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1 **Title**

2 Characterisation of the horse transcriptome from immunologically active tissues

3
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20
21 **Abstract**

22 The immune system of the horse has not been well studied, despite the fact that the horse
23 displays several features such as sensitivity to bacterial lipopolysaccharide that make them in
24 many ways a more suitable model of some human disorders than the current rodent models.
25 The difficulty of working with large animal models has however limited characterisation of
26 gene expression in the horse immune system with current annotations for the equine genome
27 restricted to predictions from other mammals and the few described horse proteins. This paper
28 outlines sequencing of 184 million transcriptome short reads from immunologically active
29 tissues of three horses including the genome reference "Twilight". In a comparison with the
30 Ensembl horse genome annotation, we found 8,763 potentially novel isoforms.

51 **Introduction**

52 While no longer the principal means of transport in much of the world, the horse is still an
53 economically important animal in agriculture, sport and gambling associated with horse
54 racing. Individual stallions may be worth several millions of dollars and attract high stud fees
55 creating considerable interest in the genetics of performance traits (Hill *et al.* 2010). The
56 immune response of the horse has not been well characterised, largely due to the difficulties
57 in working with large animals in experimental settings. There are however several
58 components of the equine immune system that make them in many ways a better model of
59 some human disorders than the current rodent models. These include, similarly to human, an
60 exquisite sensitivity to the effects of lipopolysaccharide (LPS) with associated endotoxemia
61 and sepsis (Bryant *et al.* 2007).

62 Due to a lack of expressed sequence tag (EST) data, the current annotation of the
63 protein coding regions of the horse genome is largely derived from extrapolation from the
64 genes of other species (Coleman *et al.* 2010). Several recent papers have outlined
65 transcription profiles using digital gene analysis of a variety of horse tissues including muscle,
66 leukocytes, cartilage, brain, reproductive tissue, embryos, sperm and blood (Coleman *et al.*
67 2010; McGivney *et al.* 2010; SerTEyn *et al.* 2010; Park *et al.* 2012; Capomaccio *et al.* 2013;
68 Das *et al.* 2013; Iqbal *et al.* 2014). Capomaccio *et al.* (2013) identified new putative non-
69 coding sequences within intergenic and intronic regions whilst Das *et al.* (2013) suggested
70 additions to the structural annotation of four sperm genes. Two of the other studies (Coleman
71 *et al.* 2010; Park *et al.* 2012) detailed extensions to the annotated gene catalogue in the horse
72 based on transcriptome analysis of quite differing tissue sets, methods and results to those
73 used in this paper. They show that the actual expressed transcription profile only partially
74 overlaps the annotated gene set. A direct comparison of our and these two studies is difficult
75 due to the differing tissues, methodologies and the lack of available locations of the predicted
76 novel genes from these studies.

77 This paper focuses on immunologically active tissues in the horse to further explore
78 this issue. Uniquely we present data on the transcriptional profile from lymphocytes from
79 Twilight, the animal that the published horse genome is derived from. Comparison of this
80 animal with lymphocytes, core immunologically active tissues (lymph node and spleen) and
81 other tissues (liver, kidney and jejunum) from two unrelated animals allows a unique insight
82 into expression of genes with a functional role in the immune system.

84 **Materials and methods**

85 *Samples, library preparation and sequencing*

86 The methods are described fully in our previous work (Brown *et al.* 2012) but briefly, five
87 tissue samples; kidney, jejunum, liver, spleen and mesenteric lymph node were collected from
88 an aged gelding (castrated male horse) euthanised due to osteoarthritis. The tissue samples
89 listed were collected from an animal euthanized for clinical reasons, by the veterinary
90 surgeon, under the Veterinary Surgeons act of 1966. Full informed consent of the owner was
91 obtained for use of the samples, taken from that animal post-mortem.

92 Lymphocytes isolated by Ficoll Paque (GE healthcare) from a healthy 11 year old
93 welsh mountain pony gelding were kindly provided by Dr Julia Kydd (School of Veterinary
94 Medicine and Science, University of Nottingham) under the Home Office and local Ethical
95 Approval Committee (PPL 40/3354). RNA from lymphocytes isolated from a healthy
96 Thoroughbred mare (DNA the horse genome is derived from) was kindly provided by Donald
97 Miller (Baker Institute of Animal Health, Cornell University, USA). This horse was
98 maintained at the Baker Institute for Animal Health, Cornell University, Ithaca, N.Y., USA.
99 Animal care and research activities were performed in accordance with the guidelines set
100 forth by the Institutional Animal Care and Use Committee of Cornell University, protocol #

101 1986-0216, approved until March 2013.

102 Sequencing was performed on a SOLiD 3 ABI sequencer generating 50bp reads
103 according to the manufacturer's instructions. Read data are available at the EBI Sequence
104 Read Archive (SRA) under the study accession number ERP001116.

105
106 *Read trimming and alignment*

107 The horse genome assembly EquCab2 (Wade *et al.* 2009) was downloaded from Ensembl v71
108 (www.ensembl.org) and contained 26,991 genes and 29,196 transcripts. CLC Genomics
109 Workbench version 6 (CLC Bio, Aarhus, Denmark, www.clcbio.com) was used to apply
110 quality, SOLiD adapter and Poly-N trimming to the read sequences (supplemental file 1). The
111 limit for the removal of low quality sequences was set at 0.2 and a maximum of two
112 ambiguous nucleotides were permitted in each sequence. Any reads less than 20bp were
113 removed after trimming and the average read lengths were 47bp.

114 TopHat 2.0.9 (Trapnell *et al.* 2009) was used to align the reads to the repeat masked
115 version of the horse genome (Ensembl v71) to enable non-redundant transcriptome analysis.
116 TopHat first aligns non-spliced reads using Bowtie 1.0.0 (Langmead *et al.* 2009) then
117 identifies splice junctions. Gapped alignments are then used by TopHat to map the reads not
118 aligned by Bowtie. In order to utilise the splice sites in all samples, two iterations of TopHat
119 alignments were carried out (Cabili *et al.* 2011). Firstly, the reads from each sample were
120 aligned to the repeat-masked horse genome with default parameters. The splice sites
121 ("junctions") were extracted from all of the output files and duplicates were removed leaving
122 216,007 sites. These splice sites were pooled together with the non-redundant sites extracted
123 from the Ensembl annotation yielding 399,264 non-redundant splice sites. Each of the
124 samples were then re-aligned with TopHat using the pooled non-redundant splice sites file
125 (with 'raw-juncs' and 'no-novel-juncs' parameters) to the repeat-masked genome.

126 TopHat was used for the read alignment because it is part of the Tuxedo suite and is
127 therefore a natural input for the Cufflinks assembler (Trapnell *et al.* 2010). It is also the
128 preferred aligner for Scripture (Guttman *et al.* 2010). Cufflinks and Scripture are described in
129 the transcriptome assembly section.

130
131 *De novo transcriptome assembly*

132 Each of the samples were assembled into separate transcriptomes using two different tools;
133 Cufflinks v2.1.1 (Trapnell *et al.* 2010) and Scripture (Guttman *et al.* 2010) (beta2 version,
134 December 2010). These tools use different approaches for transcript assembly. A minimal set
135 of transcripts is assembled by Cufflinks using a probabilistic model. It performs a minimum
136 cost maximum matching in bipartite graphs (Trapnell *et al.* 2010). Scripture however creates
137 a connectivity graph which represents the adjacency that occurs in the RNA but that is broken
138 in the genome by an intron sequence. A statistical segmentation strategy is used to determine
139 paths with aligned read enrichment over background noise (Guttman *et al.* 2010).

140 Both Cufflinks and Scripture were run using default parameters, however due to
141 computational time Scripture was run on the named chromosomes only (not on the
142 unanchored contigs "chrUn"). The samples were assembled individually to reduce the
143 complexity of isoforms and hence reduce the chance of incorrectly assembled transcripts
144 (Trapnell *et al.* 2012). The Cufflinks and Scripture assembly files are provided as
145 supplemental files 2 and 3.

146 The "Cuffmerge" program (included in the Cufflinks package) was used to merge the
147 Cufflinks and Scripture assemblies separately. Stranded transcripts from the two assemblies
148 were compared using the Cufflinks inclusive program "Cuffcompare" with the Cufflinks
149 assembly as a mock reference. The class codes in the Cuffcompare output were used to
150 generate a consensus assembly (University of Nottingham "UoN", supplemental file 4). This

151 consensus assembly was compared to the Ensembl annotations using Cuffcompare
152 (supplemental file 5).

153

154 *Annotation*

155 The UoN cDNA sequences (supplemental file 6) were extracted from the consensus assembly
156 (*gtf) file and the longest open reading frames (ORFs) were determined. Gene annotation was
157 conducted by prediction of Pfam domains (PfamA.hmm library downloaded June 2013)
158 (Punta *et al.* 2012) using HMMER (Eddy 2011). Associated gene ontology (GO) terms
159 (Ashburner *et al.* 2000) were determined using the Pfam2GO database (version compiled
160 15/6/2013) of Interpro (Hunter *et al.* 2009). The UoN transcripts were searched against the
161 NCBI non-redundant (NR) database (downloaded 14th November 2013) using BLASTX
162 (Altschul *et al.* 1997), a cutoff evalue of 1e-10 was used to infer homology.

163

164 *Gene expression analyses*

165 The TopHat BAM files were filtered for unique alignments (SAM flag NH:i:1) and the
166 number of tags per Ensembl gene was calculated using htseq-count ([http://www-](http://www-huber.embl.de/users/anders/HTSeq/doc/count.html)
167 [huber.embl.de/users/anders/HTSeq/doc/count.html](http://www-huber.embl.de/users/anders/HTSeq/doc/count.html)). These counts were converted into Reads
168 per Kb per million (RPKM) values (Mortazavi *et al.* 2008). A table of RPKM values for all
169 Ensembl genes is provided as supplemental file 7.

170 As the number of replicates was limiting, identification of genes differentially
171 expressed between samples was not attempted. However, genes enriched in each sample were
172 identified using the following criteria; RPKM > 5 for a sample and RPKM > 10 x the mean of
173 RPKMs for the other samples (supplemental file 8). The “hclust” command in R (R-Core-
174 Team 2013) was used for the hierarchical clustering analysis of gene expression values
175 (RPKMs). It was performed using the default complete linkage method and Euclidean
176 distance. Probability values for each cluster were calculated using the “pvclust” R package
177 (Suzuki & Shimodaira 2006) (bootstrap n = 1000).

178

179 *Comparison of horse and human gene families*

180 To identify orthologous and potential paralogous gene expansions in the horse evident in our
181 transcriptome data, translations of all horse transcripts were compared to proteins encoded by
182 known human genes (Ensembl build GRCh37.71). Both human and horse proteome sets were
183 first clustered to collapse within-species identical protein sequences generated from
184 alternative transcripts using CD-HIT (Li & Godzik 2006). This resulted in 64,231 human and
185 29,090 horse sequences. These were compared using Inparanoid (version 4.1, overlap cutoff =
186 0.5, group merging cutoff = 0.5, scoring matrix BLOSUM62) (Remm *et al.* 2001). Functional
187 comparison of gene sets was conducted using Ingenuity Pathway Analysis (Ingenuity
188 Systems).

189

190 **Results**

191 *Transcriptome assemblies*

192 Around 184 million reads were generated and 159 million remained after trimming;
193 approximately 68.6 million of which were aligned to the reference genome EquCab2 (Table
194 1). Scripture assembled 102,270 stranded transcripts (27,610 with >1 exon, supplemental file
195 3) whereas Cufflinks reconstructed 58,182 (20,459 with >1 exon, supplemental file 2). There
196 were 10,518 Cufflinks transcripts that completely matched the intron chain of the Scripture
197 transcripts. In addition to this 18,152 Cufflinks transcripts contained or covered at least one
198 Scripture transcript with the same compatible intron structure. The union of these two sets
199 resulted in 28,230 transcripts, 14,762 of which contained more than one exon (supplemental
200 file 4).

201
202 *Comparison of consensus assembly to Ensembl*
203 The similarities between the 28,230 consensus transcripts (henceforth referred to as “UoN”,
204 University Of Nottingham) and the 28,944 Ensembl transcripts on the named chromosomes
205 were compared (supplemental file 5). There were only 507 UoN transcripts which completely
206 matched the intron chain of an Ensembl transcript. The majority of transcripts (8763, 31%)
207 were identified as potentially novel isoforms of a predicted Ensembl transcript with at least
208 one splice junction shared.

209 The majority of Ensembl transcripts (18668, 65%) did not overlap with a UoN
210 transcript (supplemental file 9). This could be due to the strict consensus approach used for
211 the UoN assembly. Also, the specific tissues analysed would not be expected to reconstruct all
212 the transcripts from Ensembl which are predicted from genomic DNA and hence all potential
213 transcriptomes.

214 Around 9,500 (34%) of the 28,230 UoN transcripts were annotated with a Pfam
215 protein domain, approximately 6,600 (23%) with at least one GO term and 16,166 (57%) had
216 at least one significant BLASTX hit against NCBI-NR (supplemental file 10). In total there
217 were 16,305 UoN transcripts with at least one annotation. The UoN annotated transcripts
218 were split into Cuffcompare categories based on the comparison to the Ensembl annotations
219 (supplemental file 10). As expected, the transcripts matching the intron chain (“=”) or sharing
220 at least one splice junction (“j”) of the Ensembl annotations had the highest percentage of
221 annotated transcripts (e.g. 97% and 99% with BLASTX hits respectively). There were 367 of
222 the 16,166 UoN transcripts with a BLASTX hit that showed homology to only a single
223 species and just under half of these (163) were to *Equus caballus*. The top hit was extracted
224 for each transcript and as expected most of these hits were also to the *Equus caballus* genome.
225 Other mammals with a high number of top hits were *Homo sapiens*, *Mus musculus*,
226 *Ceratotherium simum simum*, *Tursiops truncatus* and *Sus scrofa*. The full list is shown in
227 supplemental file 11.

228
229 *Gene expression analyses*
230 The number of Ensembl genes specific to each sample is shown in Table 2 and supplemental
231 file 8 (see also materials and methods). No genes were enriched in more than one sample. The
232 Lymphocyte A sample had many more specific genes than Lymphocyte B. This is possibly
233 due to sample A being taken from the same horse that the published genome is derived from,
234 however the read alignment rate between these two samples is similar suggesting this may not
235 be the major factor. Alternatively this may reflect the immune states of individual horses at
236 the time of sample collection.

237 The top ten gene ontology (GO) terms for the sample-enriched genes largely reflect
238 the known function of the tissues sampled (supplemental file 12). Hierarchical clustering
239 analysis of the RPKMs between tissues showed three clades (Figure 1). For each of the nodes,
240 the approximately unbiased (au) bootstraps are over 80%. These are reported having
241 superiority over the bootstrap probabilities (bp) (Suzuki & Shimodaira 2006). The
242 lymphocyte samples cluster most closely with the spleen sample which likely reflects the high
243 number of lymphocytes present in the spleen at the time of collection. Whilst the kidney and
244 liver have general shared roles in waste excretion suggesting a possible overlap of
245 transcription profile, determining a definitive reason for the separation of the clade containing
246 lymph node, kidney and liver is not clear. The jejunum sample forms an outgroup and this
247 separation from the other immune-like tissues likely reflects the relatively smaller proportion
248 of lymphoid (Peyer’s patch) tissue to non-lymphoid material in this organ. It is also important
249 to consider that only a limited number of samples and animals are compared and so
250 robustness of these relationships is not ensured.

251 Analysis of genes enriched in each sample identified related enriched canonical
252 pathways. The kidney sample is enriched in genes involved in the “ γ -glutamyl Cycle”,
253 “Leukotriene Biosynthesis”, “Glycine Cleavage Complex”, “ β -alanine Degradation I” and “4-
254 hydroxyproline Degradation I” pathways. Amino-acid catabolism pathways, possibly
255 reflecting high-energy consumption of the kidney, dominate these. The liver sample is
256 enriched with genes involved in the degradation of chemical products (e.g. nicotine and
257 melatonin). Enzymes including members of the CYP450 and UDP-Glucuronosyltransferase
258 (UGT) gene families, which are known to be highly expressed in the liver, are enriched. The
259 spleen shows enrichment of genes involved in the pathways “Autoimmune Thyroid Disease
260 Signaling”, “Hematopoiesis from Pluripotent Stem Cells”, “Primary Immunodeficiency
261 Signaling”, “Dendritic Cell Maturation”, and “Agranulocyte Adhesion and Diapedesis”.
262 Largely these are due to the enrichment of genes encoding the immunoglobulin heavy chain
263 and Fc fragment of IgG. Enrichment of these pathways reflects the role of the spleen as the
264 primary site of white blood cell differentiation and storage. The lymph node sample is
265 enriched in the pathways, “Primary Immunodeficiency Signaling”, “Hematopoiesis from
266 Pluripotent Stem Cells”, “Autoimmune Thyroid Disease Signaling”, “Allograft Rejection
267 Signaling” and “Communication between Innate and Adaptive Immune Cells”. As with the
268 spleen these are predominantly due to the enrichment of genes encoding the immunoglobulin
269 heavy chain proteins and result from the contained white blood cell content.
270

271 *Identification of paralogous gene expansions in horse*

272 Previously the horse genome was described as containing lineage specific expansions of
273 olfactory and immune genes (Wade *et al.* 2009). The expansion of these families particularly
274 immune related genes is often seen in mammalian genome comparisons (Emes *et al.* 2003).
275 Wade *et al.* (2009) reported that there were 99 gene families expanded in the horse genome.
276 Comparison of the proteins encoded by the transcripts identified here identified 4,605 groups
277 of horse:human orthologs and 10,607 inparalogs. The majority of these represent expansions
278 in human where a single horse protein was encoded by the transcriptome data generated here.
279 91 families were identified with a specific expansion in horses (many:1 relationship). Of these
280 the large majority (83/91) represent simple duplications in the horse transcriptome compared
281 to human. Three families have four non-identical encoded proteins orthologous to a single
282 protein in humans. Annotation of these genes identifies them as T cell receptor alpha constant
283 (TRAC), heparin sulfate proteoglycan 2 (HSPG2 and solute carrier family 23 (ascorbic acid
284 transporter) member 1 (SLC23A1). An additional four gene families are identified with three
285 encoded proteins in horse compared to a single protein in human. These are GTPase, IMAP
286 family member 7 (GIMAP7), UDP glucuronosyltransferase 1 family polypeptide A6
287 (UGT1A6), solute carrier family 44 (SLC44A2), ATP-binding cassette, sub-family C member
288 8 (ABCC8 and sushi, nidogen and EGF-like domains 1 (SNED1).

289 An additional 99 families were found with expansions in both human and horse
290 (many:many relationship). Reflecting the tissues used for RNA extraction, genes in this
291 category are highly enriched for immune functions. The most significantly populated
292 pathways are “Role of NFAT in regulation of the immune response”, “CD28 Signaling in T
293 helper cells”, “iCOS-iCOSL signaling in T helper cells”, “Natural killer cell signaling” and
294 “PKC θ signaling in T lymphocytes”.
295

296 **Discussion**

297 The analysis conducted here provided insight into the transcriptome of immune tissues from
298 the horse and made these analyses freely available (supplemental files). Whilst it is unclear
299 why the horse transcriptome should contain the specific expansions of gene families
300 described, the analysis provided insight into potential areas of T-cell biology which may

301 underlie equine specific immunobiology. The analysis conducted also allowed the
302 identification of gene expansions such as UGT1A6, part of a putative paralogous gene
303 expansion in horse relative to human. UGT1A6 is a member of the UDP-
304 glucuronosyltransferases (UGTs), a gene family essential for metabolism of both xenobiotic
305 and endobiotic substances. In contrast to humans and model organisms, there is currently little
306 information regarding specific drug metabolism in animals of veterinary importance. This is
307 particularly true in the horse, despite it being potentially exposed to extensive medical care.
308 Due to the broad application of its mechanisms on xenobiotic substances, the UGT enzyme
309 group has important implications in pharmacokinetics, the development of drugs and their
310 associated elimination rates. Importantly, as many of the drugs used in equids are adopted
311 from those designed from human UGT research, understanding the differences in genes
312 encoding these proteins may provide a basis for investigation into the UGT group of enzymes
313 in horses and will open up further opportunities for specific pharmacokinetic research into
314 UGT related equine drug metabolism potentially reducing toxic drug interactions.

315 The data presented here demonstrated the utility of second generation sequencing in
316 significantly advancing knowledge of gene transcription in a poorly characterised species. A
317 large number of potential novel genes were identified alongside some extensions to existing
318 genes. The completeness of these predictions remains to be confirmed by traditional mRNA
319 isolation and sequencing but the data presented provides a starting point for the study of
320 whole groups of genes.

321

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326 Damian Kao, Victoria Wright and Katharine Rangeley (University of Nottingham) for useful
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328

329 **Supplemental Information**

330 ***Supplemental Files***

- 331 • *S1_CLC_SOLiD_trim_adapter_list.xls*: The trim adapter list used in CLC with SOLiD
332 adapter sequences and single-base-repeat sequences.
- 333 • *S2_Cufflinks_assembly.gtf.gz*: Annotation of the individual pre-consensus horse
334 Cufflinks assembly.
- 335 • *S3_Scripture_assembly.gtf.gz*: Annotation of the individual pre-consensus horse
336 Scripture assembly.
- 337 • *S4_UoN_horse_consensus_assembly.gtf.gz*: Annotation of the University of
338 Nottingham (UoN) final consensus horse assembly.
- 339 • *S5_Ensembl-vs-UoN_Cuffcompare-results.xls*: Table of Cuffcompare results showing
340 the similarities between the University of Nottingham (UoN) consensus assembly and
341 the Ensembl annotations (using Ensembl as a Cuffcompare reference).
- 342 • *S6_UoN_horse_cDNA_sequences.fa.gz*: University of Nottingham (UoN) consensus
343 horse cDNA sequences.
- 344 • *S7_Ensembl71_genes_RPKMs_UoN-reads.xls*: RPKM values for all Ensembl v71
345 genes.
- 346 • *S8_sample-enriched-genes.zip*: Tables containing the sample enriched Ensembl v71
347 gene IDs.
- 348 • *S9_Ensembl-vs-UoN_Cuffcompare-results_UoN-as-ref.xls*: Table of Cuffcompare
349 results showing the similarities between the University of Nottingham (UoN)
350 consensus assembly and the Ensembl annotations (using UoN as a Cuffcompare

- 351 reference).
- 352 • *S10_Ensembl-vs-UoN_Cuffcompare-results_PFAM-GO-BLASTX.xls*: Table of
- 353 Cuffcompare results showing the similarities between the University of Nottingham
- 354 (UoN) consensus assembly and Ensembl. The number of annotated UoN transcripts
- 355 for each of the Cuffcompare categories is also shown.
- 356 • *S11_species_UoN_numTopHits_BLASTX.xls*: Table showing the number of top hit
- 357 BLASTX hits for each species.
- 358 • *S12_top10-GO-names_sample-enriched-genes.xls*: Table showing the top ten gene
- 359 ontology (GO) term names for the sample-enriched genes.

360

361 **Figure Legends**

362 **Figure 1: Hierarchical clustering of gene expression profiles in 7 tissues**

363 The R command “hclust” was used for the hierarchical clustering analysis. The branch values

364 are the pvclust approximately unbiased (AU) p-values (left) and bootstrap (BP) probability

365 values (right) where the p-values are expressed as percentages (95% is equivalent to p-value <

366 0.05) (Beliakova-Bethell *et al.* 2013).

367

368 **Tables**

369 **Table 1: Read statistics for the seven samples**

Sample	Horse	Raw reads	Trimmed reads	Reads aligned
Lymphocyte A	A	20,853,992	18,243,283	7,856,017
Lymphocyte B	B	32,050,093	27,315,182	11,659,787
Jejunum	C	19,902,170	17,241,772	7,659,938
Kidney	C	33,158,285	27,746,321	10,937,750
Liver	C	23,176,545	19,982,256	8,565,159
Lymph node	C	24,671,029	21,444,476	9,221,340
Spleen	C	30,421,675	26,828,834	12,708,499

370 (A) "Twilight", healthy Thoroughbred (B) healthy castrated male welsh mountain pony (C)

371 aged gelding euthanised for arthritis.

372

373 **Table 2: Sample-enriched genes**

Sample	# Sample-enriched genes
Lymphocyte A	201
Lymphocyte B	23
Jejunum	228
Kidney	318
Liver	272
Lymph node	44
Spleen	79

374

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