

1 Scientific Shortcomings in Environmental Impact Statements
2 Internationally

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16

17 **Abstract**

18 Governments around the world rely on environmental impact assessment (EIA) to provide rigorous
19 analyses and an accurate appraisal of the risks and benefits of development. But how rigorous are the
20 analyses conducted in EIAs, and how do they compare across nations? We evaluate the output from
21 EIAs for jurisdictions in seven countries, focusing on scope (temporal and spatial), mitigation actions,
22 and impact significance determination, which is integral for decision-making. We find that in all
23 jurisdictions, the number of identified significant adverse impacts was consistently small (or
24 nonexistent), regardless of context. Likely contributing to this uniformity, we find that the scopes of
25 analyses are consistently narrower than warranted ecologically and toxicologically, many proposed

26 mitigation measures are assumed to be effective with little to no justification, and that the professional
27 judgement of developer-paid consultants is overwhelmingly the determinant of impact significance,
28 with no transparent account of the reasoning processes involved. EIA can be salvaged as a rigorous,
29 credible decision-aiding tool if rigor is enforced in assessment methodologies, regulators are
30 empowered to enforce rigor, and pro-development conflict of interest is avoided.

31 **Key words:** environmental impact assessment; review; impact significance; mitigation; environmental
32 impact statement

33 1. Introduction

34 Large-scale development is a hallmark of the modern world, providing society with things humans
35 value, but at an environmental cost (Crutzen 2006; Hellweg and Milà i Canals 2014). To navigate this
36 trade-off, many governments rely on the process of environmental impact assessment (EIA) to inform
37 development and environmental decision-making by providing an accurate accounting of a
38 development's impacts (Wood 2003). EIA was initiated by the US *National Environmental Policy Act*
39 (NEPA) in 1970, and while the intentions and core elements of EIA are widely shared, this process has
40 been adapted to unique contexts and circumstances around the world (Wood 2003; Jay et al. 2007;
41 NEPA 2007; Glasson et al. 2013). Proponents of EIA refer to it as a “robust,” “science-based” approach—
42 terms which carry connotations of credibility and objectivity (Killingsworth and Palmer 2012). But to
43 what degree do EIA practices reflect rigorous research, evidence and analysis as appropriate to the
44 standards in the fields from which they draw?

45 To answer this question, we examined one of the main outputs of the EIA process—written reports
46 commonly referred to as environmental impact statements (EISs). While the EIA process involves
47 decisions beyond the scope of scientific practice itself, the EIS represents the application of research and
48 evidence in assessing impacts (Jay et al. 2007; Glasson et al. 2013). We examined EISs from regulatory

49 jurisdictions in seven locations around the world: British Columbia (Canada), California (United States),
50 Veracruz (Mexico), Brazil, England and Wales, Queensland (Australia), and New Zealand. Our multi-
51 national research focus is uncommon in its combined geographic and conceptual scope, and can provide
52 insight into the state of EIA scientific practice broadly for jurisdictions that engage in similar processes.

53 In every jurisdiction we sampled there was a general emphasis on EIA contributing to environmental
54 protection and sustainability through mitigation, and for EISs to stand as a transparent public record of
55 assessment (Wood 2003; Glasson et al. 2013). Each EIS in our sample was written by a multidisciplinary
56 team who typically (1) consulted relevant stakeholders (2) established the spatiotemporal scope for the
57 study, (3) determined the potential impacts of the project to valued environmental components
58 (including impacts that might occur in concert with other past, present, and future projects, called
59 cumulative effects), (4) proposed mitigation to avoid, reduce, remedy and compensate identified
60 impacts, and determined the residual impacts that would likely persist after mitigations are applied, and
61 finally, (5) based on all the previous work, determined the importance – or significance – of these
62 residual impacts (Wood 2003). Significance determination is arguably the “bottom line” of all EIS,
63 supplying decision-makers with a final account of the impacts to be weighed against development
64 benefits.

65 As works of research published for use in decision-making by authorities and the public, we expect EISs
66 to abide by standards of evidence and analysis within relevant disciplines and to be transparent
67 regarding methods and findings. Research disciplines can contribute to EIS methods and analysis in
68 various ways. For example: (1) findings of species ranges and habitat needs from wildlife biology can
69 inform the establishment of spatiotemporal scopes of analysis of impacts on affected species (Long and
70 Nelson 2012); (2) research from environmental toxicology can determine the magnitude and duration of
71 lag effects from decommissioned mines and other developments (Demchak et al. 2004); (3) research
72 into prescriptive methods for public deliberation and decision-making is highly relevant for consultation

73 methods to reflect and respond to stakeholder concerns (Pidgeon et al. 2005; Fishkin 2009); and (4)
74 evidence from restoration ecology can be used to assess the effectiveness and uncertainty of mitigation
75 measures on environmental impacts (Quigley and Harper 2006). Ultimately, the information from these
76 scientific disciplines can be used as important inputs to determine the scope, effects, and uncertainty
77 behind impacts, which can inform the determination of significance of impacts, particularly when
78 significance is partly an identification of irreversible changes to the environment.

79 In this paper, we evaluate how the current practice of EISs reflects the current state of relevant research
80 fields. We document how often significant impacts are found, and assess the methodological steps that
81 contribute to significance determination, focusing on methodological rigor and transparent
82 communication of methods and results (namely scoping, mitigation assessment, consultation and
83 significance determination methodology). Specifically, we address the following questions: (1) How
84 consistently are potential impacts found to be significant across jurisdictions? (2) Does the scope of an
85 EIS reflect the current state of research practice most relevant to claims made? (3) How robust are the
86 proposed mitigation measures from the point of view of methods and analyses in commensurate
87 field(s)? (4) How is significance determined?

88 2. Material and Methods

89 We compiled a database of recent EISs from seven different jurisdictions of the world from diverse
90 continents (excluding Asia and Africa), including British Columbia (Canada), California (USA), Veracruz
91 (Mexico), Brazil, England and Wales, Queensland (Australia), and New Zealand. While many empirical
92 studies of environmental assessments consider a single jurisdiction and specific issue within EISs, we
93 chose to evaluate EISs across multiple diverse jurisdictions looking at the main components of EISs in
94 order to comprehensively assess systematic issues in EISs. In addition, we chose locations for their status
95 as jurisdictions with well-established EIA legislation, the availability of their EISs (EISs are not always

96 publicly available), the language proficiency of our group, as well as geographic diversity in order to
97 explore EIAs broadly. We focused on the EISs alone and not the entire EIA process as the latter involves
98 decisions beyond the scope of scientific practice itself, whereas EISs represent the application of
99 research and evidence in assessing impacts (Jay et al. 2007; Glasson et al. 2013). We reviewed only
100 recent EISs in order to emphasize current legislation, policy, and process in all jurisdictions we
101 investigate (68 in total). The composition of types of projects varies among the jurisdictions in our
102 sample (Table S1), and our analysis allows us to assess scientific quality of reports across broad project
103 types and jurisdictions(Burris and Canter 1997; Hildebrandt and Sandham 2014).]

104 Jurisdictions we selected include a mix of governance levels (states/provinces and countries) because we
105 chose the most local level at which decisions are made about large-scale industrial projects. Though the
106 EIA process in Mexico is nation-wide (there are no state-level EIA processes), we focused on Veracruz to
107 pair with Brazil as Atlantic coast jurisdictions against British Columbia and California as Pacific coast
108 jurisdictions. We selected the ten most recent EISs from each jurisdiction to focus on current, consistent
109 regulation, policy, and processes. Most EISs were initiated between 2012 and 2015 (one in British
110 Columbia was from 2010 and one from New Zealand was from 2011). The paucity of available EISs in
111 New Zealand led us to review only seven EISs from there, and the high number of EISs in Queensland led
112 us to review 11 EISs. A breakdown of types of projects in each jurisdiction can be found in Table S1.

113 While we are not exhaustive with the number of jurisdictions that fulfill our criteria of publicly available
114 EISs and well-established EIA regulations, our results are multi-national and have a wide geographic
115 scope (representing four continents). Language restrictions prevented us from evaluating EISs from
116 some parts of the world (such as Asia). Similarly, the time commitment needed to evaluate EISs
117 (documents that are often hundreds to tens of thousands of pages in length) was not feasible for a
118 comprehensive assessment of EISs of every country in the world.

119 We looked at official guidance documents for each jurisdiction on how to prepare an EIS to ensure that
120 the EISs were conducted according to similar protocol (from predicting impacts, proposing mitigations,
121 and evaluating significance of impacts, Table S5). To ensure that this was the case, we used document
122 analysis (Krippendorff 2004; Bowen 2009) to systematically review the information in EISs and place into
123 specific and predefined categories, a common tool in the review of environmental assessment
124 documents (Lees et al. 2016; Noble et al. 2017). For each EIS, we counted the number of impacts
125 identified in each EIS to estimate the proportion of impacts that were deemed “significant”;
126 distinguishing between recorded project-specific *potential* impacts, *residual* impacts, *cumulative*
127 impacts, and *significant* impacts by relying on the EIS to accurately differentiate these (that is, we took
128 the reports at their word and did not interpret types of impacts for them). We also classified the
129 methods by which significance was determined in broad categories (technical, collaborative, reasoned)
130 as defined by Lawrence (2007). Because of the highly skewed nature of the data on impact frequencies,
131 we used bootstrap 95% confidence intervals of the median (using the bias corrected and accelerated
132 method as it performs reasonably well with low sample sizes (Obuchowski and Lieber 1998; Chernick
133 2008)) to determine significant differences between jurisdictions. We calculated a global median from
134 all jurisdictions included in our analysis. Where bootstrapped confidence intervals cross the global
135 median, this indicates that there is no significant difference between the jurisdiction and the global
136 median. Analysis was conducted using the boot package in R (Canty and Ripley 2015).

137 To determine the spatial dimensions for each EIS, we determined largest area investigated by the EISs to
138 assess cumulative impacts (the largest area assessed for all valued components). Where only maps were
139 provided (and data not provided in-text), we calculated area measures from the maps using PlotDigitizer
140 (Huwaldt 2014) and ImageJ (Abràmoff et al. 2004). To assess the suitability of these spatial areas we
141 compared these areas against the published ranges of species that EISs in each jurisdiction consider. We
142 haphazardly sampled a list of animals assessed by our sampled EISs in each jurisdiction (we chose six

143 species assessed in multiple EISs per jurisdiction) and used publicly available resources to acquire data
144 on species ranges (Table S2). We matched the scale of species ranges to the scale at which EISs claim to
145 assess them. We also limited the scale to the boundaries of the jurisdiction. For example, if EISs claimed
146 to assess impacts to specific populations, subspecies, or species, we looked up range data at that scale
147 within the jurisdiction. We made no attempt to interpret EIS author intentions (i.e. if they meant they
148 were assessing impacts to specific populations but only referred to species). We ensured that wildlife
149 was described consistently regarding ecological scales (e.g. populations, species) when wildlife was
150 introduced in the EIS and when impacts to the wildlife were described. Where possible, we used
151 government online resources from each jurisdiction which often described range inside jurisdiction
152 boundaries, or online resources that the government sites provided. Where this was not possible, we
153 used IUCN online resources, and restricted the analysis to the jurisdiction of interest. We recorded if the
154 data was of “area of occupancy” – the area occupied by a taxon – or of “extent of occurrence” – the
155 shortest continuous boundary that encompasses all the known or predicted sites of a taxon’s occurrence
156 (Hurlbert and Jetz 2007). Where available, we recorded the area of occupancy, as this measure is
157 smaller.

158 To assess temporal scale, we recorded the number of years estimated for construction of the project,
159 the number of years the project was projected to be operational, and the total number of years for
160 which the EIS assessed impacts. The difference between the number of years for impact evaluation and
161 the number of years for operation and construction constituted the number of years past project
162 decommissioning that impacts from the project in each study was considered to contribute to
163 environmental impact. As we noted that mining EISs had the longest post-closure time periods, we
164 focused our analysis on this subset of EISs (N=11). We then collected peer reviewed published data on
165 the number of years post mine closure the effects of acid mine drainage (AMD) have been recorded
166 (Table S3). We contrasted this data with the temporal scope of mining EISs.

167 To assess the interaction categories of cumulative impacts, we analyzed the EISs' methodology sections
168 and noted how cumulative impacts were described and assessed. If there was any mention of
169 interaction type (e.g. additive, synergistic, antagonistic) we recorded that EIS as having considered that
170 specific interaction type. We also recorded whether the EIS did not specify types of cumulative impacts
171 (but still described their methodology) and whether the EIS did not describe their methodology at all.

172 To look at the importance of mitigations in significance determination, we analyzed EISs that consider
173 significance before and after mitigations. When an impact considered significant prior to application of
174 mitigations was still considered significant post-mitigation, we noted whether this was because no
175 mitigation was applied to the specific significant impact (e.g. some significant impacts on visual amenity
176 in England and Wales had no mitigations proposed), or because the mitigation was not anticipated to be
177 fully effective. We used this information to compute the ratio of how often mitigation measures
178 changed the significance determination for impacts compared to how often mitigation measures did
179 not. Additionally, we counted the total number of mitigation measures indicated in each report. For
180 each mitigation measure, we assessed whether the language associated with the mitigation was
181 sufficiently vague as to render the mitigation action ambiguous, and recorded the number of mitigations
182 with vague language around implementation or execution. Examples of vague mitigation language
183 include "to the extent possible", "where feasible", "if practical", "will attempt", "explore the possibility
184 of", and "plan to create a plan to mitigate". We also made note of whether the EIS provided evidence
185 for mitigation effectiveness, assessed the effectiveness of proposed mitigations, or acknowledged
186 uncertainty in the proposed mitigations.

187 We collected data from each EIS on stakeholder consultation. We reviewed each EIS and recorded the
188 level of public engagement that was undertaken according to the typology of participation developed by
189 Hughes (1998) (Table S4). We recorded the most inclusive form of consultation undertaken on behalf of

190 the project. We also recorded the types of stakeholders and affected parties involved in consultation,
191 according to the categories from Hughes (1998).

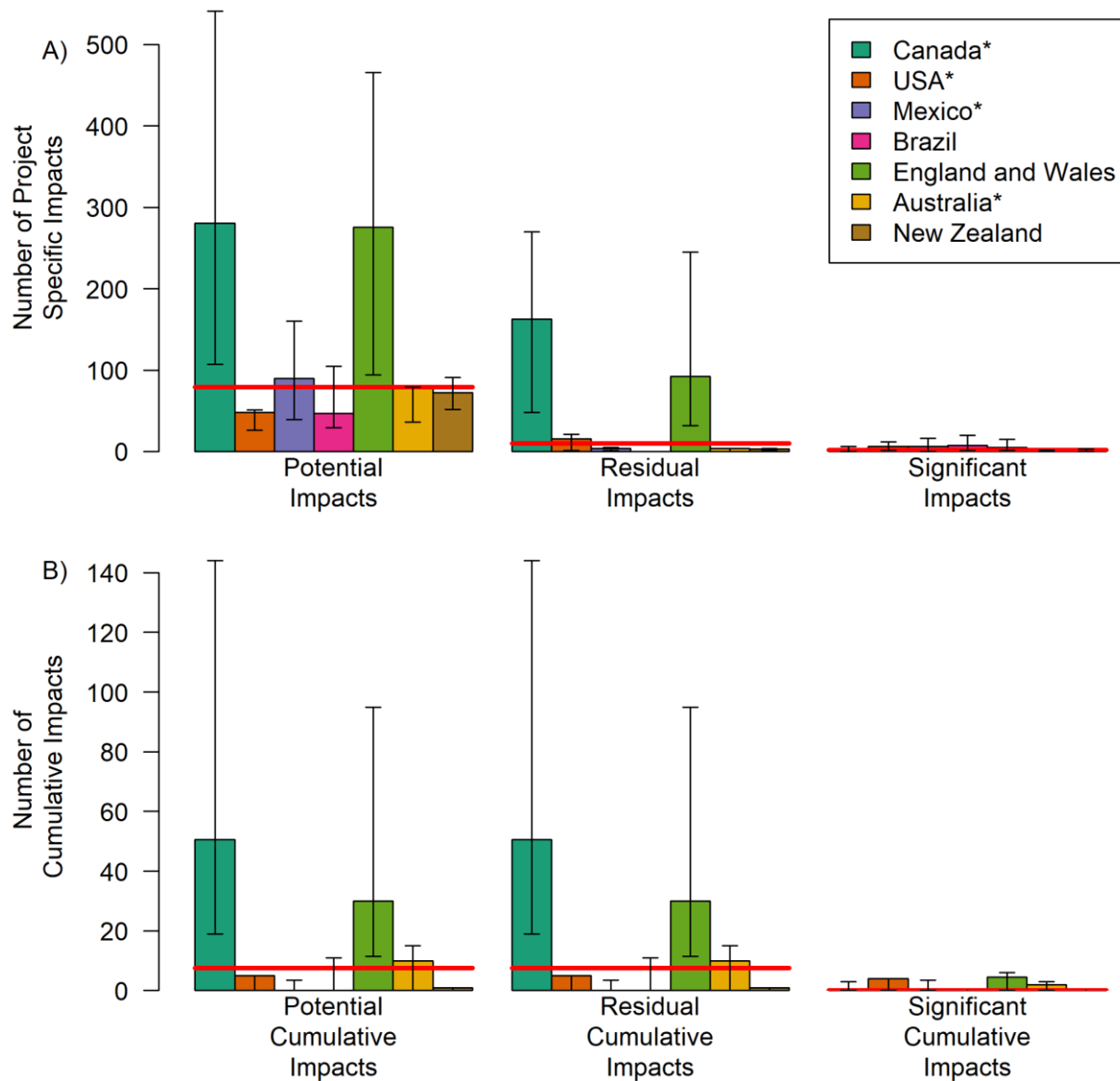
192 Multiple members of the author list (9 authors in total) participated in collecting data. To ensure that we
193 minimized among-collector variation, we took measures to standardize data collection. First, all data
194 collectors took part in a short workshop to communally collect data from the initial trial EIS. Second, one
195 of the data collectors who is fluent in all relevant languages (English, Portuguese, and Spanish) either
196 directly collected data, or supervised the collection of data, for all regions, and performed quality
197 control on the completed database. To promote greater consistency, weekly meetings were held for
198 data collectors to ask clarifying questions about coding and compare coding results with each other. A
199 second workshop was also conducted after data collection had begun to help collectors calibrate their
200 approaches with one another. All coders were found to code data in similar ways (e.g. inter-assessor
201 standard deviation in number of significant impacts was 0.33 and inter-assessor standard deviation for
202 mitigations with equivocal language was 0.7). Finally, the group member responsible for the database (a
203 different member than the data supervisor) re-checked the data, paying attention to any data points
204 that seemed to stand out. If any data did stand out, the database manager re-collected the data with
205 the original data collector.

206 3. Results and Discussion

207 3.1 Different Places, Same Bottom Line

208 If impact significance was consistently determined without bias across jurisdictions, we might expect
209 that jurisdictions with similar types of projects and environmental settings would have relatively similar
210 proportions of potential impacts considered significant (Table S1). Absent of a strong pressure leading
211 to low numbers of significant impacts, the high variation in the sample (across geography, diverse suites
212 of development types, and impact numbers) should translate into high variation in numbers of

213 significant impacts within and across jurisdictions. Indeed, the number of potential, cumulative, residual,
 214 and residual cumulative impacts reported in EISs varied considerably across jurisdictions. However,
 215 regardless of jurisdiction, a consistently small number of potential impacts were considered significant
 216 (all bootstrap 95% Cis of the median overlap the global medians of two significant project-specific
 217 impacts and zero significant cumulative impacts, Figure 1).



218

219 Figure 1. The number of potential impacts, residual impacts, and significant impacts reported in EISs for

220 (A) project-specific impacts and (B) cumulative impacts. Bars represent bootstrap 95% confidence

221 interval of the medians, and the red lines represent the global medians. EISs were selected from a single
222 state or province within the countries marked with an asterisk (*) in the legend.

223

224 One possible explanation for the few significant impacts found across jurisdictions is that the EIA process
225 leading up to preparation of the EIS is a systematic barrier to projects that will likely contribute to
226 significant impacts, allowing only relatively benign projects to undergo significance determination
227 (Wood 2003). An alternative explanation is that the research practices communicated in EISs contribute
228 to bias against finding significant adverse impacts. Below, we discuss the research practices
229 communicated in EISs and whether this alternative explanation is supported.

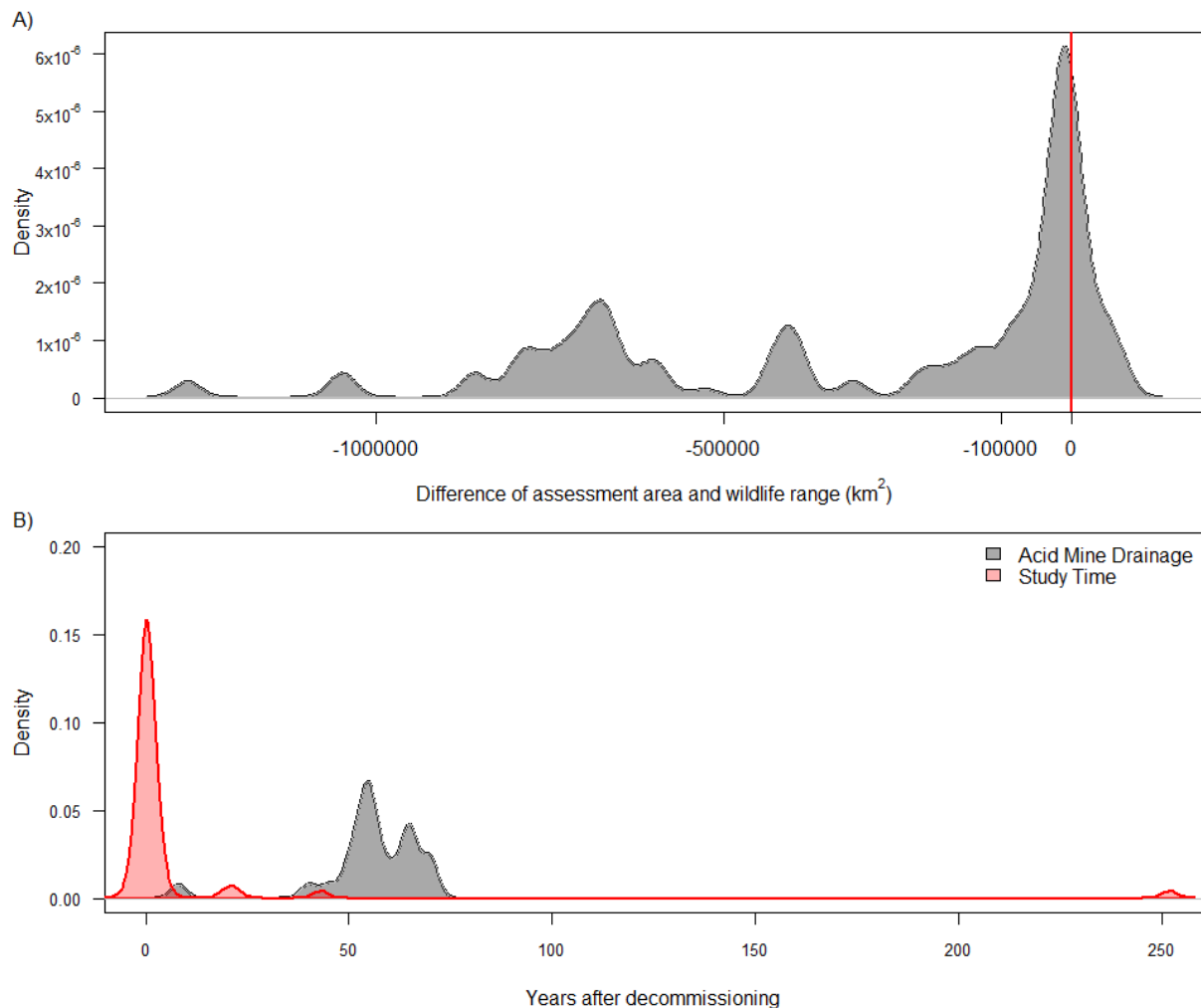
230 3.2 Narrowly Addressed Environmental Impacts

231 Many impact assessments fail to scope their projects in a manner many experts would consider
232 ecologically sufficient or transparent. Fully exploring cumulative impacts to wildlife requires an
233 assessment scope that fully encloses the range of the wildlife at the ecological scale (e.g. populations,
234 species) of interest. For example, a spatial boundary for cumulative impacts assessment might be based
235 on the combined ranges of wildlife populations of value. Where practical or jurisdictional concerns (such
236 as transboundary migration in some species without coordinated management between jurisdictions)
237 might prevent the effective consideration of the full range of wildlife, the spatial scope reported in EISs
238 may be restricted to account for the wildlife within a particular jurisdiction. To illustrate the inadequacy
239 of the spatial scope of the sampled EISs, we collected data on a subset of wildlife assessed in multiple
240 EISs in each jurisdiction, at the lowest ecological scale of interest identified as a valued environmental
241 component. For example, if the valued environmental component was identified as an animal at the
242 species (or specific population) scale, we collected data on their range for the species (or specific
243 population) within the jurisdiction of interest. Though our sample does not allow us to conclude that EIS

244 spatial scope is inadequate for all wildlife assessed, we did find that 98% of the 48 EISs assessing impacts
245 across the wildlife we selected (across all jurisdictions) had at least one wildlife species (or population)
246 that was inadequately spatially scoped for cumulative impacts. In fact, we found that spatial scopes of
247 EISs were considerably smaller than the ranges of species (or specific populations) purportedly assessed
248 in almost all of the sampled EISs (Figure 2A). Only a minority of EISs considered spatial scales
249 comparable to (or greater than) the ranges of species or population units assessed (Figure 2A). In most
250 EISs, the lowest ecological scale explicitly mentioned was the species scale (Table S2). However, if EIS
251 authors were actually assessing impacts to specific populations within these species, they did so without
252 transparently indicating what populations they were evaluating impacts to, or the range size of
253 populations under evaluation, effectively leaving the reader guessing as to the scope of the study. We
254 did note that multiple EISs in every jurisdiction assessed impacts to species given pre-defined spatial
255 boundaries (not determined by wildlife ranges), indicating that the full range of wildlife may not have
256 been considered.

257 A similar scoping problem was evident in EISs with regard to temporal scales consistent with
258 environmental toxicology. Some projects can affect the environment long past decommissioning,
259 causing lag impacts (Collins et al. 2010). In practice, we found EISs routinely restrict the scope of
260 assessment to well before impacts are likely to cease, as revealed by the illustrative case of mining EISs.
261 Mining EISs in our sample assessed impacts further past decommissioning than other EISs, but even
262 these temporal scopes were generally far shorter than published durations of environmental impacts
263 from acid mine drainage (AMD) after mine closures. Whereas most mining EISs limited their assessment
264 to a period of between zero and four years after mine closure (Figure 2B), independent environmental
265 toxicology studies emphasized that AMD can last decades to centuries past mine closure, even
266 accounting for modern remediation techniques (Demchak et al. 2000; Demchak et al. 2004; Moncur et
267 al. 2006). Out of 26 mining EISs sampled from Queensland, Brazil, and British Columbia, only one

268 (written for a British Columbian mine) had an appropriate temporal scale for assessing AMD impacts
269 past decommissioning. Narrow temporal scoping has further repercussions for future EIA processes, as
270 limiting the number of residual impacts found in one EIS precludes these impacts from becoming
271 relevant inputs to cumulative impact assessments in subsequent EISs.



272
273 Figure 2. Density histograms showing (A) the difference between the assessment area and wildlife range
274 (in km²), where negative numbers indicate that wildlife ranges are larger than assessment areas (n = 62
275 wildlife to EIS comparisons). The vertical red line indicates where assessment area equals wildlife range
276 and (B) the time after mine decommissioning that acid mine drainage impacts ecosystems (in grey)
277 compared with the temporal scope of EISs after mine decommissioning (in red, n = 11 EISs).

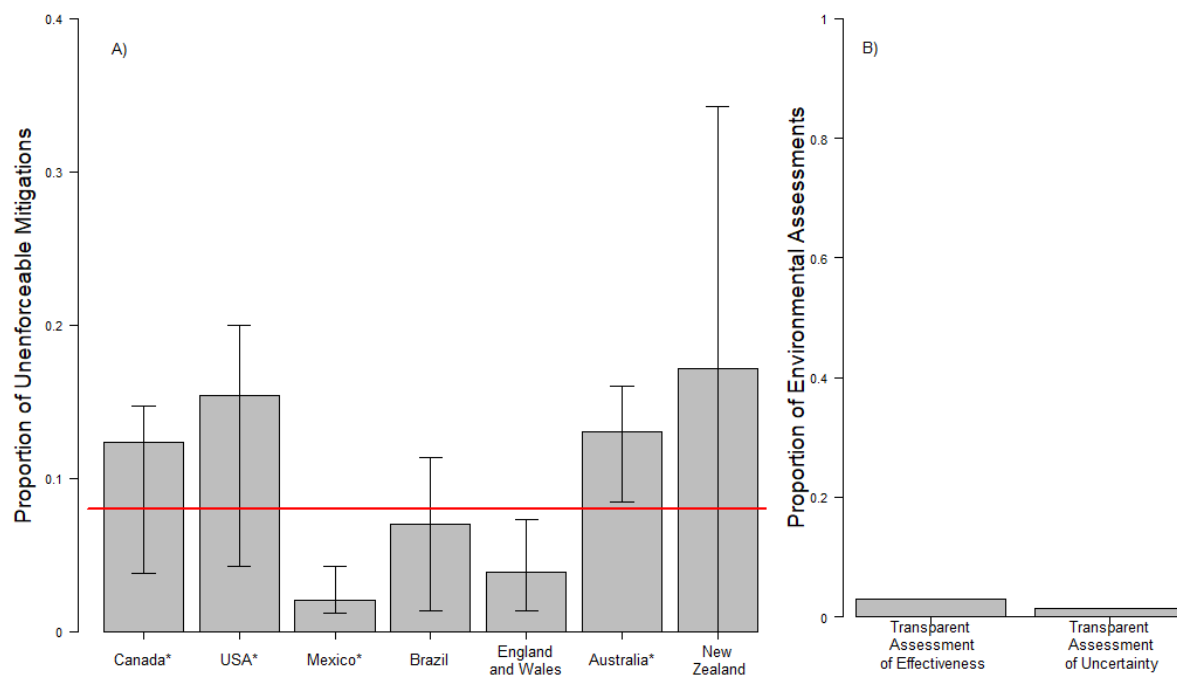
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279 The assessment of potential interactions among impacts was similarly limited and generally opaque.
280 Research suggests that cumulative impacts are often synergistic (where total impact is greater than the
281 sum of individual impacts) or antagonistic (where total impact is less than the sum of individual impact),
282 yet possible synergistic or antagonistic impacts were only explicitly considered in 4% of EISs (Crain et al.
283 2008; Darling and Côté 2008). Slightly more EISs (15%) had explicitly considered additive impacts (where
284 total impact is equal to the sum on individual impacts), though synergistic or antagonistic impacts were
285 not considered in these EISs. A majority (53%) of sampled EISs were methodologically unclear (methods
286 were provided for assessing cumulative impacts but there was no mention of impact interaction) and
287 28% provided no methodical explanation for how cumulative impacts were assessed (including every EIS
288 investigated from New Zealand) despite reporting assessment results for cumulative impacts. While we
289 recognize that determining non-additive impact interactions is difficult to accomplish with certainty, the
290 possible existence of these impacts was ignored in 96% of EISs. Additionally, the high percentage (81%)
291 of EISs with unclear or unavailable methods highlights a lack of transparency in assessment. Where
292 methods were available (in 72% of EISs), EIS authors tended to define cumulative impacts as a function
293 of overlapping projects within assessed areas. They did not define cumulative impacts as promoted in
294 the peer reviewed literature, that is, as a function of interacting mechanistic processes linked to specific
295 stressors investigated (Murray et al. 2016). Only 3% of EISs explored cumulative impacts in this manner:
296 for example, explicitly documenting tanker traffic and the effects of underwater noise associated with
297 nearby energy projects. Various frameworks exist to analyze mechanistic processes contributing to
298 cumulative impacts, and these frameworks can be applied even when identifying interactions of impacts
299 is difficult (Knights et al. 2013; Singh et al. 2017).

300 Ultimately, the limited scope of EISs in space, time, and interactions across impacts all contribute to an
301 avoidably narrow assessment of impacts (Lenzen et al. 2003).

302 3.3 Overconfidence in Mitigation

303 Several of our results demonstrate EISs authors placing high confidence in the effectiveness of
 304 mitigation measures – a confidence likely undeserved. In 19% of the EISs we sampled, significance was
 305 determined both before *and* after application of proposed mitigations, providing insights into the
 306 assumed efficacy of mitigation. These EISs were all from England and Wales, Brazil, Queensland and
 307 California. The resulting change in characterization of significance provides some indication of the EIS
 308 authors' confidence in the proposed mitigating measures. Out of 505 impacts deemed significant prior
 309 to mitigation across these EISs, 80 were ultimately characterized as significant after considering all
 310 mitigations. Of these 80, only 22 of these involved mitigations (with the remainder having no associated
 311 mitigation). In other words, for 447 significant impacts that had associated mitigation measures, 425
 312 were deemed not significant following mitigation, and 22 were still considered significant (a 19:1 ratio).



313
 314 Figure 3. The proportion of (A) mitigation measures written in ambiguous and unenforceable language
 315 in each jurisdiction (bars represent 95% bootstrap CI of the median and red line represents global

316 median) and (B) EISs in all jurisdictions that have explicit analysis of mitigation effectiveness and
317 consider uncertainty of mitigation effectiveness. No single EIS considered both mitigation effectiveness
318 and uncertainty. EISs were selected from a single state or province within the countries marked with an
319 asterisk (*) along the X-axis.

320

321 Additionally, we found no EIS that assessed both mitigation effectiveness and uncertainty of impact
322 reduction (Figure 3b). Rather, actions intended for mitigation were generally treated as effective despite
323 research demonstrating the reverse (e.g. fish habitat compensation (Quigley and Harper 2006), or
324 despite a lack of research into specific mitigation effectiveness (Duinker et al. 2012; Jacob et al. 2016).
325 Furthermore, some mitigation proposals were worded in such a way that it was unclear if they would
326 even be implemented, and were yet still considered effective. We found that 5-11% (bootstrap 95% CI
327 of the median) of mitigation measures across jurisdictions were expressed in vague language that left
328 ambiguous what actions, if any, would be taken (e.g. “where applicable, mitigation X will be installed”;
329 “to the extent possible, mitigation X will be explored”; Figure 3). The consequence of this equivocal
330 wording is that the developer’s level of commitment to a given mitigation measure is unknown
331 (Marshall 2002; Duinker et al. 2012; Lees et al. 2016).

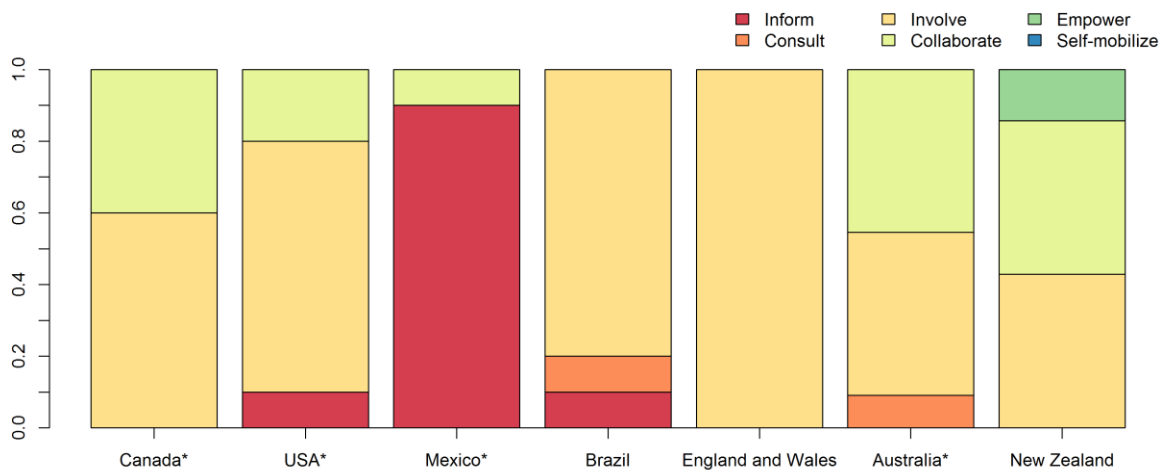
332 Lastly, no EIS in our sample included additional mitigation measures to address cumulative impacts.
333 Thus the number of potential cumulative impacts is equal to the number of residual cumulative impacts.
334 As mitigations are central to EIAs, the lack of mitigations for cumulative impacts may reflect the fact that
335 developers only have power to apply mitigations to the areas they have licences for, and larger scale
336 mitigations would require additional work (Burriss and Canter 1997; Piper 2001; Hellweg and Milà i
337 Canals 2014). Indeed, the scope of EIAs are usually primarily focused on individual projects, which can

338 limit the consideration of impacts from other projects and across supply-chains (Burris and Canter 1997;
339 Duinker and Greig 2006).

340 In summary, the high confidence in mitigation measures expressed in EISs is questionable, because
341 mitigation proposals in EISs are sometimes not enforceable (and in the case of cumulative impacts, not
342 proposed) and often not scientifically verified (Hollick 1981; Duinker and Greig 2006; Duinker et al.
343 2012).

344 3.4 Likely Biased Significance Determination

345 Consultation with stakeholders other than developers is crucial for two reasons: (1) understanding what
346 aspects of the environment are important for assessing impacts, and (2) determining significance where
347 biophysical impacts have social or cultural implications (Canter and Canty 1993; Briggs and Hudson
348 2013; Ehrlich and Ross 2015). However, in all but one of our sampled EISs, stakeholders had no input in
349 the determination of significance, and significance was instead determined by consultants (normally
350 paid by project developers). In the outlier, a New Zealand EIS, a team of Maori stakeholders both
351 determined the cultural values at risk and assessed impacts on these cultural values. In some EISs, local
352 stakeholders were simply told of a planned development without being given the option to voice
353 concerns (Figure 4). Most commonly, local stakeholder concerns were documented (with no follow-up)
354 or responded to in facilitated meetings with no further opportunity to influence the design of the
355 project or determine if their values were factored into significance determination (Figure 4).



356

357 Figure 4. The proportion EISs from each jurisdiction that consulted stakeholders to various degrees. EISs
 358 were selected from a single state or province within the countries marked with an asterisk (*) along the
 359 X-axis. Refer to Table S4 for a description of the different categories of consultation in the legend.

360

361 In fact, certain stakeholder groups were not consulted at all in some EIAs. Community organizations
 362 were consulted in 51% of our sample, indigenous groups in 58% (exempting England and Wales), and
 363 environmental groups in 70%. Other groups were consulted more often: business and political groups
 364 were consulted in 88% and 97% of our sample, respectively. There are a few potential explanations for
 365 these disparities in representation. First, community, indigenous, and environmental groups may lack
 366 the capacity to represent their interests to the same extent as business or political groups. Second,
 367 these less represented groups may not have elected to participate in the consultation process as much
 368 as the more represented groups, for various reasons including not having a stake in proposed
 369 development sites (however, in the two jurisdictions where there are strong legal requirements for First
 370 Nation consultation—Canada and New Zealand—we found these groups were consulted in 100% of our

371 sample). Finally, consultation of relevant stakeholders may have disproportionately failed to include
372 environmental, indigenous, and community groups even when these groups had a stake in a proposed
373 development. Our findings cannot distinguish among these explanations, and invite further research on
374 this gap in consultation. The literature documents case studies of EIAs suppressing concerns of local
375 groups and those who might be against development (O'Faircheallaigh 2010) . Limited consultation with
376 indigenous groups has extra consequence, as indigenous groups often have dependencies on and
377 histories linked to the environment not shared by others (Stevenson 1996; Banerjee 2000). EIAs may
378 thus exacerbate a power imbalance in environmental decisions that has contributed to cultural loss for
379 indigenous people worldwide (Banerjee 2000; Ward 2001; Cashmore and Axelsson 2013).

380 Though quantitative thresholds were sometimes factors in determining the significance of an impact
381 (48% of our sample used quantitative thresholds for a subset of impacts, and 42% did so for a subset of
382 cumulative impacts), we found that every EIS relied on the consultants' judgement for the majority, if
383 not all, determinations of impact significance. While using professional judgement is itself not cause for
384 concern, relying on professional judgement without clearly outlining the considerations that influence
385 significance determination lacks transparency (Jones and Morrison-Saunders 2016). Based on our
386 sample, 69% of EISs did not clearly document the methods used to determine significance, and for the
387 31% that did, significance was based on ambiguous qualitative criteria with little explicit information on
388 how these were derived or applied. For example, significance was often defined as being dependent on
389 the sensitivity of the environment to the impact and the magnitude of the impact, without outlining
390 how one or either of these inputs was determined. Furthermore, professional judgement acquired
391 without a structured protocol to counteract cognitive biases and overconfidence in assessment is prone
392 to provide misleading results (Morgan 2014), and we found no EIS that outlined any protocol used to
393 elicit professional judgements.

394 As mentioned previously, across the jurisdictions we investigated, developers pay the consultants who
395 prepare EISs. Finding few (or no) significant impacts often fulfills the financial interest of both the
396 developer and the consultant, and can go towards maintaining a strong business relationships between
397 the two (Hollick 1984). While we cannot measure how this system affects EIS conclusions drawn, this EIS
398 practice may serve to normalize a bias due to conflict of interest (Hollick 1984). Judgements are easily
399 influenced by affiliation with interested partisans (Moore and Loewenstein 2004; Moore et al. 2010).
400 Conflict of interest may bias consultants to conduct the main components of an EIS (scoping, assessing
401 mitigation, conducting consultation, and determining significance) in ways that are favourable to the
402 developer. In general, conflict of interest may bias consultants to present the environmental impacts of
403 a project as negligible to a decision-maker, minimizing the chances of identifying trade-offs between
404 economic development and environmental quality and therefore presenting a case whereby avoiding
405 economic benefits from development is considered an unnecessary loss. Jurisdictions with processes
406 designed to avoid conflict of interest (such as in the Netherlands, where an independent body of experts
407 review each EIS) may not follow the patterns we found, but future research is required to determine if
408 this is the case. Our aim is not to accuse consultants of dishonesty or incompetence; however, we point
409 out that the potential institutional bias introduced by this conflict of interest is problematic (Moore et al.
410 2010).

411 4. Conclusions

412 Our findings suggest that in the seven jurisdictions we address, EISs often contain questionable analysis
413 and lack transparency, which may bias their conclusions against determinations of significant negative
414 impacts. While there are other regulatory processes and considerations that affect final decisions, EISs
415 ostensibly give scientific credibility for decisions, so sound research practices are important. Improving
416 EIS practices will require addressing the problems we have outlined, from scoping and impact prediction
417 to public participation and significance determination (Morgan 2012).

418 Six major changes could help to improve EISs' utility as legitimate science-based resources for
419 environmental decision-making:

- 420 1. The spatial and temporal scope of assessments should be ecologically justifiable and explicitly
421 consider cumulative impacts, or explicitly link to larger-scale Strategic Environmental
422 Assessments, encompassing the ranges of ecosystem components affected and the duration of
423 demonstrated lag impacts from relevant literatures (Shepherd and Ortolano 1996; Duinker et al.
424 2012; Bidstrup et al. 2016).
- 425 2. Interactions among impacts should be explicitly considered and in reference to available
426 evidence, acknowledging evidence that interactive, non-additive effects are the norm (Crain et
427 al. 2008).
- 428 3. Mitigation actions should be stated in ways that are enforceable. The degree of effectiveness of
429 all mitigations should be evaluated, with uncertainty acknowledged, and contingencies
430 considered for potential mitigation failure. Conversely, an impact should not be considered
431 successfully mitigated, and thus not significant, unless planned mitigations have a demonstrated
432 effectiveness in appropriate contexts (Hollick 1981; Duinker et al. 2012).
- 433 4. Stakeholders should have input into impact significance determination whenever impacts may
434 have local, social or cultural consequences – likely the majority of cases (O'Faircheallaigh 2010).
- 435 5. Policies should force developers to comply with changes 1-4 listed above by providing regulators
436 with the technical and personnel capacity to appropriately assess scientific rigour in order to
437 approve or reject the EIS based on assessment quality (Clark 1999; Kirchhoff 2006), and make
438 environmental audits compulsory to ensure developer's compliance with mitigation
439 commitments.

440 6. The inherent conflict of interest in EIS authorship must be eliminated (e.g. by having developers
441 pay into a common fund, administered by governments, to retain independent experts to
442 author or review EISs) (Hollick 1984; Moore et al. 2010).

443 To be a truly transparent and robust tool of environmental protection, EIA needs to embrace current
444 evidence and practices when relying on research. Failure to improve regulation and practice allows EISs
445 to obscure and facilitate important environmental impacts more often than they reveal and prevent
446 them.

447 **Acknowledgements** This research was conducted with support from the National Sciences and
448 Engineering Council of Canada (NSERC) and the Social Sciences and Humanities Research Council of
449 Canada (SSHRC). Specifically, support came from a NSERC Canada Graduate Scholarship, Pacific Institute
450 for Climate Solutions doctoral grant, a Vanier Canada Graduate Scholarship, a scholarship from the
451 National Science Foundation Center of Excellence in Climate and Energy Decision-Making at Carnegie
452 Mellon, the Science Without Borders Program, Coordenação de Aperfeiçoamento de Pessoal de Nível
453 Superior, Brazil (CAPES), the Science Without Borders Program, National Council for Scientific and
454 Technological Development, Brazil (CNPq), a SSHRC Canada graduate scholarship, a WWF-Canada and
455 the Government of Canada's Summer Jobs Program. We would like to thank Adrian Semmelink, Calum
456 Watt, and Raoul Wieland for their contribution to data collection. This manuscript benefited from
457 reviews by Navin Ramankutty, Hadi Dowlatabadi, Benjamin Halpern and three anonymous reviewers.

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