

# GIZAChain: e-Government Interoperability Zone Alignment, based on blockchain technology

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E-government provides access to services anytime anywhere. There are many e-Government frameworks already exist to integrate e-government services, but efficient full interoperability still a challenge.

Interoperability per se can be modeled via four maturity stages, in which the interoperability zone is the holy grail of full interoperability to be reached ultimately with strategy alignment. As e-government services shift in the same way as e-commerce with value chain, this implicitly implies the possibility of benefiting from blockchain with e-government. Blockchain is a nascent promising architecture, whose transactions are permanent, verifiable, and recorded in a distributed ledger.

This research article suggests applying blockchain in achieving e- government interoperability. Forms are juxtaposed on the outer borders of the system. These forms adopt those used by UK government, because they are standard as well as they are available for Python developers. Once a form has been completed, PySOA calls the requested service, before storing the data in Ontology Blockchain. After the service is performed, the policies are analyzed in batch processing using quantgov. A report is submitted to the central government periodically. Ontology Blockchain has a dual effect. On the one hand, it works as a secure data storage. On the other hand, it cooperates with PySOA in supporting both technology and semantic interoperability. The most important feature of the proposed method is the presence of (Government Interoperability Zone Alignment; GIZA), which acts as a backbone that coherently connects the internal subcomponents. This linkage is possible, because each form has an title, that corresponds to the appropriate service name. Each service in turn has a counterpart in the wallets stored in Ontology blockchain.

To measure interoperability empirically, there is a need for metrics. This study adopts and quantizes a standard interoperability matrix along three dimensions of interoperability of Conceptual (Syntax& Semantics), Organizational (Responsibilities& Organization per se), and Technology (Platform& Communication). While concerns are: data, business, service, and process. Any deviation from the standard could contributes to the interoperability score (counting mismatches) or interoperability grade (counting absolute differences). An estimation is performed, for 1000 total random cases. It is estimated that the probability of getting a conceptual/technical interoperability score as large as the standard strategy score is (713 /1000 = 0.713 (2 in 3). It is estimated too that the probability of getting a organizational interoperability score as large as the standard strategy score is (712 /1000 = 0.712 (2 in 3). Then, Markov model is proposed to provide an accurate representation of the evolution of the strategies over time.

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#### **Abstract**

- 16 E-government provides access to services anytime anywhere. There are many e-Government
- 17 frameworks already exist to integrate e-government services, but efficient full interoperability
- 18 still a challenge.
- 19 **Background.** Interoperability per se can be modeled via four maturity stages, in which the
- 20 interoperability zone is the holy grail of full interoperability to be reached ultimately with
- 21 strategy alignment. As e-government services shift in the same way as e-commerce with value
- 22 chain, this implicitly implies the possibility of benefiting from blockchain with e-government.
- 23 Blockchain is a nascent promising architecture, whose transactions are permanent, verifiable, and
- 24 recorded in a distributed ledger.
- 25 **Methods.** This research article suggests applying blockchain in achieving e- government
- 26 interoperability. Forms are juxtaposed on the outer borders of the system. These forms adopt
- 27 those used by UK government, because they are standard as well as they are available for Python
- 28 developers. Once a form has been completed, PySOA calls the requested service, before storing
- 29 the data in Ontology Blockchain. After the service is performed, the policies are analyzed in
- 30 batch processing using quantgov. A report is submitted to the central government periodically.
- 31 Ontology Blockchain has a dual effect. On the one hand, it works as a secure data storage. On
- 32 the other hand, it cooperates with PySOA in supporting both technology and semantic
- 33 interoperability. The most important feature of the proposed method is the presence of
- 34 (Government Interoperability Zone Alignment; GIZA), which acts as a backbone that coherently
- 35 connects the internal subcomponents. This linkage is possible, because each form has an title,
- 36 that corresponds to the appropriate service name. Each service in turn has a counterpart in the
- 37 wallets stored in Ontology blockchain.
- 38 **Results.** To measure interoperability empirically, there is a need for metrics. This study adopts
- 39 and quantizes a standard interoperability matrix along three dimensions of interoperability of



- 40 Conceptual (Syntax& Semantics), Organizational (Responsibilities& Organization per se), and
- 41 Technology (Platform& Communication). While concerns are : data, business, service, and
- 42 process. Any deviation from the standard could contributes to the interoperability score
- 43 (counting mismatches) or interoperability grade (counting absolute differences). An estimation is
- 44 performed, for 1000 total random cases. It is estimated that the probability of getting a
- 45 conceptual/technical interoperability score as large as the standard strategy score is (713/1000 =
- 46 0.713 (2 in 3). It is estimated too that the probability of getting a organizational interoperability
- 47 score as large as the standard strategy score is (712/1000 = 0.712) (2 in 3). Then, Markov model
- 48 is proposed to provide an accurate representation of the evolution of the strategies over time.

# 4950 Introduction

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- E-government provides access to services anytime anywhere thanks to the evolution in
- 52 Information and Communication Technology (ICT) (Hany, 2008).
- There are many e-Government frameworks(Riad et al, 2011) already exist to integrate e-
- 54 government services, but efficient full *interoperability* still a challenge (Charalabidis, 2010).
- A quick literature review is presented in the rest of this section, focusing on success stories and
- learned lessons, before presenting e-government interoperability zone alignment based on
- 57 blockchain in next section, in which blockchain acts as the core distributed repository. This
- allows citizens to gain access to the e-services quickly and with transparency and high privacy.
- **E-government** is "the use of ICT by governments that have the ability to transform relations
- among citizens, business and other arms of government" (WorldBank Website, 2015). It can be
- of viewed as an interaction tool between government and citizens (Drucker, 2001).
- 62 It aims for:

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- enhance quality of service (Siddiquee & Mohamed, 2007),
- cost-effective service (Bhuiyan, 2011),
- reduce time(Alshehri &Drew 2011),
  - saving resources (Seifert &Bonham, 2003),
- efficient administration (Rajon&Zaman, 2008),
- enhance bureaucracy (West, 2004),
- sustainability (Bwalya 2009),
  - corruption control (Schuppan, 2009), and
  - fighting poverty (Pathak et al. 2007).
- 72 Development of e-government, usually through stages (Layne & Lee, 2001), encounters many
- barriers to reach full interoperability (Hellman, 2010). Interoperability per se can be modeled via
- 74 four maturity stages (Gottschalk& Solli-Sæther, 2009), in which the **interoperability zone** is
- 75 the holy grail of full interoperability to be reached ultimately with strategy alignment<sup>1</sup>.
- 76 Based on e-government ranking, Estonia is a success story (X-Road). Its X-Road system
- 77 connects all government databases over Internet, thus generating a common e-data resource

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<sup>&</sup>lt;sup>1</sup> Refer to figure 1, (Hellman, 2010).



78 (Waseda University, 2013). A key learned lesson is that the realization of e-government services 79 usually nictitates a network organization of services (Goldsmith & Eggers, 2004), (Osborne, 2010). Yet, the standard model of e-government, focusing on the exchange of information on 80 24hours/7days basis (Basu 2004), incorporates a triade of communication styles (Sharma & 81 82 Patni, 2012): 83 • Citizen-to-Government, 84 • Business-to-Government, and • Government-to-Government 85 86 A second learned lesson is that e-government services shift in the same way as e-commerce with 87 value chain (Osborne & Gaebler, 1992), (Kamarck, 2007). Based on that learned lesson, blockchains may be a perfect match to be employed in e-88 government, as proposed in the subsequent section. 89 90 Methods 91 92 This research article suggests applying blockchain in achieving e-government interoperability, 93 as shown in Figure 1. 94 95 Figure 1 near here 96 97 Forms are juxtaposed on the outer borders of the system. These forms adopt those used by UK government, because they are standard as well as they are available for Python developers. Once 98 99 a form has been completed, PySOA calls the requested service, before storing the data in Ontology Blockchain. After the service is performed, the policies are analyzed in batch 100 processing using quantgov. A report is submitted to the central government periodically. 101 102 The most important feature of the proposed method is the presence of (Government 103 Interoperability Zone Alignment; GIZA), which acts as a backbone that coherently connects the 104 internal subcomponents. This linkage is possible, because each form has an title, that 105 corresponds to the appropriate service name. Each service in turn has a counterpart in the wallets 106 107 stored in Ontology blockchain. 108 109 Results 110 To measure interoperability empirically, there is a need for metrics. This study adopts interoperability matrix from (Ducq & Chen, 2008), as shown in Table 1. 111 112 113 Table 1 near here 114 115 116 Barriers along the three dimensions of interoperability (Ducq & Chen, 2008): 117 Conceptual (Syntax& Semantics),



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high as 2 by a mere chance.

119 Technology (Platform& Communication). 120 While concerns are: data, business, service, and process. 121 Originally each dimension is decomposed into a dichotomy with binary values. For a matter of mathematical convince, each dimension is quantized. For instance, the intersection of 122 123 Conceptual and Data was originally (0,0). This binary value has a corresponding 0 quantization 124 value, as  $00 \rightarrow 0$ ,  $01 \rightarrow 1$ ,  $10 \rightarrow 2$ , and  $11 \rightarrow 3$ . 125 126 The standard has "3,3,3,3" quantization. Any deviation from the standard could contributes to the interoperability score (counting mismatches) or interoperability grade (counting absolute 127 128 differences), as shown in Table 2, and Table 3 respectively. 129 130 Table 2 near here 131 132 133 134 Table 3 near here 135 136 Each of technical and conceptual interoperability has "0,2,3,3" possible quantization values, but for different concerns. Organizational interoperability has "0,1,1,3" quantization values. An 137 estimation is performed <sup>2</sup>, for 1000 total random cases. Conceptual/technical interoperability 138 scores and grades are shown in Figure 2 and Figure 3 respectively. 139 140 141 Figure 2 near here 142 143 144 145 Figure 3 near here 146 147 For conceptual /technical interoperability, it is estimated that 713 of the 1000 strategy alignments of random conceptual /technical interoperability has "0,3,2,3"/"3,0,2,3" possible 148 149 quantization had strategy alignment scores that were equal to or greater than 2. Hence, it is 150 estimated that the probability of getting a conceptual/technical interoperability score as large as

Organizational (Responsibilities Organization per se), and

<sup>2</sup> R code is publicly available <a href="https://github.com/dr-dos-ok/GIZAChain/blob/master/r\_zone\_alignment-estimation.ipynb">https://github.com/dr-dos-ok/GIZAChain/blob/master/r\_zone\_alignment-estimation.ipynb</a> . It only requires installing seqinr package to use c2s function to facilitate conversion of a string to a character vector.

the standard strategy score by chance is (713 /1000 =) 0.713 (2 in 3). This P-value is sufficiently

high, to conclude that it is probable to gain a conceptual/technical interoperability with score as

This may be counter-interoperability hypothesis, but bear in mind that the 3,3,3,3" standard is not a realistic assumption. As quantizations "0,3,2,3" and "3,3,3,3" are initially 50% zone-



alignable, hence they are interoperable. It is expected that it can be raised up to at least 75% 156 157 interoperability when lowering the standard only one. 158 159 Similarly, organizational interoperability scores and grades are shown in Figure 4 and Figure 5 respectively. 160 161 162 Figure 4 near here 163 164 165 Figure 5 near here 166 167 Similarly, for organizational interoperability, it is estimated that 712 of the 1000 strategy alignments of random organizational interoperability has "1,1,0,3" possible quantization had 168 169 strategy alignment scores that were equal to or greater than 3. Hence, it is estimated that the 170 probability of getting an organizational interoperability score as large as the standard strategy score by chance is (712 /1000 =) 0.712 (2 in 3). This P-value is sufficiently high, to conclude that 171 it is probable to gain an organizational interoperability with score as high as 3 by a mere 172 173 chance. 174 The simplest model of strategy evolution assumes that the quantization follows the multinomial 175 distribution. In other words, randomly chose any of the four quantization (0,1,2,3) has a 176 predetermined probability. That is (1/4, 0/4, 1/4, 2/4) for conceptual/technical dimension as 177 shown in Figure 6, and (1/4, 2/4, 0/4, 1/4)) for organizational dimension as shown in Figure 7. 178 179 180 Figure 6 near here 181 182 Figure 7 near here 183 184 However, for some actual scenarios, it is not true, because the probability of finding a particular 185 strategy by any government at a particular time does depend on adjacent strategies. In this case, 186 187 Markov model is a more accurate representation of the evolution of the strategies over time. 188 A Markov model chose any of the four quantization values, where the probability of choosing any one of the four quantizations at a particular time depends on the quantization chosen for the 189 previous time, as shown in Figure 8. 190 191 192 Figure 8 near here 193 194 195



#### Discussion

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- 197 This study extends the standard model of e-government (Sharma & Patni, 2012), in which
- blockchain is placed at the heart of the proposed model. This is based on the shift of e-
- 199 government services that resembles the shift in e-commerce with value chain (Osborne &
- 200 Gaebler, 1992), (Kamarck, 2007).
- The most important feature of the proposed model is the presence of (Government
- 202 Interoperability Zone Alignment; GIZA), which acts as a backbone that coherently connects the
- 203 internal subcomponents. This linkage is possible, because each form has an title, that
- 204 corresponds to the appropriate service name. Each service in turn has a counterpart in the wallets
- stored in Ontology blockchain.
- 206 Ontology Blockchain has a dual effect. On the one hand, it works as a secure data storage. On
- 207 the other hand, it cooperates with PySOA in supporting both technology and semantic
- 208 interoperability. Blockchain is responsible for primitive operations known as CRUD (creation,
- reading, updating, deleting). The blockchain, by nature, does not allow the last two types of
- 210 operations. This may be considered a double-edged sword.
- 211 To measure interoperability empirically, there is a need for metrics. This study adopts and
- 212 quantizes interoperability matrix from (Ducq & Chen, 2008). An estimation is performed, for
- 213 1000 total random cases. It is estimated that the probability of getting a conceptual/technical
- 214 interoperability score as high as the standard strategy score is about 71%. Make no mistake, the
- 215 3,3,3,3" standard is not a realistic assumption. As quantizations "0,3,2,3" and "3,3,3,3" are
- 216 initially 50% zone-alignable, hence they are interoperable. It is expected that it can be raised up
- 217 to at least 75% interoperability when lowering the standard only one. Moreover, for some actual
- 218 scenarios, Markov model is proposed to provide a more accurate representation of the evolution
- 219 of the strategies over time.

#### Conclusions

- Based on the shift of e-government services that resembles the shift in e-commerce with value
- chain (Osborne & Gaebler, 1992), (Kamarck, 2007), blockchain is proposed to be placed at the
- heart of the standard model of e-government (Sharma & Patni, 2012).
- Based on a rough estimation, the probability of reaching an a interoperability zone alignment is
- estimated. One possible future direction is to produce accurate estimations based on Markov
- 228 model of strategy evolution.

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   MMUNICATIONANDTECHNOLOGIES/EXTEGOVERNMENT/0,,contentMDK:2050715
   3~menuPK:702592~pagePK:148956~piPK:216618~theSitePK:702586,00.html> [15
   November 2015]
- ---, X-Road , Estonian Information System's Authority, Data Exchange Layer X-Road,
   https://www.ria.ee/x-road/



## Table 1(on next page)

Interoperability Matrix

There are three dimensions of interoperability: Conceptual (Syntax& Semantics),
Organizational (Responsibilities& Organization per se), and Technology (Platform&
Communication). While concerns are: data, business, service, and process.



BARRIER	CONCEPTUAL	ORGANIZATIONAL	TECHNOLOGY
	(SYNTAX&	(RESPONSIBILITIES&	(PLATFORM&
CONCERN	SEMANTICS)	ORGANIZATION PER SE)	COMMUNICATION)
Data	0	1	3
Business	3	1	0
Service	2	0	2
Process	3	3	3

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## Table 2(on next page)

Interoperability score for two arbitrary subsystems

A score is calculated by counting mismatches.

CONCERN	SUB-SYSTEM1	SUB-SYSTEM2	
	STRATEGY	STRATEGY	
			SCORE
Data	0	3	1
Business	1	2	1
Service	2	2	0
Process	3	3	0
		Total score	2



## Table 3(on next page)

Interoperability grades for two arbitrary subsystems

A grade is calculated by the absolute differences between values.

CONCERN	SUB-SYSTEM1	SUB-SYSTEM2	
	STRATEGY	STRATEGY	
			GRADE
Data	0	3	3
Business	1	2	1
Service	2	2	0
Process	3	3	0
		Total grade	4

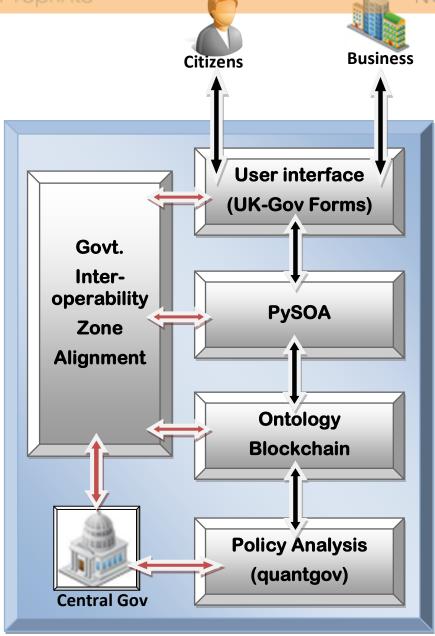


#### Figure 1(on next page)

#### Proposed Model

Forms are juxtaposed on the outer borders of the system. These forms adopt those used by UK government, because they are standard as well as they are available for Python developers. Once a form has been completed, PySOA calls the requested service, before storing the data in Ontology Blockchain. After the service is performed, the policies are analyzed in batch processing using quantgov. A report is submitted to the central government periodically. Ontology Blockchain has a dual effect. On the one hand, it works as a secure data storage. On the other hand, it cooperates with PySOA in supporting both technology and semantic interoperability . The most important feature of the proposed method is the presence of (Government Interoperability Zone Alignment; GIZA), which acts as a backbone that coherently connects the internal subcomponents





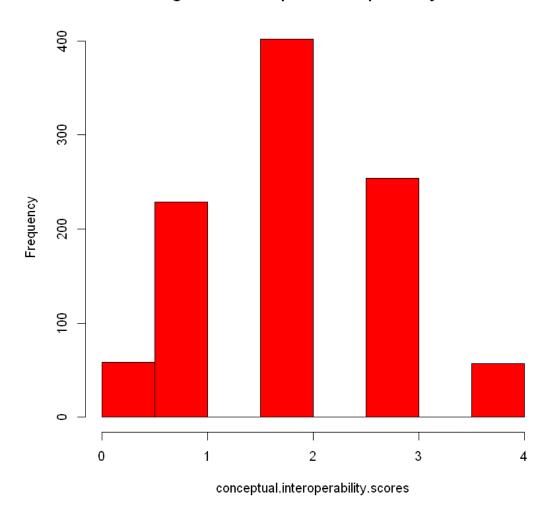


# Figure 2(on next page)

Conceptual interoperability scores



## Histogram of conceptual.interoperability.scores



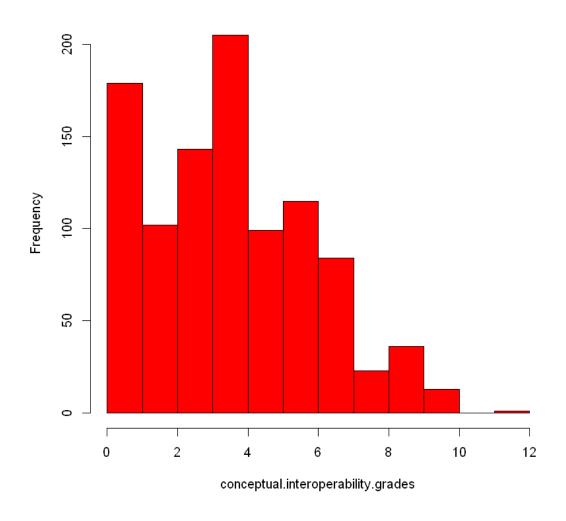


# Figure 3(on next page)

Conceptual interoperability grades



## Histogram of conceptual.interoperability.grades



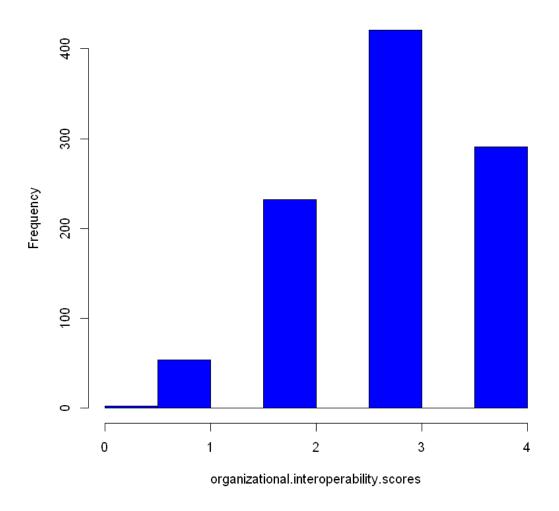


## Figure 4(on next page)

Organizational Interoperability scores



## Histogram of organizational.interoperability.scores





# Figure 5(on next page)

Organizational Interoperability grades



#### Histogram of organizational.interoperability.grades

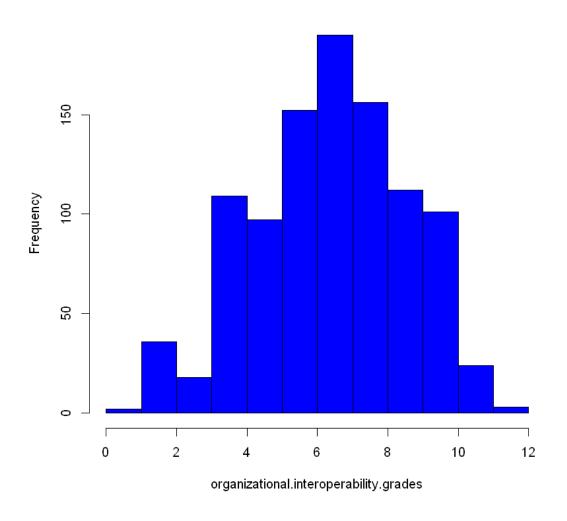




Figure 6(on next page)

Conceptual Sample



## Histogram of conceptual.multinomial.sample

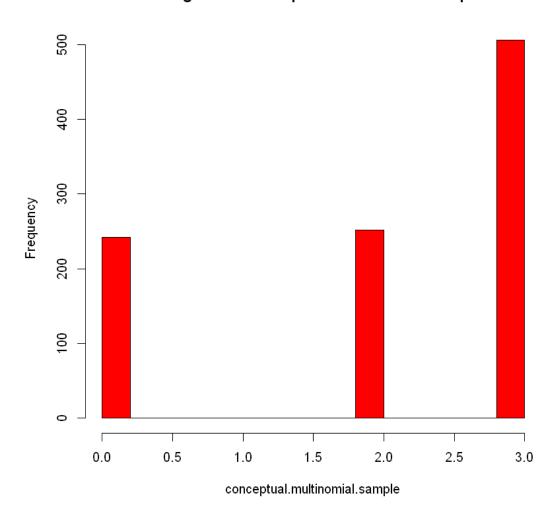


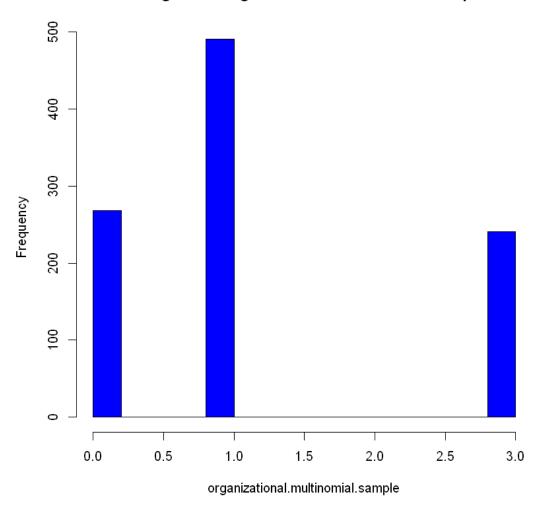


Figure 7(on next page)

Organizational Sample



#### Histogram of organizational.multinomial.sample





## Figure 8(on next page)

Markov Sample



## Histogram of markov.sample

