A Virtual Environment-based Training System for the Blind Wheelchair User through use of 3D Audio Supported by EEG

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Many difficulties are encountered by people with disabilities, especially when a diagnosis is made up of more than one dysfunction, as is the case of visually impaired wheelchair users. In fact, this scenario generates a degree of incapacity in terms of the performing of basic activities on the part of the wheelchair user. The treatment of disabled patients is performed in an individualized manner according to their particular clinical aspects. People with visual and motor disabilities are restricted in independent navigation. In this navigation scenario, there is a requirement for interaction, this requirement justifies the use of Virtual Reality (VR). In addition, locomotion needs to possess natural control, in order to be successfully incorporated. Based on such a condition, Electroencephalography (EEG) has shown great advances in the area of health, concerning spontaneous brain signals. This research demonstrates through experiment, the use of a wheelchair adapted with support of VR and EEG for the training of locomotion and individualized interaction of wheelchair users with visual impairment. The objective being to provide efficient interactions, thus allowing social inclusion of patients considered otherwise incapacitated. This project was based on the following criteria natural control, feedback, stimuli and safety. A multi-layer computer rehabilitation system was developed incorporating natural interaction supported by EEG, which activates the movements in the Virtual Environment and real wheelchair through adequately performed experiments. This research consists of elaborating a suitable approach for blind wheelchair user patients. The results demonstrated that the use of Virtual Reality with EEG signals has the potential for improving the quality of life and independence of blind wheelchair users.

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Abstract

9 Many difficulties are encountered by people with disabilities, especially when a diagnosis is made up of 10 more than one dysfunction, as is the case of visually impaired wheelchair users. In fact, this scenario 11 generates a degree of incapacity in terms of the performing of basic activities on the part of the wheelchair 12 user. The treatment of disabled patients is performed in an individualized manner according to their 13 particular clinical aspects. People with visual and motor disabilities are restricted in independent 14 navigation. In this navigation scenario, there is a requirement for interaction, this requirement justifies the 15 use of Virtual Reality (VR). In addition, locomotion needs to possess natural control, in order to be successfully incorporated. Based on such a condition, Electroencephalography (EEG) has shown great 16 17 advances in the area of health, concerning spontaneous brain signals. This research demonstrates through experiment, the use of a wheelchair adapted with support of VR and EEG for the training of locomotion 18 19 and individualized interaction of wheelchair users with visual impairment. The objective being to provide 20 efficient interactions, thus allowing social inclusion of patients considered otherwise incapacitated. This 21 project was based on the following criteria natural control, feedback, stimuli and safety. A multi-layer 22 computer rehabilitation system was developed incorporating natural interaction supported by EEG, which 23 activates the movements in the Virtual Environment and real wheelchair through adequately performed 24 experiments. This research consists of elaborating a suitable approach for blind wheelchair user patients. 25 The results demonstrated that the use of Virtual Reality with EEG signals has the potential for improving 26 the quality of life and independence of blind wheelchair users.

27 1 Introduction

28 People with disabilities face a daily battle, as the lack of accessibility and social inclusion is still a big 29 problem (Fiegenbaum, 2009) (Aguiar et al., 2014). There currently exist a significant number of people 30 with disabilities and therefore solutions that will help these people are constantly sought, whether for 31 mobility, rehabilitation, communication or digital inclusion. In Brazil, there are still many structural issues in the area of therapy for the disabled. For example, the 2010 Census (Brasil, 2012) shows that 45,606,048 32 33 of Brazilians, 23.9% of the total population, have some kind of disability - visual, auditory, motor, mental 34 or intellectual. Visual impairment had the highest incidence rate, affecting 18.6% of the population. Second 35 is motor impairment, occurring in 7% of the population, followed by auditory in 5.10% and mental disabilities in 1.40% (Brasil, 2012). 36

The disability knowledge area offers categorical challenges in helping people with severe needs, and their impact on the right to come and go. This constitutional right is often violated due to the lack of accessibility 39 (Silva, 2014). Especially when dealing with patients who have more than one significant disability. Those 40 patients are classified as incapacitated for independent living. Motivated by this scenario, this work is about the difficulty of locomotion of the blind wheelchair user. This class of patients, by far, depends on the use 41 42 of wheelchairs for the rest of their lives. In fact, individuals with multiple disabilities, on average, are shown 43 to suffer greater exclusion from new technologies. Digital inclusion applications are particularly relevant 44 in this group, allowing individuals to overcome some of the daily occurring barriers (Anagnostopoulos et 45 al., 2006). In general, physicians prescribe wheelchair equipment for patients with multiple disabilities 46 (Costa, 2009). Although providing their main means of transport, it is important to note that these devices 47 have to be adapted. The use of wheelchairs for daily activities appears as positive in terms of rehabilitation, 48 since it improves the independence and autonomy of the population with severe motor limitation. However, much of this training is performed directly in a real wheelchair. On the other hand, studies show that the 49 preparation of these patients, through computer-supported training, produces better conditioning, in contrast 50 to actual device use. In this case, these computational systems need to be supported by a type of interface 51 52 that provides the user with a more cognitive form of training. Among such, emphasis is placed upon Virtual Reality (Tori et al., 2006). Virtual Reality can be visualized as a computational system used to create an 53 54 artificial environment, in which the user has the impression not only of being inside this environment but 55 also enabled, with the ability to navigate the same, interacting with its objects in an intuitive and natural 56 way (Cardoso et al., 2007). In fact, the VR is an interface that provides conditions of interaction with three-57 dimensional virtual environments with techniques that improve immersion and navigation properties. 58 Additionally, studies demonstrate that creating a functional space for the tetraplegic individual through a 59 computer system is favorable for the training of the wheelchair user (Fiore *et al.*, 2013). Diagnostics also demonstrate that the major challenges faced by people with visual impairment are orientation and mobility 60 (Machado, 2003) and that a well-trained person can move around without assistance (Smith, 2001). 61 62 Accordingly, through the above-mentioned studies and the long search for social inclusion, newly assisted 63 technologies are being sought and developed (Berretta, 2015).

Locomotion interfaces, according to Patel and Vij (2012), have the potential to provide a feeling close to 64 the standard of natural navigation, with an effective ability to develop navigational ability. One of the main 65 66 functional interfaces is the BCI (Brain Computer Interface), which is a technique that aims at interpreting 67 electrical signals from the cortical surface of the brain without going through nerves and muscles. This 68 technique called Electroencephalography (EEG) is used to acquire brain signals to interact with the external 69 environment through devices, seeking to interpret thoughts toward movements without the need for real 70 movement (Costa et al., 2012). Currently, the major VR systems for wheelchair training through brain waves do not support visually impaired wheelchair users (Folane et al., 2016). Therefore, the 71 72 synchronization between acquisition of the signal and the virtual environment presents itself as a challenge 73 to be overcome (Bagacina et al., 2014). This proposal behind this study is to therefore approach the 74 development of a tool for training blind wheelchair users (Salatin et al., 2016).

75 2 Related Work

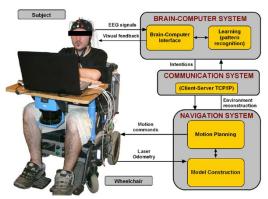
76 The principal projects of automated BCI wheelchair design supported by EEG, put forward important 77 characteristics for blind wheelchair users: Context, Interfaces, Control Sensors, User Feedback, Operating 78 Environment, Safety Criteria and Qualitative & Subjective Assessments. The requirements presented have

- a strong cohesion to constitute an adequate solution for the blind wheelchair user patient. Therefore, the
- 80 criteria are described as: Context: this consists of demonstrating the applicability scenario; Interface: how

- 81 the communication of users between the physical and logical part occurs; Control Sensors: check which
- 82 devices are used to control movements; Feedback to the user: determine how the reactive information will
- 83 be presented; Functional Environment: mechanism of how procedures are performed; Safety Criteria: check
- tools and preventive measures; Objective and Subjective Evaluations: indicate the methods and type of
- 85 analysis.

86 2.1 EEG Brain-Actuated Wheelchair System

Presents a prototype of an automatic navigation wheelchair developed in 2009 (fig. 1), new non-invasive brain actuated wheelchair that relies on a P300 neurophysiological protocol and automated navigation (Iturrate *et al.*, 2009). The subject faces a screen with a real-time virtual reconstruction of the scenario and concentrates on the area of the space to reach. This system utilized 3D interface using Virtual Reality integrated with brain-computer interface, which is a commercial gTec EEG system connected via USB to the onboard computer (Iturrate *et al.*, 2009).



93 94

Fig. 1 – Synchronous EEG Brain-Actuated Wheelchair [17].

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96 The experiment is based on a commercial electric robotic wheelchair with software architecture composed 97 of a server and two integrated clients. The users perform the driving tasks and received feedback in the

- 98 form of visual and physical interaction. One of the main difficulties of current navigation systems is to 99 avoid the obstacles with appropriate safety margins.
- 100

101 2.2 Peripheral Control EEG

The Department of Electronic, Computer and Communications Engineering of Ateneo Manila University in Philippines built the BCI Application (2014) that enhanced device control and mobility. This is built on a 2D interface and NeuroHeadset NeuroSky BCI for interaction between users and simulations (Figure 2). Signal processing and data analysis was performed using MATLAB, The data gathered and processed for the project were EEG signals and facial artifacts derived from facial gestures and mental activities. One disadvantage is related to an inappropriate interface (Bagacina et al., 2014).

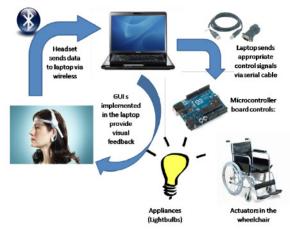


Fig.2 – Project Architecture Peripheral Control EEG (Bagacina et al., 2014).

110 2.3 Tactually-Evoked Wheelchair System

111 Kaufmann and Herweg (2015) focused their research on people with severe disabilities. The equipment

112 utilized was comprised of eight tactile stimulators, (C2 tactors; Engineering Acoustic Inc., Casselberry,

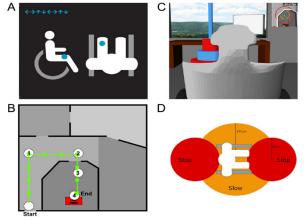
113 USA). The wheelchair Tactually Evoked System explored tactile ERP-BCI based online wheelchair control

114 in a virtual environment (Kauffman et al., 2015). Presented here is a 3D-model of a virtual building

115 comprised of a single floor with four rooms and a corridor. It was used to achieve the simulated movement

116 of the wheelchair through the building the virtual environment was connected to the BCI2000 via UDP.

117 Participants controlled the wheelchair from a third person perspective according to figure 3.



118 119

Fig. 3 - Wheelchair Tactually Evoked System (Kauffman et al., 2015).

120121 The advantage was a full 3D Virtual Environment. The main limitation of this study explored feasibility of

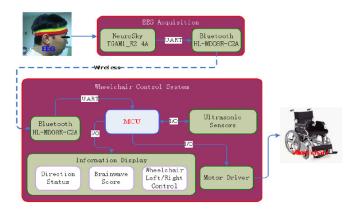
122 the proposed BCI system are alarm collision and feedback perception for uses. The system is synchronous

123 and not able to detect if a user desires to deliver a navigation command or perform any other task.

124 2.4 WI Control EEG

125 In this Project, EEG and eye-blinking signals through a Brain-computer interface based control for electric

126 wheelchairs with wireless scheme is proposed (Lin *et al.*, 2012) according figure 4.



1<u>29</u> 130 131

Fig. 4 –Wi Control EEG Flow (Lin et al., 2012).

132 In a conventional wheelchair system, users require their hands to control the electric wheelchair. A simple

133 electrode was used to capture EEG and eye-blinking signals from the forehead and these were transmitted

to an electric wheelchair control system through a Bluetooth interface. The advantage of this project is its

135 low cost and easy control with EEG and eye-blinking signals. The main disadvantages of this system are

136 found in faults with Interface, Security Criteria and User Feedback.

137 2.5 Brain-Controlled Wheelchairs: A Robot Architecture

138 This study presented a shared control architecture that couples the intelligence and desires of the user with

139 the precision of a powered wheelchair (Fig. 5). This was achieved through showing how four healthy

140 subjects are able to master control of the wheelchair using an asynchronous motor-imagery based BCI

141 protocol and how this results in a higher overall task performance, compared with alternative synchronous

142 P300 approaches (Carlson et al., 2013).

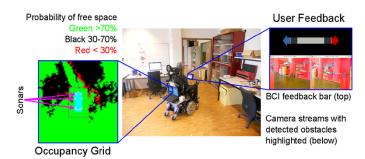




Fig. 5 – Brain-Controlled Wheelchairs: A Robot Architecture (Carlson et al., 2013).

146 A big advantage the asynchronous BCI wheelchair brings, compared to alternative approaches like the 147 P300, where the user is in continuous control of the wheelchair. This means that not only does the 148 wheelchair follow natural trajectories, which are determined in real-time by the user. In the current 149 implementation, the system has sonar sensors to avoid collision.

151 2.6 Emotional BCI Control of a Smart Wheelchair

- 152 The incorporated BCI control system allows the user to select one of four commands to drive the wheelchair
- 153 (Fattouth et al., 2013). Once a command is selected, the control system executes the selected command and
- 154 at the same time, monitors the emotional state of the user (Figure 6).



Fig. 6 - Smart Wheelchair Project (Fattouh et al., 2013).

While the user is satisfied, the selected command continues to be executed and when the user is no longer satisfied, the control system will stop the wheelchair and ask the user to select another command. This project addressed the problem of integrating emotional state of the user in a brain computer interface system. In addition, using an emotional state of the user was considered as less effort orientated in choosing actions when conducting the wheelchair. The efficiency of the system was considered as being limited to the emotional state of the user.

164 2.7 Thought Controlled Wheelchair Using EEG Acquisition

165 The system is broadly divided into four blocks, the thought acquisition block, the thought transmission

166 block, the thought processing block and the motor control block each of which aims at the acquisition of

167 the EEG signal from user's scalp and processing it in order to control a wheelchair (Figure 7).





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Fig. 7 – Thought Controlled Wheelchair Project (Kannan et al., 2013).

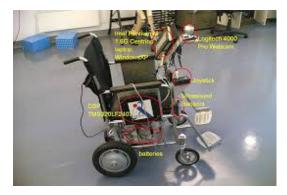
The wheelchair controller has the functionality of controlling the direction and speed of the wheelchair based on the output bits obtained from the signal processing block (Kannan *et al.*, 2013). The focus of the

174 project was the integration between EEG with microcontrollers for detecting brain signals for focusing the

eye from left, right, up or down and use it to calculate the location of a cursor. The main bottleneck is absence of visual interface and feedback for the user.

177 2.8 A EEG Based Control System for an Intelligent Wheelchair

- 178 In 2013, National Engineering Research from Chongqing University (China) and Essex University (UK),
- 179 developed a Brain Computer Interface to control an intelligent wheelchair based on EEG using Emotiv
- 180 Epoc Neuroheadset (Figure 8) (LI et al., 2013). The system realizes the motion control of the intelligent
- 181 wheelchair through the subject's motor imagery of the left hand, right hand, and legs.
- 182



183 184 185

Fig. 8 – EEG Based Control System for an Intelligent Wheelchair (LI et al., 2012)

Besides, the events pertaining to motor imagery are expressed in form of virtual movements as feedback to the system. The positive point is the control system feasibility, which has better stability than that of a basic practical application for an EEG control intelligent wheelchair. The cons of the system are a weak level of feedback for user and performance of autonomous navigation and obstacle avoidance among other

191 functions.

192 2.9 Brain Based Control of Wheelchair

193 As a project for physically impaired users, this system is focused on receiving EEG signals, which are

194 processed and then go on to perform control of the wheelchair. The classification of brain signals was 195 performed using a Support Vector Machine (SVM) and neural networks (Figure 9).





Fig. 9 - Brain Based Control of Wheelchair (Abiyev et al., 2015).

199 The EEG signals are measured through signal acquisition from the Emotiv Epoc Neuro-Headset, the project

- 200 built a fully functional environment for a complete training procedure (Abiyev et al., 2015). The design of
- 201 the control system check the emotional and muscular states of the user are evaluated for classification and
- 202 control purposes. The design of the BCI was realized in order to conduct a brain controlled wheelchair
- 203 using five mental activities of the user: Move Backward, Move Forward, Stop, Turn Left and Turn Right.
- For classification of EEG signals, SVM and neural networks, a 10 fold cross validation data set are used.
- 205 These obtained high classification results.

206 2.10 Moving Towards a brain-controlled Wheelchair

- 207 In this project, a design for a non-invasive, EEG-based brain controlled wheelchair was developed for use
- 208 by completely paralyzed or tetraplegic patients (Figure 10). The design includes signal processing
- algorithms and an interface for a powered wheelchair (Yazdani et al., 2016).



Fig. 10 – Moving towards a brain-controlled Wheelchair Prototype (Yazdani et al., 2016)

In addition, a 3D virtual environment was implemented for training, evaluating and testing the system prior to establishing the wheelchair interface. Simulation of a virtual scenario replicating the real world gives subjects an opportunity to become familiar with operating the device prior to engaging the wheelchair.

217 The authors of this research project developed a 3D virtual wheelchair simulator according to Figure 10, to

218 provide a safe and controlled environment for users to practice operating the Brain Computer Wheelchair

219 before eventually engaging the real wheelchair.

220 2.11 A tetraplegic patient controls a wheelchair in VR

221 This project demonstrated that a tetraplegic patient, sitting in a wheelchair, could control his movements in 222 VE by utilizing an asynchronous (self-paced) BCI, based on a single EEG recording (Figure 11). Whenever 223 the band power exceeded the threshold a foot MI was detected. The simulation power of the VE ensured 224 that the subject had the sense of being in the street and moving to the people; therefore the experiment was 225 similar to a task in a real street. The reason for the missed avatars was the invisible communication sphere around the avatars, which was reported by the subject as the biggest disadvantage of this VE. So, it was not 226 227 clear for the subject where the sphere started or ended, especially when the avatars were placed further 228 away from the middle of the street and the sphere thus became very small (Leeb et al., 2010).

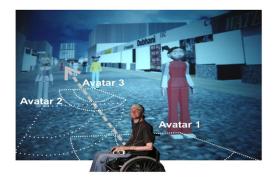


Fig. 11 – A tetraplegic patient controls a wheelchair in VR (Leeb et al., 2010)

233 Another advantage here is that the simulation power of VEs can be used to create virtual prototypes of new

analyzed navigation or control methods, and give potential users experience of such in a safe environment before

they are ever physically constructed. In other words, VEs can be used for ergonomic design and evaluation.

236 Unfortunately, immersion is not in an all-surrounding stereo environment with the freedom to move at will,

237 which would give such persons access to experiences that may have been long forgotten.

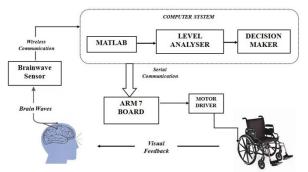
238 2.12 EEG Based Brain Controlled Wheelchair for Physically Challenged People

239 The researcher Folane (2016) developed a Brain Computer Wheelchair for movement of a wheelchair based

240 on Artificial Neural Network (ANN) algorithm using Murhythm signals that provide commands to the

241 wheelchair (Figure 12). By using a wireless link between the head gear and computer, commands to control

the wheelchair can be issued (Folane *et al.*, 2016).



243 244

Fig. 12 – EEG Based Brain Controlled Wheelchair for Physically Challenged People (Folane et al., 2016).

The advantage of this solution is based on the Computer System instituted in an analysis using Matlab for obtaining data from the sensor. The level of attention is compared to the reference level and generates a command for movement, this is achieved by utilizing a Neural Network algorithm for the decision making purpose. This system does not include a functional interface with safety criteria.

250 2.13 VR Wheelchair EEG Simulator

251 The work of Schuh (2013) developed a simulator for the training and learning in the use of a wheelchair

252 controlled by a non-invasive ICC, using a low-cost Neurosky EEG device, which possessed the blink eye

253 feature. The VR Wheelchair EEG design was made using Mindwave software with the e-Sense algorithm

254 interacting with the VE developed in Unity3D with objects modeled in 3D Max. The control interface that

the user uses to select available chair commands through the click of the mouse (Figure 13). This interface

consists of 3 buttons that represent in order: rotate left, go forward, and rotate right. Each button is automatically highlighted by the application with an interval of 2 seconds, when then the highlight is changed to the next button, and so on (Schuh et al., 2013).

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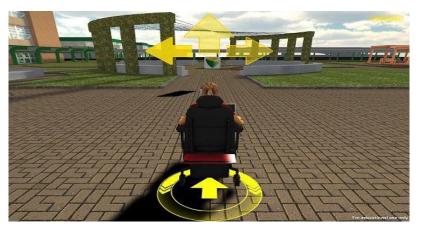




Fig. 13 - VR Wheelchair EEG Simulator (Schuh et al., 2013).

264 2.14 EEG Brain Controlled Robotic Wheelchair Project

In this project, an EEG-based Brain controlled Wheelchair (Figure 14) was developed using BCI with the
 help of Neurosky technology.. The signals are processed by the ThinkGear module in MATLAB. The Level
 Analyzer Technique is performed on all the training signals and Alpha and Beta waves are extracted for

268 controlling the wheelchair. The command signals are transmitted via RF medium (Rani *et al.*, 2015).

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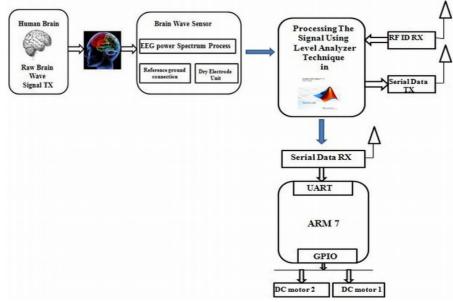




Fig. 14 - Overview of the system (Rani et al., 2015).

272 The robotic module design consists of an ARM 7 Microprocessor coupled to a DC motor in order to perform

the command. The Eye blinking strength and attention level were used to control the direction of the

274 wheelchair. Two methods were proposed in the project. The attention signal was used for making a forward

- 275 movement of the prototype and Eye blink strength was used for controlling the start/stop operations. The
- 276 performance based on various metrics was analyzed. The level analyzer technique was implemented in
- 277 signal processing and the wheelchair was controlled by the ARM7 Microprocessor. However, it requires
- improvement to the wheelchair for it to become more user-friendly. The wheelchair also needs to be further
- enhanced by removing artifacts and noise level for a more precise signal processing, and focus on additional
- 280 improvements to the detection of any irregular eye blink so that, the wheelchair can be controlled accurately
- 281 without any collision.
- 282

283 2.15 Smart Brain-Controlled Wheelchair and Devices Based On EEG at Low Cost

The project developed a wheelchair that can assist the disabled individual in their daily life to carry out tasks independently (Figure 15), and to bring this technique into the equipment, which can used by such individuals at home. The proposed system analyzes the brainwave signals and uses only a single electrode headset based on EEG sensors, which monitor the eye blinks, attention, and meditation.

These electrical waves will be sensed by the brain wave sensor and it will convert the raw data into packets and transmit these through wireless medium. The level analyzer unit (LAU) receives the brain wave raw

data and then extracts and processes the signal using MATLAB. By using such it is possible to move a

- 291 wheelchair in all directions (right, left, forward, backward) as well as control devices, through human
- thoughts both in attention and meditation mode (Akila *et al.*, 2015).
- 293



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Fig. 15 – Wheelchair System Low Project Architect (Akila et al., 2015).

This project efficiently built a robust architecture using blink eye to control the movements from a wheelchair. The most difficult task is to identify how the user can perform control without a user interface. Another important item is the appropriate environment for executing training, basically this system was designed with focus on users with motor deficiencies, but without minimum criteria for real users to perform tests with the fully activated solution.

304 2.16 Comparison

305 One notes here that all projects are focused only on motor deficiency, leaving aside the visual dysfunction, 306 which could be treated together, being necessary only to redefine the appropriate requirements for both 307 disabilities. This comparison presents gaps that could be filled for new solutions according to demonstrate 308 on Table 1.

- 309
- 310
- 311

Table 1 - EEG Wheelchair System Comparison

	User	EEG Signal	System	Neuro
	Interface	Validation	Feedback	Headset
(Iturrate et al., 2009)	3D	Visual	Visual	GsTec
(Bagacina et al., 2014)	2D	Visual	Visual	Mindware
(Kauffman et al., 2015)	RV	Tátil	Visual	-
(Lin et al., 2012)	-	Facial	Move	Mindware
(Carlson <i>et al.</i> , 2013)	2D	Imagery	Visual	Emotiv
(Fattouh et al., 2013)	2D	Motora	Visual	Emotiv
(Kannan <i>et al.</i> , 2013)	2D	Motora	Move	AD602
(Li et al., 2013)	3D	Imagery	Move	Emotiv
(Abiyev et al., 2015)	-	Facial	Move	Emotiv
(Yazdani et al., 2010)	3D	Visual	Move	-
(Leeb et al., 2010)	3D	Imaginary	Move	-
(Folane et al., 2016)	-	Facial	Visual	Mindware
(Schun et al., 2013)	3D	Facial	Visual	Mindware
(Rani et al., 2015)	-	Facial	Visual	NeuroSky
(Akila <i>et al.,</i> 2015)	-	Facial	Visual	NeuroSky
(Souza et al., 2017)	3D	Facial	Audio	Emotiv

312

313 3 Overview

An overview of Disability and Rehabilitation highlights the importance of the brain signals (EEG) andVirtual Reality (VR).

316 3.1 Deficiency

317 The World Health Organization has developed a manual of relative classification and consequences of

318 diseases. These include the occurrence of an abnormality, defect or loss of a limb, organ, tissue, or any

319 other structure of the body, including mental functions. It represents the exteriorization of a pathological

320 state, mirroring an organic disturbance or a disturbance in the organ. Consequently, an incapacity is a

321 disability and/or a restriction resulting from a disability, opposite to the ability to perform an activity

322 considered normal for a human being. This appears as a direct consequence or is the individual's response

to a psychological, physical, sensory or other deficiency [53]. When a person has more than one deficiency,

324 simultaneously, even without dependence relation (psychic, physical and sensory) and predominance of

325 one deficiency over the other, it characterizes a multiple deficiency condition, according to Contreras &

326 Valente (1993).

327 3.2 Rehabilitation

The term rehabilitation comes from the word habilitate, from the Latin habilitare, which means to render skilled, able, fit. The inclusion of the re-enable prefix has the sense of acquiring again a lost or diminished ability (Greve, 2007). In the medical area, the concept of rehabilitation always assumes a therapeutic

connotation, as it seeks to return the individual with disability to an able condition (Lianza *et al.*, 2001).

According to Lianza and Koda (2001), rehabilitation treatment is basically a programming of goals to be

achieved and these should be directed to the reduction of disabilities and the prevention of disabilities.

achieved and these should be directed to the reduction of disabilities and the prevention of disabilities.

For the same reasons, one must also identify the functional capacities of the individual, although the

determinant conditions of the disabilities are extremely variable and depend on many factors such as age,

336 culture level and educational level, socioeconomic conditions, etiology of the incapacitating disease, along

337 with aspects of personality. Thus, the adequate diagnosis of the functional capacities makes it possible to

increase the degree of independence and autonomy through a functional compensation work.

339 In a physical rehabilitation process, the physical (or motor) deficiency is characterized by a disturbance of

340 the structure or function of the body, which interferes with the movement and/or the locomotion of the

341 individual (Gorgatti *et al.*, 2003). It may involve weakness or limitation in muscle control (involuntary

342 movements, lack of coordination or paralysis), limitations of sensations, joint problems or loss of limbs due

343 to neurological, neuromuscular, orthopedic or congenital malformations (Correa, 2011). In addition to

344 apparent physical-motor consequences, the physical disability marks the individual from a social, affective 345 and communicative point of view, which sometimes are much larger lesions than readily perceived (Palma,

346 2010).

347 3.3 Brainwaves

A neuron consists of a cell body (also known as soma), dendrites and an axon (see figure 16). Based on

349 the information dendrites receive from other neurons, the neuron now has to make a decision that is then

350 sent to other dendrites of the neuron over the axon (Ward, 2010). Brain patterns form wave shapes that are

351 commonly sinusoidal (Teplan, 2002).

352 Usually, these are measured from peak to peak and normally range from 0.5 to $100 \,\mu\text{V}$ in amplitude, which

353 is about 100 times lower than ECG signals. By means of the Fourier transform power spectrum, the raw

354 EEG signal is derived. In the power spectrum contribution of sine waves, different frequencies are visible.

355 Although the spectrum is continuous, ranging from 0 Hz up to one half of a sampling frequency, the brain

356 state of the individual may contribute to certain frequencies becoming more dominant. Brain waves have

357 been categorized into four basic groups: beta (>13 Hz), alpha (8-13 Hz), theta (4-8 Hz) and delta (0.5-4 Hz)

358 (Bickford, 1987).

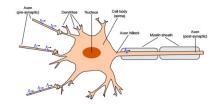


Fig. 16 - Neuron and Neural Structures (Ward, 2010)

362 3.4 Virtual Reality

Virtual Reality is an advanced interface for computational applications where the user can navigate and interact in real time in a computer-generated three-dimensional environment using multi-sensory devices providing the sensation of immersion (Tori *et al.*, 2006). The sensation of immersion occurs when the user receives sensorial stimulation through technological devices for visualization, perception, and control.

367 The Virtual Reality is based on three aspects: immersion, which is linked to the user's sense of presence in 368 the Virtual Environment; Interaction, which is the ability to detect user actions and react instantly by modifying aspects of the application and involvement, which is the degree of motivation for engaging a 369 370 person within a given activity. A Virtual Environment (VE) can be understood as a software system that 371 creates the illusion of a world that does not exist in reality. This requires the combination of input (user 372 interaction), computation (process simulation) and output (multi-sensory stimuli). The VE should contain 373 virtual objects that will have certain associated attributes such as geometry, colors, textures, lighting, 374 dynamic characteristics, physical constraints and acoustic attributes.

In general, virtual objects can be classified as static or dynamic, depending on the ability of each to move. 375 376 In addition, the user must be able to navigate in three dimensions, that is, six degrees of freedom, in which, 377 each degree applies the direction or rotation of the movement (Tori et al., 2006). VE has been considered 378 as the most natural form of interaction between man and machine, since it allows humans to use their senses, 379 such as touch, hearing, and vision, in a similar way to the real world, to perform operations, sending and 380 receiving information from the Computer (Kirner et al., 2008). The visually impaired have specific 381 characteristics such as auditory, olfactory, gustatory and tactile systems, all of which are very important in 382 their sensory experiences. The use of VR for aiding in visual impairment, which can establish new user interface paradigms, where individuals are not only in front of monitors, but rather interacting directly and 383 384 can feel themselves as being within virtual environments, seeking sensorial interactions from the stimuli of

385 the user. The sense of stimulus parts from user to the immersion, and as such, the interactions are performed.

386 3.5 Audio 3D

Audio 3D has the ability to position sounds all around a listener. The sounds are actually created by the loudspeakers, but the listener's perception is that the sounds come from arbitrary points in space.

- 389 This is similar to stereo panning in conventional stereo systems: sounds can be panned to locations between
- 390 the two loudspeakers, creating virtual or "phantom" images of the sound where there is no loudspeaker. We
- 391 use the term audio 3D to describe a much more sophisticated system to a more real or true 3D technology,
- 392 the quality of the resulting sound is related to equipment and techniques of processing applied for immersive
- 393 audio.

394 3.6 Requirements for blind wheelchair users

In general, each deficiency has pre-requisites for implementation of computational systems and experimental approaches for training and treatment solutions. One notes here that all projects are focused only one deficiency, leaving aside patients with more than one dysfunction, which could be treated together, being necessary only to redefine the appropriate requirements for both disabilities. Table 2, present the list of studies categorized with specific criteria required for each impairment, these projects presented important attention points.

401

402

Table 2 – Describe requirement for each deficiency

		Control	User Feedback	Stimulus	Simulation	Audio 3D	Security	User Interface
	(DING et. al.,2008)		✓	✓				
r	(PALMOM, et. al., 2011)			✓	✓			
Motor Deficiency	(FIORE et.al 2013)	✓						
M Def	(ALBELLARD et. al., 2012)		✓		✓			
	(CARLSON et al., 1994)	✓			✓		✓	
	(RUI et. al.,2016)		✓			✓		
l ncy	(ALM et. al., 1998)				✓			✓
Visual Deficiency	(CHEEIN et.al 2011)			✓		✓		√
V Def	(MULLONI et. al., 2012)		✓			✓		
	(LOKUGE et al., 2014)				√			
ţi	(RODRIGUEZ et. al.,2015)			√	√			
oilita n	(MAVER, et. al., 2000)		√	√	√			√
Rehabilitati on	(NINISS et. al., 2006)				√			
R	(HARRISON et al., 2012)				√		\checkmark	\checkmark

403

According to investigations into rehabilitation of visual impairment and motor deficiency, the approach for building a training solution for blind wheelchair users, identified functional requirements for each in compensatory approaches. Table 2 describe fundamental aspects for develop a solution to blind wheelchair users. The following seven criteria can be used to classify the level of treatment for patients/users.

- 408 *Control:* determine the behavior by limiting the level and intensity.
- 409 User Feedback: Reaction to particular actions (Visual, Sensorial or Audio).
- *Stimulus:* Use of sensory resources of patients, such as movement, hearing, and perception.
- 411 *Simulation Environment:* imitation of the operation of a real-world process.
- 412 *Audio 3D*: ability to position sounds all around a listener.
- 413 *Criteria Security:* items for protection.
- 414 *User Interface:* Interaction with software and machine.

415 4 Case Study

416 The patient is 33 years old, suffered a car accident on returning home after a day's work. He was diagnosed

417 with cranial polytrauma in the presence of a loss of encephalic mass in part of the parietal lobe (Figure 17).



Fig. 17 - Blind Wheelchair User - Patient voluntary

421 Consequently, speech loss, neck movements down and vision completely compromised. The only means

422 of communication with the patient is through blinking, in order to answer the questions, the stimuli of the 423 eyes was interrelated into the understanding, therefore, interaction is made with others through the blinking

424 of the eyes and facial expressions.

425 4.1 System Prototype

426 In this research project (Figure 18), a virtual environment for locomotion training for blind wheelchair users 427 was developed, using hardware, software and computational techniques to improve interaction and 428 inclusion of the patient. There are several types of research on the design, navigation, and evaluation of the 429 use of the wheelchair, but there is no research of a virtual environment adapted for wheelchair users with visual impairment integrated with EEG (Rodriguez, 2015). For this adaptation, it is necessary to investigate 430 431 the requirements for the construction of the prototype, according to Grant (2004), the architectures are

432 derived from the main components as Visual Simulation, Physical Simulation and Control (Ayas et al.,

433 2015).



435

Fig. 18 - Overview Virtual Brainy Chair

436 437

438 The proposal of this work promotes a pre-training on the bed, and as this advances, it change to being

439 performed on top of the wheelchair. The Visual Simulation consists of providing a simple or complex model 440

depending on what is most appropriate, thus providing criteria and applying the necessary techniques to the

441 prototype. 442 One of the main features of this item is the Visual Interface with the 3D Audio implementation. But they

are composed of several other items such as Virtual Reality, manipulation, navigation, real sense of control,
stimuli, adaptability and Feedback (Ding *et al.*, 2007).

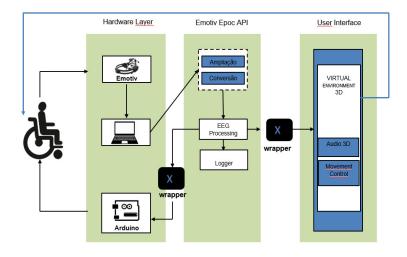
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446 4.2 Architecture

447 The architecture was designed in layers to interact in a synchronous way so that each module can

communicate independently to send or receive information. Basically, the wheelchair has been adapted to
 receive the batteries for powering the motors, motor control boards, notebook and the EMOTIV EPOC
 device

- 450 device.
- 451 The architecture is divided into three layers (Figure 19): Hardware Layer, Emotiv Epoc API and User
- 452 Interface. In the first layer, hardware architecture, are the devices responsible for capturing, acquiring and
- 453 executing commands for movements. The second Emotiv Epoc API layer performs the processing of
- 454 captured raw EEG signals.



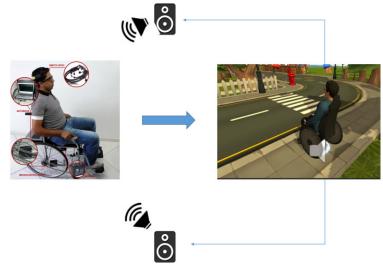
- 455
- 456 457

Fig. 19 – Virtual Brainy Chair Architecture

There is a sub-level with a wrapper, for communication with the Arduino micro controller, which has the logic to feed (force) and performs the locomotion activities next to the motor for wheelchair movements to occur.

- The connector receives the information generated by the user to drive the motors located simultaneously in the lower right and left hand side of the wheelchair, defining the speed and direction of rotation of the motor.
- 464 The User Interface layer, is where the control for movements and application of the Techniques of Audio
- 465 3D is implemented, so that the actions in the virtual environment are reproduced. The integrations of these
- 466 3 layers formed a single block, the project is composed of 3 primary axes: 1) EEG capture; 2) Classification
- 467 & Sending of Commands; 3) Replicate movement in the VE and in the wheelchair. An avatar was modeled
- 468 to represent the user Virtual Environment.
- 469 In addition, the Logitech Surround Sound Speakers Z506 delivers 75 watts of power with 3D stereo and
- 470 multiple inputs was implemented, as shown in Figure 20. The architecture was designed in layers to interact

- 471 in a synchronous way, so that each module can communicate independently for the sending or receiving of
- 472 information (figure 20).



473 474 475

Fig. 20 - Functional Architecture.

476 4.3 Virtual Environment

477 The Virtual Environment was designed for the training of blind wheelchair users in the process of 478 locomotion using a virtual wheelchair using the brain signals as a control mechanism supported through 479 the Emotiv Epoc neuroheadset. Navigation takes place firstly through 3D sound orientation to indicate what 480 will be the course and the movement to follow, so the user must perform a facial expression, in order that 481 the required movement occurs. This project was modeled using the use of Engine Unity 3D. To do so, it 482 was necessary to use all the features of the tool including scripting and multimedia functions to enrich VE 483 scenes. In addition, 3D elements of the Unity 3D engine asset store were used for the complete creation of 484 the virtual environment according to Fig. 21.

485



- 486 487
- 488 489

Fig. 21 – General bird's-eye view of the virtual environment.

490 The training sessions were designed to be conducted on public roads or sidewalks around the house, with 491 obstacles and interactions to simulate locomotion. The movements are guided by 3D sound instructions to 492 the patient and triggered by the brain signals based on the facial expressions and then by means of a 403 wheelebein sustaining the wheelebein in the Virtual Environment according to Figure 22

493 wheelchair avatar using the wheelchair in the Virtual Environment, according to Figure 22.

- 494 After training, the total area of the virtual environment can be explored for free wheelchair navigation. To
- 495 complement the 3D environment, the 3D Audio component was developed for the elements and the camera,
- the audio listener component, which will fetch all objects that have audio source enabled.
- 497 The movement projects a straight line and identifies each object according to the approach of the movement
- 498 and the advancement of the displacement with the wheelchair avatar. Then the nearest speaker is fired, thus
- 499 improving immersion in the virtual environment.



$500 \\ 501 \\ 502 \\ 503$

Fig. 22 – 3D model of patient in the virtual environment

A demonstration of this feature can be observed in Fig. 22, where the avatar is seen with the Unity 3D audio feature in the development mode.

506 4.4 Facial Expression and Movements

507 This section demonstrates how the application uses the expressive detection to control the movements made 508 by the user wearing the Emotiv headset. The solution developed will translate Expressiv Emotiv Epoc API 509 results into commands to move the virtual avatar and wheelchair (Table 3).

- 510 Table 3 Commands b
- 511

Table 3 - Commands based on facial expressions

Action	Facial Expression
Forward	Blink (2x)
Backward	Raised eyebrows (2x)
Right	Look right (2X)
Left	Look left (2X)
Stop	Clenched teeth (2x)

512

513 5 Experiments

514 The Brainy Virtual Chair project aims at enabling the user to move independently in the Virtual

environment, with the purpose of going around the house along the sidewalk, as shown in figure 23.



- <u> </u>
- 518 519

Fig. 23 – Route in Virtual Environment.

- 520 The experiment was performed with a two-stage blind patient: # 1) in bed (Figure 24); # 2) Wheelchairs
- 521 (Figure 25). The Virtual Environment is used in both situations, using 3D sound techniques. To evaluate
- 522 the performance of the system and verify if the patient is able to perform the training, sessions are performed
- 523 in the virtual environment, accompanied by a health professional.



Fig. 24 – Simulation in progress, with patient on bed.

The patient will move through the virtual environment using EMOTIV EPOC, making the facial expressions as described in Table III. In this first part of the session, the circuit is activated with the patient on the bed, and soon after completion, the user initiates phase 2 of the training with the wheelchair. All procedures were followed to protect the patient's physical and mental well-being. In Fig. 24, the patient is shown prepared to begin the training with the wheelchair, and then beginning the use of the wheelchair.





Fig. 25 - Patient preparing for start a trial session

- 537 The patient is positioned in the wheelchair with a safety strap (Figure 25), the physiological data such as
- 538 temperature, pressure and heart rate are checked. Then the virtual environment is started, the avatar is 539 presented with written instructions and 3D Audio as shown in Figure 26.
- 540



Fig. 26 – Initial screen for virtual training

545 The main character of the virtual environment is the patient (Fig. 26), an avatar with a physiognomy close 546 to that of the patient is used to generate greater realism for the physiotherapist. The implemented 547 movements are: front, back, right, left and stop.

- 548 At the end of the experiment, the experience gained was evaluated in order to collect information on: Virtual
- 549 environment, wheelchair adapted with EEG and Learning. It should be noted that the results were collected
- 550 from the patient, physician and physiotherapist involved in the research.

551 6 Results and Discussions

552 This section presents an analysis of the experiments, aiming to demonstrate the feasibility of the approaches 553 proposed in this prototype. The analysis includes the following aspects: use of the Virtual Environment

- 554 with brainwaves, validation of navigational ability and movement control, and employability of the 555 prototype as a training tool.
- 556 The work is qualitative, characterized as a descriptive study with empirical basis, systematic observation
- being used as a data collection instrument, based on (Clemente, 2014), which had a male patient with thirtyfour years old.
- The experiment began by measuring the communication time between the collection of brain waves to the movement represented in the virtual environment, and the wheelchair performance with assessment from patient.

562 6.1 EEG Wheelchair Interactions

The integration of EEGs in wheelchair control aims to reach a high-level of data related to usability, this in order to compare the interface BCI interaction allowing for satisfactory control and experience. Table 4, demonstrates that Emotiv presents a false positive for detection (Error 5%) for detections of facial representation, against 2% for Emotiv API, this result, however, does not compromise the experiment, as each movement can be validated by a visually validated facial expression by the professionals who accompany the training. Another important point was to balance the system to align the times of each component and thus provide a synchronism to replicate the movement in a natural way. A determining 570 factor was the identification of the type of control for each component to implement feedback in 3D audio

571 format.

572 573

Table 4 - EEG wheelchair interactions

	Time needed for signal capture [s]	Errors or Faults for execution (%)	System Control/Feedback	
Emotiv Epoc	1 Sec	5%	External	
API	0.5 Sec	2 %	Dynamic	
Virtual Environment	3 Sec	1 %	Forcing	
Wheelchair & Arduino	5 Sec	0.1 %	Robotic	

574

575 To accomplish usability inspections and classification of the EEG interactions and the wheelchair, it 576 became necessary to perform several specialized tests for accompaniment, with tests directed to the 577 performance of the developed prototype. The concern was the simple efficacy and correctness of the movements, along with the validation by medical professionals. 578 579

580 6.2 Virtual Environment

581 The virtual environment presented several modifications during the pre-established conceptions, but for 582 such a comparison two tests were carried out contemplating the virtual environment and the wheelchair in 583 moments using only the virtual environment and another using the virtual environment plus the wheelchair 584 (Table 5).

388

Table 5 – Results of S	essions (trials)
------------------------	------------------

Trials	EEG	Using Real	Average Time	Number of	Interventions	Errors of	Moviments
	Classified	Wheelchair	Need (min)	Colisions (VE)	for Instructions	system/patient	Accuracy (%)
	Correctely						
			Prototype #1	 Initial Tests 			
#1) Trial (Session 1)	57%	No	21 min	43	18	1/9	61%
#2) Trial (Session 1)	63%	Yes	25 min	57	8	1/6	65%
		Proto	type #2 – Incluc	led more instru	ctions		
#3) Trial (Session 2)	84%	No	18 min	24	2	0 / 1	82%
#4) Trial (Session 2)	87%	Yes	17 min	16	3	1/2	85%

587

In the first session we noted that the patient had a low level of correct EEG classification due to the lack of 588 589 step-by-step instructions to guide each movement in a more detailed way. For this reason it was evidenced that they had approximately 62% accuracy level for the required level of assertiveness. Trial #2 presented 590 better results especially due to a better implementation of Interactive Audio instruction commands in the 591 592 virtual environment, especially for the execution time of the activities. These are indicators that there has 593 been an evolution, that is, a learning in the interaction process.

594 6.3 Patient & Doctor Results

595 The vital signs were considered, where it was possible to use these as an instrument to measure the impact 596 on the patient's emotional and physical factors. According to the results of the physiological data, those

readings taken after the training sessions show an increase in the vital signs of the patient according table6.

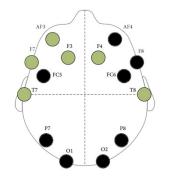
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Table 6 - Vital signs collected during trial	ls
--	----

	Session #1	Session #2			
Before Session					
Temperature	36°C	36.1°C			
Heart Rate	78	86			
Blood Pressure	13 / 9	12/9			
After Session					
Temperature	36.4°C	36.5°C			
Heart Rate	93	104			
Blood Pressure	13 / 9	14 / 10			

601

- During the experiment, the AF3, F3, F7, F4, T7 and T8 channels were the ones with the highest activity
- and represented that these are responsible for the reasoning and control of movements, as shown on Table6046.

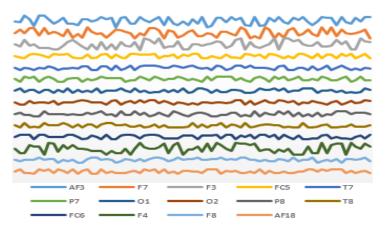


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608

Fig. 27 - Mapped EEG signals with more intensity

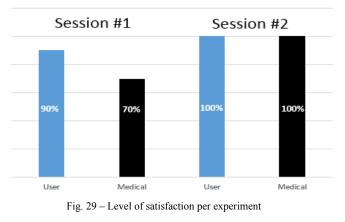
- 609 Therefore, we have verified that channels AF3, F3, F7, F4, T7 and T8 should always be used for EEG
- 610 solutions for blind wheelchair users, according to that mapped on figure 27. By recording average brain
- 611 waves, we can verify this intensity across the EEG channels AF3, F3, F7, F4, T7 and T8 (Fig. 28).



- Fig. 28 Average Recorded EEG per Patient
- The checklist technique was used to document the evaluations, through a questionnaire to verify patient and physician satisfaction, using subjective methods. Figure 29 shows the level of patient and physician
- satisfaction. The experiments were performed in 2 sessions, taking place sequentially in periods of 40 days

618 each session. The level of control and the sense of presence along with the effectiveness of the prototype

619 are all found in the results of Table 5.





In the first experiment a lower satisfaction percentage was seen, as here was a failure in mapping the instructions by audio.

625 7 Conclusion

626 In this work, a computational system was developed involving hardware, software, and computational 627 techniques, in order to improve integration in the training of visually impaired wheelchair users. One goal 628 was to extend the proposal for helping blind wheelchair patients at various levels to move more 629 autonomously and independently. In the case study evaluated, it was demonstrated that the patient was able 630 to test the tool and perform clinical practices. The test subject was still able to walk through pre-defined 631 actions through use of the wheelchair and reproduce the actions trained in the virtual environment. This result shows that blind disabled people with varying degrees of injury can navigate independently with the 632 633 help of appropriate rehabilitation tools and approaches, promoting accessibility and social inclusion, and using a tool built on specific special needs carriers adopting a multimodal architecture and specific tools 634 635 that stimulate natural interaction, such as the use of Virtual Reality associated with audio resources and EEG. One of the main advantages of the use of VR for the blind wheelchair user is that it allows for the 636 637 implementation of 3D audio techniques promoting a better immersion in the VE and provides the function 638 of visual validation of movements by the technical professionals responsible for the session. Regarding the 639 use of electroencephalography (EEG), the blind wheelchair user does not have downward neck movements as well as blindness generating incapacity to perform activities. Through use of EEG, it was possible to 640 641 recover the function of controlling the movements of locomotion, through the adapted wheelchair using the brain signals. EEG technology is really important, as it reaches a level of patient neglected by conventional 642 643 treatments.

644 In terms of usability, the patient learned to move around the virtual environment. Therefore, it is concluded

645 that it is appropriate for educational purposes, without requiring the learning of a non-standard approach.

646 It has also been demonstrated that the learning process can be more easily coupled with a safe and pleasant

647 patient experience. By midway through the integration of the movement activities, associated with the

- 648 capture and processing of brain signals, various potentialities and limitations of the brain/computer
- 649 interfaces were demonstrated. The solution was well accepted by the patient and natural movements

650 provided real navigation, which facilitated learning. The great differential of this work was the development 651 of computational techniques, specifically, in patients who are wheelchair-bound and visually impaired. In general, these individuals in a majority of cases are not easily included in society. However, the tests were 652 instrumental in demonstrating that there is a possibility of developing learning and allowing their social 653 654 inclusion. The potential of this system, although still possessing reduced accessibility to these devices, was verified. In fact, incorporating brain-computer interfaces into the day-to-day lives of these people can mean 655 their integration into society in ways that are not currently possible. The study demonstrated that with the 656 657 training sessions, the patient showed satisfactory improvements of functional abilities in the margin of 10%, 658 in addition, the results show that this class of patient can experience lasting gains, including memorization 659 and speed of reasoning. Given the limitations of this study regarding the equipment used and sample size, as well as circumstances pertinent to the processing conditions of the data obtained, questions pertaining to 660 the relevance of the findings may still be common. Despite this, it is believed that the research proves valid 661 as justification of one of the most growing practices in current rehabilitation processes. In addition, the 662 work indicates possibilities for sensor-motor integration. In this way, the Virtual Brainy Chair can be 663 indicated in the aid of the training of disabled wheelchair patients, and consequently, contribute to the 664 665 improvement of their quality of life.

666 8 Ethical Approval

667 The University Federal of Uberlandia granted Ethical approval to carry out the study within its facilities 668 (Ref: CAAE: 68117717.0.0000.5152)

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