

Parsing multi-ordered grammars with the Gray algorithm

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Background. Context-free grammars (CFGs) and Parsing-expression Grammars (PEGs) are the two main formalisms used by formal specifications and parsing frameworks to describe programming languages. They mainly differ in the definition of the choice operator, describing language alternatives. CFGs support the use of non-deterministic choice (i.e., unordered choice), where all alternatives are equally explored. PEGs support a deterministic choice (i.e., ordered choice), where alternatives are explored in strict succession. In practice the two formalisms, are used through concrete classes of parsing algorithms (such as Left-to-right, rightmost derivation (LR) for CFGs and Packrat parsing for PEGs), that follow the semantics of the formal operators.

Problem Statement. Neither the two formalisms, nor the accompanying algorithms are sufficient for a complete description of common cases arising in language design. In order to properly handle ambiguity, recursion, precedence or associativity, parsing frameworks either introduce implementation specific directives or ask users to refactor their grammars to fit the needs of the framework/algorithm/formalism combo. This introduces significant complexity even in simple cases and results in incompatible grammar specifications.

Our Proposal. We introduce Multi-Ordered Grammars (MOGs) as an alternative to the CFG and PEG formalisms. MOGs aim for a better exploration of ambiguity, ordering, recursion and associativity during language design. This is achieved by (a) allowing both deterministic and non-deterministic choices to co-exist, and (b) introducing a form of recursive and scoped ordering. The formalism is accompanied by a new parsing algorithm (Gray) that extends chart parsing (normally used for Natural Language Processing) with the proposed MOG operators.

Results. We conduct two case-studies to assess the expressiveness of MOGs, compared to CFGs and PEGs. The first consists of two idealized examples from literature (an expression grammar and a simple procedural language). The second examines a real-world case (the entire Smalltalk grammar and eleven new Smalltalk extensions) probing the complexities of practical needs. We show that in comparison, MOGs are able to reduce complexity and naturally express language constructs, without resorting to implementation specific directives.

Conclusion. We conclude that combining deterministic and non-deterministic choices in a single grammar specification is indeed not only possible but also beneficial. Moreover, augmented by operators for recursive and scoped ordering the resulting multi-ordered formalism presents a viable alternative to both CFGs and PEGs. Concrete implementations of MOGs can be constructed by extending chart parsing with MOG operators for recursive and scoped ordering.

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1 BACKGROUND

Parsing is ubiquitous, from rudimentary data storage and retrieval, to protocol and communication structures and from there to file-formats, domain-specific, general purpose and natural language specifications. This wide range of applications can partially explain why it is still such an active area of research, or as L. Tratt describes it: *"The Solved Problem That Isn't"* [38]. With so many different areas of application, come inherent trade-offs in terms of expression power, speed, comprehensibility or memory consumption of different approaches.

Since their introduction in 1956 by N. Chomsky [12], context-free grammars have been the de-facto formalism for describing languages. In fact as J. Kegler [28] notes, the very definitions of "parser",

49 "recognizer" and "language" as we use them today can be traced back to this first paper on the subject by
50 N. Chomsky. CFGs will be later popularized in the context of Computer Science with the introduction
51 of Backus's notation [4], later enhanced by Naur for Algol 60 [5] (resulting in the acronym BNF, for
52 Backus-Naur Form, and most commonly used in its extended form – EBNF [25]).

53 CFGs present a number of challenges, both for the algorithms that consume them (for parsing
54 or recognition) and for the end-users defining them (handling of ambiguity, recursion, precedence
55 and associativity). This is why in practice parsing frameworks based on CFGs introduce additional
56 implementation specific directives, or ask users to restrict their grammars in ways that fit the framework's
57 design (e.g., eliminating left-recursion). The most obvious, perceived drawback of CFGs for Informatics
58 is that of ambiguity. Since CFGs allow for non-deterministic choices between syntactic alternatives, they
59 can lead to ambiguous parses of the same input.

60 It is exactly this drawback that B. Ford focused on, in one of the most influential papers since the
61 introduction of CFGs [24] making the following startling claim:

62
63 *"For decades we have been using Chomsky's generative system of grammars, particularly context-free*
64 *grammars (CFGs) and regular expressions (REs) [...] The power of generative grammars to express*
65 *ambiguity is crucial to their original purpose of modelling natural languages, but this very power makes*
66 *it unnecessarily difficult both to express and to parse machine-oriented languages using CFGs."*
67

68 In essence Ford argues that most of our problems with parsing have been due to our bias towards
69 linguistic solutions [12, 13] that are suitable for Natural Languages [14]. We thus have been disregarding
70 the needs of "easier" domains such as data or programming language specifications, where expressing
71 ambiguity is unnecessary, leading to cumbersome solutions and implementations. He then goes on to
72 propose the PEG formalism as an alternative, which he shows to be reducible to earlier well understood
73 systems such as TS/TDPL and gTS/GTDPL [8, 1]. PEGs by construction cannot express non-deterministic
74 (i.e., unordered) choice, thus avoiding ambiguities. They instead use prioritized (ordered) choice when
75 presented with parsing alternatives, making the choice deterministic and efficient. Only if a chosen
76 alternative fails directly (not through subsequent backtracking), will PEG-parsers try its alternatives. This
77 is much like how a human developer will manually hard-code alternatives in a top-down parser. Since
78 determining a correct order often involves look-aheads, PEGs also introduce the ! (not) and & (and)
79 operators, which recognize but do not consume their input (i.e., determine if rule A is/not followed by
80 rule B).

81 Since their introduction in 2004, Parsing Expression Grammars have been gaining widespread
82 adoption both in industry and academia. More than 400 subsequent works ¹ cite B. Ford's original paper,
83 while a total of 29 implementations in 14 different programming languages are reported in active use ².
84 Nevertheless reviewing PEG-related bibliography reveals that the original argumentation in favor of PEGs
85 has actually been weakened by subsequent work, regarding basic parsing features such as (a) recursion
86 handling and (b) associativity support. To this day all proposed enhancements either address these issues
87 in isolation or in implementation specific ways. It is still unclear if there is a single way to parse PEGs
88 without facing these issues or introducing implementation directives external to the formalism (in the
89 same way that CFG-based solutions do). Our analysis in this paper takes us a step further, questioning the
90 very core of the initial PEG proposal: (c) by design unambiguous grammars. We explain why a form of
91 ordered choice and conditional operators that PEGs advocate are worth saving, but only within a wider
92 synthesis that could address the aforementioned issues.

93 Starting with **Section 2**, we will use a simple expression grammar to discuss the differences between
94 CFGs, PEGs and their proposed enhancements (as seen in Figures 1 to 5). We will argue that although
95 PEG-related bibliography tried to enhance Ford's initial proposal, it has actually provided insights that
96 weaken the argumentation in favor of PEGs. We will explain why this direction of the PEG project hints
97 to a need for expressing ambiguity during language design, while providing support for *incremental*
98 *disambiguation*. To this end in **Section 3** we will introduce MOGs (Multi-ordered grammars) and the Gray
99 algorithm in detail, as alternatives to the PEG and CFG formalisms. Then in **Section 4** we will present
100 the results of our case-studies assessing the expressiveness of MOGs, compared to CFGs and PEGs. Two

¹439 citations according to Google Scholar as of 30/09/2018: <https://scholar.google.com/scholar?q=Parsing+expression+grammars%3A+a+recognition-based+syntactic+foundation>

²implementations reported in <http://bford.info/packrat/>

101 idealized examples (an expression grammar and a simple procedural language) and two real-world cases
 102 (the entire Smalltalk grammar and eleven new Smalltalk extensions) probing the complexities of practical
 103 needs, will be discussed. Finally, **Section 5** will conclude the paper and discuss future perspectives.

104 2 PROBLEM & RELATED WORK

105 Figures 1 to 3 show us how two of the most prominent CFG-based algorithms (LALR [16, 15] and Earley
 106 [20, 21]) compare to a parsing algorithm using the vanilla PEG formalism described by Ford, when
 107 describing a simple expression grammar. For being precise in our comparison we use the same BNF
 108 symbols (`::=` and `|`) for rule definition and choice in all examples (instead of the PEG-only variants `<-`
 109 and `/`) assuming the appropriate semantics (ordered choice for PEGs and unordered choice for CFGs)
 110 in each case. Note here that the rule *number* has a right-hand side terminal representing integers and
 111 that *expression*, *power*, *product*, *sum* are non-terminal rules for arithmetic operations (caret `^` denotes
 112 exponentiation). Finally all other non-bracketed sequence of characters represent terminal character
 113 sequences and groups of ordinary regular expressions.

114 The expression grammar frequently appears in literature, not only because it is one of the simplest
 115 "realistic" examples. It is also an example where the need for handling left/right recursion, ambiguity,
 116 precedence and associativity, co-occur. The initial PEG paper does not provide such examples, but as we
 117 will promptly see these have been the focus of subsequent PEG-related papers.

118 Regarding the different grammar flavors (understood by Earley, LALR and PEG-parser respectively)
 119 in Figures 1 to 3, we observe the following:

Figure 1: The Earley CFG for expressions

```

1  <expression> ::= <expression> "[+-]" <expression>
2      | <expression> "[*/]" <expression>
3      | <expression> "^" <expression>
4      | <number>
5
6  <number> ::= [0-9]+
  
```

Figure 2: The LALR CFG for expressions

```

1  %right '^'
2  %left '[+-]'
3  %left '[*/]'
4
5  <expression> ::= <expression> "[+-]" <expression>
6      | <expression> "[*/]" <expression>
7      | <expression> "^" <expression>
8      | <number>
9
10 <number> ::= [0-9]+
  
```

120 The **Earley algorithm** (Figure 1) provides the shortest and most natural way to express the grammar.
 121 This is despite the fact that the algorithm was explicitly designed for NLP. This conclusion is in contrast
 122 to what Ford argues, since the expression grammar falls under the "simpler than NLP", computational
 123 problems described in his initial paper. Nevertheless the result provided by Earley is indeed highly
 124 problematic, since it consists of all possible parsing trees (e.g., for an expression as simple as: $2 * 3 + 4 \wedge$
 125 $5 \wedge 6$ Earley will answer all 14 possible trees). Only manually re-writing the grammar (that will end-up
 126 resembling a lot like the PEG version, studied below) can produce an unambiguous Earley parse. Later

127 enhancements to the algorithm, have focused on empty-rule handling [3] parallelism [11], complexity
 128 [30, 27] and performance [2, 31, 32]. The ambiguous output of Earley is widely considered a feature
 129 (especially for Natural Language Processing) rather than a problem, with the exception of precedence
 130 handling through external directives [27].

131 The **LALR algorithm** (Figure 2) is close to the Earley version but in order to avoid ambiguity we again
 132 need to provide implementation specific hints to handle shift/reduce and reduce/reduce conflicts. These
 133 are the three percentage (%) directives (on lines 1 to 3) handling operator precedence (lower directives
 134 have higher precedence) and associativity (operators are explicitly stated as left or right associative).
 135 The Generalized LR algorithm can return the ambiguous forest as Earley does, with a few caveats (see
 136 1.5: "Writing GLR Parsers" in [17]). Nevertheless neither LALR or GLR algorithms in state-of-the-art
 137 implementations (as in GNU/Bison or SmaCC [10]) can handle all precedence or reduce conflicts (See
 138 Sections 5.7: "Mysterious Conflicts" and 5.3.6: "Using Precedence For Non Operators" in [17]) without
 139 grammar rewriting (as in the case of Adaptive LL(*) parsing[35]).

140 The **PEG version** (Figure 3, predominately parsed by Packrat algorithms [22, 23]) is the most verbose
 141 of the three, since it cannot directly handle left recursion or associativity and thus needs to distinguish
 142 between products, powers and sums. No support for left-recursion also means that the parsing output will
 143 be wrongly right-associative by default. Precedence is only partially defined using ordered choice, since
 144 we need to hard-code explicit right-recursive relations between sums, products and numbers. Nevertheless
 145 the parsing is indeed unambiguous without resorting to implementation specific directives.

Figure 3: The PEG version for parsing expressions

```

1  <expression> ::= <sum>
2
3  <sum> ::= <product> "[+-]" <sum>
4         | <product>
5
6  <product> ::= <pow> "[*/]" <product>
7            | <pow>
8
9  <pow> ::= <number> "^" <pow>
10        | <number>
11
12 <number> ::= [0-9]+
  
```

146 The expression grammar shows us that Ford's initial argument against CFGs is at least partially false.
 147 CFGs do express more naturally and correctly grammars outside NLP, but need to resort to implementation
 148 specific directives to handle precedence and ambiguity. Ford's formulation of PEGs on the other hand, is
 149 unambiguous by design but forces the user to adopt a very specific way to describe grammars, with no
 150 left-recursion, problematic associativity and hand-coded precedence. Subsequent refinements to PEGs
 151 from literature tried to remedy these problems, adding support for left-recursion (in OMeta [39, 41] and
 152 Ohm [18, 40]) as seen in Figure 4 and associativity [29], as seen in Figure 5. It is worth noting here that
 153 these solutions address the problems either in isolation (A. Warth et al [39] where concerned only with
 154 left-recursion) or in implementation specific ways (N. Laurent and K. Mens introduce implementation
 155 specific directives to guide PEG parsing for their "Autumn" framework [29]). Both solutions report
 156 additional performance penalties for supporting these extensions [39, 29]. Relying on the specificities of
 157 the framework rather than the operators of the formalism to circumvent the drawbacks of PEGs is not
 158 restricted to the examples above. Other widely used PEG frameworks like PetitParser [36, 33] also adopt
 159 this strategy, albeit in a less general way. PetitParser introduces additional programmatic API for terms,
 160 groups and associativity on top of PEGs for the sole purpose of handling arithmetic grammars.

161 To this day it is still unclear if PEGs can successfully resolve these issues in a general way without
 162 introducing implementation directives external to the formalism. Moreover a direct comparison of state-
 163 of-the-art PEG extensions (Figure 5) and the classic LALR solution with directives (Figure 2) differ only

164 in their taste of implementation specific extensions. The difference is that LALR needs the directives to
 165 avoid conflicts and ambiguity (as in the case of Earley), while PEG parsers need them to actually produce
 166 the correct parsing tree in a readable manner. This is why we argue that subsequent contributions to the
 167 PEG-bibliography have further weakened the initial PEG vs CFG argumentation, by ending up adopting
 168 external directives like their CFG counterparts. Afterall, the usage of complicated informal "meta-rules"
 169 by CFGs, was one of the initial argumentations for the introduction of PEGs [24].

Figure 4: Left-recursion extension for PEGs

```

1  <expression> ::= <sum>
2
3  <sum> ::= <sum> "[+-]" <product>
4          | <product>
5
6  <product> ::= <product> "[*/]" <pow>
7             | <pow>
8
9  <pow> ::= <number> "^" <pow>
10         | <number>
11
12 <number> ::= [0-9]+
  
```

170 Nevertheless, there are still valid reasons why one might choose to use PEGs over CFGs. In examples
 171 where it's possible to hard-code precedence and associativity without using left-recursion or extra
 172 directives in the grammar, we can benefit from a linear-parsing time. Such a grammar is likely to be
 173 well-behaved under CFG algorithms as well, but in the PEG case this is guaranteed.

174 Moreover given that neither Earley nor LALR can provide unambiguous grammars without additional
 175 effort, we might choose to use PEG parsers that **are guaranteed to at least provide some kind of output**
 176 **(even if this output is wrong)**. The trade-off here is with arcane shift/reduce, reduce/reduce errors, or
 177 with getting back the whole parsing forest (as in the case of Earley). This of course means that the
 178 argument in favor of PEGs being "unambiguous" (although not technically wrong) is misleading. PEGs
 179 are guaranteed to provide a single **(possibly wrong)** output, for which we may need to provide external
 180 directives to parse correctly.

Figure 5: Associativity solution for PEGs

```

1  <expression> ::= <expression> "[+-]" <expression>
2                @+ @left_recur
3                | <expression> "[*/]" <expression>
4                @+ @left_recur
5                | <expression> "^" <expression>
6                | <number> @+
7
8  <number> ::= [0-9]+
  
```

181 In conclusion, neither PEGs nor CFGs (and their accompanying algorithms) are sufficient for a
 182 complete description of common cases arising in language design. In order to properly handle ambiguity,
 183 recursion, precedence or associativity, parsing frameworks either introduce implementation specific
 184 directives or ask users to refactor their grammars to fit the the needs of the framework/algorithm/formalism
 185 combo. This introduces significant complexity even in simple cases and results in incompatible grammar
 186 specifications.

187 3 OUR PROPOSAL

188 Since in the absence of external directives, both CFGs and PEGs cannot provide a complete solution, we
 189 might conclude that the last 15 years of research (since the introduction of PEGs in 2004) have come
 190 full-circle. Nevertheless as we saw in Section 2 PEGs did provide us with a means of "disambiguation"
 191 (the ordered choice) that despite its multiple problems, can be used to explore the domain of possible
 192 parse trees without resorting to cryptic errors and conflicts.

193 This dimension of **exploration** through disambiguation is the starting point of our own efforts. Unlike
 194 Ford, we begin by embarrassing the ambiguity of CFGs and the non-deterministic nature of algorithms
 195 inspired by NLP. Given the insights that we gained from the PEG program, we introduce mechanisms
 196 for **incremental disambiguation** of languages through new ordered-choice operators that act within (not
 197 instead of) an ambiguous grammar.

198 An overview of the MOG operators and semantics can be seen in Tables 1 and 2. Table 1 lists the
 199 operators that are common in MOGs and other formalisms, whereas Table 2 describes MOG-specific
 200 operators, or operators that have significantly different semantics in Multi-ordered grammars. Figure 6
 201 summarizes the class structure of the Gray parsing algorithm used to recognize MOGs, its relation with
 202 chart parsing and the incremental introduction of the new operators in a chart-parsing base. A detailed
 203 analysis of the Gray algorithm follows in sub-section 3.1.

Depending on your point of origin (CFGs or PEGs), MOGs can be loosely described as, either:

$$204 \quad MOG = PEG + Unordered_c + RecScopedOrdered_c \quad (1)$$

That is a Multi-Ordered Grammar is a PEG augmented by unordered and recursively-scoped ordered
 choice operators, or as:

$$205 \quad MOG = CFG + LAhead_o + Ordered_c + RecScopedOrdered_c \quad (2)$$

204 That is a Multi-Ordered Grammar is a CFG augmented by two lookahead operators $LAhead_o$ (& and
 205 !), plus the ordered and recursively-scoped ordered choices. In essence what is unique about MOGs
 206 compared to CFGs or PEGs is:

- 207 1. The experimental mixing of $Ordered_c$ and $Unordered_c$ choices, that are mutually exclusive in other
 208 formalisms.
- 209 2. The $RecScopedOrdered_c$ (*Recursively Scoped Ordered*) choice operators, that are unique to MOGs.

210 These relations between Multi-ordered Grammars, CFGs and PEGs can be more easily understood
 211 through the inheritance semantics of the Gray algorithm seen in Figure 6. The Gray hierarchy consists of a
 212 succession of recognizers beginning with a CFG-recognizer (*GrayBaseAlgo*, at the base of the hierarchy),
 213 followed by a PEG-compatible recognizer (*GrayOrdered*) and finally a recognizer that is able to handle
 214 MOGs (*GrayMixedOrdered*).

215 More specifically in the bottom part of Figure 6, we see the *GrayBaseAlgo* class. *GrayBaseAlgo* is our
 216 chart-parsing base similar to that of a 3-op Earley parser (scan, predict, complete) [20], traditionally used in
 217 NLP [26]. Similarly to [3], we extent this chart-base with the empty derivation (ϵ). In Gray this is achieved
 218 by treating ϵ as a special terminal of zero length that *unconditionally succeeds*. By introducing ϵ -rules in
 219 the chart base, we can then trivially define EBNF operators such +, *, ?, through recursion terminating at
 220 ϵ . For example $\langle s \rangle ::= \langle a \rangle +$, can be readily consumed as $\langle s \rangle ::= \langle a \rangle (\langle s \rangle \mid \langle \epsilon \rangle)$, with
 221 the group operation between () defined as an intermediate rule $\langle t \rangle ::= \langle s \rangle \mid \langle \epsilon \rangle$. *GrayBaseAlgo*
 222 maintains a reference to the initial input, the grammar and the charts describing the current state of the
 223 recognition (its methods *scan*, *predict*, *complete* etc. will be described in more detail in Subsection 3.1).
 224 Notice here that almost all base methods have synonyms (*scanBase*, *predictBase*, *completeBase*), allowing
 225 the default parsing behavior (CFG-compatible recognition) to be accessed by the sub-classes, even if the
 226 main methods have been overridden somewhere along the hierarchy chain.

227 In the middle part of Figure 6 we see the *GrayOrdered* class, which models the PEG-compatible
 228 recognizer of the Gray hierarchy. *GrayOrdered*, extends our chart-parsing base with two new operations
 229 (*backtrack* and *fork*), while overriding the standard CFG behaviour for *predict* and *complete*. These
 230 extensions (described in more detail in Subsection 3.1) handle the non-scoped backtracking ordered
 231 choice (||) and the two lookahead operators (& , !), by maintaining a *backTrackStack*. Notice here that

232 backtracking for this recognizer is ultimately supported by the *Charts* class at the bottom, through the
 233 *backTrackAt(chartIndex, stateIndex, state)* method.

234 Finally, in the upper part of Figure 6, we find the MOG-compatible *GrayMixedOrdered* recognizer.
 235 *GrayMixedOrdered* maintains a *lastSeenStack*, that remembers the current ordered alternative for each
 236 ordered rule we are seeking to recognize. The variable references a stack of values rather than a single
 237 value, taking into account that alternatives can be recursively invoked and backtracked. This book-keeping
 238 allows *GrayMixedOrdered* to implement recursive ordering (*/*, **) and introduce a new recursive scope
 239 upon invocation of a scoped choice (*||*). The mixing of order with unordered choices, is achieved
 240 by overriding the *predict* and *complete* operations. As we will see in more detail in Subsection 3.1,
 241 *GrayMixedOrdered* invokes either the PEG or CFG-compatible operations of each of its parent classes
 242 (e.g., *GrayOrdered*'s *predict(state)* or *GrayBaseAlgo*'s *predictBase(state)*) depending on whether the
 243 rule under consideration is ordered or not. To optimize scanning and memoization, we pre-compute
 244 all first, follow and predict sets of the grammar rules to pre-filter unwanted alternatives (as seen in
 245 *GrayMixedOrderedFiltered* class) by overriding the *predict* operation.

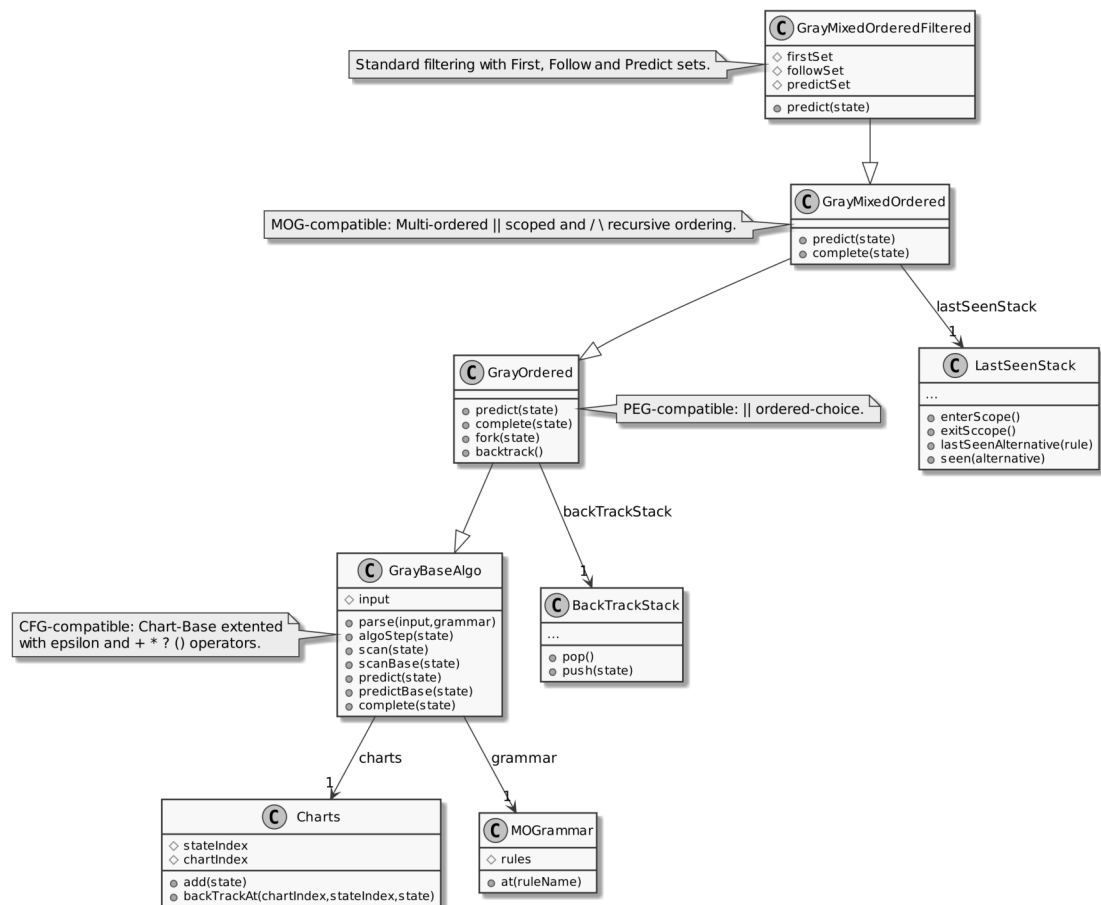


Figure 6. Gray Hierarchy Overview: Extending a chart-base to parse Multi-Ordered Grammars

246 3.1 The Gray Algorithm

247 The Gray algorithm is a chart-parsing algorithm employing *dynamic programming*, to memoize interme-
 248 diate results, thus avoiding excessive re-parsing of the input [34]. What distinguishes Gray from other
 249 chart-based algorithms and especially the Earley algorithm [20, 21], is its ability to:

- 250 (a) **Handle ϵ -rules gracefully**, implementing all EBNF operators (*+*, ***, *?*, *()*)
- 251 (b) **Backtrack and fork**, to express the PEG-compatible ordered-choice and the two look-ahead
 252 operators (*&* and *!*).

Common Operators	Appears In	Description
$\langle S \rangle ::= \langle A \rangle \langle B \rangle$	MOG, EBNF, PEG, RE	Composition operator. Non-terminal S is a sequence of exactly one occurrence of A , followed by exactly one occurrence of B .
$\langle S \rangle ::= \langle A \rangle \langle B \rangle ?$	MOG, EBNF, PEG, RE	Optional operator. Non-terminal S is a sequence of exactly one occurrence of A , followed by an optional occurrence of B .
$\langle S \rangle ::= \langle A \rangle \langle B \rangle *$	MOG, EBNF, PEG, RE	Zero-or-more operator. Non-terminal S is a sequence of exactly one occurrence of A , followed by zero or more occurrences of B .
$\langle S \rangle ::= \langle A \rangle \langle B \rangle +$	MOG, EBNF, PEG, RE	One-or-more operator. Non-terminal S is a sequence of exactly one occurrence of A , followed by one or more occurrences of B .
$\langle S \rangle ::= (\langle A \rangle \langle B \rangle) +$	MOG, EBNF, PEG, RE	Grouping operator. Non-terminal S consists of one or more sequences of exactly one occurrence of A followed by exactly one occurrence of B .
$\langle S \rangle ::= \langle A \rangle \langle B \rangle$	MOG, EBNF, BNF	Non-deterministic (unordered) choice operator. Non-terminal S consists of either exactly one occurrence of A or exactly one occurrence of B .
$\langle S \rangle ::= \langle A \rangle \& \langle B \rangle$	MOG, PEG	Conditional-and operator. Non-terminal S consists of exactly one occurrence of A , only if it followed by B . B is not consumed.
$\langle S \rangle ::= \langle A \rangle ! \langle B \rangle$	MOG, PEG	Conditional-not operator. Non-terminal S consists of exactly one occurrence of A , only if it is not followed by B . B if present is not consumed.

Table 1. Operators common in MOGs and other formalisms

253 (c) **Recognize multi-ordered grammars** (MOGs) consisting of both deterministic and non-deterministic
 254 alternatives, augmented by **scoped** ($\|$) and **recursively ordered-choices** ($/$ or \backslash).

255 The Gray chart consists of a two-dimensional memory Π , filled with $\pi_{i,j}$ entries of unique (non-
 256 duplicate) *dotted-rules*. The i -dimension, denotes the i -th terminal in the recognition process, while the
 257 j dimension denotes the j -th state (*dotted-rule*) processed during the recognition of the aforementioned
 258 terminal. Dotted rules $\pi_{i,j}$ are grammar rules, augmented by (i) their starting position α in the input I ,
 259 (ii) a dot $.$ on the left of the currently processed sub-rule and (iii) the dot position ω in the input. As
 260 an example consider the dotted rule $\langle e \rangle \rightarrow \langle e \rangle . "+" \langle e \rangle [0, 3]$, describing the recognition
 261 attempt of e starting at $\alpha = 0$, having successfully recognized its recursive invocation up until position
 262 $\omega = 3$ and waiting to process the terminal rule $"+"$. A state with a dot after its last constituent (for *e.g.*,
 263 $\langle e \rangle \rightarrow \langle e \rangle "+" \langle e \rangle . [0, 8]$), is said to be completed/recognized. $C(\pi_{i,j})$ returns *true* if $\pi_{i,j}$
 264 is complete or *false* otherwise. Γ denotes the set of rules describing the grammar to be recognized,
 265 with γ_k referring to each particular rule. γ_0 references the rule: $\langle S \rangle \rightarrow \langle S \rangle$ where S is the starting

MOG-Specific Operators	Appears In	Description
$\langle S \rangle ::= \langle A \rangle \mid \mid \langle B \rangle$	MOG, PEG (no-scope)	Deterministic (ordered) choice operator. Non-terminal S consists of exactly one occurrence of A . If recognition of non-terminal A fails then S consists of exactly one occurrence of B . Deterministic choice can be exhaustive in MOGs (successful recognition of A should lead to successful recognition of the input). $\mid \mid$ introduces a new scope for recursive ordering that is MOG-specific (see below).
$\langle S \rangle ::= \langle A \rangle / \langle B \rangle$	MOG-ONLY	Self-recursive (ordered) choice operator. Non-terminal S consists of exactly one occurrence of A . If recognition of non-terminal A fails then S consists of exactly one occurrence of B . If S is to be recursively recognized from within A, start at A. If S is to be recursively recognized from within B start at B. If non-recursive ordered choice $\mid \mid$ is invoked from within S, start a new scope for S at A.
$\langle S \rangle ::= \langle A \rangle \setminus \langle B \rangle \mid \langle C \rangle$	MOG-ONLY	Recursive (ordered) choice operator. Non-terminal S consists of exactly one occurrence of A . If recognition of non-terminal A fails then S consists of exactly one occurrence of B (similarly for C). If S is to be recursively recognized from within A, start at A. But if S is to be recursively recognized from within B, start at the next alternative (i.e., C).
$\langle S \rangle ::= \langle A \rangle \setminus \langle B \rangle \mid \langle C \rangle$	MOGs-ONLY	Taint operation. If either $\mid \mid$ or $/$ or \setminus are present in a rule, the rule is tainted (i.e., all alternatives are ordered). If the recursive order of a tainted sub-rule is not explicitly set, it defaults to self-recursion. Here S consists of a self-recursive A . If A fails it consists of a simply recursive B (explicitly set). If B fails it consists of a self-recursive C .

Table 2. MOG-specific operators, or operators that have significantly different semantics in Multi-ordered grammars

266 non-terminal of the language. $\Delta(\gamma_k)$ returns the index of γ_k as a sub-rule, and $\gamma \equiv \gamma'$ is *true* if γ and γ' are
 267 sub-rules of the same parent. $\gamma_{k_{id}}$ represents the name of rule γ_k , rather than the rule itself (from which it
 268 follows that $\gamma \equiv \gamma' \leftrightarrow \gamma_{id} = \gamma'_{id}$). Our backtrack stack B holds $\pi_{i,j}$ alternatives that will be added to Π in
 269 the order they were pushed, *only if* the current considered alternative in Π fails. Failures are memoized
 270 and identical states that have previously failed are ignored. Λ_s denotes a stack of scopes, with each scope
 271 s describing a mapping from a rule γ_i to the index of its alternative that is currently being processed by the
 272 algorithm.

Algorithm 1 The Gray Base Algorithm

```

1: procedure GRAYBASEPARSE( $\Gamma, S, \Pi, I$ )
2:    $\Pi_{0,0} \leftarrow (\gamma_0 \rightarrow \bullet S [0,0])$ 
3:   for all  $\pi_{i,j} \in \Pi$  do
4:     if  $\neg C(\pi_{i,j})$  then
5:       if  $K'(\pi_{i,j}) \notin (T(\Gamma) \cup \{\varepsilon\})$  then
6:         GRAYBASEPREDICT( $\pi_{i,j}, \Gamma, \Pi, I$ )
7:       else
8:         GRAYBASESCAN( $\pi_{i,j}, I, \Pi$ )
9:       end if
10:    else
11:      GRAYBASECOMPLETE( $\pi_{i,j}, \Pi$ )
12:    end if
13:  end for
14:  return  $\Pi$ 
15: end procedure

16: procedure GRAYBASEPREDICT( $\pi_{i,j}, \Gamma, \Pi, I$ )
17:   for all  $\gamma \in \Gamma$  where  $\gamma \equiv K'(\pi_{i,j})$  do
18:      $\pi \leftarrow (\gamma_{id} \rightarrow \bullet \dots [\Omega(\pi_{i,j}), \Omega(\pi_{i,j})])$ 
19:     if  $\pi \notin \Pi$  then  $\Pi_{i, \max(j)+1} \leftarrow \pi$ 
20:   end for
21: end procedure

22: procedure GRAYBASESCAN( $\pi_{i,j}, I, \Pi$ )
23:    $\alpha \leftarrow \Pi_{index(I)}, \omega \leftarrow \Pi_{index(I, K'(\pi_{i,j}))}$ 
24:   if  $\omega > 0$  then
25:      $\pi \leftarrow (K'_{id}(\pi_{i,j}) \rightarrow \dots \bullet [\alpha, \omega])$ 
26:     if  $\pi \notin \Pi$  then  $\Pi_{i+1, \max(j)+1} \leftarrow \pi$ 
27:   end if
28: end procedure

29: procedure GRAYBASECOMPLETE( $\pi_{i,j}, \Pi$ )
30:   for all  $\pi \in \Pi$  where  $K'(\pi) \equiv K(\pi_{i,j}) \wedge \Omega(\pi) = A(\pi_{i,j})$  do
31:      $\pi' \leftarrow (K_{id}(\pi) \rightarrow \dots K_{id}(\pi_{i,j}) \bullet \dots [A(\pi), \Omega(\pi_{i,j})])$ 
32:     if  $\pi' \notin \Pi$  then  $\Pi_{i, \max(j)+1} \leftarrow \pi'$ 
33:   end for
34: end procedure

```

273 We also define the following helper functions to aid our description: $K(\pi_{i,j}), K'(\pi_{i,j})$ return the rule γ_k
 274 at the head or at the dot of a state $\pi_{i,j}$. $T(\Gamma), N(\Gamma)$ denote respectively the set of terminals and non-terminal
 275 rules in Γ . $A(\pi_{i,j}), \Omega(\pi_{i,j})$ return the α and ω of the dotted-rule $\pi_{i,j}$. $P(I), P_{index}(I)$ return the current
 276 character and index of the input. While $P_{index}(I, \gamma_k)$ returns the new input index after the terminal rule γ_k
 277 has been recognized (or 0 otherwise). $Op(\gamma_k)$ returns the operator associated with the rule γ_k in the current
 278 context (&, !, |, ||, / or \). While $Ch_{index}(\gamma_k, i)$ maps the type of ordered choice $\{||, /, \backslash\}$ associated with
 279 a sub-rule at index i , to the integer indexes $\{-1, 0, 1\}$.

Algorithm 2 The Gray Ordered Overrides and Extensions

```

1: procedure GRAYORDPREDICT( $\pi_{i,j}, \Gamma, \Pi, I$ )
2:   if  $Op(K'(\pi_{i,j})) \in \{\&, !\}$  then
3:     if  $\neg$  GRAYORDFORK( $\Pi, \pi_{i,j}, \Gamma, I$ ) then return
4:   end if
5:   for all  $\gamma \in \Gamma$  where  $\gamma \equiv K'(\pi_{i,j})$  do
6:      $\pi \leftarrow (\gamma_{id} \rightarrow \bullet \dots [\Omega(\pi_{i,j}), \Omega(\pi_{i,j})])$ 
7:     if  $\pi \notin \Pi \wedge \neg n$  then
8:        $\Pi_{i, \max(j)+1} \leftarrow \pi, n \leftarrow true$ 
9:     else
10:       $B_{i, \max(j)+1} \leftarrow \{\pi, P_{index}(I)\}$  ▷ Push-op (optim. hook)
11:    end if
12:  end for
13: end procedure

14: procedure GRAYORDCOMPLETE( $\pi_{i,j}, \Pi$ )
15:   for all  $\pi \in \Pi$  where  $K'(\pi) \equiv K(\pi_{i,j}) \wedge \Omega(\pi) = A(\pi_{i,j})$  do
16:      $\pi' \leftarrow (K_{id}(\pi) \rightarrow \dots K_{id}(\pi_{i,j}) \bullet \dots [A(\pi), \Omega(\pi_{i,j})])$ 
17:     if  $\pi' \notin \Pi \wedge \neg n$  then
18:        $\Pi_{i, \max(j)+1} \leftarrow \pi', n \leftarrow true$ 
19:     else
20:       $B_{i, \max(j)+1} \leftarrow \{\pi, P_{index}(I)\}$ 
21:    end if
22:  end for
23: end procedure

24: procedure GRAYORDBACKTRACK( $\Pi, B, I$ )
25:    $b \leftarrow B_{pop}$ 
26:   if  $b \in \Pi$  then
27:     GRAYORDBACKTRACK( $\Pi, B, I$ )
28:   else
29:      $\Pi_{b_i, b_j} \leftarrow b[\pi]$  ▷ Del. or memoize the rest
30:      $P_{index}(I) \leftarrow b[P_{index}]$ 
31:   end if
32: end procedure

33: procedure GRAYORDFORK( $\Pi, \pi_{i,j}, \Gamma, I$ )
34:    $i \leftarrow P_{index}(I)$ 
35:    $\Pi' \leftarrow$  GRAYBASEPARSE( $\Gamma, S \rightarrow K'(\pi_{i,j}), \Pi', I$ )
36:    $s \leftarrow ((\gamma_0 \rightarrow S \bullet) \in \Pi')$ 
37:    $P_{index}(I) \leftarrow i$  ▷ Restore input
38:   if  $(Op(K'(\pi_{i,j})) = \& \wedge s) \vee (Op(K'(\pi_{i,j})) = ! \wedge \neg s)$  then
39:      $\Pi_{i,j} \leftarrow (K_{id}(\pi_{i,j}) \rightarrow \dots K'_{id}(\pi_{i,j}) \bullet \dots [A(\pi_{i,j}), \Omega(\pi_{i,j})])$  ▷ Advance dot and continue
40:     return true
41:   else
42:     return false ▷ Process next state
43:   end if
44: end procedure

```

280 **3.1.1 The GrayBase Algorithm**

281 Our chart-parsing base (Alg. 1) includes the main parsing loop (GrayBaseParse), which receives (in
282 line 1) the grammar (Γ), the starting non-terminal (S), the charts (Π) and the string to recognize (I), as
283 input. At the end of this procedure the filled charts will be returned, from which the parsing tree(s) can be
284 derived, with the existence of a completed γ_0 state ($\gamma_0 \rightarrow S \bullet \in \Pi$) signaling success. As we saw earlier,

Algorithm 3 The Gray Mixed-Ordered Overrides

```

1: procedure GRAYMIXEDPREDICT( $\pi_{i,j}, \Gamma, \Pi, I$ )
2:   if  $Op(K'(\pi_{i,j})) = |$  then
3:     GRAYBASEPREDICT( $\pi_{i,j}, \Gamma, \Pi, I$ )
4:   else
5:     if  $Op(K'(\pi_{i,j})) \in \{\&, !\}$  then
6:       if  $\neg$  GRAYORDFORK( $\Pi, \pi_{i,j}, \Gamma, I$ ) then return
7:     end if
8:      $\gamma'_{index} \leftarrow \Lambda_{max(s)}[K'(\pi_{i,j})]$ 
9:      $op_{index} \leftarrow Ch_{index}(K'(\pi_{i,j}), \gamma'_{index})$ 
10:    if  $op_{index} = -1$  then
11:       $alt_{index} = 1$ 
12:    else
13:       $alt_{index} = \gamma'_{index} + op_{index}$ 
14:    end if
15:    for all  $\gamma \in \Gamma$  where  $\gamma \equiv K'(\pi_{i,j}) \wedge \Delta(\gamma) \geq alt_{index}$  do
16:       $\pi \leftarrow (\gamma_{id} \rightarrow \bullet \dots [\Omega(\pi_{i,j}), \Omega(\pi_{i,j})])$ 
17:      if  $\pi \notin \Pi \wedge \neg n$  then
18:         $\Pi_{i,max(j)+1} \leftarrow \pi, n \leftarrow true$ 
19:        if  $Ch_{index}(\gamma, \Delta(\gamma)) = -1$  then  $\Lambda_{max(s)+1} \leftarrow \{\}$  ▷ New Scope
20:      else
21:         $B_{i,max(j)+1} \leftarrow \{\pi, P_{index}(I)\}$ 
22:      end if
23:    end for
24:  end if
25: end procedure

26: procedure GRAYMIXEDCOMPLETE( $v, u, p$ )
27:   if  $Op(K'(\pi_{i,j})) = |$  then
28:     GRAYBASECOMPLETE( $\pi_{i,j}, \Gamma, \Pi, I$ )
29:   else
30:     GRAYORDEREDCOMPLETE( $\pi_{i,j}, \Gamma, \Pi, I$ )
31:      $\pi \leftarrow \Pi_{max(i),max(j)}$ 
32:     if  $C(\pi) \wedge Ch_{index}(K(\pi), \Delta(K(\pi))) = -1$  then  $\Lambda_{pop}$  ▷ Exit Scope
33:   end if
34: end procedure

```

285 this base algorithm deviates from other chart-based approaches by handling ε -rules as terminals (line 5),
 286 allowing us to implement all EBNF operators (+, *, ?, ()). Another notable difference is the complete
 287 absence of a separate lexing-phase, with the terminal recognition completely driven by the syntax (in lines
 288 8 and 23). This is also the reason why both the α and ω indexes describing the recognition progress of
 289 dotted-rules, are defined in terms of character positions rather than terminals.

290 More specifically, starting at line 2 we add our first dotted-rule (γ_0) to our charts at position 0,
 291 describing the attempt to recognize the starting non-terminal of the grammar (*i.e.*, the \bullet is at the left of S).
 292 Then from lines 3 to 13 we will iterate over all dotted-rules in the charts, calling one of the three base
 293 methods (grayBasePredict, grayBaseScan, grayBaseComplete) for each state. The reason we need to loop
 294 (despite having started with just the initial γ_0 state), is that all three methods add additional states to Π
 295 during recognition. The decision on which method to call depends on the state of each dotted rule, so that
 296 if $\pi_{i,j}$ is not complete (line 4) we will either call predict (line 6) or scan (line 8), depending on whether
 297 the rule at the left of the \bullet is a terminal or not (line 5). If $\pi_{i,j}$ has instead been completed (*i.e.*, has been
 298 recognized), we will call the complete method instead (line 11).

299 GrayBasePredict (lines 16 to 21), will expand all alternatives of the rule at the left of the dot in $\pi_{i,j}$
 300 (lines 17, 18). Then it will store them at the end of the current chart $\Pi_{i,max(j)+1}$ (line 19), if they have not
 301 already been added by a previous prediction (*i.e.*, all identical states in a specific position will be analyzed

302 exactly once). GrayBaseScan (lines 22 to 28), will store the current input position (in α) and attempt to
 303 recognize the terminal left of the \bullet in $\pi_{i,j}$, storing the resulting position in ω (line 23). If the recognition
 304 of the terminal was successful (*i.e.*, $\omega > 0$), a completed state for the terminal rule (with an end- \bullet in line
 305 25) will be added at the end of the next chart $\Pi_{i+1, \max(j)+1}$. Finally, GrayBaseComplete (lines 29 to 34)
 306 will search for states in Π that are waiting for the completed state $\pi_{i,j}$ at position $A(\pi_{i,j})$ (line 30). It will
 307 then create a copy of the waiting states, advancing the \bullet and ω of the copy at the right of the recognized
 308 rule (line 31). Finally it will attempt to add the updated copy in the current chart $\Pi_{i, \max(j)+1}$, checking for
 309 duplicates (line 32).

3.1.2 The GrayOrdered Algorithm

311 The GrayOrdered algorithm (Alg. 2), overrides the base predict and complete methods with the grayOrd-
 312 Predict and grayOrdComplete procedures (lines 1 to 23). Moreover, it adds two additional operations to
 313 the chart-parsing base (backtrack and fork, lines 24 to 39). Essentially, these extensions treat all choice
 314 operator as non-scoped versions of the ordered choice ($\|\|$) while implementing the two look-ahead opera-
 315 tors ($\&$, $!$). This is achieved by maintaining the backtrack stack B of $\pi_{i,j}$ alternatives, and introducing a
 316 *single alternative of ordered rules at a time*. If said alternative fails, Π will backtrack at the top alternative
 317 of B . Failures can be memoized so that identical states that have previously failed can be ignored.

318 More specifically, at line 2 the overridden predict checks the operator associated with the rule at the
 319 left of the dot in the current state $Op(K'(\pi_{i,j}))$. If this is found to be one of the look-ahead operators
 320 (that does not consume input), the algorithm will fork (lines 28 to 39) to deal with this rule. The fork
 321 operator first stores the current input index $P_{index}(I)$ (line 34), and then enters a nested parsing loop (line
 322 35), with a new empty chart Π' , the same grammar Γ and input I , but different starting rule. This starting
 323 rule $S \rightarrow K'(\pi_{i,j})$ is the rule of the initial invoking loop, which we wanted to look-ahead. Then on line 31
 324 we will check if the nested parsing succeeded or not, by testing for the existence of a completed starting
 325 rule ($\gamma_0 \rightarrow S\bullet$) storing the result in s . Finally on lines 33 and 34 we will advance the dot *in place* ($P_{i,j}$) at
 326 the right of the rule $K'_{id}(\pi_{i,j})$, only if the look-ahead operator matches the result of the nested parsing (at
 327 which case we will return *true* on line 35) or *false* otherwise (line 37).

328 Back to the overridden predict on line 3, if the look-ahead failed, the method will return so that the
 329 algorithm can continue parsing at the next state in the chart. If the look-ahead succeeded (with the dot
 330 advancing in-place during fork) we will proceed with the prediction normally. Ordered prediction (lines 5
 331 to 12) consists of attempting to add a single alternative for $K'(\pi_{i,j})$ to Π making sure that (a) it does not
 332 already exist ($\pi \in \Pi$) and (b) one has not already been added ($-n$), as seen in line 7. The single alternative
 333 to be considered ends up at the end of the current chart $\Pi_{i, \max(j)+1}$ (line 8). The remaining alternatives
 334 will be pushed at the top of B , marking the current input index $P_{index}(I)$ to return to (line 10), as well as
 335 the current chart indexes ($i, \max(j) + 1$). The push operation on line 10, also serves as a hook for failure
 336 memoization (*i.e.*, identical states that have already failed do not need to be added to the stack).

337 The overridden complete (lines 14 to 23) will first search (line 15) for those states in Π that are waiting
 338 for the completed state $\pi_{i,j}$ at position $A(\pi_{i,j})$. As before, for each waiting state it will create a copy
 339 (line 16) advancing the \bullet and ω . Then, similarly to the overridden predict it will attempt to add *a single*
 340 *alternative* at the end of the current chart $\Pi_{i, \max(j)+1}$ (line 18), while pushing the rest at the top of B
 341 (on line 20, marking input and chart indexes to return to). Finally during backtrack (lines 24 to 32, for
 342 failed predicted/completed states), the algorithm will check (line 26) if the popped backtrack state (line
 343 25) is already in Π and recursively continue backtracking (line 27). Else, it will rewind Π to the stored
 344 b_i, b_j indexes, over-writing the previous alternative (lines 29, 30) and restoring the input index to $b[P_{index}]$
 345 (previously stored on line 20).

3.1.3 The GrayMixedOrder Algorithm

347 The GrayMixedOrdered algorithm (Alg. 3), extends both the base and ordered versions of Gray, to
 348 (a) allow the co-existence of both deterministic and non-deterministic ordering in the grammar and
 349 (b) implement the MOG-only recursive and scoped ordering operators ($\|\|$, $/$ and \backslash). The mixing of
 350 deterministic with non-deterministic choices, is achieved by overriding the *predict* and *complete* operations
 351 to delegate execution to either their base (non-deterministic) or ordered (deterministic) counterparts,
 352 depending on whether a rule is ordered or not. The additional scoped and recursive operators are
 353 implemented by maintaining the Λ stack (*lastSeenStack*), that remembers the current ordered alternative
 354 for each rule. Λ consists of a stack of scopes that registers which alternatives have been seen, taking into
 355 account that rules can be recursively invoked and backtracked.

356 More specifically, starting at line 2 we check the operator $Op(K'(\pi_{i,j}))$ that is associated with the
 357 rule at the left of the \bullet , in the current state $\pi_{i,j}$. If the rule is unordered we delegate prediction to the
 358 base-predict procedure (line 3), otherwise we proceed (lines 5 to 24) with an extended version of the
 359 ordered case. As before (in GrayOrdPredict), we begin (lines 5 to 7) by handling the look-ahead operators.
 360 If there is a look-ahead operator at the current \bullet position and the look-ahead succeeded (with the dot
 361 advancing in-place during fork) we will proceed with the prediction (lines 8 to 24), otherwise we will
 362 return to handle the next state in the chart.

363 Ordered prediction in the mixed case first determines the value of γ'_{index} (at the current $\Lambda_{max(s)}$ scope)
 364 which represents the index of the last seen alternative of $K'(\pi_{i,j})$. Then for that particular index it will
 365 retrieve the integer value $Ch_{index}(K'(\pi_{i,j}), \gamma'_{index})$ associated with the scoped or recursive choice of the
 366 $K'(\pi_{i,j})$ sub-rule. Remember here that Ch_{index} maps the type of ordered choice $\{||, /, \backslash\}$ to the integer
 367 indexes $\{-1, 0, 1\}$ respectively. We can thus now calculate the index (alt_{index}) of the alternative that
 368 should be recursively considered. If the alternative that was last seen was that of a scoped ordered choice
 369 (i.e., $op_{index} = -1$), the recursive invocation re-starts at the top of the rule ($alt_{index} = 1$). If the op_{index}
 370 is either 0 or 1 (for self-recursive or simply recursive ordered choices) the next alternative will be at
 371 $alt_{index} = \gamma'_{index} + op_{index}$. That is for the self-recursive case we will re-start invocation by repeating the
 372 last seen alternative ($alt_{index} = \gamma'_{index}$). While for the simply recursive ordered choice we will continue
 373 parsing at the next alternative ($alt_{index} = \gamma'_{index} + 1$). Mixed-ordered prediction will proceed (lines 15 to
 374 23) as before, by attempting to add a single alternative for $K'(\pi_{i,j})$ to Π (line 18) while the rest of the
 375 alternatives will end-up in the backTrack stack B (line 21). What is different from the ordered case is that
 376 (a) only the alternatives whose index satisfies $\Delta(\gamma) \geq alt_{index}$ (line 15) will be considered (implementing
 377 as we saw above the semantics of scoped and recursive choices) and (b) in the case where the single
 378 alternative that was added in Π is associated with a scoped ordered choice ($Ch_{index}(\gamma, \Delta(\gamma)) = -1$), a new
 379 scope will be created (line 19) at the top of Λ (our last-seen stack of alternatives).

380 Finally the mixed-ordered complete (lines 26 to 34), will first check for the choice operator associated
 381 with $K'(\pi_{i,j})$ and invoke either the base version of complete (line 28) or the ordered one (line 30). In the
 382 latter case, it will also check if the ordered alternative that has just been added is complete (through $C(\pi)$),
 383 and if said alternative was a scoped ordered choice: $Ch_{index}(K(\pi), \Delta(K(\pi)))$, previously introduced at
 384 line 19. If both conditions are true then the current scope has been successfully recognized and can thus
 385 be removed from Λ (line 32). The backtrack operation in the mixed-order case is essentially the same as
 386 before with the additional step of restoring Λ , at the backtrack index.

387 4 RESULTS & DISCUSSION

388 We conducted two case-studies to assess the expressiveness of MOGs, compared to CFGs and PEGs. The
 389 first consists of two idealized examples from literature (an expression grammar and a simple procedural
 390 language). The second examines a real-world case (the entire Smalltalk grammar and eleven new Smalltalk
 391 extensions) probing the complexities of practical needs. All MOG-based examples in this section are
 392 readily reproducible, by simply downloading and running the alpha-version of the Lan.d.s platform
 393 at: <https://npapoylias.gitlab.io/lands-project/>. This on-line portal is dedicated to
 394 Multi-ordered grammars and hosts several additional examples that you can explore. The Lan.d.s project
 395 is currently implemented on top of the Pharo [9] and Moose platforms [19], but only its visualization
 396 and code-generation sub-systems (that depend on the Roassal [7] and Opal frameworks [6]), are specific
 397 to the Pharo ecosystem. Both the MOG formalism and the Gray algorithm are language agnostic.
 398 The source-code is distributed under the MIT License, and is available at: <https://gitlab.com/npapoylias/lands>.

400 4.1 Case-study I: Expressions and Control-Flow

401 Figures 7 and 9 show us the expression language defined through a Multi-Ordered Grammar. These
 402 expression rules are both valid MOG-rules, recognized using the same algorithm (Gray). Their only
 403 difference is their choice of operators (beginnings of lines 2 to 6), that can be lively edited from within
 404 our environment. Figure 7 shows us a full non-deterministic MOG-rule (all alternatives are unordered),
 405 that can be used to explore ambiguities arising from the structure of the grammar. Figure 8 depicts what
 406 this exploratory parsing looks like inside the Lan.d.s platform (this is a still shot of an interactive session),
 407 while parsing the expression: $(2 * 3 \wedge 4 \wedge 5) + (6 * 7 / 8)$. The parenthesized expression in the left has 5
 408 alternatives in total that can be explored. Two of them are readily accessible in the current configuration of

409 the sub-tree. The other three can be viewed by navigating through the up/down arrows on the composite
 410 nodes of the left side. Similarly the right-parentheses has two alternatives that can be explored, with a
 411 total of $5 * 2 = 10$ alternatives for the whole expression. Notice here that these ambiguous alternatives
 412 essentially present us with all possible precedence and associativity configurations of the numerical
 413 expression.

Figure 7: The Explorative MOG for Expressions (1/2)

```

1 <expression> ::=
2     | <expression> " [+ - ] " <expression>
3     | <expression> " [ * / ] " <expression>
4     | <expression> " ^ " <expression>
5     | " ( " <expression> " ) "
6     | <number>
7 <number> ::= [ 0 - 9 ] +
  
```

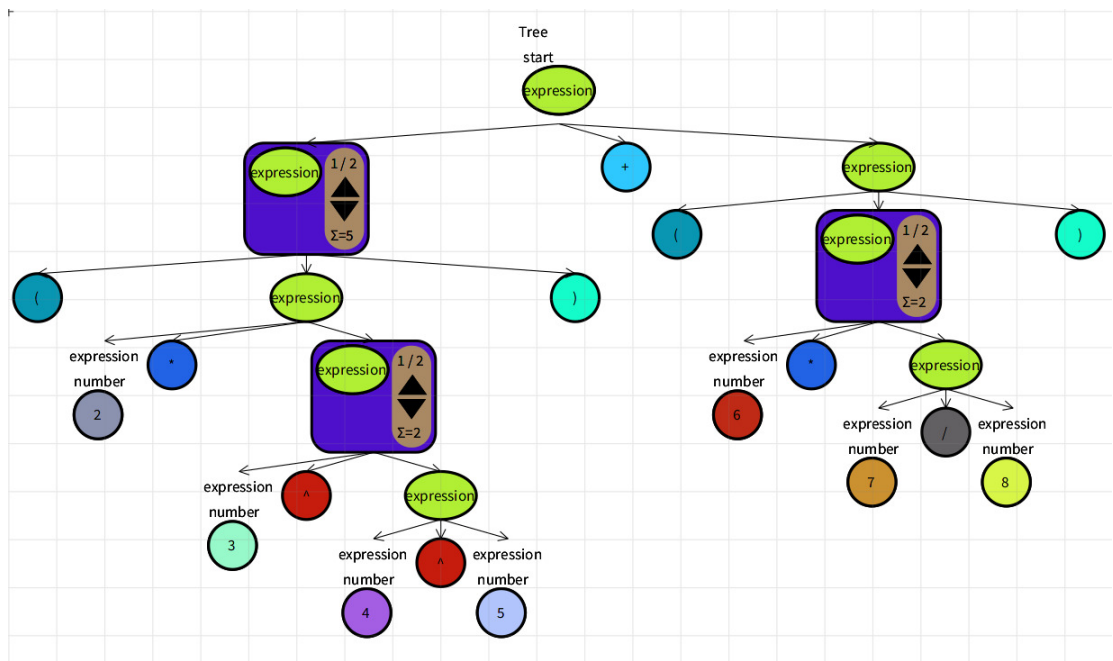


Figure 8. Parsed View: The MOG Expr. Grammar (1/2)

414 Subsequently, through experimentation and live-editing of the choice operators we end up with the
 415 MOG-rule of Figure 9, that produces the correct unambiguous parsing tree seen in Figure 10. This
 416 incremental live-editing is what we call *incremental disambiguation*. As seen in Figure 9, incremental
 417 disambiguation is based on the recursively scoped MOG-operators that we introduced ($|$, $/$ and \backslash), acting
 418 within (not instead of) an ambiguous grammar. In lines 2 and 3 of Figure 9 the $[+ -]$ and $[* /]$ alternatives
 419 are declared *simply-recursive*. As we discussed in Section 3.1, this means that the alternatives are ordered
 420 and that any expression recursively invoked from within line 2, will continue from the alternative at line
 421 3 (similarly, recursive invocation from within line 3 continues at 4). Since expressions already include
 422 ordered alternatives, the rest of the rule is *tainted*, with non-ordered sub-rules defaulting to self-recursion.
 423 This is the case for the \wedge (exponentiation) alternative, which by being self-recursive will recurse on itself
 424 for expressions invoked from within line 4. The last alternative (number rule on line 6) is typically also
 425 self-recursive, but with no explicit or implicit recursion from line 6, only its order in the rule matters.
 426 Finally the grouping operator $()$ in line 5 is declared as *recursively scoped*, which as we saw means

450 API for terms, groups and associativity on top of its PEG back-end, for the sole purpose of properly
 451 handling arithmetic grammars. In essence, the PEG formalism needs to be completely encapsulated so
 452 that (as J. Kegler notes in [28]) the proper arithmetic semantics are reproduced in a second hard-coded
 453 pass.

Figure 11: Additional Considerations for PEGs and CFGs

```

1  "PParser, REPL/Class based:"
2  expression := PPEXpressionParser new.
3  parens := $( asParser token trim , expression , $)
4             asParser token trim.
5  number := #digit asParser plus flatten trim.
6  expression term: parens / number.
7  expression group: [ :g | g right: $^ asParser token trim ];
8             group: [ :g | g left: $* asParser token trim.
9                     g left: $/ asParser token trim];
10            group: [ :g | g left: $+ asParser token trim.
11                    g left: $- asParser token trim].
12
13 "SmaCC, File based:"
14 '<number> : [0-9]+ ;
15 <whitespace> : \s+;
16 %left "+" "-";
17 %left "*" "/";
18 %right "^";
19 Expression
20     : Expression "+" Expression
21     | Expression "-" Expression
22     | Expression "*" Expression
23     | Expression "/" Expression
24     | Expression "^" Expression
25     | "(" Expression ")"
26     | Number
27     ;
28 Number
29     : <number>
30     ;'

```

454 4.1.1 The Calc Grammar

455 Incremental disambiguation can be applied in larger examples as well (as we show in Figure 12). Here
 456 we define the three main MOG-rules of a small procedural language that comprises of assignments,
 457 conditionals, loops, print statements and code-blocks (lines 1 to 6). As before we started with a fully
 458 unordered grammar and worked our way towards an unambiguous definition. As seen in Figure 13, we
 459 had two different kinds of ambiguities co-occurring (while parsing the input: $if(x) if(y) z = x/2 else z =$
 460 $3 * x + 1$). The first one on the top of the figure, is related to the dangling-else ambiguity, while the one
 461 in the bottom is an expression ambiguity similar to those we saw previously. It is worth noting that
 462 not all rules in the grammar needed to be ordered for complete disambiguation (as seen in Figure 12).
 463 In contrast with PEGs, MOGs can seamlessly mix the unordered statement rule (lines 1 to 6) with the

464 ordered conditional and expression rules (lines 7 to 20) without forcing the user to make ordering choices
 465 where there is no need to.

466 The expression rule on lines 10 to 20 is an extension of our previous example, with 8 more operators
 467 in order of precedence (similar to those found in languages like C). All additions (lines 10 to 12) are
 468 simply-recursive, resulting in them being left-associative as expected. The conditional rule on lines 7 and
 469 8 (Figure 12) shows us how to handle the dangling-else ambiguity with MOGs. Our goal here is to match
 470 "else" statements only with inner if constructs. We thus first try to match all outer bare-if statements
 471 (as seen on line 7). The logic here is completely equivalent to that of the expression case where we
 472 were trying to first match the outer $[+-]$ operators that have lower precedence. The sub-rule in line 7 is
 473 tainted (since line 8 has an ordered scope) defaulting to self-recursion. This means that both if and if/else
 474 statements (in that order) can correctly (recursively) occur from within the if statement of line 7. On line
 475 8 the if/else sub-rule is recursively scoped meaning that although the sub-rule is at the end, recognition
 476 can resume from the top if needed (which is the case when we have a bare-if statement after the else).
 477 Of course, as we did before, we can deduce the correct definition for conditions that will complete the
 478 disambiguation of Figure 13 (resulting in the parsing tree of Figure 14) by lively re-ordering and editing
 479 our MOG operators.

480 With the aid of Figure 15 we can contrast this result with the way PEGs (in [24]) and CFGs (in [17])
 481 solve the dangling else problem. MOGs handle the ambiguity at least as naturally as PEGs (lines 3 to
 482 4) with the main difference being that MOGs are fully explorable. This is achieved either by using an
 483 unordered choice to navigate the parsing trees or by re-ordering and editing the choice operators. This
 484 is not possible with PEGs since (by construction) they cannot handle ambiguity. Specifically for this
 485 case an alternative ordering for the PEG version (*i.e.*, switching lines 3 and 4) will completely mask the
 486 bare-if alternative. This is a known issue with PEGs documented by Ford himself [24]. In a PEG-rule
 487 of the form $A \rightarrow a|ab$ the second alternative will never be considered, since upon every recognition of
 488 a, the A rule will always eagerly succeed. The CFG version on the other hand, has to use one of the
 489 directives seen in lines 8 to 11 to handle ambiguities, but not without some well documented caveats
 490 (described by Donnelly and Stallman in [17]). First, if we use the external `%expect` directive in line 8,
 491 we are essentially instructing the algorithm to expect n shift/reduce conflicts (and to shift by default).
 492 Yet, we are not guaranteed that these n conflicts we expect are those n that will actually occur during
 493 parsing, and we run the risk of mishandling some other ambiguity [17]. In the case of the `%precedence`
 494 (lines 9 and 10) and `%right` (line 11) directives both precedence and associativity is set globally (*i.e.*, in
 495 relation with all other operators in the grammar) and can thus cause problems even in simple cases (such
 496 as "if test then 1 else 2 + 3") if scope is not taken into account (as noted in [17]).

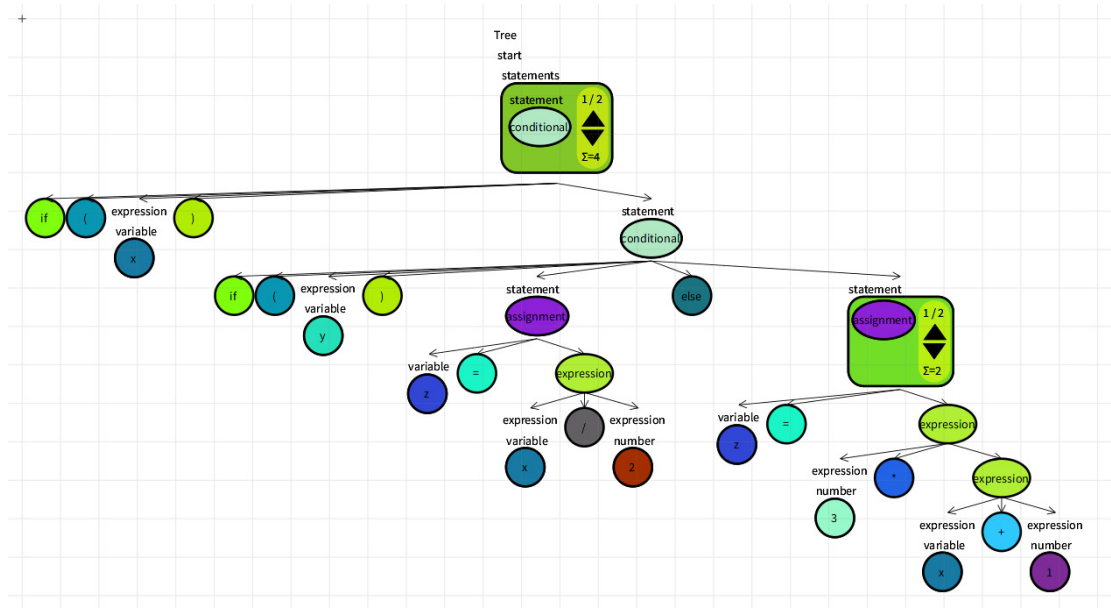


Figure 13. Parsed View: The MOG Calc Grammar (1/2)

Figure 12: The MOG Calc Grammar

```

1  <statement> ::= <assignment>
2      | <conditional>
3      | <loop>
4      | <print>
5      | <block>
6  ...
7  <conditional> ::= "if" "(" <expression> ")" <statement>
8  || "if" "(" <expression> ")" <statement> "else" <statement>
9  ...
10 <expression> ::= \ <expression> ("|" "&") <expression>
11      \ <expression> ("==" "|" "~") <expression>
12      \ <expression> ("<=" "|" ">=" "|" ">" "|" "<") <expression>
13      \ <expression> ("+" "|" "-") <expression>
14      \ <expression> ("*" "|" "/" "|" "%") <expression>
15      | <expression> "^" <expression>
16      || "(" <expression> ")"
17      | "true"
18      | "false"
19      | <variable>
20      | <number>

```

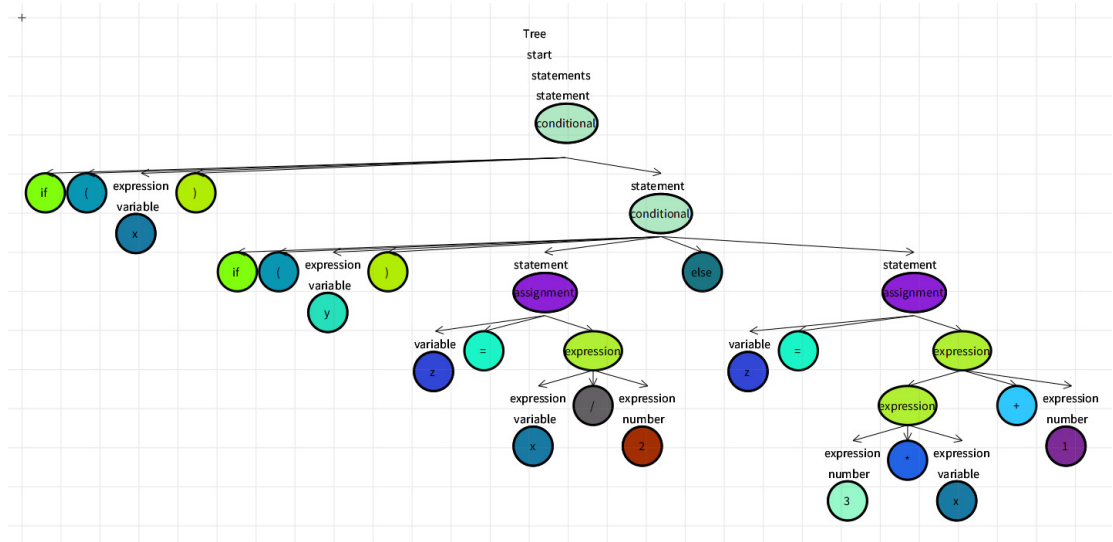


Figure 14. Parsed View: The MOG Calc Grammar (2/2)

Figure 15: PEG and CFG handling of dangling-else

```

1  ... B. Ford 2004
2
3  IF Cond THEN Statement ELSE Statement
4  / IF Cond THEN Statement
5
6  ... Gnu/Bison, one directive + caveats
7
8  %expect n
9  %precedence "then"
10 %precedence "else"
11 %right "then" "else"
12 stmt:
13     expr
14 | if_stmt
15 ;
16
17 if_stmt:
18     "if" expr "then" stmt
19 | "if" expr "then" stmt "else" stmt
20 ;
21
22 expr:
23     "identifier"
24 ;

```

497 4.2 Case-study II: Parsing and Extending Smalltalk

498 Thus far we have seen classic examples from literature for which we argued that MOGs are more flexible
 499 than CFGs/PEGs without needing to resort to external directives. We now turn our attention to a real-world
 500 case where external directives are even harder to apply and disambiguation of PEGs or CFGs can only be
 501 achieved through inflexible rule definitions that severely obfuscate the grammar.

502 This is the case of Smalltalk messages discussed in this section, although the problem we illustrate is
 503 more general. At its root is the need for describing recursive precedence of arbitrary rules without any
 504 anchoring terminals (like arithmetic operators or the "then", "else" keywords) with respect to which we
 505 can define ordering (see also discussion of non-operators in [17]). In order to easily follow the examples
 506 we will briefly explain here the role of messages in Smalltalk.

507 In Smalltalk everything is a message (including control structures, like if, while loops etc). There are
 508 three types of messages: unary, binary, and keyword messages. Unary messages have the highest priority
 509 and are parsed first, then binary messages are parsed followed by keyword ones. Messages are sent to
 510 receivers with attached arguments, in which case we talk of a *message-send*. As syntactic structures,
 511 messages allow for a more fluid way to express method invocations. For example, if in a language like
 512 Java you were to write:

```
1  emailService.sendTo(mail + attachment , contact.address())
```

513 This would be expressed in Smalltalk as follows:

```
1  emailService send: mail + attachment to: contact address
```

514 Notice here that we did not need to parenthesize an empty argument list for `contact address` to
 515 invoke the address method, since `#address` is simply a unary message, with the highest parsing precedence,
 516 taking no arguments. Similarly the `+` operator (in `mail + attachment`), is just a binary message (ie
 517 a `#+` is sent to 'mail' with 'attachment' as an argument). Finally, the results of the two aforementioned
 518 message-sends will serve as arguments for the keyword message `send: arg1 to: arg2` invoked
 519 with `emailService` as a receiver. In case we needed to send multiple emails we would use what is
 520 called a cascade (*i.e.*, seperated messages with a semicolon as follows):

```
1 emailService send: mailA + attachmentA to: contactA address;
2           send: mailB + attachmentB to: contactB address
```

521 Messages (besides primitive expressions) accept other messages as arguments, always following the
 522 *unary > binary > keyword* precedence. This recursive precedence rule (that does not involve any clear
 523 anchoring terminals) is naturally expressed in MOGs as follows:

Figure 16: The MOG Smalltalk Msg-Sends

```
1 ...
2 <msgSend> ::= <expression> <message> (";" <message>) *
3 <message> ::=
4     \ ( <keyword> <expression> ) +
5     \ <binaryOp> <expression>
6     | <identifier>
```

524 In line 2 of Figure 16 we define the syntax of msgSends which consist of an expression (that plays the
 525 role of the receiver) followed by a message. Then optionally this receiver can be sent multiple messages
 526 seperated by commas (the cascade). Expressions here can either be primary expressions or other msgSends
 527 invoked recursively. On lines 3 to 6 we define the structure of our messages. These can consist of a list of
 528 one or more keywords followed by expressions (line 4, keyword-messages). Keyword messages appear
 529 first since they are the outer-most (*i.e.*, smallest precedence) messages. Followed (on line 5) by binary
 530 messages that consist of a binary operator (like `+`, `-`, `/` etc.) and an expression as a message argument.
 531 Finally on line 6 we describe the highest priority unary messages, which simply consist of an identifier.

532 Both keyword and binary message-rules are simply recursive, meaning that recognition of message-
 533 rules from within these alternatives, will only consider the next alternatives in the rule. The design logic
 534 here apart from being compact is completely equivalent to what we did before for the expression and
 535 dangling-else ambiguities. Moreover, by using MOGs the language designer does not need to statically
 536 reason about recursion, precedence and ambiguity, but can incrementally find the correct syntactical
 537 definition through exploration. Figure 17 shows us the parsed view of a completely unordered message
 538 definition, parsing the following Smalltalk snippet:

```
1 at: index put: aValue
2     dict at: index asNumber put: aValue
```

539 Where line 1 consists of a method definition for the keyword message `#at:put:`, and line 2 delegates
 540 this `#at:put:` message to a variable named `dict`, with the unary message `index asNumber` as first
 541 argument and `aValue` as second. Figure 17 shows us that the unordered case has four different ways to
 542 parse the message-send in line 2 (for all different precedence combinations). By recursively ordering the
 543 message rule as we showed in Figure 16, we can arrive at the unambiguous parse of Figure 18.

544 Let us now contrast the simplicity of the MOG definition in Figure 16 with two CFGs and one PEG
 545 Smalltalk grammars in active use. The first one is the CFG appearing in the ANSI standard of the language
 546 itself [37], seen here on Figure 19. The ANSI definition starts by defining message-sends (on line 2,
 547 through the "basic expression" rule) as constructs starting from a primary value (the receiver) followed by
 548 messages and zero or more cascades. Notice here that both the receiver and the messages (plural) are
 549 defined in a way that aims to avoid recursion. Receivers for *e.g.*, are not only primary values in the general

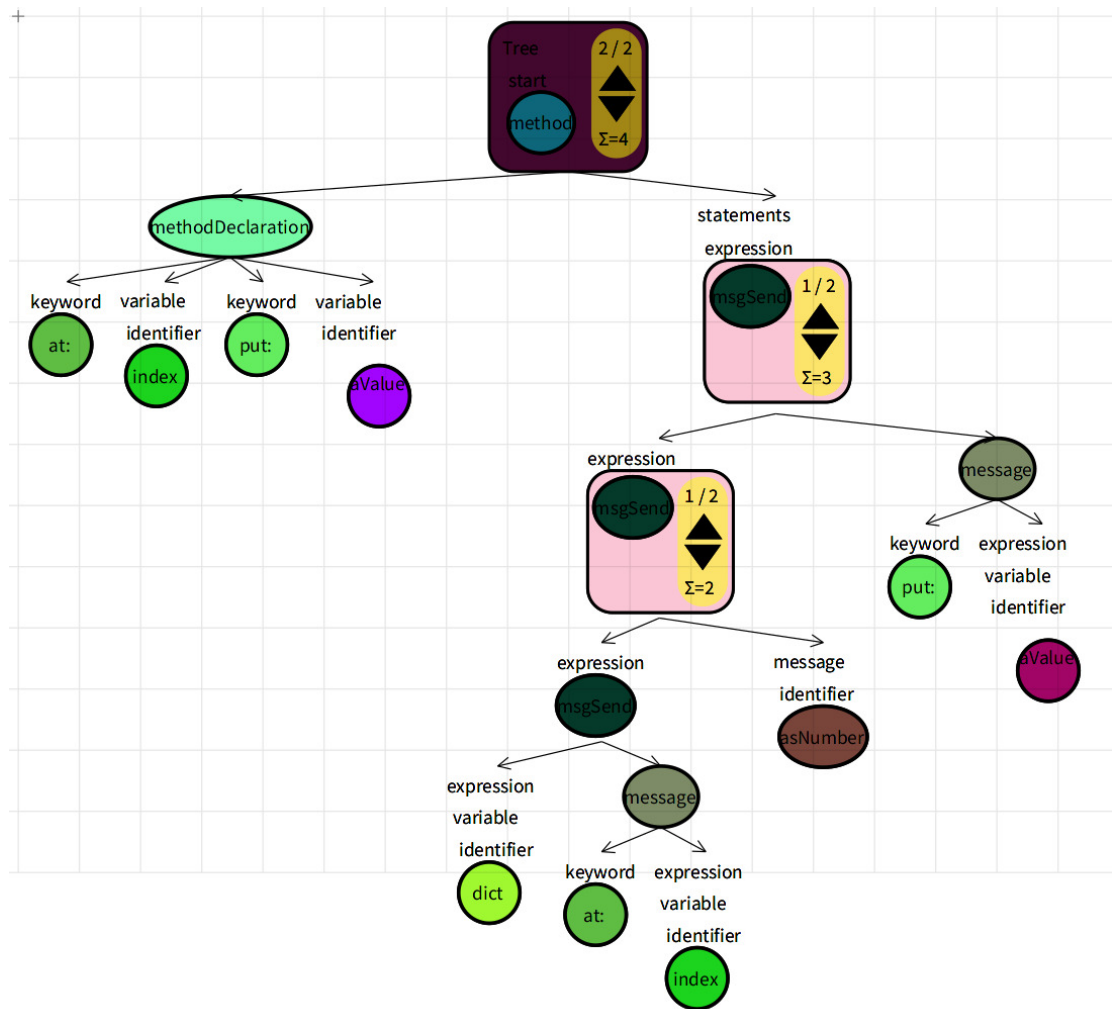


Figure 17. Parsed View: The MOG Smalltalk Grammar (1/2)

550 case (they can also be message-send themselves). But here the grammar is trying to define the left-most
 551 part of a series of expanded messages (lines 4 to 13). Lines 4 and 5 hard-code unary messages that follow
 552 each other and act as subsequent receivers for binary messages, themselves optionally followed by a
 553 single keyword message (in that order). Then on lines 8 to 13 unary, binary and keyword messages are
 554 defined as distinct entities (from each other) and from unary, binary and keyword arguments, again for the
 555 sole purpose of hard-coding precedence. Binary arguments can only consist of primaries with optionally
 556 zero or more unary msgs as arguments (line 10) and keyword arguments can only consist of primaries and
 557 optional unary or binary messages in exactly that order (line 11). This obfuscated expansion is indeed
 558 here mandatory, since CFGs have no easy way to define precedence in general, let alone precedence of
 559 complicated mutually recursive rules.

560 But even when external directives are available, like in the case of the SmaCC framework [10] for
 561 CFGs (seen in Figure 20), this hard-coded precedence is unavoidable. The reason is that there are no
 562 anchoring terminals in the message definition (like arithmetic operators or the "then", "else" keywords that
 563 we saw earlier) with respect to which the directives can define ordering. The result is again a one-by-one
 564 expansion of rules in order to define precedence, that severely obfuscates the grammar, seen in Figure 20.
 565 Again, each type of message-send, message and argument (unary, binary and keyword), has each own
 566 distinct rule (9 in total + 3 for cascades) in order to avoid recursion. Keyword message sends (lines 12
 567 to 14) hard-code their receivers (only primary, unary and binary receivers are allowed) and so do their
 568 arguments (line 17 to 19). Similar for binary message sends (lines 20 to 22) and arguments (24 to 25),
 569 which accept only their primary and unary counter-parts. Finally because of this decomposition, cascades

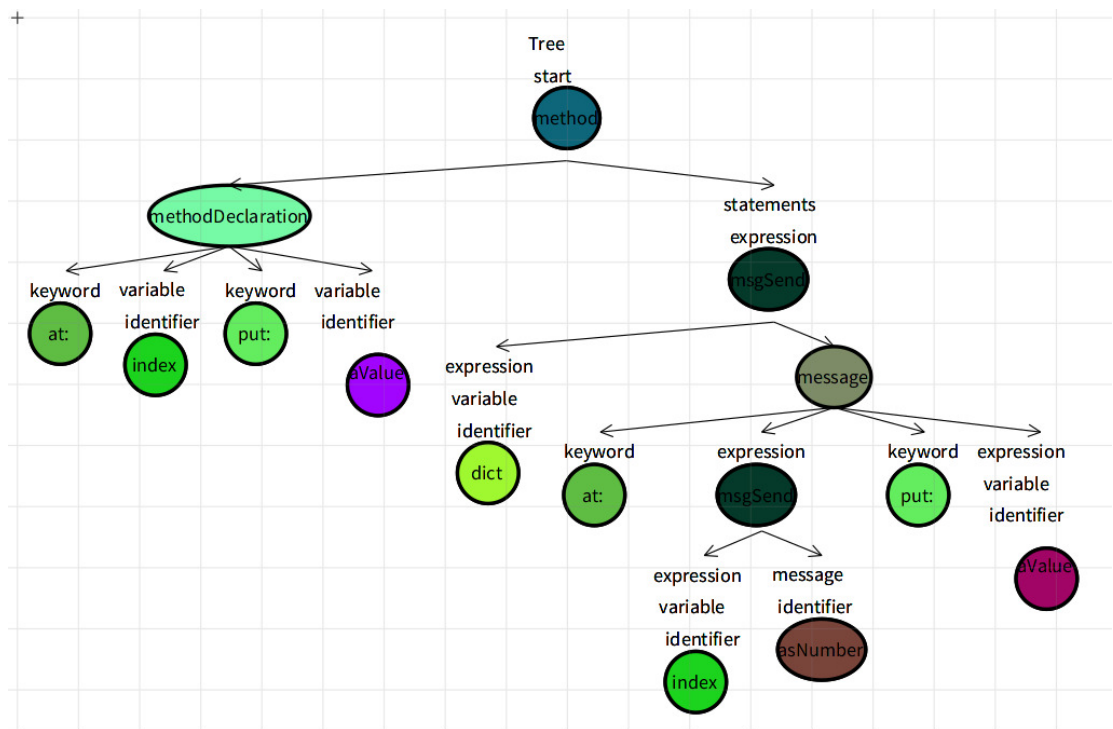


Figure 18. Parsed View: The MOG Smalltalk Grammar (2/2)

Figure 19: CFG Ansi/Smalltalk Msg-sends

```

1  ...
2  <basic expression> ::= <primary>
3      [<messages> <cascaded messages>]
4  <messages> ::= (<unary message>+ <binary message>)*
5      [<keyword message>] )
6      | (<binary message>+ [<keyword message>] ) |
7      | <keyword message>
8  <unary message> ::= unarySelector
9  <binary message> ::= binarySelector <binary argument>
10 <binary argument> ::= <primary> <unary message>*
11 <keyword message> ::= (keyword <keyword argument> )+
12 <keyword argument> ::= <primary> <unary message>*
13     <binary message>*
14 <cascaded messages> ::= (';' <messages>)*

```

570 (lines 2 to 5) need to be defined through a separate SimpleMessage rule (lines 9 to 11) that re-states the
 571 fact that keyword messages accept only keyword arguments (lines 15 to 16) or that binary messages
 572 receive only binary arguments (line 23).

573 Unsurprisingly, PEG versions (given their strict ordering semantics) handle this case in a very similar
 574 way as their CFG counter-parts. Here is how Smalltalk messages are defined in the PEG-based PetitParser
 575 framework [36], seen in Figure 21. Starting at lines 2 to 7 cascades are described by defining them as
 576 keyword expressions followed by optional cascade messages. This is at first glance peculiar, since a
 577 cascade receiver can be any kind of message-send, yet in closer inspection this is yet another manual ex-
 578 pansion that avoids recursion. Keyword expressions themselves are defined as possible binary expressions

Figure 20: CFG (LR) Smalltalk Msg-sends

```

1  ...
2  Cascade: MessageSend CascadeList
3      | Primary;
4  CascadeList
5      | CascadeList ";" SimpleMessage;
6  MessageSend: KeywordMessageSend
7      | BinaryMessageSend
8      | UnaryMessageSend;
9  SimpleMessage: UnaryMessage
10     | BinaryMessage
11     | KeywordMessage;
12  KeywordMessageSend: BinaryMessageSend KeywordMessage
13     | UnaryMessageSend KeywordMessage
14     | Primary KeywordMessage;
15  KeywordMessage: keyword KeywordArgument
16     | KeywordMessage keyword KeywordArgument;
17  KeywordArgument: BinaryMessageSend
18     | UnaryMessageSend
19     | Primary;
20  BinaryMessageSend: BinaryMessageSend BinaryMessage
21     | UnaryMessageSend BinaryMessage
22     | Primary BinaryMessage;
23  BinaryMessage: binarySymbol BinaryArgument;
24  BinaryArgument: UnaryMessageSend
25     | Primary;
26  UnaryMessageSend: UnaryMessageSend UnaryMessage
27     | Primary UnaryMessage;
28  UnaryMessage: name

```

579 (in a top down fashion) followed by an optional keyword message (defined separately), hard-coding the
580 precedence for the receiver in this case (lines 8 to 9). Keyword messages are then defined as keywords
581 followed by binary expressions, in order to hard-code the precedence for their arguments (lines 10 to 11).
582 Similarly binary expressions are potential unary expressions followed by optional binary messages (lines
583 12 to 13) and binary messages are explicitly defined to receive only unary expressions (lines 14 to 15).
584 Finally unary expressions are defined as possible primaries, followed by one or more unary messages
585 (lines 16 to 17) as the final step of the hard-coded expansion.

586 4.3 Extending Smalltalk

587 To conclude this case study, we present in Figures 22 through 24 (see also Appendix A) a series of
588 extensions for the Smalltalk grammar. The goal here is to show that the flexibility and expressiveness of
589 MOGs is not limited only to language design, but can also prove useful for language evolution. During
590 language evolution the main goal is to extend an existing grammar with entirely new language constructs,
591 but it is preferable to do so in a comprehensible and non-intrusive way.

592 Given the unfamiliar syntax that new Smalltalk users face in their first contact with the language,
593 we consider here the following extensions that can give any Smalltalk method a more mainstream
594 representation:

Figure 21: PEG Smalltalk Msg-sends

```

1  ...
2  cascadeExpression
3      ^ keywordExpression , cascadeMessage star.
4  cascadeMessage
5      ^ $; asParser smalltalkToken , message.
6  message
7      ^ keywordMessage / binaryMessage / unaryMessage.
8  keywordExpression
9      ^ binaryExpression , keywordMessage optional.
10 keywordMessage
11     ^ (keywordToken , binaryExpression) plus.
12 binaryExpression
13     ^ unaryExpression , binaryMessage star.
14 binaryMessage
15     ^ (binaryToken , unaryExpression) .
16 unaryExpression
17     ^ primary , unaryMessage star.
18 unaryMessage
19     ^ unaryToken.

```

595 **Imperative declaration** `postcard(x)` : Allow keyword and unary declarations to be written in a
 596 more familiar imperative style. The above example would be expressed initially as a keyword
 597 method: `postcard: x`

598 **Method invocation** `a.intersection(b)` Allow message sends to resemble familiar method invoca-
 599 tions using the dot operator. Here our example is the dot equivalent of the keyword message-send:
 600 `a intersection: b`

601 **Variable init** `var y := #[100] + self.bSize() + super.bSize()` . Declare and initial-
 602 ize variables in a single statement, using the familiar `var` keyword. Pure Smalltalk forces separation
 603 of declaration (at the top of methods and blocks) and their initialization as follows:
 604 `|y| ... y := #[100] + self bSize + super bSize.`

605 **Bracket indexing** `t := t[1::t.size()-1]. d[t] := item.` Allow familiar bracket index-
 606 ing both for interval `t[from::to]` and single value read/write access `d[index] := value.`
 607 In the initial syntax these are plain messages resulting in the quite verbose statements:
 608 `t := t copyFrom: 1 to: t size -1. d at: t put: item.`

609 **Functor invocation** `step(x)` Introduce a `()` operator for functor invocation, treating every object as
 610 callable. In the example above `step` can be a lambda but also any other object that responds to the
 611 message `#value:`. In plain Smalltalk we would write: `step value: x`

612 **Brace blocks** `{ ... }` Brace blocks are lambdas masqueraded as code-blocks. Depending on context
 613 they can receive one or more arguments from their surroundings (see function block and for-
 614 statements below). They are normally defined in the initial syntax as: `[:a :b | |temp| ...]`.
 615 Braces can be optional, in which case the resulting lambda will consist of a single statement (see
 616 for *e.g.*, the while construct below).

617 **Function blocks** `f(i) { y := y + i }` Function blocks are a more familiar way to define lamb-
 618 das, using the $f(x)$ notation, binding the function arguments to the brace block that follows. The
 619 example above would be normally expressed as: `[:i | y := y + i]`

620 **For statements** `for item in inter do: { ... }` For statements are parametric collection
 621 messages, that receive (a) a list of iteration variables (this would be the variable *item* in our
 622 example above) (b) a collection to iterate over (variable *inter* above) (c) a collection operation
 623 (like the *#do: message*) and (d) a brace-block that receives the initial variable list as input argu-
 624 ments. In the example above, we are iterating over the collection *inter*, passing *item* as a lambda
 625 argument to the brace-block for each iteration. In the initial form the loop would be defined as
 626 `inter do: [:item | ...]`

627 **While statements** `while y.first() + x < 255. step(x) .` A while statement that receives
 628 an expression as a condition and executes a brace-block (can be a single statement like in the ex-
 629 ample above) while the condition is true. In plain Smalltalk the above would be written as:
 630 `[y first + x < 255] whileTrue: [step value: x].`

631 **If statements** `if true & false.not() & nil.isNil() { ... }` Similarly if statements
 632 consist of a condition followed by a brace-block. In the initial grammar our example would be
 633 written as: `true & false not & nil isNil ifTrue: [...]`.

634 **Return statements** `return x < y.first()` A simple return statement, which in plain Smalltalk
 635 would be expressed as: `^ x < y first`

636 Figure 22 shows us the key MOG rules of the base Smalltalk grammar that have been extended to
 637 accommodate our new constructs. Due to the recursive nature of the MOG semantics, we were able to
 638 keep the extension points brief and intuitive. Method and variable declarations have been extended in
 639 lines 4 and 7 with a simple unordered choice to accommodate the new imperative definitions. The brace
 640 block is defined in lines 9 to 12, using the scoped recursive choice (since it introduces a new lexical
 641 scope). Lines 11 and 12 define the brace-less block (used in one-liner if/while/for statements). Statements
 642 (lines 14 to 18), which previously consisted only of top level expressions (line 18), now include top-level
 643 if, while, return and for statements. The rule is ordered to avoid ambiguity between return statements
 644 and unary messages of the form: `return var`. Since the brace-block associated with statements is
 645 recursively scoped, they can all be mutually nested. The dot method invocation, functor invocation and
 646 indexing (lines 24 to 27) are all defined as messages (with scoped recursion used for the invocation
 647 parentheses and indexing brackets). Finally function blocks (line 32) are defined as primary values (*i.e.*,
 648 equivalent to block closures) with scoped recursion.

649 The results can be seen in Figures 23 and 24 (of Appendix A). In the upper part of Figure 23 we
 650 can see an extended version of the famous Smalltalk postcard³ (*i.e.*, a method showcasing all syntactic
 651 structures of the language [9]). In the lower part of the same figure, we see an alternative mixed-postcard
 652 using both the original and the extended syntactic structures that we introduced. Finally in Figure 24, we
 653 take an even more radical approach, translating the entire Smalltalk postcard to the new constructs (seen
 654 in the upper part of Figure 24), with an annotated version of the same method at the bottom. Especially
 655 in this latter case we can see how the extension points that MOGs allowed us to introduce, were able to
 656 cover an entire alternative syntax for the language.

657 5 CONCLUSION

658 Starting with the realization that neither CFGs nor PEGs are sufficient for a complete description of
 659 common cases arising in language design, we propose Multi-Ordered Grammars (MOGs) as an alternative.
 660 In order to properly handle ambiguity, recursion, precedence or associativity, current solutions either
 661 introduce implementation specific directives or ask users to refactor their grammars to fit the needs of
 662 the framework/algorithm/formalism combo. To remedy this situation MOGs (a) allow both deterministic
 663 and non-deterministic choices to co-exist, and (b) define a form of recursive and scoped ordering. The
 664 formalism is accompanied by a new parsing algorithm (Gray), whose execution semantics we have
 665 presented in detail.

666 Gray first extends chart parsing (normally used for Natural Language Processing) with the empty
 667 derivation (ϵ) to support common EBNF operators (+, *, ?, (),). Two additional chart operations (backtrack
 668 and fork) are then defined to handle ordered backtracking (||) and parsing look-aheads (&, !). Finally,
 669 Gray overrides the standard predict and complete procedures of chart-parsing, to accommodate for scoped

³<http://wiki.c2.com/?SmalltalkSyntaxInaPostcard>

Figure 22: Extending Smalltalk using MOGs

```

1  <methodDeclaration> ::= <identifier>
2      | <binaryOp> <variable>
3      | (<keyword> <variable>) +
4      | <impMethodDeclaration>
5  ...
6  <temporariesDeclaration> ::= "|" <variable> + "|"
7      | <impVarDeclaration> +
8  ...
9  <braceBlock> ::= || "{" <temporariesDeclaration> ?
10      | <statements> "}"
11      || <dots> ? <statement>
12      || <return>
13 ...
14 <statement> ::= <classicIf>
15      / <classicWhile>
16      | <classicReturn>
17      | <classicFor>
18      | <expression>
19 ...
20 <message> ::=
21      \ ( <keyword> <expression> ) +
22      \ <binaryOp> <expression>
23      | <identifier>
24      | <impMethodInvocation>
25      | <functorInvocation>
26      | <impIndexingAssignment>
27      | <impIndexing>
28 ...
29 <primaryValue> ::= <literal>
30      || <dynArray>
31      || <block>
32      || <functionBlock>
33 ...

```

670 recursive ordering (/ , \) and the mixing of order with unordered choices. To optimize scanning and
671 memoization, Gray precomputes all first, follow and predict sets to pre-filter unwanted alternatives.

672 We assessed the expressiveness of Gray and MOGs through two case-studies, where we compared our
673 results to equivalent CFG and PEG solutions. The first case-study analyzed two idealized examples from
674 literature (an expression grammar and a simple procedural language). The second examined a real-world
675 case (the entire Smalltalk grammar and eleven new Smalltalk extensions) probing the complexities of
676 practical needs during language evolution. We showed that in comparison, MOGs were able to reduce
677 complexity and more naturally express language constructs, without resorting to implementation specific
678 directives.

679 We conclude that combining deterministic and non-deterministic choices in a single grammar spec-
680 ification is not only possible but also beneficial. Moreover, augmented by operators for recursive and
681 scoped ordering the resulting Multi-ordered grammars present a viable alternative to both CFGs and PEGs.

682 Further research is indeed warranted to bring MOGs into maturity, in tandem with a detailed complexity
683 analysis of the Gray algorithm.

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768 A SMALLTALK POSTCARD EXAMPLES AND EXTENSIONS

postcard:x

```

<menu: 3 priority: #'-34'>

|y d|

y := #[100] + self bSize + super bSize.
d := Dictionary new.

true & false not & nil isNil ifTrue: [
  |inter step|
  inter := ({$. #a class. -4e-2. -10r2. 3s2} intersection: #($b b -0.04 -2 3))
    groupedBy: [:i | i class] having: [:group | group size > 1].

  step := [:i | y := y + i ].

  [y first + x < 255] whileTrue: [step value: x].

  inter do: [:item |
    |t| t := item class name.
    t := t copyFrom: 1 to: t size -1.
    d at: t put: item.
    Transcript show: t; show: ' '; show: item; show: ' '
  ].
] iffFalse: [self halt].

^ x < y first

```

altMixedPostcard(x):

```

<menu: 3 priority: #'-34'>

var y := #[100] + self bSize + super.bSize().
var d := Dictionary new.

if true & false.not() & nil isNil {
  |inter step|
  inter := {$. #a class. -4e-2. -10r2. 3s2}.intersection(#($b b -0.04 -2 3))
    groupedBy: f(i){i.class()} having: [:group | group.size() > 1].

  step := f(i) { y := y + i }.

  while y.first() + x < 255. step(x).

  for item in inter do: {
    var t := (item class).name().
    t := t[1::t.size()-1].
    d[t] := item.
    Transcript.show(t);.show(' ');show: item;.show(' ')
  }
} else self halt.

return x < y.first()

```

Figure 23. Parsed View: Original (top) and Mixed Smalltalk Example (bottom) (1/2)

altPostcard(x):

```
<menu: 3 priority: #'-34'>
```

```
var y := #[100] + self.bSize() + super.bSize().
var d := Dictionary.new().

if true & false.not() & nil.isNil() {
  var inter := {$a. #a.class(). -4e-2. -10r2. 3s2}.intersection(#($b b -0.04 -2 3))
    .groupedBy(f(i){i.class()}).having=f(group){group.size() > 1}).

  var step := f(i) { y := y + i }.

  while y.first() + x < 255. step(x).

  for item in inter do: {
    var t := item.class().name().
    t := t[1::t.size()-1].
    d[t] := item.
    Transcript.show(t);.show(' ');.show(item);.show(' ')
  }
} else self.halt().

return x < y.first()
```

altPostcard(x):

Imper. method declaration

dot method invoc.

Imper. var decl + init

Classic if

dot invoc. with keyword args

While + functorial block, and functors

For and [] indexing

Classic return

```
<menu: 3 priority: #'-34'>
var y := #[100] + self.bSize() + super.bSize().
var d := Dictionary.new().
if true & false.not() & nil.isNil() {
  var inter := {$a. #a.class(). -4e-2. -10r2. 3s2}.intersection(#($b b -0.04 -2 3))
    .groupedBy(f(i){i.class()}).having=f(group){group.size() > 1}).
  var step := f(i) { y := y + i }.
  while y.first() + x < 255. step(x).
  for item in inter do: {
    var t := item.class().name().
    t := t[1::t.size()-1].
    d[t] := item.
    Transcript.show(t);.show(' ');.show(item);.show(' ')
  }
} else self.halt().
return x < y.first()
```

Figure 24. Parsed View: Extended Smalltalk Example (top) and Annotations (bottom) (2/2)