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# Relating form to function in the hummingbird feeding apparatus

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A complete understanding of the feeding structures is fundamental in order to study how animals survive. Some birds use long and protrusible tongues as the main tool to collect their central caloric source (e.g. woodpeckers and nectarivores). Hummingbirds are the oldest and most diverse clade of nectarivorous vertebrates, being a perfect subject to study tongue specializations. Their tongue functions to intraorally transport arthropods through their long bills and enables them to exploit the nectarivorous niche by collecting small amounts of liquid, therefore it is of vital importance to study its anatomy and structure at various scales. I focused on the portions of the hummingbird tongue that have been shown to be key for understanding their feeding mechanisms. I used histology, transmission and scanning electron microscopy, microCT, and ex-vivo experiments in order to advance the comprehension of the morphology and functioning of the hummingbird feeding apparatus. I found that hummingbird tongues are composed mainly of thin cornified epithelium, lack papillae, and completely fill the internal cast of the rostral oropharyngeal cavity. Understanding this puzzle-piece match between bill and tongue will be essential for the study of intraoral transport of nectar. Likewise, I found that the structural composition and tissue architecture of the tongue groove walls provide the rostral portion of the tongue with elastic properties that are central to the study of tonguenectar interactions during the feeding process. Detailed studies on hummingbirds set the basis for comparisons with other nectar-feeding birds and contribute to comprehend the natural solutions to collecting liquids in the most efficient way possible.

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#### 11 Abstract

12 A complete understanding of the feeding structures is fundamental in order to study how animals survive. Some birds use long and protrusible tongues as the main tool to collect their 13 14 central caloric source (e.g. woodpeckers and nectarivores). Hummingbirds are the oldest and 15 most diverse clade of nectarivorous vertebrates, being a perfect subject to study tongue 16 specializations. Their tongue functions to intraorally transport arthropods through their long bills and enables them to exploit the nectarivorous niche by collecting small amounts of liquid, 17 therefore it is of vital importance to study its anatomy and structure at various scales. I focused 18 19 on the portions of the hummingbird tongue that have been shown to be key for understanding their feeding mechanisms. I used histology, transmission and scanning electron microscopy, 20 21 microCT, and *ex-vivo* experiments in order to advance the comprehension of the morphology and 22 functioning of the hummingbird feeding apparatus. I found that hummingbird tongues are composed mainly of thin cornified epithelium, lack papillae, and completely fill the internal cast 23 24 of the rostral oropharyngeal cavity. Understanding this puzzle-piece match between bill and 25 tongue will be essential for the study of intraoral transport of nectar. Likewise, I found that the structural composition and tissue architecture of the tongue groove walls provide the rostral 26 27 portion of the tongue with elastic properties that are central to the study of tongue-nectar 28 interactions during the feeding process. Detailed studies on hummingbirds set the basis for 29 comparisons with other nectar-feeding birds and contribute to comprehend the natural solutions 30 to collecting liquids in the most efficient way possible.

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#### 33 Introduction

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A central challenge of biological studies is to describe the links among the structures (e.g. 35 organismal morphology), underlying mechanisms (e.g. biomechanics), and emergent phenomena 36 37 (e.g. performance, ecological and evolutionary patterns) in live organisms. Birds are an ideal 38 subject to tackle this challenge since they have evolved the most morphologically diverse array 39 of feeding structures among tetrapods (Rubega 2000). A thorough understanding of the form and 40 function of the feeding structures is vital to grasp the functional constraints that steer the 41 evolution of resource exploitation in animals. In birds, it has been recognized that bill shape is 42 tightly correlated to diet (cf. Rubega 2000), however, this idea has been challenged in raptorial 43 birds by the correlation between skull and beak structure implying developmental constraints 44 (Bright *et al.* 2016). It has been highlighted recently that 1) phylogeny and allometry are 45 determinants in the variation of bill shape, with high diversification rates the at the dawn of modern birds followed by a slowed down diversification phase of morpho-space packing 46 (Cooney et al. 2017), and that 2) to fully understand the evolution of the feeding apparatus a 47 48 reappraisal of the linguo-laryngeal system in the context of the skull-beak coupling is warranted 49 (Homberger 2017). If bill shape provides information about generally *which* type of food is 50 consumed (e.g. seeds vs. meat); as a complement, I hypothesize that lingual apparatus 51 morphology could provide further information about how the food is consumed. Examples can be found in the extreme reduction of the tongue of cormorants (Jackowiak et al. 2006), the 52 53 gigantic papillae of penguins (Kobayashi et al. 1998), and the numerous flexible projections of 54 flamingo tongues (Zweers et al. 1995). Avian tongues present adaptations as extensive and

varied as those of bird bills (Farner 1960). Unveiling the details of the morphology and coupling
of the components of the feeding apparatus advances the understanding of its function and
evolution.

58

59 Birds control the movement of their tongues with muscles attached to the hybranchial 60 apparatus (set of supporting bones); these 'intrinsic hyolingual muscles' (Homberger and Meyers 1989; Tomlinson 2000; but see Schwenk 2001) have their most rostral attachments on a paired 61 bone called the paraglossum (cf., Weymouth et al. 1964; or Os entoglossum, Newton et al. 62 63 1896). Some birds, such as woodpeckers (Shufeldt 1900; Villard and Cuisin 2004) and nectarfeeding birds (Stiles 1981; Paton and Collins 1989), have to protrude their tongues to procure 64 65 their food. Interestingly, woodpeckers have the ability to actively control their tongue tips (cf. 66 Bock 1999), a capacity that is lacking in hummingbirds (Zusi 2013). The reason for this dissimilarity relies on the differential elongation of the tongue components; in woodpeckers, the 67 68 portion of the tongue supported by the *paraglossum* is not elongated whereas in hummingbirds 69 this portion is greatly lengthened. In most birds, only the rostral third of the tongue is entirely 70 free of musculature (review in Erdoğan and Iwasaki 2014), but in hummingbirds between half 71 (Scharnke 1931; Weymouth et al. 1964) to three fourths (Rico-Guevara 2014) of the tongue lacks muscles, bone, and/or cartilage support. Only a pair of cornified rods at the lingual tip (cf. 72 Weymouth et al. 1964) provides rigidity to the rostral membranous tube-like grooves in 73 74 hummingbird tongues (Fig. 1 in Rico-Guevara and Rubega 2011). It is puzzling that this highly specialized food collection tool lacks active control, and it is important to understand how tissue 75 76 organization and properties alone govern the tongue functioning in nectar collection.

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78 In birds, the diversity in feeding apparatus came with niche specialization; as one of the 79 prime examples, primitive insectivorous hummingbirds entered the nectar-feeding niche and became one of the most specialized nectarivorous vertebrates (Stiles 1981; Fleming and 80 81 Muchhala 2008; Baldwin et al. 2014). Early hummingbirds rapidly acquired a novel bill shape 82 (diverging from the wide and short beak typical of Strisores) that fostered faster morphological 83 diversification than the one experienced by the rest of the birds (Cooney et al. 2017) via 84 coevolution with flowers (Stiles 1981, Weinstein and Graham 2017) and the development of a wide array of foraging strategies (Feinsinger and Colwell 1978) linking exploitative and 85 86 interference competition to extreme bill structural configurations (e.g. Rico-Guevara 2014, Remsen et al. 2015). Hummingbirds still catch insects as their main source of protein, exhibiting 87 88 a variety of hunting tactics (e.g. Stiles 1995; Rico-Guevara 2008) and using their tongues to drag 89 prey they catch near their bill tips to where it can be swallowed (e.g. Yanega 2007). Therefore, 90 they use their tongue protrusion abilities for both arthropod intraoral transport and nectar 91 collection (e.g. Rico-Guevara 2014). Although hummingbird tongues have been studied for 92 about two centuries (Martin 1833; Darwin 1841; Lucas 1891; Scharnke 1931; Weymouth et al. 93 1964; Hainsworth 1973), many aspects of their morphology and function still remain to be 94 understood. The tongues of hummingbirds are forked at their tips (Martin 1833; Darwin 1841; 95 Scharnke 1931; Hainsworth 1973), ending in two tube-like grooves with fringed edges (Lucas 96 1891). These grooves are exclusively rostral structures and the interior of the tongue base is not 97 hollow (Scharnke 1931; Weymouth et al. 1964). There is only one study focusing on the morphology of the entire length of the tongue grooves (Hainsworth 1973), which unfortunately is 98 99 lacking histological details. The most rostral cross section micrograph near the base of the 100 tongue grooves (Weymouth et al. 1964) shows at least two distinct layers of tissue composing

101 the dorsal and ventral surfaces of the grooves, which are not further described. Studies on nectar feeding in living birds suggest that the functional traits enabling hummingbirds to extract liquid 102 are related to the structural configuration of the tongue tip (Rico-Guevara and Rubega 2011; 103 Rico-Guevara et al. 2015), rather than to active movements of their parts through muscle action. 104 A deeper study of the entire length of hummingbird tongues is essential to understand the 105 106 underlying architectural properties enabling the observed nectar extraction mechanisms. Because previous studies (e.g. Weymouth et al. 1964; Zusi 2013) have described in detail the 107 hyobranchial apparatus, the structure of the root, and the body of the tongue (up to the 108 109 bifurcation point) in hummingbirds, the present study presents only descriptions of the structures of the rostral portion of the tongue grooves, and in addition, a description of the coupling 110 111 between the bill and tongue. Understanding the morphology of the rostral portion of the grooves 112 and the bill-tongue fit is crucial to understand the nectar-feeding mechanics in hummingbirds 113 (e.g. Rico-Guevara 2014). Furthermore, because the proposed mechanism of nectar collection 114 involves passive transformations of the tongue modulated by the interaction with the bill tips (Rico-Guevara and Rubega 2011), it is not enough to understand the morphology of each 115 interacting part, but also it is necessary to study their functioning. Since the tongue 116 117 transformations are purported as passive, in theory they could be replicated under laboratory conditions thus validating or rejecting previously proposed biomechanical hypotheses (e.g. Rico-118 119 Guevara et al. 2015).

120

121 The aims of this paper are 1) to provide a description of the coupling of the components 122 of the feeding apparatus in hummingbirds –namely the bill-tongue three-dimensional fit, 2) to 123 describe the tissue architecture and surfaces of the tongue tip, 3) to characterize and

124 contextualize the gross and detailed morphology of the hummingbird feeding apparatus both in a 125 comparative (among birds) and ecologically relevant (biomechanics) framework, and 4) to 126 perform experiments to reveal the extent to which the feeding structures can passively transform 127 to contribute in the nectar collection process (*i.e. post-mortem* experiments). I used histology, transmission and scanning electron microscopy, and high-resolution X-ray computed 128 tomography (microCT) to describe larger anatomical features and the three-dimensional 129 130 arrangement of the tongue inside the bill (Fig. 1, Video S1). There have been few studies, like the one presented here, that merged microCT, light, and electron microscopy in order to examine 131 132 morphological features by linking them across disparate spatial scales (Handschuh et al. 2013; 133 Jung et al. 2016).

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#### Figure 1. Depiction of the techniques used to study the hummingbird feeding apparatus. 138

(A) Photograph of a hovering Anna's Hummingbird (Calypte anna, courtesy of Robert 139

- 140 McQuade) with an overimposed microCT 3D reconstruction of its bill. (B) MicroCT scan
- coronal cutaway section portraying both the bill and tongue. (C) MicroCT scan reconstruction 141
- depicting a section of the tongue. (D) Light microscopy photograph portraying a section of the 142
- 143 tongue with the supporting rod at the top. (E) Electron microscopy photograph depicting a
- section of the tongue wall tissue to show its architecture. 144

#### 147 Materials & Methods

148

I dissected five Ruby-throated Hummingbirds (Archilochus colubris Linnaeus, 1758), 149 150 one Rufous Hummingbird (Selasphorus rufus Gmelin, 1788), one Anna's Hummingbird 151 (Calvpte anna Lesson, 1829), one Short-tailed Woodstar (Myrmia micrura Gould, 1854), one 152 White-necked Jacobin (Florisuga mellivora Linnaeus, 1758), and one White-tipped Sicklebill (Eutoxeres aquila Bourcier, 1847), for a total of ten specimens from six hummingbird species 153 154 encompassing different clades in the hummingbird phylogeny. Four of the studied species (genera Archilochus, Selasphorus, Calvpte, and Myrmia) belong to the most specious (high rate 155 156 of diversification) clade named Bees, and the other two belong to more basal splits and least 157 specious clades; *Florisuga* in the Topazes, and *Eutoxeres* in the Hermits (McGuire et al. 2014). I 158 do not present phylogenetic comparative methods because all imaging techniques were not used 159 for all the species (see below), the results presented here are descriptive, and it is not the aim of this paper (see introduction). The inferences drawn from each method apply specifically to the 160 species specified in each case, and unless stated in the text I do not present data on interspecific 161 162 variation. All of the specimens were received as donations (e.g. dying birds that could not be rehabilitated) to the ornithological collections at the Department of Ecology and Evolutionary 163 164 Biology of the University of Connecticut and at the Instituto de Ciencias Naturales of the 165 National University of Colombia, between January 2012 and August 2013 and coming from several locations in the US, Colombia, and Ecuador. I only dissected (and processed as described 166 167 below) recently deceased specimens ensuring that the tissues were fresh at the moment of each 168 sample preparation. Once the investigation was concluded, the specimens were deposited in the

169 freezers of the research laboratories at both universities (given the restrictions of the specimen preparations, see below) and are waiting for accession numbers and the development of specific 170 collections for this kind of subjects. Electron microscopy specimens were deposited at the 171 Bioscience Electron Microscopy Laboratory at the University of Connecticut. All activities in 172 173 this study were reviewed and authorized by the Institutional Animal Care and Use Committee at 174 the University of Connecticut; Institutional Animal Care and Use Committee Exemption Number E09-010. The anatomical nomenclature follows Nomina Anatomica Avium (Baumel et al. 1993, 175 also see Homberger 2017). 176

177

178 *High-resolution X-ray computed tomography (microCT)* 

179 I dissected three salvaged specimens, a Ruby-throated Hummingbird, an Anna's 180 Hummingbird, and a Short-tailed Woodstar to scan their heads. Such dissections consisted of separating the head from the rest of the body, which allowed a more expedited and low-cost 181 staining procedure (see below) and a better positioning of the specimens for the scanning process 182 (closer to the X-ray source to achieve higher resolution). To obtain detailed morphological data 183 at the micrometric scale and visualize the tongue soft tissues, I employed a staining protocol with 184 osmium tetroxide (OsO<sub>4</sub>, cf. Metscher 2009) with the difference that I did not embed my samples 185 in resin, but instead placed them in small vials that could be positioned as close to the X-ray 186 187 emitter as required for the desired resolution. I opted for osmium instead of iodine (e.g. 188 Lautenschlager et al. 2014) because, although they both seem to bind to lipids (Bozzola and Russell 1999; Gignac and Kley 2014), osmium stabilizes tissue proteins, which then do not 189 190 coagulate during dehydration with alcohol (Hayat 2000). The heads were kept in 10% neutral 191 buffered formalin and fixed with a solution containing 2.5% (wt/vol) glutaraldehyde and 2%

(wt/vol) formaldehyde in 0.1 M sodium cacodylate trihydrate buffer (pH 7.4 adjusted with 192 NaOH) for 8 h at 4°C. After two washes in distilled water, the heads were fixed/stained with 2% 193 (wt/vol) OsO<sub>4</sub> in 0.1 M cacodylate buffer water for 4 h at 4°C. Samples were washed three times 194 in distilled water (20 minutes apart at 4°C) and then dehydrated in a graded series of ethanol 195 solutions. The specimens were stored in 100% ethanol at 4°C and scanned at The University of 196 197 Texas High-Resolution X-ray Computed Tomography Facility. Scans were performed at 70 kV 198 and 10W, with Xradia 0.5 and 4X objectives, and 1 mm SiO<sub>2</sub>, or no filter. Specimens were 199 scanned in three parts, scans were stitched using Xradia plugins, and voxel size was between 15.5 and 5.2 µm. I obtained 16bit TIFF images that were reconstructed by Xradia Reconstructor, 200 and the total number of slices per specimen was between 2223 and 2854, with scan times 201 202 between 4 and 7 hours. Using the data from the microCT scans I digitally decoupled the feeding 203 apparatus components (segmenting in Avizo<sup>©</sup>) and constructed three-dimensional models to 204 study the bill and tongue match.

205

206 Histological preparations

207 I dissected two Ruby-throated Hummingbirds to extract their tongues, which were cut 208 into ~3-mm long sections and fixed with 1.5% (wt/vol) glutaraldehyde - 1.5% (wt/vol) 209 paraformaldehyde in standard buffer (0.1 M HEPES, 80 mM NaCl, 3 mM MgCl<sub>2</sub>, pH 7.4 210 adjusted with NaOH) for a total of 9h at 4°C with one change into fresh fixative after one hour. 211 The sections were then fixed in a solution of  $1\% OsO_4 - 0.8\%$  potassium ferricyanide - 0.1 Msodium cacodylate – 0.375 M NaCl for 2 h at 4°C and then washed in distilled water. The 212 213 sections were dehydrated in a graded series of ethanol solutions, and embedded in epoxy resin (a 214 mixture of Embed812, Araldite 502 and DDSA, blocks polymerized at 60°C for 48 hours). I

obtained semi-thin cross sections  $(1 \ \mu m)$  that were stained with methylene blue/azure II (1:1)

followed by counterstaining with fuchsine for light microscopy. Photomicrographs were

217 captured using a JVC High Resolution CCTV digital camera on an Olympus BX51 compound

218 microscope at different magnifications (up to 1,000x). I used Auto-Montage software

219 (Syncroscopy Inc.) to compile images of multiple optical planes, thereby obtaining pseudo-

220 planar fields of view with improved visualization of the tissue structures.

221

#### 222 Transmission electron microscopy (TEM)

223 I used one Ruby-throated Hummingbird for TEM. Using some of the fixed and embedded sections (epoxy resin processed in a Microwave Tissue Processor, Pelco Biowave Pro) of the 224 225 tongue from the histological preparations, I obtained thin (80-nm) cross sections using a diamond 226 knife on a Leica Ultracut UCT Ultramicrotome. The sections were put on Formvar support films for TEM and stained with either 2% uranyl acetate (UA) and lead citrate (LC, Reynolds, 1963), 227 UA LC and RuO<sub>4</sub> vapors, or RuO<sub>4</sub> vapors only (Xue et al., 1989). These sections were then 228 229 imaged at the Bioscience Electron Microscopy Laboratory at the University of Connecticut, with 230 a FEI Tecnai G2 Spirit BioTWIN transmission electron microscope at an accelerating voltage of 80 kV and at direct magnifications up to 120,000x. 231

232

#### 233 Scanning electron microscopy (SEM)

I dissected one Ruby-throated Hummingbird and one Rufous Hummingbird to extract their tongues. The tongues were flattened with microslides and fixed with a solution containing 2.5% (wt/vol) glutaraldehyde and 2% (wt/vol) paraformaldehyde in 0.1 M sodium cacodylate trihydrate buffer (pH 7.4 adjusted with NaOH) for 8 h at 4°C. After six washes (30 minutes

238 apart) with the 0.1 M cacodylate buffer, the tongues were fixed/stained with 2% (wt/vol) OsO<sub>4</sub> 239 (2.5 ml) in 0.1 M cacodylate buffer (1.7 ml) + distilled water (0.8 ml) for 8 h at 4°C. The tongues were cleaned by washing them three times in the cacodylate buffer and then dehydrated in a 240 graded series of ethanol solutions. For all of these washes I used jets of fluid (using droppers 241 242 immersed in the liquids) to ensure that the tongues were free of debris (and remaining nectar) in 243 both dorsal and ventral surfaces; I did not scrape the tongue surfaces in order to keep them intact for posterior visualization. The first tongue was dried with a critical point dryer (Polaron E3000) 244 for 2 h. Unfortunately, critical point drying (CPD) caused the edges of the tongue in the rostral 245 246 region (where it forms the grooves) to spiral inward while drying, and only a small proportion of the dorsal surface of the tongue was visible after CPD. For the second tongue, I opted to use 247 nylon mesh biopsy capsules and tissue cassettes to keep the tissue from spiraling inward. I 248 249 inserted the tissue between layers of filter paper (chemically stable and allows adequate fluid exchange) to prevent mechanical damage from the mesh. By employing SEM, I could visualize 250 251 and photograph the regions of interest, including equal access to both dorsal and ventral surfaces. 252

After CPD, I sputter coated (Polaron E5100) the tongues with gold and palladium, and attached them to aluminum SEM stubs using double-sided carbon tape, coated the caudal ends of the tongues with silver paint, and connected them to the aluminum stubs in order to reduce charging effects. I imaged the tongues at the Bioscience Electron Microscopy Laboratory at the University of Connecticut, with a Zeiss DSM982 field emission scanning electron microscope operated at an accelerating voltage of 2 kV and at direct magnifications up to 50,000x.

259

261 Ex-vivo experiments

262 I dissected one Ruby-throated Hummingbird to examine tongue-nectar interactions post*mortem*. Under an Olympus SZX-12 dissecting microscope, I attached a Micro-Manipulator 263 Model FX-117 (Electron Microscopy Sciences<sup>©</sup>) via surgical micro clamps to the epibranchial 264 bones of the hyobranchial apparatus (Fig. S1). I held the skull in place with articulating arms 265 coupled to a soft "helmet" made out of a polyvinyl chloride sheet and an Irwin<sup>©</sup> Quick-Grip Mini 266 Handi-Clamp with swiveling clamping pads provided with longitudinal and transversal furrows 267 that matched the hummingbird's bill basal diameter without compressing it. At the tip of the bill 268 269 I positioned a Mitutovo<sup>©</sup> Digimatic Digital Caliper connected to a laptop to compare the 270 compression of the tongue by the bill tip in this artificial setting and match it with previous estimates in living hummingbirds (Rico-Guevara et al. 2015). The end result was the ability to 271 272 precisely control tongue flattening and protrusion (Video S2). I attached a second Micro-Manipulator to a reservoir filled with artificial nectar (18.6% sucrose concentration) in order to 273 control the bill tip to nectar surface distance without moving the fixed head. Lastly, I filmed the 274 275 tongue-nectar interactions by coupling a high-speed camera (TroubleShooter HR), running up to 276 1260 frames/s (1280 x 512 pixels), to the dissecting microscope.

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Activities were reviewed and authorized by the Institutional Animal Care and UseCommittee at the University of Connecticut; Exemption Number E13-001.

280

#### 282 Results

283 *High-resolution X-ray computed tomography (microCT)* 

I present the first complete cross-section series of a hummingbird feeding apparatus. I 284 started with the most caudal section at the nasal operculum (Fig. 2, cross section [XS] 1) where 285 286 the tongue is dorso-ventrally flattened, and the tongue body (corpus linguae) has started to 287 divide medially due to an ingrowth (*sulcus linguae*) of the dorsal and ventral epithelia (Fig. 2, XS 1; cf. XS 11 in Weymouth et al. 1964). The tongue body in hummingbirds encompasses the 288 tongue from a distinct base, at the joint between the *basihyale* and the *paraglossum*, to the rostral 289 290 grooves. I do not present a description of the structure of the lingual body in this paper given that this has been detailed previously (Weymouth et al. 1964). At XS 2 there is a dark layer of 291 292 cornified tissue almost completely surrounding the lingual body. Such layers become thicker at 293 the ingrowth region and eventually connect, when moving rostrally through cross sections (Fig. 2, XS 2-5), effectively dividing the tongue body (cf. XS 13 in Weymouth et al. 1964) and giving 294 rise to a bifid tongue. At XS 3 the semi-cylindrical configuration characteristic of the tongue 295 296 grooves is already conspicuous (cf. XS 14 in Weymouth et al. 1964). 297



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299

#### 300 Figure 2. Selected feeding apparatus cross sections (1-10) from a microCT scan of an

**Anna's Hummingbird** (*Calypte anna*). Black structure in the middle of the figure is a lateral

view of the bill from the reconstructed scan, and the dashed orange lines crossing it correspond

to the numbered cross sections. Upper and lower bills (rhinotheca and gnathotheca are the

keratinous sheaths of the maxillary and mandibular bones respectively) on each section appear

separated but in a living hummingbird they can be fully coupled when the bill is shut, leaving

306 virtually no space outside the tongue grooves in the rostral region. Relevant structures for

307 understanding the feeding apparatus functioning are labeled (see text).

309

310 At XS 4 it is apparent that the tissue inside the lingual body chambers is thinner, leaving an empty space dorso-laterally (cf. XS 15-17 in Weymouth et al. 1964). At this section, the 311 312 *dorsum linguae* is made of cornified tissue and it forms a pair of dorsal cornified rods of the 313 lingual tip (cf. Weymouth et al. 1964). These dorsal rods become thicker and more robust when moving rostrally through cross sections (Fig. 2, XS 2-5), probably because they are the sole 314 structural support of the rostral half of the tongue. By XS 5 there is no tissue inside the cornified 315 316 semi-cylindrical grooves, and the two sides of the lingual body are completely separated (*i.e.* 317 bifurcated tongue). There is almost no change between the tongue appearance and size between XS 5 and 6, which is about 3 mm corresponding to about half of the total groove length. From 318 319 XS 6 to 8 there is no ostensible change in the tongue shape besides an overall reduction in size (~ 25%). The rostral portion of the tongue is characterized by a reduction of the rods and a thinning 320 in the cornified tissue comprising the grooves (Fig. 2, XS 9-10). It is worth noting that from XS 321 322 1 to 4 it is evident how the tongue fills the internal buccal spaces (when the bill is shut), leaving 323 only a small space dorso-laterally. Such space matches the position of tongue base projections (Scharnke 1931; XS 2 in Weymouth et al. 1964). A reduction in the internal space outside the 324 325 grooves and a tighter coupling between bill internal walls (oropharyngeal roof, or *palatum*, and oropharyngeal floor, or interramal region) and tongue shape is evident in the rostral portion of 326 327 the feeding apparatus (Fig. 2, XS 5-10). A more in-depth description of the bill structures, such as the salivary ducts openings in the oropharyngeal floor (Fig. 2, XS 7), will be provided 328 329 elsewhere.

330

#### 332 Histology and Electron Microscopy

333 I focused on the rostral half of the tongue (e.g. Fig. 3A) to complement the work of Weymouth et al. (1964) that focused on the caudal half. At its basal region, the tongue is a 334 cylindrical structure containing bones, muscles, vessels, blood cells, loose connective tissue, 335 336 nerves, and sensory structures (e.g. taste buds), all surrounded by stratified squamous epithelium 337 (Weymouth et al. 1964). Moving rostrally, the tongue shape transitions into two distinct beanshaped chambers running parallel to each other (Fig. 2, XS 1; Weymouth et al. 1964), the paired 338 *paraglossum* becomes cartilaginous and thins until it finally disappears along with the muscles, 339 340 vessels, nerves, and other abovementioned structures, whereas the stratified squamous epithelium becomes thicker and a strongly cornified layer appears in between two layers of 341 342 epithelium (analogous to the human nail matrix covered by the cuticle, Fig. 2, XS 2-3, 3C; 343 Weymouth *et al.* 1964). In the rostral half of the tongue all the connective tissue is absent, the bean-shaped chambers become hollow, and the remaining cornified epithelium (stratum 344 345 *corneum*) is shaped like two extended 'commas' mirroring each other and forming the paired grooves or semi-cylinders at the tongue tip (Figs. 2, XS 4-10, 3B; Weymouth et al. 1964; Ortiz-346 Crespo 2003). The growing tissue seems to be abundant at the base of the grooves (cf. Fig. 2; 347 348 Weymouth et al. 1964), but to disappear in the rostral portions with few remaining cells at the interior of the cornified rod (Fig. 3D). 349



#### 352

Figure 3. Low-magnification morphology of the rostral half (grooves) of a Ruby-throated 353 Hummingbird (Archilochus colubris) tongue. (A) Section of the tongue embedded in resin; 354 355 dorsal view oriented with the caudal end of the section at the top. (B) Corresponding cross section (light microscope) showing the semi-cylindrical configuration of the grooves. The 356 cornified rod of the lingual tip and the outward (lateral) groove wall are labeled for reference. 357 Unlabeled scale bars = 250 µm. (C) Histological details of the groove wall showing the stratum 358 corneum (Sc), the strongly cornified layer (Cl). (D) Histological details of the cornified rod and 359 the seemingly germinative layers remains. 360

361

362 I found elliptical-to-circular dark corpuscles distributed evenly throughout the tongue

- 363 tissue (black arrow head, Fig. 4A). The cell boundaries are continuous lines of corneo-
- desmosomes (e.g. black arrow, Fig. 4B). I found structures of ~35 Å diameter that possibly are
- 365 microfibrils (e.g. white arrow, Fig. 4C). Regarding the different staining methods, I found that
- 366 staining with uranyl acetate and lead citrate provided the best imaging of the elliptical dark

- 367 corpuscles and the most external layers of keratin, especially in the dorsal surface of the grooves
- 368 (Fig. S2). However, vapor-staining with RuO<sub>4</sub> offered the best visualization of the corneo-
- 369 desmosomes necessary to study the cell architecture (Fig. S2).
- 370





Figure 4. High-magnification morphology of a cross section at the rostral half (grooves) of
a Ruby-throated Hummingbird (*Archilochus colubris*) tongue. (A) Transmission electron
micrograph showing the difference in layer composition (more densely packed near the dorsal
surface), and potential melanin (black arrow head) granules. Vapor-stained with RuO<sub>4</sub>. (B) The
cellular outlines are connected corneo-desmosomes (black arrow). Stained with uranyl acetate
(UA), lead citrate (LC), and RuO<sub>4</sub> (vapors). (C) Keratinous matrix showing the microfibrils
(white arrow). Stained with UA, LC, and RuO<sub>4</sub>.

In the grooved (rostral) half of the tongue, two layers of the *stratum corneum* can be distinguished: a thicker one underlying the ventral (convex) surface of the grooves, which I refer to as 'cornified layer', and a thinner one underlying the dorsal (concave) surface of the grooves (Fig. 3B). The cornified layer is made of larger cells, it is less densely packed, and it contains less granules than the layer closer to the dorsal surface (Fig. 4A). This latter layer may contain some flattened granular-cornified cells but I do not refer to it as *stratum granulosum* because that name is mostly applied to mammal tissues (Baumel *et al.* 1993). It is plausible that some of the

germinative layers of this keratinized stratified squamous epithelium could be found at the basal
portions of the dorsal rods (Fig. 3B), but most of it is restricted to the caudal half of the tongue
(Weymouth *et al.* 1964).

390

391 Probably related to the abovementioned differences in underlying tissue, I found 392 qualitative differences between the dorsal and ventral surfaces of the tongue grooves (Fig. 5). These surfaces were cleaned in the same manner (see Methods: SEM), therefore differential 393 accumulation of nectar or dirt residue does not appear to be a confounding factor. In addition, 394 395 given that the accelerating voltage can alter the level of surface detail visualized. I kept constant 2 kV for all the comparisons. While capturing the EM images, I tried to compare 396 397 corresponding points on the dorsal and ventral surface, but I did not perceive noticeable 398 differences on the tongue surfaces depending on the relative position on the groove wall (e.g. relative distance to the cornified rod, or at the lancinated portions, Figs. 5A, B). At the 10-µm 399 scale the ventral tongue groove surface (Fig. 5C) seems to have more granulated regions in 400 comparison with the dorsal side that appears smoother (Fig. 5D). Furthermore, at the 500-nm 401 scale the ventral surface (Fig. 5E) presented a rougher aspect than the dorsal surface (Fig. 5F). 402

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#### 405 Figure 5. Scanning electron microscopy of a Rufous Hummingbird (*Selasphorus rufus*)

406 tongue. (A) Overview of the entire tongue, although my observations focused on the rostral half

407 (grooves). (B) Close up of a longitudinally twisted section of a tongue groove, indicating the

408 cornified rod of the lingual tip and the lacerations of the groove wall. (C) Medium magnification

409 (3000x) micrograph of the ventral surface of the tongue. (D) Medium magnification (3000x)

- 410 micrograph of the dorsal surface of the tongue. (E) High magnification (50000x) micrograph of 411 the surface of the tongue (E) High magnification (50000x) micrograph of
- the ventral surface of the tongue. (F) High magnification (50000x) micrograph of the dorsal
  surface of the tongue. Note that when the grooves adopt their natural semi-cylindrical
- 412 surface of the tongue. Note that when the grooves adopt then natural semi-cylindrical 413 configuration, the ventral surface corresponds to the outer (convex) side of the groove walls, and
- 415 configuration, the vential surface corresponds to the outer (convex) side of the groove walls. 414 the dorsal surface corresponds to the inner (concave) side of the groove walls.
- 415

417 Ex-vivo experiments

I recorded expansive filling (sensu Rico-Guevara et al. 2015) in the post-mortem 418 experiments (Fig. S1, Video S2). This observation indicates that physical (structural) rather than 419 420 muscular forces are responsible for the expansion and filling of the tongue. I flattened the 421 grooves by closing the bill tips and leaving only a small aperture to extrude the tongue through (see methods), reproducing previous observations in free-living birds (Rico-Guevara and Rubega 422 2011; Rico-Guevara et al. 2015), and registered that the flattened grooves expanded 423 424 spontaneously upon contact with nectar in tongues of deceased specimens (Video S3). 425 Additionally, I observed that the separation of the tips and the relaxation of the fringed regions occurred in *post-mortem* experiments (Video S4). Consequently, nectar trapping (sensu Rico-426 427 Guevara and Rubega 2011) would be the first step of the fluid collecting system and is immediately followed by expansive filling. I hypothesize that the main force driving the 428 429 expansive process and therefore the filling of the tongue with nectar is the elastic energy that can be stored in the cornified groove walls. 430 431

I explain the hypothesis as follows: 1) The process starts when the tongue is dorso-432 433 ventrally compressed upon protrusion; when the tongue is extruded, only a thin layer of nectar remains inside the grooves. Such a thin layer acts as an adhesive (Stephan adhesion) maintaining 434 435 the dorsoventrally flattened (elliptical) configuration of the grooves even after they pass the extrusion point (bill tip). The attractive forces between the nectar and the tongue (adhesion, 436 cohesion, and surface tension) are able to resist the elastic energy stored in the grooves' walls 437 438 (cornified layers), and thus keep the grooves flattened. This stable flattened configuration is 439 conserved during the trip of the tongue across the air space between the bill tip to the nectar pool.

In the dorsal portion of the tongue, where the groove's inside upper edge meets the rod, the free 440 (outer) edge of the groove is prevented from rolling outward by a narrow sheet of nectar joining 441 it to the rod. The surface tension at this exposed nectar sheet keeps the grooves "zipped up" by 442 preventing air from entering the groove itself. Surface tension at the tip of the tongue also keeps 443 the grooves stuck to each other, forming a unitary structure. 2) Once the tongue passes the 444 445 compression point at the bill tips, there is a slight expansion in the tongue grooves (because of the cessation of compressive forces). The expansion of the grooves is arrested at the point in 446 447 which the attractive forces between the tongue walls and the nectar balance out the elastic forces 448 of the grooves' walls. This creates an initial transient equilibrium that maintains the flattened configuration (cf. Rico-Guevara et al. 2015). 3) Once the tongue tip contacts the nectar surface, 449 450 the free supply of fluid eliminates the surface tension that was holding the grooves together, 451 allowing the area of the grooves that is inside the nectar to open (cf. Rico-Guevara and Rubega 2011). This opening of the ends of the grooves allows the nectar molecules from the nectar pool 452 453 to start interacting with the nectar molecules inside the grooves (*i.e.* elasticity-induced flow, Fig. 6). On the dorsal surface of the length of the grooves still outside the nectar pool (more proximal 454 to the bird's mouth), the surface tension of the fluid sheet between the rods and the groove walls 455 456 holds the grooves in the rolled, flattened position. 4) Molecules of liquid entering the tongue grooves, at the boundary where the tongue enters the nectar pool, start moving proximally 457 458 through the grooves, creating a jet of fluid that fills the grooves following their expansion (cf. 459 Rico-Guevara et al. 2015). This continued destabilization of the initial transient equilibrium causes the area of the grooves outside the nectar to expand which in turn causes them to fill, 460 461 creating a positive feedback that forces the grooves open along their entire length. This creates a 462 filling front wave, because the expansive process happens from the point of contact with the

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nectar backwards (Fig. 6). 5) The expansion stops when most of the potential elastic energy is
released (and the grooves are fully reshaped into their cylindrical configuration) and when the
remaining elastic energy is counteracted by the surface tension at the zipped dorsal slit (*cf.* RicoGuevara and Rubega 2011). At this point the grooves have achieved their maximum capacity,
and they are completely filled with nectar.

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Figure 6. Elasticity-induced flow hypothesis. (A) Dorsal photograph of a Short-tailed Woodstar 470 (Myrmia micrura) tongue tip just after contacting the nectar surface. Given the flattened 471 configuration of the portions of the grooves outside the nectar, there would be elastic energy stored 472 473 which induces inward flow. (B) Cross section (light microscope photograph) of a hummingbird tongue in its "relaxed" configuration inside the nectar. (C) Hypothetical cross section showing the 474 elasticity-induced flow (Ef in *blue*), the surface tension ( $\gamma$  in *black*), and the elastic potential energy 475 (e in red). (D) Hypothetical cross section for a portion of the tongue not yet affected by the 476 expansive flow. Strong nectar-wall adhesion keeps the groove in a flattened configuration, and 477 surface tension along the groove slit prevents bubble infiltration. Elastic potential energy is larger 478 479 when the bending of the wall is more pronounced; yielding a pressure differential that pumps the 480 nectar into each groove.

481

482 Notes on gross tongue morphology relevant to feeding in hummingbirds

Hummingbird tongues may look as a fishing line due to their extreme slenderness, but are 483 truly complex structures well adapted to particular tasks. Hummingbirds can extend their tongues 484 beyond their bill tips up to about two times the bill length (e.g. Fig. 7A), given that most 485 486 hummingbird tongues are only slightly longer than their bills (Fig. 2, Rico-Guevara 2014), the tongue base can be extended pass the bill tip (transition visible in Fig. 7A). This remarkable 487 lingual protraction can be achieved by the rostral displacement of the elongated hyoid apparatus 488 489 (e.g. Video S5), and hummingbirds can protrude their tongues with their bills closed because of the presence of an elastic envelope between the larvnx and the tongue base (e.g. Fig. 7B), which 490 491 allows lingual protraction without dragging the trachea inside the bill. Lingual protrusion serves 492 to increase the range of the tongue tips, and also to reach the bill tips with the tongue base, which is important for the intraoral transport of the food. At the tongue base, hummingbirds present two 493 caudal-facing flaps without conical papillae (e.g. Fig. 7C), which may aid during intraoral 494 transport. I did not find papillae neither through macroscopical observations of the entire tongue 495 nor through microscopical observations at the rostral regions. 496



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Figure 7. Gross morphology of hummingbird tongues. (A) Photograph of a Fawn-breasted
Brilliant (*Heliodoxa rubinoides*) stretching its tongue apparatus (courtesy of Jim DeWitt –Frozen
Feather Images). (B) Dissecting microscope photograph of the throat region in a dissected
specimen of a White-necked Jacobin (*Florisuga mellivora*) showing the accordion-like structure
or *tuba elastica* in its retrieved position. The *tuba elastica* can contain the basihyal and

ceratobranchial bones allowing them to move independently from the surrounding tissue and

- permitting the extreme protraction of the tongue. (C) Macro photograph of the bill and tongue-
- 507 base of a White-tipped Sicklebill (*Eutoxeres aquila*). Note the *alae linguae* at the base of the 508 tongue (black arrow), which are enlarged in comparison to other hummingbirds.
- 509

510

#### 512 Discussion

#### 513 Gross morphology of hummingbird tongues

Hummingbird tongues entirely lack papillae, a rare condition in vertebrate tongues 514 (Schwenk 2000; Iwasaki 2002) and even among birds (review in Erdoğan and Iwasaki 2014). 515 516 Avian lingual papillae are involved in manipulation of solid food (e.g. prey apprehension, 517 holding, cutting, filtering, shelling, Iwasaki et al. 1997; Kobayashi et al. 1998; Jackowiak et al. 2010; 2011; Guimarães et al. 2014; Skieresz-Szewczyk and Jackowiak 2014) and caudal 518 intraoral transport of solid items (review in Parchami et al. 2010). Hummingbirds have 519 520 remarkable feeding modes; first, about half of their diet (cf. Stiles 1995) is composed of floral nectar that is collected inside the tongue grooves; this process does not involve adhesion of the 521 522 liquid to intra-papillar spaces, as in the case of bats (Birt et al. 1997; Harper et al. 2013) or 523 lorikeets (Homberger 1980, p. 41). Second, the other half of their diet (cf. Stiles 1995) consists of arthropods, which most hummingbirds capture by flycatching (Stiles 1995; Rico-Guevara 2008). 524 525 Yanega and Rubega (2004) showed that the flycatching mechanism in hummingbirds involves an expansion of the gape (see also Smith *et al.* 2011) and most of the aerial prey are captured at the 526 base rather than at the tip of the bill; therefore, little or no intraoral lingual transport is necessary. 527 528 Other hummingbirds, especially from the subfamily Phaethornithinae ('hermits'), consume mostly substrate-captured prey (e.g. spiders, Stiles 1995). This is also the case of reproductive 529 530 females of many species across the family, which have higher protein requirements (Rico-531 Guevara 2008; Hardesty 2009). In the process of consuming substrate prey or prey that are generally captured near the bill tip, hummingbirds, as other birds, can use inertial transport (cf. 532 Mobbs 1979; catch and throw, Zweers et al. 1997; or cranioinertial feeding, Tomlinson 2000; 533 534 Gussekloo and Bout 2005; also called ballistic transport, Baussart et al. 2009; Baussart and Bels

535 2011; Harte et al. 2012) while flying, or lingual transport (Yanega 2007). Hummingbirds have evolved the ability to protract their tongues past the bill tips to feed on nectar, but the purpose of 536 the extreme protrusion that they can achieve (e.g. Fig. 7A) is still a mystery. Thus, 537 538 hummingbirds can reach the rostral portions of their bills with the tongue base (to perform 539 lingual transport for instance), without dragging their tracheae rostrally, because of the 540 development of an accordion-like tube (tuba elastica, Zusi 2013) between the epiglottis and the tongue base which can contain a large part of the hyobranchial apparatus during tongue 541 protrusion (cf. Weymouth et al. 1964; Fig. 7B). This tuba elastica appears to be a modification 542 543 of the fibrous attachment between the rostral process of the cricoid cartilage and the rostral process of the *basihvale* (Soley *et al.* 2015). Hummingbirds' lack of lingual papillae and 544 545 protrusion abilities may be explained by their arthropod hunting and consumption strategies, as 546 well as their liquid food collecting method: grooves with smooth surfaces are easier to extrude nectar from, and protrusible tongues not only to reach but also to transport food intraorally. 547

548

Besides lacking papillae, hummingbird tongues are also unique because of their *alae* 549 550 *linguae* (cf. Weymouth et al. 1964; Homberger 2017), which are flattened projections at the base 551 of the tongue (Fig. 7C). These two flaps are located and oriented at the same place and in the same general direction as the papillary crest in other birds. Nevertheless, these structures do not 552 present caudally directed conical papillae, as is usual in avian tongues (e.g. Erdoğan and Alan 553 554 2012; Erdoğan *et al.* 2012b). In comparison to the width of the tongue, these flaps are greatly elongated laterally in Sicklebill hummingbirds (*Eutoxeres*, Fig. 7C), which have strongly 555 556 decurved bills. These flaps are thin and flexible at touch, as well as positioned dorso-laterally 557 forming a V-shaped structure. These flaps in hummingbirds have no parallel among nectar-

558 feeding birds (Lucas 1894; Scharnke 1932, 1933; Rand 1961, 1967; Bock 1972; Morioka 1992; Pratt 1992; Downs 2004; Chang et al. 2013), or birds in general (e.g. Erdoğan and Alan 2012; 559 Erdoğan et al. 2012a, b; Erdoğan and Iwasaki 2014; Erdoğan and Pérez 2015). I hypothesize that 560 the alae linguae could aid to move the nectar backwards during its intraoral transport (Rico-561 562 Guevara 2014) and to drag proximally arthropod prey that are caught at different places along 563 the bill length (cf. Yanega 2007). In terms of general shape, hummingbird tongues are not triangular and dorsoventrally flattened as in most birds (review in Erdoğan and Pérez 2015), 564 instead, as it is the case in other nectarivorous birds, these tongues are cylindrically shaped (e.g. 565 566 Bock 1972; Downs 2004; Chang et al. 2013). Lastly, I found that hummingbird tongues near the tip also lacked taste buds and salivary glands (found in other birds, review in Erdoğan et al. 567 568 2012a), in agreement with previous work by Weymouth et al. (1964).

569

#### 570 Ultrastructural characteristics of hummingbird tongues

571 The rostral portions of the hummingbird tongue, the ones that collect the food, are mostly transparent and their tissues are extremely thin (Figs. 2, 8AC), a rare condition in vertebrates. 572 The species studied with TEM had transparent tongues and also presented few and small dark 573 corpuscles (Fig. 4A), which possibly are melanin granules (e.g. Dummet and Barens 1974). I 574 expect that species with darker tongues (tongue color varies across the family, Rico-Guevara 575 2014) will have more and/or larger dark corpuscles of the kind reported here. The ~35 Å 576 577 diameter structures that I found in the tissue (Fig. 4C) are likely to be microfibrils; the ventral layers of cornified tissue are more similar to those found in feathers ( $\beta$ -keratin) than to that of 578 579 tissues with  $\alpha$ -keratin (cf. Filshie and Rogers 1962). Specifically, the diameter of the putative 580 microfibrils is within the range of other  $\beta$ -keratin tissue microarchitectures (Parakkal and

581 Alexander 1972, p. 33), and almost a third of the diameter of  $\alpha$ -keratin microfibrils (Filshie and Rogers 1962; Johnson and Sikorski 1965). In most avian tongues the stratum corneum at the 582 ventral surface comprises less than 10% of the lingual tissue in a cross section (Erdoğan et al. 583 2012a; Erdoğan and Iwasaki 2014). Different from most birds, the cornified ventral layer in 584 hummingbirds accounts for between 50%, near the cornified rod and near the groove base, and 585 586 100%, at the edge of the groove wall and at the tongue tip, of the tissue in cross sections (Figs. 2, 3A, 8BD, S2). I suggest that most of the germinative layers of this keratinized stratified 587 squamous epithelium (including the layers of dead cells, the stratum corneum) disappear before 588 589 reaching the most rostral portions of the hummingbird tongue; similar to what would be expected in cross sections of human nail overhangs. Therefore, the caudal half of the hummingbird 590 591 tongues is made of dead cornified tissue that is shaped by the interaction with the bill, and it is 592 constantly replaced from the rostral half. A thick (cornified) layer of  $\beta$ -keratin can increase mechanical resistance on a surface that is compressed and scraped by the serrated edges of the 593 bill tip ~ 14 times a second (Ewald and Williams 1982) and literally tens of thousands of times a 594 day (Rico-Guevara 2014). Future experiments to test the hypothetical high percentage (50-100%) 595 of  $\beta$ -keratin in the hummingbird tongue grooves could use *in situ* hybridization, immunolabeling 596 597 for  $\beta$ -keratins (e.g. in Alibardi et al. 2009) or selective biodegradation of  $\beta$ -keratin (e.g. 598 Lingham-Soliar et al. 2010; Lingham-Soliar and Murugan 2013).

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603 Figure 8. Tongue groove morphology at the most distal portions (near the tip) in a Ruby-

604 throated Hummingbird (*Archilochus colubris*). (A) Photograph showing the tongue

protrusion, its bifurcation, and the relaxed morphology of the grooves inside the nectar (courtesy

of Don Carroll). (B) Cross section (light microscope) showing the reduction in cornified rod

- 607 diameter and the thinning in the *stratum corneum* composing the grooves (which at this point is
- 608 composed only of the cornified layer). (C) Close up to the tongue tip showing the membranous
- appearance of the grooves and the presence of diagonal cuts in the tissue (lancinated groove (D) Electron micrograph chewing the structure of the cornified layer note the reduction
- 610 walls). (D) Electron micrograph showing the structure of the cornified layer, note the reduction
- 611 in the number of cell layers and the absence of delineated boundaries in the dorsal surface (on
- 612 top).

614

615 I found differences between the layers of tissue underlying the dorsal and ventral surfaces of the tongue grooves (Fig. 3B). These differences may be explained by the organization of the 616 tissues (Fig. 4A), but they may also be influenced by differential composition and organization 617 between proteins (fibrous vs. matrix components) and/or the presence of  $\beta$ -keratin (reviewed by 618 619 Alibardi *et al.* 2009), which has been found in the rostral ventral epithelium of other avian tongues (review in Carver et al. 1990). On the ventral surface of the tongue grooves I found thick 620 621 stratum corneum (cf. Fig. 4 in Kadhim et al. 2013; Figs. 5, 6 in Jackowiak et al. 2015), but 622 without the underlying *lamina propria* characteristic of heavily cornified areas in bird tongues (Farner 1960; Kadhim et al. 2013). This stratum corneum in the tongue surface is common in 623 624 birds (Farner 1960; Erdoğan et al. 2012a; Erdoğan and Iwasaki 2014), however, as opposed to 625 hummingbirds, in several bird species the *stratum corneum* is better developed on the dorsal lingual surface (Iwasaki 2002; Erdoğan et al. 2012a). I found more sloughing cell layers in the 626 627 histology and TEM preparations in the dorsal compared to the ventral surface, which indicates that the ventral surface is underlain by harder keratin (cf. Lucas and Stettenheim 1972). 628 Interestingly, my results are consistent with the idea that dorsal and ventral surfaces of 629 630 hummingbird tongues have different rugosities (Figs. 5, 8D). To conclude that there are 631 significant differences between dorsal and ventral surfaces of the hummingbird tongue, it would 632 be necessary to quantify differences in roughness; the best way to do this is by using Atomic 633 Force Microscopy (e.g. Ghosh et al. 2013). Alternative techniques (e.g. Nanda et al. 1998; Fujii 2011; Kremer *et al.* 2015) include the use of optical interferometry (*e.g.* white light scanner), and 634 635 3-D reconstructions of tilted SEM micrographs (stereomicroscopy). Differential rugosity 636 between tongue surfaces would have direct implications for their hydrophobicity, *i.e.* increased

roughness may significantly increase contact angle of a water droplet and decrease contact angle
hysteresis, which would augment its hydrophobicity (*e.g.* Michael and Bhushan 2007).
Therefore, the dorsal tongue groove surface, which is less rugose, may be more hydrophilic than
the ventral grove surface, and potentially facilitating the fluid trapping process described by
Rico-Guevara and Rubega (2011).

642

#### 643 Microanatomy of the hummingbird feeding apparatus

Hummingbird tongues, as well as most avian tongues, correspond to the shape of the 644 645 interramal region (oropharyngeal cavity floor), although commonly not to its size (e.g. Abou-Zaid and Al-Jalaud 2010; Tivane et al. 2011; review in Abumandour 2014). Nevertheless, it is 646 647 worth noting that avian tongues are not larger than the oropharyngeal cavity (as it is the case in 648 some nectarivorous bats, Muchhala 2006), instead, to reach farther away from the tip of their bills, the mobile bones of the hyoid apparatus in some avian taxa appear greatly elongated, 649 allowing for tongue protrusion (e.g. Video S5). In hummingbirds, the tongue grooves fit 650 perfectly the rostral portion of the oropharyngeal cavity and match both lower and upper bill 651 internal walls (Fig. 2), which is of vital importance for the efficient offloading of nectar (cf. 652 653 Rico-Guevara and Rubega 2011) and intraoral transport (Rico-Guevara 2014). My study presents the first high-resolution (5-µm voxels) CT scan of a vertebrate tongue satisfactorily stained to 654 highlight soft tissue. A study on flamingos presented detailed CT scans of the head (including the 655 656 tongue) stained with a novel injection technique (Holliday et al. 2006), but it focused on vascular anatomy at lower resolution than in the present study. Within the last five years other studies 657 658 have used a variety of techniques to enhance visualization of soft tissue in vertebrates (reviews in 659 Gignac and Kley 2014; Lautenschlager et al. 2014; Gignac et al. 2016), but they have not been

660 focused on tongues or worked at the micro scale of the present study. This three-dimensional modeling of hummingbird tongues allows for the clarification of some misconceptions; for 661 instance, it has been suggested that the mathematical model derived for capillary filling provides 662 a rationale for the shape of hummingbird tongues (Kim et al. 2012). Specifically, that the semi-663 cylindrical shape of the grooves (cylinders with a dorsal slit) can be explained by an optimal 664 665 opening angle of a cross section, which matches a peak of energy intake rates (Fig. 4 in Kim et al. 2012). I prefer a more parsimonious explanation: starting with a dorso-ventrally flattened 666 tongue as an ancestral condition (cf. Emura et al. 2010; Shah and Aziz 2014), evolution would 667 668 maximize the nectar-holding capacity by selecting for a cylindrical structure. In the same way in which a sphere is the shape with the lowest surface area to volume ratio, for an elongated 669 670 structure (like a tongue), a cylindrical configuration achieves the greatest capacity for a given 671 amount of tissue (in this case, the groove walls). It is worth noting that the tongue tip whilst outside the nectar ends in a conical shape (Fig. 1 in Rico-Guevara et al. 2015), due to a 672 shortening of the cross-sectional length of the groove wall (Figs. 2, 3), which helps to trap and 673 retain the nectar at high licking rates (Rico-Guevara and Rubega 2011). Rostrally, the groove 674 wall membranes exhibit diagonal to perpendicular cuts in the tissue starting from their lateral 675 676 edges (Fig. 8C), forming lancinated walls in the distal portions of the grooves (Lucas 1891; also called lamellae, Rico-Guevara and Rubega 2011). Such cuts may originate by wear during the 677 extruding action of the serrated bill tips on the rostral tongue portions (Lucas 1891, Rico-678 679 Guevara 2014), and may facilitate the bending of the tongue tip and trapping of fluid drops while mopping the inside of nectar chambers. Wearing at the tongue tip seems to counteract the 680 continuous elongation of the tongue by the growing tissue at the base of the grooves (cf. Fig. 2), 681 682 and unpublished descriptions of hummingbirds with 'dislocated' tongues (feeding from artificial

feeders with the tongue always hanging to one side from the bill base) report that their tonguesare unusually long and/or they become longer with time.

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Additionally, microCT data could inform the mathematical models necessary to make 686 predictions about feeding efficiency across the varying morphology of hummingbird species. For 687 instance, by calculating the total and partial groove capacities depending on immersion lengths 688 689 (conditioned by the nectar pools on the flowers they visit) the expected amount of liquid extracted can be obtained, and then compared to performance measurements in the wild. Further 690 calculations of the intraoral flow on nectar (based on the bill-tongue internal coupling) taking 691 692 into account a range of liquid properties that vary in nature (e.g. composition, viscosity, 693 temperature, etc.) will provide information on the limiting step of the fluid collection and 694 transport system. Such an approach would generate falsifiable quantitative predictions about the 695 action of the feeding apparatus, and the volumes of nectar that can be collected and the speed at which they can be transported, for nectars of different concentrations and at different 696 temperatures (hummingbirds feed from flowers at elevations as high as 5000 m, Carpenter 697 698 1976). Results from this proposed approach will shed new light on the long-standing debate about the reason of the mismatch between hummingbird nectar concentration preferences 699 700 (Hainsworth 1976; Roberts 1996; Morgan et al. 2016) and the concentration of the nectar of the flowers they pollinate (review in Nicolson et al. 2007). The predictions from these mathematical 701 models available only with the MicroCT reconstruction data, could be tested with additional 702 703 experiments under controlled conditions using *post mortem* tongues (building on the *ex-vivo* experiments presented here), and by measuring nectar extraction rates (fluid volume uptake 704 705  $[\mu l/s]$ ) in free-living nectarivores living under extreme environmental conditions.

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#### 707 Biophysics of nectar collection

The *post-mortem* observations (e.g. Videos S3, S4) are consistent with the idea that 708 expansive filling and nectar trapping are processes that do not incur any extra energy than that 709 710 necessary to squeeze the nectar out of the tongue and inside the bill, making this elastic 711 micropump a highly efficient device (Rico-Guevara 2014). This is because when the tongue tips 712 enter the surface of the nectar pool, the attractive forces (adhesion and cohesion) holding the 713 groove walls flattened get weaker because more molecules of fluid are available to fill the internal groove space. This creates an imbalance, with elastic forces dominating, which results in 714 715 reshaping of the groove walls away from the flattened configuration at the tongue tips. 716 Molecules of nectar are pulled inside the grooves through the release of the elastic energy 717 initially stored on the flattening of the groove walls (Fig. 6). Because the grooves are sealed on 718 top (by surface tension in the zipped dorsal slit), the release of the elastic energy (reshaping of 719 the grooves) pulls more and more nectar molecules inside the grooves until they reach a stable cylindrical configuration, from the tips to the base of the grooves. The net result of this process is 720 721 that the portions of the tongue that remain outside the liquid expand and are filled quickly with nectar, thereby improving fluid collection efficiency. Thus, the tongue filling is achieved through 722 the transition from a high potential energy state (flattened grooves) to a low potential energy 723 724 state (filled grooves). In summary, the elastic properties of the cornified layer make the elasticity-induced flow hypothesis plausible. This is ecologically relevant because when the bill 725 726 tip is almost in contact with the nectar surface (most likely scenario in the wild given hummingbird flowers' internal morphology), the process described above is sufficient to fully 727 load the fringed distal portion of the tongue. Nevertheless, when the bill tip is not in contact with 728

729 the surface of the nectar (e.g. hummingbirds visiting flowers with corollas longer than their 730 bills), but instead there is a space between the bill tip and the nectar pool, the portion of the tongue that remains outside the liquid would be filled with fluid by the interaction of the 731 732 aforementioned physical forces in a process I hypothesize as follows: As the tongue is protruded, 733 the grooves are dorso-ventrally flattened by the bill tips, and once the tongue tip contacts the 734 nectar surface the fluid starts to penetrate the flattened grooves (because of cohesion of water molecules in the nectar pool and water molecules in the nectar remaining trapped inside the 735 tongue). When the grooves expand, their walls start releasing the potential energy stored by the 736 737 bending (flattening by the bill tips). At this point, the excess Laplace pressure due to the nectar flowing inside the grooves plus the releasing of the potential energy whilst the grooves' walls are 738 739 recovering their semi-cylindrical shape, create a positive feedback between the groove's internal 740 space expansion and the nectar flow. The net result of this process is that the portion of the tongue that remains outside the nectar is also loaded with nectar (Fig. 6). Additionally, if there 741 are empty portions of the tongue located more proximally, which are not being squeezed 742 (therefore flattened) by the bill tips, the nectar filling the grooves (by adhesive and cohesive 743 forces) could close them while moving proximad thereby allowing complete loading of the 744 745 grooves (including the portion "hidden" inside the bill). Alternatively, the complete filling of the tongue may be achieved by the bill-tongue interaction, involving mechanisms like suction, 746 surface tension transport, hydrostatic pressure motion, etc. However, this would be dependent 747 748 on, and pertains to, the intra-oral transport of the nectar, which remains understudied.

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#### 751 Conclusions

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753 A variety of anatomical structures allow hummingbirds to protrude their tongues and drag food backwards. Hummingbird tongue shape matches the shape of the internal bill walls, which 754 755 is important to understand and model the squeezing of the tongue and movement of the nectar to the throat. The rostral portions of the tongue are mostly made of a cornified layer ( $\beta$ -keratin) that 756 is replaced from the tongue basal portions, and worn at the tip by the interaction with the bill tips 757 758 upon nectar extrusion. Interestingly, if the dorsal and ventral surfaces have different rugosities that may have direct implications to their hydrophobicity, *i.e.* increased roughness may 759 760 significantly increase contact angle (of a water droplet) and decrease contact angle hysteresis 761 (e.g. Michael and Bhushan 2007). Therefore, at the grooves, the inner tongue surface may be more hydrophilic than the outer surface, potentially helping the fluid trapping process (Rico-762 763 Guevara and Rubega 2011) and maintaining the surface tension zip at the dorsal slit along the grooves (Figs. 6 C-D). 764

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766 Hummingbird tongues are thinner than other bird tongues (references above), the walls of the grooves are between  $\sim 10$  and 30 µm thick, which makes them highly pliable. In addition, the 767 768 tissue architecture of the cornified layer resembling a brick-wall configuration, along with its 769 keratinous composition, grants non-stretchable properties to the grooves. Hence, hummingbird 770 tongues are easily squeezed to unload the nectar inside the bill (Rico-Guevara and Rubega 2011), yielding to storage of elastic potential energy in the flattened tips, which is then released when 771 772 the tongue is reinserted in the nectar (Rico-Guevara 2014), thereby improving liquid uptake 773 efficiency. The proper functioning of hummingbird tongue grooves as dynamic structures

depends on the balance between pliability and elasticity; in particular, the latter has to be strong
enough to help the pumping process to extract nectar but weak enough to keep the grooves
flattened until they contact the nectar surface (Rico-Guevara *et al.* 2015). Several scaling models
and applications have been developed on the basis of recent discoveries of biological phenomena
and underlying physical explanations (see Vogel 2011), which opens the way for deeper studies
of the influence of the surface characteristics (*e.g.* differential hydrophilicity) and the tissue
composition of the grooves on the elastic properties of hummingbird tongues.

781

782 The present work raises anew the question: How do hummingbirds feed? Much work 783 remains before the whole nectar feeding process in hummingbirds and other nectarivores can be 784 fully explained. Achieving a fuller understanding of the mechanics of the nectar-feeding process 785 may help eliminate the disparity between the theoretical predictions of how birds should act and 786 empirical observations of what they actually do. A detailed three-dimensional morphological description that allows for detailed mathematical modeling will aid in understanding different 787 aspects of their food collection efficiency limits and deviations of predicted vs. realized 788 789 performance, which are the building blocks of foraging and coevolution principles (review in Pyke 2016). Since the inferences presented in this paper apply only to the species studied, future 790 791 work should focus on corroborate or disprove the trends presented here applying equivalent methods on a wider range of taxa. Detailed accounts on the gross morpho-functional diversity of 792 the feeding apparatus of hummingbirds have been accomplished in the past (e.g. Yanega 2007, 793 794 Rico-Guevara 2014), but detailed comparative and phylogenetically corrected studies including 795 modern visualization techniques are warranted (e.g. CT scans, Ekdale 2006; 3D white-light scans, Cooney et al. 2017). This paper sets the bases for morpho-functional comparisons 796

- 797 between hummingbirds and other nectar feeding organisms, as an example of convergent and
- alternative ways to maximize food collection efficiency in nature.
- 799
- 800

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- 809

#### 810 Animal Ethics

811 This article does not contain any studies with live animals performed by the author.

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