# Diffuse light weakens the dorsoventral asymmetry of photosynthesis in sorghum leaves

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Dorsoventral asymmetry of photosynthesis is important for light use patterns, but the asymmetry changes with environments. Photosynthetic asymmetry was have been intensively studied for their role in light use efficiency, but less is know about the impact of light properties on asymmetry. Aimed at the current changing fraction of diffuse light in sky radiation, this study investigated dorsoventral asymmetry of photosynthetic traits in direct, diffuse and self-transmitted light (residual light intercepted by one surface) respectively in an important food and energy crop, Sorghum bicolor L. An unique designed method was used to investigate the specific gas exchange of two surfaces in different light properties. We found that anatomical and morphological traits were obviously different in sorghum leaves, which might cause the photosynthetic asymmetry, the variation of photosynthetic rates and stomatal conductance was significant between two surfaces in direct and self-transmitted light, but insignificant in diffuse light. The abaxial stomatal sensitivity of single stoma was lower, while the higher stomatal density in abaxial surface compensated the weakness in stomatal sensitivity. Comparing to direct and selftransmitted light, diffuse light reduced the stomatal sensitivity, while the degree of decline was higher in adaxial surface, which caused weak dorsoventral asymmetry in photosynthesis. Taken together, the finding of this study suggested that stomatal sensitivity determined the degree of dorsoventral asymmetry in the susceptibility to light properties. The different stomatal sensitivity regulated photosynthetic variation, which was benefit to remain high photosynthetic rate in high and changing light. The findings provided new insight in to dorsoventral asymmetry and impact of diffuse light on photosynthesis in isobilateral leaves.

#### **Author Cover Page:**

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#### Abstract:

15 The dorsoventral asymmetry of photosynthesis is important for light-use patterns, but the asymmetry is environment dependent. Its role in photosynthetic regulation has been intensively 16 studied, but little is known about the impacts of direct and diffuse light on the asymmetry. Because 17 of the current changing fraction of diffuse light in sky radiation, this study investigated the 18 dorsoventral asymmetry of photosynthetic traits under direct and diffuse light conditions in an 19 important food and energy crop, Sorghum bicolor L. A unique method was used to investigate the 20 21 specific gas exchange of each leaf surface. Anatomical and morphological traits were different 22 between the two surfaces of sorghum leaves, which might result in photosynthetic asymmetry. The variations in photosynthetic rates and stomatal conductance were significant between the two 23 surfaces both in direct and diffuse light, but the degree of dorsoventral asymmetry decreased in 24 diffuse light. The abaxial stomatal sensitivity levels of single stoma were lower, while the greater 25 stomatal density in the abaxial surface compensated for the weakness in stomatal sensitivity. 26 27 Compared with direct light, diffuse light reduced the stomatal sensitivity, with the degree of 28 decline being greater in the adaxial surface, which caused weak dorsoventral asymmetry in photosynthesis. Thus, stomatal sensitivity may determine the degree of dorsoventral asymmetry 29 30 produced by direct and diffuse light. The different stomatal sensitivity levels regulated photosynthetic variation, which helped retain a high photosynthetic rate under high and changing 31 32 light conditions. The findings provide new insights into dorsoventral asymmetry and the impact of diffuse light on photosynthesis in isobilateral leaves. 33

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#### 35 Introduction

36 The solar radiation reaching leaf surfaces is the primary driver of leaf photosynthesis (Mercado et al. 2009; Strada & Unger 2016). Alterations in sky conditions influence the properties 37 of the incidental light illuminating plants (Cheng et al. 2015; Mercado et al. 2009). Under clear 38 39 sky conditions, sunlight arrives in beams at the earth's surface and leaves are illuminated from a 40 single direction(Urban et al. 2012; Williams et al. 2014), while under cloudy sky conditions, nearly all of the incoming light is diffuse, with clouds, haze or fog scattering the light before it reaches 41 42 the plants (Brodersen et al. 2008). As the variations in atmospheric aerosols increase, more diffuse light reaches the earth's surface (Brient & Bony 2013; Mercado et al. 2009; Oliveira et al. 2011) 43 44 as a result of an increasing diffuse light index (the fraction of diffuse light in total radiation, DI) in 45 sky radiation. Does the increase in atmospheric aerosol change the photosynthetic traits of plants? This might directly depend on the photosynthetic responses of leaf light-use patterns to direct and 46 47 diffuse light. Under field conditions, direct light illuminates the adaxial surfaces, while the abaxial surfaces receive diffuse light. Thus, the plant must acclimate, by altering structures and 48 49 photosynthetic functions, to different light conditions. Photosynthetic asymmetry, the 50 photosynthetic variation between the different surfaces, plays important roles in determining the 51 leaf light-use efficiency of two surfaces and adaptation to field light conditions. In general, leaves 52 of C<sub>3</sub> dicots show dorsoventral asymmetry in the palisade mesophyll tissue on the adaxial side and 53 spongy mesophyll tissue on the abaxial side. These leaves are so-called bifacial leaves, and their 54 photosynthetic structures and functions have been intensively studied (Terashima & Saeki 1983; 55 Vogelmann 1993), revealing internal gradients in light intensity and photosynthetic activity within 56 leaves (Gorton et al. 2010; Vogelmann & Björn 1984). In bifacial leaves, the structures and

functions of the palisade tissue in the adaxial side and spongy tissue in the abaxial side are different (Gorton et al. 2010; Smith et al. 1997). In most terrestrial bifacial plants, more stomata are distributed on abaxial surfaces. When leaves were illuminated on the adaxial surfaces, the photosynthetic capacity was 30%–40% greater than that of the abaxial surfaces(Long et al. 1989). Thus, the photosynthetic activity levels on both sides of the bifacial leaves acclimated to light environments through specialization and cooperation.

63 In contrast to the dorsoventrally asymmetrical leaves of  $C_3$  dicots, some  $C_4$ monocotyledonous species have symmetrical leaves. Moss (1964) showed the leaves of maize and 64 65 sugarcane exhibit no differentiation of palisade and spongy mesophyll tissues and both surfaces have similar photosynthetic responses to illumination. Therefore, the leaves were considered as 66 having functional symmetry (Moss 1964). However, different photosynthetic responses to adaxial 67 and abaxial illumination have been found in some C4 monocotyledonous species (Driscoll et al. 68 2006; Long et al. 1989; Smith 2008; Soares-Cordeiro et al. 2011; Soares-Cordeiro et al. 2009). 69 The isobilateral leaves have an obvious asymmetry in photosynthetic functions. Soares et al. (2008, 70 71 2009) reported that abaxial contributions to leaf integrated photosynthesis are greater than adaxial 72 contributions, independent of whether the adaxial or abaxial surface was illuminated with the same 73 light intensity, owing to the greater number of stomata on the abaxial surfaces (Soares-Cordeiro et al. 2009; Soares et al. 2008). A reason for differences in the net photosynthetic rates  $(P_n)$  of the 74 two sides of isobilateral leaves is the greater stomatal density of the abaxial surfaces (Jiang et al. 75 76 2011; Soares et al. 2008). Variations in photochemical activities involving light capture, reaction center activities, and electron transfer rates could also result in photosynthetic asymmetry between 77 78 two surfaces, but related reports are limited.

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Plants can use diffuse light more efficiently than direct light on the scales of an individual

80 leaf, stand, canopy or crown, and biome(Gu et al. 2003; Knohl & Baldocchi 2008; Mercado et al. 2009; Urban et al. 2012; Williams et al. 2014). Simulation models and eddy covariance methods 81 revealed that the gross primary productivity of a canopy or individual plant tended to be greater 82 83 under cloudy sky conditions, owing to the high proportion of diffuse light in the total irradiance (Mercado et al. 2009; Urban et al. 2012; Williams et al. 2014). A main possible mechanism 84 85 whereby diffuse light stimulates the photosynthesis of individual leaves involves sufficient stomatal openness and high stomatal conductance, which are caused by light properties and 86 thermal effects (Hughes et al. 2015; Li & Yang 2015; Reinhardt & Smith 2008; Reinhardt et al. 87 88 2010; Urban et al. 2012). Furthermore, Wang et al. (2008) reported that the response patterns of stomata were different under direct and diffuse lighting in leaves of *Helianthus annuus L* (Wang 89 90 et al. 2008). Stomatal behavior plays dominant roles in photosynthetic variations when the non-91 stomatal limitation has not been met. Brodersen et al. (2008) reported that high light-grown leaves of *H. annuus*  $(C_3)$  and *Amaranthus retroflexus*  $(C_4)$  might be adapted to the greater efficiency of 92 direct light compared with diffuse light, while shade-leaf structures did not appear to discriminate 93 light properties based on their directionality (Brodersen et al. 2008). Light that reaches deep into 94 95 the leaf can arrive at abaxial surfaces, forming up to 10% – 20% of the incidental light intensity 96 (Earles et al. 2017). Self-transmitted light results in a large proportion of whole-leaf photosynthesis. The sensitivity of abaxial stomata to light is greater than that of adaxial stomata, 97 and the abaxial stomata might be more sensitive to the self-transmitted light than to direct light in 98 99 sun-grown leaves of *H. annuus L* (Wang et al. 2008). Thus, the light properties could change stomatal behaviors, resulting in changes in the photosynthetic levels of the two leaf surfaces. 100

Sorghum (Sorghum bicolor L.) is an important food and energy crop that is expected to
alleviate food and energy insecurity. Therefore, it has attracted worldwide attention. The leaves of

103  $C_4$  monocotyledonous species are divided into two separate compartments by compact mesophyll tissue (Long et al. 1989; Morison & Lawson 2007; Smith 2008; Soares-Cordeiro et al. 2009), 104 making these species appropriate materials for studying physiological traits in specific surfaces. 105 Sorghum leaves contain two separate compartments (Jiang et al. 2011), and the microscopic 106 morphology of the sorghum used in this study showed physical restrictions in the dorsoventral 107 108 airspace (Fig. 1). Moreover, as a  $C_4$  plant, the  $CO_2$ -fixation efficiency is greater than that of  $C_3$ plants, which causes a stronger correlation between the photosynthetic rate and intercellular CO<sub>2</sub> 109 110 concentration.

111 Here, we investigated the dorsoventral asymmetry of photosynthesis and anatomy in sorghum leaves, and the variations of stomatal behavior under direct, diffuse and self-transmitted light 112 113 conditions. The objectives of our study were to reexamine the photosynthetic and anatomical dorsoventral asymmetry between adaxial and abaxial surfaces, and analyze the impacts of direct 114 and diffuse light on photosynthetic asymmetry. We attempt to explain how the isobilateral leaves 115 116 regulate photosynthesis under diverse direct and diffuse light conditions and tested the following hypotheses: (i) photosynthetic asymmetry is caused by different structures and light properties; 117 and (ii) diffuse light weakens photosynthetic asymmetry by altering stomatal behavior. The 118 119 findings are beneficial to understanding the responses of adaxial and abaxial surfaces of isobilateral leaves to direct and diffuse light conditions, and the photosynthetic regulation in 120 121 amphistomatic leaves. Therefore, this study may clarify the impact of increasingly diffuse light on 122 crop yields in the future.

123 Materials and Methods

#### 124 Field sites and plant material

Experiments were carried out at an experiment field in Beijing (115.7°E–117.4°E, 39.4°N–
41.6°N) from June to August in 2016. Beijing is located in a northern temperate zone with a semi-

humid, continental and monsoon climate. Sorghum was selected for investigation. The seeds were imbibed on wet paper for 1 d, and germinated seeds were sown in containers ( $30 \text{ cm} \times 2 \text{ 0cm} \times 10$ cm) filled with vermiculite. Plants were watered every 2 d. Two weeks later, 4-leaf seedlings were transplanted into plastic pots (15 cm in diameter, 20 cm in height) containing Hoagland's nutrient solution and grown in a site having simple rain shelters in the field. The nutrient solution was renewed every 3 d. The seedlings were used for gas-exchange measurements after 20 d.

#### 133 Measurement of leaf optical properties

Leaf reflectance and transmittance on each surface of the sorghum leaves were measured 134 135 using a bifurcated fiber optic cable and a leaf clip (PP Systems, USA). Leaves were attached and used for gas-exchange measurements across the spectrum of 310–1100 nm at 1-nm intervals. To 136 137 calculate reflectance, leaf spectral radiance was divided by the radiance of a 99% reflective white reference standard (Spectralon, Labsphere, North Dutton, NH, USA) (Gamon & Surfus 1999). 138 Leaf transmittance was measured using two straight-fiber optics and a custom-made device. One 139 straight-fiber optic was used to irradiate the leaf from the adaxial side, and the other was used as a 140 detector on the leaf abaxial side. Absorptance = 1 - reflectance - transmittance. 141

#### 142 Measurement of light properties

The waveform (over the wavelength of 400–800 nm) of the light source was measured using a fiber optic spectrometer equipped with a cosine corrector (AvaSpec-ULS 2048XL, Avantes, Netherland). Light intensity could be calculated from the waveform through the trapezoidal integration of the spectral curve (Wang et al. 2016). The *DI* over the wavelength range of 400 to 800 nm was measured using the same fiber optic spectrometer. In addition, a diffuser panel (Fotodiox Inc., USA) was used to intercept direct light during *DI* measurement. The intensities of direct and diffuse light used in the experiments were measured using a light sensor.

#### 150 Measurement of gas exchange

151 Photosynthetic gas exchange rates were measured using an infrared gas analyzer (Li-6400, Li-Cor, Lincoln, NE, USA) equipped with a custom-made LED light source. The light source 152 could provide direct light with an intensity range from 0  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> to 1800  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> by 153 154 setting the voltage. The spectral compositions of sunlight and the LED we used are shown in 155 Appendix A. A scatter plate (EVONIK Degussa, Germany), which possessed a transmissivity of over 85% was used to convert the direct light to diffuse light (See Appendix B). The spectral 156 component of the LED light source was set according to the solar radiation provided during a 157 158 normal sorghum growth season. To separate the stomatal sensitivities and gas exchange rates of two surfaces, a well-designed method was used. A transparent film (TF) that passed our optical 159 160 testing was used to block the flux between the leaf surface and chamber in a gas-exchange analyzer 161 system (LI-6400), allowing the gas exchange rates of the opposite surfaces to be measured (Fig. 2). Preliminary experiments showed that the TF had no obvious effects on the light intensity from 162 the LED source (Appendix A) or the absorbance rates (310–1,100 nm) of leaves (Appendix C). 163 Thus, the integrated and specific gas exchange rates of a leaf in direct, diffuse and self-transmitted 164 light were measured, as follows: 165

(i) Adaxial-specific gas exchange rates in direct light and diffuse light: the TF was placed
between the lower chamber and the abaxial surface, and direct and diffuse light were illuminated
on the adaxial surface;

(ii) Abaxial-specific gas exchange in direct light and diffuse light: the TF was placed between
the upper chamber and the adaxial surface, and direct and diffuse light were illuminated on the
abaxial surface;

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(iii) Adaxial-specific gas exchange in self-transmitted light: the TF was placed between the

173 lower chamber and the abaxial surface, and direct and diffuse light were illuminated on the abaxial174 surface;

(iv) Abaxial-specific gas exchange in self-transmitted light: the TF was placed between the
upper chamber and the adaxial surface, and direct and diffuse light were illuminated on the adaxial
surface; and

(v) Gas exchange of two leaf surfaces (integrated gas exchange) in direct and diffuse light:
direct and diffuse light were illuminated independently on the adaxial and abaxial surface without
the TF.

181 In total, 24 plants were selected for the studies. The plants were measured in batches for either integrated or specific measurements. All measurements were performed on the youngest fully-182 expanded leaves. Measurements were conducted from 9:00 to 12:00 in a leaf chamber set at 30°C, 183 with 45%–50% relative humidity, an ambient  $CO_2$  concentration of 380 µmol mol<sup>-1</sup> and an 184 irradiance of 1,000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. The ratios between the intercellular CO<sub>2</sub> concentration (C<sub>i</sub>) and 185 atmospheric ( $C_a$ ) CO<sub>2</sub> concentration ( $C_i/C_a$  ratios) were presented as pooled data obtained in 186 separate experiments. The light-response curves for the stomatal conductance of adaxial and 187 abaxial surfaces in sorghum leaves were investigated under direct, diffuse and self-transmitted 188 189 light, with the light intensity gradient of 1,200, 1,000, 800, 600, 400, 300, 250, 200, 150, 100, 50, 20 and 0  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. When the leaf was acclimated in setting light for 30 min, the CO<sub>2</sub> supply 190 191 in the chamber was regulated by a  $CO_2$  supply system equipped with an LI-6400 to maintain the 192  $C_i$  near 310 µL L<sup>-1</sup>. The values were logged when  $P_n$  and stomatal conductance ( $G_s$ ) reached a steady state and  $C_i$  reached 310 µL L<sup>-1</sup>. The light response curves of  $G_s$  were obtained from the 193 194 photosynthetic photon flux densities (PPFDs) of direct, diffuse and self-transmitted light versus 195  $G_s$ . The apparent quantum yield (AOY) was the initial slope of the regression curve of PPFD versus

#### 196 *P<sub>n</sub>*.

#### 197 Stomatal densities (SDs) and sizes on leaf surfaces

The SD was determined according to the method "leaf imprints" <sup>21,</sup>(Coupe et al. 2006). Once 198 the leaves became fully expanded, a widely-used nail polish (the main constituents were 199 200 nitrocellulose and ethyl acetate) was applied to dental imprints to obtain a replica of the leaf 201 surfaces. The replicas were observed under a light microscope (Olympus BH-2; Olympus Optical 202 Co. Ltd, Tokyo, Japan), and a digital camera was used to photograph the replicas. Samples were 203 taken from the same area of the leaves that were used for the gas-exchange measurements. The 204 numbers of stomata for each microphotograph were counted to calculate the SDs. We measured the long axis of each stoma to determine the size because the short axes of stomata change 205 206 depending on the degree of opening. In total, 6 microphotographs from 10 marked leaves of 10 207 individual plants per treatment were selected for measurement.

#### 208 Determination of stomatal sensitivity

The stomatal conductance increased as the light intensity increased. Stomatal conductance had a strong linear correlation with light intensity at low-light intensities (< 200  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>). The regression line of stomatal conductance (y) versus light intensity (x) was:

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$$y=\beta_1 x + b$$
,

where  $\beta_1$  represents the initial slope of the regression line at low-light intensities, and *b* represents the stomatal conductance of leaves in the dark. The value of  $\beta_1$  could reflect the stomatal insensitivity to light intensity (Wang et al. 2008). However, the *SD* might be different between adaxial and abaxial surfaces. Thus, we used  $\beta_2$  to investigate the unit of stomatal insensitivity to light:

$$\beta_2 = \beta_1 / SD_2$$

#### 219 Transverse semi-thin leaf sections for optical microscopy

Leaf sections  $(2 \times 2 \text{ mm})$  were immersed in a fixative consisting of 1% (v/v) parformaldehyde and 3% (v/v) glutaraldehyde in a 0.1-mM sodium phosphate buffer. The sections were then washed, post-fixated and dehydrated (Soares-Cordeiro et al. 2009). Samples were embedded in Spur resin. Transverse semi-thin leaf sections (1 µm) were observed using a light microscope (Nikon-E800, Scientific Imaging Inc, USA).

The area of the sub-stomatal cavity (ASC) and the contact area between the bundle sheath and 225 mesophyll cells (CABM) in transverse semi-thin leaf sections (Soares-Cordeiro et al. 2009; Wu et 226 227 al. 2014) were determined. CABM was calculated according to the following specific steps: (i) assuming that mesophyll cells were spheroids, in accordance with Thain (Thain 1983), the total 228 229 cell surface area per unit volume of tissue is equal to the total length of the cell profile perimeter 230 in unit area of a tissue section; (ii) the lengths and the widths of cells can be easily measured, and the curvature correction factors (F) can be calculated using length/diameter ratios; and (iii) the 231 232 contact area of the chloroplast facing the outer mesophyll cells was considered as

#### $233 \qquad CABM = L_c / W \times F,$

where  $L_c$  represents the lengths of chloroplasts facing the mesophyll cells and *W* represents the width of the leaf cross-section (Wu et al. 2014). The value of *F* in mesophyll cells of sorghum leaves was 1.45, and the values of *W* were calculated using the pictures of transverse semi-thin leaf sections with Image J-Fiji software.

#### 238 Chlorophyll a (Chl a) fluorescence measurements

Chl a fluorescence was measured using a plant efficiency analyzer (Plant Efficiency Analyzer
Hansatech, Norfolk, UK) on completely expanded leaves. Fully dark-adapted seedlings (12 h)
were used to determine OJIP curves. The leaves were then exposed to a pulse of saturated light at

an intensity of 3,500  $\mu$ mol.m<sup>-2</sup> s<sup>-1</sup> and a wavelength of 650 nm for 5 s. The fluorescence transients were recorded from 10  $\mu$ s to 1s (Strasser et al. 2004). The OJIP curves were obtained by plotting relative variable fluorescence intensity on a logarithmic time scale.

245 The degree of dorsoventral asymmetry

The asymmetry index (*ASI*) was used in our study to reflect the degree of dorsoventral asymmetry. The *ASI*s for morphological and anatomic structures, and gas-exchange parameters, were calculated as the ratio of the value of the abaxial side to that of the adaxial side. By definition, if a given parameter shows the same value for both sides, its *ASI* is 1.00. Thus, the greater deviation of *ASI* from 1.00 denotes a greater degree of *ASI*.

#### 251 Statistical analysis

Data were subjected to separate statistical analyses using parametric tests. Differences between means were analyzed using Student's t-tests. Regression lines were obtained by the least squares method. Differences in the regression coefficient and in the intercept were detected using an analysis of covariance. The multiple comparison was assessed using Tukey's test. An analysis of the data was performed using the Statistical Package for Social Sciences (SPSS, Version 18.0, for Windows). Correlations of linear regressions were calculated using SigmaPlot (version 10.1).

258 Results

#### 259 Leaf morphology and structure

Both sides of leaves had stomata, and the *SD* of the abaxial surfaces were 1.5 times greater than those of the adaxial surfaces (P < 0.001), while the adaxial stomata lengths were approximately the same as the abaxial values (Table 1).

Transverse sections (Fig. 1) observed by light microscopy showed that the sorghum leaves were not differentiated into palisade and spongy tissues. However, the long axes of the adaxial mesophyll cells were longer than those of the abaxial mesophyll cells. There were many bulliform

cells in the adaxial epidermis but none in the abaxial epidermis. The vascular bundles were surrounded by parenchymal cells, and the paths from bundle sheath cells to both surfaces were nearly the same. The sub-stomatal cavities of the adaxial and abaxial mesophyll cells were separated by compact mesophyll tissues (Fig. 1). Further analyses showed that the *ASC* and *CABM* of the abaxial surfaces were significantly greater than those of the adaxial surfaces (P < 0.01) (Table 1). Thus, the photosynthetic structures were asymmetric between the two surfaces of sorghum leaves.

#### 273 *Leaf optical properties*

Leaf reflectance properties were similar on the adaxial and abaxial surfaces in sorghum over the wavelength range of 310–1,100 nm, whether the measurement was made on the adaxial or abaxial surface (Fig. 3). The absorbance rates of abaxial surfaces were a little greater than those of the adaxial surfaces over the wavelength range of 350–450 nm (Fig. 3). Consequently, there was no difference in the absorbance levels between the two surfaces of sorghum leaves over most of the investigated wavelengths.

#### 280 Photochemical activities of the two sorghum leaf surfaces

The Chl a fluorescence in sorghum leaves demonstrated a typical transient polyphasic rise that included phases O, J, I, and P (Fig. 4). There was no obvious difference in the relative variable fluorescence intensity ( $V_t$ ) between the two surfaces in the O-phase. The  $V_t$  was greater in the abaxial surfaces than in the adaxial surfaces, especially at = 0.3, 2, and 30 ms (Fig. 4). Thus, the PSII photochemistry of the adaxial surfaces was greater than that of the abaxial surfaces in sorghum leaves.

#### 287 Dorsoventral asymmetry of photosynthesis in sorghum leaves in direct and diffuse light

288 The integrated  $P_n$  and  $G_s$  of adaxial surfaces illuminated with direct light were the greatest

measured (Fig. 5A, B). The integrated  $P_n$  of the adaxial surface illuminated by direct light was significantly greater than that of the illuminated abaxial surface (ASI = 2.83), while the ASI of the integrated  $P_n$  in diffuse light dropped to 1.69 (Fig. 5A). In addition, the  $G_s$  changed as the  $P_n$  varied, and the ASI of the integrated  $G_s$  dropped from 2.23 in direct light to 1.67 in diffuse light (Fig. 5B). The  $C_i/C_a$  remained nearly constant under direct and diffuse light conditions, and the  $G_s$  was not affected by the  $C_i$  (Fig. 5C).

#### 295 Effects of light properties on the stomatal sensitivity of sorghum leaves

The specific  $G_s$  increased as the intensities (PPFDs) of direct, diffuse, and self-transmitted 296 297 light increased (P < 0.001) (Fig. 6). The specific  $G_s$  response to PPFD in sorghum leaves was not the same in adaxial and abaxial surfaces. In the direct light, the adaxial-specific  $G_s$  was greater 298 299 than the abaxial-specific  $G_s$  at most light intensities (Fig. 6A), and the initial slope of the regression 300 reflected that the stomatal sensitivity, as indicated by  $\beta_l$ , was significantly greater in the adaxial surfaces than in the abaxial surfaces (P < 0.0001) (Table 2). In the diffuse light, there was no 301 302 obvious variation in the specific  $G_s$  (Fig. 6B), and the  $\beta_l$  was not significant between the two surfaces (Table 2). However, in self-transmitted light, the adaxial-specific  $G_s$  was much lower than 303 the abaxial-specific  $G_s$  (Fig. 6C), and the  $\beta_1$  of the abaxial surfaces was 3.5 times greater than that 304 of the adaxial surfaces (P < 0.01). The sensitivity of unit stoma, as indicated by  $\beta_2$ , of the adaxial 305 306 surfaces was more than 2 times greater than that of the abaxial surfaces in direct light, while only 1.5 times greater in diffuse light (Table 2). Thus, light properties could change the variation of  $G_s$ 307 308 and the stomatal sensitivities between the two sorghum leaf surfaces. The adaxial-specific AQY was significantly greater than the abaxial-specific AQY in both direct and diffuse light (Fig. 6D, 309 310 E), but was significantly lower in self-transmitted light. The values of the specific AQYs in both 311 surfaces were slightly greater in the direct light, but the ASI of AOY was nearly the same in direct

and diffuse light. The *ASI* in self-transmitted light was very different from the values in direct and

- 313 diffuse light (Fig. 6F).
- 314 Discussion

#### 315 Morphology determined the photosynthetic asymmetry in sorghum leaves

316 There were significant morphological differences between the two surfaces that might cause 317 photosynthetic asymmetry. Field environments change rapidly, and photosynthetic regulation needs to adapt to diverse light conditions because leaf photosynthesis is optimized through 318 evolutionary fine tuning (Blankenship 1992). Leaf morphology and structure affect the 319 320 photosynthetic capacity through SD, stomatal size and movement (Smith et al. 1998; Soares-321 Cordeiro et al. 2009), as well as mesophyll tissue (Earles et al. 2017; Oguchi et al. 2005) and 322 vascular bundle (Oguchi et al. 2005; Soares et al. 2008) structures. Photosynthesis in  $C_4$  species 323 requires the collaboration of mesophyll cells and vascular bundle sheath cells, and the rate of gas 324 exchange between these two types of cells plays a crucial role in photosynthesis (Sowinski et al. 2008). Thus, ASC and CABM are very important in determining  $P_n$ . The greater SD, ASC and 325 326 CABM values in the abaxial leaf side compared with the adaxial side demonstrated that the gas-327 supply potential was greater in the former than the latter (Table 1). The photosynthetic capacities 328 of C<sub>4</sub> isobilateral leaves exposed to direct light tends to be greater in abaxial surfaces, owing to the 329 optimal tissue morphology and high SD (Driscoll et al. 2006; Long et al. 1989; Soares-Cordeiro et 330 al. 2011; Soares et al. 2008). Therefore, the CO<sub>2</sub>-supply capacity is lower in the adaxial sides of most  $C_4$  isobilateral leaves, which seemed to conflict with greater integrated  $P_n$  from illuminated 331 332 adaxial surfaces.

In addition to  $CO_2$ -supply capacity, light-use capacity, which involves light absorptance and photochemical activity, is also a key trait in determining the  $P_n$ . Leaf optical properties reflect the proportion of the light incident into leaves, which may be affected by surface properties, pigment

336 content, and leaf morphology (Gorton et al. 2010). However, our data demonstrated that leaf absorptance and reflectance profiles were almost the same on the adaxial and abaxial surfaces in 337 sorghum over most of the 310-1,100-nm wavelength range (Fig. 3). This result was consistent 338 with other studies on isobilateral leaves (Brodersen & Vogelmann 2010; Delucia et al. 1991; 339 Gorton et al. 2010; Soares et al. 2008). Therefore, the light energy absorbed by the leaf was nearly 340 341 the same whether illuminated on the adaxial or abaxial surface. Chl a fluorescence reflects the primary photochemical reaction of PSII and the redox state of the photosynthetic apparatus; 342 therefore, OJIP curves are usually efficient for investigating the potential of photochemistry 343 344 (Strasser et al. 2004). Here, the OJIP curves showed that the light capture and primary photochemical activity were greater in the adaxial surfaces of sorghum leaves (Fig. 4). Thus, the 345 346 photosynthetic activity of the adaxial side was greater, but it was not morphologically acclimated to the lower  $CO_2$ -supply capacity. In fact, the light environments of the two surfaces were more 347 348 complex whether illuminated from the adaxial or abaxial side. For example, the light that 349 illuminated the abaxial surface was rarely direct light but usually diffuse and self-transmitted light. In our experiments, the integrated  $P_n$  of illuminated adaxial leaf surfaces were greater than those 350 of illuminated abaxial leaf surfaces (Fig. 5A), which was consistent with previous reports (Driscoll 351 352 et al. 2006; Long et al. 1989; Soares-Cordeiro et al. 2011; Soares et al. 2008). The greater integrated  $P_n$  of illuminated adaxial leaf surfaces might be caused by the much greater abaxial-353 specific  $G_s$  in self-transmitted light (Fig. 6C), because the adaxial-specific  $G_s$  in self-transmitted 354 355 light was very low when the abaxial surface was illuminated. However, the  $P_n$  of the illuminated adaxial surface was only slightly greater than that of the abaxial surface in diffuse light (Fig. 5A). 356 357 Thus, the apparent conflicts with photosynthetic asymmetry in previous conclusions (Cheng et al. 358 2015; Earles et al. 2017; Long et al. 1989; Soares-Cordeiro et al. 2009; Urban et al. 2012; Wang

et al. 2008) might be caused undistinguished light properties.

### 360 *Dorsoventral asymmetry of photosynthesis in sorghum leaves changed with direct or diffuse* 361 *light.*

In bifacial leaves, there is a pronounced division of the physiological functions of 362 photosynthesis between palisade and spongy cells (Gorton et al. 2010; Smith et al. 1997; 363 364 Vogelmann et al. 1996). The palisade tissues function in light capture and photosynthetic reactions, and the spongy tissues function as channels for gas exchange. In our investigation, both the adaxial 365 and abaxial sides of sorghum leaves have chlorophyll-containing mesophyll cells and gas channels, 366 367 such as stomata and substomatal cavities (Fig. 1). In direct light, the integrated  $P_n$  of illuminated adaxial surfaces was more than two times greater than that of illuminated adaxial surfaces (ASI = 368 2.83) (Fig. 5A), which is consistent with other bifacial species (Moss 1964; Soares-Cordeiro et al. 369 370 2009). The integrated  $G_s$  could be considered as the sum of the specific  $G_s$  in incident (direct or diffuse) and self-transmitted light. Therefore, the greater adaxial-specific  $G_s$  in direct light (Fig. 371 6A) and abaxial-specific  $G_s$  in self-transmitted light (Fig. 6C) were the reasons for the greater 372 integrated  $G_s$  of illuminated adaxial surfaces. In contrast, the abaxial-specific  $G_s$  was lower in direct 373 light (Fig. 6A), and the adaxial specific  $G_s$  was less than 30% of the abaxial-specific  $G_s$  in self-374 375 transmitted light (Fig.6C), which caused the lower integrated  $P_n$  and  $G_s$  of abaxial surfaces illuminated with direct light. However, the ASI of  $P_n$  and  $G_s$  greatly decreased in diffuse light 376 377 compared with in direct light (Fig. 5A, B). The specific  $G_s$  showed no variation between the two 378 surfaces in diffuse light (Fig 6B), in spite of the different SDs (Table 1). In addition, the specific AQY in diffuse light was a little lower than in direct light (Fig. 6D, E) for both surfaces, which 379 380 might explain the lower integrated  $P_n$  in diffuse light compared with in direct light. However, the 381 difference in the specific AQY between the two surfaces was not changed in direct or diffuse light

382 (Fig. 6D, E), indicating that the asymmetry in photochemical processes was not changed in diffuse 383 light. Therefore, diffuse light could weaken the dorsoventral asymmetry of photosynthesis by 384 decreasing the asymmetric degree of the specific  $G_s$  in sorghum leaves.

385 Stomata, as a major pathway of the gas exchange between the atmosphere and the plant, respond to many environmental variables, such as light, CO<sub>2</sub> concentration, humidity, and water 386 387 availability (Comstock 2002; Medlyn et al. 2001; Xu & Zhou 2008; Yu et al. 2004). Because the  $C_i$  was kept nearly constant during all of the experiments, the  $G_s$  was not affected by the  $C_i$  in the 388 light-response curves. Light intensity is the most important ecological factor in stomata opening, 389 390 even though stomata opening has been observed in the darkness in some species (Shimazaki et al. 2007). To investigate in vivo responses of stomata to light, independent of other factors, this study 391 392 precisely controlled the other environmental factors, and the specific  $G_s$  displayed a substantial 393 correlation with PPFD (Fig. 6). Although the SD was significantly greater in abaxial surfaces than in adaxial surfaces (Table 1), the abaxial-specific  $G_s$  was lower than the adaxial-specific  $G_s$  (Fig. 394 395 6A, B). The SD had a lower correlation with different specific  $G_s$  values. Stomatal sensitivity, as indicated by  $\beta_l$ , in leaf surfaces has an important role in  $G_s$  (Goh et al. 2002; Wang et al. 2008), 396 and the differences in the specific  $\beta_l$  between adaxial and abaxial surfaces were consistent with 397 variations of  $G_s$  versus illuminating light (Table 2). The results in self-transmitted light were 398 consistent with previous conclusions (Wang et al. 2008) (Fig. 6C), but the specific  $\beta_1$  was greater 399 400 in adaxial surfaces in direct light (Fig. 6A) and nearly the same in the two surfaces in diffuse light 401 (Fig. 6B). Many in vitro investigations indicated that the stomatal sensitivity to light is greater in the abaxial surface than adaxial surface (Goh et al. 2002; Wang et al. 2008). The different 402 403 conclusion might be caused by the distribution of the stomatal apparatus (stomatal size and SD) 404 on the two surfaces and the incident light, which might have considerable impacts on  $\beta_1$ . In our

investigation, the stomata in both leaf surfaces were similar in size (Table 1), and  $\beta_I$  had strong correlations with *SD*s of adaxial (Fig. 7A) and abaxial (Fig. 7B) surfaces from different sorghum leaves. Hence, although our results showed no direct effect of *SD* on specific  $G_s$ , the specific  $\beta_I$ could be affected by the *SD*.

409 Although the specific  $\beta_1$  showed a positive correlation with SD, the specific  $\beta_1$  was still lower 410 on the abaxial surface, which had the greater SD (Fig. 7; Tables 1 and 2). Variations in  $\beta_2$  indicated that single stomatal sensitivities were greater on the adaxial than abaxial surfaces both in direct 411 and diffuse light (Table 2). The greater SD could partly compensate for the low specific  $\beta_2$  of the 412 413 abaxial surface but not the low specific  $\beta_1$ . However, in diffuse light, the adaxial-specific  $\beta_2$ decreased, while the abaxial-specific  $\beta_2$  showed no significant variation, indicating that the diffuse 414 light decreased the degree of difference of the specific  $\beta_2$ . This might be a reason for the weakened 415 asymmetry of  $G_s$  in the diffuse light. Stomatal movement should be important for specific  $\beta_2$  since 416 417 the stomatal sizes were nearly the same (Table 1). Changes in the turgor pressure and proton 418 potential of guard cells and mesophyll cells causes the movement of guard cells, as well as stomatal movement (Wang et al. 2008). The bulliform cells with a high content of water were observed in 419 adaxial surfaces but not abaxial surfaces (Fig. 1), and these cells have a significant role in the 420 421 curling of isobilateral leaves because of their high sensitivity to turgor pressure (Smith 2008). We hypothesized that the bulliform cells could increase the response of single stoma to light. Our OJIP 422 423 curves demonstrated that the photochemical activities of epidermal cells in adaxial surfaces were 424 greater than those in abaxial surfaces (Fig. 4), which means that the plasma membrane H<sup>+</sup>-ATPases accumulated more rapidly in adaxial epidermal cells, driving stomatal movement. Thus, our results 425 426 provide evidence for a greater adaxial-specific  $\beta_2$  in sorghum leaves.

427 In self-transmitted light, the  $\beta_2$  in the abaxial surface was significantly greater than in the

428 adaxial surface, which was in contrast with the values in direct and diffuse light (Table 2). The 429 spectral composition of self-transmitted light differs greatly from those of direct and diffuse light. When the leaf was illuminated with polychromatic light, mesophyll cells of the illuminated side 430 431 selectively absorbed red and blue light, while the remaining light that arrived at the opposite side 432 consisted mostly of green light. Green light might be more efficient for stomata opening (Sun et 433 al. 1998; Terashima et al. 2009; Wang et al. 2008). Under field conditions, the level of diffuse light is far less than that of direct light, and the abaxial surfaces of sorghum leaves mainly use self-434 transmitted light for photosynthesis (Wang et al. 2012). Wang et al. (2008) showed that the self-435 436 transmitted and leaf-transmitted light were more efficient in opening the abaxial stomata of sunflower leaves than direct light, which was explained by the mostly green light in transmitted 437 light (Falcioni et al. 2017; Wang et al. 2008). Consequently, discrepancies in the specific  $\beta_1$  and  $\beta_2$ 438 439 in self-transmitted light might be caused by variations in the spectral composition of incident light. Estimations of leaf stomatal sensitivities should take leaf surface traits and the light spectrum into 440 consideration. 441

#### 442 Regulation of dorsoventral asymmetry in sorghum leaves

The photosynthesis of bifacial leaves occurs mostly in palisade tissues, and the photosynthetic 443 444 rate depends on the thickness of mesophyll tissues, in particular palisade tissues, because an increase in thickness increases light retention and absorption (Delaney & Dobrenz 1974; Smith et 445 al. 1997). The leaf thickness and specific leaf weight are highly correlated with photosynthetic 446 447 capacity (John et al. 2017; Poorter et al. 2009). For an isobilateral leaf, both sides with palisade tissues or isodiametric cells, which increase light retention and absorption. Sorghum is native to 448 xerothermic areas, and the high light of midday may cause photoinhibition in leaves (Jiang et al. 449 450 2011). In isobilateral leaves, shaded adaxial mesophyll tissues decrease the probability of

451 photoinhibition occurring in the abaxial side, and the greater photosynthetic rate of the abaxial side 452 in a higher level of self-transmitted light could compensate for the loss of  $CO_2$  assimilation owing 453 to stomata closure on the adaxial surface when exposed to extremely high radiation. Thus, the 454 whole isobilateral leaf can maintain a high level of  $CO_2$  assimilation under excessive light, which 455 has been reported previously (Jiang et al. 2011; Long et al. 1989; Smith 2008).

456 In open field conditions, the adaxial stomata are exposed to more direct radiation, while the abaxial stomata are shaded by the adaxial side and receive light transmitted through the mesophyll 457 458 and scattered from the surroundings(Wang et al. 2008; Williams et al. 2014). Under clear sky 459 conditions, 80%–90% visible light can be absorbed by leaves, with 10% being reflected. The remaining 5% is transmitted (Gorton et al. 2010). Thus, the diffuse light surrounding abaxial 460 surfaces is much less than the direct light illuminating the adaxial surfaces (Wang et al. 2016). 461 Here, the greatest  $P_n$  occurred in adaxial surfaces illuminated by direct light and the lowest  $P_n$ 462 occurred in abaxial surfaces illuminated by direct light (Fig. 5A). Thus, we hypothesize that the 463 464 adaxial surfaces might mainly use direct light, while the abaxial surfaces might mainly use selftransmitted light, but not diffuse light, for photosynthesis under clear sky conditions. However, 465 under cloudy sky conditions, the diffuse light surrounding adaxial and abaxial surfaces were 466 467 approximately equal, although the light could be affected by planting density and cloud cover. The degree of asymmetry of  $P_n$  showed decreased in diffuse light, which was consistent with the cloudy 468 469 sky conditions.

470 Conclusions

Dorsoventral asymmetry in morphology and anatomy resulted in different photosynthetic capacities. The variations in photochemical traits and *SD* affect the stomatal sensitivity in sorghum leaves. The variation of  $G_s$  between the two surfaces was not correlated with *SD* but with the specific stomatal sensitivity. In direct light, the integrated  $P_n$  was significantly different between

illuminated adaxial and abaxial surfaces, but diffuse light weakened the photosynthetic asymmetry because there was no significance difference in the specific  $G_s$  between the two surfaces. The photosynthetic asymmetry in sorghum leaves adapts to high light radiation and the changing light properties of different sky conditions. This research showed that the size, shape, and arrangement of epidermal and mesophyll cells had direct effects on  $P_n$  and  $G_s$  under direct and diffuse light conditions, which could targeted in plant breeding to increase productivity in the increasingly diffuse light of the future.

#### 482 Appendices:

483 Appendix A: The spectra composition of sunlight, LED, and LED light penetrated a
484 transparent film (TF+LED) over the wavelength of 400 – 800 nm

Appendix B: The diffuse index (*DI*) of the direct light (closed circles and solid line) and
diffuse light (closed triangles and dotted line) in the experiments.

487 Appendix C: Effects of covering transparent film (TF) on the reflectance and absorbance rates
488 (310-1100 nm) of adaxial surfaces (A) and abaxial surfaces (B) in sorghum leaves.

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656	Figure Legends:
657	Fig. 1 Microscopic photographs of cross-sections in sorghum leaf. a: motor cell; b: stomatal

658 cavity at the adaxial side of leaf; c: stomatal cavity at the abaxial side of leaf; d: bundle sheath 659 cells; e: mesophyll cells; x: xylem; p: phloem; bar =  $20 \mu m$ .

Fig. 2 Design of measuring the integrated and specific gas exchanges of a leaf in direct,diffuse and self-transmitted light.

Fig. 3 Changes in leaf reflectance (closed symbols) and absorbance (open symbols) rate over
the wavelength of 310 - 1100 nm in sorghum. The light is oriented either to adaxial surfaces (square
and rhombus) or to the abaxial surfaces (circle and triangle).

Fig. 4 The rise kinetics of chlorophyll a fluorescence transient ( $V_t$ : relative variable fluorescence plotted on logarithmic time scale) in adaxial (black circles) and abaxial (white circles) surfaces of sorghum leaves.

Fig. 5 Variations of integrated gas exchanges of illuminating adaxial (black bars) and abaxial (white bars) leaf surfaces respectively in direct and diffuse light. A for photosynthetic rate ( $P_n$ ), B for stomatal conductance ( $G_s$ ) and C for ratio between the intercellular and the atmospheric CO<sub>2</sub> concentrations ( $C_i/C_a$ ). Values in parentheses are the ratios of abaxial to adaxial values (*ASI*) of  $P_n$ ,  $G_s$ , and  $C_i/C_a$  in direct and diffuse light. Data are the mean ± SE of 24 plants. Bars superscripted by different letters are significantly different, based on one-way ANOVA ("\*" for P=0.05, "\*\*" for P = 0.01), NA, no significant between adaxial and abaxial values.

Fig. 6 Light responses of the specific stomatal conductance ( $G_s$ ) in direct (A), diffuse (B) and self-transmitted (C) light and apparent quantum yield (AQY) of the adaxial (black circles) or abaxial (white circles) surfaces. Values in parentheses are the ratios of abaxial to adaxial values (ASI) of AQY. Data are the mean ± SE of 24 plants. Bars superscripted by different letters are significantly different, based on one-way ANOVA ("\*" for P=0.05, "\*\*" for P = 0.01), between adaxial and abaxial values.

681	Fig. 7 The correlations of stomatal sensitivity ( $\beta_1$ ) in direct (circles), diffuse (triangles), and
682	self-transmitted (squares) light with SD in adaxial (A) and abaxial (B) surface of sorghum leaves.
683	The regression lines are as follows: $y = 0.0028 x + 0.0251 (R^2 = 0.864, P = 0.003)$ for adaxial $\beta_1$
684	versus the direct light, y = 0.0027 x + 0.084 (R <sup>2</sup> = 0.971, P < 0.0001) for adaxial $\beta_1$ versus the
685	diffuse light, $y = 0.0026 \text{ x} - 0.0181 \text{ (R}^2 = 0.841, P = 0.032)$ for adaxial $\beta_1$ versus the self-transmitted
686	light, y = 0.0010 x - 0.0305 (R <sup>2</sup> = 0.699, P < 0.0001) for abaxial $\beta_1$ versus the direct light, y =
687	$0.0012 \text{ x} - 0.0212 \text{ (R}^2 = 0.756, P < 0.002)$ for abaxial $\beta_1$ versus the diffuse light, y = 0.0025 x -
688	0.0182 (R <sup>2</sup> = 0.901, P < 0.0001) for abaxial $\beta_1$ versus the self-transmitted light. N = 90.

### Table 1(on next page)

Variations of stomatal density (SD), long axis of stomatal aperture, area of sub-stomatal cavity (ASC), contact area between bundle sheath and mesophyll cells (CABM) between the adaxial and abaxial sides in sorghum.

All the parameters were calculated from samples with number of N in tables.

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	<i>SD</i> (number of stomata mm <sup>-2</sup> )	Long axis of stomatal aperture (µm)	ASC (µm <sup>2</sup> )	<i>CABM</i> (m <sup>2</sup> m <sup>-2</sup> )
Adaxial	78.6±2.10	31.2±2.31	76.7±7.05	2.12±0.20
Abaxial	$126.5 \pm 3.30$	30.3±2.18	262.3±23.15	$2.53 \pm 0.30$
Ν	108	120	20	20
P-value	< 0.001	0.056	< 0.01	< 0.01
Ratio(adaxi al/abaxial)	0.62	1.02	0.29	0.84

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### Table 2(on next page)

The slope ( $\beta_1$ ), Y-intercept (*b*) of regression lines, and the unit stomatal insensitivity to light intensity of adaxial and abaxial surface in direct, diffuse, and self-transmitted light.

The determination ratio (R<sup>2</sup>), and P-value of ANOVA of regression equation  $y = \beta_1 x + b$  are shown in the table. N=24. The statistical analysis (P < 0.01) compares data for  $\beta_1$  and  $\beta_2$  separately, the different letters representing significant differences of six cases from three light properties and two surfaces.

Light property	Leaf surface	$\beta_{I}$	$\beta_2 (10^{-3})$	b	P value	R <sup>2</sup>
Direct light	Adaxial	0.187b	2.384a	29.41	< 0.0001	0.973
Direct light	Abaxial	0.144d	1.134d	32.37	< 0.0001	0.970
Differentialt	Adaxial	0.158c	2.008b	35.62	< 0.0001	0.972
Diffuse light	Abaxial	0.174bc	1.377d	32.52	< 0.0001	0.970
	Adaxial	0.063e	0.800e	27.38	0.0004	0.974
Sen-transmitted light	Abaxial	0.230a	1.814c	38.16	< 0.0001	0.935

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### Figure 1(on next page)

Microscopic photographs of cross-sections in sorghum leaf.

a: motor cell; b: stomatal cavity at the adaxial side of leaf; c: stomatal cavity at the abaxial side of leaf; d: bundle sheath cells; e: mesophyll cells; x: xylem; p: phloem; bar =  $20 \mu m$ .



Figure 1

### Figure 2(on next page)

Design of measuring the integrate and specific gas exchanges of a leaf in direct, diffuse and self-transmitted light.







### Figure 3(on next page)

Changes in leaf reflectance (closed symbols) and absorbance (open symbols) rate over the wavelength of 310 - 1100 nm in sorghum.

The light is oriented either to adaxial surfaces (square and rhombus) or to the abaxial surfaces (circle and triangle).





### Figure 4(on next page)

The rise kinetics of chlorophyll a fluorescence transient ( $V_t$ : plotted on logarithmic time scale) in adaxial (black circles) and abaxial (white circles) surfaces of sorghum leaves.





### Figure 5(on next page)

Variations of integrated gas exchanges of illuminating adaxial (black bars) and abaxial (white bars) leaf surfaces respectively in direct and diffuse light.

A for photosynthetic rate ( $P_n$ ), B for stomatal conductance ( $G_s$ ) and C for ratio between the intercellular and the atmospheric CO<sub>2</sub> concentrations ( $C_i/C_a$ ). Values in parentheses are the ratios of abaxial to adaxial values (*ASI*) of  $P_n$ ,  $G_s$ , and  $C_i/C_a$  in direct and diffuse light. Data are the mean ± SE of 24 plants. Bars superscripted by different letters are significantly different, based on one-way ANOVA ("\*" for P=0.05, "\*\*" for P = 0.01), NA, no significant between adaxial and abaxial values.



### Figure 6(on next page)

Light responses of the specific stomatal conductance ( $G_s$ ) in direct (A), diffuse (B) and self-transmitted (C) light and apparent quantum yield (AQY) of the adaxial (black circles) or abaxial (white circles) surfaces.

Values in parentheses are the ratios of abaxial to adaxial values (*ASI*) of *AQY*. Data are the mean  $\pm$  SE of 24 plants. Bars superscripted by different letters are significantly different, based on one-way ANOVA ("\*" for P=0.05, "\*\*" for P = 0.01), between adaxial and abaxial values.



### Figure 7(on next page)

The correlations of stomatal sensitivity ( $\beta_1$ ) in direct (circles), diffuse (triangles), and self-transmitted (squares) light with *SD* in adaxial (A) and abaxial (B) surface of sorghum leaves.

The regression lines are as follows:  $y = 0.0028 \times + 0.0251$  ( $R^2 = 0.864$ , P = 0.003) for adaxial  $\beta_1$  versus the direct light,  $y = 0.0027 \times + 0.084$  ( $R^2 = 0.971$ , P < 0.0001) for adaxial  $\beta_1$  versus the diffuse light,  $y = 0.0026 \times - 0.0181$  ( $R^2 = 0.841$ , P = 0.032) for adaxial  $\beta_1$  versus the self-transmitted light,  $y = 0.0010 \times - 0.0305$  ( $R^2 = 0.699$ , P < 0.0001) for abaxial  $\beta_1$  versus the direct light,  $y = 0.0012 \times - 0.0212$  ( $R^2 = 0.756$ , P < 0.002) for abaxial  $\beta_1$  versus the diffuse light,  $y = 0.0025 \times - 0.0182$  ( $R^2 = 0.901$ , P < 0.0001) for abaxial  $\beta_1$  versus the self-transmitted light,  $y = 0.0025 \times - 0.0182$  ( $R^2 = 0.901$ , P < 0.0001) for abaxial  $\beta_1$  versus the self-transmitted light. N = 90.

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