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# **Evaluating multi-locus phylogenies for species boundaries determination in the genus Diaporthe**

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**Background.** Species identification is essential for controlling disease, understanding epidemiology, and to guide the implementation of phytosanitary measures against fungi from the genus *Diaporthe*. Accurate *Diaporthe* species separation requires using multi-loci phylogenies. However, defining the optimal set of loci that can be used for species identification is still an open problem. **Methods**. Here, we addressed that problem by identifying five loci that have been sequenced in 142 *Diaporthe* isolates representing 96 species: *TEF1*, *TUB*, *CAL*, *HIS* and ITS. We then used every possible combination of those loci to build, analyse, and compare phylogenetic trees. **Results.** As expected, species separation is better when all five loci are simultaneously used to build the phylogeny of the isolates. However, removing the ITS locus has little effect on reconstructed phylogenies, identifying the *TEF1-TUB-CAL-HIS* four loci tree as almost equivalent to the five loci tree. We further identify the best 3-loci, 2-loci, and 1-locus trees that should be used for species separation in the genus. **Discussion.** Our results question the current use of the ITS locus for DNA barcoding in the genus *Diaporthe* and suggest that *TEF1* might be a better choice if one locus barcoding needs to be done.

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4	Short title: Multi-locus phylogenies for Diaporthe
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Abstract

- 29 Background. Species identification is essential for controlling disease, understanding
- 30 epidemiology, and to guide the implementation of phytosanitary measures against fungi from the
- 31 genus Diaporthe. Accurate Diaporthe species separation requires using multi-loci phylogenies.
- However, defining the optimal set of loci that can be used for species identification is still an
- 33 open problem.
- 34 **Methods**. Here, we addressed that problem by identifying five loci that have been sequenced in
- 35 142 Diaporthe isolates representing 96 species: TEF1, TUB, CAL, HIS and ITS. We then used
- every possible combination of those loci to build, analyse, and compare phylogenetic trees.
- 37 **Results.** As expected, species separation is better when all five loci are simultaneously used to
- 38 build the phylogeny of the isolates. However, removing the ITS locus has little effect on
- 39 reconstructed phylogenies, identifying the TEF1-TUB-CAL-HIS four loci tree as almost
- 40 equivalent to the five loci tree. We further identify the best 3-loci, 2-loci, and 1-locus trees that
- should be used for species separation in the genus.
- 42 **Discussion.** Our results question the current use of the ITS locus for DNA barcoding in the
- 43 genus Diaporthe and suggest that TEF1 might be a better choice if one locus barcoding needs to
- 44 be done.

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#### 1. Introduction

- 51 Species in the ascomycete genus *Diaporthe* have been identified all over the world. Typically,
- 52 Diaporthe species are saprobes, endophytes, or plant pathogens (Webber & Gibbs, 1984; Boddy
- 8 & Griffith, 1989; Udayanga et al., 2011). Some plant pathogenic *Diaporthe* species are
- associated with cankers, diebacks, rots, spots and wilts on a wide range of plants, some of which
- are of economic importance as is the case of citrus, cucurbits, soybeans, eggplant, berries and
- 56 grapevines (Backman, Weaver & Morgan-Jones, 1985; Merrin, Nair & Tarran, 1995; Farr,
- 57 Castlebury & Rossman, 2002; Farr et al., 2002; Shishido et al., 2006). Less frequently,
- 58 Diaporthe species can also cause lupinosis and other health problems in humans and other
- 59 mammals (Van Warmelo & Marasas, 1972; Sutton et al., 1999; Battilani et al., 2011; Garcia-
- 60 Reyne et al., 2011).
- 61 Distinction between *Diaporthe* species has historically been based on an approach that combined
- 62 morphological information, cultural characteristics, and host affiliation (Udayanga et al., 2011).
- 63 This approach made it difficult to reliably discriminate between the various members of the
- 64 genus, because many of these fungi are asexual with low host specificity (Rehner & Uecker,
- 65 1994; Murali, Suryanarayanan & Geeta, 2006). As a consequence, an unnecessary increase in the
- number of proposed *Diaporthe* species occurred. This number currently stands at 977 and 1099
- 67 for *Diaporthe* and 980 and 1047 for *Phomopsis* in Index Fungorum and Mycobank, respectively
- 68 (both accessed 14 November 2016). The extinction of the dual nomenclature system for fungi
- 69 raised the question about which generic name to use, *Diaporthe* or that of its asexual morph
- 70 *Phomopsis*. Given that both names are well known among plant pathologists, and have been
- equally used, Rossman et al. (2015) proposed that the genus name *Diaporthe* should be retained
- 72 over *Phomopsis* because it was introduced first and therefore has priority.
- 73 The problem of incorrect species attribution has practical consequences for the study of this
- 74 genus, because accurate species identification is essential for understanding the epidemiology,



- 75 for controlling plant diseases, and to guide the implementation of international phytosanitary
- measures (Santos and Phillips, 2009; Udayanga et al., 2011). Therefore, there was an urgent need
- 77 to reformulate species identification in the genus *Diaporthe* (Santos and Phillips, 2009).
- 78 Advances in the areas of gene sequencing and molecular evolution over the last 50 years have
- 79 led to the notion that ribosomal genes can be used to distinguish between species and study their
- 80 molecular evolution (Woese & Fox, 1977). The choice of these genes comes from the fact that
- 81 their function is conserved over all living organisms, which has been assumed to imply that their
- 82 evolutionary rate should be roughly constant over the tree of life.
- 83 The molecular evolution studies mentioned have been used to develop general fungal
- 84 classifications (Shenoy, Jeewon & Hyde, 2007) and have also been used for species
- reclassification in the genus *Diaporthe* (Santos and Phillips 2009; Santos et al., 2011; Thompson
- 86 et al., 2011; Baumgartner et al., 2013; Gomes et al, 2013; Huang et al., 2013; Tan et al., 2013;
- 87 Gao et al., 2014; Udayanga et al., 2014a; Udayanga et al., 2014b). In fact, recently the ITS
- 88 region of the ribosomal genes has been accepted as the official fungal barcode (Schoch et al.,
- 89 2012), and its sequence is frequently used for molecular phylogeny analysis of Diaporthe
- 90 species.
- 91 However, assuming that ribosomal gene sequences evolve at a uniform rate, independent of
- 92 species is sometimes incorrect (Anderson & Stasovski, 1992; O'Donnell, 1992; Carbone &
- 93 Kohn, 1993). In addition, due to the strong constraints imposed by ribosome function on the
- 94 mutations in the sequence of ribosomal genes, close microbial species may have identical rDNA
- 95 sequences, while having clearly different genomes. For example, a comparison between
- 96 Cladosporium, Penicillium and Fusarium species at the NCBI Genome and GenBank databases
- 97 (Schoch et al., 2012) will confirm this statement. Such considerations suggested that
- 98 phylogenetic trees based on sets of genes are potentially more powerful in solving species
- 99 boundaries than phylogenetic trees based on any single genes, as the former trees contain
- 100 information about the simultaneous evolution of various biological processes (Olmstead &
- 101 Sweere, 1994; Rokas et al., 2003).
- The possibility of using full genomes to create phylogenetic trees becomes more feasible as the
- 103 number of fully sequenced genomes increases. For example, the full genomic complement of



genes/proteins involved in metabolism have been used to reconstruct phylogenies that provide information regarding the evolution of metabolism in various species (Heymans & Singh, 2003; 105 Ma & Zeng, 2004; Forst et al., 2006; Oh et al., 2006). This type of genome wide phylogeny 106 reconstruction is impossible for organisms that have not had their genomes fully sequenced and 107 annotated. This is the case for the genus Diaporthe, for which the first genome sequencing 108 project started in 2013 (GOLD project Gp0038530) and until now only *Diaporthe* species have 109 their genome sequenced (Phomopsis longicolla, Diaporthe aspalathi, Diaporthe ampelina and 110 Diaporthe helianthi) (Li et al., 2015; Baroncelli et al., 2016; Li et al., 2016; Savitha et al., 2016). 111 Although full genome sequences are still forthcoming for *Diaporthe* species, current species 112 identification and phylogeny reconstruction in the genus are already largely dependent on 113 114 molecular sequences (Santos, Correia & Phillips, 2010). The sequences more frequently used for these studies are: large subunit (LSU) of the ribosomal DNA, intergenic spacers (IGS) of the 115 ribosomal DNA, internal transcribed spacer (ITS) of the ribosomal DNA, translation elongation 116 factor 1-α (TEFI) gene, β-tubulin (TUB) gene, histone (HIS) gene, calmodulin (CAL) gene, actin 117 (ACT) gene, DNA-lyase (APN2) gene, 60s ribosomal protein L37 (FG1093) gene and mating 118 type genes (MAT-1-1-1 and MAT-1-2-1) (Farr, Castlebury & Rossman, 2002; Farr et al., 2002; 119 Castlebury et al., 2003; Pecchia, Mercatelli & Vannacci, 2004; Schilder et al., 2005; Van 120 Rensburg et al., 2006; Kanematsu, Adachi & Ito, 2007; Santos, Correia & Phillips, 2010; Santos 121 et al., 2011; Thompson et al., 2011; Grasso et al., 2012; Sun et al., 2012; Udayanga et al., 2012; 122 Baumgartner et al., 2013; Bienapfl & Balci, 2013; Gomes et al., 2013; Huang et al., 2013; Sun et 123 al., 2013; Tan et al., 2013; Vidić et al., 2013; Gao et al., 2014; Udayanga et al., 2014a; Udayanga 124 et al., 2014b; Wang et al., 2014). 125 126 However, multi-locus phylogenies for the genus *Diaporthe* have only been developed in the last few years (Schielder et al., 2005; Van Rensburg et al., 2006; Udayanga et al., 2012; Baumgartner 127 et al., 2013; Gomes et al., 2013; Huang et al., 2013; Tan et al., 2013; Gao et al., 2014; Udayanga 128 et al., 2014a; Udayanga et al., 2014b; Wang et al., 2014). In fact, creating phylogenies that 129 130 include several loci is still possible only for a limited set of species from the genus Diaporthe, because not all genes have been sequenced for all tentative species. This is due to many reasons, 131 among which the lack of resources that prevents unlimited sequencing of samples. Nevertheless, 132



- a multi-locus approach should always be used for accurate resolution of species in the genus
- 134 Diaporthe.
- In recent studies the maximum number of loci used was to create multi loci phylogenies seven
- 136 (TEF1, TUB, HIS, CAL, ACT, APN2 and FG1093), simultaneously sequenced across
- approximately 80 isolates from 9 Diaporthe species (Udayanga et al., 2014a). These loci were
- used to establish the specific limits of *D. eres*. This work provides a good example of how to
- establish the boundaries for one species within the genus *Diaporthe*. However, if this is to be
- extended to the other species of the genus, it is important to determine which loci are the most
- informative to be sequenced and used in a much wider range of *Diaporthe* species.
- 142 With this in mind we asked which combination of frequently sequenced loci better discriminate
- species boundaries in *Diaporthe*. To answer this question, we considered the ITS, *TEF1*, *TUB*,
- 144 HIS and CAL loci, which had been sequenced for 96 different Diaporthe species. This paper
- ranks these loci according to their contribution for improving/decreasing the resolution of
- 146 Diaporthe species determination, as they are added/removed from multi-locus phylogenies
- 147 analysis.

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#### 2. Materials & Methods

#### 2.1. Data collection

- 151 In-house PERL scripts were used to search the GenBank and download all sequences from
- 152 Diaporthe and Phomopsis species for the 11 loci mentioned in the introduction. We then
- determined that sequences for ITS, CAL, TUB, HIS, and TEF1 loci were known in 142
- 154 Diaporthe and Phomopsis isolates, corresponding to 96 different species. Adding any other loci
- would reduce the number of species. Thus, we have chosen to study these five loci in those 96
- 156 species, as a way of maximizing the statistical power of our analysis. Species and gene
- identifications, as well as, the accession numbers are given in SM Table 1. The current study
- used 142 *Diaporthe* isolates that were selected by choosing two isolates per species (whenever
- they were available), at least one of them being an ex-type isolate. With these constrains in mind,



- we chose the two isolates for which the sequences were more dissimilar within the same species,
- in order to maximize intraspecific sequence diversity.
- Also considering this intraspecific heterogeneity, we used a larger number of sequence sample
- 163 for *Diaporthe* species complexes (Udayanga et al., 2014a). These are species with a higher than
- average diversity between individuals. In our case they include *D. sojae*, *D. foeniculacea*, and *D.*
- eres. For example, the *D. eres* complex includes strains CBS 113470, CBS 116953, CBS 200.39,
- and CBS 338.89, some of which were originally classified as *D. nobilis* and later reclassified into
- the *D. eres* complex (Gomes et al. 2013; Udayanga et al. 2014a). In addition, we used more than
- one ex-type isolate for the species complexes, because these species are highly heterogeneous.
- All sequence data used in this study have been validated and published previously (Castleburry
- 170 et al., 2002; Van Niekerk et al., 2005; Santos et al., 2011, Gomes et al., 2013 and Udayanga et
- 171 al., 2014a).
- 172 As species concept we used the criteria of Genealogical Concordance Phylogenetic Species
- 173 Recognition (GCPSR) to resolve species boundaries based on individual and combined analyses
- of the 5 genes.

#### 2.2. Sequence alignment and phylogenetic analyses

- 176 Five multiple alignments, one per locus, were created using the software ClustalX2.1 (Larkin et
- al., 2007), and the following parameters: pairwise alignment parameters (gap opening = 10, gap
- extension = 0.1) and multiple alignment parameters (gap opening = 10, gap extension = 0.2,
- transition weight = 0.5, delay divergent sequences = 25 %), and optimized manually with
- 180 BioEdit (Hall, 1999). The alignments for the individual locus were then concatenated into all
- possible combinations of 2, 3, 4, and five loci. This generated 31 alternatives multiple
- alignments, counting the 5 multiple alignments for the individual genes and the alignment for the
- 183 five concatenated gene sequences. MEGA6 (Tamura et al., 2013) was used to create and analyse
- phylogenetic trees for each of the 31 alignments, independently using two alternative methods
- 185 (Maximum Parsimony [MP] and Maximum Likelihood [ML]; Li, 1997). MEGA6 was also used
- to determine the best evolution models to be used for building the ML tree from each multiple
- alignment, as described previously (Tamura et al., 2013). These models are listed in Table 1.
- Each tree was bootstrapped 1000 times, and branches that split in less than 90% of the 1000 trees



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were condensed. MP trees were obtained using the Tree-Bisection-Reconnection (TBR) algorithm (Nei & Kumar, 2000) with search level 1, in which the initial trees were obtained by the random addition of sequences (10 replicates). The initial trees for the heuristic ML search were obtained by applying the Neighbor-Joining method to a matrix of pairwise distances estimated using the Maximum Composite Likelihood (MCL) approach, allowing for some sites to be evolutionarily invariable ([+I], 0.0000% sites). As in Gomes et al. (2013), we choose Diaporthella corylina (CBS 121124) as outgroup.

#### 2.3. Comparing trees

#### 2.3.1. Tree scores

- 198 MEGA6 was used to create and analyse all MP and ML phylogenetic trees. As a first
- approximation, we compare the likelihood values between ML trees and the MP scores between
- 200 MP trees (Table 2 and 3) for identifying the best and worst trees of each type.
- The length of an MP tree estimates phylogenetic tree resolution. This value is also dependent on
- the length of the sequences that are used to build the tree. This means that comparing tree lengths
- for trees built using a varying number of loci should also consider normalizing the length of the
- tree by the corresponding size of the aligned sequence (Table 2). This normalization allows us to
- estimate which loci provide more added value when it comes to species resolution.
- 206 ML tree building methods seek the tree that is more likely (the highest likelihood), based on a
- 207 probabilistic model of sequence evolution. The best ML tree has the lowest log likelihood
- 208 scores and worst ML tree has the highest log likelihood value. This likelihood is also
- 209 dependent on the length of the alignment. In order to be able to compare all the trees among
- 210 them we also normalized the values of log Likelihood in the same way of the MP length (Table
- 211 3). This means that comparing tree log likelihoods for trees built using a varying number of loci
- should also consider normalizing the log likelihood of the tree by the corresponding size of the
- 213 aligned sequence (Table 3).

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#### 2.3.2. Tree distances

- All trees we build have the same species. Thus, we are able to measure the difference between
- every possible pair of trees, based on the analysis of the symmetric distance between equal leafs



in two trees (Robinson & Foulds, 1981). This distance was calculated for all pairs of MP trees using the Treedist methods of the PHYLIP suite of programs (Felsenstein, 1989). The same calculations were made for all pairs of ML trees. For these calculations we used condensed trees with a 90% bootstrap cut-off value. This allows us to measure how adding/removing a locus to/from the multiple alignments causes the resulting phylogenetic tree to change.

#### 2.3.3. Testing Phylogenetic informativeness and identification of species boundaries.

We used PhyDesign (López-Giráldez & Townsed, 2011) to establish the informativeness of the various combinations of loci alignments, as described in (Udayanga et al., 2014a). We also manually analyzed all trees to identify all cases where isolates of the same species did not cluster together. This allowed us to determine the loci that provided the best species resolution.

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#### 3. Results

- We analyse 142 isolates from 96 Diaporthe species for which the ITS, CAL, TUB, HIS, and
- 230 TEF1 loci had been sequenced (SM Table 1). The alignments for each locus were then
- concatenated in all possible 31 combinations of 1, 2, 3, 4, and 5 genes. Alignment characteristics
- 232 for this study are reported in Table 4. Each combination was used to build a ML and ME
- phylogenetic trees. Each tree was bootstrapped 1000 times and every tree used is a condensed
- tree with a 90% cut-off. Alignments and trees were deposited in TreeBase (Study Accession:
- 235 S20343).

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#### 3.1. Best and worst resolving phylogenetic trees

- The "quality" (resolution) of the individual phylogenetic trees was determined as described in
- 238 methods.
- Figures 1 and 2 present the condensed MP and ML trees build from the concatenated multiple
- 240 alignments of the 5 loci, respectively. Phylograms showing all complete trees are given as
- supplementary figures (SM Figure 1 and 2, respectively). These trees are the best resolving trees
- built for each method, as indicated by the scores shown in Table 2 for MP trees and in Table 3
- for ML trees.



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The increase in tree length (Table 2) and log-likelihood scores (Table 3) of the trees with the increase in number of loci indicates that resolution of the trees is directly correlated with the number of loci used to build them. This is also true for the tree scores and log-likelihood scores normalized by alignment length. Thus, the worst trees are built using the multiple alignments for only one locus. Within the one-locus trees, the best MP (Figure 3 and SM – Figure 3) and ML (Figure 4 and SM – Figure 4) condensed trees are shown in Figure 3 and 4. *TEF1* trees have the highest values for length and-log likelihood.

#### 3.2. Choosing the most informative loci for sequencing

252 The previous results indicate that, whenever possible, all five loci should be sequenced, in order

to better differentiate between Diaporthe species. However, this might not always be possible. In

situations where only a subset of one, two, three, or four out of the five loci can be sequenced,

255 which sequences might be more informative? This can be roughly answered in two steps.

256 The first step is done by measuring how adding/removing a locus to/from the multiple

alignments causes the resulting phylogenetic tree to change. These changes can be measured by

258 calculating the symmetric distance between the two trees and by analysing if species resolution

259 changes when the relevant locus is added or removed. The smaller the changes are, the less

260 informative the locus is. The symmetric distance matrices between every pair of MP (SM Table

261 2) or ML (SM Table 3) trees were calculated as described in methods. Table 5 summarize these

262 results and show how many changes are observed on average when a specific locus is removed

263 from a multi-locus tree. On average, the ITS locus is the least informative one, closely followed

by the HIS locus. The third locus whose removal causes the least changes in the trees is CAL.

265 This is true for both, the MP and the ML trees.

The second step is done by evaluating the changes in the resolution of the trees when a locus is

267 removed from the multiple alignments. A more detailed analysis of Tables 3-5 reveals that

268 removing the ITS locus from any MP or ML multi-loci tree causes the smallest decrease in MP

tree length and in ML tree likelihood. Hence, if only four loci can be sequenced these should be

270 TEF1-TUB-CAL-HIS. The second locus with the least effect in tree resolution is TUB, closely

271 followed by *HIS*. Given that, as measured in step one of the process, average differences between

trees when HIS is removed are much smaller than differences between trees when TUB is



- 273 removed, if only three loci can be sequenced these should be TEF1-TUB-CAL. If only two loci
- 274 can be sequenced, we suggest *TEF1-TUB*, as removing *CAL* has the least average effect on trees.
- 275 Finally, if only one locus can be sequenced tree resolution suggests that this locus should be
- 276 *TEF1*. *TEF1* trees are the best single locus MP and ML trees (Figure 3 and 4).

#### 3.3. Phylogenetic informativeness and identification of species boundaries

- 278 Figure 5 shows that the *TEF1* sequence is the most informative for species separation, both
- 279 globally and per alignment site. In addition, we also see that the ITS sequence is the least
- 280 informative to resolve *Diaporthe* species (Figure 5). The five loci can be ranked from most to
- least informative for *Diaporthe* species separation as follows: *TEF1>HIS>CAL>TUB>ITS*.
- The dataset we used for this analysis is as close as we currently can get to a standard set of well
- 283 separated Diaporthe species, taking into account that the five loci we analyse needed to be
- sequenced for all individuals in the set. Taking this into account, an inspection of the trees is
- required to understand, on top of all the statistical analyses, if species are well separated or not.
- We see that, in general, the addition of a new locus to the alignment decreases the number of
- 287 isolates from the same species that do not cluster together (separation errors). Therefore, the tree
- of 5 loci has less separation errors than 4-loci trees, which in turn have less separation errors than
- 289 the 3-loci trees, and so on. As expected from our previous analysis, the *TEF1* tree provides the
- best single locus ML tree, TEF1-TUB tree provides the best 2-loci ML tree, TEF1-TUB-CAL the
- best 3-locus ML tree. The results from the MP trees are qualitatively similar although, in general,
- these trees have more separation errors that the ML ones.

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#### 4. Discussion

- 295 Identifying species boundaries in organisms is a difficult task, as theoretical and practical
- 296 definitions of species are not always consistent with each other (Doolittle & Zhaxybayeva, 2009;
- 297 Giraud et al., 2008). While Woese & Fox (1977) suggested using ribosomal sequences to define
- species borders, such sequences are not always the best choice. For example, searching GenBank
- 299 will reveal that some Cladosporium, Penicillium and Fusarium species cannot be differentiated
- 300 using ITS (Schoch et al., 2012).



More recent work suggests that trees based on multi-loci sequence analysis (MLSA) provide 301 more accurate estimations of phylogeny than single gene trees, if appropriate loci are used 302 (Gadagkar, Rosenberg & Kumar, 2005; Mirarab, Bayzid & Warnow, 2014). Briefly, MLSA 303 concatenates sequence alignments from multiple genes and uses the concatenated sequences to 304 determine phylogenetic relationships. This method appears to more optimally resolve the 305 phylogenetic position of species in the same or in closely related genera (Hanage, Fraser & 306 Spratt, 2006). An increase in the number of loci used to build MLSA phylogenetic trees 307 positively correlates to sensitivity and accuracy in species separation (Rokas et al., 2003; 308 Udayanga et al., 2011). In contrast, increasing the number of species in the alignment leads to a 309 decrease in the ability to separate them accurately, unless a higher number of appropriate loci are 310 used to maintain the quality of that separation (Bininda-Emonds et al. 2001; Kim, 1998; Poe & 311 Swofford, 1999; Rokas et al., 2003; Udayanga et al., 2011). The choice of appropriate loci to be 312 used in such trees can be optimized in genera with a large number of sequenced genomes, 313 314 because in such cases it is possible to make full genome studies to identify the best set of loci to separate species. Nevertheless, the amount of information that must be analysed for doing so 315 316 could become prohibitive (Thangaduras & Sangeetha, 2013). The choice of appropriate loci that optimizes species separation is harder when fully sequenced 317 genomes are not available, as is the case for the genus Diaporthe. Nevertheless, MLSA 318 phylogenetic studies of *Diaporthe* species have been done using loci that have been chosen in a 319 more or less ad hoc manner, by taking into account how conserved they were in different fungal 320 genus (Baumgartner et al., 2013; Gao et al., 2014; Gomes et al., 2013; Huang et al., 2013; 321 Schielder et al., 2005; Tan et al., 2013; Udayanga et al., 2012; Udayanga et al., 2014a; Udayanga 322 et al., 2014b; Van Rensburg et al., 2006; Wang et al., 2014). In general, these studies show that 323 MLSA phylogenetic trees provide higher resolution for *Diaporthe* species than single locus 324 phylogenetic trees (Huang et al., 2013; Udayanga et al. 2012; Van Rensberg et al., 2006). 325

The current study addresses the problem of which loci are best for accurate species separation in the genus *Diaporthe* in a systematic manner. Walker et al. (2012) performed a similar study. While we use five non-coding loci to study species separation in *Diaporthe*, those authors employed two single copy protein-coding genes (FG1093 and MS204) to study species separation in Sordariomycetes. While Walker et al. (2012) analysed various aspects of codon



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conservation and substitution rates, these analyses are meaningless for our sequence dataset. The use of non-coding sequences is favoured in *Diaporthe* species separation because coding sequences are typically too conserved to allow for appropriate separation within the genus.

The major contributions of this paper are two-fold. First, our work confirms that the quality of species separation in phylogenetic trees increases with the number of loci used to build phylogenetic trees. Second and more importantly it identifies the best combination of loci that one should use for building those phylogenetic trees, if only one, two, three, or four loci can be sequenced. To achieve this, we took the most commonly sequenced loci for 142 Diaporthe isolates and studied which loci optimize species differentiation in the genus. We chose only loci that are commonly sequenced for members of the genus. Then, we selected a sequence dataset that was experimentally validated by others (Castleburry et al., 2002; Van Niekerk et al., 2005; Santos et al., 2011 and Gomes et al., 2013) before being deposited in GenBank. Whenever possible we favoured sequences from ex-type isolates and produced via low throughput, high fidelity, sequencing methods. In addition, our sequence selection maximized intraspecific sequence variation, which in turn maximizes the possibility that intra-specific hyperdiversity could be higher than interspecific diversity. Thus, species separation through phylogenetic trees in our sample is made more difficult by our sequence selection, making our analysis more robust. In this paper we only show and analyse condensed MP and ML trees, using a cut-off of 90%, which means that our trees are very robust to gene order, as a significant amount of bootstrapping was used to calculate them. In fact, to test that, we performed a side experiment where we changed the order of the locus sequences in the alignments and recalculated the trees (SM - Figure 5).

We found that species differentiation is optimized by creating phylogenetic trees built from the multiple sequence alignment of five loci: *TEF1-TUB-HIS-CAL-*ITS. However, little information is lost when ITS locus is removed and only the other four loci are used to simultaneously build the phylogeny. In addition, we also provide researchers with a ranking of best loci to sequence if only 1, 2, 3, or 4 of the loci can be sequenced.

It may be surprising that the ribosomal ITS locus is the least informative of the five loci when it comes to separating *Diaporthe* species. However, Santos, Correia & Phillips (2010) found that



Hence, what seems to be happening is that ITS sequences present a wider variation than is 361 advisable for creating precise species boundaries. Therefore a slowly evolving gene region 362 should be utilized in order to establish precise species limits (Udayanga et al., 2012). 363 DNA barcoding (Kress et al., 2014) refers to the use of standard short gene sequences to identify 364 species. The use of DNA barcoding implies that an effort should be made to standardize the use 365 366 of the loci for phylogenetic studies. ITS is the official DNA barcode region in fungi (Schoch et al., 2012). This work supports previous studies whose results suggest that using ITS as a standard 367 for species separation in fungi should be discontinued (Gomes et al., 2013; Thangaduras & 368 Sangeetha 2013). Our results strongly recommend that TEF1 should be used instead, at least in 369 370 the genus *Diaporthe*. This is consistent with and further develops previously published results, which proposed either TEF1, HIS, or APN2 as alternative locus for barcoding in the genus 371 (Santos, Correia & Phillips, 2010; Udayanga et al., 2014b). However, Gomes et al. (2013), using 372 Bayesian analysis, consider HIS and TUB as best resolving genes. Nevertheless, considering that 373 374 Gomes et al. (2013) use shorter sequences than those used here, one is tempted to cautiously analyse and reinterpret their conclusions. 375 Despite the *TEF* tree appears to be a better species separator than the 5 loci tree, the true is that, 376 the alignment used to build the 5 loci tree is roughly five times larger than that for the TEF tree. 377 This means that, with a larger number of positions, there is bound to be more variability in the 378 bootstrapping of the 5 loci tree than in the bootstrapping of the TEF tree. Hence, the observation 379 that the TEF give better resolution than 5 loci results from a statistical artefact. This fact occurs 380 when focusing on the *D. eres* complex clade. For example, in the case of the *D. eres* complex, all 381 382 the species are grouped in the same clade in both cases (D. alleghaniensis, D. alnea, D. celastrina, D. bicincta, D. eres, D. neilliae and D. vaccinii). However, in the 5-loci trees the 383 384 resolution of this species complex is better. This is especially important as phylogenetic analyses of the D. eres complex often revealed ambiguous clades with short branch and moderate statistic 385 386 supports due to their high variability. Udayanaga et al. (2014a) studied this problematic by using different genes, whose sequences are not available for the other *Diaporthe* species we consider. 387 Therefore, we could not incorporate their data in our study. We also note that one possible 388 explanation for the observation that some species of the D. eres complex do not "group" in the 389

the ITS region in *Diaporthe* is evolving at much faster rates than *TEF1* or even *MAT* genes.



same clade could be due to the fact that they are not really *D. eres*. However, to test that, we would need to actually obtain samples of the complex, re-sequence and analyse them in order to clarify the species boundaries in this group.

The problem of species boundary identification is very relevant in the genus *Diaporthe*, where a general taxonomic revision based on molecular analysis is probably overdue. Such a revision could then be used to improve the annotation of sequences in public databases, such as GenBank. For example, many of the sequences we use in our analysis are still assigned to species that have already been reclassified. This also emphasizes that a standard procedure with minimal information required for submitting new *Diaporthe* species needs to be put in place in order to avoid unnecessary creation of new species (Udayanga et al., 2014b). Furthermore, as also suggested by Gomes et al. (2013) we feel that this revision should be made using molecular data. Any new *Diaporthe* species report should be accompanied by molecular data that supports the identification of the individual as a new species. In addition, we feel that a proper taxonomic revision of the genus should also consider morphological descriptions and epitypification of species as previously suggested (Gomes et al., 2013; Udayanga et al., 2014b).

#### 5. Conclusions

407 Our results indicate that:

- In order of effectiveness the best sets of loci for resolving *Diaporthe* species are *TEF1-TUB-CAL-HIS-ITS*, *TEF1-TUB-CAL-HIS*, *TEF1-TUB-CAL*, *TEF1-TUB* and *TEF1*.
- The *TEF1* locus is a better candidate for single locus DNA barcoding in the genus Diaporthe than the ITS locus.
  - Multi-loci DNA barcoding will provide a more accurate species separation in the genus than single locus barcoding. Furthermore, a four loci barcoding including TEF1-TUB-HIS-CAL will be almost as effective as a five loci barcoding including ITS-TEF1-TUB-HIS-CAL.

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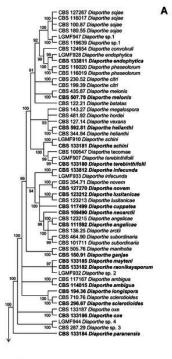


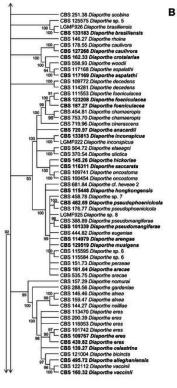
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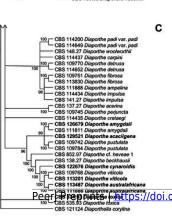


Figure 1 - MP condensed tree with a 90% cut-off, build using the five loci *TEF1-TUB-CAL-HIS*-ITS for the 96 *Diaporthe* species.

Ex-type or ex-epitype or isotype isolates are represented in **bold**.







To CBS 13-947 IMaportine australarizona 100 — [BS 17-946] Imaportine australarizona 100 — [BS 17-946] Image (Bapperla Supreprincian) 100 — [BS 18-946] Image (BS 18-946) Image (BS



Figure 2 - ML condensed tree with a 90% cut-off, build using the five loci *TEF1-TUB-CAL-HIS-*ITS for the 96 *Diaporthe* species.

The percentage of trees in which the associated taxa clustered together is shown next to the branches. Ex-type, ex-epitype, or isotype isolates are represented in **bold**.

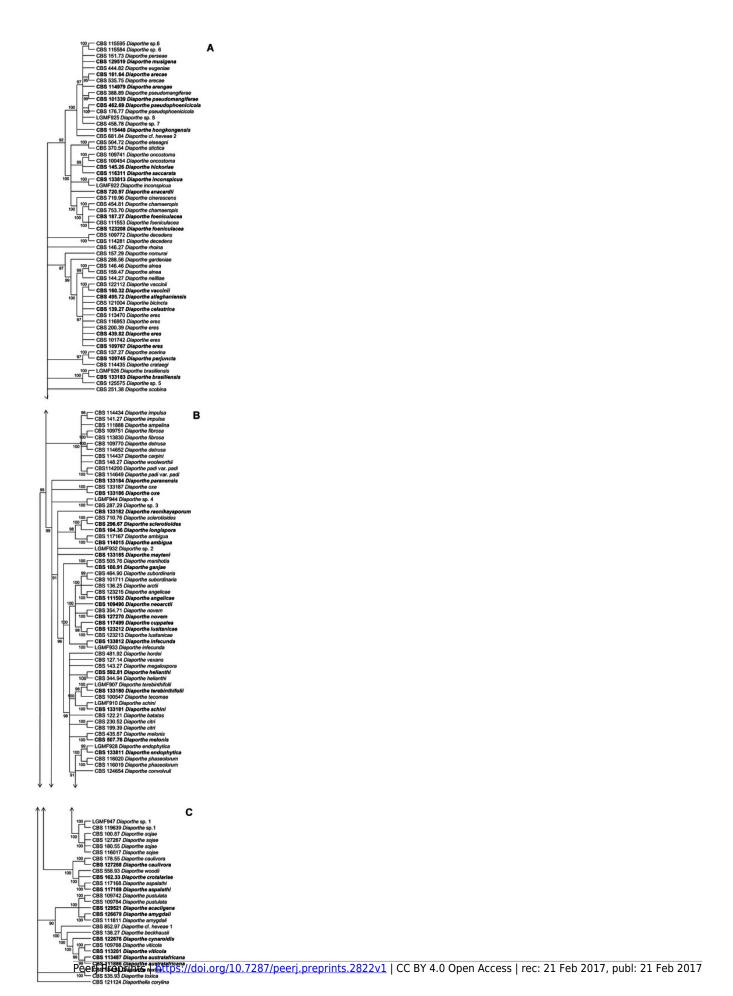




Figure 3 - MP condensed tree with a 90% cut-off build using the *TEF1* locus for the 96 *Diaporthe* species.

This locus generates the best single locus trees for the MP method. Ex-type, ex-epitype, or isotype isolates are represented in **bold** 

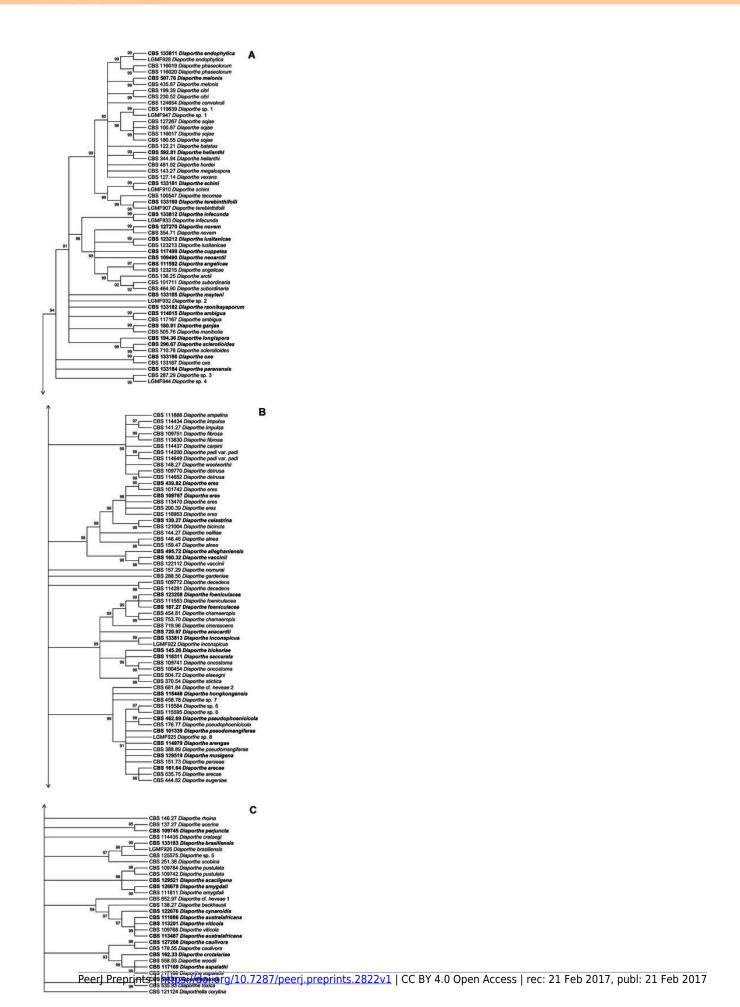




Figure 4 - ML condensed tree with a 90% cut-off, build using the *TEF1* locus for the 96 *Diaporthe* species.

This locus generates the best single locus trees for the ML method. The percentage of trees in which the associated taxa clustered together is shown next to the branches. Ex-type, exepitype, or isotype isolates are represented in **bold**.

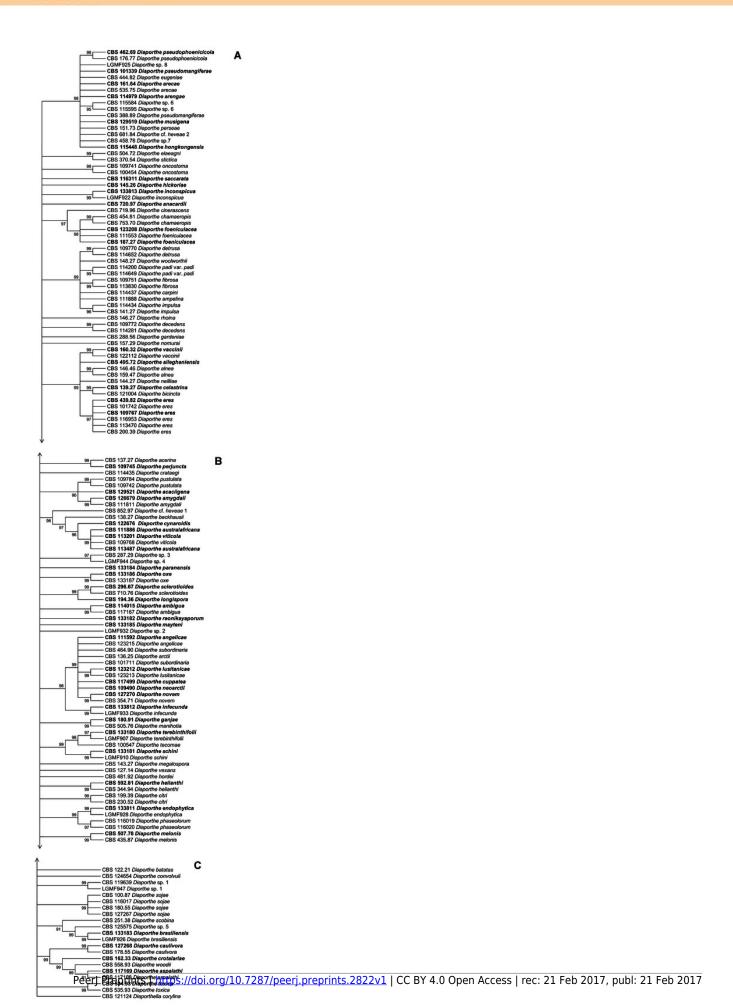
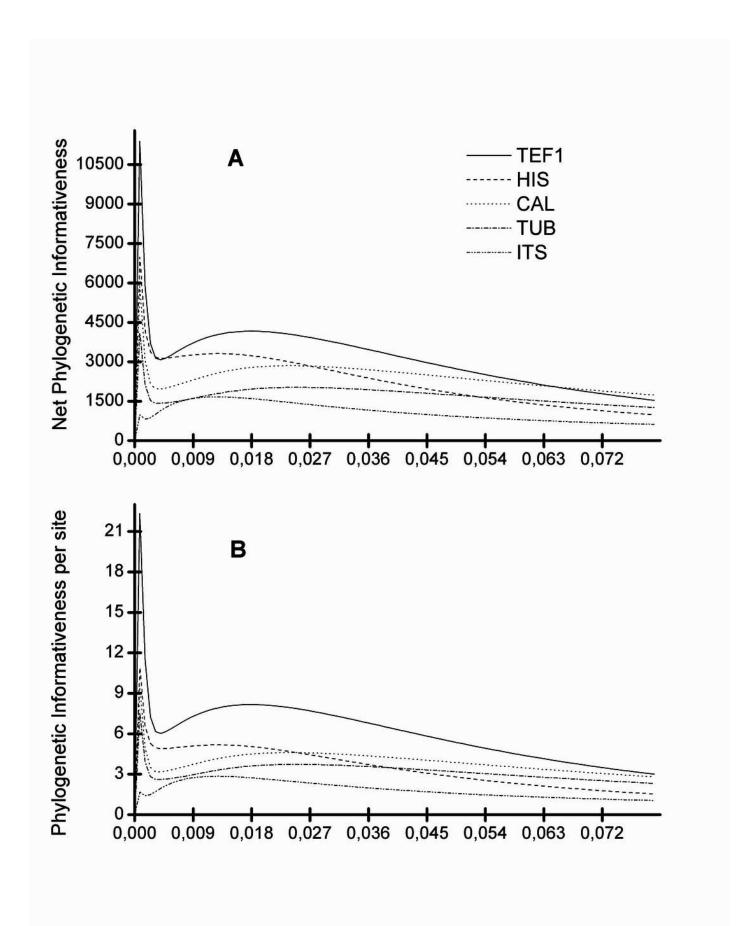




Figure 5 - Profiles of phylogenetic informativeness for the 96 Diaporthe species and 5 loci.

A) Net Phylogenetic informativeness. B) Phylogenetic informativeness per site.







### Table 1(on next page)

Models used to construct the ML trees.

Tree	Model	References
ITS	Tamura-Nei	Tamura & Nei, 1993
TEF1	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
TUB	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
HIS	General Time Reversible	Nei & Kumar, 2000
CAL	Tamura 3-parameter	Tamura, 1992
ITS - TEF1	Tamura-Nei	Tamura & Nei, 1993
ITS - TUB	Tamura-Nei	Tamura & Nei, 1993
ITS - HIS	Tamura-Nei	Tamura & Nei, 1993
ITS - CAL	Tamura-Nei	Tamura & Nei, 1993
TEF1 - TUB	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
TEF1 - HIS	Tamura-Nei	Tamura & Nei, 1993
TEF1 - CAL	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
TUB - HIS	General Time Reversible	Nei & Kumar, 2000
TUB - CAL	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
HIS - CAL	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
ITS - TEF1 - TUB	Tamura-Nei	Tamura & Nei, 1993
ITS - TEF1 - HIS	General Time Reversible	Nei & Kumar, 2000
ITS - TEF1 - CAL	Tamura-Nei	Tamura & Nei, 1993
ITS - TUB -HIS	General Time Reversible	Nei & Kumar, 2000
ITS - TUB - CAL	Tamura-Nei	Tamura & Nei, 1993
ITS - HIS - CAL	Tamura-Nei	Tamura & Nei, 1993
TEF1 - TUB - HIS	General Time Reversible	Nei & Kumar, 2000
TEF1 - TUB - CAL	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
TEF1 - HIS - CAL	Tamura-Nei	Tamura & Nei, 1993
TUB - HIS - CAL	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
ITS - TEF1 - TUB - HIS	General Time Reversible	Nei & Kumar, 2000
ITS - TEF1 - TUB - CAL	Tamura-Nei	Tamura & Nei, 1993
ITS - TEF1 - HIS - CAL	Tamura-Nei	Tamura & Nei, 1993
ITS - TUB - HIS - CAL	Tamura-Nei	Tamura & Nei, 1993
TEF1 - TUB - HIS - CAL	Hasegawa-Kishino-Yano	Hasegawa, Kishino & Yano, 1985
ITS - TEF1 - TUB - HIS - CAL	General Time Reversible	Nei & Kumar, 2000



### Table 2(on next page)

MP trees scores.



Tree	No. trees	Length	Normalized length	Consistency index	Retention index	Composite index	Parsimony-informative sites
1gene							
ITS	1	1 200	1 .970	0.278906	0 .765634	0 .244365	0 .213540
TEF1	1	2 830	4 .647	0.280810	0 .773915	0.229713	0 .217323
TUB	1	1 628	2 .673	0.349176	0 .785012	0 .289798	0 .274107
HIS	1	1 880	3 .087	0.285557	0 .729297	0 .224608	0 .208256
CAL	1	2 234	3 .668	0 .355136	0.816321	0 .304750	0 .289905
2 genes							
ITS-TEF1	1	4 2 1 8	6 .926	0 .267368	0 .756266	0.219278	0 .202201
ITS-TUB	1	2 977	4 .888	0.303147	0 .758804	0.250811	0 .230029
ITS-HIS	1	3 268	5 .366	0.266073	0 .721901	0.212506	0 .192078
ITS-CAL	1	3 657	6 .005	0.308194	0 .780338	0.259686	0 .240496
TEF1-TUB	2	4 535	7 .447	0.300317	0 .772148	0.245351	0 .231889
TEF1-HIS	1	4 828	7 .928	0.275606	0 .749486	0.220282	0 .206562
TEF1-CAL	1	5 206	8 .548	0.304724	0 .784949	0.252402	0 .239193
TUB-HIS	1	3 606	5 .921	0.306263	0 .746843	0.244391	0 .228730
TUB-CAL	1	3 975	6 .527	0.342310	0 .795145	0.287052	0 .272186
HIS-CAL	1	4 267	7.007	0.311460	0 .770357	0.255101	0 .239935
3 genes							
ITS-TEF1-TUB	1	5 942	9 .757	0.285318	0 .758687	0.232892	0 .216467
ITS-TEF1-HIS	3	6 233	10 .235	0 .266876	0 .740786	0.214166	0 .197698
ITS-TEF1-CAL	1	6 609	10 .852	0.290524	0 .771371	0.240083	0 .224102
ITS-TUB-HIS	1	4 989	8 .192	0.288178	0 .737853	0.231161	0 .212633
ITS-TUB-CAL	1	5 378	8 .831	0.315121	0 .775889	0 .262285	0 .244499
ITS-HIS-CAL	2	5 661	9 .296	0.293677	0.757132	0.240207	0 .222352
TEF1-TUB-CAL	1	6 910	11 .346	0.311702	0 .781378	0.257255	0 .243557
TEF1-TUB-HIS	1	6 537	10 .734	0.290338	0.754311	0.233090	0 .219005
TEF1-HIS-CAL	1	7 209	11 .837	0.294419	0 .766557	0.239569	0 .225689
TUB-HIS-CAL	1	5 962	9 .790	0.318135	0.770532	0.260290	0 .245133
4 genes							
ITS-TEF1-TUB-HIS	1	7 934	13 .028	0.281222	0.747108	0.226279	0.210103
ITS-TEF1-TUB-CAL	1	8 326	13 .672	0.298775	0 .770405	0 .245945	0 .230178
ITS-TEF1-HIS-CAL	1	8 622	14 .158	0.284827	0 .757809	0.231684	0 .215844
ITS-TUB-HIS-CAL	1	7 364	12 .092	0.302877	0 .759944	0.247364	0.230170
TEF1-TUB-HIS-CAL	2	8 911	14 .632	0.301867	0.767101	0.245686	0 .231563
5 genes							
ITS-TEF1-TUB-HIS-CAL	1	10 327	16 .957	0 .292768	0 .759604	0.238098	0 .222388
1							
2							
3							
4							
5							
6							



### Table 3(on next page)

Data from the likelihood values using ML trees.

Tree	- log Likelihood	Normalizad - log Likelihood		
1 gene		log Ememou		
ITS	- 6 778.9324	- 11.1313		
TEF1	- 12 771.9747	- 20.9720		
TUB	- 8 921.3230	- 14.6491		
HIS	- 9 330.7481	- 15.3214		
CAL	- 11.756.6407	- 19.3048		
2 genes				
ITS-TEF1	- 20 494.0008	- 33.6519		
ITS-TUB	- 16 381.1047	- 26.8984		
ITS-HIS	- 16 835.4062	- 27.6443		
ITS-CAL	- 19 449.5000	- 31.9368		
TEF1-TUB	- 22 209.9657	- 36.4696		
TEF1-HIS	- 22 707.1478	- 37.2860		
TEF1-CAL	- 25 263.7157	- 41.4839		
TUB-HIS	- 18 720.0479	- 30.7390		
TUB-CAL	- 21 286.5020	- 34.9532		
HIS-CAL	- 21 896.7086	- 35.9552		
3 genes				
ITS-TEF1-TUB	- 29 959.8491	- 49.1952		
ITS-TEF1-HIS	- 30 409.1656	- 49.9329		
ITS-TEF1-CAL	- 33 105.3032	- 54.3601		
ITS-TUB-HIS	- 26 256.8160	- 43.1146		
ITS-TUB-CAL	- 29 008.0228	- 47.6322		
ITS-HIS-CAL	- 29 425.9498	- 48.3185		
TEF1-TUB-CAL	- 34 699.3754	- 56.9776		
TEF1-TUB-HIS	- 32 201.5900	- 52.8762		
TEF1-HIS-CAL	- 35 160.1260	- 57.7342		
TUB-HIS-CAL	- 31 194.9713	- 51.2233		
4 genes				
ITS-TEF1-TUB-HIS	- 39 950.3574	- 65.5999		
ITS-TEF1-TUB-CAL	- 42 574.6960	- 69.9092		
ITS-TEF1-HIS-CAL	- 42 940.9069	- 70.5105		
ITS-TUB-HIS-CAL	- 38 862.9726	- 63.8144		
TEF1-TUB-HIS-CAL	- 44 608.0234	- 73.2480		
5 genes				
ITS-TEF1-TUB-HIS-CAL	- 52 626.8115	- 86.4151		

2



Table 4(on next page)

Alignments characteristics.



Locus	No. Characters	No. Conserved sites (in %)	No. variable sites (in %)	No. Parsim-info sites (in %)
1 gene				
ITS	609	350 (57)	235 (39)	177 (29)
TEF1	535	128 (24)	382 (71)	328 (61)
TUB	603	220 (36)	323 (54)	279 (46)
HIS	688	329 (48)	311 (45)	259 (38)
CAL	667	194 (29)	425 (64)	370 (55)
2 genes				
ITS-TEF1	1149	478 (42)	617 (54)	505 (44)
ITS-TUB	1217	570 (47)	558 (46)	456 (37)
ITS-HIS	1302	679 (52)	546 (42)	436 (33)
ITS-CAL	1281	544 (42)	660 (52)	547 (43)
TEF1-TUB	1143	348 (30)	705 (62)	607 (53)
TEF1-HIS	1228	457 (37)	693 (56)	587 (48)
TEF1-CAL	1207	322 (27)	807 (67)	698 (58)
TUB-HIS	1296	549 (42)	634 (49)	538 (42)
TUB-CAL	1275	414 (32)	748 (59)	649 (51)
HIS-CAL	1360	523 (38)	736 (54)	629 (46)
3 genes				
ITS-TEF1-TUB	1757	698 (40)	940 (54)	784 (45)
ITS-TEF1-HIS	1842	807 (44)	928 (50)	764 (41)
ITS-TEF1-CAL	1821	672 (37)	1042 (57)	875 (48)
ITS-TUB-HIS	1910	899 (47)	869 (45)	715 (37)
ITS-TUB-CAL	1889	764 (40)	983 (52)	826 (44)
ITS-HIS-CAL	1974	873 (44)	971 (49)	806 (41)
TEF1-TUB-CAL	1815	542 (30)	1130 (62)	977 (54)
TEF1-TUB-HIS	1836	677 (379)	1016 (55)	866 (47)
TEF1-HIS-CAL	1900	651 (34)	1118 (59)	957 (50)
TUB-HIS-CAL	1968	743 (38)	1059 (54)	908 (46)
4 genes				
ITS-TEF1-TUB-HIS	2450	1027 (42)	1251 (51)	1043 (43)
ITS-TEF1-TUB-CAL	2429	892 (37)	1365 (56)	1154 (48)
ITS-TEF1-HIS-CAL	2514	1001 (40)	1353 (54)	1134 (45)
ITS-TUB-HIS-CAL	2582	1093 (42)	1294 (50)	1085 (42)
TEF1-TUB-HIS-CAL	2508	871 (35)	1441 (57)	1236 (49)
5 genes				
ITS-TEF1-TUB-HIS-CAL	3102	1221 (39)	1676 (54)	1413 (46)

2





#### Table 5(on next page)

Average changes in tree resolution when a locus is added or removed.

Each row indicates the locus that is added to the trees. Each column indicates the difference between trees build using n or n -1 loci. For example, row ITS, columns  $4\rightarrow3$ , indicate the average differences between every pair of 3 and 4 loci trees that include the ITS locus, using either a MP or a ML approach. The higher the number, the more different the two trees in the pair are, on average. "Average" columns indicate the average changes for all columns when a specific locus is considered. Darker cells indicate smaller average changes (and thus smaller information losses) when a locus is added from phylogenetic trees

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-											
MP						ML					
	$5 \rightarrow 4$	$4 \rightarrow 3$	$\textbf{3} \rightarrow \textbf{2}$	$\textbf{2} \rightarrow \textbf{1}$	Overall		<b>5</b> → <b>4</b>	<b>4</b> → <b>3</b>	$3 \rightarrow 2$	$\textbf{2} \rightarrow \textbf{1}$	Overall
ITS	1.416	1.407	31.9	36.875	17.8995	ITS	12	23.75	24.3888889	31.75	22.9722222
TEF1	2.963	2.95075	44.7333333	44.375	23.7555208	TEF1	22	25.25	30.6111111	42.25	30.0277778
TUB	1.705	1.70575	41.4	43.75	22.1401875	TUB	16	24.375	28.6666667	41.375	27.6041667
HIS	2.001	1.998	41.2	40.5	21.42475	HIS	12	19	24.8888889	37	23.222222
CAL	2.393	29	42.6	42.125	29.0295	CAL	10	24.5	25.3333333	39.125	24.7395833