

- 1 Attribution of non-ClinicalTrials.gov registries among WHO International Clinical Trials
- 2 Registry Platform-registered trials from 2014 to 2018: A protocol for a meta-epidemiological
- 3 study
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23	ABSTRACT
24	Background. The attribution of non-ClinicalTrials.gov registries among registered trials of the
25	World Health Organization (WHO) International Clinical Trials Registry Platform (ICTRP) had
26	increased until 2013. However, the attribution after 2013 is unknown. Moreover, no study has
27	investigated the usage of non-ClinicalTrials.gov registries after 2015 or compared the
28	characteristics of trials under non-ClinicalTrials.gov and ClinicalTrials.gov registries.
29	Methods. This will be a meta-epidemiological study. It will include all trials registered on the
30	ICTRP from January 1, 2014, to December 31, 2018. First, we will describe the total attribution
31	of non-ClinicalTrials.gov registries among the ICTRP-registered trials for each year and each
32	registry worldwide. Second, we will compare the recruitment status, target sample size, study
33	type, study design, countries, prospective registration, funding, and study phase of the trials on
34	ClinicalTrials.gov and other registries from 2014 to 2018. Third, we will report on the
35	distribution of primary registries of trials from the top five countries in order of the quantity of
36	registered trials on the ICTRP.
37	Ethics & Dissemination. Ethics approval is not required for this study. This protocol has been
38	registered in the University Hospital Medical Information Network Clinical Trials Registry
39	(UMIN-CTR). The findings will be published in a peer-reviewed journal and may be presented at
40	conferences.
41	Trial Registration Number. UMIN000034401
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Introduction

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45 It is important to register clinical trials in order to avoid waste from inaccessibility of 46 information on study methods and reduced publication bias, both of which may affect patient 47 care and research (Chalmers & Glasziou, 2009; Chan et al., 2014). Over a decade, the World 48 Health Organization (WHO) International Clinical Trials Registry Platform (ICTRP) has 49 developed as a registry and collected data of trials in national and regional registries all over the 50 world since 2005 (Gulmezoglu et al., 2005; WHO International Clinical Trials Registry Platform 51 (ICTRP), 2018). ClinicalTrials.gov was the largest of the 16 registries that supplied data to the 52 ICTRP until 2013 (Viergever & Li, 2015) and had 119,840 records of drug trials before July, 53 2015 (Zwierzyna et al., 2018). 54 Attribution of non-ClinicalTrials.gov registries among ICTRP-registered trials had increased 55 from 30% to 50% between 2005 and 2013 (Viergever & Li, 2015). It might have been because of 56 the small annual growth rate of medical research funding in the USA from 2004 to 2011 as 57 compared to the global annual growth rate as the non-USA share of global medical research 58 funding increased from 43% to 56% between 2004 and 2012 (Moses et al., 2015). The attribution 59 of non-ClinicalTrials.gov registries among ICTRP-registered trials is expected to further increase 60 because another study (Zwierzyna et al., 2018) has reported a recent decrease in attribution of 61 trials registered in the USA on ClinicalTrials.gov, which might be derived from a shift in which 62 are officially the largest countries in terms of the number of registered trials for a decade 63 (ClinicalTrials.gov is under the control of the USA). However, the current status of the 64 attribution of non-ClinicalTrials.gov registries among ICTRP-registered trials is unknown.



66	We hypothesize that the attribution of non-ClinicalTrials.gov registries among ICTRP-
67	registered trials from 2014 to 2018 is higher than it previously was (from 2004 to 2013). This
68	study will examine the attribution of non-ClinicalTrials.gov registries among ICTRP-registered
69	trials from 2014 to 2018.
70	
71	Study Objectives
72	The primary purpose of this study is to investigate the total attribution of worldwide non-
73	ClinicalTrials.gov registries among ICTRP-registered trials each year. The secondary objectives
74	are to a) compare the characteristics of registered clinical trials on ClinicalTrials.gov and other
75	registries among the ICTRP from 2014 to 2018 and b) describe the distribution of primary
76	registries of trials from the top five countries, in order of the quantity of registered trials on the
77	ICTRP.
78	
79	Materials & Methods
80	
81	Types of Studies to be Included
82	All clinical trials registered on the ICTRP from January 1, 2014, to December 31, 2018, will
83	be included in the data set. Observational studies that incorporate "study type" in the data set will
84	be excluded.
85	
86	Search Methods
87	A search of the ICTRP will be conducted on February 1, 2019, for all trials registered from
88	January 1, 2014, to December 31, 2018.

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90	About the Data Set
91	This study will use data downloaded from the ICTRP data set available on the server of the
92	WHO. The following fields will be extracted: TrialID, Primary_sponsor, Date_registration,
93	Date_registration3, Source_Register, Recruitment_Status, Date_enrollement, Target_size,
94	Study_type, Study_design, Phase, Countries, Source_Support, and Retrospective_flag.
95	
96	Data Analysis
97	First, the total attribution of non-ClinicalTrials.gov registries among ICTRP-registered trials
98	for each year from 2014 to 2018 will be described. We will calculate the total attribution of non-
99	ClinicalTrials.gov registries among the ICTRP-registered trials, dividing the number of
100	registered clinical trials in non-ClinicalTrials.gov registries by the number of total registered
101	clinical trials on the ICTRP. The Cochran-Armitage test will be performed to examine attribution
102	trends.
103	Second, the attribution of each non-ClinicalTrials.gov registry among the ICTRP-registered
104	trials for each year from 2014 to 2018 will be described. This will be carried out in the same
105	manner as described above.
106	Third, the study will report on the recruitment status, target sample size, study type, study
107	design, countries, prospective registration (Yes, No), funding (Yes, No), and study phase of the
108	trials on ClinicalTrials.gov and other registries between 2014 and 2018. We will record a "Yes"
109	for prospective registration if retrospective_flag is found to be "Yes," and a "No" if
110	retrospective flag is found to be "No" or unclear. We will record a "Yes" for funding if



111 source support has any description of the funders, and a "No" if source support has no 112 descriptions of any funders (for example, empty, none, no funder, and so on). 113 Fourth, the distribution of primary registries of trials from the top five countries, in order of 114 the quantity of registered trials on the ICTRP, will be reported on. 115 116 Ethics & Dissemination 117 Since this will be a meta-epidemiological study, an ethics approval is not required. The 118 protocol used has been registered with the University Hospital Medical Information Network 119 Clinical Trials Registry (UMIN-CTR) (Trial registration number: UMIN000034401). The 120 planned completion date of the present study is December 31, 2019. The findings will be 121 published in a peer-reviewed journal and may be presented at conferences. 122 123 **Discussion** 124 125 Strengths 126 To conduct a systematic review, authors are encouraged to search ongoing and unpublished 127 studies that are registered on the ICTRP (Higgins & Green, 2011). However, a previous study has 128 reported that only 40% and 24% of authors searched ClinicalTrials.gov and the ICTRP, 129 respectively (Baudard et al., 2017). We hypothesize that more non-ClinicalTrials.gov registries 130 have been attributed to the ICTRP since 2014. The results are expected to prove that a mere 131 search on ClinicalTrials.gov is not sufficient, and to highlight the importance of searching the 132 ICTRP to identify ongoing and unpublished studies. Moreover, this study will compare the 133 characteristics of registered clinical trials on Clinical Trials.gov and other registries. The results 6



of the third analysis will suggest improvements for the registries. For example, many studies have pointed out a considerable number of retrospective registrations that may cause bias in estimation of treatment effect (Huic, Marusic & Marusic, 2011; Viergever et al., 2014; Scott, Rucklidge & Mulder, 2015; Viergever & Li, 2015; Harriman & Patel, 2016; Zarin et al., 2017). We will show and compare the proportion of retrospective registrations across the registries on the ICTRP. This may highlight implications for further research and help improve the registries. Furthermore, this study will mention the registries and countries that researchers should preferentially investigate, reflecting the top five countries in order of the quantity of registered trials on the ICTRP.

Limitations

The applicability of this study will be limited because the data include only clinical trials registered on the ICTRP. The registry has a representative data set of clinical trials (WHO International Clinical Trials Registry Platform (ICTRP), 2018). However, other, possibly low-quality, registered data of clinical trials may be excluded. For example, trials registered in the South African National Clinical Trials Register (SANCTR) will be excluded (WHO International Clinical Trials Registry Platform (ICTRP), 2018). It is expected that the exclusion of the SANCTR will have an insignificant impact on the overall results. All countries in Africa that join in the African Vaccine Regulatory Forum have agreed to regard the Pan African Clinical Trials Registry (PACTR), which supplies data to the ICTRP, as their primary registry (WHO International Clinical Trials Registry Platform (ICTRP), 2018).

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161	All authors (MB, YT, and YK) have contributed to the conception and design of the research.
162	MB was solely responsible for writing the protocol. All authors gave their final approval of the
163	protocol before submission. It has been planned that after the publication of the protocol, MB,
164	YT, and YK will screen the relevant records of the ICTRP, extract data, conduct the data analysis
165	without being blind to the data, and write the manuscript.
166	
167	Declaration of Competing Interests
168	Masahiro Banno has received speaker honoraria from Dainippon Sumitomo and travel fees
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170	declare.
171	
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