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HOXC6 promotes migration, invasion and proliferation of esophageal squamous cell carcinoma cells via modulating expression of genes involved in malignant phenotypes

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Background: HOXC6 is a member of the HOX gene family. The elevated expression of this gene occurs in prostate and breast cancers. However, the role of *HOXC6* in esophageal squamous cell carcinoma (ESCC) remains largely uninvestigated. **Methods:** The expression of HOXC6 was examined by immunohistochemistry, quantitative real-time PCR and immunoblotting assays. The lentivirus-mediated expression of HOXC6 was verified at mRNA and protein levels. Wound healing and Matrigel assays were performed to assess the effect of *HOXC6* on the migration and invasion of cancer cells. The growth curving, CCK8, and colony formation assays were utilized to access the proliferation capacities. RNA-seg was performed to evaluate the downstream targets of *HOXC6*. Bioinformatic tool was used to analyze the gene expression. **Results:** HOXC6 was highly expressed in ESCC tissues. HOXC6 overexpression promoted the migration, invasion, and proliferation of both Eca109 and TE10 cells. There were 2,155 up-regulated and 759 down-regulated genes in Eca109-HOXC6 cells and 95 up-regulated and 47 down-regulated genes in TE10-HOXC6 cells compared with the results of control. Interestingly, there were only 20 common genes, including 17 up-regulated and 3 down-regulated genes with similar changes upon HOXC6 transfection in both cell lines. HOXC6 activated several crucial genes implicated in the malignant phenotype of cancer cells. **Discussion:** HOXC6 is highly expressed in ESCC and promotes malignant phenotype of ESCC cells. HOXC6 can be used as a new therapeutic target of ESCC.

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- 2 carcinoma cells via modulating expression of genes involved in malignant phenotypes

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14

- 15 Abstract
- 16 **Background:** *HOXC6* is a member of the *HOX* gene family. The elevated expression of this
- 17 gene occurs in prostate and breast cancers. However, the role of *HOXC6* in esophageal squamous
- 18 cell carcinoma (ESCC) remains largely uninvestigated.
- 19 **Methods:** The expression of *HOXC6* was examined by immunohistochemistry, quantitative real-
- 20 time PCR and immunoblotting assays. The lentivirus-mediated expression of *HOXC6* was
- 21 verified at mRNA and protein levels. Wound healing and Matrigel assays were performed to
- 22 assess the effect of *HOXC6* on the migration and invasion of cancer cells. The growth curving,



- 23 CCK8, and colony formation assays were utilized to access the proliferation capacities. RNA-seq
- 24 was performed to evaluate the downstream targets of *HOXC6*. Bioinformatic tool was used to
- analyze the gene expression.
- 26 **Results:** *HOXC6* was highly expressed in ESCC tissues. *HOXC6* overexpression promoted the
- 27 migration, invasion, and proliferation of both Eca109 and TE10 cells. There were 2,155 up-
- 28 regulated and 759 down-regulated genes in Eca109-HOXC6 cells and 95 up-regulated and 47
- 29 down-regulated genes in TE10-HOXC6 cells compared with the results of control. Interestingly,
- 30 there were only 20 common genes, including 17 up-regulated and 3 down-regulated genes with
- 31 similar changes upon *HOXC6* transfection in both cell lines. *HOXC6* activated several crucial
- 32 genes implicated in the malignant phenotype of cancer cells.
- 33 **Discussion:** HOXC6 is highly expressed in ESCC and promotes malignant phenotype of ESCC
- 34 cells. *HOXC6* can be used as a new therapeutic target of ESCC.
- 35 **Key words:** ESCC; homeobox; *HOXC6*; migration; invasion; proliferation.

36 Introduction

- 37 ESCC incidence is the eighth highest, and mortality is the sixth highest, of all cancers worldwide
- 38 (Cai et al. 2015). Despite the fact that many efforts have been made to improve the diagnosis and
- 39 therapy of ESCC, the overall 5-year survival rate remains disappointing, and it is still one of the
- 40 most fatal malignancies (Kashyap et al. 2009; Zhang 2013). The main reason for this is that
- 41 ESCC is usually in the advanced stages at diagnosis (Kashyap et al. 2009; Zhang 2013). To
- 42 address this problem, it is necessary to identify potential molecular markers that may be used for
- 43 the diagnosis and therapy of ESCC.
- 44 Homeobox-containing gene family comprises approximately 200 transcription factors which
- share a 183 base pairs long DNA region called homeobox in their coding sequences, and the
- 46 homeobox encodes a 61 amino acids homeodomain (HD) with characteristic fold (Cantile et al.
- 47 2011). The *HOX* genes are a subgroup of homeobox-containing genes encoding transcription
- 48 factors that confer segmental identities in the process of development. In humans, there are 39
- 49 HOX genes clustered into four different groups (HOXA, HOXB, HOXC and HOXD). HOX genes



- are crucial to the regulation and control of the processes important to development, such as
- 51 receptor signaling, apoptosis, motility, differentiation, and angiogenesis (Zhang et al. 2013).
- 52 Many HOX genes have been found to be either activated or repressed in the process of cancer
- 53 development. Aberrant expression of *HOX* genes has also been reported in a variety of cancers,
- such as colorectal (Kanai et al. 2010; Liao et al. 2011), breast (Hur et al. 2014; Shaoqiang et al.
- 55 2013), prostate (Chen et al. 2012b), glioblastoma (Costa et al. 2010), and lung (Abe et al. 2006)
- 56 cancers.
- 57 HOXC6 is a member of the HOX family, and its aberrant expression has been verified in a
- variety of cancers, such as prostate (Ramachandran et al. 2005), breast (Hussain et al. 2015),
- 59 nasopharyngeal carcinoma (Chang et al. 2017), gastric (Zhang et al. 2013), and ovarian (Tait et
- al. 2015) cancers. *HOXC6* overexpression promoted cell migration, invasion and proliferation,
- 61 where decreased *HOXC6* expression reversed the facilitation effect on gastric cancer cells (Chen
- et al. 2016). In hepatocellular carcinoma, *HOXC6* overexpression promoted cell proliferation,
- 63 while siRNA-mediated *HOXC6* down-regulation not only inhibited proliferation and migration
- but also increased 5-FU chemosensitivity (Sui et al. 2016). Ji et al (Ji et al. 2016) also found that
- silencing of *HOXC6* expression inhibited the proliferation of colorectal cancer cells.
- 66 Collectively, these studies suggest that HOXC6 might be involved in tumor initiation and
- 67 progression. In the case of ESCC, it is predicated that HOXC6 may be highly expressed in ESCC
- 68 tissues compared to adjacent normal counterparts (Du et al. 2014). However, the role of *HOXC6*
- 69 in ESCC has not been fully investigated. Here we report that HOXC6 functions as an oncogene
- 70 in ESCC cells via up-regulation of genes associated with the malignant phenotype. HOXC6 is a
- 71 candidate molecular marker for both the diagnosis and treatment of ESCC.

72 Materials & Methods

- 73 Cell lines and cell culture
- 74 The ESCC cell lines, Eca109 and TE10, were purchased from the Shanghai Institute of Cell
- 75 Biology, Chinese Academy of Sciences (Shanghai, China). 293FT cell line was obtained from
- 76 Shanghai Tongpai biotechnology co. LTD (Shanghai, China). Eca109 and TE10 cells were



77 cultured in RPMI 1640 medium (Gibco, USA) and 293FT cells was maintained in DMEM (Gibco, USA). All mediums were supplemented with 10% fetal bovine serum (Gibco, USA), 100 78 u/ml of penicillin and 100 u/ml of streptomycin. All cells were cultured in a 37°C, 5% CO₂ 79 80 incubator. 81 **Patients and specimens** 82 ESCC tissues and adjacent normal counterpart specimens were obtained from patients with 83 ESCC who were treated with surgery between January 2017 and August 2017 at the Department 84 of Thoracic Surgery, the Affiliated Hospital of Southwest Medical University (Luzhou, China). 85 A portion of each specimen was immediately frozen in liquid nitrogen for qRT-PCR and western 86 blotting assays. Another portion was immediately fixed in neutral formalin buffer and embedded 87 into paraffin for histopathological observation. The present study was approved by the Ethics 88 Committee of the Affiliated Hospital of Southwest Medical University (NO. K2018002-R). 89 Written informed consents for this study were obtained from all patients. 90 RNA extraction and qRT-PCR 91 Total RNA from cells and tissues was extracted with Trizol (Invitrogen; Thermo Fisher 92 Scientific Inc., Waltham, MA, USA) following the manufacturer's instructions. For each 93 specimen, 500 ng of total RNA was used for reverse-transcription using the PrimeScript™RT 94 reagent Kit with gDNA Eraser (TaKaRa Bio Inc.). The reaction conditions of reverse-95 transcription were 15 min at 37°C, and 5 sec at 85°C. qRT-PCR examination was performed 96 using SYBR Premix Ex Taq II (Tli RNaseH Plus) (Thermo Fisher Scientific Inc.). The primer 97 sequences are shown in Table 1. The reaction conditions were as follows: 95°C for 30 sec. followed by 40 cycles of 95°C for 5 sec, then 60°C for 34 sec. Reactions were carried out in an 98 99 Applied Biosystems 7500 Real Time PCR System (Applied Biosystems, Thermo Fisher 100 Scientific, Inc.). The expression of GAPDH was used as an internal control and the RNA 101 expression level of each gene was evaluated using the $2^{-\Delta\Delta ct}$ method. All specimens were

Immunohistochemistry

examined in triplicate.

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104	Formalin-fixed paraffin-embedded blocks were prepared into tissue sections. The sections were			
105	treated with 3% H ₂ O ₂ for 10 min after routine deparaffinization in xylene and rehydration in			
106	decreasing concentrations of ethanol (100, 95, 85 and 75%). Then, sections were heated in citrate			
107	sodium for approximately 3 min for antigen retrieval. To block nonspecific reactions, the			
108	sections were incubated with 10% normal goat serum for 20 min after antigen retrieval. Then,			
109	the sections were incubated with a mouse monoclonal antibody against human HOXC6 (Santa			
110	Cruz, dilution, 1:200) overnight at 4°C. After primary antibody incubation, the			
111	streptavidin/peroxidase amplification kit (ZSGB-Bio, Beijing, China) was used for the HOXC6			
112	antigen-antibody reaction. Then, sections were treated with diaminobenzidine to visualize the			
113	appearance of HOXC6 signal. To quantitate the expression of HOXC6, two specialists in			
114	pathology independently scored the immunohistochemical signals according to intensity (0-3)			
115	and extent (0-100%). The staining intensity was categorized as follows: 0, negative (-); 1, weak			
116	(+); 2, moderate (++); and 3, strong (+++). The expression of HOXC6 was calculated as the			
117	product of the intensity and extent scores (IHC score).			
118	Western blotting			
119	Lysates of cells and tissues were prepared using pre-cooled RIPA buffer (Cell Signaling,			
120	Danvers, MA) supplemented with proteinase inhibitor (Pefabloc SC; Roche, Indianapolis, IN).			
121	The total protein concentration was tested using the BCA protein assay kit (ThermoFisher,			
122	USA). Total protein lysate (20 µg) of each sample was separated using sodium dodecyl sulfate-			
123	polyacrylamide gel electrophoresis and then transferred to polyvinylidene difluoride membranes			
124	(Millipore, Billerica, MA). Next, the membranes were soaked in 5% skim milk power to block			
125	nonspecific reactions and then incubated with mouse monoclonal antibody against human			
126	HOXC6 (Santacruz, dilution, 1:1000) overnight at 4°C. Membranes were then incubated with the			
127	corresponding secondary antibody for 30 min. Immunoreactive protein was visualized using the			
128	Western Lighting Chemiluminescence Reagent Plus (Perkin Elmer, Waltham, CA). GAPDH			
129	(Santacruz, dilution, 1:1000) was used as internal control.			
130	Establishment of cell lines stably expressing the exogenous HOXC6			



131	The lentiviral HOXC6-expression vector pCDH-HOXC6 was constructed by cloning the human					
132	HOXC6 gene into pCDH-NEO vector which is generated by replacing the copGFP gene with					
133	genemycin resistance gene of pCDH-CMV-MCS-EF1-copGFP (JiRan, Shanghai, China). The					
134	primer sequences including the XhoI and EcoRV restriction enzyme sites are shown in Table 2.					
135	The lentiviral particles were produced by transfecting either pCDH-HOXC6 or pCDH-NEO with					
136	psPAX2 and pMD2.G into 293FT cells. The culture supernatant was harvested and subsequently					
137	infected Eca109 and TE10 cells. The stable cells were selected by genemycin at a					
138	concentration of 500µg/ml (ThermoFisher).					
139	Wound healing and Matrigel invasion assay					
140	Cells were seeded in 96-well plates and allowed to achieve 90%–100% confluence. The Essen					
141	Bioscience 96-pin wound maker (Essen BioScience, Ann Arbor, MI) was used to create a					
142	uniform scratch in each well. For the wound healing assay, cells were incubated in RPMI 1640					
143	medium supplemented with 2% fetal bovine serum. For the invasion assay, cells were covered					
144	with 50 μl of Matrigel solution (2.4 mg/ml Matrigel in normal growth medium, BD Biosciences)					
145	that was allowed to gel at 37°C for 1h. Then, an additional 100 $\mu l/\text{well}$ of normal growth					
146	medium was used to overlay the matrix. The wound of each well was monitored and images					
147	were taken at 2h intervals in an IncuCyte live-cell analysis system (Essen BioScience, Ann					
148	Arbor, MI). The wound width was calculated using the software provided.					
149	Growth, CCK8, and colony formation assays					
150	Cells were plated in 96-well plates (3000 cells/well). Cell growth was monitored and images					
151	were taken at 2h intervals in an IncuCyte live-cell analysis system. Growth curves were					
152	calculated from confluence measurements using image analysis software. For the Cell Counting					
153	Kit-8 assay (Dojindo Molecular Technologies, Inc., Kumamoto, Japan), optical density was					
154	measured to determine cell activity at a wavelength of 450 nm on a microplate reader at 0, 24, 48					
155	and 72h. For the colony formation assay, stably infected cells were seeded in 6-well plates (200					
156	cells/well) and the growth medium was changed at 3-day intervals. After 10 days of incubation at					
157	37°C with 5% CO ₂ , cells were fixed with 4% paraformaldehyde and stained with freshly					



158	prepared, diluted Giemsa stain for 20 min. Colony number was counted after excess dye was				
159	washed off with double-distilled water.				
160	RNA-seq analysis				
161	Total RNA was isolated with Trizol and the polyadenylated mRNAs were enriched using				
162	Dynabeads Oligo (dT) 25 beads. Three replicates were created for RNA-seq library construction				
163	using the NEBNext Ultra Directional RNA Library Prep Kit for Illumina (NEB), following the				
164	manufacturer's instructions. Illumina HiSeq Xten was used to perform 150 bp pair-end				
165	sequencing. We mapped all RNA-seq data to the GRCh37.p13 genome from GENCODE				
166	(Harrow et al. 2012) by HISAT2 (version 2.1.0)(Kim et al. 2015) with default parameters. To				
167	identify differentially expressed genes (DEGs), we aggregated the read counts at the gene level				
168	using HTseq (Anders et al. 2015), and then identified DEGs using R package DESeq2(Love et				
169	al. 2014). Genes were considered significantly differentially expressed when the \log_2 (fold-				
170	change) $ > 1$ and adjusted p < 0.05 . DEGs were subjected to enriched GO categorization using				
171	the R package clusterProfiler (Yu et al. 2012) with a q-value < 0.05.				
172	Bioinformatic analysis				
173	Gene Expression Omnibus (GEO) is a public functional genomics data repository supporting				
174	MIAME-compliant data submissions. We utilized this tool to investigate gene expression. GEO				
175	is available at https://www.ncbi.nlm.nih.gov/geo/ .				
176	Statistical analysis				
177	All experimental data are presented as the mean \pm standard deviation from 2 to 3 separate				
178	experiments. Data was analyzed using SPSS19.0 software. Comparisons between groups were				
179	performed using Student's <i>t</i> test, and differences were considered statistically significant at p <				
180	0.05.				
181	Results				
182	HOXC6 was highly expressed in ESCC tissues				



183 HOXC6 is highly expressed in many cancer types (Fig. 1A-1I), including ESCC (Fig. 1G-1I). 184 This suggests that HOXC6 may be a critical factor in cancer development. However, the role of 185 this gene in ESCC is not clear. We investigated the expression of *HOXC6* in ESCC cells. We 186 first investigated the expression of *HOXC6* using clinical tumor samples. Thirty-two paired 187 samples from patients that underwent ESCC resection were used to verify the expression of HOXC6 by IHC and qRT-PCR. Representative images of the HOXC6 IHC assays are shown in 188 189 Fig. 2A. The IHC score of HOXC6 in tumor tissues was significantly higher than in adjacent 190 normal counterparts (Fig. 2B). The relative HOXC6 mRNA expression level in tumor tissues was 191 also significantly higher than in adjacent normal counterparts (Fig. 2C). We randomly selected 192 three paired samples to analyze the expression level of the HOXC6 protein by western blotting, 193 and the results showed that HOXC6 in tumor tissues was highly expressed when compared with 194 adjacent normal counterparts (Fig. 2D). These results suggest that HOXC6 expression is 195 positively correlated with the progression of ESCC. HOXC6 promoted ESCC cell migration and invasion 196 197 To explore how *HOXC6* affects the malignant phenotype of ESCC cells, we introduced the 198 HOXC6 gene into Eca109 and TE-10 cells via lentiviral-mediated transfection, generating cell 199 lines stably expressing HOXC6 (Eca109-HOXC6 and TE10-HOXC6) as well as cell lines 200 transfected with empty vector (Eca109-NEO and TE10-NEO). Fig. 3A shows the lentiviral 201 vector pCDH-HOXC6. The expression level of HOXC6 was confirmed by both qRT-PCR and 202 western blotting (Fig. 3B-3C). Since the migration and invasion of tumor cells is critical for tumor angiogenesis and metastasis, we assessed the effect of HOXC6 on ESCC cell migration 203 204 and invasion using wound healing and Matrigel invasion assays. By calculating wound healing 205 width and invasion width of the scratch wound, we found that HOXC6 overexpression 206 significantly increased cell migration speed in Eca109-HOXC6 and TE10-HOXC6 cells 207 compared to controls (Fig. 4A-4D). The Matrigel invasion assay demonstrated that HOXC6 significantly improved the invasive capacity of the ESCC cells (Fig. 5A-5D). These results 208 209 suggest that *HOXC6* may act as a facilitator in promoting ESCC cell migration and invasion.



210	HOXC6 promoted ESCC cell proliferation
211	To assess the effect of <i>HOXC6</i> on ESCC cell proliferation, we utilized a real-time monitoring
212	assay to measure the growth rates of Eca109-HOXC6 and TE10-HOXC6 as well as their
213	controls. Growth curves were constructed from data points acquired at 2h intervals. Results
214	showed that the growth of Eca109-HOXC6 and TE10-HOXC6 was significantly faster than that
215	of controls (Fig. 6A). Furthermore, CCK8 and colony formation assays were also performed.
216	The OD450 values of Eca109-HOXC6 and TE10-HOXC6 were higher than that of controls
217	when measured after 72 hours (Fig. 6B). In addition, the results of the colony formation assay
218	showed that Eca109-HOXC6 and TE10-HOXC6 generated more colonies than the controls (Fig.
219	6C). Collectively, these results suggest that <i>HOXC6</i> may increase the proliferation and colony
220	formation of ESCC cells.
221	RNA-seq analysis identified genes regulated by HOXC6
222	As a member of the homeobox gene family, HOXC6 possesses the characteristics of a
223	transcription factor that can bind to a specific sequence in the genome and regulate the
224	expression of related genes. To identify the downstream targets and the regulatory network of
225	HOXC6, RNA-seq was conducted to compare changes of mRNA expression patterns following
226	HOXC6 transfection. The expression levels of individual genes were measured by sequence
227	counts. Genes with at least a two-fold change in expression were viewed as either up-regulated
228	or down-regulated genes. The global changes in mRNA expression patterns are shown in Fig. 7A
229	for Eca109-HOXC6 and TE10-HOXC6. As shown in Fig. 7B, there were 2,155 up-regulated and
230	759 down-regulated genes in Eca109-HOXC6 cells compared with Eca109-NEO cells. In
231	addition, there were 95 up-regulated and 47 down-regulated genes in TE10-HOXC6 cells
232	compared with TE10-neo cells. Interestingly, there were only 20 common genes, including 17
233	up-regulated and 3 down-regulated genes with similar changes upon HOXC6 transfection in both
234	cell lines, indicating the cell-context dependent function of <i>HOXC6</i> in different cell lines. We
235	further confirmed the results of RNA-seq by examining the expression of HOXC6-modulated



genes using qRT-PCR. As shown in Fig. 7C, changes in expression level of these genes were 236 237 consistent with the RNA-seq results. 238 HOXC6 upregulated critical genes involved in malignant phenotype 239 To understand the mechanism underlying the function of *HOXC6*, we investigated the 240 downstream targets identified by RNA-seq. As demonstrated by GO analysis (Fig. 7D), the genes regulated by HOXC6 could be categorized into various functional groups, including 241 242 organelle fission and nuclear division in Eca109-HOXC6 cells, and angiogenesis and tRNA 243 aminoacylation for protein translation in TE10-HOXC6 cells. Functional pathway analysis (Fig. 244 7E) suggested that HOXC6 may have functions mediated by crosstalk with important signaling 245 pathways such as p53 and focal adhesion in Eca109-HOXC6 cells, and the TGF-β signaling 246 pathway and aminoacyl-tRNA biosynthesis in TE10-HOXC6 cells. These analyses indicate that 247 HOXC6 executed its function via distinct mechanisms in various cell lines. However, these 248 results failed to provide clear clues to how HOXC6 affects the malignant phenotype of cells. We then investigated the genes up-regulated in both Eca109-HOXC6 and TE10-HOXC6 cells. 249 250 Interestingly, as indicated in Table 3, there were many genes involved in the malignant 251 phenotype of various cancers. Furthermore, as demonstrated by bioinformatic analysis (Fig. 8), some of the genes up-regulated by *HOXC6* were highly expressed in ESCC. In the present study, 252 253 HOXC6 further upregulated the expression of these genes. This evidence suggests that HOXC6 254 may execute its function via activating the expression of genes involved in malignant phenotype. 255 **Discussion** 256 Tumor development is often associated with the abnormal expression of critical genes (Hanahan 257 & Weinberg 2011; Wang et al. 2013). HOXC6 belongs to the HOX gene family and encodes HD-258 containing transcription factors with the capacity to bind specific DNA sequences and regulate 259 the expression of downstream genes (Hussain et al. 2015). The aberrant expression of HOXC6 260 has been reported in many cancer types; however, the mechanisms underlying the function of 261 this gene in cancer cells have not been fully elucidated. We explored the role of HOXC6 in the 262 malignant phenotype of ESCC. Based on our results, the expression of HOXC6 was significantly



263	elevated in ESCC cells. In addition, ectopic expression of HOXC6 promoted the migration,
264	invasion, and proliferation of ESCC cells. Since these phenotypes are directly related to the
265	development and progression of cancer, HOXC6 emerges as an oncogene in ESCC. This is
266	consistent with the results of other reports focused on the function of HOXC6 in cancers other
267	than ESCC.
268	Like other HD proteins, HOXC6 may execute its effects via binding to specific sequences in the
269	genome following HOXC6 transfection. However, to our surprise, we found that HOXC6 exerted
270	its effects on the transcription patterns of cancer in a cell-context-dependent manner. HOXC6
271	modulated distinct sets of genes in different ESCC cell lines. This may result from the fact that
272	the specificity of an HD protein usually requires the formation of various complexes, and the
273	availability of other cofactors may be critical for the modulation of downstream targets (Ladam
274	& Sagerstrom 2014). Many factors have been identified as interacting partners of homeobox-
275	containing gene products. For example, these factors may interact with other members of the HD
276	protein family, chromatin remodeling factors, or other transcription factors (Ladam &
277	Sagerstrom 2014). The function of an HD protein may be influenced by both the expression level
278	and the modification status of these interacting partners.
279	To determine the mechanisms underlying the oncogenic function of <i>HOXC6</i> , we compared the
280	genes that were up-regulated in Eca109-HOXC6 and TE10-HOXC6 cells. Results showed that
281	both of these cell lines contain genes with unambiguous functions that are associated with the
282	malignant phenotype in various types of cancers. These genes included MMP14, SPARC, and
283	FN1. MMP14 is a member of the matrix metalloproteinase (MMP) family, which can degrade
284	collagen and other extracellular matrix proteins (Ulasov et al. 2014). MMP14 not only promotes
285	cell migration, invasion, and angiogenesis in nasopharyngeal carcinoma (Yan et al. 2015) and
286	pituitary adenomas (Hui et al. 2015) but also promotes the secretion of pro-MMP2 and pro-
287	MMP9 (Zarrabi et al. 2011). SPARC is a collagen-binding glycoprotein that interacts with
288	MMPs and growth factors, such as TGF- β and fibroblast growth factor (Vaz et al. 2015). SPARC
289	can enhance cell invasion, metastasis, and growth while inducing apoptosis in gastric (Yin et al.



290	2010) and ovarian (Chen et al. 2012a) cancers. FNI can induce abnormal expression of some					
291	MMPs, such as MMP9/MMP2 (Moroz et al. 2013; Qian et al. 2011) and promote proliferation,					
292	migration, and invasion in thyroid(Sponziello et al. 2016) and gastric (Zhang et al. 2017)					
293	cancers. In addition, AKAP9, SATB1, SEMA3C, SGK1, and INHBA etc have also been reported					
294	to enhance cell migration, invasion, proliferation and angiogenesis or induce apoptosis in various					
295	cancers, such as pancreatic (Chen et al. 2015; Xu et al. 2017), glioma (Hulleman et al. 2009;					
296	Man et al. 2014; Senft et al. 2011), breast (Han et al. 2008; Malik et al. 2016), lung (Seder et					
297	al. 2009b), esophageal (Seder et al. 2009a), prostate (Mao et al. 2013), and colorectal (Hu et al.					
298	2016; Lang et al. 2010; Liang et al. 2017; Yang et al. 2015; Zheng & Yu 2018), cutaneous					
299	squamous cell carcinoma(Riihila et al. 2014), liver(Seol et al. 2016), cervical(Luo et al. 2016),					
300	gastric(Yuan et al. 2013) and osteosarcoma(Tsuru et al. 2015) cancers.					
301	In conclusion, HOXC6 promoted ESCC cell migration, invasion, and proliferation, and its					
302	function may be related to the aberrant expression of genes caused by HOXC6 overexpression.					
303	HOXC6 may be a new significant biomarker for diagnosis, therapy, and prognosis. Targeted					
304	inhibition of HOXC6 may be a new strategy for the treatment of ESCC. However, the precise					
305	molecular mechanism is not completely understood, and further investigation is still needed.					
306	Conclusion					
307	HOXC6 promoted the malignant phenotypes of ESCC cells. HOXC6 could activate the					
308	expression of oncogenic genes in a cell context-dependent manner. Targeted inhibition of					
309	HOXC6 might provide a new strategy for the therapy of ESCC.					
310	Acknowledgements					
311	We offer many thanks to all colleagues for their contribution to this study.					
312	References					
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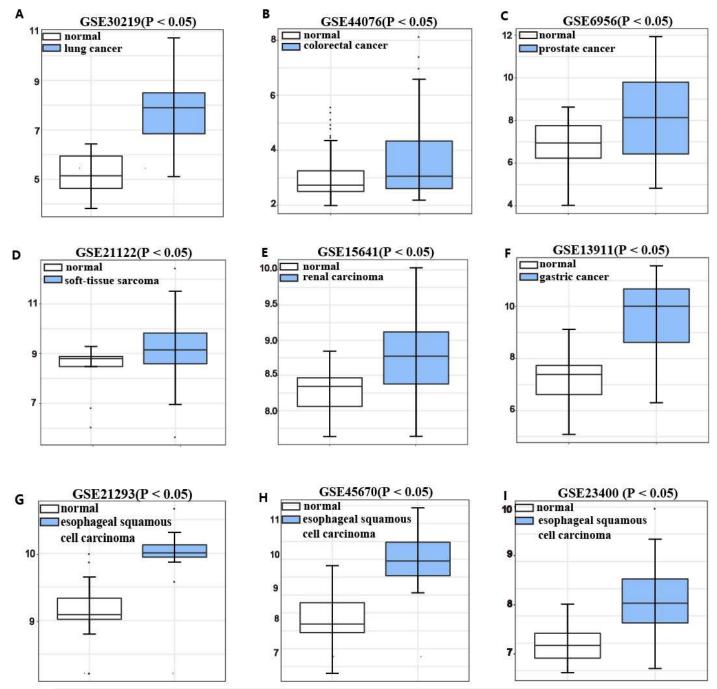


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HOXC6 is highly expressed in many cancer types.

(A-I) Bioinformatic analysis of *HOXC6* gene expression in various cancers including (A) lung cancer, (B) colorectal cancer, (C) prostate cancer, (D) soft-tissue sarcoma, (E) renal carcinoma, (F) gastric cancer and (G-I) ESCC.

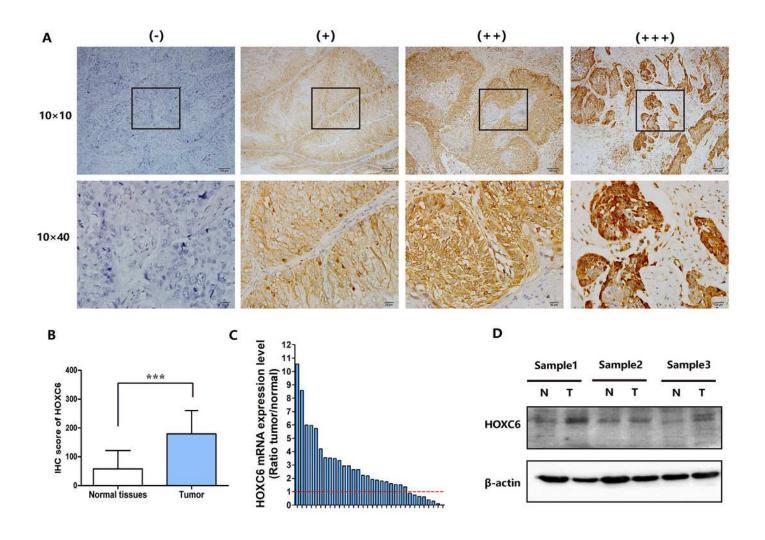


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HOXC6 was highly expressed in ESCC tumor tissues.

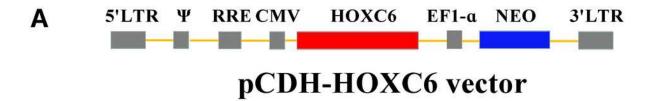
- (A) Representative images and (B) IHC score of HOXC6 from immunohistochemical analysis.
- (C) Relative *HOXC6* mRNA expression in tumor tissues compared with normal tissues as measured by qRT-PCR. (D) HOXC6 protein expression determined by western blotting. ***p <0.001.

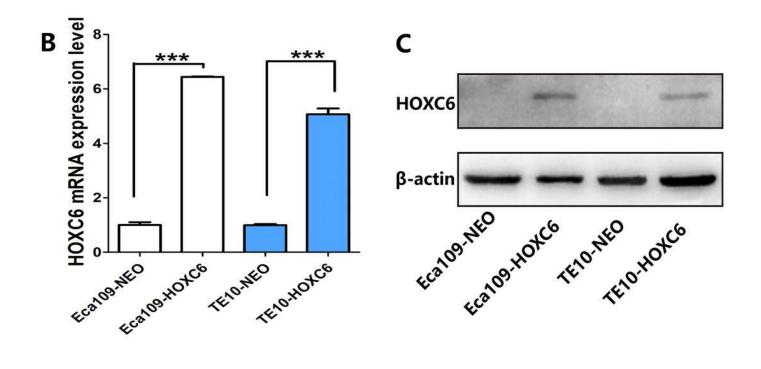




Lentiviral vector and verification of HOXC6 overexpression.

(A) The recombinant lentiviral vector pCDH-HOXC6. (B) Relative *HOXC6* mRNA expression measured by qRT-PCR and (C) HOXC6 protein expression determined by western blotting in stably infected cells. ***p <0.001.

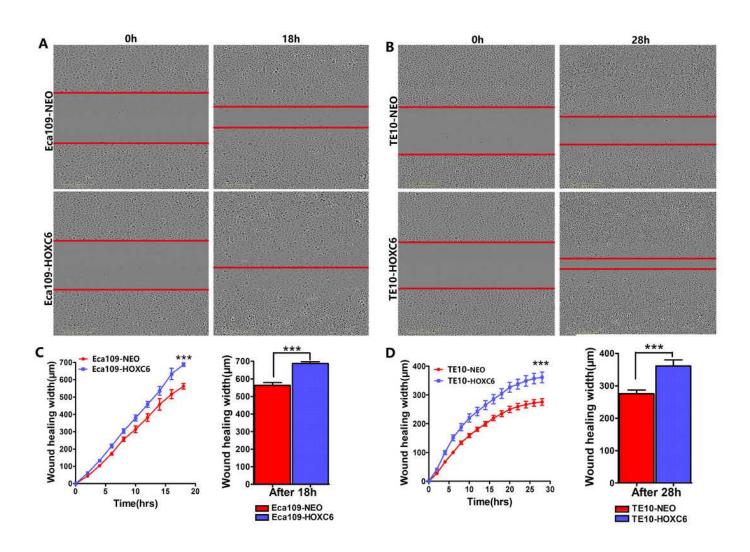






HOXC6 promoted ESCC cell migration.

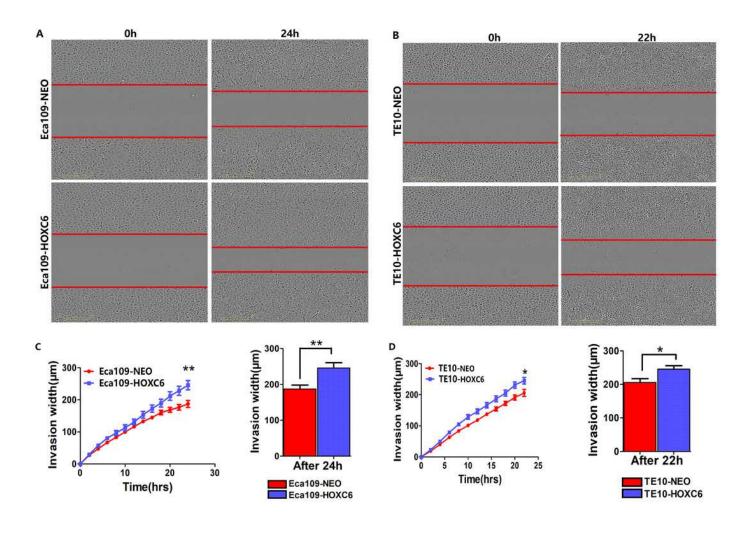
Wound healing assay was performed to observe the migration of stably infected cells. Representative images from the wound healing assay in (A) Eca109 and (B) TE10 stable cells. (C)The wound healing width of Eca109-HOXC6 was significantly wider than in the Eca109-NEO cells. (D) Similarly, the wound healing width of TE10-HOXC6 was significantly wider than in the TE10-NEO cells. These data demonstrate that HOXC6 promoted ESCC cell migration. ***p <0.001.





HOXC6 promoted ESCC cell invasion.

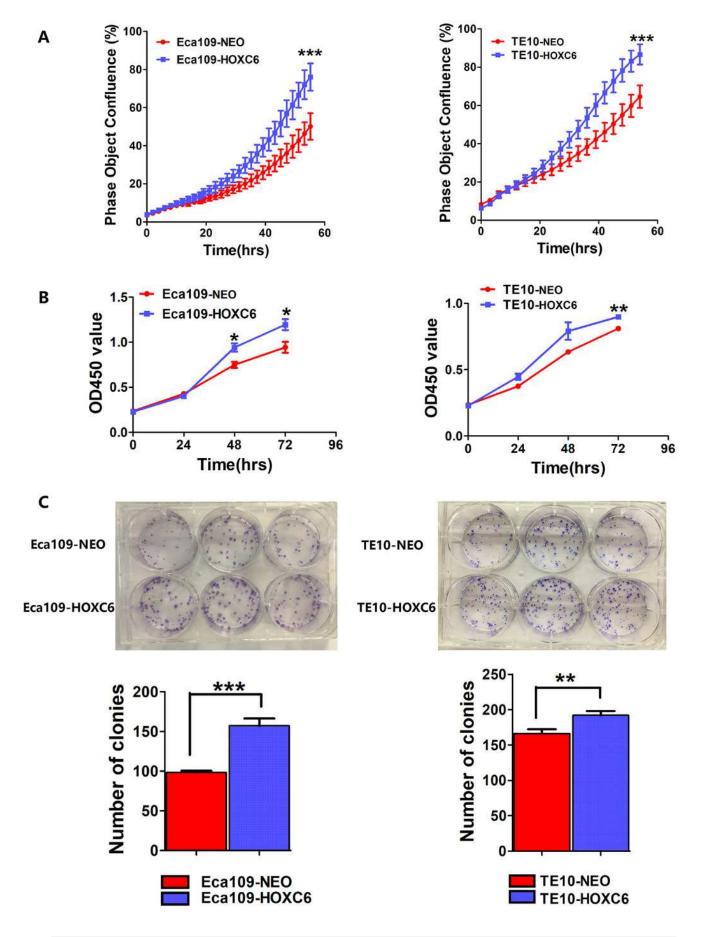
Matrigel invasion assay was used to assess cell invasion capacity. Representative images from the Matrigel invasion assay in (A) Eca109 and (B) TE10 stable cells. By calculating the invasion width, the invasion capacity of (C) Eca109-HOXC6 and (D) TE10-HOXC6 cells was greater than the controls. These data demonstrate that HOXC6 effectively promoted ESCC cell invasion. *p < 0.05; **p < 0.01.





HOXC6 promoted ESCC cell proliferation.

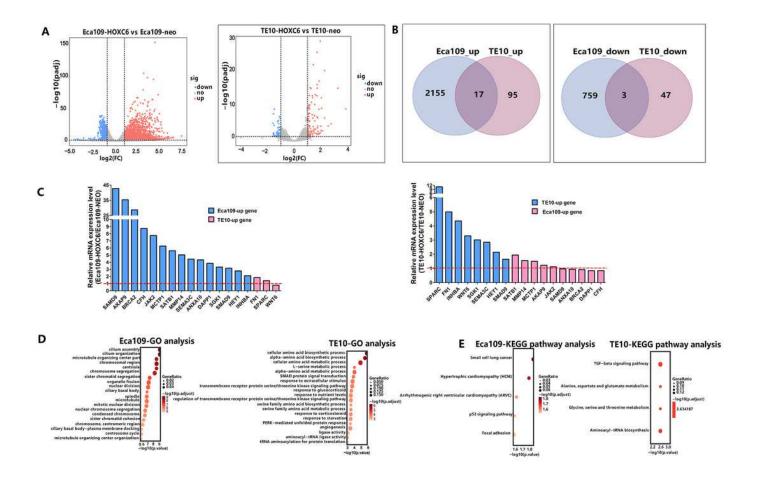
(A) Growth curves were constructed via real-time monitoring. (B) CCK8 and (C) colony formation assays were also used to observe the proliferation of stably infected cells. Overall, these data suggest that HOXC6 promoted ESCC cell proliferation. *p < 0.05; **p < 0.01; ***p < 0.001.





RNA-seq analysis of the interactive genes of *HOXC6* in Eca109 and TE10 stable cells.

(A) The volcano diagrams show the global changes in mRNA expression patterns for Eca109 and TE10 stable cells. (B) The Venn diagrams show the common up-regulated genes and down-regulated genes. (C) The relative expression of genes regulated by *HOXC6* at the mRNA level were identified by qRT-PCR. (D) GO analysis showed various functional groups of genes regulated by *HOXC6* and (E) KEGG pathway analysis showed functional pathways for Eca109 and TE10 stable cells.





Gene expression omnibus database analysis.

Many genes regulated by *HOXC6* in ESCC such as *MMP14*, *SPARC*, and *FN1* were highly expressed in ESCC.

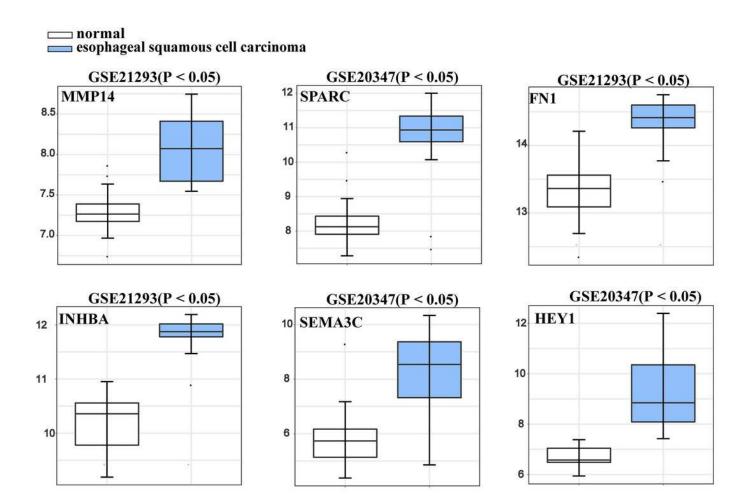




Table 1(on next page)

The primer sequences for qRT-PCR



Gene Primer sequence (5'-3')

	Forward	Reverse
HOXC6	ACAGACCTCAATCGCTCAGGA	AGGGGTAAATCTGGATACTGGC
MMP14	CGAGGTGCCCTATGCCTAC	CTCGGCAGAGTCAAAGTGG
SPARC	AGCACCCCATTGACGGGTA	GGTCACAGGTCTCGAAAAAGC
FN1	CGGTGGCTGTCAGTCAAAG	AAACCTCGGCTTCCTCCATAA
INHBA	CAACAGGACCAGGACCAAAGT	GAGAGCAACAGTTCACTCCTC
SEMA3C	TAACCAAGAGGAATGCGGTCA	TGCTCCTGTTATTGTCAGTCAGT
HEY1	ATCTGCTAAGCTAGAAAAAGCCG	GTGCGCGTCAAAGTAACCT
SGK1	GCAGAAGAAGTGTTCTATGCAGT	CCGCTCCGACATAATATGCTT
SMAD9	CTGTGCTCGTGCCAAGACA	TGGAAAGAGTCAGGATAGGTGG
WNT6	GGTGCGAGAGTGCCAGTTC	CGTCTCCCGAATGTCCTGTT
BRCA2	CACCCACCCTTAGTTCTACTGT	CCAATGTGGTCTTTGCAGCTAT
SAMD9	GCAACCATCCATAGACCTGAC	AATAGTGCCATTGGTACGTGAAT
AKAP9	CACGGCATAAGGGAGAAATGG	GCTGTCTCTGTAGAGCACACT
SATB1	CCAGGTTGGAAAGTGGAATCC	GGGGCAACTGTGTAACTGAAT
CFH	GTGAAGTGTTTACCAGTGACAGC	AACCGTACTGCTTGTCCAAAA
JAK2	TCTGGGGAGTATGTTGCAGAA	AGACATGGTTGGGTGGATACC
MCTP1	AGTTTACGCCTATCAGACCTACA	GATCGCTCAACCCGTTGGAAT
ANXA10	GCTGGCCTCATGTACCCAC	CAAGCAGTAGGCTTCTCGC
DAPP1	CAGCCTTTGATTGGAAGCGAG	TGTGAACCCGGACAGATTCAT
GAPDH	CTCTGACTTCAACAGCGACACC	CTGTTGCTGTAGCCAAATTCGTT



Table 2(on next page)

The primer sequences for PCR amplification



Gene fragment	Primer sequence (5'-3')		
PCDH-CMV-MCS-EF1	Forward	GCGTGGATATCTAAGTCGACAATCAACCTCTGG	
	Reverse	AATATCTCGAGGGTGGCGTCTAGCGTAGGCG	
genemycin	Forward	GTCTACTCGAGGCCACCATGATTGAACAAGATGGATTGCAC	
resistance gene			
	Reverse	GCTATGATATCTCAGAAGAACTCGTCAAGAAGGC	
HOXC6	Forward	CCCGGTCTAGAGCCACCATGAATTCCTACTTCACTAACCCTTCC	
	Reverse	TTGGCGGATCCTCACTCTTTCTGCTTCTCCTCTTC	



Table 3(on next page)

List of genes regulated by HOXC6 in Eca109 and TE10 stable cells



Gene		Description of function	Cancer type	Reference	
Eca109-up MMP14		promote cell migration and invasion	nasopharyngeal carcinoma	(Yan et al. 2015)	
		promote tumor invasion and angiogenesis	pituitary adenomas	(Hui et al. 2015)	
	SATB1	promote cell growth and invasion	pancreatic cancer	(Chen et al. 2015)	
		promote cell growth and invasion	prostate cancer	(Mao et al. 2013)	
		promote cell invasion and metastasis	breast cancer	(Han et al. 2008)	
	AKAP9	promote proliferation, migration and invasion	colorectal cancer	(Yang et al. 2015)	
		promote proliferation, migration and invasion	colorectal cancer	(Hu et al. 2016)	
	CFH	promote proliferation and migration	cutaneous squamous cell carcinoma	(Riihila et al. 2014)	
		promote tumorsphere formation	liver cancer	(Seol et al. 2016)	
	JAK2	JAK2 inhibition prevents cell migration and invasion	glioblastoma	(Senft et al. 2011)	
		JAK2 inhibition suppresses cell migration, invasion and proliferation	cervical cancer	(Luo et al. 2016)	
TE10-up	SPARC	promote cell invasion and growth	gastric cancer	(Yin et al. 2010)	



	promote cell proliferation,		
	invasion and metastasis, induced	ovarian cancer	(Chen et al. 2012a)
	cell apoptosis		
FN1	promote proliferation, migration	thyroid cancer	(Sponziello et al. 2016)
	and invasion		
	promote proliferation, migration		(Zhang et al. 2017)
	and invasion	gastric cancer	
WNT6	promote proliferation, cell cycle		
	and migration, but inhibit cell	colon cancer	(Zheng & Yu 2018)
	apoptosis		
	inhibit cell apoptosis	gastric cancer	(Yuan et al. 2013)
Common-up SEMA3C	promote tumor growth and	pancreatic ductal	(37 + 1 2017)
	metastasis	adenocarcinoma	(Xu et al. 2017)
	promote the survival and		
	tumorigenicity	glioma	(Man et al. 2014)
	of glioma stem cells		
	promote adhesion, invasion and	breast cancer	(Malik et al. 2016)
	proliferation		
INHBA	11 110 7	lung adenocarcinoma	(Seder et al. 2009b)
	promote cell proliferation		
	promote cell proliferation	esophageal	(Seder et al. 2009a)
	promote cen promeration	adenocarcinoma	(Seder et al. 2009a)
SGK1	promote cell proliferation and	colorectal cancer	(Liang et al. 2017)
	migration	colorectal calleer	
	promote cell proliferation	colorectal cancer	(Lang et al. 2010)
HEY1	promote cell invasion and	osteosarcoma	(Tsuru et al. 2015)
-	-		



metastasis

	promote proliferation	glioblastoma	(Hulleman et al. 2009)
1			
2			