

Environment regime influence on Chlorophyll-a abundance and distribution in North Indian Ocean

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North Indian Ocean region around India and Sri Lanka is a complex and rich coastal ecosystem undergoing various seasonal and inter-annual changes and various pressures. Hence the objective of this study was to assess the scales of coupling between chlorophylla concentration (chl-a) and the influencing variables and explore the nature of the spatiotemporal variability of them. The seasonal and annual variations of chl-a along the Bay of Bengal (BoB), Arabian sea (AS) and ocean region around Sri Lanka in relation to the physical and chemical oceanographic variables were analyzed using satellite observations covering the period of 2002-2018. The effects of diffuse attenuation coefficient, photosynthetically available radiation (PAR), sea surface temperature (SST), Wind speed, Eastward wind component, Nitrate, Black carbon column mass density, Sea Salt Surface Mass Concentration, Open water net downward longwave flux, Surface emissivity were considered on a monthly time scale. Wavelet analysis and the Boosted Regression Trees (BRT) were used as the main analysis and modeling methods. The peaks of chl-a, diffuse attenuation coefficient, and nitrate were observed in September. In wind speed and eastward wind it was July and in black carbon column mass density, and PAR in March. In Sea Salt Surface Mass Concentration, Open water net downward longwave flux, Surface emissivity, Diffuse attenuation coefficient for downwelling irradiance, and SST mean maximums were found in June, February, November, September, April respectively. In BRT model the estimated cross validation (cv) deviance, standard error (se), training data correlation, cv correlation, and D² were 0.003, 0.002, 0.932, 0.949, and 0.846 respectively. According to the results, diffuse attenuation coefficient (90%), eastward wind component (3.7%) and nitrate (3%) were the most positively correlated variables with Chl-a occurrence. SST evidenced an inverse relationship with Chl-a. According to the model built <42 Einsteinm⁻²day⁻¹ PAR, <0.986 surface emissivity, <70 Wm⁻² open water net downward

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long wave flux, 28.2 - 28.5 $^{\circ}$ C SST , 2 ms^{-1} Wind speed, 5 ms^{-1} - 6 ms^{-1} eastward wind, 4.8×10^{-8} - 7×10^{-8} kgm⁻³ sea salt surface mass concentration, and 0.1-0.5micromoleL⁻¹ nitrate are favourable for the optimum level of phytoplankton occurrence. Since BRT deals robustly with non-linear relationships of the environmental variables it can be used in further studies of ecological modeling.



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21 **Abstract**

- 22 North Indian Ocean region around India and Sri Lanka is a complex and rich coastal ecosystem
- 23 undergoing various seasonal and inter-annual changes and various pressures. Hence the objective



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- 47 BRT deals robustly with non-linear relationships of the environmental variables it can be used in
- 48 further studies of ecological modeling.

- 50 Key words: Wavelet analysis, Boosted Regression Trees, Bay of Bengal, Arabian Sea, Sri lanka
- 51 Exclusive Economic Zone, Phytoplankton, Monsoon, Upwelling, Predictor variables, Seasonal

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Introduction

Arabian Sea (AS), Bay of Bengal (BoB) and Sri Lankan Exclusive economic zone (EEZ) are parts of North Indian Ocean which surround India and Sri Lanka. India has approximately 6000 km long coastline and Sri Lanka 1,620 km (Dey & Singh, 2003; Government of Sri Lanka, Ministry of Finance, National Agency for, & Public Private Partnership, 2018). These oceanic regions which are close to the coastlines and equator experience many physical and chemical dynamics that effect to the primary production in the region (Krishna et al., 2015; Strutton et al., 2015). Studying the circulation dynamics and the biological processes are important in understanding the numerous ocean processes. In the ocean, the physical, chemical, and biological processes are interlinked (Danling Tang, Kawamura, & Luis, 2002). Ocean properties such as chlorophyll concentration, currents, sea surface temperature, suspended particulate matter, dissolved organic matter ocean, ENSO event, fronts, and eddies influence the ocean dynamics. Remote sensing data provide information on the properties of ocean (Eg: concentration of phytoplankton pigments, suspended sediments, temperature, and radiation) and they can be used to monitor these processes in large special areas for long terms (Elepathage & Tang, 2018; Elepathage, Tang, & Wang, 2018; D. L. Tang, Kawamura, & Luis, 2002; DanLing, Tang & Pan, 2011).



71 sea surface temperature, nitrate, sea surface height and mixed layer depth in either Arabian Sea or Bay of Bengal (Narvekar & Kumar, 2014; Sarangi & Devi, 2017; Sathyendranath, Gouveia, 72 73 Shetye, Ravindran, & Platt, 1991), very limited efforts have been given to study all the factors 74 that affect the chlorophyll distribution in the whole region. Especially not enough studies have 75 been carried out in Sri Lankan region. AS is a highly productive area than the BoB (Jana et al., 76 2018). The continental shelf of the AS is much shallower compared to the shelf in the southern 77 BoB. AS is bounded to the east by India, to the north by Pakistan, Iran, and Saudi Arabia, and to 78 the west by Oman and Somalia. The Arabian Sea is located in the monsoon region, hence nutrient cycle is affected by the monsoonal events (Karuppasamy, Muraleedharan, Dineshkumar, 79 80 & Nair, 2010). The northern BoB is much productive than southern part since North region feeds 81 from Ganges-Brahmaputra delta (Chauhan, Nagur, Mohan, Nayak, & Navalgund, 2001). The 82 BoB circulation is affected by both wind and river forcing (Cutler & Swallow, 1984; Durand et 83 al., 2011). In spring and autumn, the seasonally reversing wind force create reversing boundary currents and opposing gyre circulations (Cutler & Swallow, 1984). 84 85 Sri Lankan ocean region is also affected by the monsoon and hence the primary production is changed with the monsoon. The Indian Ocean monsoon currents flow eastwards along South 86 87 region of Sri Lanka during the summer (May to September). They are called Summer Monsoon 88 Current (SMC) or South-West monsoon currents. In winter or dry season from December to March, they start to flow westward and they are called Winter Monsoon Current (WMC) or 89 90 North-East monsoon currents (Schott & McCreary, 2001). The SMC plays an important role in 91 transferring of more saline water from the AS to the BoB (Jensen, 2001; Vinayachandran, Murty, 92 & Ramesh Babu, 2002). They are linked with the remote forcing by the wind, as well as

Although some studies have been done regarding the chlorophyll distributions in reference to the



- 93 interactions with westward-propagating Rossby waves and eddies (Rath, Vinaychandran, Behara,
- 94 & Neema, 2017).
- 95 These areas are interlinked by the ocean physical dynamics and hence the phytoplankton density
- 96 that affects directly for the biodiversity and fisheries are changed with these influencing factors
- 97 (Strutton et al., 2015). Phytoplankton community is the base of the ocean food web. Hence it
- 98 plays a major role in regulating ocean biodiversity (Kong et al., 2019). The objective of this
- 99 paper is to study the variability of the chlorophyll concentrations in the discussed part of North
- 100 Indian Ocean in order to discuss the distribution, seasonal changes and to model the chlorophyll
- abundance after identifying the influencing factors regulate the chlorophyll concentrations.

Materials & Methods

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Study area and Data Set

- The study area (Figure 1) lies between 72° E, 0°N and 98.5°E, 22°N which consists of BoB, AS
- and Sri Lankan EEZ was used for this study. The remote sensing data of Chl-a, diffuse
- attenuation coefficient, eastward surface wind, surface emissivity, wind speed, sea salt surface
- 107 mass concentration, black carbon column mass density, open water net downward longwave
- radiation, and organic carbon column mass density from 2002 to 2018 and nitrates from 1998 to
- 109 2018 were analysed since they were found to be interlinked according to the previous studies we
- 110 have done and according to the literature review.
- 111 Inter-annual time series Chl-a concentration data in the unit of mg m⁻³, Sea Surface Temperature
- 112 (SST) at 4 microns data in ^oC, diffuse attenuation coefficient for downwelling irradiance at 490
- nm (KD 490) in m⁻¹ and Photosynthetically Available Radiation (PAR) in Einstein m⁻² day⁻¹
- 114 (4km resolution) were obtained from Moderate-resolution Imaging Spectroradiometer (MODIS)-



- 115 Aqua MODISA L3m. Nitrate data 0.67 x 1.25 deg. data in micromole/L were taken from NASA Ocean Biogeochemical Model (NOBM). Sea Salt Surface Mass Concentration in kg m⁻³, black 116 Carbon Column Mass Density in kg m⁻², eastward wind component @1000hPa in ms⁻¹, open 117 water net downward longwave flux in W m⁻² and surface emissivity 0.5 x 0.625 deg data were 118 119 obtained from Modern-Era Retrospective analysis for Research and Applications, Version 2 120 (MERRA-2) Model M2TMNXRAD v5.12.4. Near-surface wind speed 0.25 deg. data in ms-1 were obtained from the Global Land Data Assimilation System (GLDAS) Model 121 obtained 122 GLDAS NOAH025 M v2.1. These data were from 123 https://giovanni.gsfc.nasa.gov/giovanni.
- 124 Methods
- 125 Preliminary analysis
- 126 The most common way of expressing the temporal variability of a data set is through monthly
- mean line graphs (Figure 2). Analytically, means and dispersion metrics were calculated from
- the 17 years of data logs. The standard deviations among them are mentioned in Table 1.
- 129 Wavelet Coherence Analysis
- 130 The spatial and temporal patterns in the time series data reflect important parts of the biological
- cycles, particularly at intra-annual time scales. Wavelet analysis is a suitable method to examine
- the localized patterns of environment changes (Cyriac, Ghoshal, Shaileshbhai, & Chakraborty,
- 133 2016; Lumban-Gaol et al., 2015; Torrence & Compo, 1998).
- 134 The wavelet transform can be used to investigate the time series features at local scales with the
- broad features at long time scales and fine features at short ones (Carey et al., 2013). This is
- useful in analyzing the time series data with non-stationary power at many different frequencies
- 137 (Daubechies, 1990). In this study to assess correlation and coupling between the influence



- variables and Chl-a, the co-variation of the power spectra of those variables (Figure 3) were calculated using the wavelet coherence using R 3.4.2.
- The wavelet power spectra are calculated in this method using the Morlet wavelet. This has been applied in many environmental studied due to since it detects time-dependent amplitude and different frequencies' phases in the time series (Torrence & Compo, 1998).
- For a time series Xn, an ex- wave modulated by a Gaussian is characterized as:

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$$\Psi_{0}(\eta) = \pi^{-\frac{1}{4}} e^{i\omega_{0}\eta} e^{-\frac{\eta^{2}}{2}}$$

where $\Psi_0(\eta)$ is the wavelet function, η is a dimensionless time parameter, i is an imaginary unit, and ω_0 dimensionless angular frequency. The term "wavelet function" is refers to either orthogonal or nonorthogonal wavelets. The term "wavelet basis" is used only to an orthogonal set of functions. The orthogonal basis implies discrete wavelet transform while nonorthogonal wavelet function is used for discrete or continuous wavelet transform (Farge, 1992). The wavelet function is mathematically represented for a time series Xn as:

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$$W_{n}(s) = \frac{1}{N} \sum_{n=0}^{N-1} x_{n} \Psi * \left[\frac{(n-\eta)\Delta t}{s} \right]$$

where (*) the complex conjugate. By varying the s wavelet scale and translocating along the localized time index n the amplitude of the studied feature vs scale and temporal change of the amplitude is graphically represented in wavelet analysis. In this equation $W_n(s)$ is the wavelet transform coefficients, Ψ is the normalized wavelet, n is the localized time index, and n is the translated time index of the time ordinate x.



- 157 The wavelet coherence is the square of the cross-spectrum normalized by the power spectra.
- 158 Wavelet coherence and phase are parts of the Fourier analysis and they quantify the cross-
- 159 correlation between two time series as a function of frequency (Torrence & Compo, 1998).
- 160 The wavelet coherence of two time series X and Y analogous to the correlation coefficient in the
- 161 frequency domain with wavelet transforms $W_n^X(s)$ and $W_n^Y(s)$ is mathematically written as

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$$R_n^2(s) = \frac{\left| S(s^{-1}W_n^{XY}(s)) \right|^2}{S(s^{-1}|W_n^X(s)|^2) \times S(s^{-1}|W_n^Y(s)|^2)}$$

163 Where *S* is a smoothing operator both in the scale axis and time domain.

$$S(W) = S_{scale}(S_{time}W_n(s)))$$

- Where S_{time} smooths along the time axis and S_{scale} along the scale axis. The first-order autoregressive
- 166 null model was computed via Monte Carlo approach (Maraun & Kurths, 2004; Torrence & Compo,
- 167 1998)

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Boosted regression trees model execution

- We modeled the occurrence and abundance of chl-a and its predictor variables using Boosted
- 170 Regression Trees (BRT). Recent work has revealed that BRT is dealing well in ecological
- variables which have with non-linear relationships (Elith et al., 2006; J. Friedman, Hastie, &
- 172 Tibshirani, 2002; Kraemer, Mehner, & Adrian, 2017; Nieto & Mélin, 2017).
- 173 Fist to determine the interannual relationship between chl-a and environment variables we used
- 174 non-parametric Kendall's rank correlation (Table 2) (Kendall, 1938). Then the relationship
- between the chl-a (dependent variable), and physical oceanographic variables were computed by
- a Boosted Regression Tree (BRT) analysis with year and month as time factors. BRT modeling



177 can fit complex nonlinear relationships and automatically handle outliers and interaction effects between predictors (Elith & Leathwick, 2017). 178 An initial set of BRT models was derived at the level of individual cells to account for the 179 heterogeneity. The BRT construction was performed using the "dismo" package (Elith & 180 Leathwick, 2017) in R version 3.4.2 (R Development Core Team, 2017). BRT model with 0.05 181 182 learning rates and 5 levels of tree complexity 1300 trees was selected as the definitive model (Figure 4). To quantify how well the BRT model fitted the data, the percentage of deviance 183 explained was used. The pseudo determination coefficient (D^2) (Mateo & Hanselman, 2014), 184 185 was calculated with the formula:

 $D^2 = 1$ – (residual deviance/total deviance)

Based on the result of the BRT model, the relative influence of each predictor on Chl-a was computed. The percentage of relative influence was used to quantify the importance of predictors (Figure 5). High relative influence values corresponding to the strong influence on the response (Elith & Leathwick, 2017). The partial dependency plots and weighted mean of fitted values in relation to each non-factor predictor plots were used to analyze the effect of variations in the predictors on the response variable.

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Results

Preliminary analysis

According to the plots in Figure 2 surface emissivity, diffuse attenuation coefficient, and nitrate follow the same temporal variation of Chl-a. PAR, SST, and black carbon column mass density demonstrate inverse relationships with Chl. The peaks of chl-a, diffuse attenuation coefficient, and nitrate were observed in September. In wind speed and eastward wind it was July and in



200 black carbon column mass density, and PAR in March. In Sea Salt Surface Mass Concentration, Open water net downward longwave flux, Surface emissivity, Diffuse attenuation coefficient for 201 downwelling irradiance, and SST mean maximums were found in June, February, November, 202 September, April respectively. 203 204 Comparatively high standard deviations could be observed only in some months of PAR, nitrate, 205 open water net downward longwave flux and the eastward component data. In other variables, the standard deviations among the data were generally lower than 1 (Table 1). According to 206 Kendall's rank correlation (Table 2) only diffuse attenuation coefficient, eastward wind 207 208 component, nitrate, sea salt surface mass concentration, open water net downward longwave flux, surface emissivity manifested significant relationship with Chl-a. However, this can only 209 210 identify more linear relationships.

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Wavelet analysis

- The chl-a and the influential variables wavelet coherencies (Figure 3) can be used to interpret at what period does coherency occur between the Chl-a and predictor variables. Diffuse attenuation coefficient shows a strong coherency evidenced by the large areas with dar that do show significant coherence. The right arrows further support that they have an in-phase relationship. From around 2016 the relationship has been strengthened drastically.
- 218 SST shows a relationship with Chl in 4-8 and 8-16 periods. The strongest relationship can be
- seen between 8-16 period. Within the 8-16 period we can see a 1350 phase angle of arrows. In
- 220 SST also we can observe the highest relationship after 2016.
- With PAR the relationship is completely out phase and after 2016 the coherence power has been
- 222 increased. With wind speed, eastward surface wind component and the sea salt surface mass
- concentration the phase angle is 90° and as before the high power coherence is there after 2016.



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Black carbon column mass density has a 225⁰ angle and open water net downward longwave flux has a 450 phase angle. Surface emissivity has a 3150 phase angle. Nitrate has an in phase coherence and unlike all others, it has the high power coherence from 2002 to 2007. **Boosted regression trees** After making several preliminary fittings as the final fitting of BRT, a 1300 trees model was selected with 0.05 learning rates and 5 levels of tree complexity. The mean total deviance of the model was 0.013, mean residual deviance was 0.002. The estimated cv deviance was 0.003 and the standard error (se) was 0.002 Training data correlation was 0.932 and cv correlation was 0.949. D² was 0.846. The model plot is indicated in Figure 4. Deviance was calculated from models fitted to a data set of 12 variables. The tree complexity (TC) reflect the true interaction order in the response being modeled (Jerome H Friedman, 1999), and the best set with independent data. In Figure 4 the solid black curve is the mean, and the dotted curves are indicated in about 1 standard error area. The red line shows the minimum of the mean, and the green line the number of trees at which that occurs. Predictive performance is influenced strongly by sample size and large samples gave models with a lower predictive error. The summary of the Model gives a feature importance plot (Figure 5). According to Figure 5, it is clear that the diffuse attenuation coefficient is the most important variable and the month is the least important variable. Partial dependence plots for the BRT are displayed in Figure 6. Each plot shows the relationship of chl-a (response variable) to each individual variable after accounting for the effects of all the predictor variables in the final model. Visualization of fitted functions in a BRT model is easily achieved using partial dependence functions that show the effect of a variable on the response after accounting for the average effects of all other variables in the model.



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In the weighted mean of fitted values in relation to each non-factor predictor (Figure 7), the slopes of the relationships between Chl and influenced variables differed significantly across the variables. The final BRT model had 12 predictors with the two factors of year and month. Chl with the most predictive power included diffuse attenuation coefficient (90%), Eastward wind component (3.7%) and nitrate (3%). If there are strong interactions in the data or predictors are strongly correlated, they provide a useful basis for interpretation (J. H. Friedman, 2001). The diffuse attenuation coefficient and the nitrate the slope of the relationship were substantially high, whereas slopes in other variables were substantially low. The predictive accuracy of the models also varied across the variables. These plots in Figure 8 simply show the relation between the variables in the x-axis and the mapping function f(x) on the y-axis. Plots show that diffuse attenuation coefficient, eastward wind component, and sea salt surface mass concentration are positively correlated with the response Chl-a, whereas PAR is negatively correlated. Others relationships are complex. According to the variability of Chl with other variables diffuse attenuation coefficient increases 0.1-0.6m⁻¹ with primary production and then it becomes stable. PAR <42Einstein m⁻² day⁻¹, surface emissivity <0.986 and Open water net downward longwave flux <70 Wm⁻² is favorable for primary production. Chl-a increases with SST 28.2 -28.5 °C and then it declines. Chl-a increases at wind speed 2 ms⁻¹ then stabilize and drop again at 2.8 ms⁻¹. Moreover, phytoplankton increases from the eastward wind component at 5 ms⁻¹ and stabilizes at 6 ms⁻¹. Moreover, Chl-a increases at sea salt surface mass concentration from 4.8 x10⁻⁸ to 7x10⁻⁸ kgm⁻³ and then drops. Nitrate 0.1-0.5micromoleL⁻¹ is favorable for the phytoplankton abundance. The seasonal spatiotemporal pattern of the Chl and influencing variables change (Figure 9) clearly interpret their relationships. Specially in the season from June- August the comparatively cold SST, moderate open water longwave flux, eastward wind component and nitrate show high Chl abundance and distribution. The diffuse attenuation coefficient is always following the same spatiotemporal variation pattern of Chl



Discussion

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According to the results, it was clear that Chl-a and the diffuse attenuation coefficient have a positively related strong coherence. Light attenuation in an aquatic environment is the sum of light absorbing and scattering of optically active components available in water. These components include phytoplankton pigments such as chlorophyll, pure water and tripton (non pigmented particulate matter), and colored dissolved organic matter (CDOM) (Kirk, 1994). The diffuse attenuation coefficient is a key parameter used to quantify the feedback of phytoplankton biomass in the ocean (Jerlov, 1976; Kirk, 1994, 2011). Differences in phytoplankton biomass are correlated with changes in water transparency. Therefore, Diffuse attenuation of photosynthetic active radiation (Kd_(PAR)) (can be determined by Chl-a concentration (transformed as t(x) = ln(x+1)) and light distribution measurements (Vernet et al., 2012). Since the SST showed a greater value than $\pi/2$ in the wavelet coherence phase relationship, it is proven that the SST has a negative relationship with the chlorophyll. SST regulates vertical mixing and it affects the SST change in return (Kumar, Prakash, Ravichandran, & Narayana, 2016; Luis & Kawamura, 2004). Significant correlation between Chl-a concentration and SST has been found in the North Indian ocean by previous studies and they have identified that the vertical mixing of deeper water with the surface water causes a decrease in SST and supplies nutrients to the upper layers which enhance the productivity (Prakash & Ramesh, 2007). Several previous studies also have found that cold SST anomalies induce by Ekman pumping (Kug & Kang, 2006). (Weisberg & Wang, 1997) have stated that El Niño-related anomalous convection make a pair of off-equatorial cyclones with westerly wind anomalies on the equator in the equatorial central Pacific and these equatorial westerly winds deepen thermocline and raise SST giving a positive feedback for anomaly growth. (Kong et al., 2019) has found that the El Niño induces the elevated SST and SLA and increased rainfall in the open seas of the equatorial Pacific and the central southern Indian Ocean which strengthens the ocean stratification and deepens the thermocline. That leads to the reduction of mixing efficiency and inhibited vertical nutrient inputs into the euphotic



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layer. Moreover, it reduces the solar radiation resulted in decreased phytoplankton production. Chl-a is anomalously negative in concert with sensitive responses to ENSO (Kong et al., 2019).

To the best of our knowledge PAR has not shown any negative relationship with chlorophyll in previous studies. Hence, it is necessary to find the reason for this relationship between PAR and Chl.

In this study, we found that wind plays a major role in regulating the air-sea interactions. Wavelet analysis clearly demonstrates that wind speed has a positive relationship with Chl-a within the study region. Vertical mixing occurs with increased wind speed cools the water and deepen the surface mixed layer transferring nutrients from deep water to the surface (Kumar et al., 2016). Moreover, since the phase angle is 900 upward it is clear that Chl-a is leading the wind speed, eastward component, and sea salt surface mass concentration. Wind speed governs the upwelling and vertical mixing in the upper ocean (Kumar et al., 2016). (Feng et al., 2015) has found that in low-latitude oceans and bell shaped in temperate oceans chl-a and wind speed have positive correlations. Some studies evidence that chl-a pulses are associated with the intensification of eastward winds at the surface and eastward currents in the mixed layer (Strutton et al., 2015). It is believed that the equatorial Indian Ocean is strongly influenced by physical processes on intra-seasonal to inter-annual timescales. (Waliser, Murtugudde, Strutton, & Li, 2005) have found that Chlorophyll-a can vary at Madden-Julian Oscillation (MJO) time scales. MJO is an eastward moving strong contributor to various extreme events of rainfall, winds, clouds, and pressure that traverses in the tropics (Drushka et al., 2012; Valadão, Carvalho, Lucio, & Chaves, 2017). The is found to be influenced by the atmospheric variability, with periods of 30-60 days and eastward propagation of atmospheric convection cells (Hendon & Salby, 1994). All of these physical processes affect the biogeochemistry of the ocean (Strutton et al., 2015). Some studies that have been carried out with satellite derived data have found that the Indian Ocean Dipole events significantly increase chl-a concentrations and primary production in eastern Indian Ocean equatorial waters, and they can also influence chl and production over the entire northern basin (Wiggert, Murtugudde, & Christian, 2006).



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Ocean salinity plays a significant role in global climate variability and ocean dynamics regulation (Lagerloef, 2002). Sea surface salinity (SSS) is regulated by the local evaporation (E) and precipitation (P) in the global ocean and the river discharges in the coastal regions. In the tropical Indian Ocean (TIO), the surface salinity shows significant east-west contrast. Basically, the east-west contrast occurs because of the extreme evaporation in the Arabian Sea and abundant rainfall and river discharges in the Bay of Bengal and the eastern TIO (Schott, Dengler, & Schoenefeldt, 2002), while the SSS tongues are influenced by ocean circulations (W. Han & McCreary, 2001). Previous studied have found that the significant change in salinity appears south of the equatorial Indian Ocean with upwelling Rossby wave and high Chl-a implies high-salinity water at the surface coming from the subsurface, bringing in high nutrients or higher subsurface Chl-a (Du & Zhang, 2015). Moreover according to that study upwelling brings more significant effects on sea surface salt mass concentration than downwelling does, due to the stratification. It mainly happens due to the characteristics of the mixed layer. When upwelled high-salinity water entered the surface layer, mixing made water properties uniform in the vertical quickly (Du & Zhang, 2015) bringing the nutrients to the surface needed for the phytoplankton growth. In this study, it is clear that nitrates have a strong positive inphase relationship with Chl-a. It is a wellknown fact that nutrients such as nitrogen are essential for phytoplankton growth (Harrison, 1992). Nitrate, the most common oxidized form of nitrogen, and it provides the largest inorganic oceanic reservoir of this limiting nutrient for most marine phytoplankton (Levitus, Conkright, Reid, Najjar, & Mantyla, 1993) We found that black carbon column mass density has a negative relationship with Chl-a. By lowering carbonate ion levels and increasing carbonate solubility, ocean acidification is thought to increase the energetic cost of calcification (Fabry, Seibel, Feely, & Orr, 2008). Acidification, therefore, could have major impacts on biogenic habitat and planetary geochemical cycles (e.g., pelagic coccolithophore algae) (Scott C. Doney et al., 2012).



343 Open water net downward longwave radiation flux has a positive relationship with Chl. Direct 344 measurements of long-wave radiation fluxes are not studied with canopy and climatological studies much. 345 The constituent downwelling (Ld) and upwelling (Lu) fluxes, or even the net flux (Ln) have also been 346 measured only rarely (Gilgen, Ohmura, Gilgen, & Ohmura, 1999; Kessler & Jaeger, 1999). 347 The paucity of reliable data on long-wave radiation prevents adequate confirmation of the existing within 348 vegetation long-wave radiation models (Paw U, 1992; Rotenberg et al., 1998). Long-wave radiation's net 349 value (Ln) is estimated as the difference between global net radiation and the net shortwave radiation. 350 However, the effect of longwave net downwelling radiation flux on chlorophyll has not been studied in 351 detail yet. Previous studies also suggest that there is, an increasing need that there is a need for a good 352 description of the temporal and spatial variation of radiation fluxes in plant canopies if processes such as 353 photosynthesis are to be modeled successfully (Barradas, Jones, & Clark, 1999). Moreover, previous 354 studies suggest that more attention needs to be paid to the monitoring of the long-wave radiation fluxes of 355 different types of surfaces and in different climatic regions than is the case at present. They also mention 356 that it will take a long time before measurements at a single site can reveal the influence of increasing 357 CO₂ concentration in the atmosphere on long-wave counter radiation A (Kessler & Jaeger, 1999).

Model development for chlorophyll

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Booster Regression Trees (BRT) seems a good choice for a chl-a model because BRT can deal with many relationships between Chl-a and the influencing factors derived from satellite data (L. Han, Rundquist, Liu, Fraser, & Schalles, 1994; Kutser, Herlevi, Kallio, & Arst, 2001). BRT is a machine-learning algorithm based on decision trees that have significant potential to analyze the remote sensing hydrological parameters. BRT uses usually thousands of decision trees to minimize model deviance (Jerome H Friedman, 1999). Each decision tree is built to minimize the error. The final BRT model is the sum of predictions of all nodes and trees. Regression models are built individually for each subsample. Since the subsamples are classified step by step using different predictors in BRT, the interactions of



367 predictors can be modeled. Like other decision tree algorithms, BRT simulates both non-linear and linear 368 relationships without the requirement of data distribution (e.g. normal distribution) (Lin, Qi, Jones, & 369 Stevenson, 2018). 370 The final model that is returned in the model object is built on the full data set, using the number of trees 371 identified as optimal. BRT results clarified the influence of predictor variables on Chl-a variability, and 372 certainly, they could provide a view of the behavior between Chl-a and physical environment, and 373 optimal environment for the phytoplankton. From the BRT analysis, it appeared that the relative 374 influence of each physical predictor varied between systems. According to the final model upwelling 375 conditions with Low PAR, surface emissivity, moderate SST, wind speed and eastward wind component, 376 open water longwave flux, sea salt surface mass concentration, nitrate are good for the phytoplankton 377 growth. The model with the diffuse attenuation coefficient, eastward wind component, nitrate, open water 378 net downward longwave radiation and SST were identified as the model with the highest correlation and 379 lowest deviance and standard error. 380 This study presented an overview of the relationships existing between the physical environment and Chl, 381 prominence the main features of the NIO as well as its complexity. It focused on the seasonal variations 382 characterizing the NIO basin system. The relationships might tell us what possible evolutions are in store 383 for phytoplankton as the physical environment is affected by climate change.

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Conclusions

The overall goal of this paper was to explore the nature of the spatiotemporal variability of the studied variables and assess the scales of coupling between Chl-a and the influencing variables. Maximum abundance of Chl-a within the study area can be observed from June- September. According to the wavelet analysis and BRT, we found diffuse attenuation coefficient, eastward wind component and nitrate as the most positively correlated variables with Chl-a occurrence.



SST evidenced an inverse relationship with Chl-a. According to the model built we believe that <42Einsteinm⁻²day⁻¹ PAR, <0.986 surface emissivity, <70 Wm⁻² open water net downward longwave flux, 28.2 -28.5 °C SST, 2 ms⁻¹ Wind speed, 5 ms⁻¹ - 6 ms⁻¹ eastward wind, 4.8 x10⁻⁸ - 7x10⁻⁸ kgm⁻³ sea salt surface mass concentration, and 0.1-0.5micromoleL⁻¹ nitrate are favorable for the optimum level of phytoplankton occurrence. Wavelet analysis and BRT deal well with the non-linear relationships of the ecological variables. Hence these methods can be used for the ecological model building in the future studies.

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Figure 1(on next page)

Figure 1: Study area in blue color lied in 720 E, 00N and 98.50E, 220N

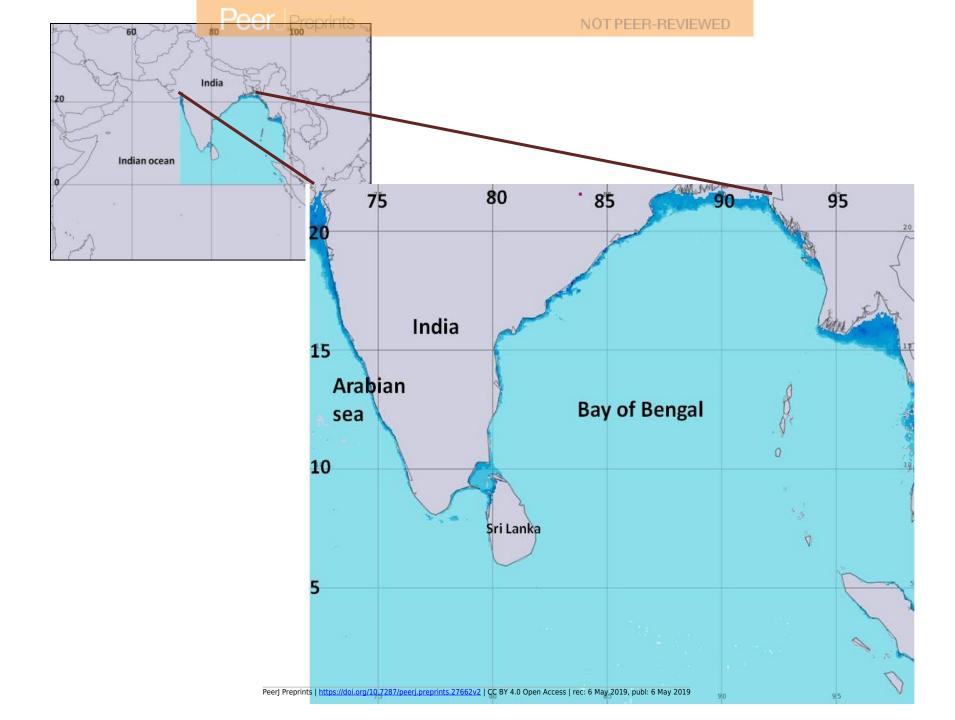




Figure 2(on next page)

Figure 2: The monthly mean variability of the Chl-a and the influencing factors. A:Chl-a, Surface emissivity, Diffuse attenuation coefficient, PAR,SST, Nitrate; B: Chl-a, Wind speed, Eastward wind component, Black carbon concentration, Sea surface sa

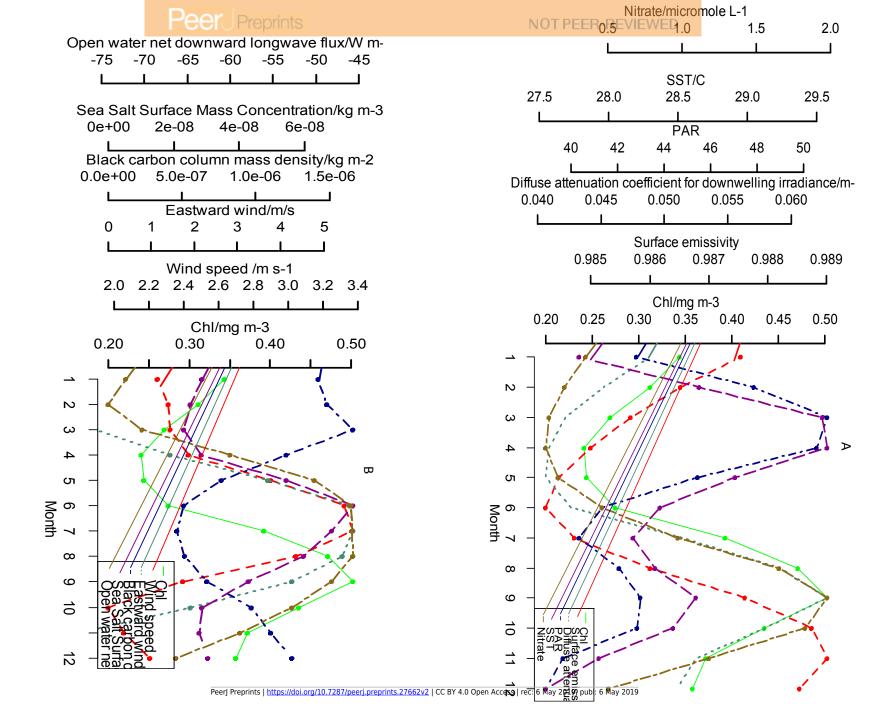
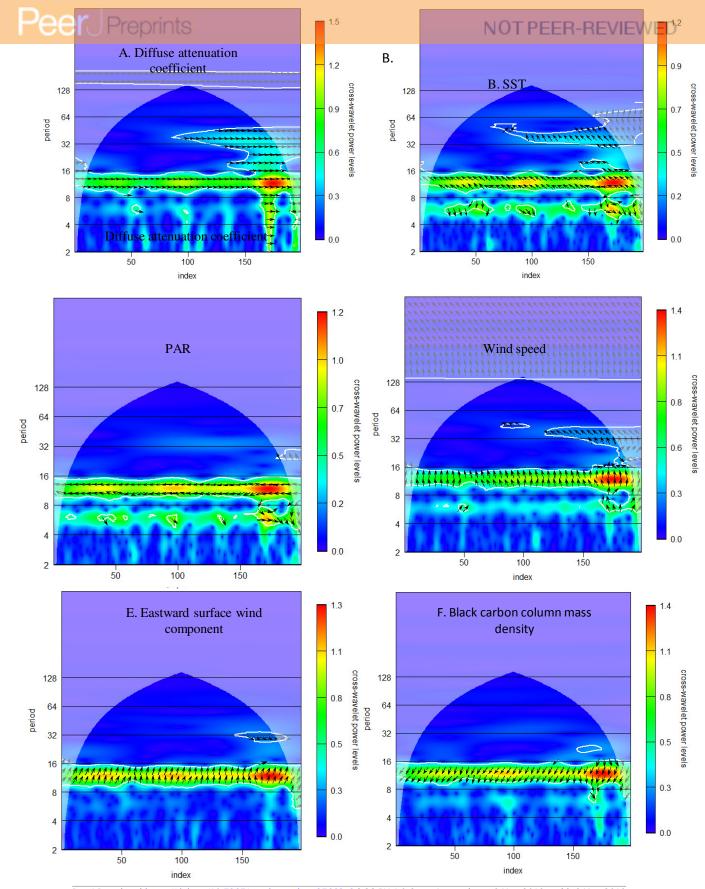




Figure 3(on next page)

Figure 3 . Exponent wavelet coherences among Chlorophyll and influential variables from 2002-2018. The horizontal axis is the 17 years of monthly record beginning from September 2002.

The Cone of Influence defines the areas that are not influenced by edge effects of the wavelet spectra. Colours indicate the strength of the coherence, Yellow to red areas demonstrates the significant correlation at the 95% level against red noise. Directions of the arrows indicate the degree to which the chl-a and other variable series are in phase or outphase. Right arrows indicate they are completely inphase, left indicate they are completely outphase (180° phase angle), and down arrows indicate chl-a lags influencing variable by 90° (one fourth of the cycle at that period). A phase-difference between 0 and \pm π /2 indicates the variables are in-phase (or positively related) while a phase difference of absolute magnitude greater than π /2 indicates an out of phase (or negative) relationship.



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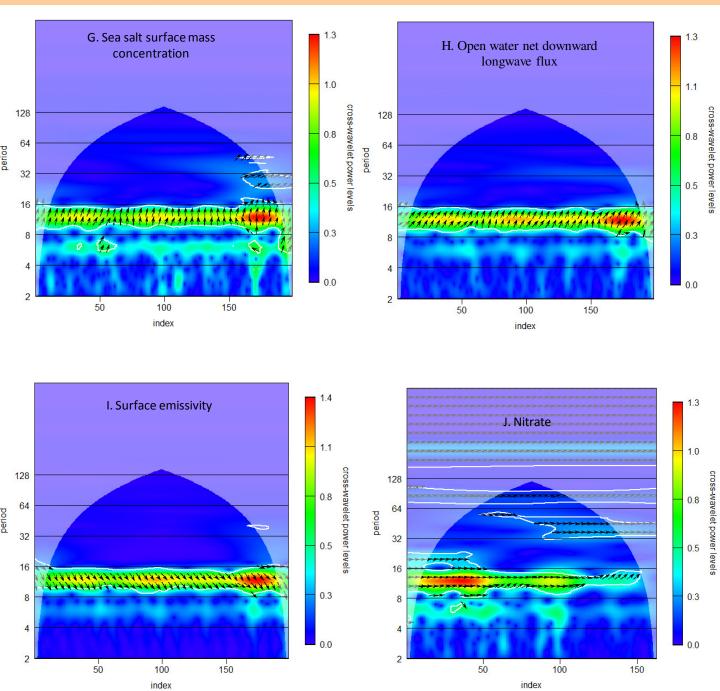




Figure 4(on next page)

Figure 4 . The BRT model holdout deviance vs no of trees.

The lowest predictive deviance achieved for each panel is indicated by a dotted horizontal line; the line for learning rate achieving the minimum is shown in bold line.

Chlorophyll, d - 5, lr - 0.005

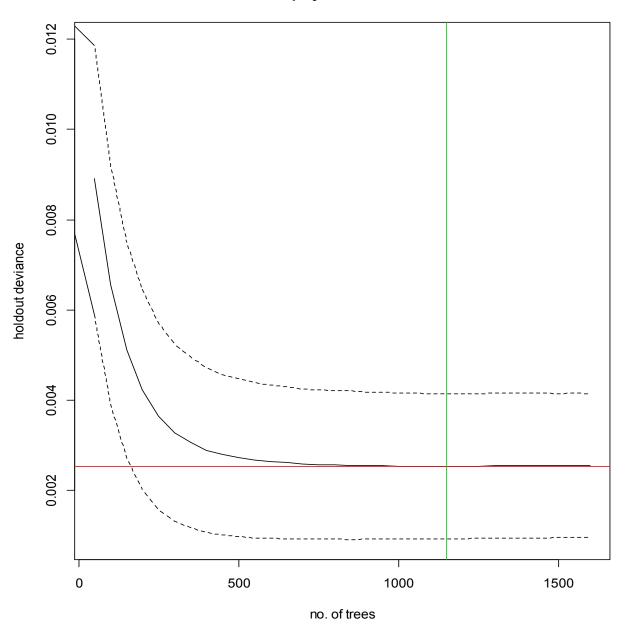




Figure 5(on next page)

Figure 5 . Relative influence of variables on Chl-a according to BRT



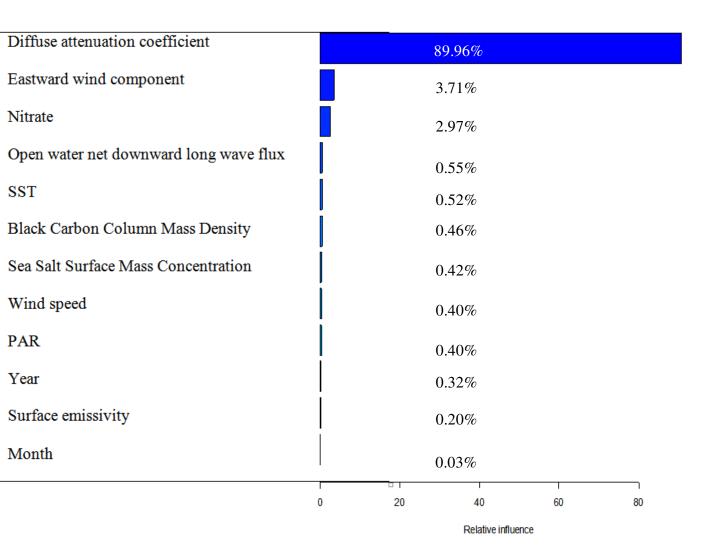




Figure 6(on next page)

Figure 6 . Partial dependence plots for the BRT. Each plot shows the relationship of chl (response variable) to the individual variable after accounting for the effects of all the predictor variables in the final model

PAR (0.4%) Year (0.3%) Surface emissivity (0.2%) Month (0%) PeerJ Preprints | https://doi.org/10.7287/peerj.preprints.27662v2 | CC BY 4.0 Open Access | rec: 6 May 2019, publ: 6 May 2019

fitted function

fitted function

fitted function



Figure 7(on next page)

Figure 7 . weighted mean of fitted values in relation to each non-factor predictor

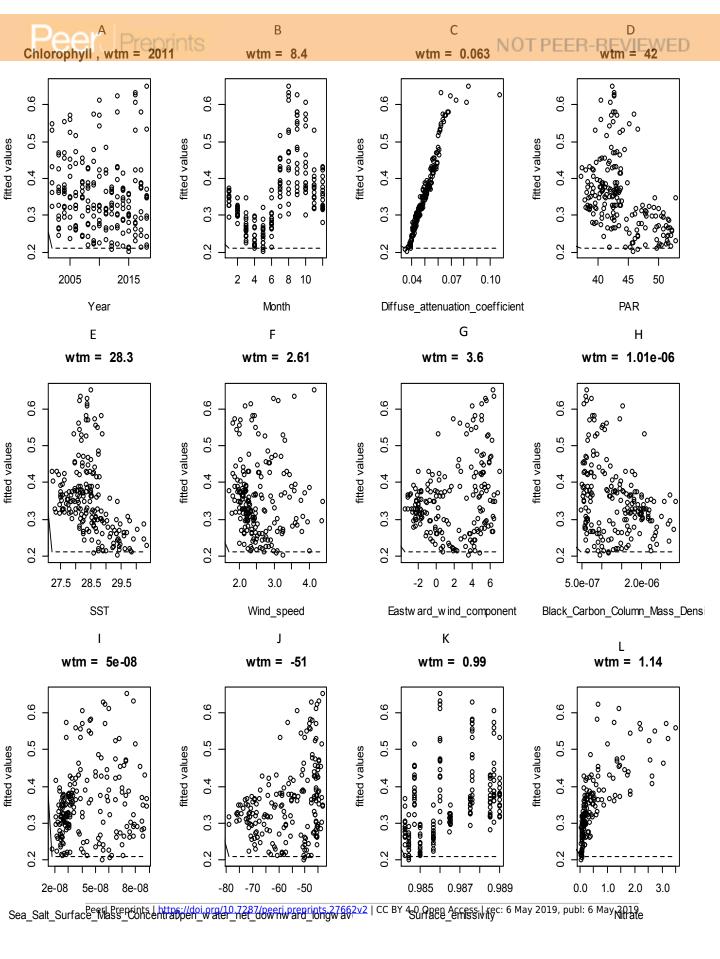
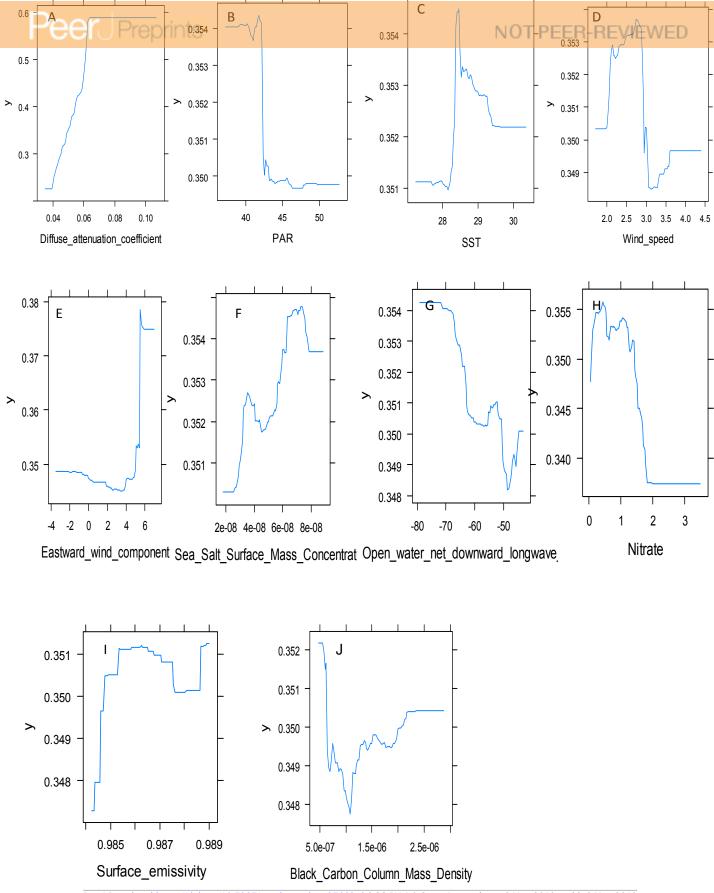




Figure 8(on next page)

Figure 8 .The relationship between the influencing variable and the mapping function f(x) of Chl-a



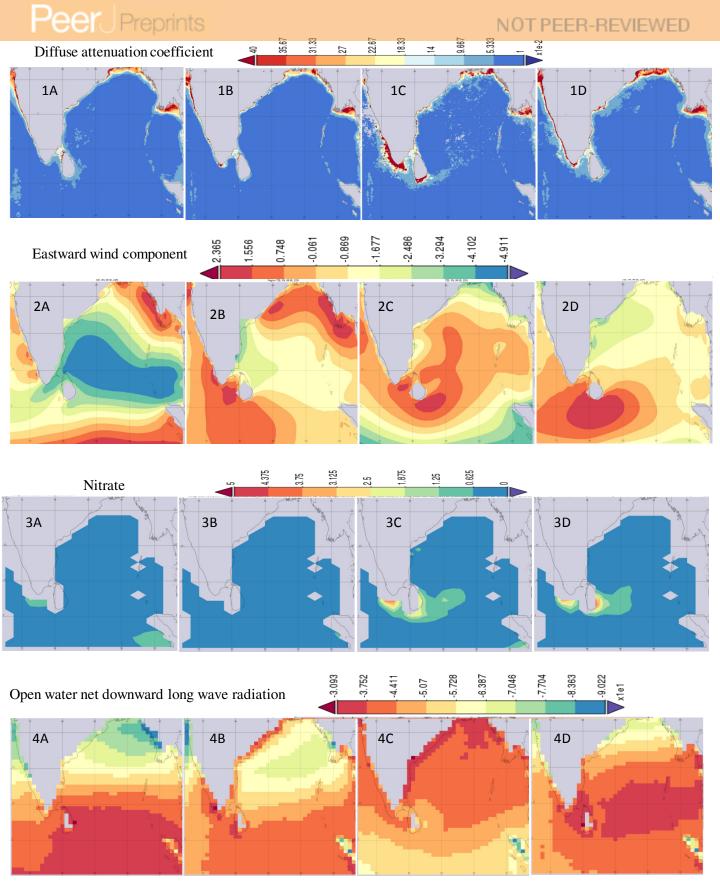
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Figure 9(on next page)

Figure 9 : Seasonal variations of the important predictor variables

(A:December - February, B: March-May, C: June-August, D:September-November)



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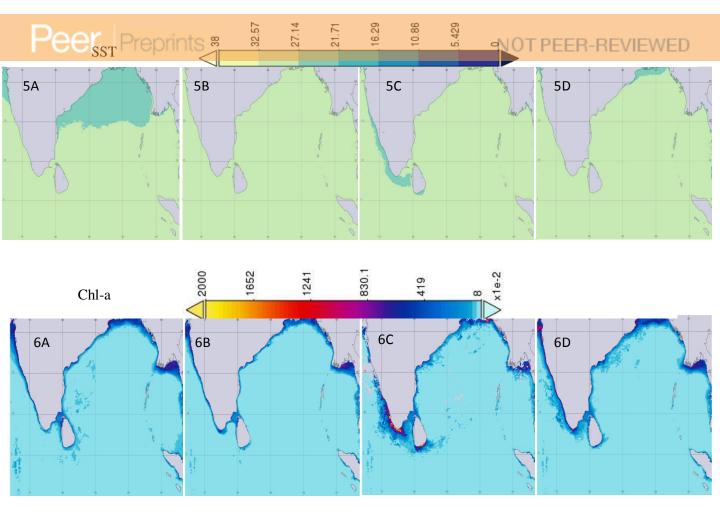




Table 1(on next page)

Table 1 : Standard deviations of the data sets of the variables for $17\ \text{years}$

Mo nth	Wind speed ms-1	Eastward wind componen t ms-1	Black Carbon Column Mass Density_kg m-2	Sea Salt Surface Mass Concentratio n_kg m-3	Open water net downward longwave flux W m-2	Surface emissivity	Chlorophyl l mg m-3	Diffuse attenuation coefficient	PAR_Ein stein m^- 2 day^-1	SST/C	Nitrate_ microm ole/L
	specu_ins i	<u></u>	<u>-</u>	g s	<u>.</u> ,, <u>.</u>	•1111001 + 109			2 ((())	0.23859	
1	0.136584	0.518457	6.61E-07	3.58E-09	3.074785	3.04E-07	0.02451	0.002272	0.857566	6	0.22781
										0.27264	0.13086
2	0.121934	0.574535	6.05E-07	4.09E-09	4.666203	6.15E-06	0.016366	0.001543	1.305851	9	3
										0.30412	0.04904
3	0.124211	0.628681	6.17E-07	3.18E-09	3.401756	1.12E-16	0.033587	0.002466	1.339659	4	1
										0.41989	0.04525
4	0.23746	0.880342	3.39E-07	7.17E-09	3.297025	2.25E-16	0.030325	0.002444	1.180281	1	4
											0.15556
5	0.224524	0.795107	1.93E-07	1.2E-08	2.67719	2.23E-07	0.041183	0.003064	1.296965	0.31888	4
										0.25588	0.37514
6	0.404756	0.751985	1.32E-07	8.03E-09	1.567404	3.66E-07	0.035445	0.002894	1.320166	2	5
										0.19547	0.68811
7	0.527142	0.531893	1.01E-07	9.01E-09	1.832075	3.39E-07	0.059568	0.004736	0.996373	2	2
										0.16775	1.20773
8	0.424981	0.565545	1.45E-07	7.03E-09	1.15554	0	0.124896	0.009716	0.932357	3	4
										0.25778	1.35994
9	0.274786	0.565934	2.68E-07	6.46E-09	1.765892	6.75E-16	0.177968	0.012981	1.409933	4	2
										0.19115	1.50240
10	0.137613	1.285265	5.03E-07	7.62E-09	2.9888	2.25E-16	0.109651	0.00807	1.365595	4	4
											1.28808
11	0.133549	1.146751	4.36E-07	5.39E-09	2.827924	5.62E-16	0.044419	0.003815	1.243705	0.25459	6
										0.22565	
12	0.129899	0.877466	5.27E-07	4.63E-09	3.040033	4.5E-16	0.045559	0.004184	1.067088	5	0.62403



Table 2(on next page)

Table 2: Kendall's rank correlation results declaration

1

2

Variable	Kendall's rank correlations	Chi Sq	P
Diffuse attenuation coefficient	0.9929	391.1953	< 0.0001
PAR	0.266	104.7891	1.00
SST	0.2532	99.7513	1.00
Wind speed	0.4043	159.296	0.98
Eastward wind component	0.5901	232.4897	0.04
Nitrate	0.9118	293.5874	< 0.0001
Black carbon column mass density	0.3623	142.7583	1.00
Sea Salt Surface Mass Concentration	0.6044	238.1378	0.02
Open water net downward longwave flux	0.64	252.1486	0.00
Surface emissivity	0.7658	301.737	< 0.0001