

A peer-reviewed version of this preprint was published in PeerJ on 4 December 2017.

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Delaney DG, Teneva LT, Stamoulis KA, Giddens JL, Koike H, Ogawa T, Friedlander AM, Kittinger JN. 2017. Patterns in artisanal coral reef fisheries revealed through local monitoring efforts. PeerJ 5:e4089 <https://doi.org/10.7717/peerj.4089>

Patterns in artisanal coral reef fisheries reveal best practices for monitoring and management

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Sustainable fisheries management is key to restoring and maintaining ecological function and benefits to people, but it requires accurate information about patterns in resource use, particularly fishing pressure. In most coral reef fisheries and other data-poor contexts, obtaining such information is challenging and remains an impediment to effective management. We developed the most comprehensive regional view of shore-based fishing effort and catch for the Hawaiian Islands to show detailed fishing patterns from across the main Hawaiian Islands (MHI). We reveal these regional patterns through fisher “creel” surveys conducted through collaborative efforts by local communities, state agencies, academics, and environmental organizations, at 18 sites and comprising >10,000 hr of monitoring across a range of habitats and human influences throughout the MHI. Here, we document spatial patterns in nearshore fisheries catch, effort, catch rates (i.e., catch-per-unit-effort [CPUE]), and catch disposition (i.e., use of fish after catch is landed). Line fishing was consistently the most commonly employed gear type (94%), followed by net fishing. The most efficient gear types (i.e., higher CPUE) were spear (0.64 kg hr⁻¹), followed closely by net (0.61 kg hr⁻¹), with CPUE for line (0.16 kg hr⁻¹) 3.9 times lower than spear and 3.7 times lower than net. Creel surveys also reveal rampant illegal fishing activity across the studied locations. Surprisingly, overall, most of the catch was not sold, but rather retained for home consumption or given away to extended family, which indicates that cultural and food security may be stronger drivers of fishing effort than commercial exploitation for nearshore coral reef fisheries in Hawai'i. Increased monitoring of spatial patterns in nearshore fisheries can inform targeted management, in order to maintain these fisheries for local communities' food security, cultural, and ecological value.

1 **Patterns in artisanal coral reef fisheries reveal best practices for monitoring and**
2 **management**

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26 **Abstract:**

27 Sustainable fisheries management is key to restoring and maintaining ecological function
28 and benefits to people, but it requires accurate information about patterns in resource use,
29 particularly fishing pressure. In most coral reef fisheries and other data-poor contexts, obtaining
30 such information is challenging and remains an impediment to effective management. We
31 developed the most comprehensive regional view of shore-based fishing effort and catch for the
32 Hawaiian Islands to show detailed fishing patterns from across the main Hawaiian Islands
33 (MHI). We reveal these regional patterns through fisher “creel” surveys conducted through
34 collaborative efforts by local communities, state agencies, academics, and environmental
35 organizations, at 18 sites and comprising >10,000 hr of monitoring across a range of habitats and
36 human influences throughout the MHI. Here, we document spatial patterns in nearshore fisheries
37 catch, effort, catch rates (i.e., catch-per-unit-effort [CPUE]), and catch disposition (i.e., use of
38 fish after catch is landed). Line fishing was consistently the most commonly employed gear type
39 (94%), followed by net fishing. The most efficient gear types (i.e., higher CPUE) were spear
40 (0.64 kg hr^{-1}), followed closely by net (0.61 kg hr^{-1}), with CPUE for line (0.16 kg hr^{-1}) 3.9 times
41 lower than spear and 3.7 times lower than net. Creel surveys also reveal rampant illegal fishing
42 activity across the studied locations. Surprisingly, overall, most of the catch was not sold, but
43 rather retained for home consumption or given away to extended family, which indicates that
44 cultural and food security may be stronger drivers of fishing effort than commercial exploitation
45 for nearshore coral reef fisheries in Hawai‘i. Increased monitoring of spatial patterns in
46 nearshore fisheries can inform targeted management, in order to maintain these fisheries for local
47 communities’ food security, cultural, and ecological value.

49 Introduction:

50 Fisheries contribute 20% of the protein for more than 3 billion people and 17% of global
51 protein consumed, representing a crucial contribution to global food security (UN FAO, 2014).
52 In the tropics, coral reef fisheries support >6 million reef fishers in over 100 countries, providing
53 critical and diverse values, including food, income, livelihoods, and cultural significance (Teh,
54 Teh & Sumaila, 2013). Nowhere are coral reef fisheries more important than in the developing
55 economies and communities in the Pacific (Dalzell, 1996; Gillett, 2016). In Hawai‘i, these
56 fisheries are relied upon for economic, social, and cultural services, including important
57 livelihood and food provisioning (Friedlander, Shackeroff & Kittinger, 2013). More than a third
58 of Hawai‘i residents identify themselves as fishers (OmniTrak, 2011), and the diversity of
59 cultures that live in Hawai‘i all place high importance on fishing.

60 Despite their importance, many small-scale reef fisheries, both commercial and non-
61 commercial, in the Pacific have significant capacity gaps in management, threatening the food
62 security and livelihoods that these fisheries provide to communities (Newton et al., 2007; Bell et
63 al., 2009; Kronen et al., 2010a,b; Houk et al., 2012; Friedlander, Nowlis & Koike, 2014). Many
64 of the challenges currently hindering sustainable management and fisheries sector development
65 strategies are associated with a lack of information in the complex context of multi-species,
66 multi-gear small-scale coral reef fisheries (Cinner et al., 2012).

67 There remain significant knowledge gaps for scientists and managers regarding the
68 characteristics and magnitude of current fishing activities, including the total production of the
69 fishery and its value to local communities and economies. Many of these fisheries are not
70 effectively managed, largely due to a lack of assessments of fishing activities and fish stocks, yet
71 un-assessed or otherwise data-poor fisheries account for more than 80% of the global fisheries

72 catch (Dalzell, 1996; Sale, 2008; Costello, Wilson & Houlding, 2012; Ricard et al., 2012;
73 Friedlander, 2015). The lack of investment in monitoring due to low technical and financial
74 capacity in many coral reef geographies makes understanding their fisheries status more difficult,
75 and as such, developing adequate, evidence-based regulations remains difficult (Pauly, 2006;
76 Zeller et al., 2006; Pauly & Zeller, 2014). To ensure healthy ocean ecosystems will continue to
77 support food, income, and livelihoods for people worldwide, fisheries managers and scientists
78 need to address the challenge of developing sustainable fisheries management systems in data-
79 poor situations by using innovative approaches for fisheries assessments (Johannes, 1998; Pauly
80 & Zeller, 2014).

81 To develop better management strategies, scientists and managers need more accurate
82 estimates of how much fish biomass is in the water, how much is being fished, what fishing
83 gears are used, and whether the rate and amount of catch is ecologically sustainable. An
84 empirical method for fisheries assessment that has worked very effectively at local community
85 scales is the creel survey approach, which focuses on estimating total catch, dominant gear types
86 used, selectivity of gear types (i.e., variety in targeted species), and other aspects of fishing
87 behavior (Malvestuto, 1983). Creel surveys, involving observations of fishers paired with
88 interviews, have been particularly useful in assessing the fishing pressure and total economic
89 value to local communities in several locations in the Pacific (Albert et al., 2015a,b; Weijerman
90 et al., 2016), and particularly in Hawai‘i (Friedlander & Parrish, 1997; Everson & Friedlander,
91 2004; Kittinger et al., 2015). The name for a creel survey comes from the woven basket, or creel,
92 that freshwater anglers use to hold their catch (Malvestuto, 1996). In Hawai‘i, these surveys are
93 referred to by the Hawaiian name for a tin basin used to hold nets called a pakini (Kittinger et al.,
94 2015). Surveys are typically conducted at access points where fishers are asked about fishing

95 activities. This participatory approach is generally more effective than studies that do not engage
96 the local community, because the information gathered stems from the community, who have
97 actively helped design monitoring and management efforts (Whyte, Greenwood & Lazes, 1989;
98 Scholz et al., 2004; Kittinger, 2013). Creel surveys offer tremendous advantages in terms of
99 accuracy in each location. However, they are resource-intensive to carry out, and are therefore
100 often limited in their spatial and temporal scope, precluding researchers, managers, and
101 communities from recognizing larger-scale trends necessary for managing targeted fish stocks in
102 reef fisheries (Weijerman et al., 2016).

103 The purpose of our study is to reveal with unparalleled geographical coverage and detail,
104 a clearer picture of the reef-associated fishing effort, catch, catch-per-unit-effort, and fate of the
105 reef catch in various locations in Hawai‘i. This work addresses long-standing interest in
106 information about coastal fisheries in Hawai‘i which have remained poorly quantified
107 particularly in terms of non-commercial fishing effort and catch. The patterns found here are
108 determined through arguably the most accurate and high-resolution methodology: through fisher
109 surveys conducted at 18 sites across the archipelago. This broad regional coverage provides
110 unique insights into the current state of nearshore fishing effort and catch, and demonstrates the
111 value of creel surveys as a community-level monitoring technique producing information critical
112 to effective fisheries management by assessing distinct spatial patterns in: (1) gear usage; (2)
113 annual catch; and (3) disposition of the catch.

114

115 **Methods:**

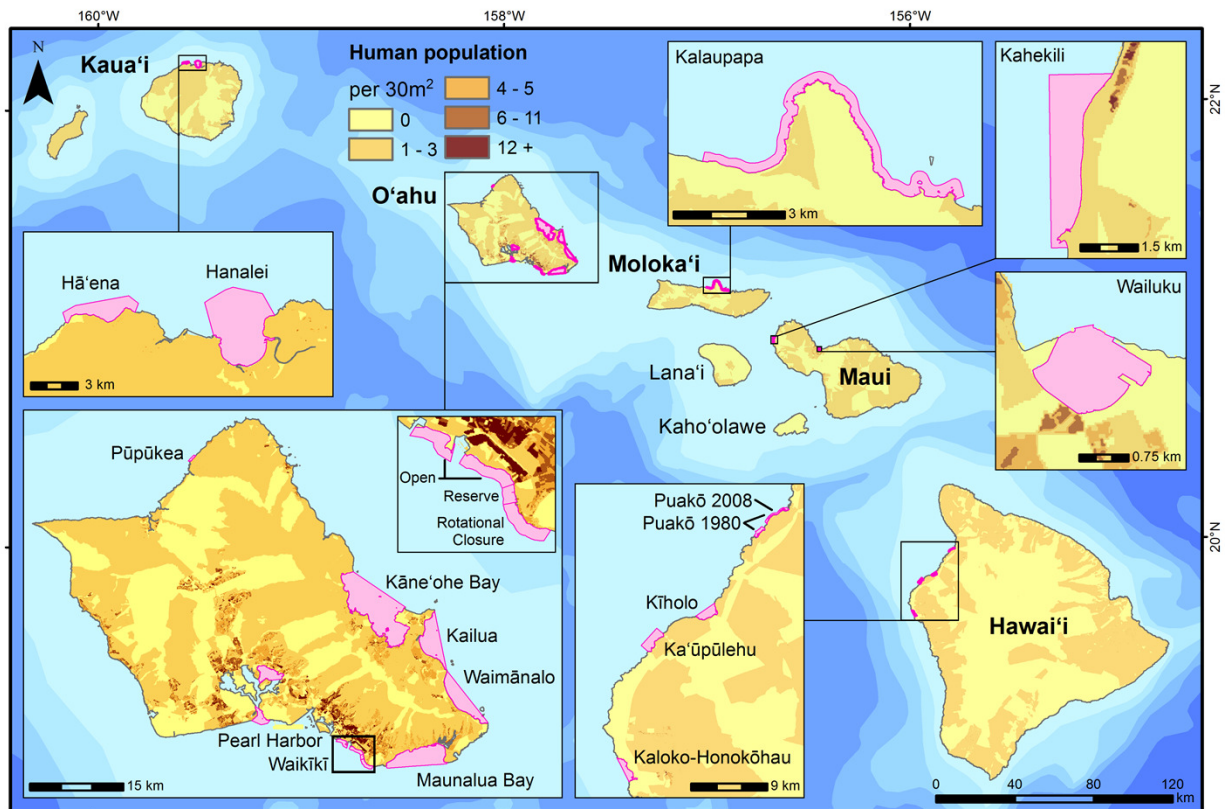
116 **Study sites:**

117 Current monitoring of nearshore fisheries by state and federal agencies in the MHI does
118 not capture the full extent of fishing catch and effort due to the large non-commercial nature of
119 these fisheries and the disparate landing sites across the state (McCoy et al., in review). To
120 address this, we compiled a large database of creel surveys conducted at 18 sites, across several
121 islands, and over three decades. Details on the compiled information from creel surveys for
122 fishing effort, catch, CPUE, fish flows, and illegal fishing (e.g., use of illegal gear, take of
123 undersized regulated species, fishing in restricted areas) for the MHI and reported values are
124 presented in the Supplemental Information (S1). All creel surveys included in this study were
125 previously published in some form (peer-reviewed articles or gray literature reports) except the
126 data for Kaloko-Honokōhau National Historical Park, Hawai'i Island, which came from intercept
127 interview data, and from which we produced estimates of effort, catch, and CPUE that are
128 described in S1.

129 Sample sites included urban and major tourist destinations, such as Maunalua Bay, Pearl
130 Harbor, and Waikīkī on O'ahu, as well as Wailuku on Maui, which we expected to be
131 characterized by high level of effort but low catch based on anecdotal evidence. Conversely,
132 remote communities such as Kalaupapa National Historical Park on Moloka'i, and Hā'ena on
133 Kaua'i, were expected to have higher catch rates but lower overall effort (Fig. 1). Puakō on
134 Hawai'i Island was surveyed from May 1980 to September 1981 and again from December 2008
135 to December 2009 (Table 1). For patterns in fishing gear, we used data from all 18 locations.
136 Examination of fishing effort and total catch had 14 available datasets, with 13 for CPUE, and 8
137 for fish flow (Table 1).

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141 Figure 1. Survey sites where creel and/or fish flow surveys were conducted and included in this
 142 study are shown in pink. 2010 human population (State of Hawai'i, 2010) is distributed based on
 143 land cover types within census blocks.

144

145

146

147 Table 1. Location, availability of data, and its inclusion in analyses of gear most commonly used, effort, catch,
 148 CPUE estimates and/or fish flows and the source for this information. “0” indicates the information was not
 149 available and “1” indicates the information was available.

Location and	Most popular gear	Effort	Catch	CPUE	Fish flow	Source
Hā‘ena, Kaua‘i	1	0	0	1	1	Vaughan & Vitousek, 2013; Kua‘āina Ulu ‘Auamo (KUA), Hui Maka‘āinana o Makana and Limahuli Gardens staff, unpub. report; McCoy et al., in review
Hanalei, Kaua‘i	1	1	1	1	1	Friedlander & Parrish, 1997; Everson & Friedlander, 2004; Glazier & Kittinger, 2012
Kahekili, Maui	1	1	1	1	0	Friedlander et al., 2012
Kailua, O‘ahu	1	1	0	0	0	Friedlander et al., 2014
Kalaupapa, Moloka‘i	1	0	0	0	0	Tom, 2011
Kaloko-Honokōhau, Hawai‘i	1	1	1	1	0	K. Tom and J. Beets unpub. data
Kāne‘ohe Bay, O‘ahu	1	1	1	1	1	Everson & Friedlander, 2004
Ka‘ūpūlehu, Hawai‘i	1	1	1	1	0	Koike et al., 2015
Kīholo, Hawai‘i	1	1	1	1	1	Kittinger et al., 2015
Maunalua Bay, O‘ahu	1	1	1	1	1	Kittinger, 2013, McCoy et al., in review
Pearl Harbor, O‘ahu	1	1	1	1	0	Wolfe et al., 2017
Puakō, Hawai‘i (1980-1981)	1	1	1	1	0	Hayes et al., 1982
Puakō, Hawai‘i (2008-2009)	1	1	1	1	1	Giddens, 2010; J. Giddens pers. comm.
Pūpūkea, O‘ahu	1	1	0	0	0	Stamoulis & Friedlander, 2013
Waikīkī reserve, O‘ahu	1	0	1	0	0	Meyer, 2003
Waikīkī open, O‘ahu	1	0	1	1*	0	Meyer, 2003
Waikīkī rotational closure area, O‘ahu	1	0	1	0	0	Meyer, 2003
Wailuku, Maui	1	1	1	1	1	Koike, Carpio & Friedlander, 2014
Waimānalo, O‘ahu	1	1	0	0	0	Friedlander et al., 2014; K. Stamoulis pers. comm.
Hawai‘i Island, Hawai‘i	0	0	0	0	1	Hardt, 2011

150 *The CPUE estimates for Waikīkī were not reported for the 3 individual sites separately.

151 **Creel survey methodology:**

152 Creel surveys in Hawai'i have typically quantified fishing effort using elevated vantage
153 points, where observers scanned the area on a systematic schedule using binoculars and/or high-
154 power spotting telescopes (Friedlander & Parrish, 1997; Tom, 2011; Friedlander et al., 2014).
155 Interview-based surveys are conducted using access point and roving survey methods. An access
156 point survey targets a specific site that generally has a single pathway where fishers can be
157 sampled upon completion of a fishing trip (e.g., piers, jetties, or a remote beach with one entry
158 point (Robson & Jones, 1989). A roving survey targets a broader area where access is generally
159 undefined and fishers are more dispersed (Malvestuto, Davies & Shelton, 1978; Malvestuto,
160 1996). It is conducted by walking and/or driving along a stretch of coastline and stopping when a
161 fisher is located for a potential interview (Malvestuto, Davies & Shelton, 1978; Pollock et al.,
162 1997). Interviews were usually conducted with participating fishers, preferably at the completion
163 of their trip, to gather information such as catch and species composition. Estimates of total
164 annual catch were obtained by multiplying effort for each gear type with the corresponding
165 CPUE (references in Table 1 or S1).

166 Our assessment was focused on patterns in nearshore fishing by collating estimates from
167 previously conducted creel surveys to produce regional maps of total annual catch, CPUE, and
168 effort for three dominant gear types: shore-based line, net, and spear (McCoy et al., in review).
169 These broad categories of gear are the most common and popular types of fishing methods in
170 Hawai'i (McCoy et al., in review). We created boundary polygons using ArcGIS 10.4 based on
171 maps and description of surveyed areas in each creel study. These polygons represent the marine
172 area surveyed and were delineated in reference to the National Oceanic and Atmospheric
173 Administration (NOAA) Biogeography Branch shoreline data for the MHI (Battista, Costa &

174 Anderson, 2007). Total area in square kilometers for each creel survey area polygon was
175 calculated in ArcGIS, as well as area of coral reef and hard bottom as delineated by Battista *et al.*
176 (2007). Length of shoreline for each creel area was measured after first simplifying creel
177 polygon features to standardize measurements using the ArcGIS Simplify Polygon tool with a
178 maximum allowable offset of 100 m, which removed extraneous bends while preserving the
179 essential shape (Table 2; ESRI, 2011).

180 Table 2. Location, start and end dates of surveys, coastline length, total area, and area of coral
 181 reef and hard bottom in creel survey sites as delineated by Battista *et al.* (2007). Surveys were
 182 executed from 1980 to 2016.

Location	Start and end dates	Coastline (km)	Total Area (km ²)	Area of coral reef and hard bottom (km ²)
Hā'ena, Kaua'i	Aug 09-Dec 10	3.6	2.05	1.25
Hanalei, Kaua'i	Jul 92-Dec 93	6.2	7.58	2.82
Kahekili, Maui	Jan 11-Dec 11	3.6	1.88	0.46
Kailua, O'ahu	Jan 08-Aug 13	11.8	14.84	12.55
Kalaupapa, Moloka'i	Aug 08-Nov 10	19.6	7.41	3.08
Kaloko-Honokōhau, Hawai'i	Jan 10-Jan 11	6.0	2.26	1.62
Kāne'ōhe Bay, O'ahu	Spring 91- Spring 92	33.7	48.46	23.97
Ka'ūpūlehu, Hawai'i	Aug 13-Aug 14	3.7	3.53	2.13
Kīholo, Hawai'i	May 12-Apr 13	4.5	2.65	1.77
Maunalua Bay, O'ahu	Dec 07-Nov 08* and Jan 11- Jul 11#	15.1	19.12	16.11
Pearl Harbor, O'ahu	Jun 15-May 16	14.9	8.06	1.96
Puakō, Hawai'i (1980-1981)	May 80-Sep 81	6.6	1.43	1.27
Puakō, Hawai'i (2008-2009)	Dec 08-Dec 09	4.9	0.85	0.75
Pūpūkea, O'ahu	June 11-Sep 11	1.2	0.31	0.30
Waikīkī reserve, O'ahu	Jun 98-Aug 01	0.7	0.31	0.28
Waikīkī open, O'ahu	Jun 98-Aug 01	4.8	1.80	1.41
Waikīkī rotational closure area, O'ahu	Jun 98-Aug 01	1.9	0.97	0.84
Wailuku, Maui	Mar 13-May 14	3.3	0.93	0.16
Waimānalo, O'ahu	Jan 08-Aug 13	11.4	14.22	6.15

183 * start and end dates for the creel survey

184 #start and end dates for the fish flow survey

185 **Fish flow surveys:**

186 Improvements in fisheries management are most effective if they are informed by the
 187 main drivers of fishing (e.g., commerce, recreational, subsistence, culture). To accomplish this,

188 we obtained information on fish flow (i.e., catch disposition) across the MHI. This information
189 included the distribution of catch, and whether it was: 1) kept for home consumption; 2) given
190 away; 3) sold (or bartered); 4) released; 5) used as bait and/or 6); used for other purposes (Hardt,
191 2011; Kittinger, 2013; Kittinger et al., 2015). Fish flow information estimates how catch from
192 nearshore marine ecosystems is used by local fishers and the role it has in local economies and
193 households (Glazier & Kittinger, 2012; Kittinger, 2013).

194

195 **Results:**

196 **Patterns in effort, catch and CPUE from creel surveys:**

197 Line fishing was the most commonly employed gear type (94%), followed by net fishing
198 (6%; Table 3). In all cases, line fishing had the highest estimate of effort (Table 3). However, the
199 most efficient gear types (i.e., higher CPUE) were spear (0.64 kg hr^{-1}), followed closely by net
200 (0.61 kg hr^{-1}), with CPUE for line (0.16 kg hr^{-1}) 3.9 times lower than spear and 3.7 times lower
201 than net (Table 4).

202

203

204 Table 3. Location, estimates of effort for three shore-based fishing gear types (hr), and total
 205 annual catch (kg). Some values were not available (“-”).

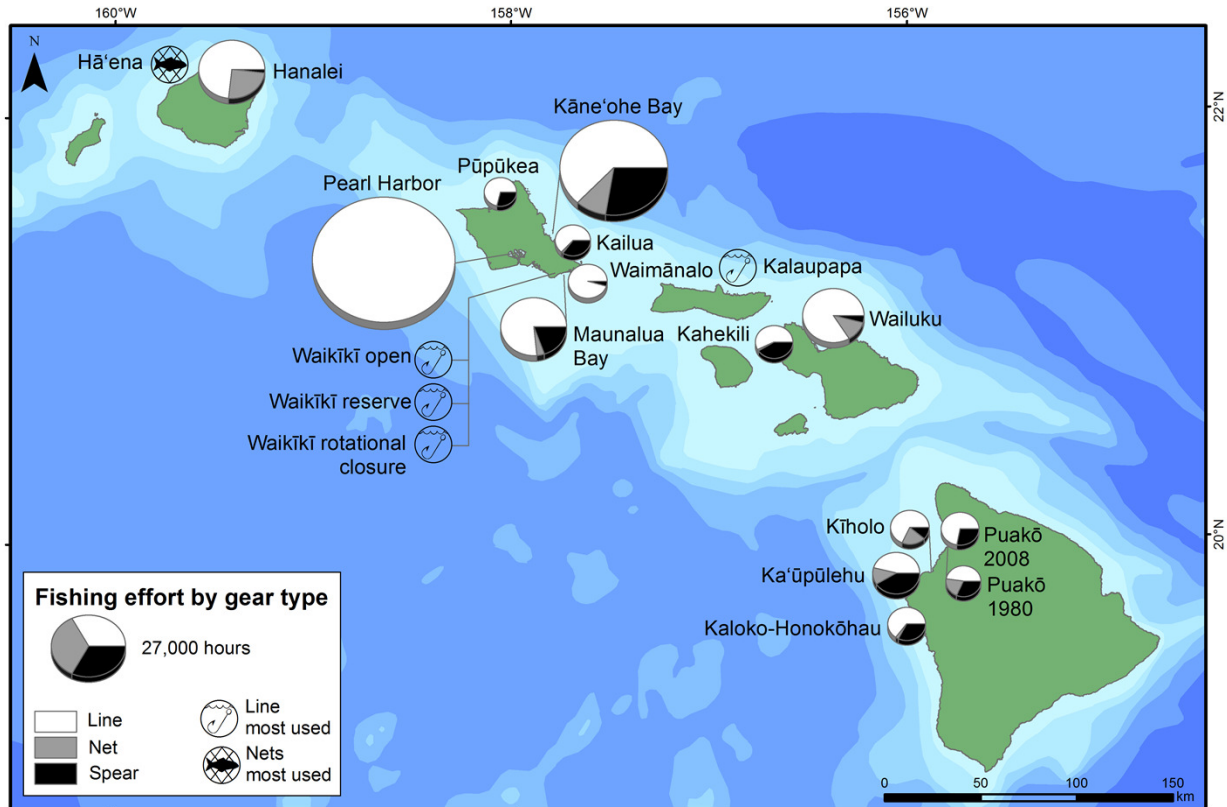
Location	Line	Net	Spear	Total catch
Hā‘ena, Kaua‘i	-	-	-	-
Hanalei, Kaua‘i	15,850	5,370	397	15,801
Kahekili, Maui	3,925	108	2,857	1,214
Kailua, O‘ahu	3,867	106	2,184	-
Kalaupapa, Moloka‘i	-	-	-	-
Kaloko-Honokōhau, Hawai‘i	4,538	208	2,331	3,277
Kāne‘ohe Bay, O‘ahu	35,748	5,711	15,926	63,958
Ka‘ūpūlehu, Hawai‘i	5,089	1,319	4,587	4,599
Kīholo, Hawai‘i	5,004	1,580	799	7,353
Maunalua Bay, O‘ahu	16,441	888	4,099	5,543
Pearl Harbor, O‘ahu	98,725	698	927	7,726
Puakō, Hawai‘i (1980-1981)	5,017	NA	1,962	8,063
Puakō, Hawai‘i (2008-2009)	2,917	1,239	1,958	2,323
Pūpūkea, O‘ahu	3,685	5	1,511	-
Waikīkī reserve, O‘ahu	-	-	-	28
Waikīkī open, O‘ahu	-	-	-	457
Waikīkī rotational closure, O‘ahu	-	-	-	581
Wailuku, Maui	15,701	2,192	719	2,161
Waimānalo, O‘ahu	7,140	11	317	-

207 Table 4. Catch-per-unit-effort (CPUE) estimates in kg hr⁻¹ for three shore-based fishing gear
 208 types (line, net, and spear fishing). Some values were not available (“-”).

Location	Line	Net	Spear
Hā‘ena, Kaua‘i	0.09	0.43	0.56
Hanalei, Kaua‘i	0.07	0.96	0.87
Kahekili, Maui	0.09	0.03	0.30
Kailua, O‘ahu	-	-	-
Kalaupapa, Moloka‘i	-	-	-
Kaloko-Honokōhau, Hawai‘i	0.01	0.07	0.67
Kāne‘ohe Bay, O‘ahu	0.27	0.87	0.93
Ka‘ūpūlehu, Hawai‘i	0.23	0.39	0.51
Kīholo, Hawai‘i	0.62	1.81	1.79
Maunalua Bay, O‘ahu	0.10	0.11	0.23
Pearl Harbor, O‘ahu	0.06	-	0.42
Puakō, Hawai‘i (1980-1981)	0.28	-	0.48
Puakō, Hawai‘i (2008-2009)	0.15	1.27	0.23
Pūpūkea, O‘ahu	-	-	-
Waikīkī, O‘ahu	0.04	-	1.13
Wailuku, Maui	0.12	0.14	0.22
Waimānalo, O‘ahu	-	-	-

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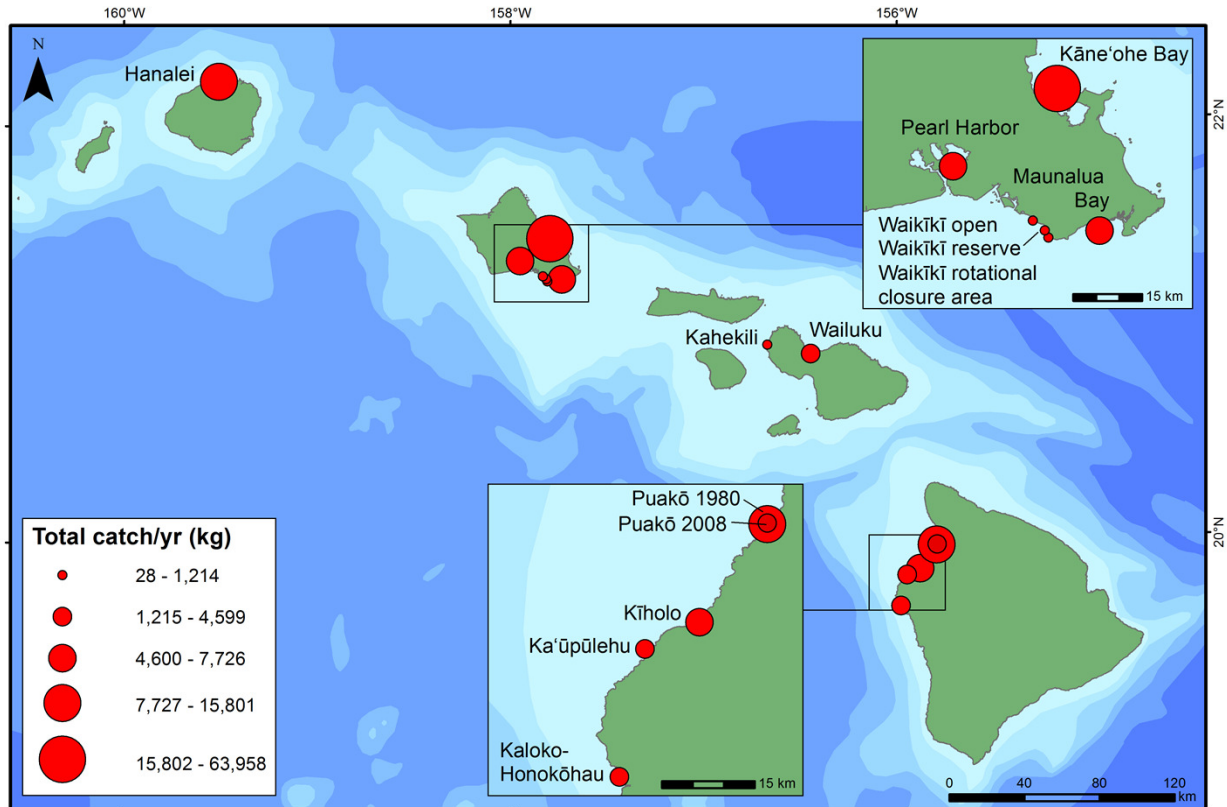
210 Fishing effort estimates varied within and across the MHI. The highest estimates of effort
 211 were on O‘ahu, where approximately 70% of the state’s population resides (Figs. 1 and 2). The
 212 highest estimate of fishing effort was recorded at Pearl Harbor, a densely-populated embayment
 213 in urban Honolulu, with >100,000 hr of non-vessel-based fishing effort, nearly all of which was
 214 line fishing (Fig. 2; Table 3). Kāne‘ohe Bay is a large, sheltered bay on windward O‘ahu and had
 215 the second highest total effort among all locations (Fig. 2; Table 3). Effort estimates across
 216 O‘ahu were extremely variable, with the lowest overall fishing effort observed at Pūpūkea, on
 217 the relatively less populated north shore (Figs. 1 and 2). Fishing effort was generally lower at
 218 less populated parts of the MHI (Figs. 1 and 2).



219

220 Figure 2. Shore-based fishing effort by gear type. Pie sizes are scaled to represent annualized
 221 estimates of total fishing effort by shore-based line, net, and spear fishing activities at each site.
 222 If annualized estimates of effort hours were not quantified for the gear types but the survey
 223 reported the most commonly used gear type (e.g., gear with highest frequency of occurrence,
 224 density of fishing activities by gear type), a symbol indicating the most commonly used gear was
 225 added to the map to document this gear preference.

226



227

228 Figure 3. Total catch per year (kg) at each site. Circles scaled to represent total annual fisheries
 229 and invertebrate harvest at that site.

230

231 Total catch was highly variable among islands, with the highest observed in Kāneʻohe
 232 Bay, Oʻahu were 52% of the catch caught by active gears consisted of octopus (*Octopus cyanea*
 233 and *Callistoctopus ornatus*) (Fig. 3; Table 3). Hanalei Bay on Kauaʻi had the next largest annual
 234 catch, with a large portion (>70%) of the catch consisting of small coastal pelagic species
 235 (primarily *Selar crumenophthalmus* and *Decapterus* spp.) that were almost all (97%) caught by
 236 large nets. Catch was generally low in urban and/or tourist-dominated sites such as Waikīkī,
 237 Pearl Harbor, and Maunaloa Bay on Oʻahu, as well as Kahekili and Wailuku on Maui. Catches
 238 were similar for the four survey sites along the west coast of Hawaiʻi Island (Fig. 3). At Puakō,

239 annual fisheries harvest decreased from 1980-1981 to 2008-2009 (Fig. 3). The size of the more
240 recent creel survey at Puakō is 59% of the area of the older survey area, but the catch of the new
241 survey was estimated to be only 29% of the total annual catch of the previous survey.

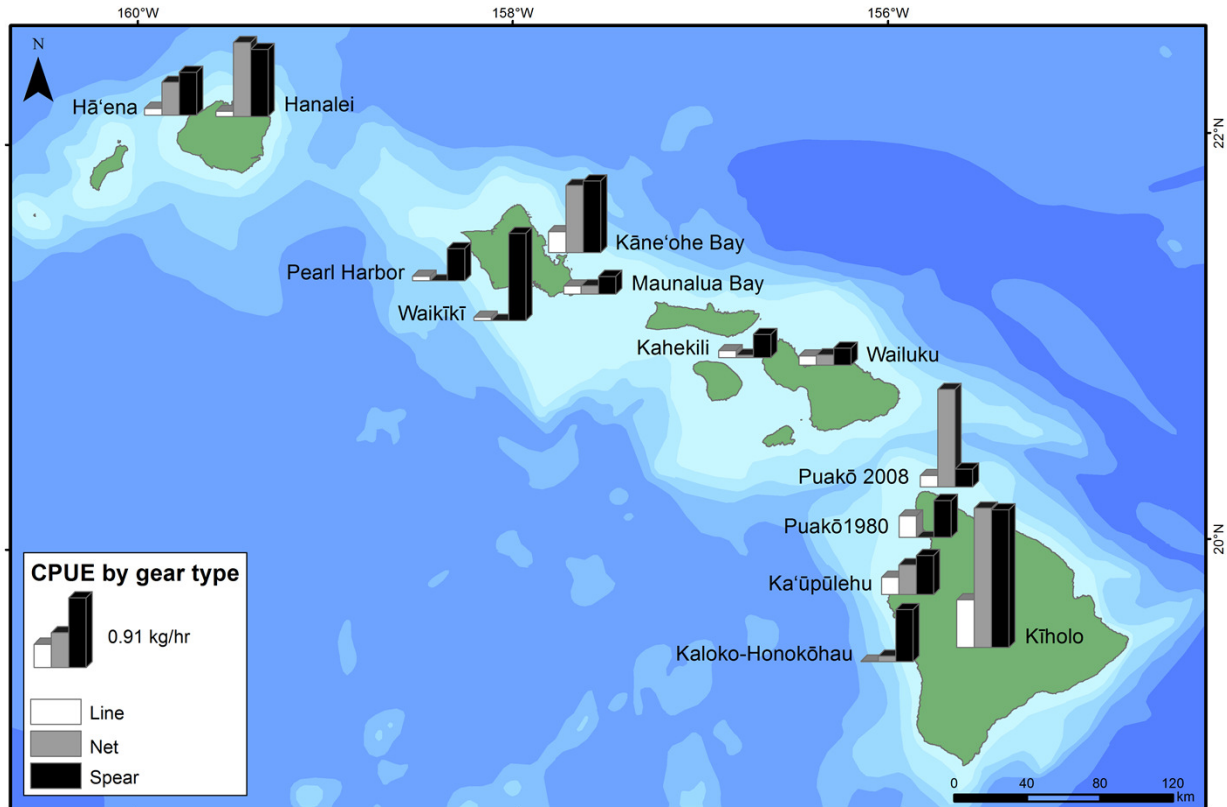
242 CPUE estimates were generally lower in more urban and/or touristic locations, as
243 expected (e.g., O‘ahu, and Kahekili and Wailuku on Maui). Conversely, these estimates were
244 generally higher at less densely population places, such as Hā‘ena and Hanalei on Kaua‘i, and
245 Kīholo on the island of Hawai‘i, with the exception of high spear CPUE at Waikīkī (Fig. 4;
246 Table 4). At Puakō, CPUE estimates decreased from 1980-1981 to 2008-2009 for both line and
247 spear fishing by 46.4% and 52.1%, respectively (Table 3). Line fishing was almost always the
248 least effective gear type (Fig. 4). Illegal fishing was reported at least nine of the survey locations.
249 Violations at sites ranged from harvested undersized fish, use of illegal gears, take of prohibited
250 species, and fishing in off-limit areas (Table 5).

251

252

253 Table 5. Location and examples of the reported illegal fishing activity reported at survey sites.

Location	Type of activity
Hanalei, Kauaʻi	More than 70% of all the juvenile jacks (<i>Carangidae</i>) caught were below the minimum legal size
Kahekili, Maui	At the Kahekili Herbivore Management Area there was illegal take of herbivorous fishes
Kailua, Oʻahu	Illegal gill net activities were detected in 2008 and 2012
Pearl Harbor, Oʻahu	Spearfishing and net fishing were documented in areas where these gear types were not allowed, as well as the catch of undersized species, primarily small jacks
Puakō, Hawaiʻi	Many of the convict tangs (<i>Acanthurus triostegus</i>), parrotfishes (<i>Scaridae</i>) and jacks (<i>Carangidae</i>) that were retained were smaller than the minimum legal size
Pūpūkea, Oʻahu	An average of 27 fishers per week illegally fishing in the Pūpūkea-Waimea marine reserve
Waikīkī reserve and boundary areas of the reserve	Dozens of illegal spear, and pole and line fishing events were observed in the Waikīkī reserve
Wailuku, Maui	33% of the fishing activity recorded was illegal



254

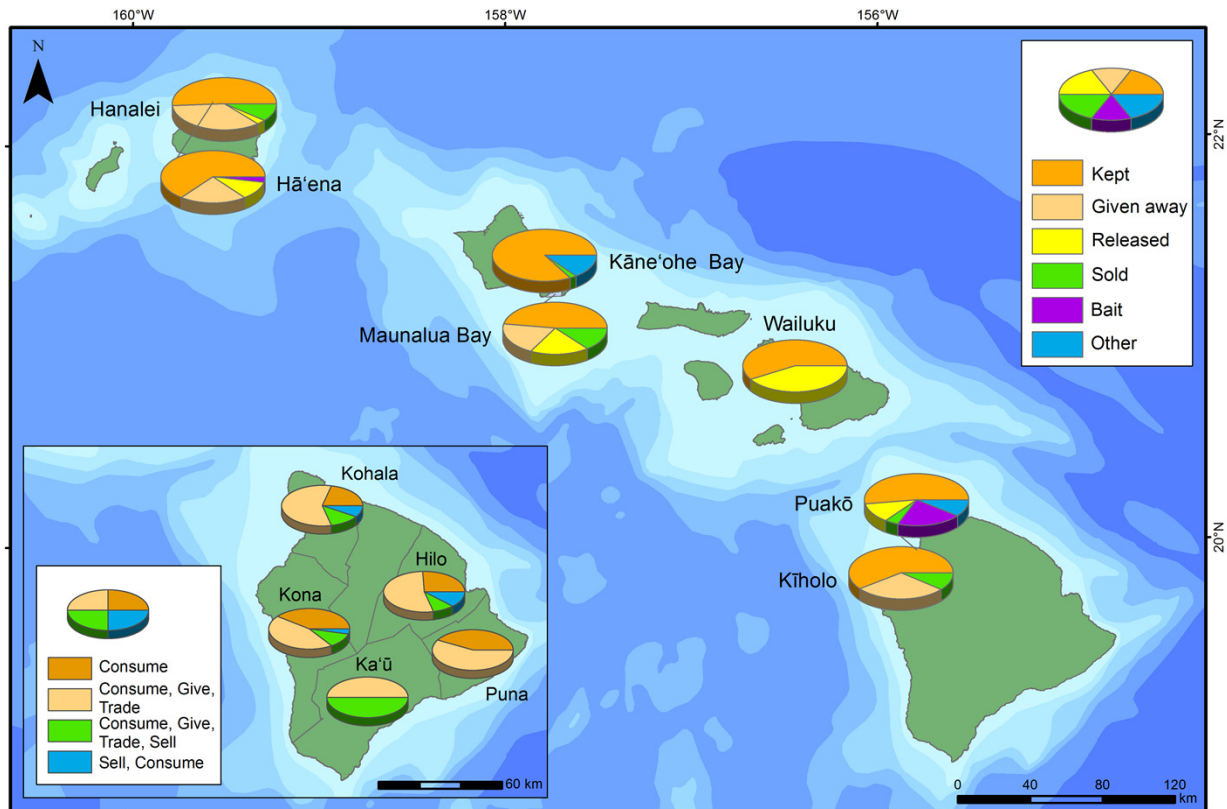
255 Figure 4. Catch-per-unit-effort (CPUE - kg hr^{-1}) for the three dominant shore-based fishing gears
256 (line, net, and spear) by survey location.

257

258 **Patterns in Fish Flows:**

259 Surprisingly, the majority of fish caught was either kept or given away for home
260 consumption (Fig. 5). Negligible proportions of the catch were reported as sold (Fig. 5). Only at
261 Wailuku, Maui, more than 40% of the catch was released, reportedly due to fish being
262 undersized. While all the fish flow surveys quantified the proportion of fish and invertebrate
263 biomass kept, not all surveys (e.g., Wailuku) quantified the proportion of catch that was sold.
264 Additionally, some of the fish flow categories varied among survey locations. For example, the
265 survey of Wailuku only reported the categories of catch that were kept and released and surveys
266 conducted at Hā'ena and Puakō, catch used as bait was quantified separately.

267



268

269 Figure 5. Fish flow for each survey location. Disposition of catch: kept, given away, used as bait,
 270 other, released and/or sold. In the lower left inset, data from Hardt (2011) on fish flows were
 271 included.

272

273 Discussion:

274 Localized small-scale creel surveys monitoring non-commercial fishing for reef-
 275 associated species offer a unique opportunity for scientists and resource managers to interact
 276 with fishing communities on a personal basis, thus strengthening the potential for collaborative
 277 management on a local level (Malvestuto, 1996). Our first-of-its-kind regional analysis of spatial
 278 trends in nearshore fisheries based on creel surveys in Hawai'i revealed important insights into

279 the characteristics of the fishery in unprecedented detail. The compiled surveys comprised
280 >10,000 hr of monitoring across a diverse set of locations, with participation from local
281 communities, state agencies, academics, and environmental organizations. Our results showed
282 that fishing effort, catch, and CPUE estimates varied across space (between and within islands)
283 and among fishing gears. The findings can directly improve the design of more cost-effective
284 and standardized creel survey approaches that can be instituted to facilitate more informed
285 fisheries management in Hawai‘i and beyond.

286 **Nearshore fisheries sustainability and rebuilding fisheries**

287 There are several ways to assess the health of reef fisheries. While a range of yield
288 estimates for sustainable harvesting have been proposed for coral reefs (Newton et al., 2007), by
289 most measures the nearshore fisheries in Hawai‘i are in poor health (Friedlander & DeMartini,
290 2002; Friedlander et al., 2008; Williams et al., 2008; Nadon et al., 2015; Friedlander et al., in
291 press). By pairing creel surveys with fish flow surveys, one can start to understand human use
292 patterns in nearshore fisheries, along with mapping the extent of the fisheries benefits to the
293 community, as well as the drivers of fisher behavior. Such information helps a community
294 develop a more informed understanding of the drivers of marine resource harvest and the state of
295 the resources. This, in turn, helps inform effective, sustainable community-based fisheries
296 management. While creel surveys are arguably the best way to monitor nearshore environments,
297 there are numerous ways to assess the health of reef fisheries.

298 Managers need to address the challenge of overfishing in Hawai‘i since fishing is a
299 popular activity for both tourists and locals, and a third of the local population identify as
300 recreational fishers (OmniTrak, 2011). Fisheries managers have at their disposal a range of tools
301 that can be tailored to the specific context and challenges of a fishery to sustain, and in some

302 cases, rebuild fisheries (Friedlander, 2015). In general, these tools include input controls (which
303 restrict effort), output controls (which restrict catch), and spatial measures (time/area closures),
304 which are further supported by a range of technical measures (such as monitoring, assessment,
305 and enforcement) (Walters & Martell, 2004). In coral reef fisheries, a range of approaches have
306 been implemented, with a rich literature assessing the efficacy of these approaches (Friedlander,
307 2015). Inputs, fishing technology, and effective gear types, are often seen as the ‘accelerators’,
308 whereas outputs and output controls are seen as the ‘brakes’ in small-scale fisheries (Purcell &
309 Pomeroy, 2015).

310 Despite their effectiveness, in Hawai‘i, nets and spears are used far less often than line,
311 which means further regulation on nets and spears could possibly reduce overall catch while not
312 affect the majority of fishers. Gill nets are regulated in some areas in Hawai‘i (e.g., Kailua, a
313 large portion of the south shore of O‘ahu, number of locations in West Hawai‘i, and the entire
314 island of Maui). Justification for these bans was the indiscriminate catch, including juvenile
315 fishes, and a high bycatch of threatened and endangered species (e.g., sea turtles, marine
316 mammals) (Donovan et al., 2016; Division of Aquatic Resources, 2017). Certain methods of
317 spear fishing such as nighttime and/or on scuba are highly efficient, particularly for parrotfishes,
318 which sleep on the reef at night and are easily harvested at this time (Richmond et al., 2002;
319 Sabetian & Foale, 2006). Scuba-based spear fishing is now banned in West Hawai‘i, along with
320 many Pacific Island nations and territories (Gillett & Moy, 2006; Lindfield, McIlwain & Harvey,
321 2014; Division of Aquatic Resources, 2017). Restriction on or banning of gill nets, nighttime
322 and/or scuba-based spear fishing could potentially be quite effective and should be considered
323 for other locations as well (McClanahan, Maina & Davies, 2005; McClanahan & Cinner, 2008;
324 Cinner et al., 2009).

325 Time/area spatial closures (i.e., marine protected areas [MPAs]), have been proven to be
326 highly successful in conserving biodiversity (Lubchenco et al., 2003; Lester et al., 2009) but are
327 strongly opposed by many fishers (Agardy et al., 2003; Fernandes et al., 2005). Fishers are often
328 less resistant to gear restrictions than they are to MPAs (McClanahan, Maina & Davies, 2005). In
329 Papua New Guinea and Indonesia, traditional forms of periodic area closures were found to be
330 effective for maintaining targeted fish biomass (McClanahan et al., 2006). However, in areas of
331 Fiji with high-intensity fishing effort, rotational or periodic closures are not always effective
332 because fishers are very successful at drastically reducing fish biomass when closures are opened
333 (Cohen & Foale, 2013; Goetze et al., 2016). Traditional periodic closures can be effective for
334 short-lived taxa that reproduce quickly, but evidence across the Pacific, including Hawai'i,
335 shows that taxa that are long-lived and reproduce later in life do not benefit from rotational
336 closures (Williams et al., 2006). However, protected areas can provide benefits to local fisheries
337 through juvenile and adult spillover (Russ et al., 2004; Abesamis & Russ, 2005). The Pūpūkea-
338 Waimea MPA on the north shore of O'ahu has resulted in significant benefit for fishers through
339 this spillover effect (Stamoulis & Friedlander, 2013).

340 Output controls include annual catch limits, catch size restrictions, bag limits, and other
341 limitations on catch. Annual catch limits have not yet been developed for most species in
342 Hawai'i due to the difficulty of assessing multi-species coral reef fish stocks, and are most
343 effective for a limited number of high value species such as lobsters and limpets. Hawai'i DAR
344 has developed and implemented numerous output controls including size, season, and bag limit
345 rules. However, these controls should be adapted as new knowledge emerges of the geographical
346 variability in spawning cycles and growth characteristics of various reef fish among locations
347 (Schemmel & Friedlander, 2017).

348 Local fisheries management that is driven and informed by traditional knowledge has
349 been shown as effective in certain locations in Hawai‘i, as well as other locations in the Pacific
350 (Poepoe, Bartram & Friedlander, 2005; Friedlander, Shackeroff & Kittinger, 2013; Severance et
351 al., 2013; Levine & Richmond, 2014; Birkeland, 2017). To determine the exact portfolio of
352 output controls, input controls, and area closure measures that will be most successful in
353 rebuilding reef fisheries will require careful local monitoring, including creel surveys, and they
354 will need to be combined with efforts to manage other threats such as land-based sources of
355 pollution and invasive species.

356 Effective management of fishing and the commercial and non-commercial needs of
357 fishers in Hawai‘i would include limits to overly efficient, but less popular fishing gears such as
358 nets that usually have higher CPUEs and are responsible for harvesting a disproportionate
359 amount of the catch, including prohibited and protected species. Net fishing was less common
360 and less selective in the species caught, but this gear type along with spear fishing, have much
361 higher catch rates than line fishing. Certain types of nets (e.g., gill and surround nets) are far
362 more effective than other types of nets. Spear fishing is also effective and targets finfish, as well
363 as octopus (*O. cyanea* and *C. ornatus*), which comprised a large proportion of the total catch at
364 some sites (Everson & Friedlander, 2004). The large take of herbivorous reef fishes by nets and
365 spear is a concern for healthy coral reef function because herbivores help control algae
366 populations and prevent phase shifts from coral-dominated to algae-dominated reefs (Weijerman
367 et al., 2008).

368 A diverse range of management options needs to be developed through a collaborative
369 approach. There is a strong movement in Hawai‘i toward decentralized fisheries management,
370 with a revitalization of community-based fishery management based on customary practices and

371 knowledge (Vaughan & Vitousek, 2013), including a recent legal mandate for collaborative
372 management between the state and local communities to establish Community-Based
373 Subsistence Fishing Areas (Kittinger et al., 2012; Ayers & Kittinger, 2014). There are over 20
374 community initiatives currently active in Hawai‘i (Ayers & Kittinger, 2014), which is among the
375 most promising developments in nearshore fishery management. In Hawai‘i, the cultural
376 diversity and isolation of the islands lead to many expressions of self-determination; one of those
377 expressions is the desire for “local production for local consumption, under local control” (Loke
378 & Leung, 2013). At all sites where fish flow surveys were conducted, the majority of fish caught
379 was either kept or given away for local consumption, demonstrating the high food security and
380 cultural value of these non-commercial subsistence/recreational fisheries for the people of
381 Hawai‘i, particularly in rural areas.

382 Direct translation of traditional practices into a modern management context is often not
383 possible for political and historical reasons. Current management strategies are often an
384 adaptation and melding of traditional with the contemporary (Cinner & Aswani, 2007;
385 Shackeroff, Campbell & others, 2007; Ayers & Kittinger, 2014). Movement towards the
386 establishment of more co-management arrangements is also driven by recent findings that
387 locations under community-based management have similar amounts or greater fish biomass
388 compared to no-take protected areas (Friedlander, Shackeroff & Kittinger, 2013). Both of these
389 management regimes harbor higher biomass than partially protected or completely open-access
390 areas, clearly indicating that community-managed areas can be effective in providing positive
391 ecological outcomes by sustaining both ecosystems and ecosystem benefits (Friedlander,
392 Shackeroff & Kittinger, 2013).

393 **Local monitoring as a tool for reducing illegal fishing and supporting community-**
394 **based management**

395 Illegal, unreported, and unregulated (IUU) fishing is a global problem that likely impacts
396 the nearshore environment of Hawai‘i, and elsewhere in the region, due to limited monitoring,
397 compliance, and enforcement (UN FAO, 2001; Young, 2016; Pauly & Zeller, 2016). On many
398 coral reefs across the Pacific, including Hawai‘i, there is limited capacity for fisheries
399 monitoring (Pauly & Zeller, 2014), thus making fishing effort and total annual catch poorly
400 understood and difficult to quantify (Zeller et al., 2006; McCoy, 2015; Gillett, 2016). These
401 realities behoove us to identify cost-effective and accurate monitoring tools and survey
402 instruments to appropriately track ecological and social aspects of small-scale tropical fisheries,
403 the results of which can successfully inform adaptive state and community-level fisheries
404 management.

405 Our results highlight numerous illegal fishing activities occurring across the MHI for
406 decades. Illegal fishing activities were reported at least half of the locations surveyed, frequent
407 violations at some locations. Violations at sites ranged from harvested undersized fish, take of
408 prohibited species, use of illegal gears, and fishing in off-limit areas. Instances of illegal fishing
409 and the spatial and temporal patterns of fishing catch and effort have important management
410 implications, and such trends help guide strategies to optimally monitor fisheries given logistical
411 limitations (e.g., limited time, equipment, and personnel to monitor vast amount of area). For this
412 reason, managers and scientists cannot monitor the entire geographic areas of most coral reef
413 fisheries as intensely as needed. In these situations, local monitoring efforts are critical to inform
414 place-based management (McClanahan & Mangi, 2004; McClanahan et al., 2006). However,
415 these local monitoring efforts also need to be aggregated into broader analyses of temporal and

416 spatial scales for managers to gain insights about fishery trends and appropriate management
417 approaches.

418 Fishing effort varied not only across space but also across time, reflecting seasonal and
419 weekly cycles. In many of the locations, fishing effort was typically higher on the weekends and
420 holidays than on weekdays (Hayes et al., 1982; Friedlander & Parrish, 1997; Koike et al., 2015).
421 Fishing patterns were constrained by regular weekday working hours (i.e., higher fishing effort
422 observed on weekend days and holidays) and by weather patterns. Along north facing shores,
423 fishing effort was constrained in winter due to large surf (Everson & Friedlander, 2004; Koike,
424 Carpio & Friedlander, 2014). For example, Pūpūkea is a *de facto* seasonal refuge from fishing
425 for nearly half of the year due to large surf causing inaccessible fishing conditions (Stamoulis &
426 Friedlander, 2013). However, when the site is accessible, it is heavily fished, therefore negating
427 any benefits accrued during the high surf season.

428 Many locations in Hawai‘i, particularly sandy shores and embayments, experience higher
429 fishing effort during summer (June-August) when juvenile goatfishes aggregate in mass very
430 close to shore (Kamikawa, 2016). Summer months also experienced higher fishing pressure
431 because school is not in session and weather conditions are typically more favorable, allowing
432 more people to spend more time fishing with their families. In an era where the threats to reefs
433 and their associated fisheries are escalating due to overfishing, pollution, and climate change
434 (Bell, Johnson & Hobday, 2011), local efforts must be embedded into broader regional
435 management efforts if reefs and the benefits they provide to people are to survive.

436 To best monitor legal and IUU fishing, we need to determine the most effective survey
437 approach for a given set of personnel, geographies, and available resources. For example, many
438 coastal areas in Hawai‘i are expansive and relatively undeveloped. Access points to these areas

439 are generally indistinct and parking haphazardly on the side of a road is common. Local fishers
440 often prefer to utilize these areas where resources are generally not as depleted. In addition to
441 many line fishers, spear, and net fishers tend to favor remote areas where capture success is
442 likely greater due to higher resource availability. Based upon the general characteristics of the
443 coastal areas and the diversity of shore-based fisheries in Hawai‘i, the roving survey is often
444 considered the more suitable survey method to collect shoreline fishing information. Also, given
445 that fishing effort is generally higher on weekends and holidays during non-winter months,
446 optimally allocating limited effort for monitoring to those times and locations with higher fishing
447 pressure, could possibly lead to better coverage of fishing activities as well as better enforcement
448 of current regulations. We recommend that creel surveys are conducted more regularly at sites as
449 fishing effort and catch can change over time. For example, estimates were quite different at
450 Puakō between surveys of the area in 1980-1981 and 2008-2009. Current estimates of catch and
451 effort could also be quite different for Hanalei Bay and Kāne‘ohe Bay, which were conducted in
452 1992-1993 and 1991-1992, respectively. Therefore, repeat sampling of the same areas through
453 time is needed.

454 We advocate for further systematic design and implementation of community-level
455 citizen science participatory creel surveys as a method for state agencies to collaboratively
456 monitor and manage nearshore shore-based seafood harvesting. Hawaii’s local coastal
457 communities, like many similar communities across the Pacific, have a significant dependence
458 on coastal resources. Continued monitoring through creel surveys and other means will provide a
459 wealth of information on catch, fisher behavior, IUU fishing, and effectiveness of different
460 management regimes: where they work and where they fail. Therefore, the use of creel surveys
461 should be increased through space and time for better monitoring of nearshore fisheries and

462 determining the best way to manage fisheries for diverse purposes (subsistence, culture,
463 sustainability, recreation, seafood security, and commerce).

464

465 **Acknowledgments:**

466 We would like to thank all the communities, organizations, funders, and individuals that
467 were involved with any stage of the surveys. We thank Kaylyn McCoy for providing data from
468 her Master's work at the University of Hawai'i and we thank Joey Lecky and Hla Htun for GIS
469 data that we built upon.

470

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