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Recent dating of extinct Atlantic gray whale fossils, (Eschrichtius robustus), Georgia Bight and Florida, western Atlantic Ocean

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The Atlantic gray whale (Eschrichtius robustus) presents an interesting case study of climate related dispersal and local extinction. While (limited) fossil records confirm its presence in the Atlantic until the 18th century, its abundance and distribution with the Eastern and Western basins are still not well understood. The discovery of presumed gray whale fossil remains from the Georgia Bight and Atlantic coast of Florida from the mid-1980s to late-2000s, provide a new opportunity to recover additional data regarding their chronology within the Western basin. Here, we apply AMS (accelerator mass spectroscopy) radiocarbon dating technique to eight fossil whale finds, identifying dates within Marine Isotope Stage (MIS) 3 (59-24 ka) and the late Holocene, ~ 2000 cal yr BP. We additionally confirm the taxonomic identification of two fossil bone samples as *E. robustus* using collagen peptide mass fingerprinting (Zoo MS). The obtained dates, when combined with a larger corpus of previously published Atlantic gray whale fossil dates, support the hypothesis for a decline of the Atlantic gray whale in the late Pleistocene and the late Holocene. These new data augment other recent findings from the Eastern Atlantic basin and better incorporate the Western Atlantic basin into a pan-ocean understanding for the species.

1 Recent dating of extinct Atlantic gray whale fossils (*Eschrichtius robustus*), Georgia Bight and

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- 3
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- 9
- 10 ABSTRACT
- 11 The Atlantic gray whale (*Eschrichtius robustus*) presents an interesting case study of climate
- 12 related dispersal and extinction. While (limited) fossil records confirm its presence in the
- 13 Atlantic up until the 18th Century, its abundance and distribution within the Eastern and
- 14 Western basins are still not well understood. The discovery of presumed gray whale fossil
- 15 remains from the Georgia Bight and the Atlantic coast of Florida, from the mid-1980s to late-
- 16 2000s, provide a new opportunity to recover additional data regarding their chronology within
- 17 the Western basin. Here we apply AMS (accelerator mass spectrometry) radiocarbon technique
- 18 to eight fossil whale finds, identifying dates within Marine Isotope Stage (MIS) 3 (59-24 ka) and
- 19 the late Holocene, ~2,000 cal yr BP. We additionally confirm the taxonomic identification of two
- 20 fossil bone samples as *E. robustus* using collagen peptide mass fingerprinting (ZooMS). The
- obtained dates, when combined with a larger corpus of previously published Atlantic gray
- 22 whale fossil dates, support the hypothesis for the decline of the Atlantic gray whale in the late
- 23 Pleistocene and the late Holocene. These new data augment the findings of the Eastern
- 24 Atlantic Basin and better incorporate the Western Atlantic Basin into a pan-ocean
- 25 understanding for the species.
- 26

27	Key words: gray whale, western Atlantic basin, paleontology, stable isotopes, radiocarbon
28	dating, protein studies.
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31	
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33	1. Introduction
34	Recent studies have suggested that changes in species distribution during the Late
35	Pleistocene–Early Holocene transition were caused both by habitat tracking as well as by
36	extirpation of populations outside of isolated habitat refugia (Dalén et al. 2007, de Bruyn et al.
37	2011, Hofreiter et al. 2004, Hofreiter 2008, Hofreiter and Stewart 2009; Stewart, 2009). The
38	gray whale (Eschrichtius robustus) presents an interesting case study by which to study this type
39	of climate-related dispersal and extinction. Based on fossil evidence, the gray whale was
40	present in both the North Pacific and North Atlantic during the Late Pleistocene and early
41	Holocene, and migration from the Pacific to the Atlantic is thought to have been strongly
42	shaped by Pleistocene climate shifts affecting potential dispersal routes and benthic feeding
43	habitats. Both fossil and historical accounts suggest that the Atlantic gray whale was extinct in
44	the North Atlantic by the mid-1700's (Mead and Mitchell 1984; Lindquist 2000), with both
45	climate and anthropogenic factors implicated in its demise. Nevertheless, due to a paucity of

fossil data in both the Eastern and Western basins, the diachronic distribution and abundance
of gray whales prior to their disappearance is not well understood.

48 The monotypic gray whale family (Eschrichtiidae) is one of four families within the 49 Cetacea suborder Mysticeti. Taxonomically, the phylogenetic placement of Eschrichtiidae has 50 been controversial, with several suggested topologies (*i.e.*, McLeod et al. 1993; Arnason et al. 51 1992, 1993; Arnason and Gullberg 1994, 1996; Sasaki et al. 2005). Ironically, the extant gray 52 whale *Eschrichtius robustus* was originally described (as *Balaenoptera robusta*) based on a Holocene fossil skeleton from Gräsö, Sweden (Lilljeborg, 1861; English translation in Lilljeborg, 53 1867). E. robustus was discovered as a living animal in the Pacific shortly thereafter. As the 54 North Atlantic gray whale population went extinct prior to formal analysis of its taxonomy 55 56 (Barnes and McLeod 1984; Lindquist 2001), it was not clear if the Atlantic and Pacific 57 populations represented distinct species. Recent genetic comparisons of North Atlantic fossil material and extant North Pacific populations by Alter et al. (2015), however, confirmed that 58 they represent the same species, connected through intermittent inter-ocean exchange or 59 60 dispersals from the Pacific during openings of the Bering Strait (Alter et al. 2015). Moreover, combined radiocarbon dating and genetic results led Alter et al. to conclude that "dispersal 61 62 between the Pacific and Atlantic was climate-dependent, and occurred – at least twice - both during the Pleistocene prior to the last glacial period, and the early Holocene immediately 63 following the opening of the Bering Strait." 64

In the Eastern Atlantic Basin, Pleistocene marine mammal taxa are known from the
 southern part of the North Sea and the Eurogeul – recovered during the recent channel

67 deepening project of the Rotterdam Harbor. Based on morphology and radiocarbon dates, the 68 fossils from the Eurogeul locality belong to a cold Late Pleistocene fauna (Mol et al. 2006), and 69 confirm with certainty the Late Pleistocene as well as the Holocene occurrence of gray whales in the eastern Atlantic Ocean. In contrast, only a dozen fossils have been recovered from the 70 71 Western Basin of the Atlantic, with the majority of dated remains corresponding to Holocene (Mead and Mitchell 1984; Bryant, 1995). Only one study to date has recorded a late Pleistocene 72 73 presence in this region (Noakes et al. 2013), raising questions about the extent to which the 74 Western Basin was frequented by gray whales prior to the Holocene, and the genetic 75 relationship between Western and Eastern populations of Atlantic gray whale. To date, 76 relatively few archaeological or paleontological gray whale specimens have been identified on 77 the Eastern seaboard of North America, and ancient DNA (aDNA) surveys of historic whaling assemblages in this region have failed to detect any additional gray whale specimens (Rastogi et 78 79 al. 2004; McLeod et al. 2008). This overall paucity of fossil and genetic data makes it difficult to 80 draw meaningful conclusions about the abundance, distribution, and chronology of Atlantic gray whales in the Western Basin during both the Pleistocene and Holocene. 81

Here we apply AMS dating to new fossil gray whale finds from Florida and Georgia, and confirm taxonomic identifications using collagen peptide mass fingerprinting. Our results provide new evidence for the Late Pleistocene presence of gray whale in the Western basin, and combined with other fossil dates for the Atlantic, support the decline of Atlantic gray whales in the Pleistocene prior to post-glacial re-population.

87 **2. Methods**

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88	In our study, we analyzed eight new fossil gray whale specimens from the western
89	Atlantic Ocean. We applied AMS dating to the finds, as well as collagen peptide mass
90	fingerprinting to confirm taxonomic identifications based on standard morphological
91	comparisons. Two skulls were found on Florida beaches (Fig. 1), four dentary elements and two
92	vertebrae were recovered from an underwater site, offshore Georgia (Noakes et al. 2009;
93	Garrison, et al. 2012b; Noakes et al. 2013) (Figs. 1, 2, 3).
94	
95	The gray whale fossils from the Gray's Reef and JY Reef localities, offshore Georgia, are
96	cataloged in the paleontology collection of the Georgia Museum of Natural History (GMNH),
97	University of Georgia, Athens. The fossils from the Hobe Sound and Jacksonville Beach localities
98	in Florida are cataloged in the vertebrate paleontology collection of the Florida Museum of
99	Natural History, University of Florida (specimen acronym UF), Gainesville.
100 101 102 103 104 105 106 107 108	Figure 1. The Georgia Bight and Florida Peninsula, showing the location of the gray whale specimens discussed in the text. These records include specimens from the JY Reef and Gray's Reef National Marine Sanctuary in the Georgia Bight and Jacksonville Beach and Hobe Sound on the Atlantic Coast of Florida.
109 110 111 112 113 114 115 116 117	Figure 2. The late Pleistocene and Holocene specimens of the gray whale (<i>Eschrichtius robustus</i>), Research Ledge site, JY Reef, Georgia Bight used in this study. A: Lateral views of three dentary fragments (GMNH accession numbers, 00-28-09, 00-28-10, 00-28-13), B: Lateral view of right dentary, (GMNH 4281) with comparison to North Sea specimen (top), Rotterdam Museum of Natural History collections, scale is 20 cm; D: anterior (top) and posterior (bottom) views of two thoracic vertebrae, C (number 4024), D. (GMNH 4215). Measurements of these specimens are in Table 1.

Figure 3. Two partial Holocene skulls of gray whales (*Eschrichtius robustus*) from Florida (USA). 118 Top row (A-C), Jacksonville Beach (UF 99000), A. posterior view, B. right lateral view, C. ventral 119 view; Middle row (D-F) Hobe Sound National Wildlife Refuge (NWR) (UF 69000), D. posterior, E. 120 right lateral and F. ventral views); Bottom row, two views of the left periotic (internal ear bone) 121 122 from the Hobe Sound skull. Both braincases are relatively intact from the condyles to the 123 broken frontals. All Scale bars are 10 cm; overall dimensions in Table 1. 124 Fossil localities of the Georgia and Florida gray whales 125 The Georgia locality is J-Reef a low exposure of shell beds about 16 km north of the 126 127 Gray's Reef (fig. 1). 128 129 At J-Reef the shell beds are in a conformable relationship with finer grained 130 131 sediment that dates to no earlier than the late Pleistocene (Garrison, et al, 2012). 132 133 Both vertebrae and the three dentary (?) fragments shown in figure 2 were recovered from the fine sediment or adjacent to the outcrop on the sea floor and are largely incomplete and badly 134 eroded with little cortical bone present. Both are lacking complete neural arch, spinous, and 135 transverse processes except for the remnants of their bases. Provisionally, we assign the larger 136 137 of the vertebrae (4215; GMNH-27370) to the thoracic portion of the vertebral column. In cross 138 section, and ventrally, this vertebra preserves a low ridge not seen in the other specimen. 139 During subsequent dives, in fall 2006, the large fossil dentary was discovered partially embedded in the coquina (fig. 2 b). 140 141 142 The following details on the discovery and exact location of the gray whale skull from Hobe Sound (Fig. 3, Specimen UF 69000) were provided by Burkett S. Neely, Jr. (in litt., 6 June 143 1983) of the U. S. Fish and Wildlife Service (USFWS). The skull was discovered by a Mr. and Mrs. 144 Kornit on 19 January 1983 at the edge of the surf on the northern end of Jupiter Island about 10 145 km south of St. Lucie Inlet, on the Hobe Sound National Wildlife Refuge (NWR), Martin County, 146

147 southeastern Atlantic Coast of Florida (fig. 1). Exact coordinates for the Hobe Sound skull are 148 27°07'40" North latitude and 80°08'45" West longitude. The skull was collected later that same 149 day by USFWS personnel. The discovery of the Hobe Sound skull apparently coincided with extensive beach erosion that occurred during a strong winter storm. Through the generosity of 150 151 Burkett S. Neely, Jr. and the USFWS, the Hobe Sound gray whale skull was placed on permanent loan in the vertebrate paleontology collection of the Florida Museum of Natural History 152 153 (FLMNH), University of Florida (UF), Gainesville (catalogue number UF 69000). Mead and 154 Mitchell (1984, p. 48) stated that "The specimen consists of most of the cranium of what looks like an adult..." However, upon closer examination, this skull consists only of a braincase, 155 156 including both periotics (Fig. 3), and is from a very young individual or calf probably less than a 157 year old. Robert K. Bonde (in litt, 19 July 1983), likewise, estimated that this specimen was from 158 a very young calf, probably less than three months old. The Hobe Sound skull represents the 159 southernmost record of E. robustus in the Atlantic Ocean, based on distributional maps in Mead 160 and Mitchell (1984). Attached to the internal portion of the periotic (Fig. 3) of this juvenile skull is a small sample of sediment, consisting of medium to coarse quartz sand and fragments of 161 mollusk shells, forming a semi-indurated "coquina." This coquina sand, possibly deposited on a 162 163 beach or in a nearshore marine environment, was probably the original sediment in which the skull was preserved before being dislodged during a storm and washed up on the beach. 164

165

The second gray whale skull from Florida (FLMNH Specimen UF99000) was collected during the 1970s by Jesse S. Robertson of Jacksonville University on the beach at Jacksonville Beach, Duval County, northeastern Florida (approximate coordinates, 30°17'N, 81°23'W) (figs.

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169	1). Although the collector was unable to remember the specific details surrounding the
170	discovery of this fossil, he did recollect it was found after a strong storm. The Jacksonville Beach
171	gray whale skull consists of a braincase of a juvenile, compared to that of the younger calf from
172	Hobe Sound.
173 174 175 176 177 178	
179 180	Carbon isotope analysis and AMS dating
180	For the stable isotope analysis of samples we used both a Finnigan MAT 252 mass
182	spectrometer, which is a dual-inlet mass spectrometer as well as a National Electrostatics
183	Corporation Model 1.5SDH-1 Pelletron 500 kV compact Accelerator Mass Spectrometer AMS)
184	unit for precise analyses of carbon isotopes ¹² C, ¹³ C and ¹⁴ C. The Finnigan spectrometer is a
185	double collector gas source mass spectrometer and allows for the measurement of two
186	isotopes of oxygen and three isotopes of carbon with the double collector. It measures the ratio
187	on the sample and the standard by alternating dual inlets. By contrast the Model 1.5SDH-1
188	Pelletron 500 kV only analyzed for the three isotopes of carbon using Faraday cup collectors at
189	the end of the beam line array.
190	
191	All samples were quite poorly preserved and did not retain sufficient collagen for AMS
192	analysis, so we applied the bioapatite fraction dating technique (Cherkinsky 2009). Specimens
193	were chemically pretreated to remove all secondary carbonate and organics, but leave
194	bioapatite in the bone structure. The specimens were treated with a weak acetic acid

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195 (CH_3COOH) bath under vacuum. Once washed and dried the samples were treated with 196 phosphoric acid (H₃PO₄) in reaction tubes and heated to ensure removal of all carbonates. The bone samples generated CO₂ in the vacuum system and this gas was then analyzed in the mass 197 spectrometer against a Vienna Pee Dee Belemnite standard (VPDB) with the per mil [‰] values 198 199 of δ^{13} C reported against VPDB and δ^{18} O reported against VPDB *and* VSMOW. We have used the internal standards which are: 200 δ13C ‰ δ180 ‰ 201 202 Fisher -0.64 -14.90 A 1296 +2.56 -0.60 203 204 205 These standards were calibrated with National bureau of Standards (now NIST) NBS 19 δ 13C ‰ = +1.95 and δ 18O ‰ = +28.60. 206 207 208 For accelerator mass spectrometer isotopic analyses carbon dioxide was cryogenically purified from the other reaction products and catalytically converted to graphite using the 209 method of Vogel et al. (1984). Graphite ${}^{14}C/{}^{13}C$ ratios were measured by the Model 1.5SDH-1 210 Pelletron 0.5 MeV accelerator mass spectrometer. The sample ratios were compared to the 211 212 ratio measured from the Oxalic Acid I (NBS SRM 4990). The sample ¹³C/¹²C ratios were 213 measured separately using a stable isotope ratio mass spectrometer and expressed as δ^{13} C with 214 respect to VPDB, with an error of less than 0.1 ‰ 215

216 Protein Fingerprinting

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The two Florida specimens (UF 69000, UF 99000) were taxonomically identified through collagen peptide mass fingerprinting (PMF), a rapid and cost-effective technique, whereby taxonomic groups are discriminated based on difference in the collagen protein sequence. Robust species identification can be accomplished by comparing collagen peptide fingerprints with the fingerprints from known samples using mass spectrometry (Collins *et al.* 2010). The success of this method has already been demonstrated for ancient North Atlantic cetacean species, including Atlantic gray whale (Kirby *et al.* 2013; Buckley *et al.* 2014).

224 Sample preparation, mass spectrometry and data analysis followed that described in Buckley et al (2014) and Evans et al. (2015) at the BioArCh centre, University of York. Briefly, 225 226 between 10-30 mg of bone powder was fully demineralized through immersion in 0.6 M hydrochloric acid, followed by gelatinization in 100 μ l of 50 mMol ammonium bicarbonate at 227 65°C for 1 hour. The resulting collagen was incubated with 0.4µg of trypsin overnight at 37°C, 228 229 acidified to 0.1 % trifluoroacetic acid and purified using a 100 µl C18 resin ZipTip[®] pipette tip (EMD Millipore). The collagen extract was spotted in triplicate on a 384 spot MALDI target 230 plate, with calibration standards and run on a Bruker ultraflex III MALDI TOF/TOF mass 231 spectrometer. mMass software (Strohalm et al., 2008) was used to average spectra replicates 232 233 from each specimen, and compare to the list of m/z markers for marine mammals presented in 234 Kirby et al. 2013 and Buckley et al. 2014.

235

236

237 3. Results

238 Paleontology

239	Based on the paleontological analyses of the smaller vertebra (4024; GMNH-27373), we
240	provisionally assign this element to the thoracic portion of the spinal column. Both vertebrae
241	have many attributes that suggest they are eschrichtiid in origin.
242	
243	We compared the two Florida gray whale skulls to descriptions and photographs of
244	Eschrichtius robustus (True 1983; 1904, plate 47; Barnes and McLeod 1984, figs. 1, 2, 5A).
245	Because both Florida skulls consist only of the braincase, our comparisons are limited to
246	characters present in this anatomical region (Fig. 3; Table 1). Characters the Florida skulls share
247	with <i>E. robustus</i> include: small and steep occipital shield with prominent paired tuberosities;
248	large occipital condyles; large and posteriorly oriented paroccipital processes; and short,
249	massive zygomatic processes of the squamosals.
250 251 252 253 254	<i>Table 1.</i> Cranial measurements (in cm) of Quaternary gray whale (<i>Eschrichtius robustus</i>) skulls from Florida (this study).
255	On the basis of these similarities, we confidently refer the two Florida skulls to <i>E</i> .
256	robustus. The Hobe Sound skull was previously identified as a gray whale by several cetacean
257	specialists, including Robert Bonde, James Mead, Dan Odell, and Charles Potter. Both Florida
258	gray whale skulls represent immature individuals based on the unfused basioccipital-
259	basisphenoid suture (Table 1). The Hobe Sound skull (UF 69000) is most likely a newborn calf,
260	whereas the larger Jacksonville Beach skull (UF 99000) was probably several years old.
261	

262 A juvenile fossil gray whale cranium from Cape Lookout, North Carolina measured 80 cm 263 in width across the zygomatic processes (Mead and Mitchell, 1984). This compares to a width 264 across the zygomatic processes in the two juvenile skulls from Florida of 76 cm in UF 99000 and 60 cm in UF 69000 (width of squamosals in Table 1). An adult fossil gray whale cranium from 265 Corolla, North Carolina measured between 130 and 140 cm across the zygomatics (Mead and 266 Mitchell, 1984). The width of the occipital condyles in the adult from Corolla is 31 cm, 267 compared to 24 cm in UF 99000 and 22 cm in UF 69000 (Table 1). 268 269 Our diagnoses, together with earlier studies, are in line with that of two recently 270 reported Holocene-aged gray whale finds in the western Pacific, off Taiwan (Tsai et al. 2014). 271 272 That study identified the paired tuberosities on the occipital shield as ontogenetically diagnostic of Eschrichtiidae sp. (supra). Both Florida gray whale skulls demonstrate these paired 273 274 tuberosities, in particular UF 99000 (Fig. 3). 275 Furthermore, the collagen peptide mass fingerprinting results confirm the anatomical 276 identification of Gray Whale for the two Florida skulls (Fig. 4). Both skulls exhibited diagnostic 277 gray whale specific markers presented in Kirby et al. 2013 (specifically, the presence of 278 diagnostic collagen peptide F at 2899). 279 280 Figure 4. MALDI-ToF Collagen peptide mass fingerprints for specimens UF69000 and UF99000, labelled according to Buckley et al. 2014 and Kirby et al. 2013 modern; inset displays unique 281 282 gray whale peptide marker at 2899 for both specimens. 283

284 285 286 287	Radiocarbon (¹⁴ C) dates We dated the samples using the organic fraction and mineral fraction of bioapatite. The
288	overall morphology of the bones was very well preserved, however, the collagen fraction was
289	almost completely destroyed and the concentration of organic carbon was about 0.1% or lower.
290	In all four cases the bioapatite fraction radiocarbon age estimate was older (33-37.5 ka) than
291	that from the organic, collagen-like, fractions (8.3 to 23 ka). The per mil [‰] values of δ^{13} C
292	reported against VPDB and δ^{18} O reported against VPDB and VSMOW and are shown in Table 2,
293	and the AMS dates are displayed in Table 3.
294	
295 296 297 298 299 300	<i>Table 2</i> . Isotopic results for Georgia Bight and Florida specimens of <i>Eschrichtius robustus</i> . <i>Table3.</i> Radiocarbon ages for Georgia Bight and Florida Specimens
301	Without doing a full statistical analysis, the results shown in Table 2 for the $\delta^{ m 13}$ C of
302	sample A (-5.11 \pm 0.04 ‰) and sample B (-5.04 \pm 0.04 ‰) seem to be more strongly related and
303	are probably from the same whale, while sample C (-4.80 \pm 0.04 ‰) differs slightly from the
304	other samples. Sample C could also be from another individual, but because the difference
305	from the other samples is not more than 1‰ it is likely that all three of the mysticete whale
306	bone fragments are from the same individual.
307	

308 4. Discussion

309 Our results identify the presence of gray whale in the Georgia Bight and along the 310 Atlantic Coast of Florida, in both the Pleistocene and late Holocene. These observations, in 311 particular the two late Holocene records of juveniles from Florida, potentially provide insight into this species migration route and calving grounds. The eastern Pacific population of gray 312 313 whales annually migrates from summer grounds in the Bering Sea and Chukchi Sea between Alaska and Russia to winter/calving grounds in Mexico along the western coast of Baja 314 315 California and the southern Gulf of California. The best known calving sites of *Eschrichtius* 316 robustus in the eastern Pacific are located in shallow lagoons along the coast of Baja California between 24° and 29° North latitude, including Laguna Ojo de Liebre (also known as "Scammon's 317 318 Lagoon"), Laguna San Ignacio, and Bahia Magdalena (Rice and Wolman, 1971). The presence of 319 a fossil skull (UF 69000) representing a newborn gray whale calf from Jupiter Island along the 320 southeastern coast of Florida suggests the possibility that this region may have been used as a 321 calving ground by the now-extinct western Atlantic population of gray whales. Along the 322 Atlantic coast of southeastern Florida between 24° and 29° N there are numerous shallow bays and protected lagoons, including Florida Bay, Biscayne Bay, Lake Worth, Hobe Sound, Indian 323 River, Banana River, and Mosquito Lagoon, that would seem to have provided ideal calving 324 325 grounds for gray whales.

Our results also provide new insight into the ecological history of this enigmatic species. Current Atlantic Arctic species have evolved over periods whereby adaptation to profoundly different climate regimes was required, such as ca. 12 ka when the Bering land bridge closed the western Arctic to Pacific water intrusion (Walsh 2008) or during the retreat of the great ice sheets and the opening continental shelves at the onset of the Holocene (Harington 2008).

Climatic changes of the glacial cycles are thought to have been a major driver of arctic
population declines and species extinctions, however, there is still not a full understanding of
how marine species responded to past climate change.

334

It has been suggested that some Pleistocene cetacean lineages survived into the 335 336 Holocene and their effective female population size increased rapidly, concurrent with a 337 threefold increase in core suitable habitat (Evans, 1987). For example, using ancient DNA analysis, Foote et al. (2005; 2013) show that the bowhead whale (Balaena mysticetus), shifted 338 339 its range and tracked its core suitable habitat northwards during the rapid climate change of the Pleistocene–Holocene transition. The case for this type of habitat tracking in Late 340 Pleistocene Atlantic gray whale is not as straightforward. Pyenson and Lindberg (2011) argue 341 342 for the adaptability of gray whales, suggesting that gray whales survived Pleistocene glacial maxima (e.g. LGM) and maintained substantial population sizes by employing a diverse set of 343 feeding modes, similar to those seen in seasonal resident whales found today between 344 northern Washington State and the coast of Vancouver Island. Molecular data, however, do not 345 support a widespread (maternal) continuity in gray whale lineages across the LGM. In their 346 347 analysis of Atlantic gray whale fossils, Alter et al (2015) detected little genetic continuity 348 between the late Pleistocene and Holocene populations – within the dataset, only a single sample displayed a lineage that survived post-LGM. The remaining Holocene samples from both 349 350 the Western and Eastern basins shared a most recent common ancestor with Pacific gray 351 whales dating to the early to mid-Holocene, suggesting that the majority of the Atlantic Holocene population were the result of a second colonization event when warming 352

353	temperatures, sea-level rise, and decreases in sea ice permitted passage through the Bering
354	Strait (Alter <i>et al.</i> 2015).

355

356

356	We examine these two hypotheses in light of the range and distribution of the 53
358	radiocarbon dates for all available Atlantic gray whale finds (Fig. 5). There are 15 late
359	Pleistocene ages that range from >45,200 to 35,520 BP and 38 Holocene ages that range from
360	10,400 BP to 340 BP (Table 4, Fig.5). These ages are clearly bimodal in their distribution
361	suggesting either that: (1) any population surviving post-LGM is geologically "invisible", due to a
362	lack of fossil evidence; or (2) the lack of finds reflects their true absence, indicating a significant
363	decline or even the effective extirpation of gray whales across the north Atlantic Ocean in the
364	period between ~40 ka and ~11 ka. The argument in favor for the latter may be further
365	supported by geologic evidence for a much-reduced habitat in the north Atlantic during LGM
366	due to the subaerial exposure of both the North Sea/Baltic and the Georgia Bight and Florida
367	continental shelves (Alter et al. 2015; Garrison et al. 2008; 2012; Harris et al. 2013). Fossil
368	evidence for the gray whale, however, may yet be found for the post- 40 ka – 11 ka interval on
369	areas of the Atlantic continental shelf that were inundated at or during the last low stand.
370	Nevertheless, the dates produced in our study for recent western Atlantic Ocean finds are in
371	good alignment with these (predominantly Eastern basin) dates and, likewise, suggest a bi-
372	modal distribution for Atlantic gray whales in the Western basin.
373 374	
375	Figure 5. Scatter plot of 53 radiocarbon ages for Atlantic Basin gray whale finds, with gap

between ~35,000 and ~11,000 BC (Logarithmic scale, base 10).

377

378 379	Table 4 Pleistocene and Holocene eastern and western North Atlantic gray whale specimens
380	
381	
382 383 384	5. Conclusions
385	
386	In our results, collagen peptide mass fingerprinting and paleontological diagnoses
387	confirm the two Florida finds to be the Atlantic gray whale, Eschricthius robustus. While unable
388	to report direct biomolecular findings, paleontological diagnoses support our identification of
389	the Georgia Bight specimens as gray whale as well. Thus, the existence of both Pleistocene and
390	Holocene gray whale populations in the western Atlantic Ocean are supported by these
391	radiocarbon dates for recent, fossil discoveries in the Georgia Bight of the western Atlantic
392	Ocean. The results of our study
393	may help explain the Late Quaternary occurrences of gray whales in the Georgia Bight and
394	along the Atlantic Coast of Florida, in particular the two late Holocene records of juveniles from
395	Florida.
396	The presence of newborn gray whale calf's skull from Jupiter Island as well as a juvenile gray
397	whale's dentary from the Georgia Bight suggests that the region between 24° and 29° N may
398	have been used as a calving ground by the now-extinct western Atlantic population of gray
399	whales.
400	

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- 415
- 416
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Figure 1(on next page)

The Georgia Bight and Florida Peninsula.

The Georgia Bight and Florida Peninsula, showing the locations of the gray whale specimens discussed in the text. These records include specimens from the JY Reef and Gray's Reef National Marine Sanctuary in the Georgia Bight and Jacksonville Beach and Hobe Sounds on the Atlantic coast of Florida.

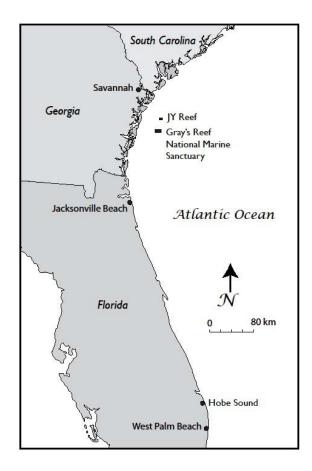


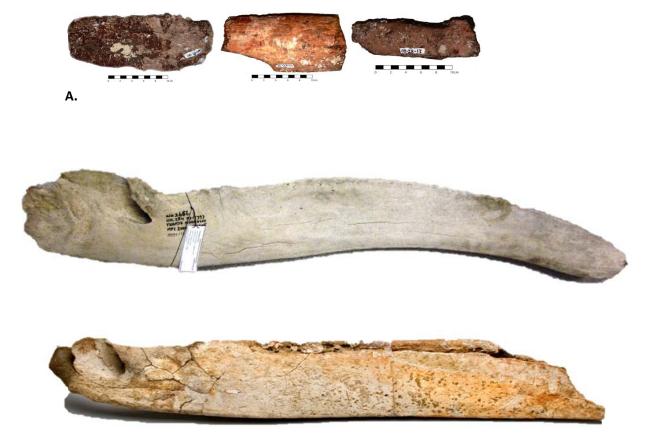
Figure 1. The Georgia Bight and Florida Peninsula, showing the location of the gray whale specimens discussed in the text. These records include specimens from the JY Reef and Gray's Reef National Marine Sanctuary in the Georgia Bight and Jacksonville Beach and Hobe Sound on the Atlantic Coast of Florida.

Figure 2(on next page)

The late Pleistocene and Holocene specimens of the gray whale.

The late Pleistocene and Holocene specimens of the gray whale (*Eschrichtius robustus*), Research Ledge site, JY Reef, Georgia Bight used in this study. A: Lateral views of the three dentary fragments (GNMH accession numbers, 00-28-09, 00-28-10,00-28-13), B: Lateral view of the right dentary, (GNMH 4281) with comparison to North Sea specimen (top), Rotterdam Museum of Natural History collections, scale is 20 cm; D: anterior (top) and posterior (bottom) views of thoracic vertebrae, C: (GNMH 4024), D: (GNMH 4215). Measurements of these specimens in Table 1.





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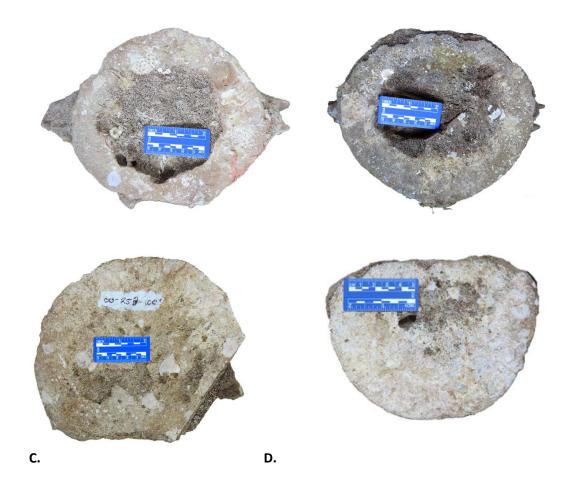


Figure 2. The late Pleistocene and Holocene specimens of the gray whale (*Eschrichtius robustus*), Research Ledge site, JY Reef, Georgia Bight used in this study. A: Lateral views of three dentary fragments (GMNH accession numbers, 00-28-09, 00-28-10, 00-28-13), B: Lateral view of right dentary, (GMNH 4281) with comparison to North Sea specimen (top), Rotterdam Museum of Natural History collections, scale is 20 cm; D: anterior (top) and posterior (bottom) views of two thoracic vertebrae, C (number 4024), D. (GMNH 4215). Measurements of these specimens are in Table 1.

Figure 3(on next page)

Two partial Holocene skull of gray whales.

Two partial Holocene skulls of gray whales (*Eschrichtius robustus*) from Florida (USA). Top row (A-C), Jacksonville Beach (UF 99000), A. posterior view, B. right lateral view, C. ventral view; Middle row (D-F) Hobe Sound National Wildlife Refuge (NWR) (UF 69000), D. posterior, E. right lateral and F. ventral views); Bottom row, two views of the left periotic (internal ear bone) of the Hobe Sound skull. Both braincases are relatively intact from the condyles to the broken frontals. All scale bars are 10 cm; overall dimensions in Table 1.

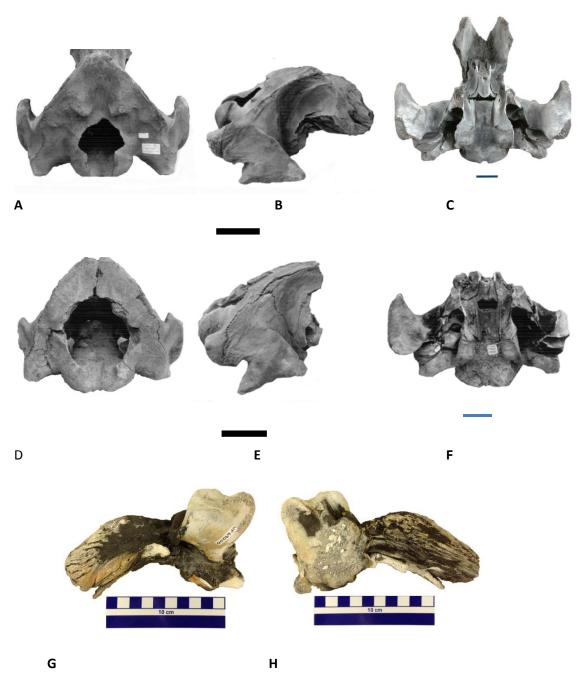


Figure 3. Two partial Holocene skulls of gray whales (*Eschrichtius robustus*) from Florida (USA). Top row (A-C), Jacksonville Beach (UF 99000), A. posterior view, B. right lateral view, C. ventral view; Middle row (D-F) Hobe Sound National Wildlife Refuge (NWR) (UF 69000), D. posterior, E. right lateral and F. ventral views); Bottom row, two views of the left periotic (internal ear bone) from the Hobe Sound skull. Both braincases are relatively intact from the condyles to the broken frontals. All Scale bars are 10 cm; overall dimensions in Table 1.

Table 1(on next page)

Cranial measurements of Quaternary gray whale skulls

Cranial measurements (in cm) of Quaternary gray whales (*Eschrichtius robustus*) skulls from Florida (this study).

Table 1. Cranial measurements (in cm) of Quaternary gray whale (*Eschrichtius robustus*) skulls from Florida (this study).

Locality & Catalogue #	width of squamosals	width of paraocciptals	width of supraocciptals	width of occipital condyles	height of skull
Hobe Sound NWR UF 69000	60	45	39	22	41
Jacksonville Beach UF 99000	76	59	51	24	51

Figure 4(on next page)

MALDI-ToF Collagen peptide fingerprinst for specimens UF69000 and UF99000.

MALDI-ToF Collagen peptide mass fingerprints for specimens UF69000 and UF99000, labelled according to Buckley et al. 2014 and Kirby et al 2013 modern; inset displays unique gray whale peptide marked at 2899 for both specimens.

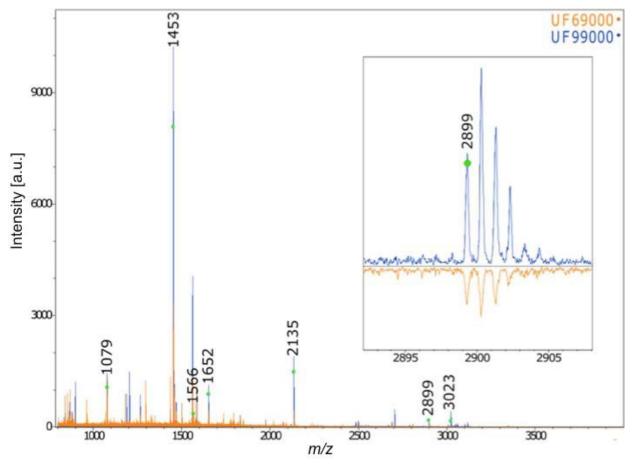


Figure 4. MALDI-ToF Collagen peptide mass fingerprints for specimens UF69000 and UF99000, labelled according to Buckley et al. 2014 and Kirby et al. 2013 modern; inset displays unique gray whale peptide marker at 2899 for both specimens.

Table 2(on next page)

Isotopic results for Georgia Bight secimens.

Isotopic results for the Georgia Bight and Florida specimens of *Eschrichtius robustus*.

Laboratory #	δ^{13} C ‰ vs. VPDB	δ^{18} O ‰ vs. VPDB	δ^{18} O ‰ vs. VSMOW
Dentary A (00-28-09)	-5.11	0.22	31.14
Dentary B (00-28-10)	-5.04	0.14	31.05
Dentary C (00-28-13)	-4.80	0.92	31.86
4024 (vertebra)	-7.66	-9.65	20.96
4281 (dentary)	-6.62	-10.34	20.25
4215 (vertebra)	-6.67	-9.41	21.21
UF 69000 (cranium)	-9.5	-	-
UF 99000 (cranium)	-10.3	-	-

Table 2. Isotopic results for Georgia Bight and Florida specimens of Eschrichtius robustus.

Table 3(on next page)

Radiocarbon ages for specimens.

Radiocarbon ages for Georgia Bight and Florida specimens.

UGA #	Element	Condition	Find Location	¹⁴ C age, yr BP	Reservoir effect, ΔR	Calendar age, BP 95.4% probability
4024	Vertebra	fossil	J-Reef	37,580±120	-120±78	41490-42070
4281	Dentary	fossil	J-Reef	36,570±300	-120±78	40230-41550
4215	Vertebra	fossil	J-reef	34,520±160	-120±78	38350-39140
4214	Dentary?	fossil	J-reef	33,520±160	-120±78	36240-37460
7742a	Shell	fossil	J-reef	46190±340	-120±78	48550-50000
UF 69000	Cranium	fossil	Jacksonville Beach, Florida	2130+/-25	-90±131	1500-2150
UF 99000	Cranium	fossil	Hobe Sound, Florida	2190+/-20	-90±131	1570-2220

Table 3. Radiocarbon ages for Georgia Bight and Florida Specimens

Figure 5(on next page)

Scatter plot of 53 radiocarbon ages for Atlantic basin gray whales.

Scatter plot of 53 radiocarbon ages for Atlantic basin gray wahles finds, with gap between \sim 35,000 and \sim 11,000 BC (Logarithmic scale, base 10).

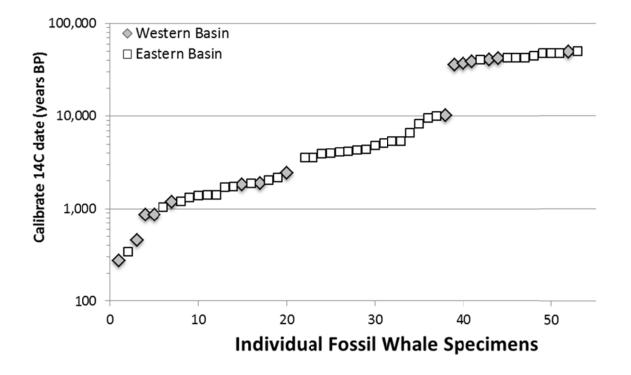


Figure 5. Scatter plot of 53 radiocarbon ages for Atlantic Basin gray whale finds, with gap between ~35,000 and ~11,000 BC (Logarithmic scale, base 10).

Table 4(on next page)

Pleistocene and Holocene Atlantic gray whale specimens.

Pleistocene and Holocene eastern and western Atlantic gray whale spoecimens.

Table 4. Pleistocene and Holocene eastern and western North Atlantic gray whale specimens

Region Date found		Cal yr BP	Citation	Current Location	
Gray whale - Eastern Atlantic	Basin	I			
Pentuan, England	1829	1,329 ± 195	Flower 1872; Bryant 1995; Alter et al. 2015	unknown	
Gräsö, Sweden	1859	4,395 ±155	Lilljeborg 1861; Persson 1986	unknown	
Babbacombe Bay, England	1861		Gray 1864	unknown	
Babbacombe Bay, England	1865	340 ±260	Gray 1866; Pengelly 1865, 1878; Bryant 1995; Alter et al. 2015	unknown	
ljmuiden, Netherlands	1879	8,330 ± 85	Van Deinse and Junge 1937; Bryant 1995	National Natural History Museum Naturalis, Leiden	
ljmuiden, Netherlands	1916	1,400	Van Deinse and Junge1937; Bryant 1995	National Natural History Museum Naturalis, Leiden	
Wieringermeer- Polder,Netherlands	1935	4,195 ± 45	Van Deinse and Junge1937; Bryant 1995	National Natural History Museum Naturalis, Leiden	
Oostduinkerke- Koksijde,Belgium	1978	2,024 ± 110	Asselberg 1981; Bryant 1995	Unknown	
North Sea, Netherlands	2005	42,800 ±4100-2700	Post 2005	Natural History Museum, Rotterdam	
North Sea, Netherlands	2005	>45,200	Mol <i>et al.</i> 2006	Natural History Museum, Rotterdam	
North Sea, Netherlands	2001	1150–1270	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	No Data	1350–1500	Alter et al. 2015	Unknown	
North Sea, Netherlands	1997	1350–1500	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	2003	2650–2730	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	No data	>48 000	Alter et al. 2015	Unknown	
North Sea, Netherlands	2005	>48 000	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	2003	42 500–43 300	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	1879	9470–9550	Alter et al. 2015	National Natural History Museum Naturalis, Leiden	
North Sea, Netherlands	1916	1600–1800	Alter et al. 2015	National Natural History Museum Naturalis, Leiden	
North Sea, Netherlands	1935	4760–4850	Alter et al. 2015	National Natural History Museum Naturalis, Leiden	
North Sea, Netherlands	No data	4950–5250	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	1954	3830–3960	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	1994	960-1120	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	1995	4230–4420	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	1996	>48 000	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	2005	1820–1950	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	2005	>50 000	Alter et al. 2015	Natural History Museum, Rotterdam	
North Sea, Netherlands	2005	3480–3630	Alter et al. 2015	Natural History Museum, Rotterdam	

North Sea, Netherlands	2005	10 000–10 180	Alter et al. 2015	Natural History Museum, Rotterdam
North Sea, Netherlands	2005	5280–5430	Alter et al. 2015	Natural History Museum, Rotterdam
North Sea, Netherlands	2005	6620-6700	Alter et al. 2015	Natural History Museum, Rotterdam
North Sea, Netherlands	No data	5320–5470	Alter et al. 2015	Unknown
North Sea, Netherlands	No data	3470–3620	Alter et al. 2015	Unknown
North Sea, Netherlands	No data	40 200–41 400	Alter et al. 2015	Natural History Museum, Rotterdam
North Sea, Netherlands	No data	1680–1800	Alter et al. 2015	Natural History Museum, Rotterdam
North Sea, Netherlands	No data	42 400–43 600	Alter et al. 2015	Natural History Museum, Rotterdam
North Sea, Netherlands	2007	3930–4070	Alter et al. 2015	Natural History Museum, Rotterdam
North Sea, Netherlands	No data	4020-4270	Alter et al. 2015	Unknown
Gray whale - Western Atlantic	Basin			
Tom's River, New Jersey	1850s	455 ± 90	Mead and Mitchell1984	Smithsonian National Museum of Natural History, Washington D.C
Myrtle Beach, South Carolina	1959	865 ± 165	Mead and Mitchell1984	unknown
Chesapeake Bay, Virginia	1969	10,140 ± 125	Mead and Mitchell1984	unknown
Nags Head, North Carolina	1970's	865 ± 50	Mead and Mitchell1984	Smithsonian National Museum of Natural History, Washington D.C
Corolla, North Carolina	1976	2415 ± 90	Mead and Mitchell1984	unknown
Southampton, New York	1977	275 ±35	Mead and Mitchell1984	unknown
Corolla, North Carolina	1977		Mead and Mitchell1984	unknown
Rehobeth, Delaware	1978		Mead and Mitchell1984	Smithsonian National Museum of Natural History, Washington D.C
Cape Lookout, North Carolina	1979	1190 ±245	Mead and Mitchell1984	unknown
Jupiter Island, Florida	1983s	~1500-2150	this study	Florida Museum of Natural History (UF69000)
Jacksonville Beach, Florida	1970s	1570-2220	this study	Florida Museum of Natural History (UF99000)
South Atlantic Bight, Georgia	2006	~36,000 yBP	Noakes <i>et al.</i> 2009;Cherkinsky <i>et al.</i> 2009; Garrison <i>et al., this study</i>	Georgia Museum of Natural History (No. 4032)
South Atlantic Bight, Georgia	2006	41490-42070	This study	Georgia Museum of Natural History (No. 4024)
South Atlantic Bight, Georgia	2006	40230-41550	This study	Georgia Museum of Natural History No. 4281)
South Atlantic Bight, Georgia	2006	38350-39140	This study	Georgia Museum of Natural History (No. 4215)
South Atlantic Bight, Georgia	2006	36240-37460	This study	Georgia Museum of Natural History (No. 4214)
South Atlantic Bight, Georgia	2006	48550-50000	This study	Georgia Museum of Natural History (No. 7742a)