

# Applications of biodiversity theories in conservation

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## Abstract

Biodiversity theories are not very often explicitly consulted in conservation practice, but implicitly many conservation decisions rely on theory. Biodiversity theories can inform important conservation actions such as assessments of species richness and extinction or habitat loss and fragmentation. Popular examples of biodiversity theories are niche theory and island biogeography theory, whereas neutral theory is less known. Here, we review the implications of biodiversity theories for conservation practice, focusing on neutral theory. Neutral theory assumes that the establishment and success of an individual in a community does not depend on its species identity, but is instead predominantly driven by a stochastic process. We found that drift and stochasticity appear much less frequently in conservation studies than selection processes typical of niche theory. This might be because habitat-specificity is not supported by neutral theory, but is common among rare and vulnerable species. Furthermore, neutral theory makes less intuitive assumptions than niche theory and does not consider trophic interactions. However, models based on neutral theory proved to be useful in some biodiversity hotspots. Moreover, some models based on neutral theory

24 subdivide space into local community and metacommunity, which reflects concepts commonly  
25 used in conservation science. Neutral approaches have been used in conservation to generate  
26 realistic species-abundance distributions and species-area relationships, provide a standard  
27 against which to compare species loss, prioritize species protection, model biological invasions,  
28 and support protected area design. We propose that neutral theory can serve as a valuable first-  
29 order approximation to reduce complexity and by design account for drift and stochasticity.  
30 Neutral theory provides the benefits of a community theory whereas niche theory focuses on  
31 single species. Ideally, neutral approaches should be used as a starting point for conscious  
32 stepwise addition of niche structure. This step-wise approach reflects recent integrative  
33 biodiversity theories that combine aspects of neutral and niche theory such as the stochastic  
34 niche or emergent neutrality and may provide a promising foundation for future conservation  
35 practice.

36

## 37 **Introduction**

38 Conservation decisions are rarely explicitly based on theoretical considerations, but theory  
39 often implicitly influences the viewpoints that are adopted in conservation biology. Since  
40 biological diversity is the main target of conservation actions (Sutherland et al. 2009), theories  
41 on the mechanisms that maintain and enhance biodiversity are highly relevant to conservation.  
42 Indeed, niche theory (Tilman 1982) has been applied by conservation practitioners to delineate  
43 conservation areas (Eppink & van den Bergh 2007) or to manage invasive species (Caplat et al.  
44 2013). Island biogeography theory (MacArthur & Wilson 1967) provides an important

45 cornerstone for understanding the impacts of habitat loss and fragmentation (Laurance 2008).  
46 Together, niche theory and island biogeography theory have been considered very influential in  
47 the development of conservation concepts (Schulte et al. 2006). Another vein of biodiversity  
48 theories are neutral theories that assume that the establishment of individuals in a community  
49 does not depend on their species identity, but is mainly driven by stochastic processes such as  
50 demographic drift and sometimes stochastic dispersal and stochastic speciation. For instance,  
51 species-area-relationships may arise from neutral processes and many meta-community models  
52 used in conservation are essentially neutral when they ignore species interactions or species  
53 identities. Overall, there are only few conservation studies that explicitly refer to a biodiversity  
54 theory, especially when it comes to neutral theories. Therefore, in this paper, we review the  
55 applications of niche theories, neutral theories, and their mixtures in conservation. We  
56 particularly focus on the neutral theory by Hubbell (2001), because it has sparked controversy  
57 among ecologists (e.g. Ricklefs 2003; Nee 2005) and has not yet been discussed very much in  
58 conservation biology.

59 Biodiversity theories are very diverse. More than one hundred biodiversity-maintaining  
60 mechanisms have been proposed (Palmer 1994), which makes it difficult to identify the suitable  
61 theory for a specific conservation task. However, all established biodiversity theories are based  
62 on the presence or absence of four distinct processes: selection, ecological drift, speciation, and  
63 dispersal (Vellend 2010). Selection is the deterministic process that leads to the set of species  
64 present in a community after competitive, trophic, and mutualistic interactions with other  
65 species and interactions with the environment have taken place. In contrast, ecological drift

66 covers all random processes that lead to survival or extinction of species within a community.  
67 Originally, niche theories have included selection, but not ecological drift (e.g. Tilman 1982), and  
68 sometimes speciation and dispersal (e.g. Ricklefs 1987), whereas neutral theories have included  
69 ecological drift and sometimes speciation and dispersal, but not selection (e.g. Hubbell 2001).  
70 Within this framework, MacArthur and Wilson's (1967) island biogeography theory involves the  
71 processes of drift and dispersal. It can thus also be considered neutral, but only at the level of  
72 species and not at the level of individuals as it is the case in Hubbell's (2001) neutral theory.  
73 More recently, intermediate biodiversity theories such as the stochastic niche theory (Tilman  
74 2004), the continuum theory (Gravel et al. 2006) and the concept of emergent neutrality  
75 (Scheffer & van Nes 2006) incorporate both selection and ecological drift to varying degrees  
76 (Fig. 1).

77 Selection-focused theories such as classic niche theories (MacArthur 1972; Tilman 1982;  
78 Gause 2003) explain the coexistence and co-occurrence of species with differences between  
79 species that are relevant for their survival under environmental conditions that vary in space  
80 and time. Each species has a specific ecological niche, i.e. a set of conditions to which it is better  
81 adapted than all of its competitors with regard to environmental conditions, predators and  
82 other antagonists. For conservation, this implies that species are not easily interchangeable. To  
83 a certain degree, this justifies the protection of every single species including flagship species,  
84 challenges insurance effects, and calls for the combat of invasive species (Table 1). However,  
85 since species can differ in many different traits, selection- or niche-based explanations of  
86 biodiversity often come with the disadvantage of increased complexity (Rosindell et al. 2011).

87 Complexity of niche structure can be a disadvantage for three reasons: If the complexity is not  
88 entirely justified by the structure of the targeted community, first, too complex or generally  
89 unsuitable conservation actions might be devised, and second, the explanation will not be  
90 parsimonious, i.e. not fulfill the scientific claim to search the simplest possible explanation.  
91 Third, if the community is complex enough to justify multi-dimensional niche structure, it might  
92 be challenging to derive the corresponding conservation actions.

93 Drift-based theories such as Hubbell's (2001) neutral theory, in contrast, make fewer  
94 assumptions. Hubbell's (2001) neutral theory assumes that differences between species of the  
95 same trophic level are irrelevant for demographic rates. Independent of species identity,  
96 individuals are equivalent in their fitness with respect to birth and death rates or dispersal  
97 ability. This is also summarized as ecological equivalence (Munoz & Huneman 2016). For  
98 instance, a tropical tree species is typically surrounded by many different tree species. This  
99 prevents specialization on interactions with a particular species leading to generalist strategies  
100 and thus quasi-equivalency on evolutionary time scales (Hubbell 2006). In a neutral framework,  
101 the probability of a species to establish in an available habitat patch in a local community  
102 predominantly depends on its frequency in the local community and not on how well it might be  
103 adapted to the environmental conditions in the habitat patch (Purves & Pacala 2005).  
104 Additionally, in Hubbell's (2001) neutral theory, there is a small probability that a species that is  
105 not present in the local community establishes in the available habitat patch by dispersal from  
106 the meta-community or by speciation. Lacking differences in demographic rates, all species in a  
107 neutral community would be interchangeable at any time (Purves & Pacala 2005) without

108 destabilizing the local community. Recently, the realism of key parameters and outputs of the  
109 neutral theory have been improved, for instance with respect to the size of the local community  
110 (Richardson, Barry J.; Arias-Bohart 2011), species age (Chisholm & O'Dwyer 2014), and spatial  
111 structure (Rosindell & Cornell 2013). Including spatial structure into drift-based theories is  
112 important for discussions in conservation biology on meta-communities and habitat  
113 fragmentation.

114         Drift-based applications in conservation highlight the role of stochasticity for biodiversity  
115 maintenance and usually have straightforward mathematical solutions (Rosindell et al. 2012).  
116 Considering drift and ecological equivalence may be relevant whenever species show large  
117 niche overlap or are not very habitat-specific. We are aware that many species of conservation  
118 concern show high habitat-specificity (e.g. Goerck 1997). Therefore, drift-based theories have  
119 limited applicability to communities with these species. However, for the remaining  
120 communities such as tropical tree communities in Panama (Hubbell 2005), drift-based neutral  
121 approaches could be valuable alternatives to selection- and niche-based approaches. With  
122 respect to conservation management and ignoring intrinsic or utility values of species,  
123 protection of single species would only be necessary to the degree to which ecological  
124 equivalence can be rejected (Table 1). Where there is evidence for strong ecological  
125 equivalence, the protection of species richness in terms of species numbers, not species  
126 identities or niches, will suffice to maintain community stability. In these cases (and only if  
127 intrinsic or utility values of species are ignored), the most intuitive unit for conservation actions  
128 would be the whole community and its ecosystem processes rather than populations or species.

129 Validation of biodiversity theories against real-world data is necessary to assess the  
130 practical importance of selection and drift in a given conservation framework. Validation has  
131 most commonly been attempted with rank-abundance distributions. Empirical rank-abundance  
132 distributions were successfully reproduced by the neutral theory for a range of ecosystems,  
133 such as tropical rainforests in Panama (Hubbell 2001), tropical freshwater fish communities  
134 (Etienne & Olff 2005), and partly microbial communities in waste water treatment plants  
135 (Ofiteru et al. 2010). Niche processes were for instance shown to predominate for a coral reef  
136 community (Dornelas et al. 2006; but see Volkov et al. 2007 for a neutral coral community) and  
137 a salt meadow community (Anderson & Mouillot 2007). Neutrality has been reported more  
138 commonly from productive environments such as tropical forests, whereas niche structure  
139 seems to be more important in less productive systems such as temperate forests or grasslands  
140 (Chase 2010). Species-rich communities are of particular conservation concern. They often  
141 exhibit strong recruitment limitation, which can prevent competitive exclusion, thus promoting  
142 de facto neutrality. This has for instance been shown in a tropical rainforest (Hubbell 2006) and  
143 a fynbos shrub community (Perry et al. 2009). Originally, neutrality was suggested to be  
144 applicable at larger spatial scales than niche theory (Bell 2001), but recently niche structure has  
145 been shown for large scales, too (Tang & Zhou 2011), and niche and neutral processes have  
146 been considered difficult to distinguish at small and intermediate scales (Gilbert & Lechowicz  
147 2004). This underlines the importance of considering both niche and neutrality especially at the  
148 local scales at which most conservation efforts operate.

149 In the following, we will first briefly summarize conservation implications of selection-

150 based niche theories as a reference standard for comparisons. We will then compile case  
151 studies addressing Hubbell's (2001) neutral theory as an example of a drift-based approach in  
152 two categories, i.e. (i) only implicit conclusions for conservation efforts can be drawn and (ii)  
153 explicit conservation conclusions are drawn in the study. Finally, we will synthesize our findings  
154 by evaluating the beneficial and detrimental consequences of drift-based approaches such as  
155 the neutral theory for conservation and highlighting the implications of current developments in  
156 biodiversity theory for conservation.

157

## 158 **Survey Methodology**

159 We searched the Web of Science for papers published between 2001 and July 2018 to obtain a  
160 sample of studies linking neutral theory and nature conservation (see Appendix S1 for a  
161 documentation of search terms). To be included in this review, a publication had to match one  
162 of the following criteria: i) It had to address a link between niche theory and conservation; ii) it  
163 had to introduce a new approach based on neutral theory, which could theoretically be applied  
164 in conservation; or iii) it had to address an already established approach for the application of  
165 neutral theory in conservation (see Appendix S2 for time series of publication counts for  
166 different search terms). Although we have considered any theory that was named "neutral" in  
167 our query, a focus on Hubbell's (2001) neutral theory emerged from the search, because it was  
168 by far the most commonly mentioned neutral theory.

169



170 **Selection-based niche theory and conservation**

171 The niche concept is firmly established as a basis for decision-making in modern nature  
172 conservation. A majority of nature conservation studies therefore implicitly or explicitly refer to  
173 the niche concept and its derivations. As explicit examples, ecological niche models have been  
174 used for the delineation of conservation areas (Eppink & van den Bergh 2007; Girardello et al.  
175 2009; Cianfrani et al. 2013; Mateo et al. 2015), the assessment of habitat loss (Barrows et al.  
176 2008, 2011), and invasive species management (Caplat et al. 2013; Vicente et al. 2013; Guisan et  
177 al. 2014; Thalmann et al. 2015).

178

179 *Ecological niche modeling*

180 Ecological niche modeling is widely used to predict potential distributions of organisms in space  
181 (Giovanelli et al. 2008; Murray et al. 2011; Vasconcelos et al. 2012). Niche models provide  
182 guidelines for the selection of conservation areas and future strategies in conservation planning  
183 (e.g. Vega Rivera et al. 2011; Tobler & Morehouse 2013). Beyond theoretical use, niche models  
184 are focused on explicit locations and landscapes (Girardello et al. 2009). This spatial context can  
185 for example help to evaluate the range in which a species is protected by current conservation  
186 areas (Ochoa-Ochoa et al. 2009) or to identify priority areas for future conservation reserves,  
187 even without empirical data on species distributions in these areas (Ortiz-Martínez et al. 2008).  
188 Niche models are particularly useful under current climate change, because they can provide  
189 predictions of species responses to future environmental variation (Kleinbauer et al. 2010).

190 These predictions may lead to the modification of current conservation programs or the  
191 establishment of new conservation actions.

192

### 193 *Habitat loss and habitat fragmentation*

194 Habitat loss can only be critically assessed under the consideration of former geographical  
195 distributions of species. This can be estimated by niche models combined with abiotic variables  
196 that are independent of anthropogenic influence (Barrows et al. 2008; Escobar et al. 2015).  
197 These historical distributions can further be used to locate suitable areas for restoration and  
198 reintroduction of species (Barrows et al. 2008). Habitat loss often leads to habitat fragmentation  
199 that can reduce viability of the species in a community. Therefore, a core objective of biological  
200 conservation is to maintain linkages between habitats, such as in the form of habitat corridors.  
201 Again, niche models have been used to identify pertinent linkages, which can then be proposed  
202 as conversation areas (Barrows et al. 2011).

203

### 204 *Invasive species*

205 Niche-related analyses also estimate the hypothetical distribution of non-native invasive species  
206 (Peterson & Robins 2003; Giovanelli et al. 2008; Kleinbauer et al. 2010; Murray et al. 2011). A  
207 common output is the determination of containment boundaries for ecological threats to local  
208 communities (e.g. Giovanelli et al. 2008; Murray et al. 2011). Furthermore, the results of niche-  
209 based analyses have been used to derive invasion potentials and to explain why species can

210 invade new communities (Murray et al. 2011; Tobler & Morehouse 2013).

211

## 212 **Neutral theory with implicit conservation implications**

213 Neutral models, which implement neutral theory by involving different degrees of drift and  
214 stochasticity, have generally been used to answer questions on species richness and extinction  
215 at different spatio-temporal scales (Adler & Muller-Landau 2005; Babak & He 2009; Dornelas  
216 2010). Neutral models have also been developed to compare the effects of different levels of  
217 ecological disturbance on species richness and abundances (Bell 2000; Kadmon & Benjamini  
218 2006). This is particularly important if the conservation target is to preserve the current  
219 community composition, because these models might show whether and how disturbances  
220 alter current community composition. Such insights should be considered in the design of the  
221 corresponding conservation actions.

222         Meta-community dynamics have recently found entrance to nature conservation studies  
223 (Gimona et al. 2012; Diaz et al. 2013). Neutral theory-derived models can be used for  
224 explorations of meta-community theory, because of the appropriate representation of species,  
225 and especially of rare species, in neutral theory (Driscoll & Lindenmayer 2009) and the recent  
226 advances in spatially-explicit implementations of neutral models (e.g. Rosindell & Cornell 2013).

227         Spatially-explicit versions of the neutral theory have proven their ability to explain  
228 spatial patterns in several studies (e.g. Gardner & Engelhardt 2008; Yakimov et al. 2014). For  
229 instance, Gardner and Engelhardt (2008) developed a spatially explicit neutral simulation model

230 of a plant community to reduce the initially high dimensionality of the study. Their neutral  
231 model showed that population establishment depended on long-distance dispersal, but  
232 population persistence on aggregated spatial distributions of species. For desired species, the  
233 potential conservation implication of this study would be to increase landscape conductivity to  
234 promote long-distance dispersal and to preserve areas for species aggregates. In general,  
235 predictions from spatial neutral models can thus easily be applied to real landscapes, which can  
236 simplify conservation efforts.

237

### 238 **Neutral theory with explicit conservation implications**

239 Explicit conservation implications are formulated only in a few studies that address neutral  
240 theory or neutral models. These studies aim to delineate protected areas, approximate species  
241 richness measures and predict extinction rates.

242

#### 243 *Habitat fragmentation and protected areas*

244 A major concern of conservation is habitat fragmentation, since it is one of the primary causes  
245 of species loss (Tilman et al. 2001; Rands et al. 2010). However, only few studies link habitat  
246 fragmentation and neutral theory. In one of these studies, Babak & He (2008) investigated  
247 habitat fragmentation impacts on species diversity with a neutral simulation model for species  
248 abundance dynamics in two local communities. These two local communities are connected to a  
249 regional meta-community. In essence, this is similar to a source-sink meta-population approach

--

250 where the subpopulation dynamics rely on drift. In this neutral simulation model, migration  
251 between local communities leads to a homogenization of community composition, whereas  
252 immigration from the meta-community into local communities causes community  
253 differentiation. Hence, the size of spatial habitat fragments mattered for biodiversity, calling for  
254 a conservation strategy implementing “several small” reserves rather than one “single large”  
255 reserve (see SLOSS debate, Simberloff & Abele 1976). With a similar spatial neutral modelling  
256 approach, Economo & Keitt (2010) found that geographic isolation reduces biodiversity only at  
257 certain critical scales that depend on the relative rates of dispersal and evolution in the model.  
258 Based on results from a spatial neutral meta-community model, Economo (2011) further  
259 suggests that protected areas rather than biodiversity representation should be maximized to  
260 achieve long-term biodiversity conservation.

261

### 262 *Biodiversity and extinction*

263 Biodiversity is a major concern for conservation efforts, first because it is considered of value in  
264 itself, second because it often is the basis for ecosystem functioning (Hooper et al. 2005).  
265 Tropical rain forests are hotspots of biodiversity with a distribution that has a long tail of rare  
266 species, which can be described by the neutral theory (Hubbell 2001). Similarly, urban soil mite  
267 biodiversity has successfully been described with stochastic models based on neutral theory  
268 (Caruso et al. 2017). Furthermore, Hubbell (2013) identified the linkage between absolute  
269 abundance and geographic range of species as fundamental for conservation in tropical regions.  
270 For instance, Hubbell et al. (2008) were able to estimate stand characteristics such as number,

271 relative abundance and range size for trees in an Amazonian meta-community by implementing  
272 neutral assumptions. They predicted the rate of extinction for overall species numbers under  
273 different scenarios. Similarly, Chisholm et al. (2018) used neutral models to place upper and  
274 lower bounds on species loss with respect to different degrees of habitat destruction. Hence, if  
275 neutral models generate realistic range distributions and species abundance distributions, they  
276 can not only deliver a quantification of species extinctions to conservation practitioners, but  
277 also inform strategies to combat species extinctions. Such a neutral theory-derived strategy  
278 would be to preserve stochastic processes and especially environmental stochasticity (Danino et  
279 al. 2016) in protected areas instead of single species protection (Table 1). However, caution  
280 needs to be taken, because the same community-level pattern can result from different  
281 mechanisms, so alternative theories about the mechanisms need to be ruled out, before such a  
282 strategy is implemented. Furthermore, species vulnerability has been assessed for several global  
283 change scenarios by analyzing the degree of connectivity for estuarine communities along the  
284 Iberian coast (Chust et al. 2013). By combining these correlative analyses with neutral theory, a  
285 prioritization of the most vulnerable species and habitats for conservation plans was achieved.  
286 Neutral theory has also been used to construct null models as a standard for comparisons of  
287 plant and insect species loss under land-use change (Uchida et al. 2016) and to determine  
288 extinction risk under yearly or decadal climate changes (Fung et al. 2016). Halley et al. (2014)  
289 provide a framework based on neutral theory linked to species area-relationships that can be  
290 used to evaluate results from extinction forecasts or simulated habitat contractions. Their work  
291 improves the distinction between imminent and delayed extinction debts by clarifying the  
292 relationship between extinction debt and species-area relationships. Where neutral theory

293 applies, this framework can highlight the driving forces of extinction processes in small remnant  
294 areas and can be used to define area requirements of species and communities as a basis for  
295 planning protected areas.

296

### 297 *Invasive species*

298 Habitats that are more prone to species invasion are often created by stochastic disturbances  
299 (Daehler 2003; Davis et al. 2005) promoting drift processes. This calls for including stochasticity  
300 into ecological models of community dynamics. In their review of invasion of natural  
301 communities by alien species, Daleo et al. (2009) highlighted this need of including stochasticity  
302 in models of community structure. They emphasize that biological invasions and the ecological  
303 patterns that arise from invasions indicate that trait differences between species are not  
304 necessarily the only drivers of ecological patterns. Therefore, conservation research should  
305 consider stochastic processes and drift. Note that whereas stochasticity and drift are by  
306 definition included in neutral theory, they can also be included in niche theory. Including  
307 stochasticity in invasion models has been shown to be justified: Herben (2009) observed broad  
308 agreement between the predictions of a simple neutral model with observations from field  
309 studies with respect to invasion patterns, such as i) enhanced community invasibility after  
310 disturbance, ii) positive relationship between residence time of invasive species and success of  
311 invasion, iii) constant ratio of successful invasive species, and iv) higher growth rates of invasive  
312 species in comparison to native species. Neutral theory, therefore, seems capable of elucidating  
313 invasion processes and provides tools for conservation cases that do not require species-specific

314 assumptions. In those cases, invasion would only require conservation intervention if there are  
315 marked effects on the whole community and not only one native species is replaced by one  
316 invasive species (Table 1).

317

## 318 **Discussion**

319 Neutral and niche theories of biodiversity (or drift- and selection-based approaches) are  
320 complementary in their consequences for conservation, especially with respect to species  
321 conservation. A niche perspective leads to a focus on interactions of species with the  
322 environment and with other species. Neutral approaches ignore such interactions and highlight  
323 similarities between species and the influence of stochastic processes. In strongly niche-  
324 structured communities, protection of single species and niches as well as eradication of  
325 invasive species can be justified. In communities where drift and stochasticity are important,  
326 neutral approaches add weight to the argument of conservation practitioners to protect  
327 communities, processes and areas instead of single species. Invasive species would be treated  
328 as any other species in a community, since all individuals, and thus all species, are ecologically  
329 equivalent in neutral theory (Hubbell 2001).

330 Neutral and niche theories have similar fields of application in conservation, but show  
331 great differences in their frequency of application. We found that niche theory still is the main  
332 theory driving conservation decisions. For instance, niche modelling is a very common  
333 technique and has been applied to plan protected areas, assess habitat fragmentation and



334 derive invasion potential of exotic species. Contrastingly, our review shows that approaches  
335 based on neutral theory have found much fewer explicit uses in conservation applications.  
336 Implicit applications of neutral assumptions can be found in several conservation contexts  
337 including stochastic disturbances or addressing meta-communities with many rare species.  
338 Explicit applications of neutral theory have dealt with species-abundance distributions, species-  
339 area relationships, habitat fragmentation, invasion patterns and extinction probabilities.  
340 Analogous to the heated debates that have accompanied the publication of the neutral theory  
341 (e.g. Ricklefs 2003, 2006), considering neutral theory in the context of conservation has its  
342 benefits and caveats.

343         One of the benefits of neutral theory and drift-based approaches in general is that they  
344 promote an explicit consideration of stochasticity (Alonso et al. 2006) at the level of populations  
345 (reproduction, mortality) and communities (colonization, speciation; Fig. 2). This mirrors the  
346 awareness of conservation biology that stochasticity, such as in the form of demographic  
347 stochasticity (Lee et al. 2011), environmental stochasticity (Stacey & Taper 1992), or random  
348 catastrophes (Lande 1993) can have huge impacts on species extinctions and community  
349 composition. The focus of neutral theory on individuals and abundances may at first glance  
350 differ from the traditional focus of conservation biology on species. However, individuals are  
351 important in conservation biology: single individuals start an invasion, make up the small  
352 populations of rare species, are the level of natural selection or use wildlife bridges and connect  
353 populations. Moreover, whenever knowledge about species traits is sparse, assuming ecological  
354 equivalence of species, at least as a first step, might be more suitable than making arbitrary

355 assumptions about trait values (Rosindell et al. 2012) (Fig. 2). Neutral theory, especially in its  
356 spatially-explicit versions, also highlights the importance of spatial processes such as dispersal  
357 and can predict reliable species-area relationships (Rosindell & Cornell 2007), which are so  
358 important for conservation. In conservation, spatial relationships play an important role, for  
359 instance in the context of delineation of protected areas (Patiño et al. 2014), connectivity of  
360 meta-populations and meta-communities, and habitat fragmentation. It is thus not surprising  
361 that Holt (2006) declares the neutral theory as crucial for understanding fundamental processes  
362 of community ecology and applying this understanding to conservation issues.

363         One disadvantage in applied contexts of neutral theory is that disregarding conspicuous  
364 differences between species has proven counterintuitive to many ecologists and  
365 conservationists. In contrast, niches are an appealing concept, because they explain community  
366 assembly based on the observable species differences. Hence, conclusions drawn on the basis of  
367 models based on neutral theory may be less acceptable to stakeholders and conservation  
368 funders than those based on niches. This should not be used as an argument against the  
369 application of neutral models in itself, but may be one reason for the fact that we found only  
370 few explicit neutral theory-related studies in the context of conservation biology. Another  
371 reason might be the *de facto* limited range of application of Hubbell's neutral theory to sessile  
372 organisms within the same trophic level in homogeneous areas (for one of the very few  
373 exceptions see Ofiteru et al. 2010). Consequently, in their compilation of the influential  
374 ecological theories with respect to forest biodiversity conservation, Schulte et al. (2006) list  
375 niche theory and island biogeography theory, but not Hubbell's neutral theory. Clark (2009)

376 cautions against the loss of process knowledge should neutral theory be adopted in biodiversity  
377 science. Based on these arguments, there are claims to call the applicability of neutral theory to  
378 conservation contexts entirely into question (e.g. Clark 2009; Bode et al. 2012). We argue,  
379 however, that the fact that neutral theory applies only to some and not all cases should not  
380 result in a blanket ban of the theory. We rather advocate a conscious choice and mix of drift and  
381 selection, based on the degree to which assumptions of the one or other theory are fulfilled.

382 Theory in general may also be considered a source of confusion in normative  
383 conservation practice, because theory often is perceived to be abstract, complex, and only  
384 accessible to theoreticians. This applies to all biodiversity theories, not only the neutral theory.  
385 According to Driscoll & Lindenmayer (2012), this confusion can be overcome if the predictive  
386 capacity of theory is better delineated, so that appropriate conservation applications can be  
387 identified. Here, both the simple assumptions and the limited, but clear set of possible  
388 predictions of neutral theory are advantageous. Thus, neutral theory can serve as a null model  
389 or a first-order approximation with the chance to “fail in informative ways” (Rosindell et al.  
390 2011). Such an informative failure can lead to the successive addition of more assumptions,  
391 such as in the form of different degrees of niche structure, until realistic patterns are produced.  
392 More complex models can thus be deduced from neutral theory as a starting point (Fig. 2).  
393 Conservation can benefit indirectly (dashed arrow in Fig. 2) from such applications of neutral  
394 theory if they lead to more accurate models for the projection of population and community  
395 dynamics. This approach also changes the point of view from an unquestioned niche perspective  
396 to a conscious and parsimonious choice of the amount of niche structure that is necessary to

397 describe a given community. Thus, theory can be of great benefit to conservation if it is well  
398 defined and can flexibly be adapted to the case at hand.

399 Integrative biodiversity theories that accommodate both neutral and niche processes at  
400 variable proportions comply with Bode et al.'s (2012) call for new ecological theories that can  
401 better inform conservation (Fig. 2). Based on their study of species-area relationships as a basis  
402 of protected area design, Patiño et al. (2014) argue that rather than relying on a single  
403 framework that includes either niche or neutral parameters, the focus should shift further to  
404 integrative approaches that take advantage of both theories. Attempts to integrate niche and  
405 neutral processes into a single framework include stochastic niche theory (Tilman 2004),  
406 continuum theory (Gravel et al. 2006), a generalized neutral theory including environmental  
407 stochasticity (Bewick et al. 2015; Kalyuzhny et al. 2015) and a mathematical blend of both  
408 concepts (Noble & Fagan 2015), as well as the rising concept of emergent neutrality (e.g. Holt  
409 2006). For instance, Noble & Fagan (2015) explicitly highlight the capacity of their blended  
410 model to quantify the impact of forces that stabilize or destabilize niches on population  
411 extinction times. Niche theory states that species need to be sufficiently different to coexist.  
412 Emergent neutrality additionally predicts that at evolutionary time scales, the opposing effects  
413 of habitat filtering and niche differentiation lead to the coexistence of ecologically similar  
414 species, resulting in effective ecological equivalence (Carmel et al. 2017; Scheffer & van Nes  
415 2006). The progress in these hybrid models revealed the necessity of including further factors  
416 beyond those covered by niche or neutral theory alone (Kalyuzhny et al. 2014). This as well as  
417 generally considering more theory in conservation would advance the understanding of the

418 dynamic properties of communities, which is of crucial importance for conservation decision-  
419 making.

420 In conclusion, neutral approaches have thus far been used in only few conservation  
421 studies compared to the popularity of niche-based approaches. However, this does not always  
422 reflect factual restrictions in the applicability of one or the other approach to a certain  
423 conservation question. Therefore, it seems prudent to open-mindedly consider both niches and  
424 neutrality in models and concepts that are intended to inform conservation actions. Such  
425 integrative models may start with purely neutral dynamics, especially but not only where  
426 stochasticity is known to be important, where communities are in the focus rather than single  
427 species, or where data on species properties are scarce. Then, adjustable levels of niche  
428 structure are added until differences between model output and reality are minimized (e.g.  
429 Buschke et al. 2016). This shift of perspective towards approaches that unify niche and neutral  
430 views has been very much supported recently (Matthews & Whittaker 2014). Overall,  
431 conservation practitioners and ecologists should thus work towards identifying and applying the  
432 suitable mix of neutrality and niche for their particular cases as a basis for successful future  
433 conservation.

434

### 435 **Supporting Information**

436 A table with search terms (Appendix S1) and figures with time series of paper counts (Appendix  
437 S2) are available online. The authors are solely responsible for the content and functionality of

438 these materials. Queries (other than absence of the material) should be directed to the  
439 corresponding author.

440

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445

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672 and coral reefs. *Nature* **450**:45–49.

673 **Table 1:** Conservation actions in the form of questions for drift-dominated and selection-dominated  
 674 communities (Communities A and B in Fig. 1) when the conservation target is to protect biological  
 675 diversity and intrinsic or utility values of species are ignored. Drift is the core process in neutral theory  
 676 whereas selection is central to niche theory.

Conservation action	Drift-dominated community (A)	Selection-dominated community (B)
<ul style="list-style-type: none"> <li>Should particular species, i.e. species identities (including flagship species), be protected?</li> </ul>	No, since all species are equivalent	Yes, because differences in species identities matter for establishment and survival
<ul style="list-style-type: none"> <li>Should species richness, i.e. number of species, be protected, regardless of species identity?</li> </ul>	Yes, because large communities usually are more stable than small communities (e.g. Brose et al. 2006)	No, species identity should also be considered
<ul style="list-style-type: none"> <li>Should protection focus <b>more</b> on areas than on community composition, i.e. species identities?</li> </ul>	Yes, because protected areas protect the ecological processes within a community, including drift	No, even though protected areas can of course be a highly effective means to protect species identities
<ul style="list-style-type: none"> <li>Should rare species receive special attention?</li> </ul>	No, even if rare species go extinct, they will eventually be replaced by an equivalent species via drift	Yes, because they are not replaceable once extinct
<ul style="list-style-type: none"> <li>Should an invasive species that replaces a single local species be combatted?</li> </ul>	No, because all species are equivalent (yes, if we target global species richness and a local endemic is lost)	Yes, because species identities are not replaceable
<ul style="list-style-type: none"> <li>Should an invasive species that reduces local species richness be combatted?</li> </ul>	Yes, because large communities usually are more stable than small communities (e.g. Brose et al. 2006)	Yes, because species identities are not replaceable

677

678 **Figure legends**

679

680 **Figure 1:** Communities are shaped to different degrees by drift and selection processes.

681 Community A represents a community that is dominated by drift processes and community B a

682 niche-structured community where selection processes dominate. Neutral communities often

683 are species-rich (Hubbell 2006), but not very large in terms of individuals (Vellend 2010),

684 whereas niche-structured communities are often large communities with few species (but the

685 reverse does also occur). Disturbances can lead to declines in community size and enhance drift

686 processes (Vellend 2010), whereas extinction and dispersal can reduce species richness and thus

687 probably increase the importance of selection and niche processes.

688

689 **Figure 2:** The neutral theory of biodiversity can affect conservation directly (solid arrows) and

690 indirectly via its influence on the scientific community and on modelling practice (dashed

691 arrows).

Figure 1

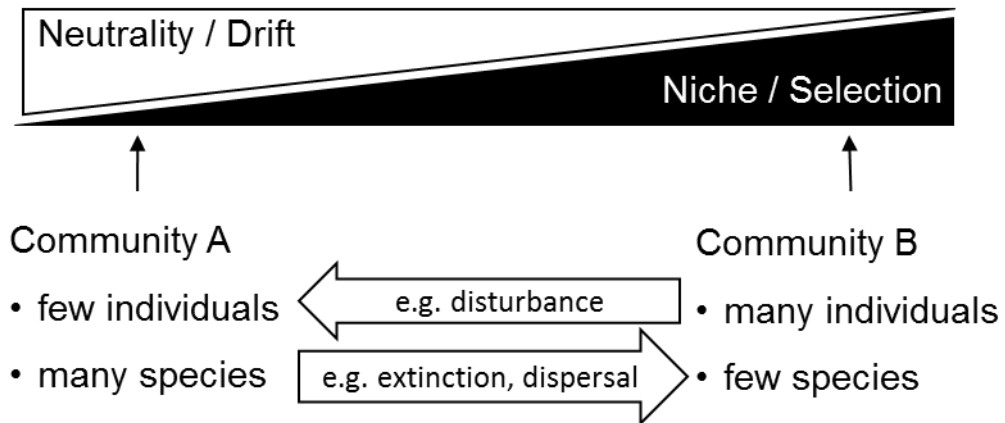




Figure 2:

