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# Indigenous Australian household structure: a simple data collection tool and implications for close contact transmission of communicable diseases

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Households are an important location for the transmission of communicable diseases. Social contact between household members is typically more frequent, of greater intensity, and is more likely to involve people of different age groups than contact occurring in the general community. Understanding household structure in different populations is therefore fundamental to explaining patterns of disease transmission in these populations. Indigenous populations in Australia tend to live in larger households than non Indigenous populations, but limited data is available on the structure of these households, and how they differ between remote and urban communities. We have developed a novel approach to the collection of household structure data, suitable for use in a variety of contexts, which provides a detailed view of age, gender, and room occupancy patterns in remote and urban Australian Indigenous households. Here we report analysis of data collected using this tool, which quantifies the extent of crowding in Indigenous households, particularly in remote areas. We use this data to generate matrices of age-specific contact rates, as used by mathematical models of infectious disease transmission. To demonstrate the impact of household structure, we use a mathematical model to simulate an influenza-like illness in different populations. Our simulations suggest that outbreaks in remote populations are likely to spread more rapidly and to a greater extent than outbreaks in non-Indigenous populations.

# 1 Indigenous Australian household structure: 2 a simple data collection tool and 3 implications for close contact transmission 4 of communicable diseases

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## 29 ABSTRACT

30 Households are an important location for the transmission of communicable diseases. Social contact  
31 between household members is typically more frequent, of greater intensity, and is more likely to involve  
32 people of different age groups than contact occurring in the general community. Understanding household  
33 structure in different populations is therefore fundamental to explaining patterns of disease transmission  
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37 household structure data, suitable for use in a variety of contexts, which provides a detailed view of age,  
38 gender, and room occupancy patterns in remote and urban Australian Indigenous households. Here we  
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41 rates, as used by mathematical models of infectious disease transmission. To demonstrate the impact  
42 of household structure, we use a mathematical model to simulate an influenza-like illness in different  
43 populations. Our simulations suggest that outbreaks in remote populations are likely to spread more  
44 rapidly and to a greater extent than outbreaks in non-Indigenous populations.

## 45 INTRODUCTION

46 Households are an important location for the transmission of communicable diseases due to the frequency,  
47 duration and strength of the interactions that occur there. Patterns of household structure in a population  
48 can influence how a disease will spread, and potentially inform how it may best be controlled. Data  
49 on household structure is therefore a valuable input into mathematical models of disease transmission  
50 used for decision making on control measures. Especially, due to the different household structures in  
51 remote and isolated communities, it is important to take them into consideration in disease surveillance  
52 and control (Laskowski et al., 2011). Household characteristics, such as the number and ages of people  
53 resident, and the number of people per room, tend to vary across subpopulations, depending upon fertility  
54 levels, socioeconomic factors and cultural norms (Geard et al., 2015). Communicable diseases are a major  
55 issue in remote Indigenous populations, where respiratory and skin infections – readily transmitted in a  
56 household context – are highly prevalent (Flint et al., 2010; Trauer et al., 2011; Andrews et al., 2009).

57  
58 Detailed household-level information is often not publicly available in most demographic data collec-  
59 tion surveys including the national census. This is particularly the case in resource-limited settings where  
60 literacy levels may be low and household structures may differ markedly from the nuclear household  
61 structure typically assumed by survey designs (Morphy, 2006). For example, Indigenous households  
62 in Australia tend to be larger than non-Indigenous households, contain more extended family members,  
63 and may change in composition more rapidly (Morphy, 2006, 2007). Furthermore, national censuses are  
64 resource intensive and conducted relatively infrequently. There is therefore a need for more lightweight  
65 methods that allow for rapid, repeated measurement in specific populations where literacy levels may be  
66 low. These methods would contribute in understanding the differences of household structures among  
67 Indigenous communities with more accurate data, better models for prediction of outbreaks and support  
68 decisions regarding control measures.

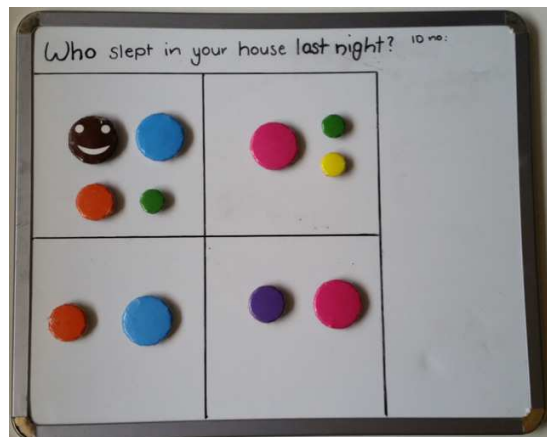
69  
70 Here we describe a novel visually-based method for collecting data on the structure of Indigenous  
71 households and provide a descriptive analysis of data collected as part of the Aboriginal Birth Cohort  
72 (ABC) study. We compare the age-specific patterns of contact within these households to those occurring  
73 in a non-Indigenous population. Finally, we explore potential implications of observed differences in  
74 household composition for the transmission of a respiratory infection such as influenza.

## 75 METHODS

### 76 Study Design and Sample

77 Study design and sample information for the ABC study has been described in Sayers et al. (2003). In  
78 brief, the ABC is a prospective study of 686 babies born to mothers recorded as Indigenous in the Delivery  
79 Suite Register (a representative sample of the 1238 eligible babies), recruited at Royal Darwin Hospital  
80 (RDH) between January 1987 and March 1990. RDH is the main hospital in the Darwin Health Region,  
81 an area covering 120,000  $km^2$  of the “Top End” of the Northern Territory and at the time, 90% of pregnant  
82 Indigenous mothers from this region came to the RDH to deliver their babies (Sayers and Powers, 1993).  
83 Previous follow-ups of this cohort have occurred at the mean participant age of 11 years (1998-2002)  
84 (Sayers et al., 2003), 18 years (2005-2007) (Sayers et al., 2009) and 24 years (2013-2015) (Singh, G.,  
85 Davison, B., and Goodall, J. E. (Unpublished)) at the participant’s community of residence. Written con-  
86 sent was provided by participants in the ABC study. This follow-up was approved by the Human Research  
87 Ethics Committee of NT Department of Health and Menzies School of Health Research, including the  
88 Aboriginal Ethical Sub-committee which has the power of veto (ABC Reference no. 2013-2022). Ethical  
89 approval was contingent on written support provided from each community’s local governing bodies.

90  
91 Our analyses use data obtained in the most recent follow-up when participants were aged 22-27 years.  
92 There were 459 participants seen at the latest time point (2013-2015) and household structure data was  
93 collected using an abbreviated questionnaire and, for willing participants, a magnetic board method. The  
94 questionnaire (156 respondents) collected data on only the overall size of the household whereas the  
95 magnetic board method (260 respondents) was more detailed. The question “Who slept in the house last  
96 night?” was asked to obtain the household size. This question was agreed during community consultation  
97 to be best understood and most accurately answered, unlike questions regarding household size in general.



**Figure 1. Example of completed house board:** Magnet colours identify individuals as follows: brown = participant; blue = adult man; pink = adult woman; orange = school aged boy; purple = school aged girl; green = pre-school aged boy; yellow = pre-school aged girl

### 98 Household number board

99 In designing a simple visual tool to collect household structure data we extensively consulted with both  
100 urban and remote communities, and obtained advice on study methods. Recommendations included the  
101 need for simple explanations and data collection methods in plain English and supplemented with pictures  
102 where appropriate. The household number board was developed and piloted in direct consultation with  
103 Indigenous community members and researchers.

104  
105 The household number board consists of a magnetic board depicting the house and varying sized and  
106 coloured magnets depicting occupants. De-identification occurred at point of contact, with only the partici-  
107 pant's unique study identification number transcribed onto the top right corner of the board. The board was  
108 separated into four rooms with the provision of an extra room or verandah. The rooms were intentionally  
109 non-identified. In Indigenous communities, it is common for rooms other than bedrooms to be used as  
110 sleeping quarters. Different sized and colored magnets represented the following: a brown smiley face for  
111 the participant, larger blue (men) and pink (women) for adults, medium orange (boy) and purple (girl) for  
112 school aged children (5 – 16 years), and green (boy) and yellow (girl) for preschool (< 5 years) (see Fig 1).

113  
114 The participant magnet was placed in a room on the board. Participants were then asked a series of  
115 questions including whether there was any one else sleeping in the room: another adult, man or woman?  
116 Were there any children: school aged or preschool, boys or girls? And how many of each? The appropriate  
117 magnet was then placed in the room. The number of occupied rooms was noted. This process was then  
118 repeated for each of occupied rooms. On completion, a high quality photo of the board was uploaded onto  
119 a secure computer for later analysis.

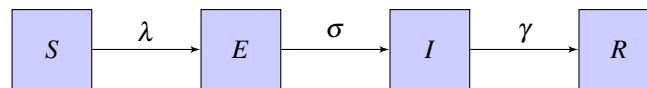
### 120 Data preparation

121 Data on the number of individuals by room, age category and gender were summarised from each photo  
122 and manually entered into a spreadsheet. The sum of occupants per room was checked against household  
123 size to ensure consistency. Each household was designated as urban or remote based on Australian Bureau  
124 of Statistics Census classification.

125  
126 Additional variables were constructed to summarise the total number of occupied rooms in each  
127 household, and the mean number of individuals per occupied room in each household. Town names and  
128 allocation to established shires were checked for accuracy and consistency.

### 129 Analysis of household data

130 Summary measures were calculated for household size, and for household and room occupancy by age  
131 category and gender. Analyses were stratified by shire council, and by urban/remote status.



**Figure 2. Basic SEIR model:** The four states are Susceptible(S), Exposed(E), Infected(I), Recovered(R) and the parameters are  $\lambda$ -rate of change from S to E,  $\sigma$ -rate of change from E to I,  $\gamma$ -rate of change from I to R

### 132 Household contact matrices

133 Levels of household contact within and between age categories were summarised by deriving matrices of  
 134 age-specific contact rates, as are commonly used to parameterise models of infectious disease transmission,  
 135 as follows.

136  
 137 The number of pre-school aged children ( $b$ ), school-aged children ( $c$ ) and adults ( $a$ ) was extracted for  
 138 each household. We assumed that each person in a household has the opportunity to come into contact with  
 139 each other member of the household in any given day. The daily number of contacts between individuals  
 140 within the same age category is therefore given by  $x(x-1)/2$ , where  $x$  is the number of individuals in that  
 141 age category. The daily number of contacts between individuals in different age categories is given by  $xy$   
 142 where  $x$  and  $y$  are the respective number of people in the two age categories.

143  
 144 The contact matrix for an individual household, which is symmetric, is therefore given by Table 1.

|                 | Pre-school aged | School aged | Adult      |
|-----------------|-----------------|-------------|------------|
| Adult           | $ab$            | $ac$        | $a(a-1)/2$ |
| School aged     | $cb$            | $c(c-1)/2$  |            |
| Pre-school aged | $b(b-1)/2$      |             |            |

**Table 1. Household Contact Matrix:** Number of pre-school aged children ( $b$ ), school-aged children ( $c$ ) and adults ( $a$ )

145 Given that we also know which room the members of a household slept in, we further explored  
 146 the effect of weighting the contacts between members of a household who share a room, to estimate a  
 147 *weighted* number of contacts between individuals in each age category. From the perspective of disease  
 148 transmission, this was intended to capture the additional risk of transmission of certain pathogens at-  
 149 tributable to sleeping in close proximity. In the analyses that follow, the *room factor* reflects this weighting.  
 150 A *room factor* of 1 indicates that no additional weighting was attributed to sharing a room, a *room factor*  
 151 of 2 indicates that sharing a room counted twice when determining the level of contact, and so on.

152  
 153 Contact matrices were also stratified by shire council, and by urban/remote status. For compari-  
 154 son, equivalent contact matrices were derived from data collected in an urban Australian population  
 155 (Melbourne; reported in Rolls et al. (2015)). For the purpose of designating comparable age categories,  
 156 pre-school aged children were defined as those less than 5 years and school aged children were defined as  
 157 those 5 to less than 16 years.

### 158 Outbreak simulations

159 An age structured SEIR (Susceptible-Exposed-Infected-Recovered) model was used to simulate the  
 160 outbreak of a flu-like illness in remote and urban Indigenous populations, and an urban non-Indigenous  
 161 population (Sun, 2012). In this model, the population is divided into four categories as per the infection  
 162 transmission process as susceptible (S), who can acquire infection; exposed (E), who have been exposed  
 163 to infection and are in a latent incubation stage; infectious (I), who are infectious; and recovered (R), who  
 164 are immune to the infection from natural immunity (Fig 2).

165 Further, the model is divided into compartments based on the three age categories as adult, school  
 166 aged and pre-school aged for the populations. The model equations for the simulation are shown in  
 167 Equation 1 - 4.  $\bar{S}$ ,  $\bar{E}$ ,  $\bar{I}$ ,  $\bar{R}$  are vectors with values from the three age categories.  $\lambda$  is the rate of change  
 168 from susceptible to exposed,  $\sigma$  is the rate of change from exposed to infectious and  $\gamma$  is the rate of change  
 169 from infected to recovered.



$$\frac{d\bar{S}}{dt} = -\bar{\lambda}\bar{S} \quad (1)$$

$$\frac{d\bar{E}}{dt} = -\bar{\lambda}\bar{S} - \sigma\bar{E} \quad (2)$$

$$\frac{d\bar{I}}{dt} = \sigma\bar{E} - \gamma\bar{I} \quad (3)$$

$$\frac{d\bar{R}}{dt} = \gamma\bar{I} \quad (4)$$

170 In order to calculate the transmission rate of the population, Equation 5 was used.

$$\bar{\lambda} = q_1\bar{C}_h\bar{I} + q_2\bar{C}_c\bar{I} \quad (5)$$

171

172

173 Contact matrices for household structure ( $\bar{C}_h$ ) were calculated based on the data (Fig 5 and 6) and  
 174 the contact matrices for community structure ( $\bar{C}_c$ ) were calculated based on the age proportions of the  
 175 population derived from Australian Bureau of Statistics 2011 Census data assuming proportional mixing.  
 176 When constructing community contact matrices, we assumed that an individual came into contact with 10  
 177 people per day in community settings, based on observations from (Mosson et al., 2008). Except for the  
 178 contact matrices, the same parameters were used for each simulation. We assumed a latent period of  
 179 1.5 days, an infectious period of 1.5 days, and that probability of transmission within households ( $q_1$ )  
 180 was twice that of transmission within community ( $q_2$ ). We calibrated these probabilities to produce a  
 181 final affected population in an urban non-Indigenous population of approximately 25% without prior  
 182 immunisation or vaccination (Ghani et al., 2010; Tuite et al., 2010). Both Indigenous and non-Indigenous  
 183 populations were assumed to be initially susceptible, without any protection from vaccination or prior  
 184 immunity. Rather than calibrating to a specific outbreak, parameter values were chosen to illustrate  
 185 the impact of different household structures on disease transmission. This age structured mathematical  
 186 model was used to simulate the outbreak of an influenza-like illness to assess potential implications of the  
 187 different patterns of household contact for infectious disease transmission.

## 188 RESULTS

### 189 Descriptive analysis

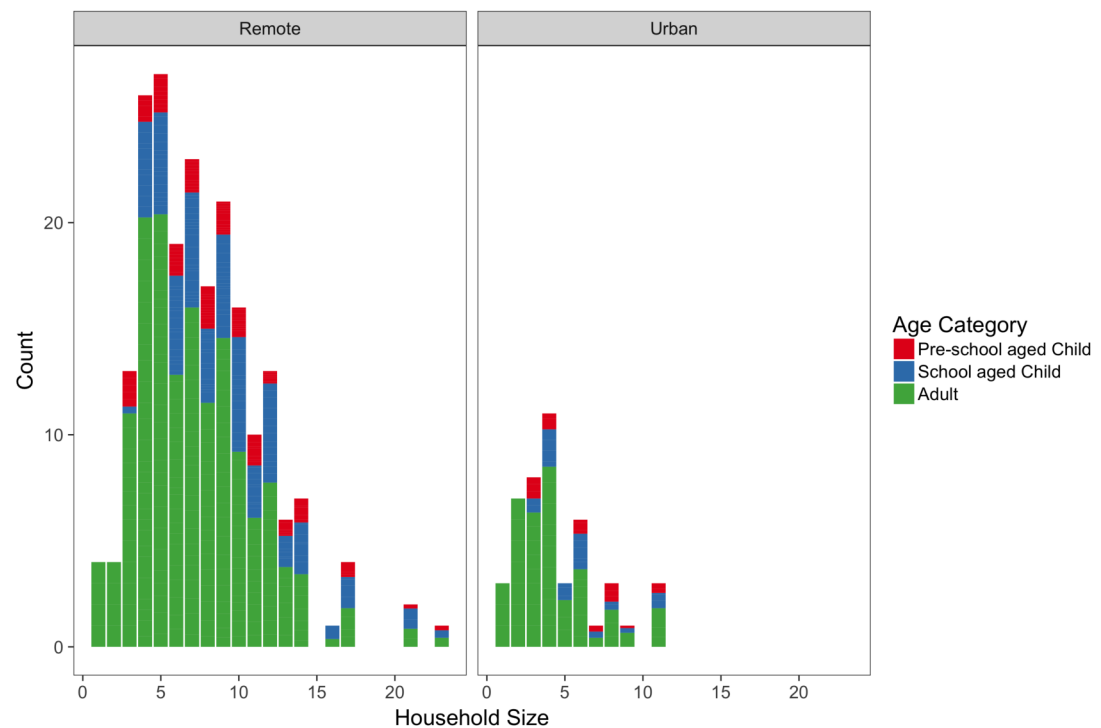
190 Household size data was collected using the magnetic board method as well as the questionnaire method.  
 191 Data collected using the questionnaire method had a median household size of 6 (range 1 to 14) in an  
 192 Indigenous remote area and a median household size of 4 (range 1 to 17) in an urban area consistent with  
 193 the results obtained from the household number board method (median size of 7 for remote and 4 for  
 194 urban). Household size data collected from the Australian Bureau of Statistics (2011) Census Survey also  
 195 shows that more than one third of the population has a household size of 7 or more in the remote towns  
 196 where ABC studies were conducted ( Supp Fig 1). Therefore, data from the magnetic board is considered  
 197 as reasonably representative of the broader Indigenous remote population. The mean age of represented  
 198 participants was 25.2 years (range 23 to 27), and males and females were equally represented.

199

200 The majority of households were located in the East Arnhem shire council (41.5%, 108 households)  
 201 and Victoria Daly shire council (26.5%, 69 households). Other concentrations of households were located  
 202 in the Tiwi Islands (29 households), Darwin (25 households) and Katherine (18 households) shire councils.  
 203 The remaining 11 households were distributed across other part of the Northern Territory. In total, 214  
 204 households were classified as remote, and 46 households were classified as urban. Households in East  
 205 Arnhem, Victoria Daly, Tiwi Islands and Katherine shire councils were predominately remote, while those  
 206 in Darwin and other parts of the NT were predominately urban.

207

208 Overall, households ranged in size from 1 person to 23 people, with a median size of 6 people. Remote  
 209 households were typically larger, with a median size of 7 people (range 1 to 23 people) compared to a



**Figure 3. Household size distributions:** Number of people per household for remote (left) and urban (right) households. Each bar is coloured according to the mean proportion of household members who are adults (blue), school aged children (green) and pre-school aged children (red)

210 median size of 4 people for urban households (range 1 to 11 people) (Fig 3 ). When stratified by shire  
 211 councils, Victoria Daly had the highest median size of 8 (range 1 to 23 people) followed by East Arnhem  
 212 with a median size of 7.5 (range 1 to 17 people). Darwin shire council had the lowest median size of 3  
 213 (range 1 to 11 people).

214  
 215 The median proportion of household members who were adult in remote areas (67%, IQR 55-83%)  
 216 was less than urban areas (78%, IQR 50-100%). In contrast, the median proportion of school-aged  
 217 children in a household in remote areas was higher (20%, IQR 0-38%) than urban areas (0%, IQR 0-29%).  
 218 However, the median proportions of pre-school aged children were almost equal in both remote and urban  
 219 which are 0%(IQR 0-14%) and 0%(IQR 0-18%) respectively. The median proportion of male were equal  
 220 (50%) in both remote and urban areas.

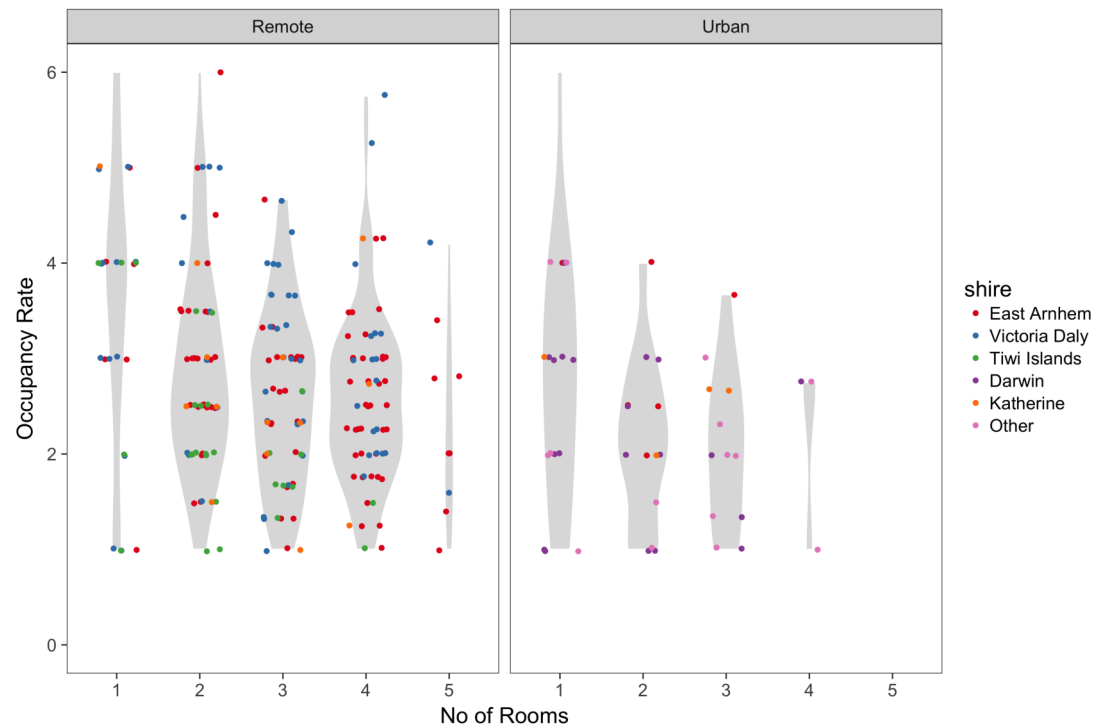
221  
 222 The mean number of people per room was 2.8 (range 1 to 6) in remote areas and was 2.4 (range 1 to  
 223 6) in urban areas. When this is stratified by shire councils, the mean number of people per room was 3.1  
 224 in Victoria Daly which was the highest followed by East Arnhem with 2.7 and both having a range from  
 225 1 to 6 occupants. Katherine and Tiwi Islands shire councils had 2.6 and 2.3 respectively. Darwin shire  
 226 council had the lowest mean number of people per room which was 2.2 with a range of 1 to 4 occupants.  
 227 Figure 3 illustrates how occupancy rates vary with the number of occupied rooms. The highest room  
 228 occupancy rates (5-6 people per room) tended to occur in remote households with fewer occupied rooms  
 229 (1 or 2 rooms).

230

### 231 Household contact matrices

232 Figure 5 shows household contact matrices for remote and urban Indigenous households. The colour  
 233 gradient and numerical values indicate the mean level of contact for that age category pair per household.  
 234 Household contact matrices stratified by shire councils are included as Supp Fig 2. Figure 5 uses a *room*  
 235 *factor* of 1; that is, no additional weighting for individuals sharing the same room. The effect of weighting





**Figure 4. Room occupancy rates:** Dots (jittered) show the mean number of people per room, stratified by number of occupied rooms, for remote and urban households. The Violin plots in grey show the probability density of the data.

236 by rooms on contact matrices is shown by Supp Fig 3. Increasing the weighting attributed to sharing a  
 237 room increases the proportion of contact involving school aged and pre-school aged children, relative to  
 238 that occurring among adults.

239

240 For comparison, we also generated a household contact matrix derived from data collected in two  
 241 local government areas (LGAs) of Melbourne, Boroondara and Hume. Figure 6 shows the household  
 242 contact matrix created by aggregating the households in this data set. The average level of household  
 243 contact (as reflected by these data sets) is an order of magnitude greater in NT houses than in Melbourne  
 244 houses. These differences vary by age: while the average number of contacts among adult household  
 245 members increases by a factor of approximately 6.5, the increase among school aged children is ten-fold  
 246 and that of pre-school aged children by 20-30-fold.

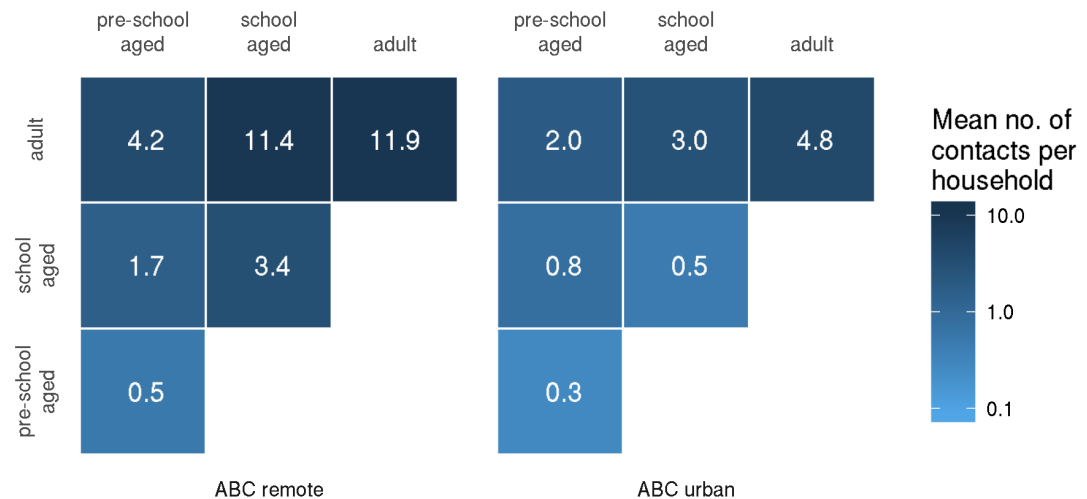
247

## 248 **Outbreak simulations**

249 Figure 7 shows the simulation outcome for the population in the infected state using a simple deterministic  
 250 SEIR model.

251

252 With population and contact characteristics calibrated to an urban non-Indigenous population, the  
 253 peak of the outbreak occurs around day 200 with a peak prevalence of less than 1%. In comparison,  
 254 in an Indigenous remote community the peak occurs more quickly around the 30th day with a peak  
 255 prevalence of 14%. In an Indigenous urban community, time taken for the peak infectious period is  
 256 also higher (around 50 days) compared to non-Indigenous population with a peak prevalence of 6%.  
 257 The total population affected by this influenza like illness for Indigenous remote, Indigenous urban and  
 258 non-Indigenous urban populations are 90%, 75% and 25% respectively. This clearly demonstrates that  
 259 the level of contact in household and communities for an influenza like illness, affects the peak outbreak  
 260 time and overall affected size in the three different populations. It is important to note that our model  
 261 only focuses on the difference in contact patterns, and does not include all factors relevant to disease



**Figure 5. Household contact matrices:** Mean number of contacts between each age category for remote and urban households

262 transmission such as prior immunity and vaccination.

263

## 264 DISCUSSION

265 Lack of data on the household structure of Indigenous communities impacts the prediction and modelling  
 266 of infectious diseases in these areas. In order to rapidly and accurately collect household structure data in  
 267 a culturally appropriate way among the Indigenous communities, a simple magnetic board method was  
 268 developed. Households in Indigenous communities are observed to be crowded with large household sizes  
 269 and higher room occupancy rates. Remote Indigenous communities have much higher household sizes  
 270 compared to urban Indigenous communities (Fig 4). In this study, we show that differences in household  
 271 structure and household crowding have a clear implication for the transmission dynamics of infectious  
 272 diseases and contributes to the heavy burden of infectious diseases in Indigenous communities.

273

274 The impact of crowded homes and higher contact patterns on infectious disease transmission can be  
 275 seen in the outcome of the simulated outbreak for an infectious disease like influenza. When the other  
 276 parameters are set to be equal among the populations, the difference in contact patterns shows that among  
 277 Indigenous communities, outbreaks occur sooner, have a greater peak prevalence and larger final attack  
 278 rate.

279

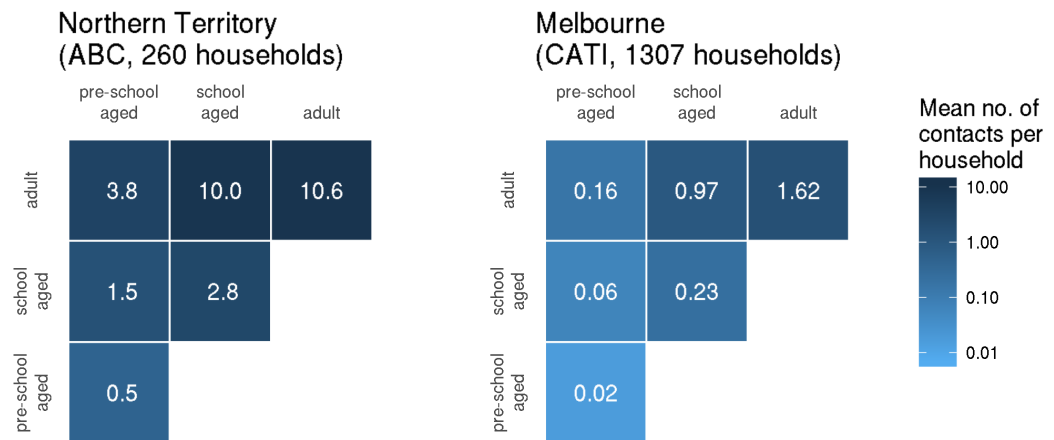
280 The methodology described is able to capture detailed data on household occupancy in a simple and  
 281 robust fashion. The data collected represents a “middle way” between the extensive but comparatively  
 282 coarse-grained data collected by the national census and limited but extremely detailed data collected by  
 283 small-scale demographic studies (Morphy, 2006, 2007).

284

285 The analysis of this data is subject to some limitations. Data collected may represent a somewhat  
 286 biased sample due to the nature of recruitment. All households sampled will, as a consequence of the  
 287 ABC study design, contain at least one member who is approximately 25 years old.

288

289 The simplicity of the data collection method imposed some limitations on the granularity of the  
 290 collected data. In particular, the allocation of household members to only three age categories limits the  
 291 resolution of the age-structured contact matrices that can be derived. It is worth noting however, that  
 292 the age categories chosen are typically taken to be epidemiologically significant, due to the different  
 293 opportunities for mixing that these groups tend to have.



**Figure 6. Household contact matrices – Indigenous and non-Indigenous:** Mean number of contacts between each age category in households in the NT (left; remote and urban combined) and Melbourne (right)

294

295 Occupancy of Indigenous households is known to be fluid, with considerable movement of individuals  
296 among households both within and between communities (Prout, 2008). The current data set provides  
297 a single snapshot of household occupancy, but no way of determining how this state of occupancy may  
298 change over time. The data collection methods used however, are well-suited to such a longitudinal study.

299

300 By quantifying the extent to which Indigenous households are large and over-crowded, there is a  
301 better understanding of the extent to which model parameters estimated from non-Indigenous populations  
302 will underestimate the size and speed of outbreaks (and disease burden) when modelling Indigenous  
303 populations. This gives insight into making decisions on intervention options such as the possibility of  
304 developing vaccines during the shorter period or allocating resources and creating awareness of communi-  
305 cable diseases and ways of transmission in such settings.

306

307 In future, when conducting similar studies, a more fine-grained age structure will be useful in further  
308 understanding the contact patterns among different age groups. Currently we classified household  
309 members as only adult, school aged child and pre-school aged child. Categorizing household members  
310 into 5-year age groups would provide a more detailed picture of contact patterns and disease transmission.  
311 Also, combining the simple methodology described above with the use of mobile digital technology such  
312 as a smartphone or iPad application may enable richer data to be collected without compromising the  
313 intuitive nature of the method, and also remove the need for subsequent manual entry of data. Such  
314 advances would facilitate longitudinal but frequent sampling of households to provide a more dynamic  
315 picture of population flux within households.

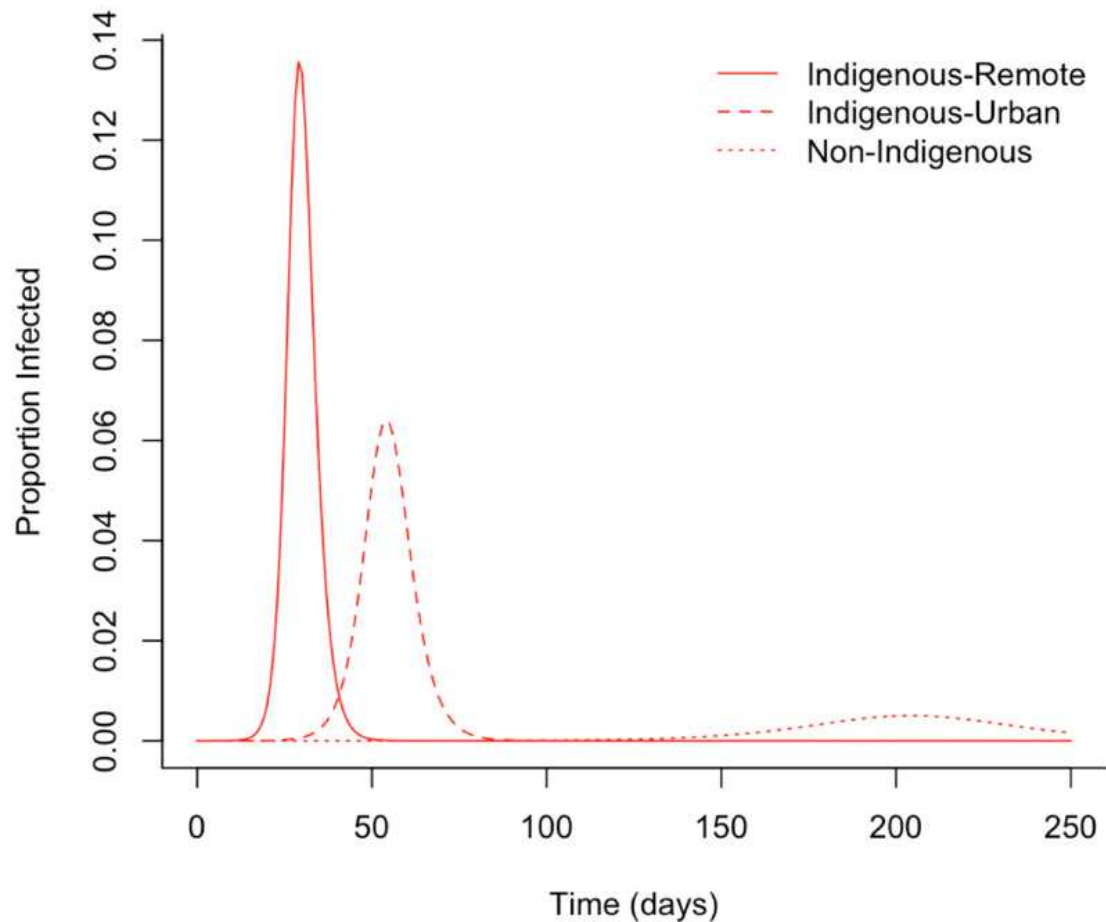
## 316 DECLARATIONS

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### 323 Competing interests

324 The authors declare there are no competing interests.



**Figure 7. Proportion of infected population - Indigenous and non-Indigenous**

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