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### Littorally adaptive? Testing the link between habitat, morphology, and reproduction in the intertidal sculpin subfamily Oligocottinae (Pisces: Cottoidea)

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While intertidal habitats are often productive, species-rich environments, they are also harsh and highly dynamic. Organisms that live in these habitats must possess morphological and physiological adaptations that enable them to do so. Intertidal fishes are generally small, often lack scales, and the diverse families represented in intertidal habitats often show convergence into a few general body shapes. However, few studies have quantified the relationship between phenotypes and intertidal living. Likewise, the diversity of reproductive traits and parental care in intertidal fishes has yet to be compared quantitatively with habitat. We examine the relationship of these characters in the sculpin subfamily Oligocottinae using a phylogenetic hypothesis, geometric morphometrics, and phylogenetic comparative methods to provide the first formal test of associations between fish phenotypes and reproductive characters with intertidal habitats. We show that the ability to live in intertidal habitats, particularly in tide pools, is likely a primitive state for Oligocottinae, with a single species that has secondarily come to occupy only subtidal habitats. Contrary to previous hypotheses, maximum size and presence of scales do not show a statistically significant correlation with depth. However, the maximum size for all species is generally small (250mm or less) and all show a reduction in scales, as would be expected for an intertidal group. Also contrary to previous hypotheses, we show that copulation and associated characters are the ancestral condition in Oligocottinae, with copulation most likely being lost in a single lineage within the genus Artedius. Lastly, we show that body shape appears to be constrained among species with broader depth ranges, but lineages that occupy only a narrow range of intertidal habitats display novel body shapes, and this may be associated with habitat partitioning, particularly as it relates to the degree of wave exposure.

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#### 17 Abstract

18 While intertidal habitats are often productive, species-rich environments, they are also harsh and

- 19 highly dynamic. Organisms that live in these habitats must possess morphological and
- 20 physiological adaptations that enable them to do so. Intertidal fishes are generally small, often
- 21 lack scales, and the diverse families represented in intertidal habitats often show convergence
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- formal test of associations between fish phenotypes and reproductive characters with intertidal
- habitats. We show that the ability to live in intertidal habitats, particularly in tide pools, is likely
- 29 a primitive state for Oligocottinae, with a single species that has secondarily come to occupy
- 30 only subtidal habitats. Contrary to previous hypotheses, maximum size and presence of scales do
- 31 not show a statistically significant correlation with depth. However, the maximum size for all
- 32 species is generally small (250mm or less) and all show a reduction in scales, as would be
- 33 expected for an intertidal group. Also contrary to previous hypotheses, we show that copulation
- 34 and associated characters are the ancestral condition in Oligocottinae, with copulation most
- 35 likely being lost in a single lineage within the genus *Artedius*. Lastly, we show that body shape
- 36 appears to be constrained among species with broader depth ranges, but lineages that occupy
- 37 only a narrow range of intertidal habitats display novel body shapes, and this may be associated
- 38 with habitat partitioning, particularly as it relates to the degree of wave exposure.
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#### 41 Introduction

- 42 Intertidal habitats are often highly-productive, species rich environments (Leigh et al., 1987).
- 43 Yet, intertidal areas are also one of the harshest marine environments, often subject to rapidly
- 44 changing physical conditions such as wave action, temperature, and current, as well as factors
- 45 that affect homeostasis of resident organisms, such as pH and dissolved oxygen (Davenport &
- 46 Woolmington, 1981; Bridges, 1993; Martin, Lawson & Engebretson, 1996). Fishes living in
- 47 these areas often display common physical characteristics such as small size (Gibson, 1982) and
- 48 a reduction of scales (e.g., intertidal members of Blenniidae, Gobiesocidae, Pholidae, see
- 49 Chotkowski, Buth & Prochazka, 1999; Knope & Scales, 2013), presumably as means of coping
- 50 with the unique set of challenges presented by intertidal habitats. Likewise, the body shapes of
- 51 intertidal fishes appear constrained to take on one of only a few stereotypical shapes, such as
- 52 elongate and eel-like (e.g., Pholidae), cylindrical and tapered (e.g., Cottoidea), or dorso-ventrally
- 53 compressed (e.g., Gobiesocidae; for full descriptions see Horn, 1999).
- 54 In contrast to the somewhat predictable morphological characteristics of intertidal fishes,
- 55 the reproductive biology of these species is diverse and does not show apparent patterns between
- 56 intertidal and subtidal taxa (reviewed in DeMartini, 1999 and Coleman, 1999). However, our

57 understanding of many of these morphological and reproductive patterns in intertidal fishes is

- 58 based only on qualitative assessments. Body shape, for instance, has never been quantitatively
- 59 described and compared among or between any group(s) of intertidal fishes. A quantitative
- 60 approach may shed additional light on the patterns and processes of adaptation to intertidal
- 61 habitats in fishes. A phylogenetic comparative approach is one way to better understand the
- 62 relationship of habitat, morphological, and reproductive characters in intertidal fishes, and the
- 63 marine sculpin (family Psychrolutidae *sensu* Smith & Busby, 2014) subfamily Oligocottinae is a
- 64 relatively well-studied group and excellent candidate in which to do so.
- The 16 species that make up Oligocottinae are found in a variety of shallow nearshore
  habitats across the Pacific coast of North America (Hubbs, 1926; Taranetz, 1941; Ramon &
  Knope, 2008; Buser & López, 2015). The members of this subfamily occupy a range of subtidal
- and intertidal habitats, with varying degrees of intertidal occupation across species (Bolin, 1944;
- 69 Lamb & Edgell, 1986; Mecklenburg, Mecklenburg & Thorsteinson, 2002). Likewise,
- 70 oligocottines display a broad range of reproductive strategies ranging from copulation and
- 71 internal insemination to spawning and external mixing of gametes (Petersen et al., 2005; Abe &
- 72 Munehara, 2009).

73 Recent studies have suggested that the diversification of Oligocottinae is associated with a shift in habitat within the group (Ramon & Knope, 2008; Knope & Scales, 2013). Subtidal 74 habitats are believed to be the ancestral condition of the subfamily and the putative shift from 75 subtidal to intertidal habitats is thought to have been followed by relatively rapid diversification 76 77 in the intertidal lineage. The shift in habitat is associated with adaptive morphological changes in 78 the intertidal group, which include smaller body size and fewer scales in intertidal species when 79 compared to their deeper-dwelling relatives (Knope & Scales, 2013). Critically, however, this transition is thought to have occurred within the subfamily, such that the group contains subtidal 80 taxa, intertidal taxa, and "transitional" taxa, with the intertidal taxa being the most species rich 81 (Ramon & Knope, 2008). 82

- At the heart of these results, however, is an unanswered question, namely: how does one categorize the habitat type (e.g., "intertidal") of each species? Intertidal habitats comprise a range of depths which change on daily, seasonal, and yearly cycles. Categorizing these habitats and ascribing them to a fish, which is free to move across and occupy all habitat types with every flooding tide, presents many potential pitfalls (this conundrum is reviewed in Gibson & Yoshiyama, 1999). The ways in which fishes use these habitats ranges from intertidal residents
- to intertidal transients (Breder, 1948; Gibson, 1969; Thomson & Lehner, 1976; Potts, 1980) and
  this continuum only further complicates the qualitative categorization of these fishes. Given
- 91 these uncertainties, and the potential for arbitrary categorizations to impact the results of
- 92 comparative analyses, it could be useful to take a different approach.
- Many species venture into intertidal habitats during high tide but do not remain during
  low tides ("intertidal transients"). Conversely, some species remain in intertidal habitats
- 95 throughout the tidal cycle. These "intertidal residents" are often found in special habitats during
- 96 low tides, such as in tide pools or under exposed rocks, and use a suite of behavioral and

97 physiological adaptations to cope with the challenging conditions that they present (Martin 1996,

98 Gibson and Yoshiyama 1999, Mandic et al. 2009, Martin and Bridges 1999, Evans et al. 1999).

99 The number of prerequisite adaptations needed to survive in tide pool habitats suggests that

species that regularly utilize them possess at least the capacity to function as intertidal residents.

101 Small size (i.e., length) and a reduction of scales have been reported for many resident intertidal species and these characters show an adaptive shift between subtidal and intertidal 102 oligocottine sculpins (Knope & Scales, 2013). While reproductive characters are not known to 103 correspond to intertidal vs subtidal habitats (Coleman, 1999; DeMartini, 1999), the relationship 104 between depth and reproductive characters has yet to be formally tested. Reproductive traits are 105 106 very diverse in sculpins, particularly regarding copulation and parental care (Abe & Munehara, 2009). While copulation is difficult to observe directly, characters that are putatively associated 107 with this trait, such as the presence of an enlarged genital papilla, and spermatozoon 108

109 morphology, are more readily observable. Parental care is also difficult to observe in many

110 species, but has important evolutionary implications.

111 In this study, we forego categorization of habitat and instead use known depth ranges for 112 each species to test whether the host of morphological and reproductive traits putatively linked to

species in intertidal habitats in fact correlate with depth. To do so, we construct a phylogenetic

hypothesis of the subfamily Oligocottinae using previously published molecular sequence data

and use ancestral state reconstruction and phylogenetic comparative methods to test the

relationship between depth range and morphological, reproductive, and body shape characters inthe group.

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119

#### 120 Materials & Methods

#### 121 Phylogenetic framework

122 We constructed a phylogenetic framework using previously reported DNA sequences from all

123 oligocottine species (sample size per species: 1-9 individuals, median 5) and several outgroups

from the cottoid families (*sensu* Smith & Busby, 2014): Agonidae (n = 6 spp.), Cottidae (n = 1

- sp.), Hexagrammidae (n = 1 spp.), Psychrolutidae (n = 11 spp.), and Rhamphocottidae (n = 1
- sp.). These outgroup taxa are consistent with the most recent phylogenetic hypotheses of broader
- 127 cottoid relationships (Knope, 2013; Smith & Busby, 2014). This dataset is accessible on
- 128 Genbank (accession numbers KP826911–KP827632, see Supplementary Table 1) and contains
- 129 sequence data from eight molecular loci: one mitochondrial protein-coding locus (Cytochrome c
- 130 oxidase, COI), two nuclear introns [exon-primed intron crossing (EPIC) locus 1777E10 and
- 131 EPIC locus 4174E20] and five protein-coding nuclear loci [early growth response protein 1
- 132 (EGR1); mixed-lineage leukemia (MLL); patched domain-containing protein 1 (ptchd1);
- 133 Rhodopsin; and Sushi, von Willebrand factor type A, and pentraxin domain-containing 1
- 134 (SVEP). Multiple sequence alignments (MSAs) for each locus were generated in ClustalW
- 135 (Larkin et al., 2007). Alignments were visually inspected, trimmed, and concatenated in
- 136 Mesquite v3.2 (Maddison & Maddison, 2016). The best fitting model of molecular evolution for

137 each locus was identified using the Akaike information criterion (Akaike, 1973; Posada &

Buckley, 2004), with the model comparison routines implemented in MrModeltest v2 (Nylander,2004).

The molecular dataset contains multiple representatives for each species, so we estimated 140 141 a species tree using the multispecies coalescent model (Heled & Drummond, 2010) in BEAST v1.8.2 (Drummond et al., 2012). A species set was defined, based on the results of recent 142 phylogenetic hypotheses (Knope, 2013; Smith & Busby, 2014), for the superfamily Cottoidea, 143 which contains all taxa included in the dataset except *Hexagrammos lagocephalus*, which was 144 treated as an outgroup. The species of each sampled individual in the dataset was assigned as a 145 146 discrete trait. These species assignments were tested and validated in (Buser & López, 2015). For each locus, the model of molecular evolution vielding the lowest AIC value (as calculated in 147 MrModeltest) was applied. The rate of molecular evolution was modeled as an uncorrelated 148 149 lognormal relaxed clock (Drummond et al., 2006) and was unlinked across all loci. All tree 150 models share a birth-death speciation tree prior with a piecewise linear and constant root population size model and a UPGMA starting tree. Four independent analyses were run for 200 151 million generations each and were sampled every 20,000 generations. MCMC logs were 152 visualized using Tracer v1.6 (Rambaut et al., 2014) to determine convergence and an appropriate 153 number of generations to discard as burn-in. Burn-in was removed and trees combined using 154 LogCombiner v1.7.5 (Drummond & Rambaut, 2007). The phylogeny was pruned in the R 155 statistical environment (R Development Core Team 2015) using the "extract.clade" function 156 from the package "ape" (Paradis, Claude & Strimmer, 2004) to only include members of the 157 subfamily Oligocottinae plus the outgroup taxon Chitonotus pugetensis. An R script, 158 159 "LitorallyAdaptiveScript.R," detailing these commands and all other operations performed in R, along with all pertinent data (phylogeny, data matrix, etc.) is available in the Supplementary 160 Materials in the folder "LitorallyAdaptive PeerJ Rfolder." 161

162

#### 163 *Character coding*

164 To understand the relationship between a species' depth preferences and its size, reproductive

habits, or scale patterns, we coded the following characters from previous studies and, where

166 possible, verified our findings by examining museum specimens and/or collection data

- 167 (summarized in Table 1):
- 168

Depth range (Continuous). Collection data for all specimens of each species of
 Oligocottinae and the outgroup taxon *C. pugetensis* were collated from museum records from the
 following natural history collections: University of Alaska Museum (UAM), University of
 British Columbia Beaty Biodiversity Museum (UBCBBM), University of Washington Burke
 Museum Fish Collection (UW), Oregon State University Fish Collection (OS), California
 Academy of Sciences (CAS), Natural History Museum of Los Angeles County (LACM),
 University of Michigan Managements of Zacheae (UD 047) and Saving Institute of Oceane combined

- 175 University of Michigan Museum of Zoology (UMMZ) and Scripps Institute of Oceanography
- 176 Marine Vertebrates Collections (SIO). These records were accessed through institution-specific

177 (UW, UBCBBM, CAS) or the multi-institutional database interfaces (all others) VertNet.org,
178 Arctos.Database.Museum, and FishNet2.org (see Supplementary Table 2 for all museum records)

- analyzed). For each species, we extracted collection depth data from all museum holdings of
- 180 adult specimens for which it had been recorded. Some collection depths are recorded as a range,
- 181 in these cases, we used the maximum depth in the range. Where the collection depth and/or
- 182 locality is described as "tide pool," "intertidal," etc., we assigned a collection depth of 0 m. To
- lessen the effects of outliers, we selected a depth range (i.e., minimum depth and maximum
  depth) for each species that includes 95% of museum collection depths (illustrated in Figure 1).
- For the purposes of this study, we will refer to this depth range as the range where each species is
- 186 "commonly" collected. To verify these depth ranges, maximum and minimum depth records for
- 187 each species were cataloged and cross-examined from multiple sources (Bolin, 1944; Miller &
- 188 Lea, 1972; Eschmeyer, Herald & Hammann, 1983; Mecklenburg, Mecklenburg & Thorsteinson,
- 189 2002; see Supplementary Table 3). Where these previously published depth maxima and minima
- 190 disagree, we chose the median value for each. Many of these ranges include only imprecise
- 191 descriptions such as "tide pools" and "intertidal areas." In these cases, we assigned a minimum
- 192 depth value of 0 m and a maximum depth value of 2 m. We used a phylogenetic paired t-test
- 193 (Lindenfors, Revell & Nunn, 2010) to compare the maximum and minimum depth for each
- species using the museum records vs. the descriptions published in the literature using the
- 195 "phyl.pairedttest" function in the R package "phytools" (Revell, 2012; see "Character coding-
- 196 Depth Range" section in "LitorallyAdaptiveScript.R" in Supplementary Folder
- 197 "LitorallyAdaptive\_PeerJ\_Rfolder").
- Tide pool occupancy (Presence, absence). We noted which taxa were explicitly
   collected from tide pools in museum collection data, in previously published depth ranges, and in
   primary literature.
- Length (Continuous). Maximum recorded length of each species was cataloged and
   cross-examined from multiple sources (Bolin, 1944; Miller & Lea, 1972; Eschmeyer, Herald &
   Hammann, 1983; Mecklenburg, Mecklenburg & Thorsteinson, 2002; Knope & Scales, 2013).
   Where sources disagreed, we used the median value.
- Squamation (Presence, absence). For the purposes of this study, squamation is defined 205 4. as any dermal ossification outside of the lateralis system. This includes scales, prickles, and 206 207 scutes. The evolution of scale types in sculpins is poorly understood, but what is known suggests that the modified scales found in Oligocottinae may each represent an equal number of 208 evolutionary steps away from the ancestral ctenoid scale type, with the latter not represented in 209 any extant cottoid (Jackson, 2003). We therefore feel that in the context of this study it is 210 unjustifiable to discriminate between scale types in oligocottines until further study indicates 211 otherwise. Presence of squamation was coded from descriptions in the literature (Bolin, 1944; 212 Begle, 1989; Mecklenburg, Mecklenburg & Thorsteinson, 2002; Jackson, 2003). 213 Enlarged genital papilla (Presence, absence). This character was coded directly from 214 5.
- 215 descriptions in the literature (Bolin, 1944; Mecklenburg, Mecklenburg & Thorsteinson, 2002).

2166.Spermatozoon morphology (Oval, intermediate, slender). Character states were

- adapted from descriptions in the literature (Hann, 1930; Petersen et al., 2005; Koya et al., 2011).
- 218 Slender sperm morphology is associated with internal insemination in many groups of fishes
- 219 (Mattei, 1991). Petersen et al. (2005) confirmed this observation in Oligocottinae by
- demonstrating that spermatozoa with a slender-type morphology are active only in seawater that
- has been diluted to approximate the osmolality of ovarian fluid in these sculpins, while
- spermatozoa with oval-type morphology are active in both dilute and full-strength seawater. This
   suggests that slender-type spermatozoon morphology is indicative of obligate insemination, but
- oval-type morphology indicates the capacity for external mixing of gametes (i.e., spawning).
- 7. Copulation (Presence, absence). For the purposes of this study, copulation is defined as
  the transfer of sperm from a male into the ovary of a female. The presence of copulation, where
  known, was determined from descriptions found in the literature (Bolin, 1941; Morris, 1952,
- 228 1956; Hubbs, 1966; Misitano, 1980; Petersen et al., 2005; Abe & Munehara, 2009).
- Parental care (Presence, absence). For the purposes of this study, egg guarding by one
   or both parents is considered parental care. The presence of parental care, where known, was
   determined from a review of behavioral descriptions from previous literature (Morris, 1952;
   Hubbs, 1966; Petersen et al., 2005; Abe & Munehara, 2009).
- 233

#### 234 Character mapping and ancestral state reconstruction

- 235 To visualize the inferred evolutionary history of characters, we performed ancestral state
- 236 reconstruction (ASR) of each character examined in this study. For discrete characters, we used
- 237 maximum likelihood (ML) with a Markov k-state 1 parameter (Mk1) model of evolution (Lewis,
- 238 2001), implemented in Mesquite. The evolutionary history of continuous characters was inferred
- using ML in the R statistical environment with functions from the package "phytools" (Revell,
- 240 2012; see "Character mapping and ancestral state reconstruction" section in
- 241 "LitorallyAdaptiveScript.R" in Supplementary Folder "LitorallyAdaptive\_PeerJ\_Rfolder").
- 242

#### 243 Body shape analysis

- 244 Qualitative assessment of body shape in intertidal fishes has not only shown differences in the
- shape of some intertidal species compared to their subtidal relatives (e.g., *Hypsoblennius* spp.;
- 246 Thomson & Lehner, 1976), but also that many groups of intertidal fishes (including intertidal
- sculpins) have converged on a small number of stereotypical body shapes (reviewed in Horn,
- 248 1999). While thought provoking, these observations have yet to be tested using quantitative
- 249 methods. We used landmark-based geometric morphometrics to describe and compare the body
- shape of each species in this study and test for correlation between body shape and the depth at
- which each species occurs. Body shape data were collected from digital photographs of the
- 252 lateral view of museum specimens of each species. Photography followed the phototank method
- 253 of Sabaj Pérez (2009). To minimize the likelihood of introducing variation due to photographic
- artifacts (i.e., image distortion), lighting, distance to the subject, focal length, camera angle, and
- 255 camera settings (e.g., aperture) were kept constant. We photographed 115 specimens,

256 representing all 16 species in Oligocottinae plus the outgroup taxon C. pugetensis. Sample size per species ranges from 2 to 15 individuals, median six (Table 2). To capture overall head and 257 body shape, landmarks were adapted from those described in previous studies of sculpin body 258 shape (Strauss & Bookstein, 1982; Strauss & Fuiman, 1985). Preliminary analysis revealed a 259 260 high frequency of distended stomachs and upturned caudal peduncles, presumably from prior feeding and preservation (respectively), so landmarks that appeared to be influenced by these 261 variables were not included. Thirteen landmarks were ultimately used in this study (Fig. 2). We 262 used tps-Dig2.2 (Rohlf, 2007) to locate the landmarks on each specimen from the digital 263 photographs. To compare body shape across the group, landmark configurations were Procrustes 264 superimposed using MorphoJ v1.06 (Klingenberg, 2011). The aligned landmark coordinates 265 were used to calculate a covariance matrix on which we performed a principal component 266 analysis (PCA) in MorphoJ. The number of significant principal component axes was calculated 267 using the broken stick method (Frontier, 1976; Jackson, 1993; Legendre & Legendre, 2012), 268 269 implemented with the "screeplot.cca" function in the R package "yegan" (Oksanen et al., 2017). 270 The significant principal component axes were used to interpret overall shape variation and visualize the distribution of species in body shape morphospace. To visually check for evidence 271 of morphological convergence or divergence, we projected phylogenetic relatedness into the 272 273 principal component morphospaces and inferred states of each significant PC axis for each ancestral node (i.e., phylomorphospace analysis; Sidlauskas, 2008) using the 274 "phylomorphospace" function in the R package "phytools" (Revell, 2012; see "Body shape 275 276 analysis" section in "LitorallyAdaptiveScript.R" in Supplementary Folder 277 "LitorallyAdaptive PeerJ Rfolder").

278

#### 279 Depth correlation analysis

280 For both museum records and previously published depth ranges, preliminary results indicated that, while there is considerable variability in the maximum collection depth of each species in 281 Oligocottinae, all species share a minimum recorded depth of zero meters. Given this 282 invariability in minimum depth, we chose to use only maximum depth as our depth variable for 283 284 regression analysis. We used phylogenetic generalized least squares regression (PGLS) implemented using the "gls" function in the R package "nlme" (Pinheiro et al., 2015) to test for a 285 linear correlation between depth and each of the putatively associated characters examined in 286 this study: presence of scales and maximum length. We also tested for linear correlation between 287 288 depth and the presence of a genital papilla, which is the only reproductive character in this study for which states are known for all oligocottine species. To account for potential variability in trait 289 evolution (e.g., Brownian motion, selection, etc.), we tested three alternate single-parameter 290 correlation structures supplied in the R package "ape" (Paradis, Claude & Strimmer, 2004) in 291 each of our regression models: a Brownian motion model with correlation due to phylogenetic 292 293 relatedness represented by Pagel's lambda (Pagel, 1994, 1999), which we estimated using ML; a Brownian motion model with the rate of evolution (accelerated or decelerated) estimated using 294 ML; and a single optimum (i.e., stabilizing selection) Ornstein-Uhlenbeck (OU) model 295 (Felsenstein, 1988; Hansen, 1997) with the strength of attraction towards the optimum 296

represented by alpha and estimated using ML. The best fitting model for each regression wasdetermined by comparing AIC values.

One of our hypotheses is that shallow-dwelling species show convergent morphology 299 differing from that of their subtidal sister taxa. To test for convergent or divergent evolution of 300 301 body shape, we used a stepwise model-fitting approach, "surface," that detects shifts and convergence in phenotypic optima (Ingram & Mahler, 2013). In this approach, each optimum 302 contributes a parameter to an OU process of evolution. The "surface" method finds the 303 maximum-likelihood estimate of the number and location of phenotypic optima under the OU 304 model and collapses similar phenotypic optima together if it improves the AIC score (Ingram & 305 306 Mahler, 2013). Phenotypic convergence is indicated when independent lineages share a common optimum. These analyses were conducted in the R environment using functions from the package 307

308 "surface" (Ingram & Mahler, 2013).

To visualize only the aspects of body shape that covary with depth, a partial least squares analysis (PLS) was conducted on a matrix of depth variables (minimum depth and maximum

analysis (PLS) was conducted on a matrix of depth variables (minimum depth and maximu
 depth) and Procrustes-aligned shape variables (Rohlf & Corti, 2000). This analysis was

312 conducted in MorphoJ and in R using functions from the package "geomorph v2.0" (Adams &

313 Otárola-Castillo, 2013). In both cases, the significance of the covariance was tested using a

314 permutation test with 10,000 iterations (see "Correlation with depth" section in

315 "LitorallyAdaptiveScript.R" in Supplementary Folder "LitorallyAdaptive\_PeerJ\_Rfolder").

316

#### 317

#### 318 Results

#### 319 Character mapping and ancestral state reconstruction

The trimmed, concatenated MSA dataset spans 4695 aligned nucleotide sites, containing 1037 320 variable sites. The topology of the Bayesian maximum clade credibility (MCC) phylogeny 321 produced herein is identical to the topology of the MCC phylogeny reported in Buser & López 322 323 (2015), with similar levels of support for each clade (Figure 3). As noted in Buser & López (2015), this topology is similar to that of other molecular-based phylogenetic inferences of 324 Oligocottinae (i.e., Ramon & Knope, 2008; Knope, 2013), but has substantially higher support 325 values (i.e., Bayesian posterior probability) for many of the inferred relationships. We will use 326 327 the classification and taxonomy suggested by Buser & López (2015) for discussion of the interrelationships of oligocottine sculpins. 328

The outgroup taxon, *C. pugetensis*, rarely (if ever) occurs in intertidal areas (Fig. 1, Table 1, Supplementary Tables 3 & 4). However, apart from *L. hirundo*, all the constituent species of Oligocottinae are regularly found in intertidal habitats and both museum records and published depth ranges include tide pools in the common collection depth or depth range data for all oligocottine species but *L. hirundo* (Fig. 1, Table 1, Supplementary Tables 3 & 4). There is also explicit discussion of tide pool and intertidal occupancy for all oligocottine species except *L. hirundo* in the primary literature (Supplementary Table 3). However, while the occupation of

intertidal and subtidal habitats is often portrayed as an either/or scenario, there is considerable

337 variation in the maximum depth at which each species occurs (Fig. 1, Table 1). Generally though, all oligocottine species occur at relatively shallow depths: none is commonly collected at 338 depths greater than 55 m, most (12/16 spp.) are not commonly collected below 25m (though 339 there is some discrepancy between the museum collection data and the published depth ranges 340 341 for *A. corallinus* and *A. fenestralis*), and four (published ranges) to seven (museum depth data) species are common only in very shallow (i.e., 2 m depth or less) habitats (Table 1). There is 342 considerable disagreement between the museum collection data and the published depth range 343 for A. notospilotus, C. acuticeps, C. analis, and L. hirundo. In each case, published depth ranges 344 indicate a maximum depth that is > 10 m deeper than the depths where these species have been 345 346 commonly collected in museum holdings (Supplementary Tables 3 and 4). However, the depth ranges are otherwise largely congruent, and the differences between the two datasets are not 347 statistically significant (phylogenetic paired t-test p-value > 0.89). All remaining analyses show 348 349 identical outcomes when using either the common museum collection data or the previously 350 published depth range data for each species. Given the congruence of the datasets, the indistinguishable outcome of using one over the other, and the more verifiable nature of the 351 museum collection records, we present the results of the remaining analyses using only the 352 common museum collection depth range of each species. 353

Predictably, the ASR of minimum depth shows that the most recent common ancestor 354 (MRCA) of Oligocottinae likely occurred in shallow habitats (ML estimate: 1 m: 95% 355 confidence interval: 0 m. 2 m). Ancestral state reconstruction of tide pool occupancy shows that 356 with extremely high proportional likelihood (0.9988) the MRCA of Oligocottinae occurred in 357 tide pools. In fact, even the MRCA of the Leiocottus lineage was likely (0.9215 proportional 358 359 likelihood) capable of living in tide pools (Supplementary Figure 1). Thus, the absence of tide pool occupation in L. hirundo likely represents a derived state. The ASR of maximum depth 360 suggests that the MRCA of Oligocottinae occurred down to only moderate depths (ML estimate: 361 23 m; 95% confidence interval: 2 m, 44 m; see Fig. 4) and suggests that the habitation of only 362 very shallow-water habitats (maximum depth = 2 m or less) seen in members of *Oligocottus* 363 maculosus, O. rimensis, and O. snyderi and in all members of the subgenus Clinocottus 364 (Blennicottus) represents a derived state (see Table 1, Fig. 4). However, given the uncertainty of 365 the ML estimates of maximum depth at each node (Fig. 4), and the uncertain phylogenetic 366 367 relationships of Blennicottus, Leiocottus, and Oligocottus lineages (Fig. 1), it is not possible to claim with confidence the number of transitions that may have occurred within the subfamily. 368 Maximum length shows no obvious relationship with depth in Oligocottinae (illustrated 369 in Fig. 4). All species (including the outgroup) are relatively small (none longer than 250mm), 370 most (12/16 spp.) do not grow longer than 150mm, and seven species do not grow longer than 371 100mm (Table 1). The ASR of maximum length suggests that the MRCA of Oligocottinae was 372 small (132mm, 95% confidence interval: 83mm, 182mm), but like maximum depth, the 373 uncertainty of the ML estimates at each node precludes additional inference into the 374 375 diversification of this trait (Supplementary Figure 2).

376 Squamation is common among members of Oligocottinae but is completely absent in two clades: the subgenus *Clinocottus* (*Blennicottus*), and the clade in *Oligocottus* made up of O. 377 maculosus, O. snyderi, and O. rubellio (Table 1, Supplementary Figure 3). Predictably, the ASR 378 shows that the presence of squamation is the most likely state for the MRCA of Oligocottinae 379 380 (proportional likelihood: 0.81), and that the absence of scales represents an independent loss of the trait in the MRCA of each of the above clades (Supplementary Figure 3). It should be noted 381 that we inferred the evolution of squamation using an Mk1 model, which assumes that all 382 potential changes in state are equally probable (Lewis, 2001). Given the lack of rigorous study of 383 scale evolution in sculpins, specifying a more complex model is not warranted. However, it is 384 our opinion that re-acquisition of squamation is an extremely unlikely evolutionary scenario in 385 oligocottine sculpins (i.e., less probable than the loss of squamation) and thus the proportional 386 likelihood of the presence of scales for the ancestral nodes in Oligocottinae should be taken as a 387 388 conservative estimate.

An enlarged genital papilla is found in all but five species of Oligocottine sculpins (Fig. 5). The ASR shows with high proportional likelihood (>0.98) that this character was present at all ancestral nodes except those within the clade composed of the members of the genus *Artedius*. Within *Artedius*, an enlarged genital papilla was likely lost in the MRCA of the clade containing *A. corallinus*, *A. fenestralis*, *A. lateralis*, and *A. notospilotus* (Fig. 5). An independent loss of the enlarged genital papilla occurred in the oligocottinin species *Orthonopias triacis*.

The distribution of spermatozoon morphology follows a pattern similar to that of the 395 enlarged genital papilla. Outside of the clade composed of members of the genus Artedius, 396 slender-type spermatozoa are present in all Oligocottine sculpins and, with high proportional 397 398 likelihood (>0.90), this is the state at all ancestral nodes (Fig. 5). Within Artedius, an oval-type spermatozoon likely evolved in the MRCA of the clade containing A. corallinus, A. fenestralis, 399 A. lateralis, and A. notospilotus. Artedius harringtoni possess an intermediate spermatozoon 400 morphology and is the only oligocottine to do so (Fig. 5). With one notable exception, this shows 401 402 that all species with a slender-type spermatozoon morphology (which in other species is known to function only in ovarian fluid) also possess an enlarged genital papilla, which is presumably 403 used in copulation. The exception to this observation is the species Orthonopias triacis, which 404 does not possess an enlarged genital papilla, but does possess a slender-type sperm morphology. 405

406 Though not known for all species in Oligocottinae, the distribution of copulatory behavior closely follows that of spermatozoon morphology, where copulating species possess 407 either slender or intermediate-type spermatozoon morphology, and non-copulating species 408 possess only oval-type spermatozoon morphology (illustrated in Fig. 5; see also Supplementary 409 Figure 4). The ASR of this character shows that, with high proportional likelihood (>0.95), 410 presence of copulation is the likely state for all ancestral nodes outside of the clade composed of 411 412 the members of the genus Artedius. Within Artedius, copulation was likely lost in the MRCA of the clade containing A. corallinus, A. fenestralis, A. lateralis, and A. notospilotus. This finding 413 414 reinforces the observations of Mattei (1991) and Petersen et al. (2005) who each show that 415 slender-type sperm morphology is indicative of copulating species. Once again, Orthonopias

416 *triacis* presents a noteworthy case as there is evidence of copulation for the species and the

417 species possesses a slender-type spermatozoon morphology, yet the species lacks an enlarged

418 genital papilla or other known intromittent organ (Fig. 5).

The presence or absence of parental care has been described in less than half of all oligocottine species (6/16 spp.), but follows a similar pattern to those seen in other reproductive characters in the group, where members of the genus *Artedius* tend to differ from all other species. In this case, parental care is observed only in members of *Artedius* (Fig. 6). The ASR shows that parental care was likely present in the MRCA of *Artedius*, while a lack of parental care is the most likely state for the MRCA of the tribe Oligocottini. However, given the substantial amount of missing data for this trait, the ASR is subject to change with the addition of

- 426 new observations.
- 427

#### 428 Body shape analysis

429 Observed body shape variation was captured by two significant principal components, which

430 cumulatively describe 70% of the total variance. We used an outline of a specimen of

431 *Clinocottus analis* to visualize shape change represented by each PC axis in MorphoJ (Fig. 7).

432 Principal component (PC) 1 (52% of total observed variance) describes antero-posterior

- elongation/compression of the head and mouth as well as the relative size of the eye. Principal
  component 2 (19% of total observed variance) captures dorso-ventral elongation/compression of
- the body, the shape of the eye, and the slope of the snout.

There is no clear evidence of a consistent relationship between the minimum depth or 436 maximum depth of a species and its morphology, nor evidence of morphological convergence 437 438 among shallow or deep-ranging species (Fig. 8). Species with deeper ranges appear to be constrained to a common morphospace, while species that inhabit only shallow depth ranges 439 (e.g., *Oligocottus* spp., *Clinocottus* (*Blennicottus*) spp.) appear to occupy novel and distinct areas 440 of morphospace (Fig. 8). This observation is supported by the results of the "surface" analysis, 441 442 which inferred three optima for body shape in the morphospace described by the significant PC axes: one for members of the genus *Oligocottus* plus *Orthonopias triacis*, one for members of the 443 subgenus *Clinocottus* (*Blennicottus*), and a third that is occupied by all other oligocottines 444 (Supplementary Figure 5). However, there is no clear pattern in terms of the direction of the 445 446 divergence in morphospace of these taxa. Interestingly, two of the optima are occupied almost entirely by taxa that are found exclusively in shallow water (i.e., Clinocottus (Blennicottus) and 447 all but one species of *Oligocottus*), while the remaining optimum is made up almost exclusively 448 of deeper-ranging taxa (the exception being C. (O.) acuticeps. Here again Orthonopias triacis is 449 remarkable in that it is a deeper-ranging species, but appears to be drawn to the phenotypic 450

- 451 optimum occupied otherwise exclusively by the genus *Oligocottus*.
- 452

#### 453 Depth correlation analysis

454 No morphological, reproductive, and body shape variables examined in this study show a

455 significant correlation with maximum depth. The phylogenetic generalized least squares

- 456 regression showed no significant linear correlation between depth and the putative covariates
- 457 examined herein: maximum length, presence of scales, or presence of an enlarged genital papilla.
- Likewise, the results of the PLS analysis were identical in MorphoJ and in R, and failed to show
- 459 a statistically significant correlation between body shape and depth range (p-value > 0.05).
- 460 However, the analysis did reveal a few interesting observations: species that are restricted
- 461 entirely to shallow water tend to display greater morphological diversity than those that range
- into deeper water, but generally have smaller eyes, smaller mouths, terminal placement of the
- 463 mouth, and more robust bodies, while deeper-ranging species tend to have larger eyes, larger
- 464 mouths, subterminal placement of the mouth, and dorso-ventrally compressed bodies (Fig. 9).
- 465

#### 466

#### 467 Discussion

The results of our study show support for some previous hypotheses of the general evolution of intertidal fishes in that, excepting *L. hirundo*, all oligocottines regularly occur in tide pools, and

- 470 all show small bodies and few scales. However, our results do not support previous hypotheses
- 471 of the diversification of the subfamily Oligocottinae as it relates to depth, nor do we support
- 472 previous hypotheses regarding the evolution of reproductive modes in oligocottines or, to a
- 473 certain extent, sculpins in general. In some cases, our conclusions directly oppose those made by
- 474 previous authors. We discuss these results and some plausible explanations for our congruous
- 475 and incongruous conclusions below.
- 476

#### 477 Squamation, length, and depth

The subfamily Oligocottinae should be thought of as a clade of intertidal-occurring fishes and the ability to live in intertidal depths and specialized intertidal habitats such as tide pools is likely the ancestral state of the group. This finding does not support the hypothesis that there is differential diversification of intertidal vs. subtidal oligocottine groups (e.g., Ramon & Knope, 2008; Knope & Scales, 2013), as we conclude that virtually all oligocottines reside with some frequency in intertidal habitats. However, this ability to live in tide pools does not preclude residency in other habitat types within the same species, as many of the extant and ancestral species are capable of

- 485 living in a variety of depths in addition to the intertidal ones. Thus, the diversification of
- 486 Oligocottinae should not be characterized as occurring between intertidal and subtidal habitats,
- 487 but rather occurring within a habitat range that includes both. This may explain the general lack
- 488 of correlation between depth the other characters examined in this study.
- Small maximum size and a reduction in scales have been noted as common features of
  intertidal fishes by previous authors (Gibson, 1982; Knope & Scales, 2013), and while we found
  no evidence to support these hypotheses within Oligocottinae, oligocottines as a whole may in
- 492 fact offer support. All oligocottines are small (none longer than 250 mm), and all show a
- 493 reduction in scales when compared to a "typical" scaled member of Cottales, such as *Oxylebius*
- 494 *pictus* (see Jackson, 2003). Outside of the lateral line, the most heavily scaled oligocottines
- 495 possess only modified scales in a narrow band on the body along each side of the dorsal fins and

496 on the dorsal surfaces of the head and caudal peduncle (e.g., Orthonopias triacis; see descriptions in Bolin, 1944; Jackson, 2003). Others possess highly reduced scales in the form of 497 prickles (e.g., Clinocottus (Clinocottus) analis; see description in Bolin, 1944). It is presumed 498 that the primary reason scales are often reduced in intertidal fishes is to promote cutaneous 499 500 respiration, which is dependent on well vascularized skin that is free from obstructions (Feder & Burggren, 1985; Martin & Bridges, 1999). Within Oligocottinae, it may simply be the case that 501 the highly-reduced scales seen in the group do not cover enough surface area to interfere with 502 cutaneous respiration in a meaningful way. Or perhaps that cutaneous respiration is restricted to 503 only certain areas, such as the head (as seen in Coryphoblennius galerita, see Zander, 1972), or 504 505 buccal chamber (reviewed in Bridges, 1993). This may explain the presence of scales within the group, and the fact that even the most heavily scaled members of Oligocottinae occur intertidally 506 and in tide pools (Fig. 2). Interestingly, the one oligocottine species that does not occur 507 intertidally (L. hirundo) possess only a few highly reduced scales in the form of a scattered patch 508 509 of prickles located just posterior to the base of the pectoral fins (see Jackson, 2003). We interpret this as evidence that a reduction in scales in an ancestral condition for *Leiocottus*, and possibly 510

511 Oligocottinae as a whole.

The evolutionary history of maximum size, depth range, and the presence of squamation all support the idea that the subfamily Oligocottinae is a primitively intertidal group. All species in this subfamily display conditions of these traits that are common in intertidal taxa, and all but one species are commonly found intertidally and explicitly in tide pools. We thus conclude the opposite of previous studies and suggest that rather than containing an intertidal radiation (Ramon & Knope, 2008; Knope & Scales, 2013), the subfamily Oligocottinae itself represents an

- 518 intertidal radiation.
- 519

#### 520 Body shape and depth

521 While the body shape of deeper-ranging species is relatively conserved, groups that occupy only

522 intertidal areas appear to be exploring novel areas of morphospace (Figure 8, Supplementary

523 Figure 5). This is most pronounced in members *Oligocottus* and the subgenus *Clinocottus* 

524 (*Blennicottus*), where the two groups each occupy a morphological optimum that is distinct from

each other and from all but one other oligocottine (i.e., the enigmatic *O. triacis*). The

526 morphological distinctiveness of *Clinocottus (Blennicottus)* is readily apparent, even to the

527 casual observer, with antero-posteriorly compressed heads and highly robust bodies and fins (see

- 528 illustrations in Bolin, 1944). The constituent species of this subgenus occur most abundantly in
- 529 steep, rocky habitats with high wave exposure and are often the only oligocottine species found
- 530 in these areas (T. Buser pers. obs.; Green, 1971; Yoshiyama, 1981; Yoshiyama, Sassaman &
- Lea, 1986; Mgaya, 1992, see also descriptions in Eschmeyer, Herald & Hammann, 1983; Lamb
  & Edgell, 1986; Mecklenburg, Mecklenburg & Thorsteinson, 2002). The blunt heads and short,
- & Edgell, 1986; Mecklenburg, Mecklenburg & Thorsteinson, 2002). The blunt heads and s
  stocky bodies of these species are also seen in other intertidal fishes occupying similarly
- 534 exposed, rocky habitats and may reflect a common evolutionary response to the physical
- demands of living in such areas (Kotrschal, 1988, 1989; Thomson, Findley & Kerstitch, 2010,
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- reviewed in Kotrschal, 1999). If the diverse morphologies seen among intertidal specialist clades
- are reflective of their respective habitat partitions, it may also be the case that the constrained
- 538 morphologies seen in deeper-ranging taxa reflect a kind of stabilizing selection of generalist
- traits that are optimal for occupying a comparatively wide variety of habitats. The relatively high
- 540 diversity of morphotypes seen in shallow vs deep-ranging species may mask morphological traits
- that are in fact associated with depth, as is suggested by the results of our PSL analysis (Fig. 9).
- 542 However, the lack of statistical significance of this trend warrants caution on interpretation of
- this finding until the question can be revisited with additional taxa.
- 544

### 545 Reproduction

546 While reproductive characters do not show any correlation with depth range in Oligocottinae, the 547 evolution of these traits in the subfamily may offer new insight into the evolution of reproductive

- 548 modes in cottoids. Copulation in oligocottines is associated with an enlarged genital papilla and a
- 549 slender-type spermatozoon morphology. These traits are broadly distributed in Oligocottinae and
- are likely the ancestral state of the subfamily (Fig. 5). Importantly, the absence of copulation and associated traits in most members of the genus *Artedius* represents a loss and is thus a derived
- associated traits in most members of the genus *Artedius* represents a loss and is thus a derived
   state. This finding runs counter to previous hypotheses of the evolution of reproductive modes in
- 553 sculpins, which interpreted the seemingly scattered distribution of copulation in cottoids as
- 554 indicative of parallel or convergent evolution of copulation from non-copulating ancestors (Abe
- 555 & Munehara, 2009; Muñoz, 2010). Under this paradigm, Petersen et al. (2005) suggests that the
- ability of the oval-type sperm morphology (uniquely capable of functioning well in seawater and
- 557 ovarian fluid, seen in non-copulating members of *Artedius*) to function in ovarian fluid
- represents a derived condition and concludes that the presence of this trait in most members of
- 559 *Artedius* represents an evolutionary step *towards* copulation in the group. We conclude the
- opposite of Petersen et al. (2005), and suggest that rather than the sperm's ability to function in ovarian fluid, it is in fact the sperm's ability to function in seawater that is a derived state and
- this, along with the loss of an intromittent organ, represents an evolutionary step *away* from copulation within *Artedius*.
- A reduction or loss of the enlarged genital papilla is seen in other oligocottines as well. Critically, however, these species maintain a slender-type spermatozoon morphology and, where known, copulation. For example, while most oligocottines possess genital papillae that are quite large and robust, males in the genus *Oligocottus* possess papillae that are uniquely small, gracile,
- and thread-like (Supplementary Figure 6). Also unique to the males of this genus are
- 569 modifications of the anterior portion of the anal fin (Supplementary Figure 7) which, where 570 known, is used for grasping females during copulation (*O. snyderi*; Morris, 1956). It is possible
- known, is used for grasping females during copulation (*O. snyderi*; Morris, 1956). It is possible
  that the added security and stability during copulation provided by the prehensile anal fin rays
- 572 has rendered the large genital papilla seen in other oligocottines redundant. The other example of
- 572 a reduction in the size of the male genital papilla is seen in *Orthonopias triacis*, where males lack
- an intromittent organ altogether, yet also possess slender-type sperm morphology and are known
- 575 to copulate. Males of this species possess enlarged pelvic fins that face inwardly "palm to palm,"

576 and project postero-ventrally from a "pedunculated" base (Bolin, 1944). Perhaps these highly

modified, sexually dimorphic pelvic fins are used in a grasping manner that, like in *Oligocottus*, 577

is used to aid in copulation and has eliminated the need of a large, robust male genital papilla. 578

Copulation without the use of an intromittent organ is seen in at least one other member of 579

580 Cottales, the sea raven (Hemitripterus villosus). In this species, males are not known to possess

any putative grasping organs. Instead, the female everts her genital tract, which is covered in 581 mucus, and the male ejaculates onto it, whereby the sperm become entrained in the mucus and 582

583

enter the female when she inverts her genital tract (Munehara, 1996). Our results show that while the presence of an enlarged male genital papilla is a likely 584 indicator of copulation, the absence of an intromittent organ does not necessarily indicate the 585 absence of copulation. Furthermore, our results show that non-copulating species may evolve 586

from copulating ancestors. Given the widespread distribution of copulation and/or an enlarged 587

genital papillae within Cottoidea (Abe & Munehara, 2009; Muñoz, 2010), we suggest that 588

- 589 copulation and associated traits may have evolved much earlier in cottoids than has been
- previously estimated. Perhaps the seemingly disparate distribution of copulation in cottoids is not 590
- due to many independent evolutions of copulation, but rather to a single early evolution of 591

copulation and multiple subsequent losses of the trait. Given the suite of complex physiological 592

and behavioral traits associated with copulation in sculpins (e.g., internal gamete association 593

with delayed fertilization, see Munehara, Takano & Koya, 1989, 1991; Munehara et al., 1997; 594

Petersen et al., 2005), the independent loss of copulation by certain lineages would, in our 595

opinion, be a far simpler explanation for the modern distribution of the trait than would the 596

independent evolution of copulation and all associated characters. 597

598

#### 599 Parental care

Like other reproductive traits, the distribution of parental care in Oligocottinae does not appear 600

- to be related to the distribution of depth ranges. Rather, only members of the genus Artedius 601
- 602 display parental care. Strong phylogenetic signal of parental care has been reported for other
- groups as well (reviewed in Coleman, 1999), but this does not provide a satisfying explanation 603
- for why Artedius differs from all other oligocottines in this trait. It is interesting to note that 604
- parental care shows an almost inverse distribution to oval-type sperm morphology (i.e., obligate 605
- 606 copulation; see Figs. 5 and 6), but the limited sample size and degree of missing data for parental care make this a tenuous connection. Many other non-copulating sculpins also display parental 607
- care (e.g., *Hemitripterus* spp., *Enophrys bison*, *Myoxocephalus* spp., *Cottus* spp.), but this trait is 608
- also seen in some copulating species, including Artedius harringtoni (Abe & Munehara, 2009). 609
- Clearly, more research is needed to better understand the evolution of parental care in cottoids. 610
- 611 and its relationship with other aspects of their complex reproductive biology.
- 612

#### Conclusions 613

- 614 Considering the depth ranges rather than previously published habitat categorizations of
- 615 oligocottine sculpins reveals substantial overlap of almost all species in intertidal habitats. This

- 616 new understanding of the group agrees with our findings that all oligocottine sculpins are
- 617 relatively small and bear relatively few scales, two common attributes of intertidal fishes. This
- 618 finding also helps to explain why the maximum depth of the common depth range does not
- 619 correlate with most of the characters examined in this study, as we would expect them to vary
- 620 with depth only if we are comparing intertidal fishes with subtidal fishes, and for the most part
- 621 we are not. While body shape does not significantly correlate with the maximum common
- 622 collection depth, the body shape of most species with broader depth ranges appear constrained to
- 623 what we interpret as a generalist morphology, while most groups that inhabit a narrow, wholly-624 intertidal depth range appear to have unique body shapes, perhaps suited to their specialized
- habitat partitions. Likewise, we find no evidence of an association between maximum depth and
- 626 reproductive characters, but we do find that the evolution of these characters has likely
- 627 proceeded from a primitive condition of obligate copulation using an intromittent organ to a
- 628 derived state of spawning and/or the loss of an intromittent organ. This sequence is the opposite
- 629 direction of that inferred by previous authors, but is clearly supported by the distribution of
- 630 reproductive traits across our phylogenetic hypothesis of the group.
- 631
- 632

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- 641
- 642

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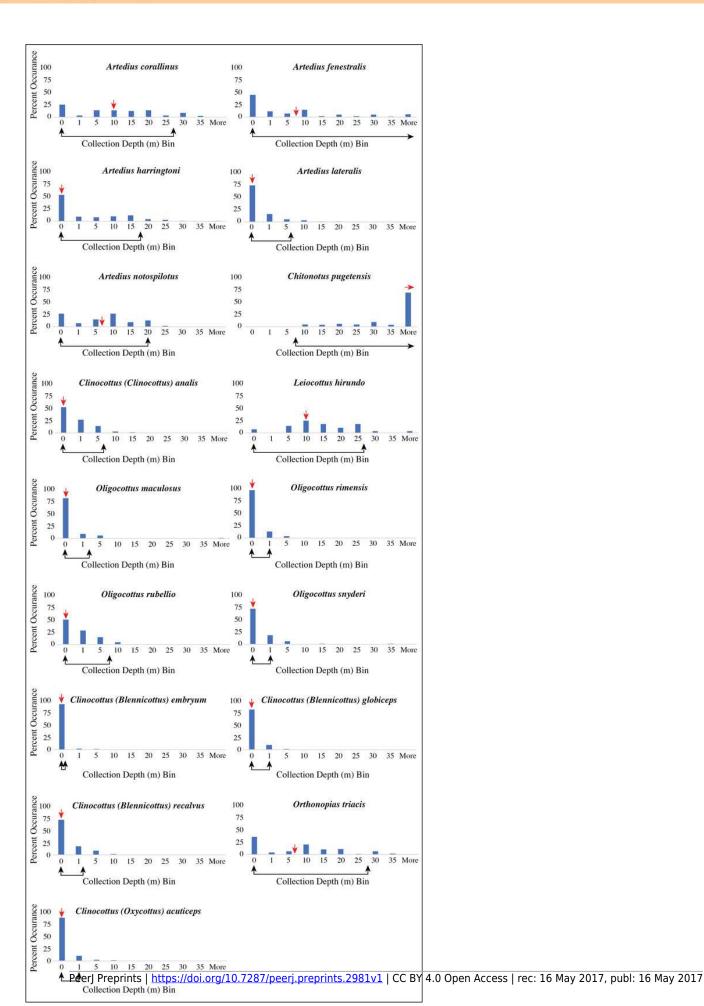
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831

Histogram of all recorded collection depth from museum records of each species of the sculpin subfamily Oligocottinae and the outgroup taxon *Chitonotus Pugetensis*.

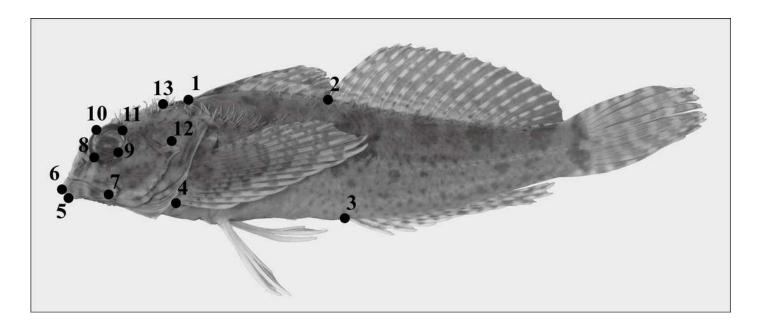
The x-axis represents bins of collection depth in meters. The first bin "0," contains only collection depths that were recorded as 0 meters or where the habitat or collection depth is described as "tide pool," "intertidal," or the like. The median collection depth is indicated with a red arrow above the x-axis. The range of collection depths that contains 95% of all museum collection depth records is indicated with a black double-sided arrow below x-axis. Where the 95% range extends beyond the 35 m depth bin, the arrow points to the right, indicating the "More" depth bin. All museum data analyzed herein are included in Supplementary Table 2. Precise values of minimum, maximum, 95% depth ranges, mean, and sample size for the museum depth records of each species are given in Supplementary Table 4.

### NOT PEER-REVIEWED



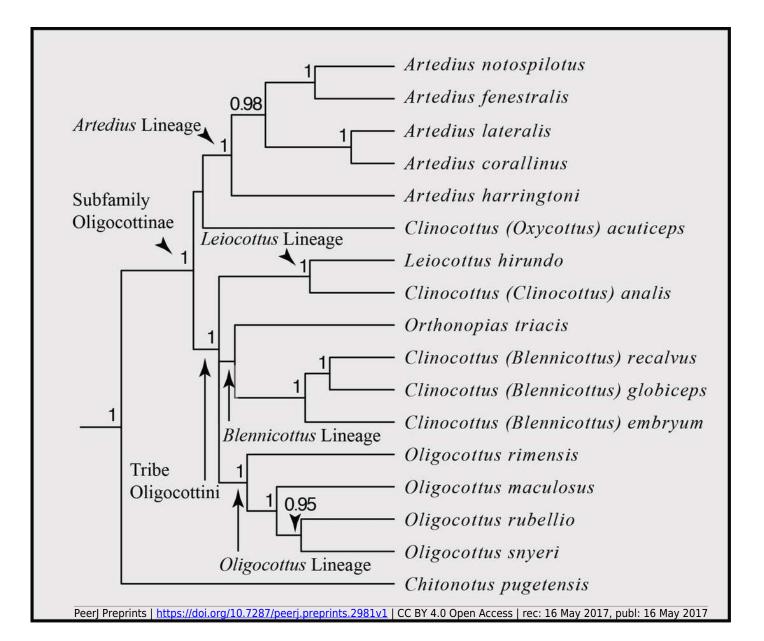
Lateral photograph of *Clinocottus analis* (OSIC 6710, 75.9mm SL) showing thirteen homologous landmarks used to capture overall body shape in oligocottine sculpins.

Landmark descriptions: 1) insertion of spinous dorsal fin, 2) insertion of soft dorsal fin, 3) insertion of anal fin, 4) ventral-most ray of the pectoral fin, 5) anterior-most tip of dentary 6) anterior-most tip of premaxilla 7) posterior-most tip of maxilla, 8) anteroventral-most point of orbit, 9) anteroventral-most point of orbit 10) anterodorsal-most point of orbit, 11) posteroventral-most point of orbit 10) anterodorsal-most point of orbit, 12) dorsal tip of dorsal-most preopercular spine, 13) insertion of epaxial musculature onto neurocranium.



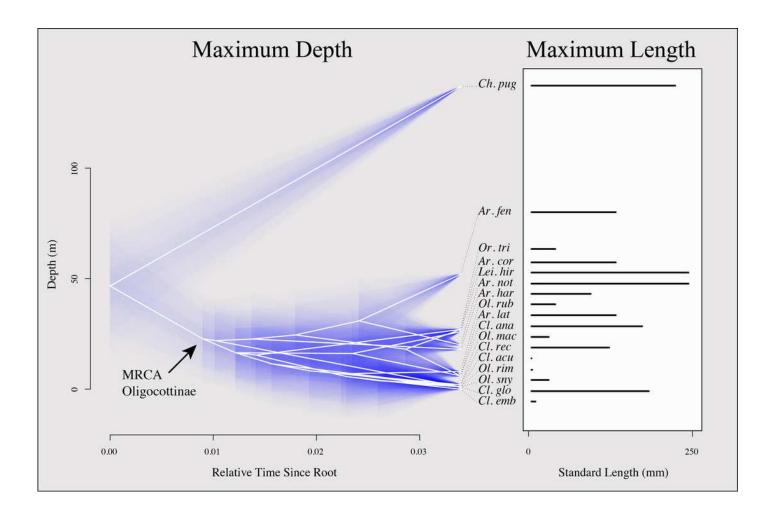
Phylogenetic hypothesis of the subfamily Oligocottinae.

Phylogeny is the maximum clade credibility tree from Bayesian phylogenetic inference conducted using four independent runs of 200 million generations each using the molecular dataset published in Buser and López (2015). Bayesian posterior probability scores are indicated at each node. Probabilities less than 0.50 are not displayed. The subfamily Oligocottinae, along with pertinent clades therein are labeled with arrows following the taxonomy suggested in Buser and López (2015).



Inferred evolutionary history of maximum depth and maximum size of oligocottine species.

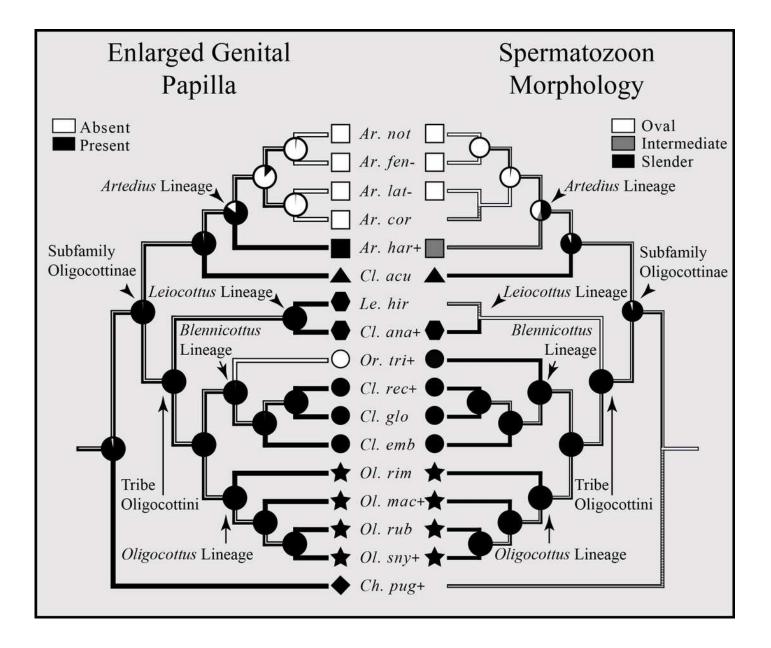
A phenogram showing the inferred evolutionary history of maximum depth is indicated on the left panel. Phylogenetic relationships are represented by white edges and bifurcation points represent inferred speciation events. Phylogenetic topology is from Bayesian MCC tree shown in Figure 3. Relative time is indicated on the horizontal axis and depth in meters indicated on the vertical axis. The tips and nodes of the phylogeny are positioned on the vertical axis to reflect the maximum depth or inferred maximum depth (respectively) of each taxon. Ancestral states for each node were inferred using maximum likelihood and 95% confidence intervals for each state are represented with blue lines. Species names are abbreviated as follows: *Artedius corallinus* = *Ar. cor.*, *A. fenestralis* = *Ar. fen*, *A. harringtoni* = *Ar. har.*, *A. lateralis* = *Ar. lat.*, *A. notospilotus* = *Ar. not.*, *Chitonotus pugetensis* = *Ch. pug.*, *Clinocottus* (*Dxycottus*) *acuticeps* = *Cl. acu.*, *Clinocottus* (*Clinocottus*) *analis* = *Cl. ana.*, *Clinocottus* (*Blennicottus*) *embryum* = *Cl. emb.*, *C.* (*B.*) *globiceps* = *Cl. glo.*, *C.* (*B.*) *recalvus* = *Cl. rec.*, *Leiocottus hirundo* = *Li. hir.*, *Oligocottus maculosus* = *Ol. mac.*, *O. rimensis* = *Ol. rim.*, *O. rubellio* = *Ol. rub.*, *O. snyderi* = *Ol. sny.*, *Orthonopias triacis* = *Or. tri.* 



Bayesian MCC phylogeny of Oligocottinae with inferred evolutionary histories of the presence of an enlarged genital papilla and spermatozoon shape.

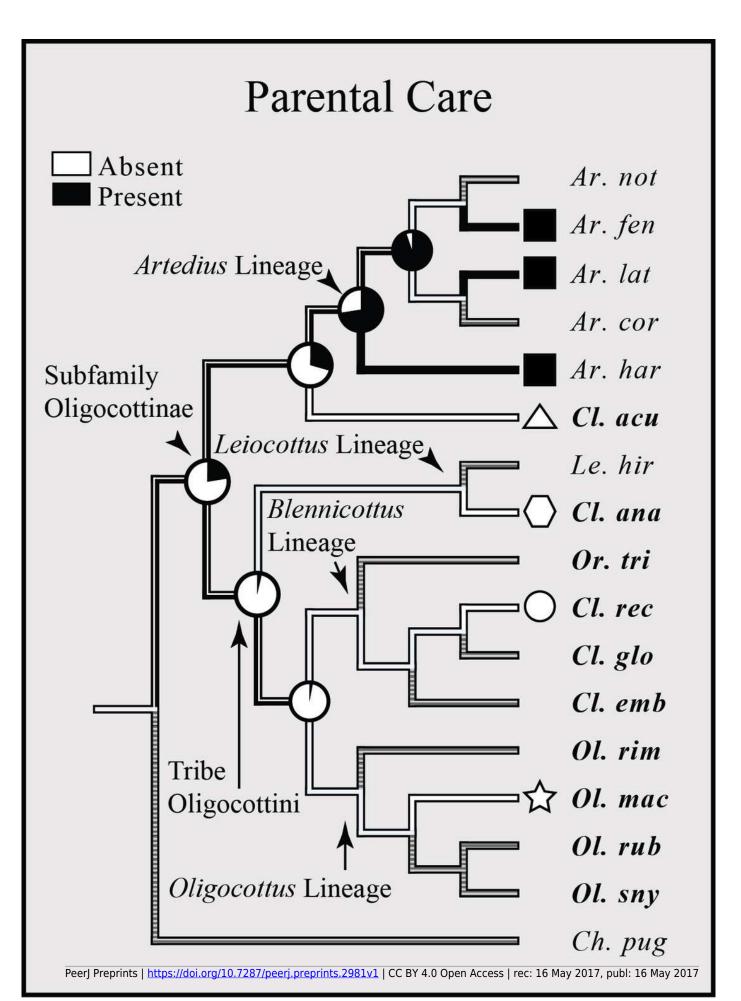
Where known, the presence of copulation in extant species is indicated by a "+" symbol following the abbreviated species name. Absence of copulation is indicated by a "-" symbol. Unknown states are indicated by the absence of a symbol. For the ancestral state reconstructions of the presence of an enlarged genital papilla and spermatozoon morphology, the proportional likelihood of each character for the ancestor of a given clade is depicted with a pie chart at each respective node. This scheme is also depicted on the branches between each node. Symbols at the tips of each phylogeny are indicative of the phylogenetic lineage of each species. Absence of a symbol at a tip indicates an unknown state. Squares represent the *Artedius* lineage, a triangle represents the lineage composed solely of the species *Clinocottus (Oxycottus) acuticeps*, hexagons represent the *Leiocottus* lineage, and a diamond represents a lineage composed solely of the species *Chitonotus pugetensis*. Species names are abbreviated as in Figure 4.





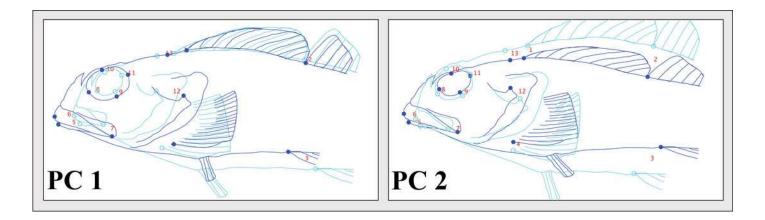
Bayesian MCC phylogeny of Oligocottinae with distribution and inferred evolutionary history of parental care.

The proportional likelihood of each character for the ancestor of a given clade is depicted with a pie chart at each respective node. Symbols at the tips of the phylogeny are indicative of the phylogenetic lineage of each species, as in Figure 4. Absence of a symbol or pie at a tip or node (respectively) indicates an unknown state. Species abbreviations in bold indicate a slender-type spermatozoon morphology present in that species.



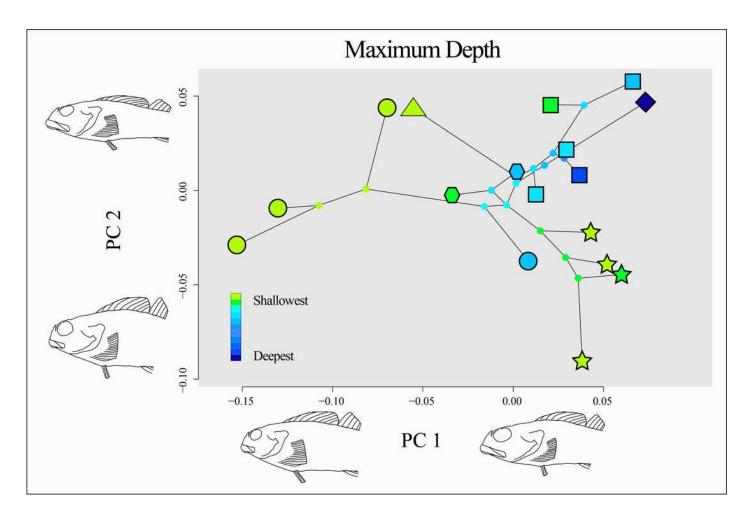
Body shape change in oligocottine sculpins represented by each of the two significant principal component axes.

Landmark locations are indicated by circles and are numbered as in Figure 1. Outline sketched from the lateral photograph of *Clinocottus analis* (OSIC 6710, 75.9mm SL) in Figure 2. Light blue and open circles shows displacement of landmarks and interpolated warping of the outline at a value of -1 on each PC axis. Dark blue and closed circles show displacement of landmarks and interpolated warping of the outline at a value of +1 on each PC axis.



Phylomorphospace of the two significant principal components of body shape in the subfamily Oligocottinae.

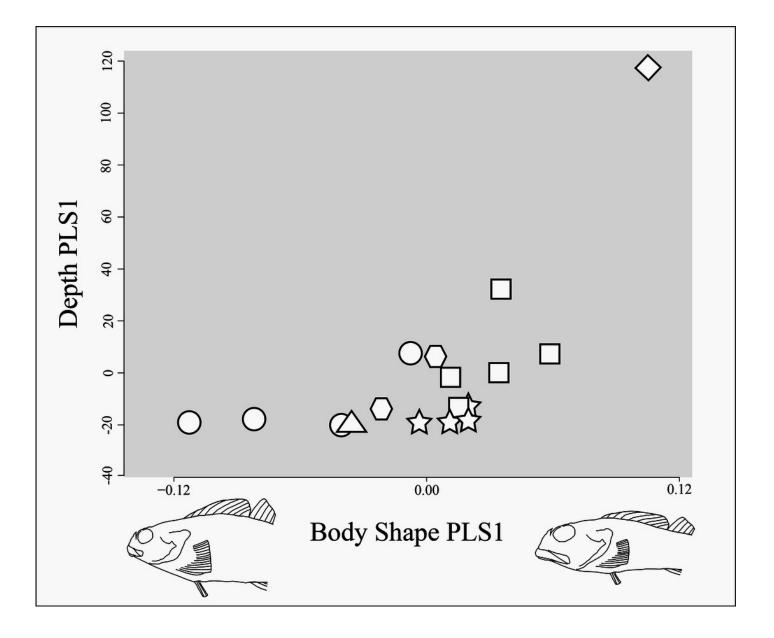
Outlined symbols at the tips of each phylogeny are indicative of the phylogenetic lineage of each species as in Figure 5, ancestral nodes are indicated by small circles that are not outlined. Colors of each tip and internal node are indicative of the maximum depth of the species or the maximum likelihood (ML) estimate of the ancestral state of maximum depth, respectively. The shape change described by each PC axis is as shown as in Figure 5. The depth data values are provided in Table 1.



Body shape change associated with change in depth range.

Body shape is represented by the average shape variables (Procrustes-aligned landmark coordinates, illustrated in Figure 2) for each species. Depth range is represented by minimum and maximum collection depth from museum specimens of each species (see Table 1). Partial least squares (PLS) axis 1 of body shape is indicated on the horizontal axis with body shape change described by the axis shown through a warped outline sketched from the lateral photograph of *Clinocottus analis* (OSIC 6710, 75.9mm SL) in Figure 2. The outline on the negative side of the axis shows body shape associated with a value of -1 on PLS axis 1, the outline on the positive side of the axis shows body shape associated with a value of +1 on PLS axis 1. The PLS axis 1 of depth range is indicated on the vertical axis. Symbols are indicative of the phylogenetic lineage of each species, as in Figure 5.





### Table 1(on next page)

Matrix of characters examined and character states for each species.

Numbered references for each state are indicated in superscript and are as follows: 1: Abe and Munehara (2009), 2: Bolin (1941), 3: Bolin (1944), 4: Hann (1930), 5: Hubbs (1966), 6: Misitano (1980), 7: Morris (1952), 8: Morris (1956), 9: Petersen et al. (2005), 10: Mecklenburg et al. (2002), 11: Jackson (2003), 12: Miller and Lea (1972), 13: Eschmeyer et al. (1983), 14: Marliave (1981), 15: Koya et al. (2011). \*Minimum and maximum depth are taken from the depth range that contains 95% of museum collection depths for each species. See Methods Supplementary Table 4.

Species	Minimum depth (m)*	Maximum depth (m)*	Tide pool occupancy	Maximum length (mm)	Squamation	Enlarged genital papilla	Spermatozoon morphology	Copulation	Parental care
Artedius corallinus	0	27	Present	1403,12,13	Present <sup>3</sup>	Absent <sup>3</sup>	?	?	?
Artedius fenestralis	0	52	Present	1403,10,12,13	Present <sup>3</sup>	Absent <sup>3,10</sup>	Oval <sup>9</sup>	Absent <sup>9</sup>	Present <sup>9</sup>
Artedius harringtoni	0	18	Present	1023,10,12,13	Present <sup>3</sup>	Absent <sup>3,10</sup>	Intermediate <sup>4,9</sup>	Present <sup>9</sup>	Present <sup>9</sup>
Artedius lateralis	0	6	Present	1403,10,12,13	Present <sup>3,11</sup>	Absent <sup>3,10</sup>	Oval <sup>4,9,15</sup>	Absent <sup>9</sup>	Present <sup>9</sup>
Artedius notospilotus	0	20	Present	250 <sup>3,12,13</sup>	Present <sup>3</sup>	Absent <sup>3</sup>	Oval <sup>4</sup>	?	?
Chitonotus pugetensis	7	137	Absent	230 <sup>3,10,12,13</sup>	Present <sup>3,11</sup>	Present <sup>3,10</sup>	?	Present <sup>1,6</sup>	?
Clinocottus (Oxycottus) acuticeps	0	1	Present	64 <sup>3,10,12,13</sup>	Absent <sup>3</sup>	Present <sup>3,10</sup>	Slender <sup>4</sup>	?	Absent <sup>14</sup>
Clinocottus (Clinocottus) analis	0	6	Present	1803,12,13	Present <sup>3</sup>	Present <sup>3</sup>	Slender <sup>4</sup>	Present <sup>1,5</sup>	Absent <sup>1,5</sup>
Clinocottus (Blennicottus) embryum	0	0	Present	703,10,12,13	Absent <sup>3</sup>	Present <sup>3,10</sup>	Slender <sup>4</sup>	?	?
Clinocottus (Blennicottus) globiceps	0	1	Present	1903,10,12,13	Absent <sup>3</sup>	Present <sup>3,10</sup>	Slender <sup>4</sup>	?	?
Clinocottus (Blennicottus) recalvus	0	2	Present	130 <sup>3,12,13</sup>	Absent <sup>3</sup>	Present <sup>3</sup>	Slender <sup>4</sup>	Present <sup>1,7</sup>	Absent <sup>1,7</sup>
Leiocottus hirundo	0	26	Absent	250 <sup>3,12,13</sup>	Present <sup>11</sup>	Present <sup>3</sup>	?	?	?
Oligocottus maculosus	0	2	Present	903,10,12,13	Absent <sup>3,11</sup>	Present <sup>3,10</sup>	Slender <sup>4,15</sup>	Present <sup>1</sup>	Absent <sup>1</sup>
Oligocottus rimensis	0	1	Present	65 <sup>3,10,12,13</sup>	Present <sup>3</sup>	Present <sup>3,10</sup>	Slender <sup>4</sup>	?	?

Oligocottus rubellio	0	8	Present	100 <sup>3,12,13</sup>	Absent <sup>3</sup>	Present <sup>3</sup>	Slender <sup>4</sup>	?	?
Oligocottus snyderi	0	1	Present	90 <sup>3,10,12,13</sup>	Absent <sup>3</sup>	Present <sup>3,10</sup>	Slender <sup>4,15</sup>	Present <sup>1,8</sup>	?
Orthonopias triacis	0	27	Present	100 <sup>3,12,13</sup>	Present <sup>3,11</sup>	Absent <sup>3</sup>	Slender <sup>4,15</sup>	Present <sup>1,2</sup>	?

1

### Table 2(on next page)

Sample size (n) and museum lot number (Museum ID) of specimens examined for each species that was photographed for landmarking and body shape analysis.

Note: many museum lots contain multiple individuals.

Taxon	n	Museum ID					
Artedius corallinus	8	OSIC 08140, SIO 457-34-55, SIO 057-34-55, SIO H51-34-55C					
Artedius fenestralis	9	OSIC 05879, OSIC 09206, UW 000587, UW 017420, UW 118839					
Artedius harringtoni	15	OSIC 04533, OSIC 07471, OSIC 11055, UW 001011, UW 027119, OSIC 07474					
Artedius lateralis	10	OSIC 03175, OSIC 03178					
Artedius notospilotus	2	OSIC 02995, OSIC 07523					
Chitonotus pugetensis	4	OSIC 05269, OSIC 07016					
Clinocottus acuticeps	7	OSIC 06539, UAM 047689, UAM 047713					
Clinocottus analis	5	OSIC 06707, OSIC 06710, OSIC 08136					
Clinocottus embryum	6	OSIC 03009, OSIC 07071, UAM 47704					
Clinocottus globiceps	7	OSIC 00272, OSIC 00275, OSIC 06600					
Clinocottus recalvus	5	OSIC 08134					
Leiocottus hirundo	9	OSIC 08132, SIO 059-307-55D, SIO 071-62-55					
Oligocottus maculosus	8	OSIC 06628, OSIC 06663, OSIC 07467					
Oligocottus rimensis	6	SIO 67-151					
Oligocottus rubellio	4	OSIC 08133					
Oligocottus snyderi	4	OSIC 06541, OSIC 06668					
Orthonopias triacis	6	OSIC 08137					

1