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1 **Dose dependent anti-obesity effect of three different *Lactobacillus sakei* strains**
2 **using a diet induced obese murine model**

3

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29 **ABSTRACT**

30 Overweight and obesity are considered as a major cause of various conditions related to
31 metabolic syndrome. Yet, considering the complex interacting factors leading to pathogenicity
32 and underlying mechanisms, it remains a poorly defined area. Some probiotics have a
33 reputation of a relatively long history of safe use, and an increasing number of studies are
34 confirming benefits including anti-obesity effects when administered in adequate amounts.
35 Recent reports demonstrate that probiotic functions may widely differ with reference to either
36 intra-species or inter-species related data. Such differences do not necessarily reflect or explain
37 strain specific functions of a probiotic, and thus require further assessment at the intra-species
38 level. Various anti-obesity clinical trials with probiotics have shown discrepant results and
39 require more consolidated studies in order to clarify the correct dose of application for reliable
40 and constant efficacy over a long period. In this study three different strains of *Lactobacillus*
41 *sakei* were administered in a high fat diet induced obese murine model using three different
42 doses, 1×10^{10} CFU, 1×10^9 CFU and 1×10^8 CFU, respectively, per day. Changes in body and organ
43 weight were monitored, and serum chemistry analysis was performed for monitoring obesity
44 associated biomarkers. The results show that only one strain of *L. sakei* (CJLS03) induced a dose
45 dependent anti-obesity effect, while no correlation with either dose or body and adipose tissue
46 weight loss could be detected for the other two *L. sakei* strains (L338 and L446). The body
47 weight reduction mainly correlated with adipose tissue and obesity associated serum
48 biomarkers such as triglycerides. This study suggests that anti-obesity effects of probiotics may
49 vary in a strain and dose specific manner.

50
51 **Keywords:** *Lactobacillus sakei*, probiotic, dose dependency, strain specificity, fat mass, obesity

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57 INTRODUCTION

58 Overweight and obesity result from abnormal adipose deposition and function and are
59 considered as major pathophysiological symptoms of metabolic syndrome (*Olufadi & Byrne,*
60 *2008*). Originating from insulin resistance, metabolic syndrome may be reflected by several
61 clinical manifestations such as atherosclerosis, hyperglycemia, dyslipidemia, hypertension,
62 reduced high-density lipoprotein cholesterol and type 2 diabetes mellitus (*Furukawa et al.,*
63 *2017*). Based on typical pathological symptoms, broadly defined as excessive fat mass in the
64 body (specifically the abdomen), the prevalence of obesity has rapidly increased during the last
65 two decades (*Kobyliak et al., 2017*). In spite of intensive
66 research input in recent history, deeper understanding of pathogenesis and knowledge on the
67 underlying mechanisms of obesity are still limited, while, in fact, the causality of obesity has been
68 suggested from different viewpoints and disciplines of science such as genetics, endocrinology
69 and psychology (*Schwartz et al., 2017*).

70 Following up on classical approaches, recent studies show that microbiota can play a key
71 role in host obesity and metabolic syndrome (*Gérard, 2016*). Thereby, new clinical diagnostic
72 perspectives were opened on the influence of gut microbiota on the status of metabolic disorders.
73 Numerous studies have reported on qualitative and quantitative discrepancies in microbiota of
74 the gastrointestinal tract (GIT) when comparing healthy subjects with people suffering from
75 metabolic diseases (*Turnbaugh et al., 2006; Turnbaugh et al., 2008; Ley et al., 2005; Cani &*
76 *Delzenne, 2009; Armougom et al., 2009*).

77 There is general consensus that probiotics support the host gut microbiota balance, and
78 scientific evidences are steadily accumulating regarding the beneficial impact of probiotics on
79 human health, including immune disorders, inflammatory bowel disease, type 2 diabetes and
80 atherosclerosis (*Amar et al., 2011; Kim et al., 2016; Ritze et al., 2014; Schroeder et al., 2018;*
81 *Vemuri, Gundamaraju & Eri, 2017*). Various modes of probiotic action were elucidated by using
82 *in vitro* studies (including *in vitro* models) while efficacy was investigated by *in vivo* studies and
83 clinical trials. Along with therapeutic benefits, anti-obesity effects of probiotics have been
84 reported recently (*Kadooka et al., 2010; Park et al., 2016; Wang et al., 2015; Woodard et al.,*

85 2009). Yet, the anti-obesity efficacy of probiotics has not been fully elucidated in spite of various
86 clinical trials, and scientific evidence for a “minimal dose effect level” remains relatively sparse
87 (Tanentsapf, Heitmann & Adegboye, 2011; Raoult, 2009; Mekkes et al., 2013). The concept of a
88 minimal effective dose is complicated due to the large (and diverse) number of microbial and
89 host-related factors (Salminen et al., 1998), and will also depend on the kind of key criteria and
90 the “end-points” selected. The dose of intolerance is generally considered to be high, thus,
91 allowing a relatively broad “therapeutic window” (Collins, Thornton & Sullivan, 1998), it may be
92 difficult to find a suitably effective low dose above the minimal level. Yet, precisely defining an
93 effective dose has remained an arbitrary issue, and thus the pragmatic suggestion by an
94 FAO/WHO Working Group (FAO/WHO, 2002) that “The suggested serving size must deliver the
95 effective dose of probiotics related to the health claim”. Convincingly delivering this kind of
96 evidence has remained difficult until this day, in particular for commercial distribution of (food
97 or pharmaceutical) strains claimed to be probiotics. In an early report *Perdigón, Alvarez & de*
98 *Ruiz Holgado (1991)* suggested a dose related impact of *Lactobacillus casei* on the secretory
99 immune response and protective capacity in intestinal infections. A placebo-controlled study
100 designed to evaluate the therapeutic value of four different non-antibiotic preparations
101 (including *Saccharomyces boulardii*, and heat-killed microbial strains) indicated a non-significant
102 dose dependency for either prophylaxis or treatment of traveller's diarrhoea (*Kollaritsch et al.,*
103 *1989; Kollaritsch et al., 1993*). Yet, substantial evidence supports the principle of dose
104 dependency of probiotics to modulate systemic and mucosal immune function, improve
105 intestinal barrier function, alter gut microbiota, and exert metabolic effects on the host, also in
106 a strain dependent manner (*Alemka et al., 2010; Madsen, 2012*). *Everard et al. (2011)* reported
107 a dose-dependent immunomodulation of human DCs by the probiotic *Lactobacillus rhamnosus*
108 Lcr35, leading, at high doses, to the semi-maturation of the cells and to a strong pro-
109 inflammatory effect.

110 In this study we administered three different ten-fold doses of three different *L. sakei*
111 strains separately to a diet induced obese C57BL/6 murine model and monitored body weight
112 during the full experimental period. Organ weights and serum biomarkers were monitored to

113 elucidate the dose dependent anti-obesity effect of three different *Lactobacillus sakei* strains.

114

115 MATERIALS AND METHODS

116 The animal study was approved by the Ethical Committee of KPC Ltd. in Korea (P150067). Five
 117 weeks old, specific pathogen free (SPF) male C57BL/6 mice were supplied from Orient Bio,
 118 Korea. High fat diet (Research Diets D12492) (HFD), low fat diet (Cargill Agri Purina Inc., Rodent
 119 Chow) (LFD) and autoclaved tap water were provided *ad libitum*, while the animals were housed
 120 at 23 °C, 55 ± 10 % humidity, in a 12 h light/dark cycle. All 120 mice were separated into 12
 121 different groups each receiving different treatments (Table 1).

122

123 **Table 1.** Study design and animal treatments, based in a high-fat (HFD) and low-fat diet (LFD)

Group	Feed type	Treatment
LFD	LFD	300 µl PBS (non-obese control)
HFD	HFD	300 µl PBS (obese control)
Orlistat	HFD	40mg/kg suspended in 300 µl PBS
CJB38 L	HFD	1 x 10 ⁸ CFU/day of <i>L. sakei</i> L338 suspended in 300 µl PBS
CJB38 M	HFD	1 x 10 ⁹ CFU/day of <i>L. sakei</i> L338 suspended in 300 µl PBS
CJB38 H	HFD	1 x 10 ¹⁰ CFU/day of <i>L. sakei</i> L338 suspended in 300 µl PBS
CJB46 L	HFD	1 x 10 ⁸ CFU/day of <i>L. sakei</i> L446 suspended in 300 µl PBS
CJB46 M	HFD	1 x 10 ⁹ CFU/day of <i>L. sakei</i> L446 suspended in 300 µl PBS
CJB46 H	HFD	1 x 10 ¹⁰ CFU/day of <i>L. sakei</i> L446 suspended in 300 µl PBS
CJLS03 L	HFD	1 x 10 ⁸ CFU/day of <i>L. sakei</i> LS03 suspended in 300 µl PBS
CJLS03 M	HFD	1 x 10 ⁹ CFU/day of <i>L. sakei</i> LS03 suspended in 300 µl PBS
CJLS03 H	HFD	1 x 10 ¹⁰ CFU/day of <i>L. sakei</i> LS03 suspended in 300 µl PBS

124

125 The experiment comprised one week of adaptation followed by six weeks of obesity
 126 induction using HFD while the LFD group was maintained on LFD feeding. After six weeks as
 127 obesity induction period, each group was treated with either the PBS suspended microbial culture,

128 PBS suspended Orlistat as chemical control or only PBS as negative control, twice a day at the
129 same time (10:00 and 17:00) for seven weeks. On the last day of the experiment, the mice were
130 sacrificed by dislocation of the cervical vertebrata. The organs, i.e., liver, femoral muscle, brown
131 adipose tissue, epididymal adipose tissue, subcutaneous adipose tissue and mesenteric adipose
132 tissue were collected, weighed, and kept at -80°C . Serum Triglyceride (TG), glucose (GLU), total
133 cholesterol (TC), high density lipoprotein (HDL), low density lipoprotein (LDL), aspartate
134 transaminase (AST) were measured using an automated biochemical analyser BS-200 (Mindray,
135 China) in Pohang Technopark, Pohang (South Korea).

136 *L. sakei* strains CJB38, CJB46 and CJLS03 were grown daily in MRS broth (Difco
137 Laboratories INC., Franklin Lakes, NJ, USA) for feeding during the seven weeks period of
138 intervention. Strains were grown for 8 hours to reach their late log phase and were collected by
139 centrifugation (3546 g , 5 min, 5°C) (Centrifuge: Hanil Science Industry, Korea) and washed two
140 times with PBS. Each strain was prepared in an approximate number of 1×10^{10} CFU/ml using a
141 mathematical equation derived from a pre-optimised standard curve (data not shown) using
142 optical density by SPECTROstar Nano (BMG Labtech, Durham, USA). A stock suspension of $1 \times$
143 10^{10} CFU/ml was prepared of each strain, then diluted ten-fold to 1×10^9 and 1×10^8 CFU/ml,
144 respectively, and finally suspended in $300\ \mu\text{l}$ of PBS to be administered to each mouse by oral
145 gavage.

146 Experimental determinants were statistically calculated using ANOVA and Dunnett's multiple
147 comparison test to distinguish the level of significance based on probability of 0.05 (*), 0.01 (**)
148 and 0.001 (***).

149

150 RESULTS

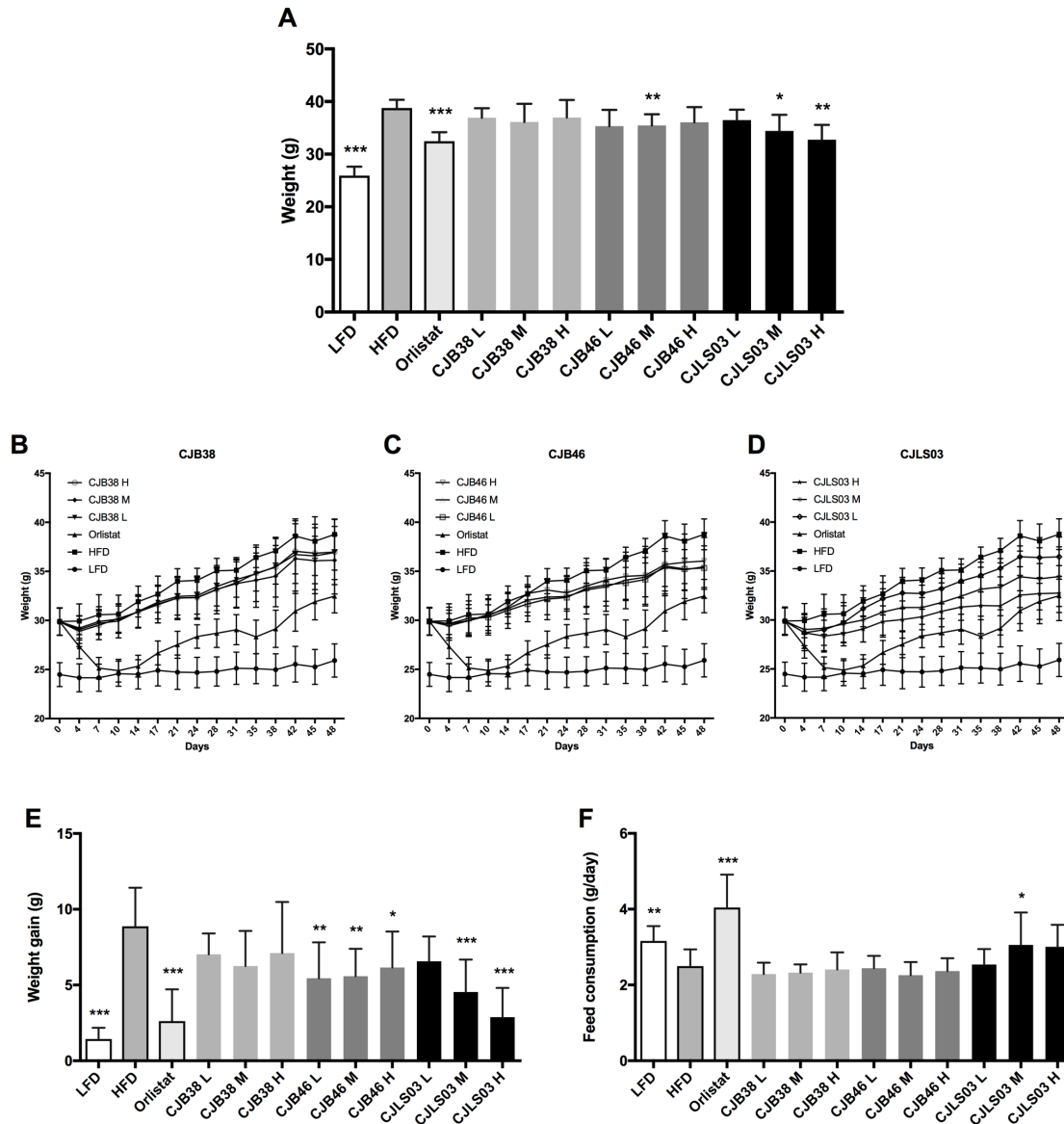
151 Three different doses (10^8 - 10^{10}) of the three *L. sakei* strains (CJB38, CJB46 and CJLS03) were
152 orally administered to high fat diet induced obese C57BL/6 mice for 7 weeks, and body weight
153 and food consumption were measured daily. During the test period, three strains were found to
154 exhibit weight loss compared to the HFD group (Fig. 1 b, c, d). LFD, Orlistat, all of the CJB46
155 group, and medium and high dose of the CJLS03 groups showed significantly lower weight

156 increase compared to the HFD group. The weight loss was not dependent of the dose of CJB38
157 or CJB46 while CJLS03 showed a dose-dependent weight reduction effect and CJLS03 H showed
158 the highest efficacy of all groups (Fig. 1 e). The onset time of weight loss showed significance
159 compared to HFD at days 4, 21, 21 and 7 for the Orlistat, CJB38, CJB46 and CJLS03 groups,
160 respectively (data not shown). The daily dietary intake was significantly higher in the LFD,
161 Orlistat and CJLS03 M groups compared to the HFD group (Fig. 1 f).

162 Serum biochemical analysis showed an overall increase in the lipid profile (TC, TG, HDL,
163 LDL), liver (AST) and the glucose level of the HFD group compared to the LFD group,
164 demonstrating that a high fat diet intake may impact various biomarkers associated with
165 pathophysiological symptoms of obesity (Fig. 2). Compared to the HFD group, the serum TG level
166 decreased in all test groups (Fig. 2 a) while the LDL level was significantly reduced in all test
167 groups except CJB46 H (Fig. 2 e). Significant reduction of TC was only detected in LFD, Orlistat
168 and in the groups treated with higher doses (M and H) of *L. sakei* CJB38 H, CJB46 M, CJB46 H,
169 CJLS03 M and CJLS03 H (Fig 2 c). In particular, the CJLS03 group, shown to be superior regarding
170 weight gain inhibition, appears to be effective in a dose dependent manner (Fig. 2 a, b, c). HDL
171 levels were not significantly different from the HFD group in all the test groups, however, all *L.*
172 *sakei* treated groups except CJB46 L, CJLS03 M and CJLS03 H showed significant increase when
173 the ratio of HDL to total cholesterol level was calculated (data not shown). Serum AST values
174 (indicating liver function) were found to be approximately 1.7 times higher for the HFD
175 compared to the LFD group (Fig. 2 f), while the Orlistat group showed no significant change in
176 AST level compared to the HFD group. All three groups receiving the *L. sakei* strains showed
177 noticeable decrease of AST levels with a dose-dependent reduction in the CJLS03 groups, which
178 was significant in the CJLS03 H group when compared to the HFD group (Fig. 2 f). CJLS03 showed
179 the highest overall effectivity and a dose dependent anti-obesity function; at the same time, it
180 induced a dose-dependent improvement of serum obesity associated biomarkers and liver
181 function.

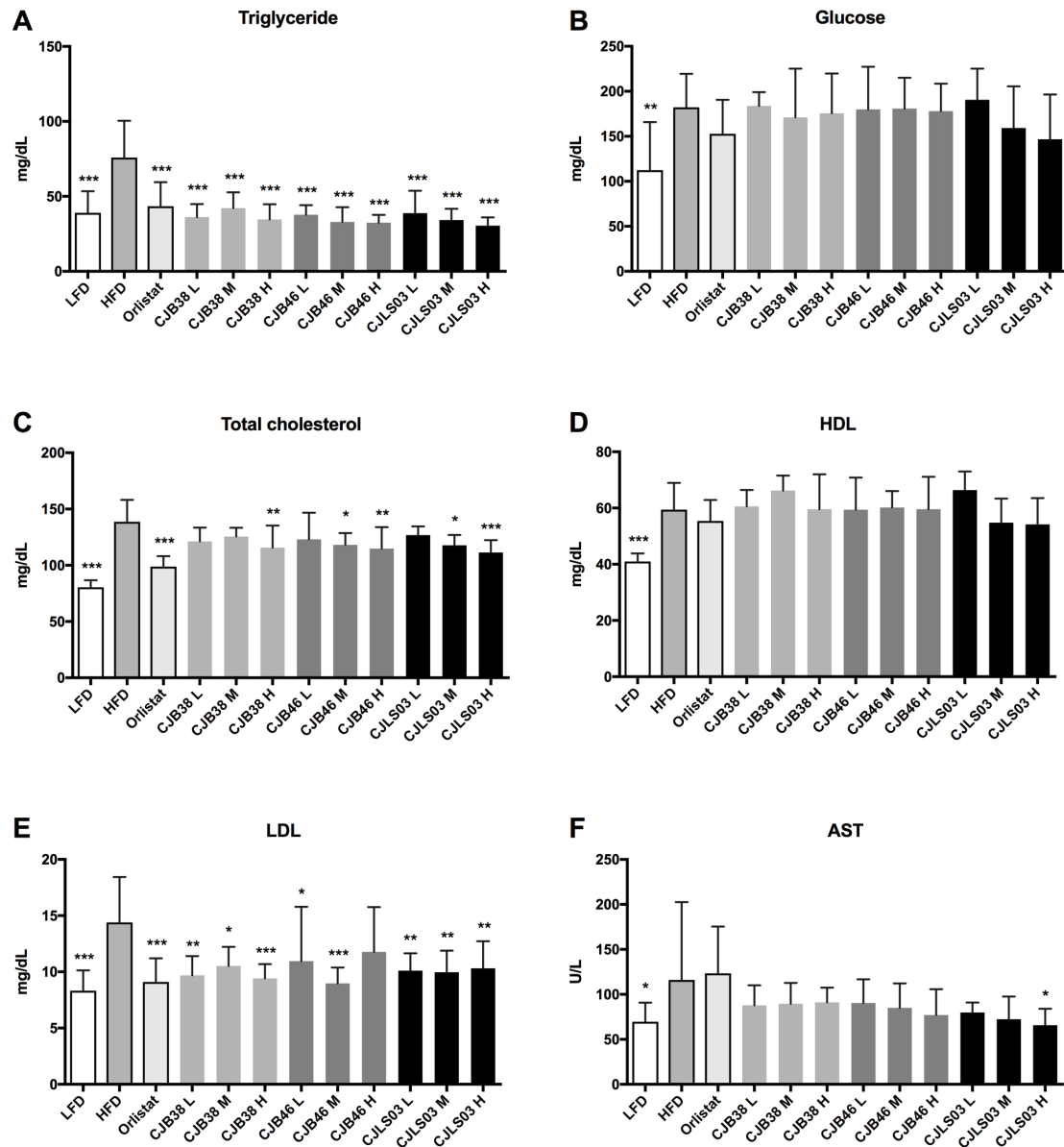
182 Compared to HFD the LFD group showed significantly lower weights of epididymal,
183 mesenteric, subcutaneous and brown adipose tissues while insignificant organ weight

184 differences were measured in liver and femoral muscles (Fig 3). Every dose of all three strains of
185 *L. sakei* and the Orlistat group resulted in significantly lower subcutaneous adipose tissue weight
186 while only CJLS03 H showed significant reduction of visceral adipose tissue including epididymal
187 and mesenteric adipose tissue, when compared to the HFD group (Fig. 3 a, b, c). CJLS03 M
188 treatment significantly reduced epididymal adipose tissue weight when compared to the HFD
189 group (Fig 3 a). These results suggest that the three different *L. sakei* strains inhibited the
190 accumulation of subcutaneous adipose tissue but that the CJLS03 group responded by dose
191 dependent reduction of visceral adipose tissues including the epididymal and mesenteric
192 adipose tissues (Fig. 3a, b). Orlistat and *L. sakei* treatment did not result in significant weight
193 differences regarding brown adipose tissue, liver and femoral muscle (Fig 3 d, e, f).



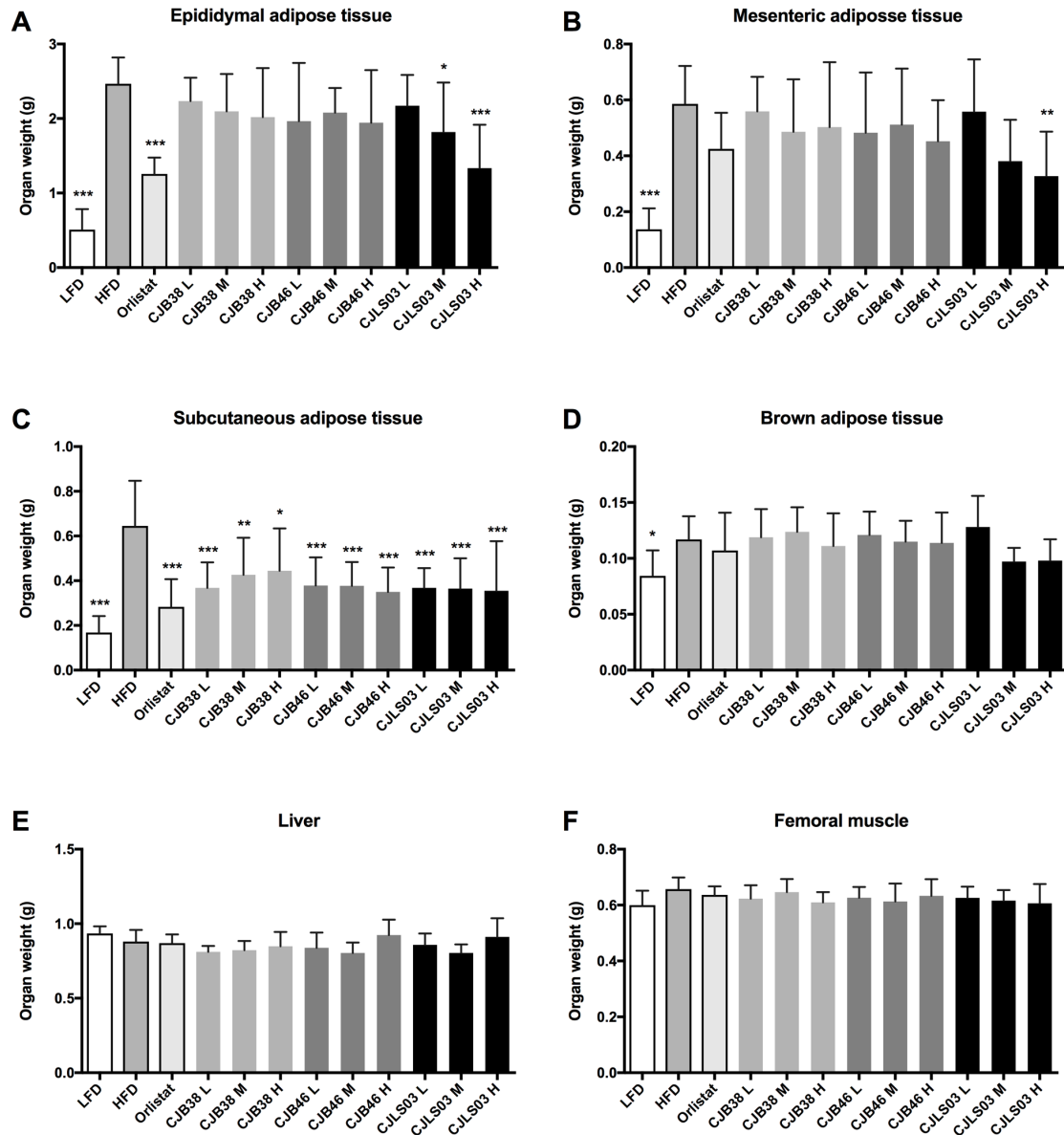
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195 **Figure 1** (A) Body weight after 48 days, (B, C, D) and increase over the 48-day period; (E) body
 196 weight gain after 48 days, and (F) daily feed consumption of each group. Asterisks denotes level
 197 of significant compared to HFD as *: $p < 0.05$, **: $p < 0.01$ and ***: $p < 0.001$.



198
 199 **Figure 2** Serum biomarkers of each experimental group showing (A) triglyceride, (B) glucose, (C)
 200 total cholesterol, (D) high density lipoprotein (HDL), (E) low density lipoprotein (LDL) and (F)
 201 aspartate transaminase (AST). Asterisks denote the level of significance compared to HFD as *:
 202 $p < 0.05$, **: $p < 0.01$ and ***: $p < 0.001$.

203
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205
 206 **Figure 3** Organ weights of each experimental group showing (A) epididymal adipose tissue, (B)
 207 mesenteric adipose tissue, (C) subcutaneous adipose tissue (D) brown adipose tissue, (E) liver and
 208 (F) femoral muscle. Asterisks denote the level of significantce compared to HFD as *: $p < 0.05$, **: $p < 0.01$
 209 and ***: $p < 0.001$.

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213 **DISCUSSION**

214 The anti-obesity influence of administered probiotics is a heavily debated issue, yet, an
215 indisputable fact is that host gut microbiota is exercising a leverage over energy efficiency and
216 adipose tissue accumulation (*Kobyliak et al., 2017; Greiner and Bäckhed, 2011; Delzenne et al.,*
217 *2011*); at the same time, probiotics have been reported to impact on host microbiota in a
218 positive way.

219 Probiotic administration increasingly enjoys consideration as a promising approach for
220 beneficially modulating the host microbiota (*Jia et al., 2008; Steer et al., 2000*). Numerous
221 reports confirmed the beneficial effects of specific probiotic strains against diarrhoea and
222 inflammatory bowel diseases (*Ahmadi, Alizadeh-Navaei & Rezai 2015; Gionchetti et al., 2000;*
223 *Ouwehand, Salminen & Isolauri, 2002*). Recently, anti-obesity effects of probiotics were also
224 reported and confirmed in clinical trials and animal models (*Kim et al., 2016; Alard et al., 2016;*
225 *Wang et al., 2015; Ji et al., 2012; Kadooka et al., 2010*). *Kadooka et al. (2010)* investigated the
226 anti-obesity effect of the probiotic *L. gasseri* SBT2055 by conducting a double-blind,
227 randomised, placebo-controlled intervention trial with 87 overweight and obese subjects for 12
228 weeks. The data confirmed that the abdominal visceral and subcutaneous fat area, weight, BMI,
229 as well as waist and hip measures were significantly reduced in the group consuming the
230 probiotic. In another study (*Woodard et al., 2009*) 44 morbid obese patients were operated for
231 weight loss by surgery (gastric bypass surgery) and were randomly divided in a probiotic
232 administered group and a control group. A significantly higher weight loss was recorded in the
233 group receiving the probiotic. *Park et al. (2013)* reported a significant weight reduction of a
234 C57BL/6 mice model after administering *L. curvatus* HY7601 and *L. plantarum* KY1032, however,
235 faecal microbiota modulation of major groups such as *Firmicutes* and *Bacteroidetes* was not
236 monitored.

237 One of the major hurdles for an accurate clinical trial is to understand the effective dose
238 of a probiotic at a strain specific level. Selecting the correct dose of a probiotic for a specific

239 purpose such as the alleviating of diarrhoea was suggested in various studies, yet, there is a
240 general lack of scientific proof of a concept to define the functional dose of a probiotic
241 (Kollaritsch *et al.*, 1993; Kollaritsch *et al.*, 1989; Islam, 2016). Chen *et al.* (2015) used 5 different
242 tenfold doses of *L. acidophilus* in a colitis induced animal model and reported 10^6 CFU/10g of
243 the animal weight as the most effective application level for modulating the bacterial profile in
244 the distal colon.

245 In our study we have monitored the dose dependent anti-obesity effects of three
246 different strains of *L. sakei* and found only one strain, CJLS03, to show a dose dependent anti-
247 obesity effect while the anti-obesity impact of the other two strains was found to be dose
248 independent. Adipose tissues were reduced relative to weight gain and triglyceride and total
249 serum cholesterol showed the most significant reduction in the *L. sakei* treated groups
250 compared to the HFD control group.

251

252 **CONCLUSIONS**

253 This *in vivo* investigation showed that beneficial effects of putative probiotics are both strain
254 specific and dose related. For only one (CJLS03) out of three *L. sakei* strains an anti-obesity effect
255 could be detected, which, at the same time, was found to be dose dependent. The highest of
256 three doses (1×10^{10} CFU/day) of CJLS03 gave the most favourable (significant) biomarker
257 related effects with regard to cholesterol and triglyceride reduction, when compared to the HFD
258 control.

259

260 **ADDITIONAL INFORMATION AND DECLARATIONS**

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262 This work was supported by the CJ CheilJedang Corporation, Seoul, Republic of Korea.

263

264 **Competing Interests**

265 YJ, SP, WHH have received research grants, via Handong Global University, from CJ CheilJedang

266 Corp., Republic of Korea.

267 YC, DJ, BK are employed by CJ CheilJedang Corp., Republic of Korea.

268

269 **Author Contributions**

270 • Yosep Ji, Young Mee Chung and Soyoung Park were equally involved in designing and
271 conducting the experiments and are jointly first co-authors.

272 • Yosep Ji, Young Mee Chung and Soyoung Park analysed the data, prepared the figures
273 and tables and drafted the first version of the paper.

274 • Dahye Jeong, Bongjoon Kim, Wilhelm H. Holzapfel and Yosep Ji conceived the
275 experiments, contributed reagents/materials/analysis tools, and reviewed drafts of the
276 paper together with Soyoung Park.

277 **Animal Ethics**

278 The animal study was approved by the Ethical Committee of KPC Ltd. in Korea (P150067) in full
279 compliance with ethical standards as specified by Korean law.

280

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