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1 Title:

2 Lionfish (*Pterois sp.*) invade the upper bathyal zone in the western Atlantic.

3

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23 Abstract

24 Non-native lionfish have been widely recorded throughout the western Atlantic on
25 both shallow and mesophotic reefs, where they have been linked to declines in reef
26 health. In this study we report the first lionfish observations from the deep sea (>200
27 m) in Bermuda and Roatan, Honduras, with lionfish observed to a maximum depth of
28 304 m off the Bermuda platform, and 250 m off West End, Roatan. Placed in the
29 context of other deeper lionfish observations and records, our results imply that
30 lionfish may be found more widely in the 200-300 m depth range of the upper bathyal
31 zone across the western Atlantic, but currently are under sampled compared to
32 shallow habitats. We highlight the need for considering deep-sea lionfish populations
33 in future invasive lionfish management.

34 Introduction

35

36 Non-native lionfish, first documented in the western Atlantic region in the 1980s
37 (Schofield, 2009; 2010), are considered a major threat to western Atlantic reef
38 communities (Sutherland et al., 2010). Lionfish are benthic generalist predators, and
39 their presence on shallow coral reefs has been associated with up to 65% decline in
40 their prey fish biomass (Green et al., 2012), leading to overall declines in fish
41 recruitment of up to 79% (Albins & Hixon, 2008). In some cases lionfish have been
42 observed to feed on critically-endangered reef fish (Rocha et al., 2015). On both
43 shallow reefs and mesophotic coral ecosystems (MCEs, reefs from 30 to
44 approximately 150-180 m depth; Hinderstein et al., 2010), non-native lionfish are
45 thought to cause increased algal cover by consuming herbivores and causing trophic
46 cascades (Lesser & Slattery, 2011; Slattery & Lesser, 2014; Kindinger & Albins,
47 2017). Native to the Indian and Pacific oceans and Red Sea, lionfish in the western
48 Atlantic have now been recorded from New York, USA in the north (Meister et al.,
49 2005), to as far south as the southeastern coast of Brazil (Ferreira et al., 2015). In
50 addition, there is a second lionfish invasion currently underway in the Mediterranean
51 Sea (Kletou, Hall-Spencer & Kleitou, 2016). Two species of non-native lionfish have
52 been recorded in the western Atlantic: *Pterois volitans* (Linnaeus, 1758) and *P. miles*
53 (Bennett, 1828), though they are believed to be ecologically synonymous in their
54 impacts to western Atlantic marine communities (Morris et al., 2009).

55 The majority of research on lionfish invasions has focused on shallow coral
56 reefs (<30 m), mangroves and seagrass beds (Morris et al., 2009; Claydon, Calosso &
57 Traiger, 2012). However, recent studies have highlighted their widespread presence
58 on MCEs across the western Atlantic invaded range (Andradi-Brown et al., 2017).

59 With one exception (see next paragraph), MCEs represent the deepest depths lionfish
60 have been previously reported from locations across the western Atlantic. For
61 example, from remote operated vehicle (ROV) surveys: 112 m in the northwestern
62 Gulf of Mexico (Nuttall et al., 2014), 100 m off North Carolina, USA (Meister et al.,
63 2005), 126 m on the Desecheo Ridge west of Puerto Rico (Quattrini et al., 2017), and
64 167 m on the Conrad Seamount in the Anegada Passage (Quattrini et al., 2017).
65 Lionfish have also been observed at 120 m from submersible dives in Honduras
66 (Schofield, 2010), and collected from trawl surveys >80 m depth in the eastern Gulf
67 of Mexico (Switzer et al., 2015). In addition, diver-based surveys on MCEs have
68 widely reported sightings in the 30-100 m range in Puerto Rico (Bejarano,
69 Appeldoorn & Nemeth, 2014), Bermuda (Pinheiro et al., 2016), and the Lesser
70 Antilles (de León et al., 2013). Therefore it has been suggested that lionfish have
71 widely colonised MCEs across the western Atlantic (Andradi-Brown et al., 2017).

72 In August 2010, while conducting submersible surveys off Lyford Cay,
73 Nassau, The Bahamas, lionfish were observed at 300 m (pers. comm. from R.G.
74 Gilmore in: Albins & Hixon, 2013; McGuire & Hill, 2014). To our knowledge this
75 sighting represents the maximum known depth distribution of lionfish in the western
76 Atlantic, and the only record of lionfish in the deep sea (defined as >200 m depth;
77 Rogers, 2015). It is not clear whether this sighting represents an isolated incident of a
78 lionfish reaching these depths, or whether lionfish more regularly use habitats in the
79 >200 m depth range, but they have not previously been recorded because of limited
80 surveys within this depth range.

81 In this study we report visual observations of lionfish >200 m depth in two
82 new locations within the western Atlantic region: Bermuda and Roatan, Honduras.

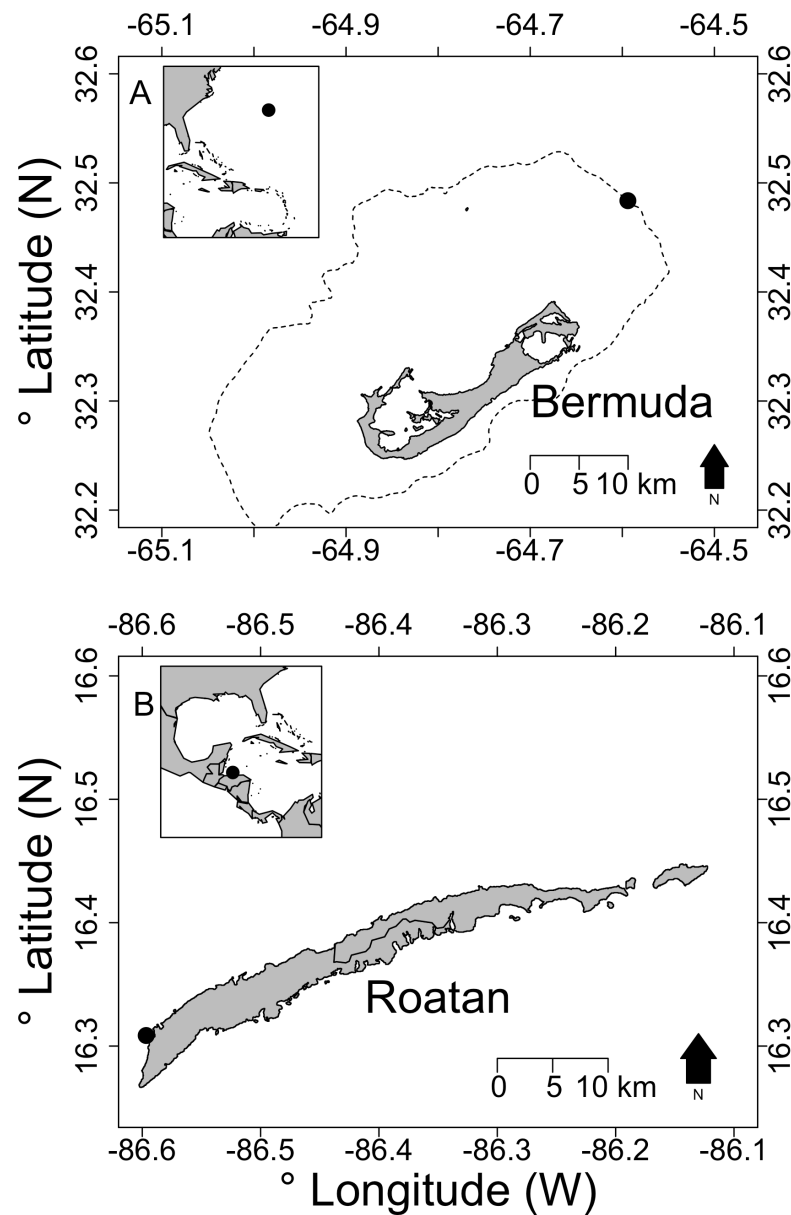
83 We also consider other lionfish records that could potentially indicate that lionfish
84 may widely be found >200 m depth across the western Atlantic range.

85

86 **Methods**

87

88 Bermuda is a series of islands located far off the continental shelf in the northwestern
89 Sargasso Sea (Fig 1A). The islands exist on a large shallow-water platform
90 (approximately 20 m depth, 623 km² area) which are the eroded remains of a Meso-
91 Cenozoic volcanic peak (Coates et al., 2013). The platform is surrounded by a
92 shallow slope, which transitions into near-vertical walls at around 100 m (Coates et
93 al., 2013). While deep reef areas of Bermuda are poorly studied, with few
94 observations below mesophotic depths, there are established MCE communities
95 around Bermuda to at least 80 m (Pinheiro et al., 2016). MCE to deep-sea benthic
96 organisms and benthic-associated fish surveys were undertaken during daylight hours
97 using the *Nemo* and *Nomad* Triton 1000-2 class submersibles (Vero Beach, Florida,
98 USA) down to 300 m depth around the edge of the Bermuda platform during July and
99 August 2016 as part of the Nekton Foundation/XL-Catlin Deep-Ocean Survey –
100 Mission 1 (www.nektonmission.org).



101

102 Figure 1. Map of survey locations for (A) Bermuda and (B) Roatan, Honduras. The
 103 locations of lionfish observations >200 m depth are marked. Inset maps indicate the
 104 locations of Bermuda and Roatan respectively relative to the western Atlantic region.
 105 In (A) the dashed line indicates the 50 m depth contour to show the outline of the
 106 Bermuda platform.

107 In contrast, Roatan is an island in the Caribbean Sea located off the north coast
108 of mainland Honduras (Fig 1B). Roatan is approximately 50 km long and 2-4 km
109 wide, and has a total land area of about 200 km². This island is surrounded by shallow
110 fringing coral reefs, which transition into MCEs at increased depths. The Roatan
111 Institute of Deepsea Exploration conducts commercial submarine tourism, using the
112 *Idabel* submarine allowing tourists to observe deep-sea habitats to 610 m depth. With
113 year-round operations from Half Moon Bay, West End, Roatan, *Idabel* conducted 224
114 dives ≥ 300 m between Jan 2015–April 2017. During March 2017 visual observations
115 of benthic communities and their associated fish communities were conducted on a
116 night dive to 300 m depth.

117 To identify other records of lionfish we examined 6,814 lionfish records from
118 the US Geological Survey Nonindigenous Aquatic Species database (USGS-NAS,
119 2017). Lionfish records in the database have been gathered from media reports,
120 scientific publications and direct reports to the database managers. All records contain
121 a GPS location, and in some cases a short description of the conditions under which
122 the lionfish was observed and/or a photo of the lionfish. In some cases the
123 descriptions accompanying records included depth information, though this is not
124 formatted in a consistent way (for example using different units such as metres, feet,
125 fathoms) and contained within a larger text record description. We initially viewed
126 these descriptions to identify any records directly stating lionfish observations at
127 depths ≥ 200 m. To further identify potential lionfish records from ≥ 200 m depth, we
128 downloaded the 2014 General Bathymetric Chart of the Oceans
129 (<http://www.gebco.net>) 30 arc-second interval grid bathymetry for the western
130 Atlantic region. We used the raster package (Hijmans, 2015) in R (R Core Team,
131 2013) to identify approximate depths of all lionfish records based on GPS location.

132 All records associated with bathymetry ≥ 200 m depth were individually reviewed and
133 classified as potential deep-sea individuals, or excluded. Records were excluded for
134 any of the following reasons: (i) specific depth information was available in the
135 record indicating the fish was < 200 m depth, (ii) the record reports that the
136 observation was made by a diver or snorkeler, (iii) the location of the record is a well
137 know/established shallow reef diving/snorkelling site, or (iv) the lionfish were
138 collected by hook-and-line making it highly unlikely they were from ≥ 200 m depth.

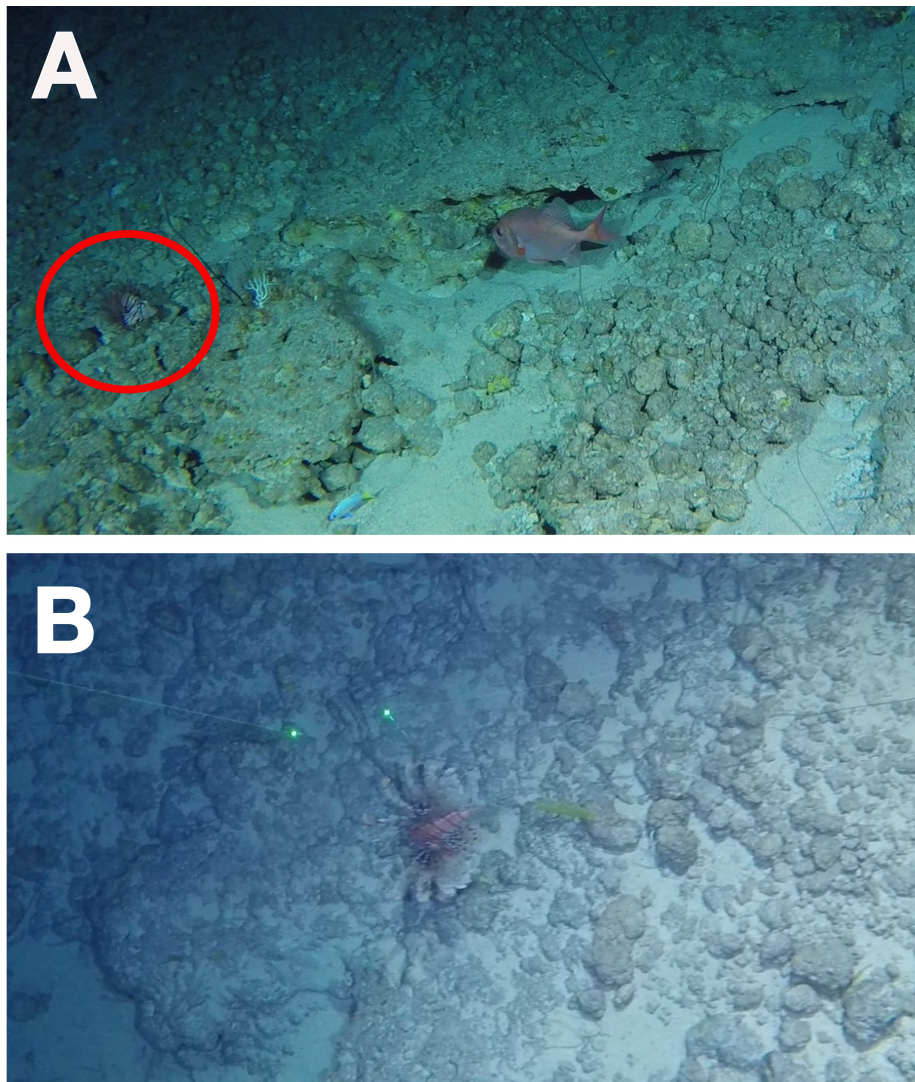
139

140 **Results**

141

142 In Bermuda during daytime dives on 28 July 2016 off the northeastern edge of the
143 Bermuda platform at 32.483683 N, 64.59395 W (Fig 1A; GPS coordinates in WGS84
144 format), multiple lionfish were observed. The deepest lionfish was an individual
145 observed at 304 m depth and another at 297 m (Fig 2). Water temperature was
146 recorded on the submersible during the dive as 19.7 °C at 300 m. The laser points in
147 Fig 2B are 0.25 m apart, suggesting an approximate total length of 21 cm for this
148 individual at 297 m.

149 In Roatan, on 11 March 2017 off Half Moon Bay, West End at 16.308565 N,
150 86.596681 W (Fig 1B) multiple lionfish were observed and photographed down to a
151 depth of 240 m (Fig 3). Water temperature was recorded on this dive as
152 approximately 15 °C at 240 m. However, with year-round tourist submarine dives
153 operating from Half Moon Bay visiting deep reef habitats ≥ 300 m (224 dives between
154 Jan 2015–April 2017), the *Idabel* has regularly observed lionfish to a maximum depth
155 of 250 m.



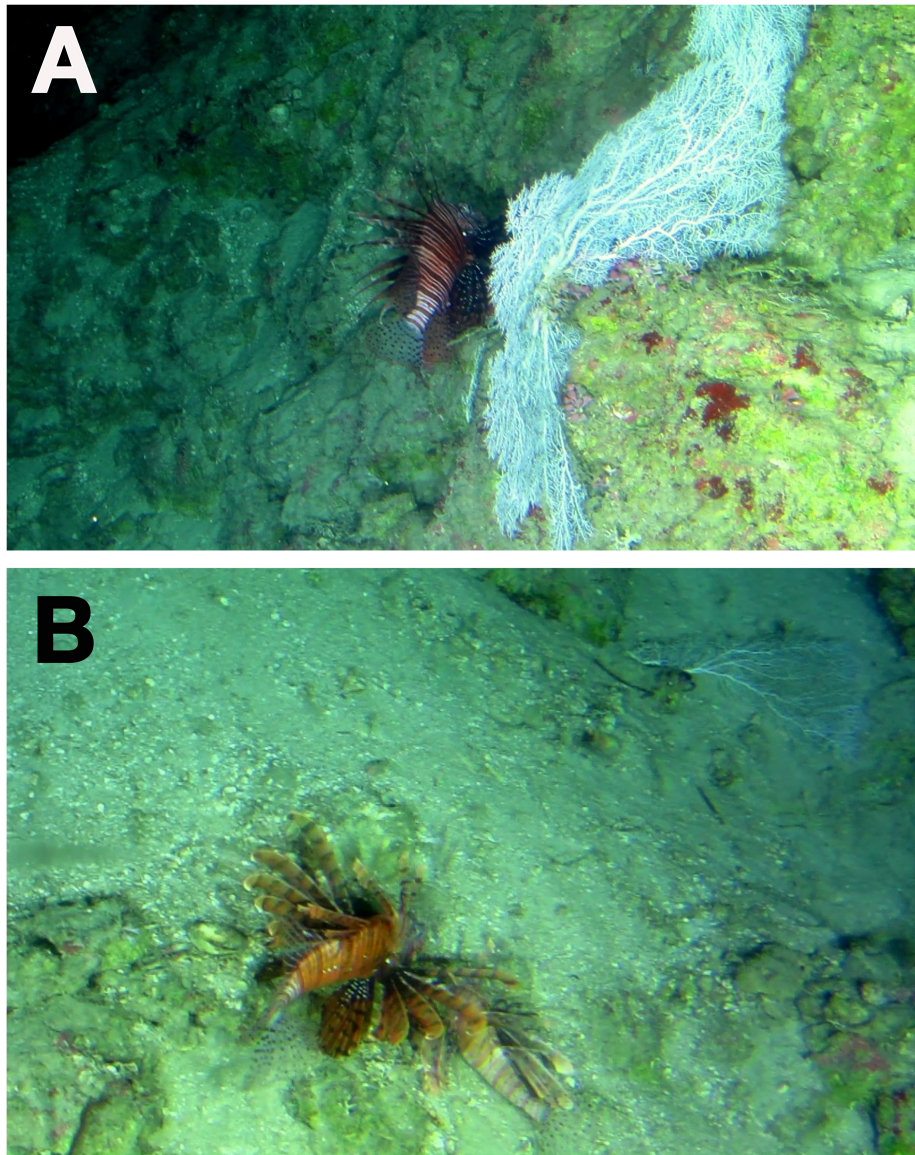
156

157 Figure 2. Lionfish at 297 m depth off the northeastern slope of the Bermuda platform.

158 (A) The lionfish resting on the reef is indicated within the red circle. Other fish

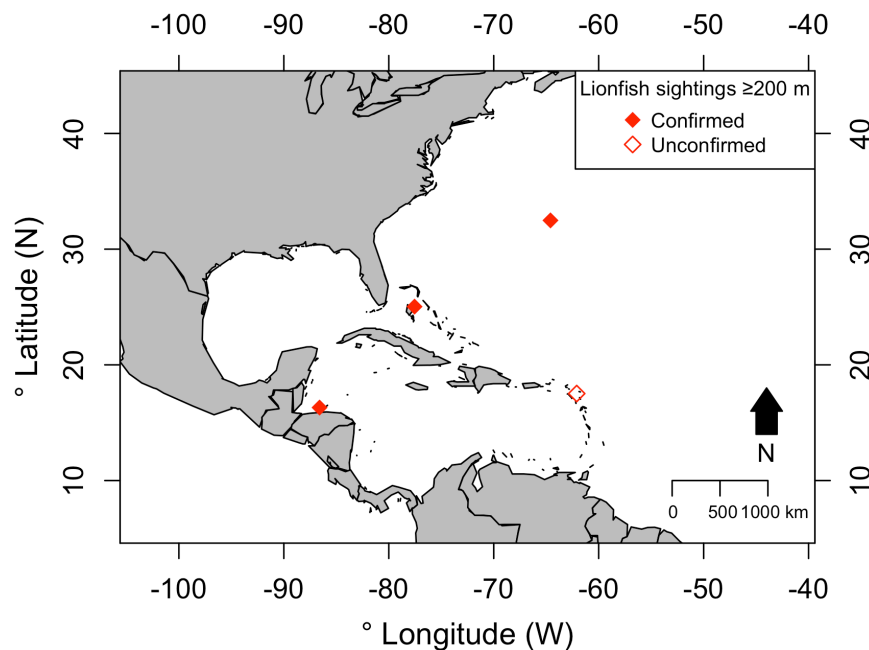
159 species shown are *Gephyroberyx darwinii* and *Pronotogrammus martinicensis*. (B)

160 Lionfish swimming over the benthos. The laser dots are separated by 0.25 m.



161
 162 Figure 3. Lionfish off Half Moon Bay, West End, Roatan, Honduras. (A) Lionfish
 163 swimming over the benthos at 180 m depth, and (B) two lionfish resting at 240 m
 164 depth.

165 When analysing records from the US Geological Survey Nonindigenous
 166 Aquatic Species database, no records were found explicitly stating a depth of
 167 observation ≥ 200 m. However, 186 records out of the 6,814 records were associated
 168 with bathymetry ≥ 200 m. Of these, after scrutinising the text descriptions we
 169 excluded 185 records as being too shallow. Many of these records represented sites
 170 with steep walls spanning from shallow reefs to >200 m depth, and while the
 171 resolution of the available bathymetry suggested these were ≥ 200 m, when checking
 172 the associated meta-data for the record often it clearly indicated that the lionfish was
 173 <200 m. The one record that we retained did not contain enough detail to confirm
 174 whether it was a sighting >200 m. Figure 4 shows the locations of this unconfirmed
 175 record, the previously confirmed 300 m lionfish observation in the Bahamas (Albins
 176 & Hixon, 2013; McGuire & Hill, 2014), and the locations of our deep-sea lionfish
 177 observations in Bermuda and Roatan.



178

179 Figure 4. Locations of confirmed and possible lionfish observations ≥ 200 m depth in

180 the western Atlantic. The confirmed sightings represent our observations in Bermuda

181 and Roatan, and the previously reported observation in the Bahamas. The

182 unconfirmed sighting represent a record from the US Geological Survey

183 Nonindigenous Aquatic Species database associated with bathymetry ≥ 200 m, though

184 there is no direct information on the depth of the lionfish observation for this record.

185

186 Discussion

187

188 In this study we report deep-sea lionfish observations from the upper bathyal in two

189 new locations within the invaded western Atlantic lionfish range. In Bermuda, close

190 to the northern limit of the lionfish invaded range (Eddy et al., 2016) we recorded

191 lionfish down to 304 m, the deepest we surveyed. While in Roatan, within the centre

192 of the lionfish invaded range (Schofield, 2010), lionfish are reported down to 250 m.
 193 Because of the large geographical distance between our observations, combined with
 194 the previous confirmed observation of lionfish at 300 m from the Bahamas (pers.
 195 comm. from R.G. Gilmore in: Albins & Hixon, 2013; McGuire & Hill, 2014), our
 196 results suggest that lionfish may be widely using deep-sea habitats in the 200-300 m
 197 depth range, and that this deeper aspect of the lionfish invasion has been under
 198 sampled.

199 When searching the US Geological Survey Nonindigenous Aquatic Species
 200 database we found no lionfish records explicitly stating a depth of observation of
 201 ≥ 200 m. However, one lionfish record was located over bathymetry ≥ 200 m without
 202 stating a depth or giving any indication of depth. While these records have been
 203 placed over bathymetry in previous studies, leading to the suggestion that lionfish
 204 may extend their maximum depth to 610 m (Johnston & Purkis, 2011), our results
 205 indicate depth records generated in this way must be treated with caution. The grid
 206 resolution of bathymetry available at a regional level is not sufficient to generate
 207 precise lionfish depth information over undersea structures such as walls and steep
 208 slopes, where large differences in depth occur within one raster grid square. For this
 209 reason despite identifying 186 records associated with deeper bathymetry, when
 210 scrutinised, 185 of these were able to be excluded for containing either specific depth
 211 details or enough information to suggest that they were most likely shallower reef or
 212 MCE observations. Some of these excluded observations were from lionfish
 213 associated with oil and gas rigs, where lionfish were associated with the rig structure
 214 at shallower depths rather than actually with seabed benthic habitats. Therefore from a
 215 simple matching of GPS location with bathymetry these records would appear to be

216 >200 m and far from any shallower habitat, yet they actually represent shallower
217 lionfish.

218 It is not clear why differences in the maximum depth of observation exist between
219 Roatan and our observations in Bermuda and previous observations in the Bahamas.
220 While in Bermuda we observed lionfish to the maximum survey depth (304 m), in
221 Roatan, despite 224 submarine dives to ≥ 300 m over the past 2.3 years, lionfish have
222 not been observed deeper than 250 m. There are many possible explanations related to
223 changing environmental conditions such as temperature and light or availability of
224 prey. For example, lionfish are limited by temperature (Whitfield et al., 2014;
225 Dabruzzi, Bennett & Fangue, 2017), with lab experiments suggesting they are unable
226 to survive temperatures < 10 °C, but crucially they ceased feeding at temperatures
227 < 16.1 °C (Kimball et al., 2004). While detailed temperature data across the depth
228 gradient is not available for the locations we surveyed, water temperature was
229 approximately 15 °C at 240 m in Roatan when we photographed lionfish in March
230 2017. Therefore it is possible that the 250 m maximum depth of lionfish observations
231 around Roatan may be caused by temperature limitation. In contrast, water
232 temperature was 19.7 °C in Bermuda at 300 m, so above the temperature of feeding
233 cessation for lionfish (Kimball et al., 2004). This suggests if temperature is the main
234 limiting factor for maximum lionfish depth, we may expect lionfish to extend even
235 deeper than 304 m in Bermuda. Other factors such as light could also influence the
236 maximum depth for lionfish. Lionfish are visual predators (Cure et al., 2012),
237 therefore despite previous studies indicating reef fish have high visual system
238 plasticity to adapt to low light levels at depth (Brokovich et al., 2010), it is likely they
239 will be limited by light. Bermuda has high light penetration (Fricke & Meischner,
240 1985; Coates et al., 2013), while Roatan suffers from higher sedimentation rates

(Mehrtens et al., 2001; Harborne, Afzal & Andrews, 2001), likely reducing light penetration to lower levels than Bermuda. Further research is required to understand the ecological and physiological constraints on maximum lionfish depths.

Little is known about the potential impacts of invasive lionfish on the upper bathyal zone. However, shallow reef research has suggested large declines in native reef fish abundance and recruitment are caused by lionfish (Albins & Hixon, 2008; Green et al., 2012), and increases in algal cover through trophic cascades are caused by lionfish predation on native fish on both shallow reefs and MCEs (Lesser & Slattery, 2011; Slattery & Lesser, 2014; Kindinger & Albins, 2017). Shallow reef fish species generally have higher individual and population growth rates when compared to deep sea fish species (Rogers, 1994; Norse et al., 2012). Therefore, predation by lionfish may have greater potential for damage to native fish communities in the upper bathyal zone. With so few records of bathyal lionfish and no quantitative estimates of lionfish densities, the ecological impacts at >200 m depth is unknown.

Current lionfish management is highly biased towards shallow reef habitats, with diver-conducted culling the major control measure implemented in the western Atlantic (Morris et al., 2009). While shallow reef culling has been found to reduce lionfish densities (Frazer et al., 2012) and help native fish populations recover (Green et al., 2014), a recent study has suggested strong depth-specific effects of culling on lionfish densities, with substantial lionfish populations remaining on MCEs despite shallow culling (Andradi-Brown et al., in press). Previous modelling studies have highlighted that substantial deep refuges for lionfish have the potential to undermine current management programmes (Arias-González et al., 2011). Therefore if lionfish are widely distributed in the 200-300 m depth range across the western Atlantic this raises further challenges for lionfish management. There are currently few effective

266 methods for lionfish removal in water too deep for diving, with trapping being the
267 only widely used method. In Bermuda, traps have been used to remove lionfish from
268 MCEs (Pitt & Trott, 2015), though measures of trapping effectiveness for reducing
269 deep lionfish populations are still lacking. Traps could be trialled deeper for lionfish
270 control in the 200-300 m range, and cameras used to monitor effects on lionfish
271 densities.

272 This study documents non-native lionfish in the upper bathyal zone in Bermuda,
273 and Roatan, Honduras for the first time. Our observations, combined with other
274 lionfish records, suggest that lionfish may widely be using 200-300 m depth habitat in
275 the western Atlantic. Our results therefore highlight the need to consider deeper
276 lionfish populations in management programmes.

277

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288

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301

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