

1 **Pesticide mixtures in the Swedish streams: environmental risks, contributions of**
2 **individual compounds and consequences of single-substance oriented risk**
3 **mitigation**

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20

21 **Abstract**

22 This paper presents the ecotoxicological assessment and environmental risk evaluation of
23 complex pesticide mixtures occurring in freshwater ecosystems in southern Sweden. The
24 evaluation is based on exposure data collected between 2002 and 2013 by the Swedish
25 pesticide monitoring program and includes 1308 individual samples, detecting mixtures of up to
26 53 pesticides (modal=8). Pesticide mixture risks were evaluated using three different scenarios
27 for non-detects (best-case, worst-case and using the Kaplan-Meier method). The risk of each
28 scenario was analyzed using Swedish Water Quality Objectives (WQO) and trophic-level
29 specific environmental thresholds.

30 Using the Kaplan-Meier method the environmental risk of 73% of the samples exceeded
31 acceptable levels, based on an assessment using Concentration-Addition and WQOs for the
32 individual pesticides. Algae were the most sensitive organism group. However, analytical
33 detection limits, especially for insecticides, were insufficient to analyze concentrations at or
34 near their WQO's. Thus, the risk of the analyzed pesticide mixtures to crustaceans and fish is
35 systematically underestimated. Treating non-detects as being present at their individual limit of
36 detection increased the estimated risk by a factor 100 or more, compared to the best-case or
37 the Kaplan-Meier scenario.

38 Pesticide mixture risks are often driven by only 1-3 compounds. However, the risk-drivers (i.e.,
39 individual pesticides explaining the largest share of potential effects) differ substantially
40 between sites and samples, and 83 of the 141 monitored pesticides need to be included in the
41 assessment to account for 95% of the risk at all sites and years.

42 Single-substance oriented risk mitigation measures that would ensure that each individual
43 pesticide is present at a maximum of 95% of its individual WQO, would also reduce the mixture
44 risk, but only from a median risk quotient of 2.1 to a median risk quotient of 1.8. Also,
45 acceptable total risk levels would still be exceeded in more than 70% of the samples.

46

47 **Introduction**

48 Multiple studies have demonstrated that complex pesticide mixtures are present in surface
49 waters globally, in the US (e.g. Gilliom, 2001. Stone et al., 2014a. Stone et al., 2014b), Europe
50 (e.g. Moschet et al., 2014; Schreiner et al., 2016; Ccanccapa et al., 2016) and elsewhere (e.g. in
51 South America (Hunt et al., 2016), Australia (Allinson et al., 2015) and China (Zhang et al.,
52 2011)). Empirical evidence univocally shows that the combined toxic effects of such pesticide
53 mixtures exceed the effect of each individual compound (e.g. Faust et al. 2001; Faust et al.
54 2003; Knauert et al., 2009 and Porsbring et al. 2010, see also reviews by Belden et al., 2007;
55 Verbruggen & van den Brink, 2010 and Rodney et al., 2014).

56 Studies have repeatedly demonstrated that Concentration Addition (CA) describes the joint
57 toxicity of pesticide mixtures well (reviewed by Belden et al., 2007; Rodney et al., 2014). This
58 implies that all components contribute to the overall mixture toxicity, independently of
59 whether they are present at concentrations above or below their individual No Observed Effect
60 Concentration (NOEC) or Environmental Quality Standard (EQS). Mixtures might therefore
61 cause toxic effects even if all components are present at concentrations below which an
62 individual effect is detectable (e.g. Carvalho et al., 2014, Faust et al., 2001). Taken together, the
63 available body of evidence thus clearly shows that pesticide mixtures warrant specific
64 consideration during environmental risk assessment, monitoring and management.

65 Environmental risks of pesticides and pesticide mixtures are assessed in the European
66 regulatory system from two perspectives. First, active ingredients and whole formulated
67 pesticide products are evaluated for their environmental hazard, exposure and risk during
68 market authorization (EFSA, 2013), following the legal frameworks that are laid down in
69 Regulations EC 1107/2009 on the placing of plant protection products on the market (European
70 Parliament, 2009) and EC 546/2011 on uniform principles (European Commission, 2011a).
71 However, 'coincidental' pesticide mixtures, i.e. mixtures of active ingredients that result from
72 farmers applying different pesticide products in close proximity to a given water body or
73 because of sequential spraying of different pesticides on the same field, are not considered in
74 Regulation EC 1107/2009 nor in Directive 2009/128/EC (European Parliament, 2009b).
75 However, it has been argued that the uniform principles in Regulation 546/2011/EC require
76 authorization of plant protection products to be based on the "proposed conditions for use"
77 and consequently – given common agricultural practice – to consider the environmental impact
78 of the resulting pesticide mixtures (Frische et al. 2014).

79 Second, the impact of mixtures of pesticides (and other hazardous chemicals) on the ecological
80 status of an aquatic system is assessed from the perspective of the Water Framework Directive
81 (WFD) (European Parliament, 2000). In order to be classified as having a good ecological status,
82 a water body also needs to have good chemical status, which requires that the concentrations
83 of each of 45 priority pollutants, which are currently listed in Directive 2013/39/EC (European
84 Parliament, 2013), do not exceed European-wide thresholds, so-called Environmental Quality

85 Standards (EQS). In addition, in order to track progress towards the national goal of a “non-
 86 toxic environment” (adopted in 1999), Sweden also developed national Water Quality
 87 Objective(s) (WQO) for pesticides, defined as concentrations which are not expected to cause
 88 any adverse effects in the aquatic environment (Norberg, 2004. Lindström & Kreuger, 2015).
 89 These values are similar to EQS values and serve as a tool to evaluate surface water quality
 90 based on monitoring results, but are not legally binding. WQO’s are derived using a method
 91 that closely follows the REACH approach for deriving Predicted No Effect Concentrations (PNEC)
 92 values, based on single species data and assessment factors between 10 and 1000, depending
 93 on the underlying ecotoxicological endpoints (Andersson et al. 2009, Andersson & Kreuger
 94 2011, KEMI 2008).

95 Concentration Addition based mixture risk assessments

96 Risk assessment of chemical mixtures is routinely performed using CA (Kortenkamp et al., 2009;
 97 Bopp et al., 2015). CA has also been suggested specifically for the assessment of pesticide
 98 mixtures (EFSA, 2013) and it is the recommended approach for setting EQS values for chemical
 99 mixtures within the context of the WFD (European Commission, 2011b).

100 According to CA the risk quotient (RQ) of a mixture, RQ_{CA} , is defined as:

$$101 \quad RQ_{CA} = \frac{c_{mix}}{ECx_{mix}} = \sum_{i=1}^n \frac{c_i}{ECx_i} = \sum_{i=1}^n TU_i \quad (\text{eqn. 1})$$

102 where c_{mix} is the total concentration of the mixture, ECx_{mix} is the mixture concentration causing
 103 x% effect, while c_i and ECx_i denote the corresponding concentrations of substance i . The ratio
 104 c_i/ECx_i provides a dimensionless measure of the toxicity contribution of compound i usually
 105 termed a Toxic Unit (TU). Although the concept is rooted in the idea of the mixture components
 106 sharing the same mode of action, as well as not taking possible synergistic (or antagonistic)
 107 effects into account (Cedergreen, 2014), CA has been successfully used for the risk assessment
 108 of heterogeneous mixtures (Belden et al., 2007; Kortenkamp et al., 2009; Verbruggen & van
 109 den Brink, 2010; Rodney et al, 2014; Bopp et al., 2015). The toxicity estimates in eq 1 (ECx_{mix}
 110 and ECx_i) in principle refer to the same ecotoxicological endpoint recorded for the same species
 111 under identical exposure conditions. However, in practice CA is often applied in a broader
 112 setting, e.g. by using data from different algal species in order to predict the toxicity to algae in
 113 general.

114 In the present paper, we have applied CA in order to separately calculate the risks for algae,
 115 crustaceans and fish. The corresponding CA-based mixture RQs are termed RQ_{Algae} , RQ_{Crust} and
 116 RQ_{Fish} . Moreover, by substituting the ECx_i with the WQO_i and c_i with the Measured
 117 Environmental Concentration (MEC_i) we determined ecosystem-wide RQ_{WQO} values as the sum
 118 of the individual MEC/WQO ratios, following the rationale outlined by (Backhaus & Faust, 2012):

$$119 \quad RQ_{WQO} = \sum_{i=1}^n \frac{MEC_i}{WQO_i} = \sum_{i=1}^n \frac{MEC_i}{\min(\text{Toxicity data}_i) * AF_i} \quad (\text{eqn. 2})$$

120 Comparing the trophic-level specific RQs with RQ_{WQO} is difficult, as the latter is calculated using
121 assessment factors to account for the different amounts of data available for each compound,
122 while RQ_{Algae} , RQ_{Crust} and RQ_{Fish} are calculated without using any assessment factors. In order to
123 bridge these two approaches, we therefore also calculated a mixture RQ for the most sensitive
124 trophic level (RQ_{MST}), defined as:

$$125 \quad RQ_{MST} = \sum_{i=1}^n \frac{MEC_i}{\min(EC50_{Algae}, EC50_{Crustaceans}, EC50_{Fish})} \quad (\text{eqn. 3})$$

126 RQ_{MST} provides a measure for the risk across trophic levels, but is calculated without using any
127 assessment factors. It thus takes an interim position and bridges the trophic-level specific RQs
128 (RQ_{Algae} , RQ_{Crust} or RQ_{Fish}) to the ecosystem-wide RQ_{WQO} . The RQ_{MST} is conceptually identical to
129 the point of departure index (PODI), frequently used in human toxicology (Wilkinson et al.
130 2000).

131 A RQ provides a yardstick for assessing the need to act. Values of RQ_{WQO} exceeding 1 indicate
132 the need for either a more advanced mixture risk assessment, and/or for the implementation of
133 risk mitigation measures. We defined the corresponding critical values for RQ_{Algae} , RQ_{Crust} , RQ_{Fish}
134 as 0.1, 0.01 and 0.01, respectively, following the assessment strategy for individual pesticides
135 (EFSA, 2013). Defining a critical value for RQ_{MST} is not feasible at the moment, as no strategy
136 has been suggested yet on how an overall assessment factor should be calculated that reflects
137 the overall uncertainty in eq 2. The RQ_{MST} will always be higher than any of the organism-group
138 specific RQs (Backhaus & Faust, 2012) and, because no assessment factors are applied, lower
139 than the RQ_{WQO} .

140 **The Maximum Cumulative Ratio and its role in mixture risk assessments**

141 The ratio between the total RQ of a mixture and the maximum RQ of its components has been
142 termed the maximum cumulative ratio (MCR, Price & Han 2011). That is,

$$143 \quad MCR = \frac{RQ_{CA}}{\max_{i=1..n}(RQ_i)} \quad (\text{eqn. 4})$$

144 If all components of a mixture are contributing equally to the predicted mixture risk, the MCR
145 equals the number of compounds in the mixture. In a mixture whose TU distribution is
146 dominated by one compound, the MCR approaches 1. Therefore, the MCR has been suggested
147 as a tool to assess the value of performing mixture toxicity assessments (Price & Han 2011).

148 **The problem of Non-Detects**

149 Chemical risk assessment is in general based on comparing relevant exposure estimates
150 (measured or modeled) with hazard estimates, such as NOEC's, EC50's and EQS values. Such
151 estimates are straightforward to calculate on the basis of monitoring results, as long as
152 detected environmental concentrations are quantified, either above the chemical-analytical

153 limit of quantification (LOQ) or the limit of detection (LOD). However, sometimes when the
154 detection is below the LOQ but still above the LOD the concentration is not quantified (only
155 given as 'trace') in order to save time in the laboratory. Nevertheless, reasonable assumptions
156 on the trace concentrations present can be made using $(LOQ+LOD)/2$ as a surrogate for
157 unquantified detections between the LOQ and the LOD, as long as these two parameters are
158 stated in the analytical protocol.

159 However, the situation becomes problematic if a monitored chemical is not detected. Such a
160 result does not prove that the compound is not present, it only shows that the concentration is
161 somewhere between zero and the LOD. Assuming a zero concentration for all non-detects will
162 therefore underestimate the total risk, if no additional knowledge about e.g. emission or use
163 pattern is available.

164 On the other hand, assuming that all non-detected compounds are present just below their
165 LOD – the worst-case scenario that is still compatible with the recorded values – is also
166 unrealistic. Such an approach immediately leads to the logical inconsistency that the estimated
167 risk becomes simply dependent on the number of compounds analyzed. The same is true for
168 setting the concentration used for the risk assessment *a priori* to any other value above zero.

169 Parametric and non-parametric statistical methods are available for data with “less-than”
170 values, i.e. findings of concentrations $< LOD$. They allow the estimation of the likely
171 contribution of non-detects to the total RQ. In this paper we used the non-parametric Kaplan-
172 Meier (KM) method (Helsel, 2010, 2012; Bolks et al., 2014), because it is not possible to ensure
173 that the distributional assumptions of parametric alternatives are fulfilled in the analyzed data.
174 A KM-adjusted sum of RQs lies between the sum of RQs that result from substituting all non-
175 detects with their respective LOD and the sum of RQ that results from substituting all non-
176 detects with zero.

177 The KM-method ignores the potential risk contribution of a compound, if its potential RQ
178 exceeds the maximum of the RQs that are based on a quantified concentration. For such
179 compounds, better analytical data are required for a reliable quantification of their risk
180 contribution.

181 **Aims of the study**

182 The southern part of Sweden is an area of intense agricultural activity and pesticide residues
183 have been systematically monitored at six sites since 2002 (Lindström, 2015; Lindström &
184 Kreuger, 2015). In this paper, we applied CA-based risk assessment approaches in order to
185 estimate and characterize the environmental risks from the detected pesticide mixtures, using
186 RQ_{Algae} , RQ_{Crust} , RQ_{Fish} , RQ_{MST} and RQ_{WQO} . The results will then be used for a broader discussion
187 on the impact of non-detects on component-based mixture risk assessments. Finally, we
188 explore the consequences of a single-substance oriented risk management, i.e. assuming that
189 risk mitigation measures ensure that all individual concentrations are below their
190 corresponding WQO's.

191 In order to explore how the different possibilities to incorporate (or ignore) concentrations
192 below the LOD influence the final mixture risk estimates, we calculated all RQs for three
193 different exposure scenarios (table 1). Scenario 1 and 2 assumes that non-detects are present
194 at a concentration equal to their LOD or at zero, respectively. Scenario 3 uses the KM-
195 adjustment for compounds present <LOD.

196 Material and Methods**197 Pesticide monitoring data**

198 As part of a continuous Swedish pesticide monitoring program the Swedish University of
199 Agricultural Sciences publishes data on pesticide concentrations in four streams draining 8-16
200 km² and two rivers draining 102-488 km² at http://jordbruksvatten.slu.se/pesticider_start.cfm
201 (Agricultural land, 2017; Lindström & Kreuger, 2015). The chemical monitoring data was
202 quality-checked and is made publically available as a downloadable datafile for a broader
203 audience via GitHub (https://github.com/ThomasBackhausLab/Swedish_Pesticide_Data.git),
204 see also S.I. table 1. The data comprises more than 128 000 analytical measurements from 308
205 weekly samples for between 76 and 131 pesticides and pesticide degradation products
206 (Lindström & Kreuger, 2015). The inclusion of pesticides in the monitoring program was based
207 on use information (past and present) from the four catchments, but the program also includes
208 pesticides identified as a priority substance within the European water policy. Individual
209 pesticides requiring specific analytical methods were excluded, with the exception of
210 glyphosate and AMPA. Pesticide concentrations were either in quantifiable concentrations
211 (conc \geq LOQ), in trace concentrations (LOD \geq conc $<$ LOQ) or in non-detectable concentrations
212 (conc $<$ LOD). Trace concentrations detected during 2002-2008 were not quantified and for the
213 risk assessment it was assumed that the compounds were present at a concentration of
214 (LOQ+LOD)/2. From 2009 and onwards trace concentrations were quantified (although with a
215 somewhat lower precision compared to concentrations \geq LOQ) and used directly for the risk
216 assessment.

217

218 Compilation of toxicity data and water quality standards for the individual pesticides

219 Ecotoxicity data were collected from the US EPA ECOTOX database (US EPA, 2016), the
220 eChemPortal (OECD, 2016), the ePesticide Manual (ePesticide Manual, v5.2), background
221 documents on Swedish WQO as published by the Swedish Chemicals Agency (KEMI, 2008) and
222 the Swedish University of Agricultural Sciences (Andersson et al. 2009, Andersson & Kreuger
223 2011).

224 The following data were retrieved independently from the ECOTOX database and eChemPortal:
225 (i) EC50 values for fish mortality after an exposure between one and four days. (ii) EC50's for
226 crustacean mortality (immobilization) after an exposure between one and four days. (iii) EC50
227 values for algae (population growth rate, biomass or reproduction) exposed between one and
228 four days. No correction to account for the different exposure durations was performed prior to
229 using the data in the following steps. If several EC50 values were available for the same species,
230 its arithmetic mean was calculated within each data source. Finally, the geometric mean within
231 each taxonomic group was calculated per data source and used for the subsequent risk
232 estimations (EFSA, 2013).

233 All exposure durations and endpoint measurements were included for data retrieved from the
234 ePesticide Manual (ePesticide Manual, v5.2) and the WQO linked sources. Limit data (i.e. EC50
235 and NOEC values given as “greater than” values) were included if no other data were available.
236 This produced a final dataset based primarily on experimental data, rather than having to resort
237 to modelling approaches.

238 Additionally, data for flamprop were retrieved from a New Zealand report (ERMA, 2009), and
239 ECOSAR version 1.11 (US EPA, 2016) was used to estimate the fish toxicity of two atrazine
240 breakdown products (atrazine-desisopropyl, atrazine-desethyl) and the fish and algal toxicity of
241 quinoxifen.

242 The full dataset of pesticide ecotoxicity data is also available via GitHub
243 (https://github.com/ThomasBackhausLab/Swedish_Pesticide_Data.git), see also S.I. Table 2.

244 **Data Analysis**

245 All data were analyzed using the statistical software R, version 3.2.5 (R Core Team, 2016), in
246 order to calculate the RQs according to eqns. 1- 3, for three exposure scenarios each (table 1),
247 as well as the corresponding MCR values (eqn. 4). The Kaplan-Meier adjustment was
248 implemented using the NADA package for R, version 1.5 (Lee, 2015).

249 **Results and Discussion**

250 The ecotoxicological risk of the pesticide mixtures found in Swedish freshwater ecosystems was
251 previously described by Bundschuh and coworkers (2014) for the timeframe from 2002 to 2011.
252 In this paper, we analyze three additional issues: Firstly, we explore the relevance of non-
253 detects for the overall mixture risk. Secondly, we compare the specific risks for the three main
254 organism groups, i.e. algae, crustaceans and fish with ecosystem-wide risks. Finally, we analyze
255 the impact of successful single-substance oriented risk mitigation measures on the overall risk
256 of the monitored pesticide mixtures, as well as their implications for risk management
257 strategies. Furthermore, in order for the analysis to cover the maximum available time-span we
258 also included monitoring data recorded between 2011 and 2013.

259 **Exposure profiles from the six monitored sites**

260 Between 107 and 308 samples were analyzed from each site. This was done in weekly intervals
261 during the growing season for the four agricultural streams, twice a month during May–June
262 and monthly during July–November for the two rivers (summary in table 2). The samples taken
263 in the four streams were time-proportional and are composites from samples taken every 90
264 minutes by automated samplers, while the river-samples are single grab samples. A total of 141
265 pesticides and pesticide degradation products (62 herbicides, 29 insecticides, 37 fungicides, 10
266 degradation products, 2 growth regulators and 1 impurity) were monitored at least once and
267 115 of these compounds were detected one or more times. At the start of the campaign in
268 2002 76 pesticides and degradation products were analyzed, increasing to a total number of
269 131 in 2013.

270 The presence of the monitored pesticides as mixtures is obvious: Up to 53 compounds were
271 found per sample, with a modal (most common value) of 8 compounds per sample. A maximum
272 of 42 pesticides per sample was found in quantifiable concentrations, i.e. at levels above the
273 LOQ, with a modal value of 4 quantifiable compounds per sample (table 2). Given that mixture
274 risks exceed single substance risks, these findings clearly demonstrate the need to consider the
275 joint presence of pesticides for a realistic environmental risk assessment.

276 **The analytical level of detection is insufficient for several insecticides**

277 The ratio between the WQO and the LOD can be used to assess whether a chemical-analytical
278 method is sufficiently sensitive and at the very least the LOD should be equal to the
279 corresponding WQO. However, as also lower concentrations contribute to the overall toxicity of
280 a mixture, LODs clearly below the corresponding WQO would be highly advantageous to
281 adequately account for the contribution of all compounds to the mixture's toxicity.

282 Figure 1 depicts the pesticides with median LOD/WQO ratios higher than 0.1. 10 compounds,
283 mainly pyrethroid and organophosphate insecticides, have a LOD/WQO ratio above 1, even
284 exceeding 100 for Permethrin. Those compounds can currently not be analyzed for their
285 presence at or near the respective WQO and, consequently, no reliable conclusions on whether
286 these pesticides are risk drivers in Swedish surface waters can be drawn. Fungicides and
287 herbicides have, in contrast, sufficiently low LODs.

288 **Comparison of the different exposure scenarios**

289 Table 1 lists the three different exposure scenarios implemented in this paper, with scenario 1
290 (assuming all non-detects being present at their corresponding LOD) being the most
291 conservative and scenario 2 (assuming all non-detects being not present) being the least
292 conservative. Risk estimates from scenario 3, using the KM adjustment of values below the LOD
293 (non-detects), fall between the estimates from scenario 1 and 2.

294 Consequently, scenario 1 produces the highest risk estimates for the evaluated scenarios, and
295 scenario 2 generates the lowest risk estimates (table 3). The differences are most prominent in
296 situations that are dominated by compounds with insufficient LODs, i.e. when assessing the
297 risks for crustaceans and fish, both of which are sensitive to insecticides which typically have a
298 high LOD to WQO ratio (figure 1).

299 Interestingly, scenario 2 produces risk estimates that are very close to scenario 3, with the ratio
300 between the median predicted RQ_{WQO} values being a mere 1.05 (table 3). This indicates that the
301 non-detects whose potential risk contribution can be accounted for by the KM-adjustment add
302 only marginally to the overall risk. However, given that the KM-adjusted RQ is more inclusive, it
303 is considered the more realistic approach (Helsel, 2010. Helsel, 2012).

304 **Trophic-level specific risks**

305 Figure 2 gives an overview of the average risk estimates per site between 2002 and 2013 for
306 each of the three exposure scenarios as described in table 1, separately for each trophic level

307 (algae, crustaceans, fish), and finally aggregated for the most-sensitive trophic level (MST) and
308 for WQO values (see introduction for details). The corresponding numerical risk estimates are
309 given in table 3.

310 For the scenario 2 and 3 the RQ for algae is the largest among all trophic level specific RQs
311 (table 3). For scenario 3 it exceeds the RQ for crustaceans and fish on average by a factor of 17
312 and 54, respectively. This indicates that herbicides are the ecotoxicologically dominating group
313 of the pesticide mixtures. This is in line with the findings by Schreiner et al. (2016), who
314 identified herbicides as the most frequently detected compounds in monitoring data from
315 Germany, France, the Netherlands and the USA. This pattern might also at least partly reflect
316 that the mixture RQs for crustaceans and fish are calculated based on acute data (mainly
317 mortality) while the RQ for algae is based on the results of algal growth and reproduction
318 assays, i.e. chronic endpoints (see material and methods).

319 Correspondingly, the critical value for the RQ_{Algae} is 0.1 while the critical value for RQ_{Crust} and
320 RQ_{Fish} is 0.01 (EFSA, 2013). These values are marked in figure 2 as horizontal red lines. For algae,
321 it can be clearly seen that the median toxic RQs are below the corresponding critical values for
322 all sites and exposure scenarios (table 3). Meanwhile, the median RQs for crustaceans and fish
323 are close to, or even above, the critical value – but only in scenario 1. This reflects the largely
324 insufficient LODs for insecticides (figure 1), which drive the overall toxicity towards crustaceans
325 and fish in scenario 1. In contrast to fish and crustaceans, the risk estimates for algae, which are
326 not affected by insecticides, are clearly less affected by the different approaches for handling
327 non-detects (figure 2).

328 However, despite the median RQ being below 0.1 and 0.01, respectively, a certain percentage
329 of samples from each site, trophic level and exposure scenario shows values above the critical
330 threshold (table 4). Sites M42 and Skivarpsån have the highest percentage of unacceptable risks
331 to algae with 0.6% and 0.9% of the samples (scenario 3), while crustaceans are most often put
332 at risk at site N34 (in 9.5% of the samples, scenario 3).

333 **Pesticide mixtures regularly put aquatic ecosystems at risk**

334 Although the trophic-level specific RQs highlight the most sensitive trophic levels, they do not
335 adequately describe the overall risks of a mixture for the exposed ecosystem. An alternative
336 approach is to consider the most sensitive trophic level for each compound and sum the
337 resulting RQs (Faust & Backhaus, 2012). The resulting RQ_{MST} indeed exceeds the trophic-level
338 specific RQs by factors of 1.0-1.3 (RQ_{Algae}), 10.0-24.7 (RQ_{Crust}) and 26.1-143.4 (RQ_{Fish}) (table 3,
339 scenario 3).

340 However, RQ_{MST} does not consider any assessment factors and only makes use of acute EC50
341 data for crustaceans and fish (see material and methods). It is therefore of only limited use to
342 assess the final risks at the exposed sites. This is overcome by using the RQ_{WQO} which not only
343 includes chronic toxicity data from invertebrates and fish as well as macrophyte responses, but
344 also applies compound-specific assessment factors to account for the different types and

345 amounts of ecotoxicological data available for each compound (Andersson et al., 2009.
346 Andersson & Kreuger, 2011. KEMI 2008).

347 The resulting WQO-based RQs indicate a substantial risk for the majority of samples, except at
348 site O18 (figure 2 and table 3). Even scenario 2, which ignores the possibility that non-detects
349 might not be actual zero concentrations, still results in median RQs of 0.7 at site O18 (table 3).
350 Over all sites, the KM adjusted RQs (scenario 3) yield median RQs between 0.7 and 4.1, with a
351 total of 73% of the analyzed samples indicating a risk of adverse effects (table 4).

352 These results confirm and provide further support to previous studies concluding that pesticide
353 mixtures put exposed ecosystems at risk. For example, a series of mixture-oriented
354 assessments in the Llobregat river (Köck-Schulmeyer et al., 2012. López-Doval et al., 2012)
355 consistently found that the total loads with pesticides and other organic chemicals are
356 unacceptably high. Similar findings are provided by Vaj et al. (2011) for the river Meolo.

357 **Site specific ecosystem-wide risks do not change over time**

358 Figure 3 visualizes the KM-adjusted RQ_{WQO} for the six sites between 2002 and 2013. Not only
359 does the figure highlight the frequent risk of adverse effects, it also becomes obvious that no
360 clear trends are visible: the risk at the six monitored sites is comparatively constant over time,
361 despite EU Regulation No 1107/2009 replacing Council Directives 79/117/EEC and 91/414/EEC
362 in 2009 (European Council, 1978. European Council, 1991. European Parliament, 2009a). This
363 indicates a remarkably small impact of regulatory developments on the actual environmental
364 risks. Most likely this is a consequence of pesticides leaving the market being replaced by an
365 increased use (and hence risk contribution) from pesticides that remain on the market, and/or
366 by risk contributions from new compounds. As a consequence, the overall environmental risk
367 basically remains constant.

368 **The contribution of individual compounds**

369 The fact that up to 53 compounds were found in a single sample (table 2) does not imply that
370 each compound contributes to a similar extent to the overall mixture risk. Table 5 provides the
371 MCR, a measure for the evenness of the toxic unit distribution, for all sites, trophic levels and
372 exposure scenarios. The median values for the three different trophic levels range from 1 to
373 approximately 3, with the majority of values around 2. For all scenarios based on the WQO, the
374 median MCR values never exceed 3. This indicates that, per sample, typically only very few
375 compounds contribute substantially to the overall mixture risk.

376 These findings are consistent with previous studies. For instance, Verro et al. (2009) found that
377 in the river Meolo in Italy one or a few compounds were usually responsible for more than 80%
378 of the CA estimated mixture toxicity. More recently, Vallotton and her co-workers analyzed the
379 joint toxicity of pesticide mixtures detected in the water quality monitoring of the National
380 Water-Quality Assessment (NAWQA) program of the U.S. Geological Survey (Vallotton et al.,
381 2016). They concluded that the environmental risk for more than 90% of the samples analyzed
382 is driven by just one compound.

383 Initially such results seem to indicate that mixture toxicity analyses are of only moderate
384 importance for realistic environmental assessments. Furthermore, they also seem to open up
385 for a substantial simplification of the exposure profiles, i.e. a focus of monitoring efforts and
386 risk mitigation measures on those identified “mixture risk drivers”. But those risk drivers are
387 quite specific for each sample and site: site E21, for example, has a median MCR for the RQ_{WQO}
388 of 2.9, indicating that only between 2 and 3 compounds dominate the estimated mixture risk.
389 But in order to capture at least 95% of the overall risk of all 248 samples taken over the years,
390 there are still 44 compounds that need to be monitored and the situation at the other
391 monitored sites is very similar (table 2). This is due to the fact that the dominating compounds
392 constantly fluctuate between samples.

393 **Consequences of single-substance oriented risk management**

394 It has been argued that a MCR close to 1 indicates that a given exposure situation is not
395 relevant from a mixture perspective, but is instead a single substance issue (Price & Han, 2011).
396 However, such an argumentation falls too short without taking a closer look at the
397 consequences of single-substance oriented risk managements for the overall toxicity.

398 In order to analyze this issue in more detail, we assumed that single-substance oriented risk
399 mitigation (RM) measures were successfully implemented, leading to a situation in which no
400 individual RQ exceeds a value of 0.95. That is, each component is, after the implementation of
401 risk mitigation measures, assumed to be present at a concentration of a maximum of 95% of its
402 WQO. Under these circumstances, the analyzed aquatic ecosystem would be assessed as having
403 a good chemical status according to the WFD. We then calculated the resulting mixture risk
404 quotients for this situation, termed $RQ_{WQO(RM)}$, using WQO based RQs of the individual
405 substances for scenario 1 and 2.

406 The results of the single-substance risk mitigations, using scenario 2, are shown in figure 3 as
407 green bars for all sites, with the corresponding numerical values given in table 3. The assumed
408 risk mitigations lower risks, but only from a median risk quotient of 2.1 to a median risk
409 quotient of 1.8 (table 3). Overall, 70% of the sites still have an unacceptably high risk (risk
410 mitigated scenario 2, see table 4).

411 Figure 4 provides a detailed example of the underlying RQ distribution for a complex sample
412 with 27 compounds from site E21. It can be clearly seen that single-substance oriented risk
413 mitigation substantially lowers the overall risks and such measures are thus a critical first step
414 towards a non-toxic environment. But it is also obvious that single substance risk mitigation is
415 unable to ensure that $RQ_{WQO(RM)}$ is below the critical value of 1.

416 As a consequence of the assumed successful implementation of single-substance oriented risk
417 management measures, the average MCR values of the mixtures increase (table 5). This
418 increased evenness is a consequence of introducing a ceiling for the maximum individual RQ at
419 a value 0.95, simply attributing the same risk to all compounds which individually exceeded the
420 WQO before the assumed risk mitigation.

421 **Conclusions**

422 The presented risk analysis concludes that pesticide residues frequently put aquatic ecosystems
423 in Southern Sweden at risk. This is in line with previous studies in aquatic ecosystems elsewhere
424 (see above). Using WQO values produced by the Swedish Chemicals Agency and the Swedish
425 University of Agricultural Sciences as our basis we conclude that the risk posed by pesticide
426 mixtures were unacceptably high in 73% of the analyzed samples (when using the KM
427 adjustment for non-detects). The fact that the environmental risk at all monitored sites was
428 quite constant over more than a decade indicates that the impact of new regulatory
429 frameworks such as Regulation No 1107/2009 or Directive 2009/128/EC on the sustainable use
430 of plant protection products (European Parliament, 2009a. European Parliament, 2009b) is
431 limited. This goes together not only with previously published studies in the scientific literature
432 (see above), but also with the recent assessment of the progress towards achieving the Swedish
433 environmental objective of a “non-toxic environment”, where it was concluded that the
434 environmental risk of pesticides in the Swedish environment is constant, or even slightly
435 increasing (<http://www.miljomal.se/Miljomalen/Alla-indikatorer/Indikatorersida/?iid=140&pl=1>).

436 The ecotoxicology of the pesticide mixtures detected in the Swedish monitoring program is
437 often dominated by only a few compounds – which fluctuate between sites and samples. This
438 allows targeting risk mitigation measures at a subset of pesticides, but at the same time
439 requires that the overall chemical complexity and dynamic at a site has been systematically
440 explored and that this information is kept up to date. At the moment the detection limits of the
441 methods used in multi-component monitoring programs seem to be insufficient for several
442 insecticides . Additionally, purely chemical monitoring efforts will always be limited to an *a*
443 *priori* selection of the compounds included in the analyses. That is, any component-based
444 mixture risk analysis (as the one presented in this paper) will always be limited to a predefined
445 mixture which is, inherently, only a fraction of the total toxic pressure that the organisms
446 experience at a site. Additionally, such approaches currently do not account for potential
447 synergistic interactions between the mixture components. Validated effect-based assessments
448 (Brack et al., 2015. Altenburger et al. 2015) and in-situ experiments (Crane et al., 2007) might
449 therefore be a necessary complement to chemical monitoring efforts.

450 The fact that the five different RQs for the three different scenarios differ substantially
451 emphasizes the paramount importance of being clear about the aims of a mixture toxicity
452 assessment and its underlying assumptions. For instance, while exposure scenario 1, in which
453 we assumed that all non-detects are present just at their LOD, is useful for a first check on
454 whether there is even the possibility that an exposed site is put at risk, it certainly
455 overestimates the actual risks. The plethora of possibilities to use different ecotoxicological
456 data, assessment factors and exposure scenarios also highlights the need to keep the data
457 collection and condensation algorithms transparent and available for review and scrutiny.

458

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464

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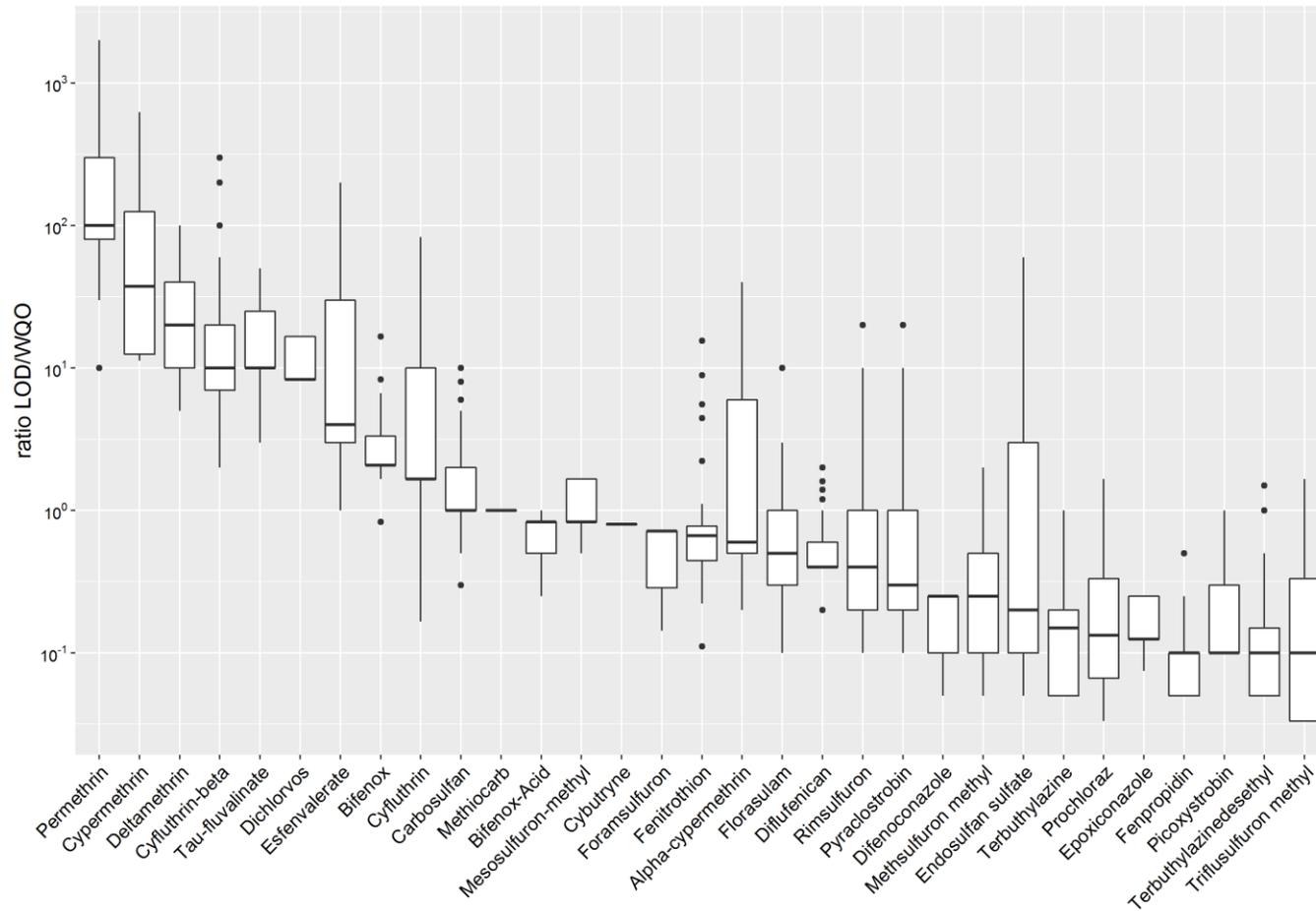
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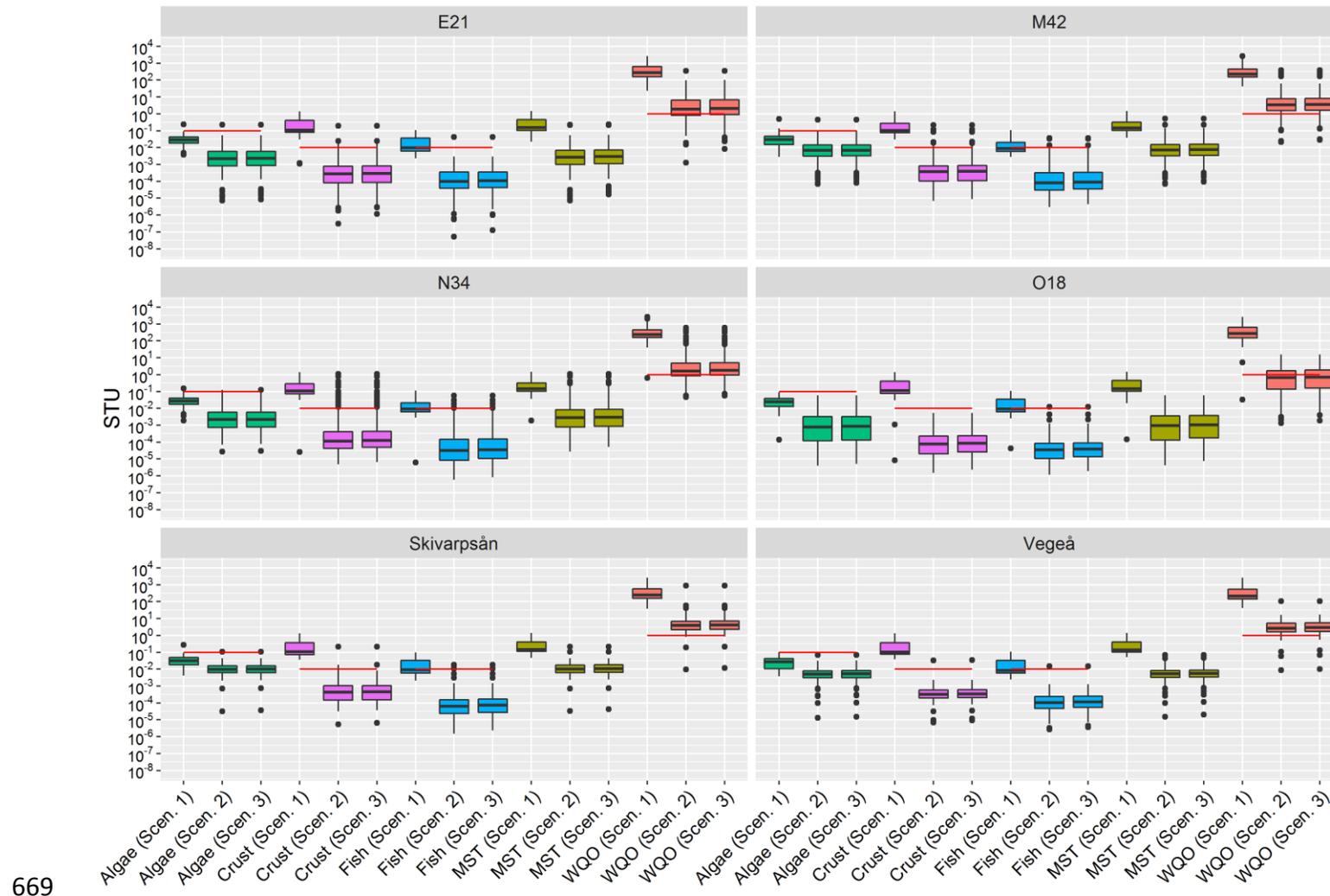
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662 **Figure 1:** The LOD of the monitored pesticides has changed over time (2002-2103), giving a range of ratios of Limit of Detection (LOD) to WQO
663 for each individual pesticide. Pesticides with a median ratio LOD / WQO ≥ 0.1 are included in the figure.

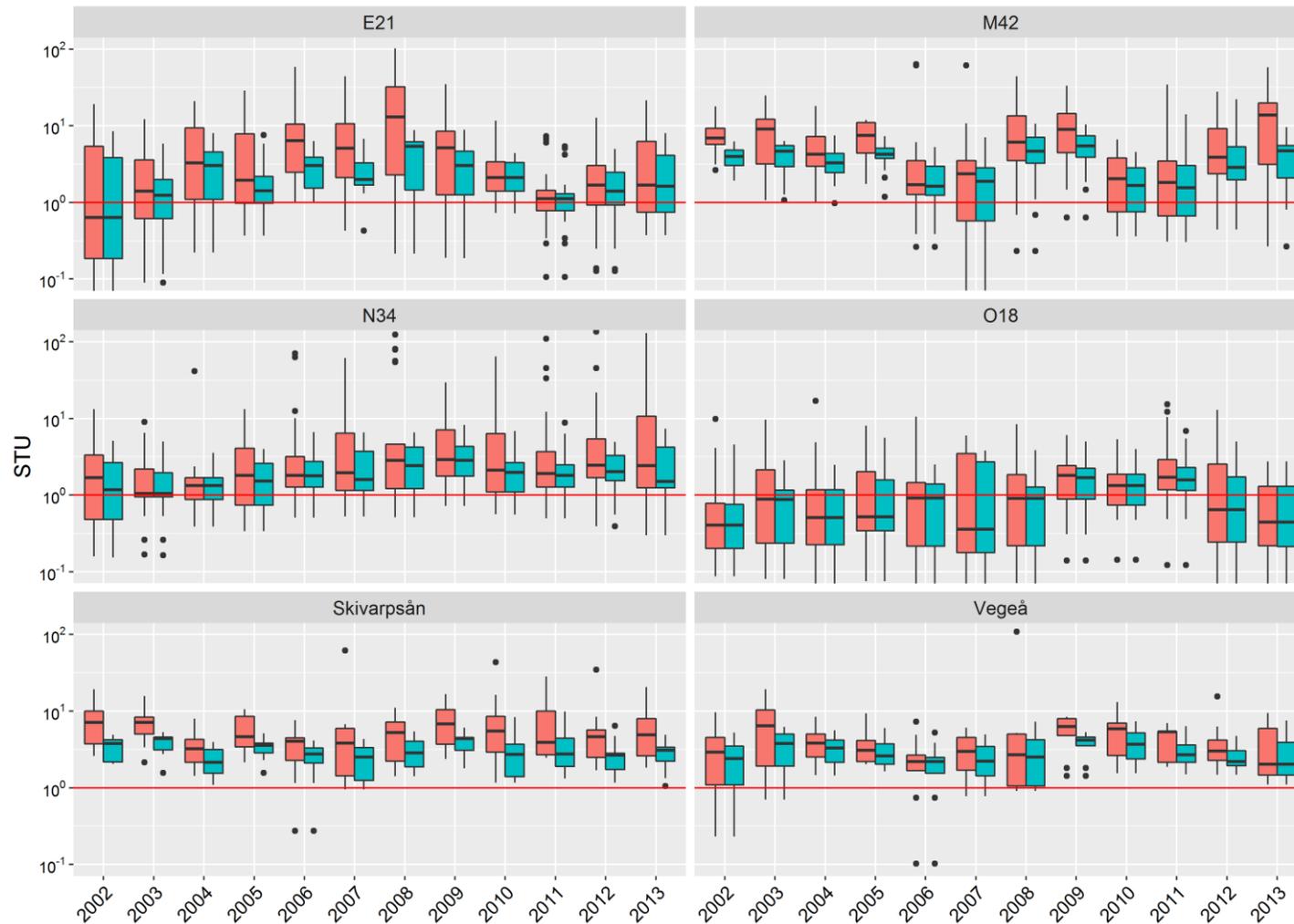


664

665 **Figure 2:** The summed risk quotients using algae, crustaceans, fish, the most sensitive trophic level (MST) and the WQO values for the six
 666 monitored site for 2002 – 2013, using four different exposure scenarios (see table 1). Horizontal bars indicate the critical threshold between
 667 the “no risk” and “risk” situation, which was set to 0.1 for algae and 0.01 for crustaceans and fish (EU Commission, 2002). For RQ_{WQO} the
 668 corresponding critical threshold is 1

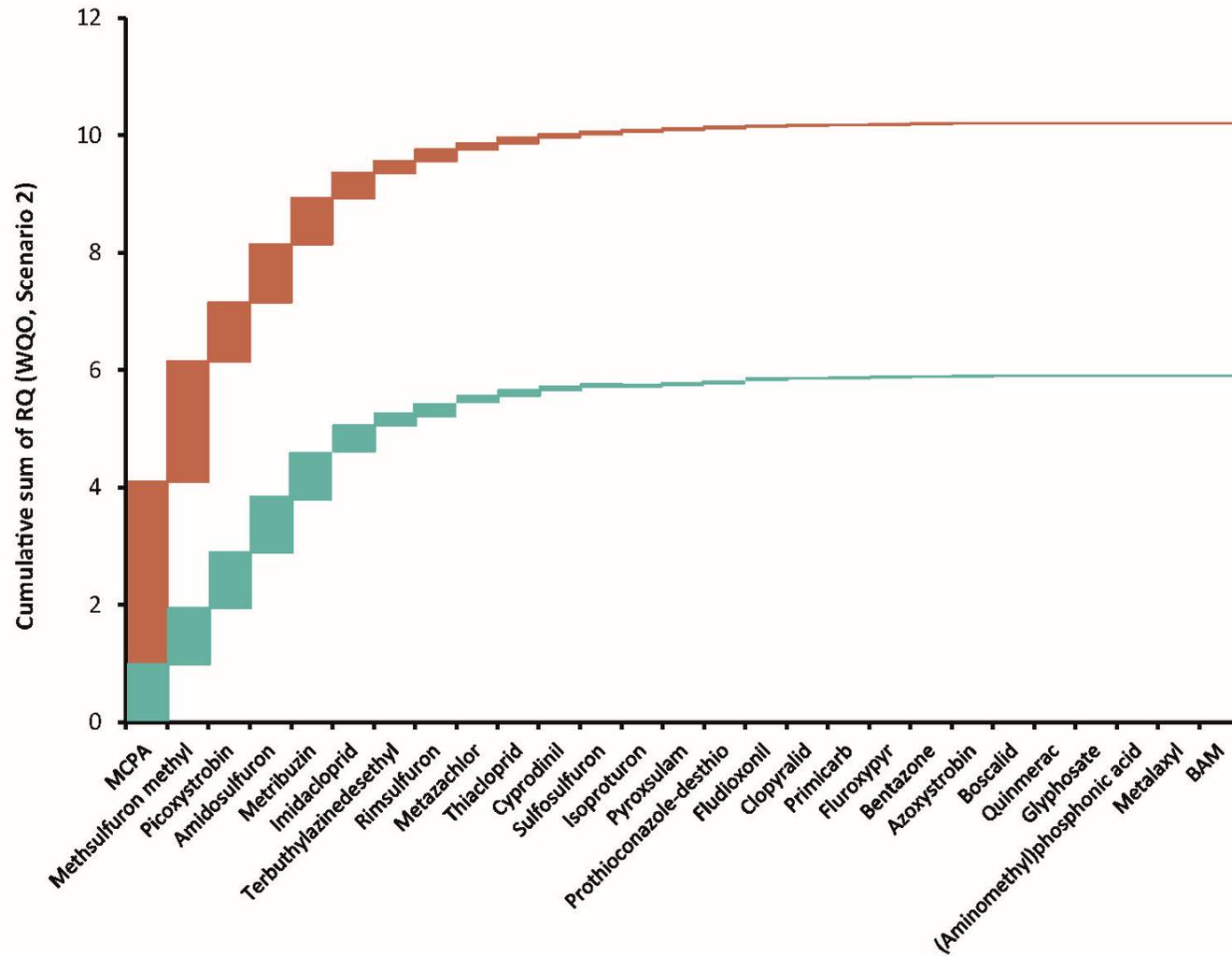


670 **Figure 3:** Ecosystem-wide risks (RQ_{WQO}) at the six monitored sites between 2002 and 2013 for scenario 2. The left bar in each pair displays the
671 data from scenario 2, while the right bar displays the data from a risk mitigated scenario 2 (all compounds originally present above its WQO is
672 assumed to be present at only 0.95% of its WQO). Horizontal bars indicate the critical threshold between the “no risk” and “risk” situation.



673

674 **Figure 4:** Example of a distribution of RQs from a typical sample from the site E21, before and after single-substance oriented risk management



675

676

677 **Table 1:** The three evaluated exposure scenarios. LOD = Limit of Detection, LOQ = Limit of Quantification

	Analytical finding	Concentration value used for the mixture risk assessment
Scenario 1	Conc \geq LOQ	Numerical value of the concentration detected
	Conc \geq LOD and $<$ LOQ	Before 2009, (LOD+LOQ)/2. From 2009 onwards, as recorded
	Conc $<$ LOD	LOD
Scenario 2	Conc \geq LOQ	Numerical value of the concentration detected
	Conc \geq LOD and $<$ LOQ	Before 2009, (LOD+LOQ)/2. From 2009 onwards, as recorded
	Conc $<$ LOD	0
Scenario 3	Conc \geq LOQ	Numerical value of the concentration detected
	Conc \geq LOD and $<$ LOQ	Before 2009, (LOD+LOQ)/2. From 2009 onwards, as recorded
	Conc $<$ LOD	Kaplan-Meier adjustment (details see text)

678

679

680 **Table 2:** Overview of occurrence frequencies. Average is calculated as the mode (most common number) of the compounds found per sample.
 681 The minimum number of compounds analyzed at N34 and O18 are indicative of individual samples where technical problems have drastically
 682 lowered the number of analyzed compounds.

683

684

	No of samples taken (total)	Number of compounds analyzed in each sample			Number of compounds found (\geq LOD) per sample			Number of compounds quantified (\geq LOQ) per sample			Number of compounds needed to cover 95% of RQ _{WQO} (scenario 2)
		Max	Min	Mode	Max	Min	Mode	Max	Min	Mode	
E21	248	131	68	131	37	2	11	25	1	6	44
M42	308	131	28	131	53	3	23	42	1	4	59
N34	295	131	15	131	43	3	15	30	1	3	58
O18	243	131	14	111	26	2	8	20	1	4	41
Skivarpsån	107	131	68	69	39	6	22	32	1	5	35
Vegeå	107	131	67	69	42	6	16	38	1	5	49
total	1308	131	14	131	53	2	8	42	1	4	83

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686 **Table 3:** Summary statistics of the environmental risks at the six monitored sites (average over all years) given as median STU (25% percentile-
 687 75% percentile). Scenarios refer to the three different mixture scenarios summarized in table 1. WQO = Water Quality Objective, RM = Risk
 688 Management Measures (details see text). The critical value for risk exceedance for algae is 0.1, for crustaceans and fish it is 0.01 and for the
 689 WQO analysis it is 1 (see text). Bold text is used when the median risk-estimate exceeds the corresponding critical value.

STU Medians		E21	M42	N34	O18	Skivarpsån	Vegeå	Total
Algae	Scenario 1	2.8E-2 (1.8E-2-4.3E-2)	3.0E-2 (1.5E-2-4.7E-2)	2.8E-2 (1.8E-2-4.1E-2)	2.4E-2 (1.3E-2-3.8E-2)	3.1E-2 (1.8E-2-5.0E-2)	2.8E-2 (1.1E-2-4.3E-2)	2.8E-2 (1.7E-2-4.4E-2)
	Scenario 2	2.2E-3 (8.1E-4-2.2E-3)	6.7E-3 (3.1E-3-1.4E-2)	2.7E-3 (7.5E-4-6.0E-3)	7.8E-4 (1.2E-4-3.3E-3)	9.8E-3 (6.1E-3-1.6E-2)	5.1E-3 (3.1E-3-8.1E-3)	3.8E-3 (9.3E-4-8.5E-3)
	Scenario 3	2.3E-3 (8.5E-4-5.9E-3)	6.8E-3 (3.2E-3-1.4E-2)	2.8E-3 (8.0E-4-6.0E-3)	8.5E-4 (1.3E-4-3.3E-3)	1.0E-2 (6.2E-3-1.6E-2)	5.2E-3 (3.2E-3-8.2E-3)	3.8E-3 (9.7E-4-8.6E-3)
Crustacean	Scenario 1	1.1E-1 (7.9E-2-4.1E-1)	1.0E-1 (7.5E-2-2.8E-1)	1.1E-1 (7.4E-2-2.8E-1)	1.1E-1 (7.6E-2-4.1E-1)	1.1E-1 (7.5E-2-3.8E-1)	1.0E-1 (7.6E-2-3.8E-1)	1.1E-1 (7.5E-2-3.2E-1)
	Scenario 2	2.8E-4 (8.2E-5-8.0E-4)	3.8E-4 (1.0E-4-8.3E-4)	1.2E-4 (4.4E-5-4.1E-4)	7.9E-5 (2.0E-5-2.3E-4)	4.4E-4 (1.5E-4-1.0E-3)	3.2E-4 (2.0E-4-5.8E-4)	2.1E-4 (6.5E-5-6.0E-4)
	Scenario 3	2.9E-4 (8.6E-5-8.1E-4)	4.0E-4 (1.1E-4-8.5E-4)	1.3E-4 (5.3E-5-4.4E-4)	8.5E-5 (2.6E-5-2.4E-4)	4.6E-4 (1.6E-4-1.1E-3)	3.3E-4 (2.1E-4-6.0E-4)	2.3E-4 (7.1E-5-6.2E-4)
Fish	Scenario 1	9.6E-3 (6.3E-3-3.6E-2)	8.9E-3 (6.0E-3-2.1E-2)	9.0E-3 (6.1E-3-2.1E-2)	9.2E-3 (6.1E-3-3.5E-2)	9.3E-3 (5.9E-3-3.4E-2)	8.3E-3 (5.9E-3-3.4E-2)	9.1E-3 (6.1E-3-3.0E-2)
	Scenario 2	1.0E-4 (3.8E-5-3.5E-4)	8.3E-5 (3.0E-5-3.3E-4)	3.2E-5 (9.4E-6-1.5E-4)	3.4E-5 (1.1E-5-8.4E-5)	6.3E-5 (2.4E-5-1.5E-4)	1.0E-4 (4.7E-5-2.4E-4)	6.5E-5 (1.9E-5-2.0E-4)
	Scenario 3	1.1E-4 (4.2E-5-3.6E-4)	9.1E-5 (3.5E-5-3.5E-4)	3.7E-5 (1.2E-5-1.6E-4)	3.8E-5 (1.4E-5-9.1E-5)	7.3E-5 (2.8E-5-1.7E-4)	1.1E-4 (5.4E-5-2.5E-4)	7.1E-5 (2.1E-5-2.1E-4)
MST	Scenario 1	1.5E-1 (1.0E-1-4.5E-1)	1.4E-1 (1.0E-1-3.2E-1)	1.4E-1 (1.0E-1-3.1E-1)	1.4E-1 (1.0E-1-4.5E-1)	1.4E-1 (1.2E-1-4.1E-1)	1.4E-1 (1.0E-1-4.1E-1)	1.4E-1 (1.0E-1-3.7E-1)
	Scenario 2	2.7E-3 (1.0E-3-6.8E-3)	7.2E-3 (3.2E-3-1.5E-2)	3.0E-3 (7.9E-4-8.7E-3)	9.3E-4 (1.3E-4-3.6E-3)	1.0E-2 (6.3E-3-1.8E-2)	5.4E-3 (3.2E-3-8.3E-3)	4.3E-3 (1.1E-3-9.9E-3)
	Scenario 3	2.9E-3 (1.1E-3-7.1E-3)	7.5E-3 (3.4E-3-1.6E-2)	3.2E-3 (9.2E-4-8.9E-3)	1.1E-3 (1.8E-4-3.8E-3)	1.1E-2 (6.5E-3-1.8E-2)	5.7E-3 (3.4E-3-8.7E-3)	4.4E-3 (1.2E-3-1.0E-2)
WQO	Scenario 1	2.7E+2 (1.6E+2-6.3E+2)	2.3E+2 (1.5E+2-4.6E+2)	2.3E+2 (1.6E+2-4.4E+2)	2.7E+2 (1.5E+2-6.4E+2)	2.5E+2 (1.6E+2-5.9E+2)	2.2E+2 (1.5E+2-5.5E+2)	2.5E+2 (1.5E+2-5.3E+2)
	Scenario 2	1.9E+0 (8.1E-1-6.6E+0)	3.4E+0 (1.5E+0-7.8E+0)	1.7E+0 (8.7E-1-5.0E+0)	6.6E-1 (1.4E-1-1.7E+0)	3.9E+0 (2.2E+0-6.8E+0)	2.7E+0 (1.6E+0-5.4E+0)	2.1E+0 (8.5E-1-5.6E+0)
	Scenario 3	2.0E+0 (8.9E-1-6.9E+0)	3.6E+0 (1.7E+0-8.2E+0)	1.9E+0 (9.5E-1-5.2E+0)	7.1E-1 (1.6E-1-1.9E+0)	4.1E+0 (2.3E+0-7.2E+0)	2.9E+0 (1.7E+0-5.6E+0)	2.2E+0 (9.3E-1-5.9E+0)
WQS+RM	Scenario 1	1.8E+1 (1.5E+1-2.0E+1)	1.8E+1 (1.5E+1-2.0E+1)	1.8E+1 (1.5E+1-1.9E+1)	1.7E+1 (1.4E+1-1.9E+1)	1.6E+1 (1.4E+1-1.9E+1)	1.6E+1 (1.4E+1-1.9E+1)	1.8E+1 (1.5E+1-1.9E+1)
	Scenario 2	1.5E+0 (8.1E-1-3.4E+0)	2.7E+0 (1.4E+0-4.3E+0)	1.5E+0 (8.7E-1-2.7E+0)	6.6E-1 (1.4E-1-1.4E+0)	2.6E+0 (1.7E+0-3.7E+0)	2.3E+0 (1.5E+0-3.7E+0)	1.8E+0 (8.5E-1-3.3E+0)

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691 **Table 4:** Percentage of risk exceedances, scenarios refer to the three different mixture scenarios summarized in table 1. WQO = Water Quality
 692 Objective, RM = Risk Management Measures (details see text). The critical value for risk exceedance for algae is 0.1, for crustaceans and fish it
 693 is 0.01 and for the WQO analysis it is 1 (see text).

Scenario	Algae			Crustaceans			Fish			WQO			WQO+RM	
	1	2	3	1	2	3	1	2	3	1	2	3	1	2
Site														
E21	0.4	0.4	0.4	99.2	1.6	1.6	46.8	0.4	0.4	100	67.7	71.8	100	67.7
M42	2.9	0.6	0.6	100	3.2	3.2	39.9	1.3	1.3	100	82.1	84.7	100	81.8
N34	0.7	0.3	0.3	99.7	9.2	9.5	40.7	2.4	2.4	99.7	69.2	73.2	99.7	69.2
O18	0.0	0.0	0.0	99.2	0.0	0.0	42.8	0.4	0.4	99.6	41.6	42.8	99.6	39.5
Skivarpån	0.9	0.9	0.9	100	1.9	1.9	43.9	2.8	2.8	100	94.4	96.3	100	94.4
Vegeå	1.9	0.0	0.0	100	0.9	0.9	40.2	0.9	0.9	100	88.8	89.7	100	88.8
Total	1.1	0.4	0.4	99.6	3.4	3.7	42.3	1.3	1.3	99.8	70.5	73.2	99.8	70.0

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696 **Table 5:** Median maximum cumulative ratios (MCR) for all sites, trophic levels and exposure scenarios

		E21	M42	N34	O18	Skivarpsån	Vegeå	total
Algae	Scenario 1	2.27	2.45	2.33	2.22	2.33	2.27	2.31
	Scenario 2	1.69	1.34	1.58	1.31	1.23	1.44	1.41
	Scenario 3	1.82	1.37	1.67	1.38	1.24	1.46	1.46
Crustacean	Scenario 1	2.85	2.86	2.73	2.76	2.85	2.75	2.79
	Scenario 2	1.46	1.91	1.91	1.78	1.79	2.17	1.81
	Scenario 3	1.58	2.04	2.13	2.05	1.86	2.28	1.98
Fish	Scenario 1	3.26	3.19	3.26	3.14	3.20	3.25	3.24
	Scenario 2	1.57	1.97	1.79	1.64	1.97	1.66	1.77
	Scenario 3	1.79	2.24	2.11	1.95	2.44	1.79	2.01
MST	Scenario 1	3.26	3.38	3.24	3.12	3.48	3.34	3.30
	Scenario 2	1.92	1.39	1.57	1.39	1.25	1.49	1.46
	Scenario 3	2.09	1.44	1.72	1.61	1.30	1.57	1.59
WQO	Scenario 1	2.18	2.19	2.10	2.12	2.17	2.18	2.16
	Scenario 2	1.95	2.12	1.87	1.54	1.73	2.17	1.90
	Scenario 3	2.86	3.17	2.56	1.98	2.84	2.92	2.65
WQO+RM	Scenario 1	19.07	18.99	18.63	18.16	17.32	17.22	18.56
	Scenario 2	2.61	3.00	2.37	1.70	2.74	2.74	2.43

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