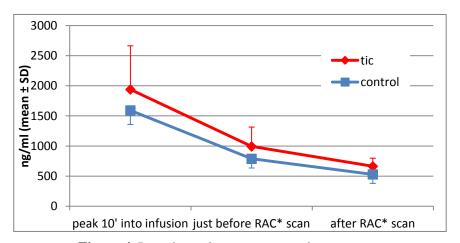


Figure 4. Levodopa plasma concentrations.

Stability of RAC* binding between days and with time

- 2 This study includes a before- and after-infusion scan on each of two days. On one day the
- 3 infusion contains levodopa, and on the other day the solution is a saline placebo. Thus each
- 4 subject has three non-levodopa scans (the first scan of each day plus the scan during the placebo
- 5 infusion). As expected, BP_{ND} was similar in the two pre-levelopa scans (correlated at r = 0.99
- 6 across VOI and subject).
- BP_{ND} changed between the 1st and 2nd scan of the day (main effect of time, F=10.605, df=1,8, 7
- 8 p=0.012), but to our surprise this change did not differ significantly between the levodopa and
- 9 placebo days (time x
- 10 day interaction,

1

- 11 F=0.014, df=5,4,
- 12 p=0.909). In other
- 13 words, the two scans
- 14 on the placebo day
- 15 were not identical.
- 16
- Mean BP_{ND} was 2.7%
- 17 to 24.0% higher during
- 18 the *placebo* infusion,
- 19 indicating decreased
- 20 dopamine release
- 21 compared to earlier on
- 22 the same day. The
- 23 change from the first to
- 24 the second scan of
- 25 each day was
- 26 significant in most

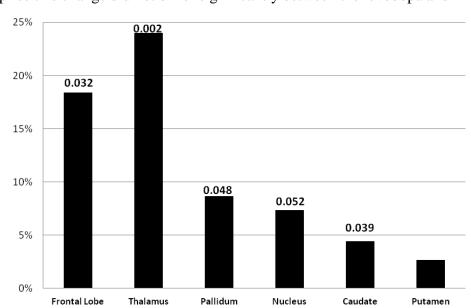


Figure 5. Change in BP_{ND} with *placebo* infusion.

- 27 individual region analyses: main effect of time, thalamus p=0.002, frontal lobe p=0.032, caudate
- 28 p=0.039, pallidum p=0.048, and nucleus accumbens p=0.052 (Figure 5; multivariate time x
- 29 region interaction F=4.173, df=5,4, p=0.096).
- 30 There was a trend for the change in BP_{ND} during the infusion to be smaller in tic subjects (time x
- diagnosis interaction F=4.211, df=1,8, p=0.074; in individual regions, 0.05 for NA,31
- 32 Pu, and Cd VOIs). The
- 33 change in BP_{ND} on the
- 34 placebo day is shown in
- 35 Figure 6).

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Baseline RAC* binding

- 37 Across VOIs. RAC*
- 38 binding did not differ
- 39 significantly between tic
- 40 and control subjects
- (multivariate main effect 41

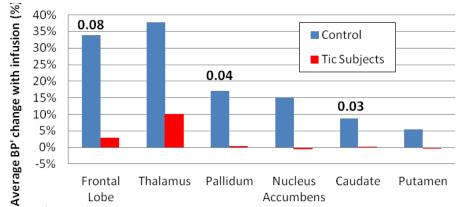


Figure 6. Change in BP_{ND} with placebo infusion: tic vs. control (p values for difference between groups, from t tests for each region).

- of diagnosis, F=0.744, df=1,8, p=0.413; tic vs control). Nevertheless, baseline RAC* binding
- 2 was numerically higher in TS by 13-17% in the three striatal VOIs and by 5-7% in the FL and Th
- 3 VOIs. The whole-brain analysis identified no significant differences in baseline RAC* binding
- 4 between TS and control subjects.

Effect of levodopa on RAC* binding

- 6 Since the pre- and on-placebo scans differed, the only appropriate comparison for the on-
- 7 levodopa *RAC scan is the on-placebo scan. Therefore we assessed the effect of levodopa by
- 8 comparing the BP_{ND} in the post-LD and post-placebo scans.
- 9 In the VOI analysis, there was not a 10 significant effect of LD (day x time
- 11 interaction, F=0.014, df=1,8,
- p=0.909, the effect of LD did not
- differ overall in tic subjects (day x
- time x diagnosis interaction, F=1.308,
- df=1,8, p=0.286), and the 4-way
- interaction (diagnosis x day x time x
- 17 region) was not significant (F=1.577,
- 18 df=5,4, p=0.340). However, the
- 19 diagnosis x day x time interaction
- was significant for pallidum
- 21 (p=0.050) with a trend in thalamus
- 22 (p=0.098; Error! Reference source
- 23 **not found.**). In these regions BP_{ND}
- 24 decreased in control subjects,
- 25 consistent with an increase in dopamine release during the levodopa infusion, whereas the mean
- 26 effect in the tic subjects was in the
- 27 opposite direction.
- 28 The whole-brain analysis identified a
- 29 similar effect (decreased RAC*
- 30 binding with levodopa in controls,
- increased in TS) in a cluster of 38
- midbrain voxels (1.0 ml) with peak t at
- atlas coordinate (1.5, -21, -15) and
- 34 extending laterally, in the right
- 35 substantia nigra (peak $t(_df) = 9.0$,
- 36 FDR corrected p=0.002; Figure 9,
- 37 upper panel). A second significant
- 38 cluster of 19 voxels (0.5 ml) was seen
- in parahippocampal gyrus (peak
- 40 t=7.92 at (22.5, -39, -6), corrected
- 41 p=0.023; Figure 9, lower panel). The
- 42 mean regional change in BP_{ND} with
- 43 levodopa is shown in Figure 8. In both

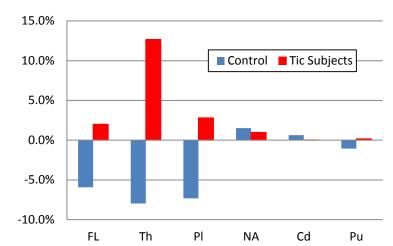


Figure 7. Levodopa-induced change in BP_{ND} , tic vs. control. Mean difference in BPND during levodopa vs. placebo infusion is shown for each group.

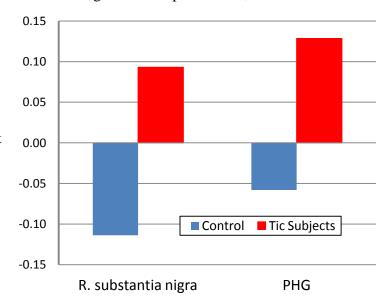


Figure 8. Levodopa-induced change in BP_{ND} , TS vs. control, in the clusters identified in the whole-brain analysis. Same conventions as in the previous figure.

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1 these clusters, the BP_{ND} on 2 placebo was positive in all subjects 3 (p < 0.001, binomial distribution),4 consistent with nontrivial RAC* 5 binding. The highest t value in the 6 whole-brain comparison, 11.62, 7 occurred at (-31.5, 6, -15) in 8 Brodmann's area 13 (uncorrected $p = 1.37 \times 10^{-6}$; Bonferroni 9 threshold 1.17×10^{-6}), but the 10 cluster volume was only 0.1 ml, 11 12 not significant by FDR correction 13 (Figure 10). A third statistically 14 significant cluster was centered at 15 the posterior edge of the occipital 16 lobe and in this cluster the BP_{ND} 17 on placebo was negative in half the 18 subjects; this cluster likely does

Discussion

Baseline striatal RAC* binding

We found no difference in RAC*

not reflect D2R binding.

23 binding between subjects with or

without TS. Previous RAC* PET

studies (Singer et al. 2002;

Turjanski et al. 1994) or IBZM

27 SPECT studies in TS (George et al.

28 1994; Muller-Vahl et al. 2000)

29 similarly found no difference.

However, an unpublished study by

31 De Vries and colleagues reported decreased RAC*

binding at baseline in the putamen and right caudate

nucleus (de Vries et al. 2010; de Vries et al. 2009).

Outside the striatum, two PET studies using higher

35 affinity D2R radioligands indicated decreased

36 binding in thalamus and frontal cortex (Gilbert et al.

37 2006; Steeves et al. 2010). *In vivo* studies with

38 these radioligands are sensitive to synaptic

39 dopamine concentration as well as to receptor

40 number and affinity. A postmortem study found

41 increased cortical dopamine receptor binding in TS

42 (Yoon et al. 2007), though such studies are

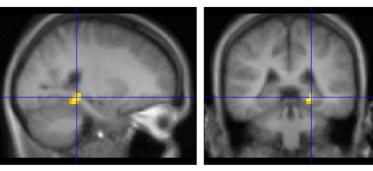


Figure 9. Significant clusters in which the RAC* binding response to levodopa differed between TS and control subjects. Upper 3 sections, substantia nigra. Lower 2 sections, parahippocampal gyrus. Color bar indicates *t* statistic.

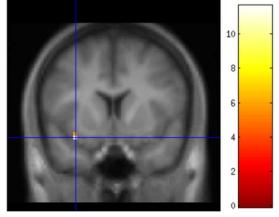


Figure 10. Peak voxel for difference in RAC* binding response to levodopa between TS and control subjects. Color bar indicates *t* statistic.

1 necessarily limited in sample size.

2 Change in striatal BP_{ND} with placebo

3 Implications for other RAC* challenge studies

- 4 BP_{ND} increased during the placebo infusion in the striatum, thalamus and frontal lobe VOIs,
- 5 especially in control subjects. Most published information on the stability of RAC* binding over
- 6 time reflects time intervals of days to months (Hietala et al. 1999; Volkow et al. 1993; Volkow et
- al. 1994; Yoder et al. 2011). Mawlawi et al. (2001) scanned 10 subjects twice each on the same
- 8 day using a bolus-plus-constant-infusion method, and found no significant mean change from the
- 9 first to the second scan. However, Alakurtti and colleagues (2011) found that mean BP_{ND}
- increased from the first to the second scan of the day in striatal and thalamic regions, with the
- change (about +5%) reaching statistical significance in medial and lateral thalamus.
- With this background, the observation in the present study of increased BP_{ND} from the first to
- second scan of the day has implications for RAC* challenge PET studies in general, essentially
 - all of which use a before- vs. after-intervention design. If the results in our sample are typical, the
- before-after design is flawed in that BP_{ND} increases from the first to the second scan even
- without active intervention. This does not invalidate the results of methylphenidate challenge
- 17 RAC* studies, since that challenge *decreases* striatal RAC* BP_{ND} by a large fraction, but it may
- mean that before-after RAC* studies are less sensitive to manipulations that would decrease
- 19 dopamine release.

Possible pathophysiological interpretation

- 21 The increase in BP_{ND} during the placebo infusion is most likely associated with passage of time
- rather than a placebo effect *per se*, especially as placebo administration is more likely to increase
- dopamine release (de la Fuente-Fernandez et al. 2001b; de la Fuente-Fernandez & Stoessl 2002).
- 24 The presumed decrease in dopamine release during the placebo infusion could indicate that
- 25 control subjects accommodate to the scanner environment after a while.
- The fact that TS subjects do this less may correspond to more persistent alertness/arousal.
- 27 Greater arousal would correspond to the observation of Chappell and colleagues that TS subjects
- 28 release more ACTH and norepinephrine with lumbar puncture, which the authors interpreted to
- indicate a higher level of arousal/anxiety in TS (Anderson et al. 1999; Chappell et al. 1994).
- 30 Additionally, many people with TS report hypersensitivity to mild unchanging sensations, which
- can be seen as a failure of habituation to an unchanging sensory environment (Belluscio et al.
- 32 2011; Panagopoulos et al. [submitted]).
- 33 Alternatively, a smaller change in dopamine release may indicate a more steady level of
- boredom in TS subjects. Decreased dopamine release with boredom would fit with the
- 35 observation that at baseline the TS group had (nonsignificantly) higher RAC* than controls in
- 36 the striatal and thalamic VOIs. Boredom, or its complement novelty seeking, have been related
- 37 to dopamine; in Cloninger's model of temperament, the Novelty Seeking trait was designed with
- 38 the intent to reflect central dopaminergic status, and some experimental data have supported that
- 39 connection (Cloninger 1987; Keltikangas-Järvinen & Jokela 2012). Boredom is also a typical
- 40 clinical manifestation of ADHD, which can be diagnosed in about half of TS subjects, and is

- 1 influenced by dopamine. Adults and children with TS showed improvement in ADHD rating
- 2 scale scores when treated with levodopa (Gordon et al. 2007 and unpublished data).

3 Effect of levodopa infusion on RAC* binding

4 Levodopa effect on RAC* binding in striatum

- 5 Striatal RAC* binding was not substantially changed by levodopa. Initially this result came as a
- 6 surprise to the authors, because levodopa was given expressly with the expectation that it would
- 7 increase synaptic dopamine levels. Briefly, support for this expectation includes the following.
- 8 First, in Parkinson disease there is overwhelming evidence both by clinical observations and by
- 9 RAC* PET imaging that exogenous levodopa substantially increases striatal dopamine release
- 10 (Antonini et al. 1997; de la Fuente-Fernandez et al. 2001a; Pavese et al. 2006). In subjects
- without dopamine deficiency, the evidence is somewhat less direct, but still supportive:
- 12 intravenous levodopa is rapidly taken up from the bloodstream into the brain and converted into
- dopamine, and several studies provide evidence that in healthy subjects it then boosts synaptic
- dopamine release (reviewed in Gordon et al. 2007). For instance, exogenous levodopa produces
 - 5 has clear sedative and cognitive effects in healthy people (Andreu et al. 1999; Kelly et al. 2009;
- 16 Weis et al. 2012).
- 17 Thus the authors originally expected that exogenous levodopa would decrease striatal RAC*
- binding. However, further reflection and reading have motivated a different view whereby the
- results support the original goal of choosing a pharmacological challenge agent that would
- stimulate phasic dopamine release, but under endogenous control. Recall that the concern with
- 21 stimulants as challenge agents was that they cause a substantial release of dopamine at the
- 22 striatal synapse regardless of current environmental demands; it may produce a ceiling effect for
- 23 dopamine release that does not reflect typical endogenous control. A sensible hypothesis to
- 24 explain the results of the present study would be that a research subject lying awake in a quiet,
- darkened room without specific cognitive demands has no need for a substantial release of
- dopamine, and thus even if exogenous levodopa has added dopamine to presynaptic vesicles,
- 27 they are not released at a substantial rate at the synapse. A levodopa-raclopride study of a motor
- they are not released at a substantial rate at the synapse. A levodopa-ratiophiae study of a moto
- 28 task in healthy individuals provides direct experimental support of this hypothesis (Floel et al.
- 29 2008). The study was properly designed with two sessions, placebo on one day and levodopa on
- another, with randomized order. Levodopa increased striatal dopamine release during
- 31 performance of a motor task, but not at rest! Since in the present study all subjects were at rest
- during all scans, the results are consistent with those of Floel and colleagues (2008).

33 Levodopa effect on RAC* binding in midbrain, cortex, and thalamus

- 34 Levodopa stimulated dopamine release in controls but reduced it in TS subjects in midbrain
- 35 (approximately VTA/substantia nigra) and in parahippocampal gyrus. Similar effects, though not
- 36 statistically significant, were observed in orbital cortex (Brodmann's area 13) and in thalamus.
- 37 One expects exogenous levodopa to increase dopamine release in the substantia nigra, and this
- occurred in the control subjects. D_2 and D_3 dopamine receptors are present in the substantia nigra
- 39 and their activation inhibits spike firing, dopamine synthesis and dopamine release by nigral
- 40 dopaminergic cells (Grace 2002). We hypothesize that levodopa increased dopamine stimulation

- of these inhibitory D2-like receptors in control subjects, and this may have prevented levodopa
- 2 from stimulating nigrostriatal dopamine release into the striatum.
- 3 Subjects with TS, however, showed an increase in substantia nigra RAC* binding with levodopa,
- 4 consistent with a decrease in nigral dopamine release. Nigral dopamine release has been related
- 5 to reward and novelty in humans. Healthy adults with higher novelty seeking scores had lower
- 6 D2-like binding ([¹⁸F]fallypride) in SN, consistent with greater dopamine release (Zald et al.
- 7 2008). Functional MRI studies have also demonstrated substantia nigra signal related to stimulus
- 8 novelty or to the Novelty Seeking trait (Bunzeck & Duzel 2006; Krebs et al. 2011; Krebs et al.
- 9 2009). Healthy adults receiving a sweet vs salty taste had BOLD activation in this region
- 10 (O'Doherty et al. 2002). Despite this information, it is not clear how to relate a decrease in
- 11 levodopa-stimulated dopamine release in substantia nigra to the pathophysiology of TS.
- 12 Explaining the similar difference in nigral levodopa response in TS in parahippocampal gyrus
- and orbital cortex is no easier. Nevertheless, these results document an abnormality of
- presynaptic dopaminergic pharmacology in TS.
- 15 There was a trend for a similar effect in thalamus; dopamine release increased with levodopa
- infusion in control thalamus but decreased in TS subjects. A [11C]FLB-457 PET study found a
- similar result, in that amphetamine provoked thalamic dopamine release in control subjects but
- not in TS (Steeves et al. 2010).

Limitations

- Higher affinity radioligands, such as [18F]fallypride or [11C]FLB457, have advantages for
- 21 measuring cortical D2Rs, e.g. in the frontal lobe where D2Rs appear at much lower
- 22 concentrations than in the striatum. There are two primary concerns with RAC* outside the
- striatum (reviewed thoroughly in Egerton et al. 2009). The first is a reliability issue: since the
- 24 concentration of D2-like receptors is low in cortex compared to striatum, the counting statistics
- 25 are poor for cortical VOIs of similar volume, and this renders the computed BP_{NDS} suspect. For
- 26 instance, some regional RAC* BP_{ND}s are negative or close enough to zero that displacement
- studies produce results that are hard to interpret. In the present study, FreeSurfer-defined cortical
- 28 regions allowed the creation of a large, reliably defined frontal lobe VOI, in which PET time-
- 29 activity curves were low in noise (Figure 3, lower panel), allowing a statistically reliable estimate
- of BP_{ND} that was uniformly positive.
- 31 The second concern with RAC* in extrastriatal regions is one of validity or interpretation.
- RAC* binding in cortex occurs at low levels, only some of which is attributable to specific
- binding (Farde et al. 1988). The concern is whether specific binding in cortex represents
- dopamine D2-like receptors. D2 and D4 receptors are expressed in human prefrontal cortex,
- 35 though at relatively low concentrations compared to striatum (Meador-Woodruff et al. 1996).
- Raclopride may even have superior sensitivity to fallypride for measuring dopamine release in
- 37 some cortical regions (Slifstein et al. 2010). Human thalamus contains predominantly D3 rather
- than D2 receptors (Sun et al. 2012). The validity concern is less worrisome in substantia nigra,
- where D_2 and D_3 receptors are well characterized. There are precedents for interpreting
- 40 substantia nigra RAC* displacement in terms of synaptic dopamine release (Egerton et al. 2009).
- 41 Finally, the limited sample size likely prevented identifying some significant findings (type II

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- error). Nevertheless, the sample size was adequate to find the significant group differences
- 2 described above.

3 Future directions

- 4 These results suggest a natural next step for research in TS: testing whether dopamine release in
- 5 TS differs during a dopamine-releasing cognitive (or other) task. Levodopa may augment the
- 6 task-evoked release or interact with it differently in people with versus without tics. Along these
- 7 lines, a cognitive-pharmacological interaction fMRI study found that LD changed the BOLD
- 8 responses to a working memory task (Hershey et al. 2004). A newer levodopa infusion produces
- 9 roughly twice as high a levodopa plasma concentration as the infusion used in this study (Gordon
- et al. 2007), and may produce greater dopamine release.

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