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# ShapeGTB: The role of local DNA shape in prioritization of functional variants in human promoters with machine learning

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**Motivation:** The identification of functional sequence variations in regulatory DNA regions is one of the major challenges of modern genetics. Here, we report results of a combined multifactor analysis of properties characterizing functional sequence variants located in promoter regions of genes.

**Results:** We demonstrate that GC-content of the local sequence fragments and local DNA shape features play significant role in prioritization of functional variants and outscore features related to histone modifications, transcription factors binding sites, or evolutionary conservation descriptors. Those observations allowed us to build specialized machine learning classifier identifying functional SNPs within promoter regions - ShapeGTB. We compared our method with more general tools predicting pathogenicity of all non-coding variants. ShapeGTB outperformed them by a wide margin (AUC ROC 0.97 vs. 0.57-0.59). On the external validation set based on ClinVar database it displayed only slightly worse performance (AUC ROC 0.92 vs. 0.74-0.81). Such results suggest unique characteristics of mutations located within promoter regions and are a promising signal for the development of more accurate variant prioritization tools in the future.

**Availability and implementation:** The datasets and source code are publicly available at: <https://github.com/zubekj/ShapeGTB>.

1 **ShapeGTB: an analysis of the local DNA shape importance in the exploration of**  
2 **predictive features for accurate classification of functional variants in human**  
3 **promoters.**

4  
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14  
15 **ABSTRACT**

16 Motivation: The identification of functional sequence variations in regulatory DNA regions is one  
17 of the major challenges of modern genetics. Here, we report results of a combined multifactor  
18 analysis of properties characterizing functional sequence variants located in promoter regions of  
19 genes.

20 Results: We demonstrate that GC-content of the local sequence fragments and local DNA shape  
21 features play significant role in prioritization of functional variants and outscore features related to  
22 histone modifications, transcription factors binding sites, or evolutionary conservation descriptors.  
23 Those observations allowed us to build specialized machine learning classifier identifying  
24 functional SNPs within promoter regions – ShapeGTB. We compared our method with more  
25 general tools predicting pathogenicity of all non-coding variants. ShapeGTB outperformed them by  
26 a wide margin (average precision 0.93 vs. 0.47-0.55). On the external validation set based on  
27 ClinVar database we observed that all methods decreased their performance (average precision  
28 0.47 vs. 0.23-0.42). Such results suggest unique characteristics of mutations located within  
29 promoter regions and are a promising signal for the development of more accurate variant  
30 prioritization tools in the future.

31 Availability and implementation: The datasets and source code are publicly available at:  
32 <https://github.com/zubekj/ShapeGTB>.

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34 Supplementary information: Supplementary data are available at PeerJ online.

35 **INTRODUCTION**

36 The concept of personalized medicine has made the functional annotation of genomic variations  
37 one of the major goals of human genetics. The research inquiries are done both at individual level  
38 of low-throughput methods and large-scale population studies. The results of genome-wide  
39 association studies (GWAS) of complex human traits have exposed enrichment for variations in the  
40 regulatory elements, such as promoters, enhancers, insulators or intergenic regions. Although about  
41 90% of single nucleotide polymorphisms (SNPs) are located in non-coding regions of human  
42 genome, the knowledge about their role in pathology of diseases is limited. In this article, we  
43 propose a method for functional prioritization of variants in human promoters, which represent  
44 around 1% of all SNPs identified by the 1000 Genomes Project (Ignatieva et al. 2014).

45 In recent years, several computational methods have been developed to address the challenging

46 task of noncoding variants annotation. These methods differ in the adopted algorithms and utilized  
47 data. The main three approaches used by currently available tools are: functional annotations,  
48 sequence homology analysis and machine learning models integrating information from both  
49 sources. Especially the third integrating machine learning approach is worth investigating. The last  
50 decade has brought dramatic progress in application of machine learning algorithms in  
51 computational biology. Their versatile predictions have been utilized to link noncoding variations  
52 properties to their functional nature by i.e. genome-wide annotation of variants (GWAVA)  
53 (Ritchie, et al., 2014), combined annotation-dependent depletion (CADD) (Kircher, et al., 2014),  
54 deleterious annotation of genetic variants using neural networks (DANN) (Quang, et al., 2015),  
55 FATHMM-MKL (Shihab, et al., 2015), deltaSVM (Lee, et al., 2015), DeepSEA (Zhou and  
56 Troyanskaya, 2015).

57 Promoters are one of the key regulatory elements of transcription initiation. Several resources  
58 indicate that promoter regions show distinct structural constraints when compared with non-  
59 promoters (Kanhare and Bansal, 2005; Goni, et al., 2007; Morey, et al., 2011; Gan, et al., 2012).  
60 The analysis by Freeman et al. shows that the sequence-dependent shape of DNA encodes histone  
61 affinity and dominates molecular recognition in the problem of nucleosome positioning (Freeman,  
62 et al., 2014). Since various DNA sequences can encode similar shapes (Gardiner, et al., 2004;  
63 Greenbaum, et al., 2007), correlation between DNA shape descriptors and biological functions  
64 becomes an interesting problem to investigate.

65 The development of DNASHape web server by Zhou et al. (Zhou, et al., 2013) allowed analyzing  
66 DNA structural features on a genomic scale. The method computes four DNA shape features:  
67 minor groove width (MGW), roll (Roll), propeller twist (ProT) and helix twist (HelT). Recent  
68 studies have showed that combining DNA sequence with DNA local shape improves the prediction  
69 accuracy of transcription binding sites in vitro (Rohs, et al., 2009; Dror, et al., 2014). Here, we  
70 address the question of the usefulness of such data in predicting functional effects of sequence  
71 variations in promoter regions of genes. We are convinced that the DNA shape features may  
72 largely contribute to solving a demanding problem of regulatory variants interpretation and  
73 assessment of their effects on disease pathology.

74 To test this hypothesis and demonstrate its applicability, we trained a machine learning classifier,  
75 which uses local shape to predict functional prioritization of promoter sites. In this paper, we  
76 compare structural predictor's performance with sequence-based methods, and analyze in detail the  
77 statistical relevance of different types of features characterizing DNA molecule.

78 In the light of the unique promoter characteristics, inclusive GC distribution (Lenhard, et al., 2012;  
79 Andersson, et al., 2014), transcription factor binding site composition (Rada-Iglesias, et al., 2011;  
80 Shen, et al., 2012; Thurman, et al., 2012) and unique chromatin signatures (Heintzman, et al., 2007;  
81 Hon, et al., 2009), we focused our analysis on the regions located upstream of the transcription start  
82 site. To our best knowledge previously developed methods have not aimed the variant prioritization  
83 in promoter regions by local DNA shape features but rather focused on non-coding sequence  
84 variations without acknowledging genomic region.

85

## 86 **MATERIALS AND METHODS**

### 87 Datasets

88 To obtain the positive dataset we used single-nucleotide variants (SNVs) annotated as regulatory  
89 mutations in The Human Gene Mutation Database (HGMD®) professional version (release  
90 2016.2) within 5 kilobases (kb) upstream from the annotated transcription start sites (TSS) and  
91 provided sequences (Stenson, et al., 2014). The total number of experimentally validated disease-

92 related variants in our dataset is equal to 1772. The control dataset contains SNVs from the 1000  
93 Genomes Project (The 1000 Genomes Project Consortium, 2015) with a global minor allele  
94 frequency  $\geq 1\%$ . The overlapping elements of both sets were removed. Only variants lying within 5  
95 kb upstream of TSS were selected for further analysis (Rosenbloom, et al., 2015). The sequences of  
96 neutral motifs (not associated with disease phenotype) were retrieved from Ensembl with BioMart  
97 (Kinsella, et al., 2011). The total number of negative examples in our dataset is equal to 3806. We  
98 ensured that positive and negative motif sets are matched in their basic properties (Kolmogorov–  
99 Smirnov two sample test results for GC-content distributions are as follows D-statistic=0.02, p-  
100 value=0.48, null hypothesis of identical distributions retained). Distributions of TSS distances in  
101 the two sets differed, but we made sure that it does not affect obtained results (see Supplementary  
102 Material 5).

### 103 Machine learning pipeline

104 We split the available data into training and test sets randomly keeping the ratio 8:2. Full training  
105 set contained 1417 positives and 3045 negatives, full test set contained 355 positives and 761  
106 negatives. Training set was used to build feature ranking, train classifiers and optimize their  
107 parameters, while test set was left for final validation and for comparison with other prediction  
108 methods. To validate our methods internally on the training set we used a cross-validation strategy  
109 in which in each fold SNPs from a single chromosome formed test set and SNPs from other  
110 chromosomes formed training set. This eliminated possibility of overfitting during parameter  
111 tuning and feature selection procedures, and additionally demonstrated whether our method  
112 generalizes across different chromosomes.

113 We applied Monte Carlo feature selection (MCFS) algorithm (Draminski, et al., 2008) to perform  
114 feature importance ranking. It is a universal feature selection strategy combining random subspace  
115 methods with decision trees. A random subset of the original features is drawn in each iteration of  
116 the algorithm and an equivalent of random forest is induced using the selected variables. Feature  
117 importance ranking is constructed based on all induced trees. Additionally, meaningful  
118 interdependencies between features are discovered by calculating how often two features are used  
119 together to predict the class value. MCFS aims at finding all features relevant for the classification  
120 task, and it guarantees that with sufficient number of iterations all features can be tested. Following  
121 general guidelines by the authors of the algorithm, we set the number of iterations to 1000 and the  
122 subset of original features considered in each iteration to 0.25.

123 In the classification task gradient tree boosting was used (GTB) – a popular tree-based ensemble  
124 algorithm (Friedman and Meulman, 2003). It is known to perform very well in many domains,  
125 often outperforming methods such as random forest, support vector machines or neural networks  
126 (Sheridan, et al., 2016; Ladds, et al., 2016; Babajide Mustapha and Saeed, 2016). The key idea  
127 behind GTB is to build trees sequentially, training a tree at each step to explain the prediction error  
128 made by the combination of existing trees. Usually the trees are regularized to prevent overfitting.  
129 We used the state-of-art implementation provided by XGBoost library (Chen and Guestrin, 2016).  
130 Through cross-validation performed on the training set we selected optimal parameter values  
131 (number of trees – 300, maximal tree depth – 8, learning rate – 0.1).

### 132 Comparison with existing approaches

133 Presently, the field of prediction and prioritization of human noncoding regulatory variants still  
134 lacks a large, independent and publicly available gold-standard dataset for training, testing and  
135 validating existing *in silico* approaches. The comparison of our method to the current state-of-the-  
136 art methods is hampered even further by different aims and objectives. To our best knowledge all  
137 available tools were designed for genome-wide, regulatory variants prioritization and there are no

138 computational methods focused on promoter regions. Nonetheless, we compared performance of  
139 our algorithm with other tools on our own hold-out test set and on independent high-quality data  
140 from ClinVar database (Jan 5, 2017 release) after excluding variants present in our training data  
141 (Landrum, et al., 2016). Our hold-out test set contained 355 positives from HGMD and 761  
142 negative examples from 1000 Genomes Project. External validation set contained 32 positive  
143 examples labeled as pathogenic in ClinVar database and 761 negative examples from 1000  
144 Genomes Project (not present in our train set).

145  
146

#### 147 Features groups

148 We used the following feature groups to annotate each SNV in our pathogenic and control datasets  
149 (more detailed description can be found in Supplementary material 1 and 4):

150 1. *DNA sequence* (52 variables): 9-nt sequence motifs centered on the mutated nucleotide. The  
151 sequence was encoded using 4-bits binary coding. Additional 12 binary (4-nt by 3 mutations)  
152 variables indicated what type of mutation occurred (e.g. A → C, G → T, etc.).

153 2. *Local DNA shape features* (88 variables): helix twist, minor groove width, propeller twist, roll  
154 values in span of 9 nt. Differences (*\_diff*) between reference and mutated scores were added as  
155 additional features.

156 3. *GC-content* (8 variables): *GC-content* in span of 7- and 9-nt for reference and mutated sequences  
157 separately. Differences between the reference and mutated scores were added as additional  
158 features.

159 4. *Histone modifications* (38 variables): ChIP-seq data for histone 3 lysine 9 acetylation (H3K9ac)  
160 and histone 3 lysine 4 trimethylation (H3K4me3) across 16 cell lines from ENCODE (Ram, et al.,  
161 2011). For H3K9ac, H3K4me3 or either modification mean values over all cell lines and binary  
162 variables indicating modifications in any cell line were added.

163 5. *Transcription Factor Binding Sites* (12 variables): TFBS ChIP-seq clusters (V3) from ENCODE  
164 data retrieving binding sites of top 10 TFs with the highest binding site coverage. Mean value over  
165 all TFs and 0-1 indicator of any TF occurrence were added in addition (ENCODE Project  
166 Consortium, 2012)

167 6. *Transcription factor binding disruption* (1 variable):

168 P-value of disrupting putative strongest transcription factor binding site due to mutation was  
169 calculated with Annotation of Regulatory Variants using Integrated Networks (ARVIN) algorithm  
170 (Gao, et al., 2018) using Cis-BP database (Weirauch et al., 2014).

171 7. *Maximum transcription factor binding log-odds ratio score* (1 variable):

172 Maximum TF binding log-odds ratio score for reference and mutated sequences among scores  
173 calculated with ARVIN algorithm (Gao, et al., 2018, Weirauch et al., 2014).

174 8. *DNase I hypersensitivity* (1 variable): ENCODE DNase clusters (V3) from 125 cell line types  
175 (John, et al., 2011; Thurman, et al., 2012; Rosenbloom, et al., 2013).

176 9. *Evolutionary conservation* (10 variables):

177 a) GERP ++: Genomic Evolutionary Rate Profiling scores (Davydov, et al., 2010).

178 b) PhastCons: PhastCons conservation score by vtools (San Lucas, et al., 2012).

179 c) Z-score: recalculated Z-score values defined in our previous work (Wyrwicz, et al., 2007) on  
180 whole genome human–mouse alignments (genome builds hg19 and mm9 (Chiaromonte, et al.,  
181 2002; Kent, et al., 2003; Schwartz, et al., 2003) from UCSC Genome Browser (Kent, et al., 2002)  
182 for the reference and mutated sequence and for window length 7 and 9. Differences of Z-scores for  
183 the reference and mutated sequence were added.

184 10. *Dinucleotide content* (16 variables):

185 Observed vs. expected frequencies of 16 possible pairs of nucleotides appearing in the short  
186 sequence motif.

187

## 188 **RESULTS**

### 189 Feature importance

190 From MCFS we obtained the ranking of all 227 features according to their relative importance in  
191 the classification problem. Each feature group contained multiple individual features with different  
192 ranks in the overall ranking. In the context of machine learning task, usefulness of a particular  
193 group should be determined by the best performing features from this group.

194 Figure 1 presents detailed feature ranking including all features from each group. Generally,  
195 features that contribute to the correct classification mostly belong to GC content group, shape  
196 group and sequence group. Other feature groups were of lesser importance (the full ranking is  
197 included as Supplementary material 2, feature names glossary as Supplementary material 4). The  
198 most important feature was the difference in GC-content between the reference and the mutated  
199 sequence fragment (rank 1). Features describing raw nucleotide sequence and dinucleotide content  
200 appeared in the middle of the ranking. Among the shape features those describing the closest  
201 neighborhood of the mutated nucleotide were the most important. This is not surprising because  
202 differences in shape are expected to have local effects on DNA properties. Among the shape  
203 features attributes concerning propeller twist were ranked as the most important, attributes  
204 concerning helix twist and roll followed, and attributes concerning minor groove width occurred  
205 lower in the ranking. What is notable, most of the features appearing among the top 20 concerned  
206 differences in shape properties between SNP and wild type. Features derived from transcription  
207 factors were less important than sequence-based features. Histone modifications, conservation  
208 scores and DNase I hypersensitivity score were not identified as particularly informative features.

209 To investigate the role of individual features we calculated Welch's t-score capturing the  
210 relationship between particular feature and class value. Decrease of GC-content between the  
211 reference and the mutated sequence correlated negatively with functionality (t-score -8.2088 for  
212 decrease for motif length 7, t-score -11.3710 for decrease for motif length 9), while increase of  
213 propeller twist value correlated positively (t-score 9.7417 for increase immediately before the  
214 modified nucleotide, t-score 5.5047 for increase immediately after the modified nucleotide).

215 The role of each feature in a classification task lies not only in its correlation with class value, but  
216 also in how well it complements with other features. For example, Figure 2 presents joint  
217 distributions of the two most important features in the two classes (difference of GC-content  
218 between the reference and the mutated sequence, difference of propeller twist at 3<sup>rd</sup> position  
219 between mutated variant and wild type). For non-functional SNPs the features are uncorrelated, but  
220 there is a visible negative correlation for functional SNPs. MCFS allows studying that kind of  
221 dependencies through its interdependency discovery function. Full list of feature interdependencies  
222 and their relative strength is included as Supplementary material 3. Figure 3 presents graph of the  
223 strongest interdependencies among the top selected features (GCSCORE – GC composition, SEQ –  
224 sequence feature, ROLL – roll, HELT – helix twist, PROT – propeller twist). Difference in GC-  
225 content acts as a central hub and interacts strongly with all groups of shape features except minor  
226 groove width. The simplified intuition is that functional SNPs should increase GC content of the  
227 motif, and at the same time increase rotation of the DNA strand accordingly.

228

### 229 Classifier performance

230 Obtained feature ranking suggests that a large portion of information is contained in features  
231 derived from the DNA sequence, and features describing evolutionary conservation and functional

232 properties play less significant role. To verify this hypothesis, we performed a cross-validation  
233 experiment (with folds determined by chromosomes) on the train set by training gradient tree  
234 boosting (GTB) classifier on different combinations of feature groups. Calculated values of  
235 multiple performance measures are presented in Table 1.

236 Classifier based on all available features performed better than the classifier using only 25 best  
237 ranked features. Among individual feature groups GC content produced classifier with the largest  
238 AUC ROC (0.78). Combining GC content with shape features and sequence features allowed  
239 achieving AUC ROC 0.98. No other combinations of features performed better. These results show  
240 that shape features are more meaningful when combined with another feature. In further  
241 experiments classifier trained on sequence, shape and GC content was used. We named this  
242 classifier ShapeGTB.

243 We compared final ShapeGTB classifier with more general SNP prioritization methods, which did  
244 not focus specifically on promoter regions: CADD, FATHMM-MKL and DeepSEA. Figure 4  
245 present precision-recall curves calculated on the hold-out test set constructed from our data  
246 (HGMD and 1000 Genomes Project) and for smaller experimental dataset (ClinVar and 1000  
247 Genomes Project). Area under precision-recall curve can be interpreted as average precision (AP),  
248 and is an aggregated measure of classifier performance. It is preferred over AUC ROC when  
249 problem is characterized by large class imbalance. On the hold-out test set ShapeGTB  
250 outperformed general-purpose methods by a large margin (AP 0.93 vs. 0.47-0.55). On the external  
251 validation set ShapeGTB aggregated performance was comparable with FATHMM-MKL (AP 0.47  
252 vs. AP 0.42). However, shapes of precision-recall curves for those methods were very different:  
253 FATHMM-MKL displayed high precision only for small subset of examples, while ShapeGTB  
254 precision was relatively stable even for large values of recall. Differences between results obtained  
255 for the two datasets suggest that ClinVar-derived positives have different characteristics and pose a  
256 greater challenge. We speculated that the gap between ShapeGTB and reference tools on the hold-  
257 out test is due to inclusion of shape features and their interactions with GC content. To verify this,  
258 we randomly permuted these features in our test set and evaluated performance of ShapeGTB again  
259 on permuted data sets. AP of ShapeGTB with GC-derived features permuted was 0.80, with shape-  
260 derived features permuted 0.44, and with both kinds of features permuted 0.35 (Figure 5). This  
261 once more corroborates the hypothesis that shape features together with GC content provide  
262 important information for distinguishing functional SNPs in our data set.

263

## 264 **DISCUSSION AND CONCLUSIONS**

265 Here, we report the influence of the combined multifactor analysis of DNA shape and other  
266 descriptors in prediction of functional effect of promoter variants. Previously, Parker et al. has  
267 demonstrated that the nucleotide alternations can significantly affect the DNA structure causing  
268 changes in protein binding affinity and phenotype (Parker, et al., 2009). From our analysis, it is  
269 clear that changes in the geometry of DNA molecule are important features for the task of  
270 prioritization of functional regulatory variants within promoter regions. General conclusions that  
271 can be drawn from our study are as follows: a) shape features work very locally, what is important  
272 is what happens in the closest neighborhood of the mutated nucleotide, b) DNA chain rotations are  
273 more important than minor groove width, c) differences of properties of the mutated variant and the  
274 reference motif are the most meaningful. This picture is inherently complicated with the presence  
275 of feature interdependencies – mostly between GC content and shape features. It is impossible to  
276 make predictions based on DNA shape alone, it is meaningful only with respect to the sequence  
277 content.



278 Interestingly, in our method the most informative indicator of variant functional impact is whether  
279 the introduced nucleotide changes the GC-content. The GC composition has been previously linked  
280 to DNA thermostability, bendability and potential for conformational transition between B- and Z-  
281 forms, that relate to chromatin accessibility (Vinogradov, 2003). The instances of GC-rich  
282 sequence motifs have been shown to play an important role in transcription regulation through their  
283 connection with nucleosome occupancy and TF binding (Peckham, et al., 2007; Wang, et al.,  
284 2012). In our opinion, high rank of GC-ratio derivatives is a result of promoter properties, which  
285 distinguish it from other regulatory elements (Lenhard, et al., 2012; Andersson, et al., 2014). GC-  
286 ratio may not be highly ranked if similar analysis would be performed on other regulatory  
287 elements, which are not associated with promoter regions (e.g. splicing elements or insulators).

288 There is a vast amount of literature on complex networks of relations between nucleotide types and  
289 various shape attributes (Yoon, et al., 1988; Florquin, et al., 2005; Rohs, et al., 2005; Samanta, et  
290 al., 2009). For instance, the distribution of water around the minor groove shows specificity to the  
291 DNA sequence as the availability of the hydrogen bond forming atoms changes. Variation in DNA  
292 sequence may affect DNA flexibility by influencing the magnitude of propeller twist. Specific base  
293 pairs combinations have different electrostatic potentials and prefer specific stacking geometry  
294 (Samanta, et al., 2009). The results of Tillo and Hughes have highlighted that GC-ratio influences  
295 nearly all aspects of DNA structure (Tillo and Hughes, 2009). The most pronounced dependency  
296 has been observed between GC-ratio and propeller twist (Ponomarenko, et al., 1999). Deb et al.  
297 previously reported the effect of an A/T base pair replacement by a G/C base pair on narrowing of  
298 minor groove through negative propeller twisting (Deb, et al., 1987). This pair has also been rated  
299 high in our feature interdependencies ranking. To sum up, it appears that only a specific  
300 configuration of local structural feature values can meet the requirements of a functional genomic  
301 element and that causative mutation substantially disrupt it consensus.

302 The data derived from ChIP-seq experiments and DNaseI hypersensitivity assays have relatively  
303 low resolution generally ranging from 200 to 8 kbp (Park, 2009; Pique-Regi, et al., 2011;  
304 ENCODE Project Consortium, 2012). Our analysis shows that histone modification and TFBS  
305 ChIP-seq peaks along with TF disruption p-value and DNaseI hypersensitivity data, being used in  
306 genome-wide setting, have no discriminative power for promoter region sequence variations. This  
307 is especially true for TSS-balanced version of our data sets (Supplementary material 5). It is  
308 important to stress that features based on histone modifications and TFBS have different meaning  
309 than those derived directly from DNA sequence and shape. The former may represent statistical  
310 relationships connected with high-level functioning of the organism, while the latter may  
311 correspond to low-level binding mechanisms and biophysical properties of the DNA. Our method  
312 is able to make successful predictions using only low-level features, which may inform the study of  
313 low-level mechanisms behind functional SNP mutations.

314 There is a strong need in the field for entirely independent, high-quality collection of regulatory  
315 elements variants categorized by type of non-coding sequence and functional status. Such  
316 collection would allow constructing reliable tests sets to validate and compare available methods.  
317 According to Li and Wang (2017) analysis, human genetic variants databases such as HGMD and  
318 ClinVar contain contradictory entries and incorrectly categorized variants due to the lack of  
319 primary review of evidence.

320 In our experiments, our method outperformed significantly the reference tools on our own dataset,  
321 and exhibited better recall on external dataset. However, caution is required in drawing final  
322 conclusions from the comparison. Our model targeted promoter regions specifically, while the  
323 other tools were trained on larger subsets of non-coding regions. It is also possible that our

324 validation set, at least partially, overlapped with training sets used by other algorithms. We believe  
325 that the main reason behind good performance of ShapeGTB is the inclusion of shape features.  
326 Without them the expected performance is on par with the other methods (AP 0.44 on hold-out test  
327 set).

328 In summary, we demonstrated that the local shape features of DNA surrounding single nucleotide  
329 coupled with the GC-content and sequence composition are sufficient for single nucleotide variant  
330 prioritization within promoter regions of human genes. Our results additionally confirmed the  
331 interdependencies between alternations in the GC-content and local DNA shape features. Given  
332 that the shape vectors implicitly reflect electrostatics, base stacking, hydration profiles (Przytycka  
333 and Levens, 2015), including DNA shape into model results in functional reduction of the number  
334 of features and therefore a great simplification of the method. We believe that local DNA shape  
335 features carry a vast amount of information and their applicability should be investigated further. In  
336 the future, we plan to extend our analysis on all types of regulatory elements in non-coding regions  
337 of human genome.

338

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342

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**Table 1** (on next page)

Cross-validation classification results for different feature groups on TSS-balanced data set.



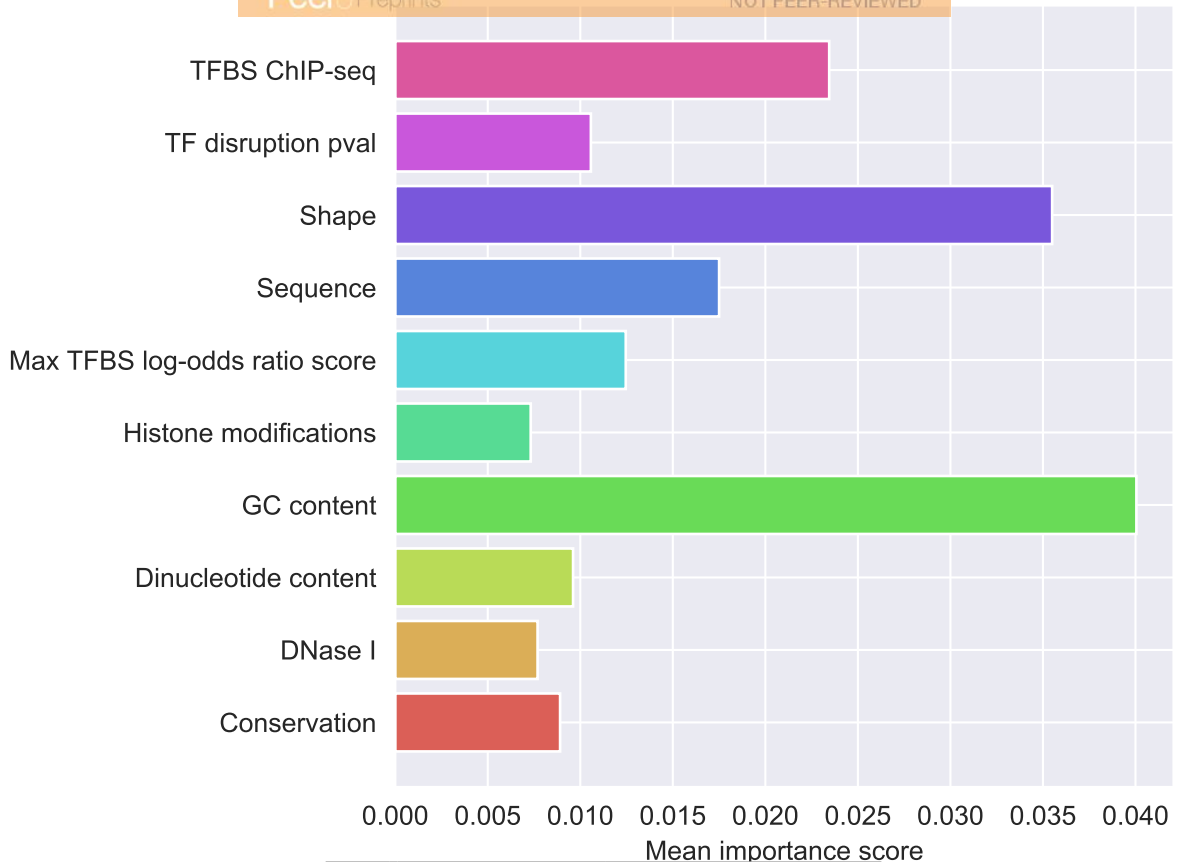
1  
2

	AUC	AUC_std	Accuracy	Accuracy_std	F1	F1_std	Precision	Precision_std	Recall	Recall_std	size
<b>All</b>	0.9764	0.0133	0.9258	0.0247	0.8803	0.0456	0.8840	0.0643	0.8792	0.0480	227.0
<b>Best 25</b>	0.9243	0.0345	0.8449	0.0418	0.7551	0.0785	0.7456	0.1079	0.7713	0.0710	25.0
<b>Sequence</b>	0.5555	0.0473	0.6162	0.0584	0.3170	0.0416	0.3766	0.0878	0.2834	0.0453	52.0
<b>GC content</b>	0.7765	0.0525	0.7051	0.0626	0.4934	0.0634	0.5560	0.1054	0.4546	0.0713	8.0
<b>Shape</b>	0.5571	0.0566	0.6251	0.0690	0.2546	0.0597	0.3574	0.0994	0.2039	0.0551	88.0
<b>Conservation</b>	0.5440	0.0416	0.6569	0.0522	0.2693	0.0764	0.4313	0.1547	0.2003	0.0545	10.0
<b>TFBS ChIP-seq</b>	0.5255	0.0482	0.6674	0.0755	0.2416	0.0707	0.4722	0.1589	0.1683	0.0550	12.0
<b>Histone modifications</b>	0.5664	0.0641	0.6270	0.0690	0.3342	0.0702	0.3987	0.1069	0.2994	0.0844	38.0
<b>DNase I</b>	0.5846	0.0622	0.6662	0.0817	0.1474	0.0674	0.4088	0.1921	0.0914	0.0431	1.0
<b>Dinucleotide content</b>	0.5205	0.0615	0.6211	0.0614	0.2354	0.0798	0.3407	0.1323	0.1858	0.0647	16.0
<b>Max TFBS log-odds ratio score + TF disruption pval</b>	0.5141	0.0613	0.6773	0.0824	0.0364	0.0381	0.3812	0.3618	0.0193	0.0205	2.0
<b>Sequence + GC content</b>	0.7689	0.0404	0.6997	0.0465	0.5029	0.0578	0.5426	0.1159	0.4816	0.0477	60.0
<b>Shape + GC content</b>	0.9175	0.0313	0.8395	0.0333	0.7399	0.0627	0.7557	0.1052	0.7332	0.0583	96.0
<b>Sequence + GC content + Shape</b>	0.9787	0.0140	0.9446	0.0208	0.9124	0.0381	0.8894	0.0616	0.9400	0.0437	148.0
<b>Sequence + GC content + Shape + TF disruption pval</b>	0.9787	0.0132	0.9471	0.0231	0.9161	0.0400	0.8899	0.0624	0.9468	0.0401	149.0
<b>Sequence + GC content + Shape + TF disruption pval + Max TFBS log-odds ratio score</b>	0.9782	0.0139	0.9442	0.0189	0.9118	0.0318	0.8933	0.0595	0.9346	0.0374	150.0
<b>Sequence + GC content + TFBS ChIP-seq</b>	0.7902	0.0332	0.7206	0.0410	0.5252	0.0614	0.5698	0.0934	0.4933	0.0616	72.0
<b>Sequence + GC content + Histone modifications</b>	0.7981	0.0426	0.7249	0.0464	0.5359	0.0656	0.5882	0.1170	0.5054	0.0664	98.0

3

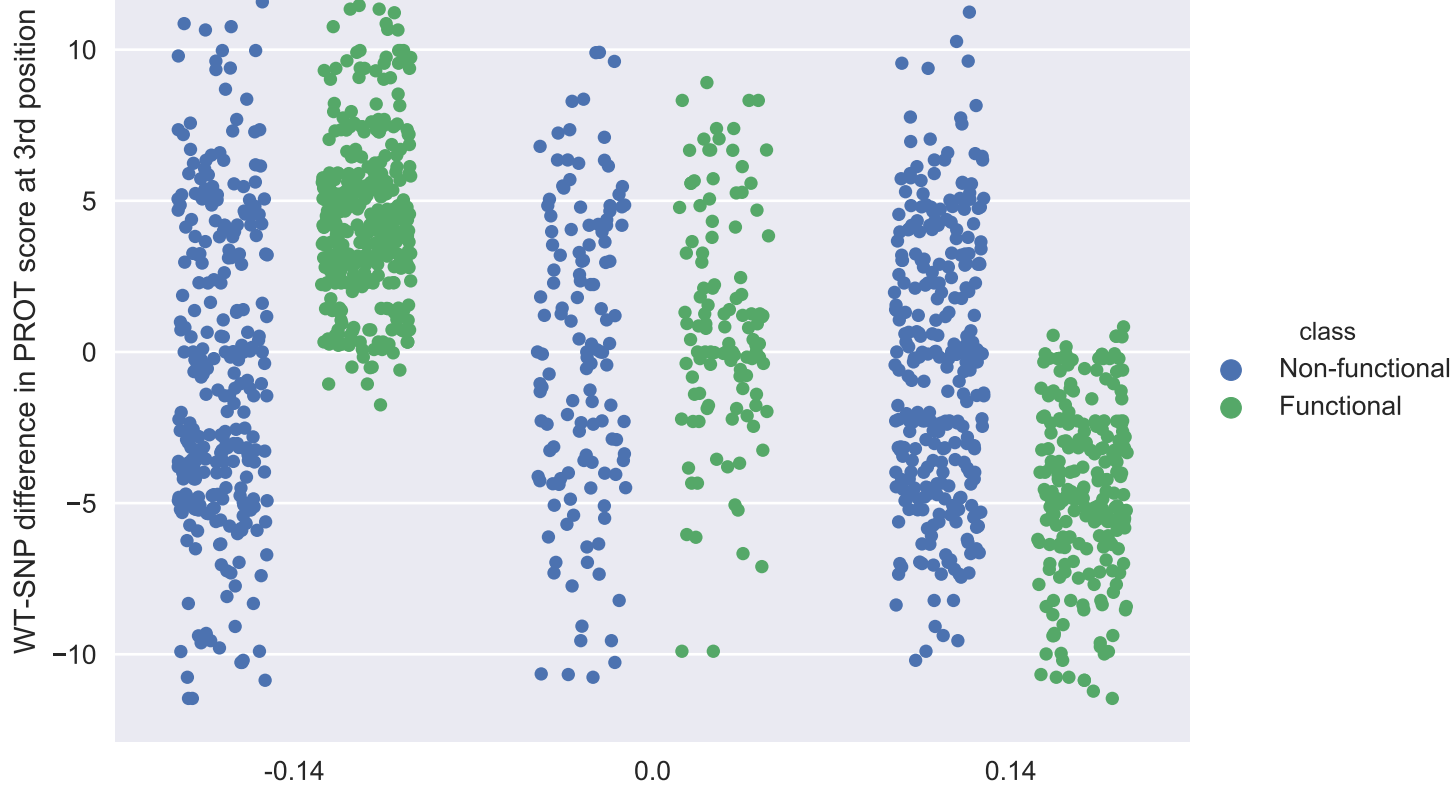
**Figure 1** (on next page)

Mean importance of 5 best scoring features in each feature group.



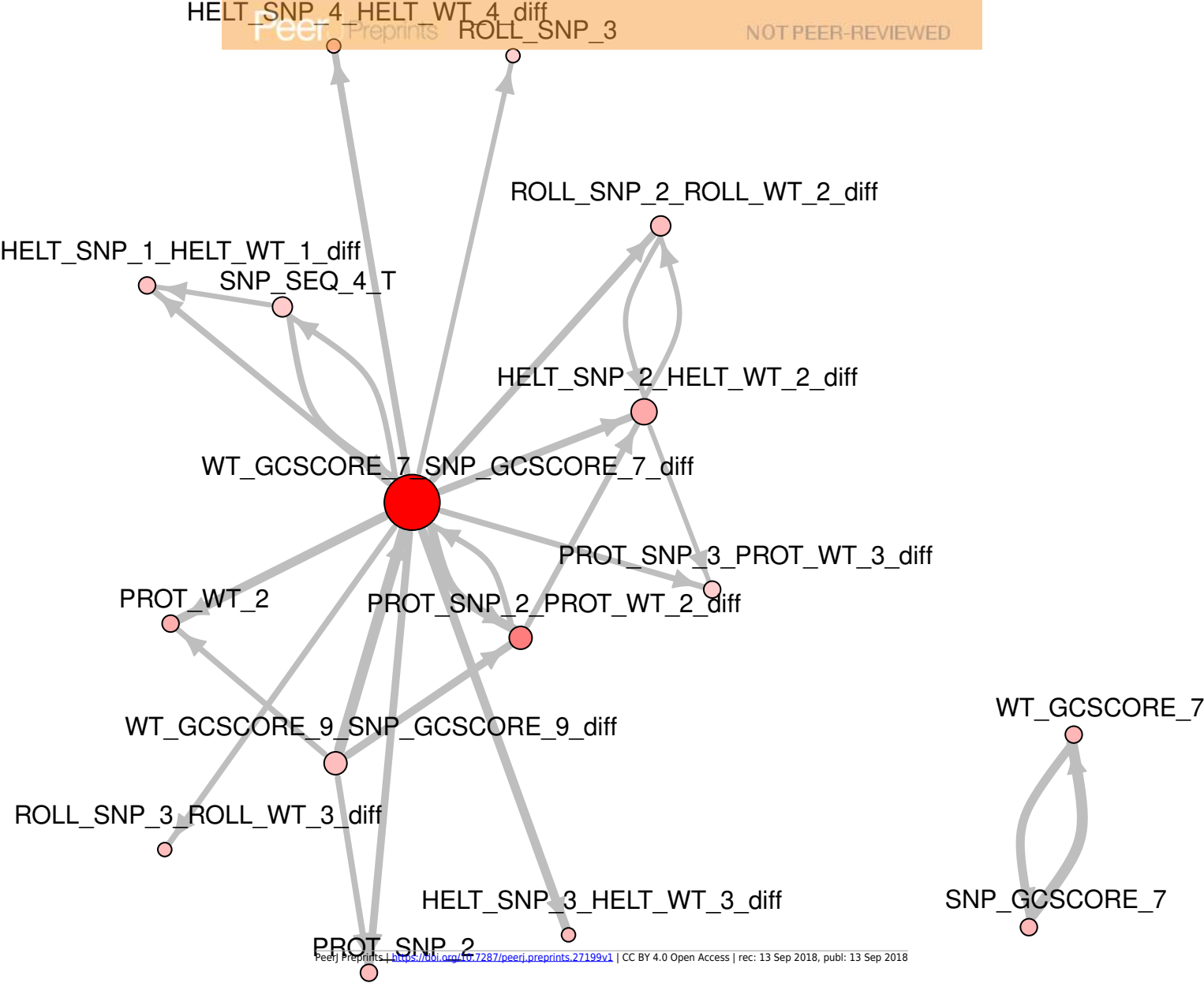
**Figure 2** (on next page)

Joint distributions of the two most important features in the two classes. WT-SNP difference corresponds to difference of scores between reference (wild type) and mutated (SNP) variants.



**Figure 3** (on next page)

The strongest feature interdependencies.

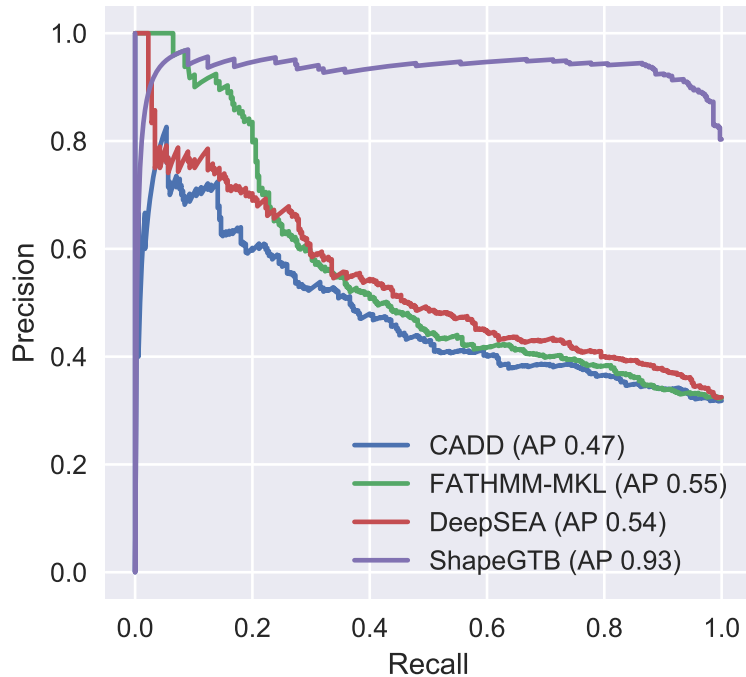


**Figure 4** (on next page)

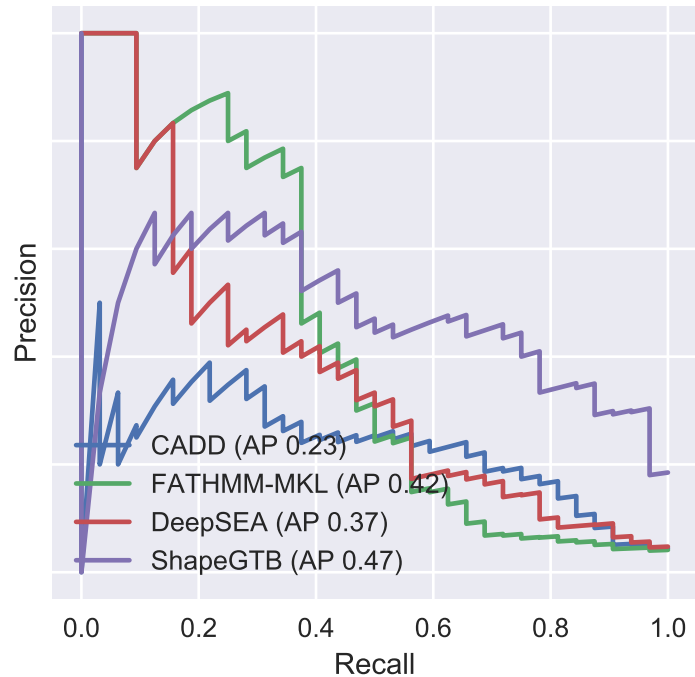
Precision-recall curves for different classifiers.



a) Hold-out test set (HGMD+1000G)



b) External validation set (ClinVar+1000G)

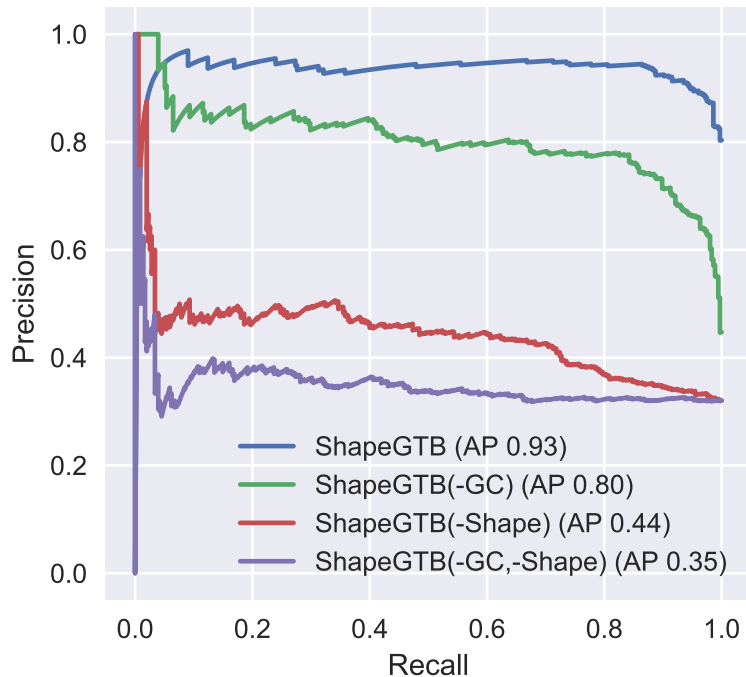


**Figure 5** (on next page)

Precision-recall curves for variants of ShapeGTB in which feature vectors from specific feature groups were permuted (effectively reducing their usefulness).

-GC corresponds to classifier with GC-derived features permuted, -Shape corresponds to classifier.

a) Hold-out test set (HGMD+1000G)



b) External validation set (ClinVar+1000G)

