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# Moderate thinning increases soil nitrogen in a *Larix principis-rupprechtii* (Pinaceae) plantations

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Changes in the concentration of soil N or its components of the soil may directly affect forestry ecosystem functioning. Thinning of forest stands, a widely used forestry management practice, may transform soil nutrients directly by altering the soil environment, or indirectly by changing above- or belowground plant biomass. The study objectives were to determine how tree stem density affects the soil N pool and what mechanisms drive any potential changes. In this study, N and its active components were measured beneath a *Larix principis-rupprechtii* plantation across two entire growing season and under 12 25\*25m plots: LT (low thinning forests, removal of 15% of the trees, three plot repetitions), MT (35% removal) and HT (50% removal) and contrast: CK (no thinning control). The environmental index like the light condition, soil reoperation, soil temperatures and prescription was measured in the plots. Results indicated that STN (soil total nitrogen) was affected by tree stem density adjustments in short-term, STN generally increased with decreasing tree stem density, reaching its highest concentration in the MT treatment before decreasing in HT; this pattern was echoed by DON/STN (DON, dissolve organic nitrogen), under MT, a lower DON/STN was measured across the seasons; and MBN (microbial biomass nitrogen) and the SOC/STN (SOC, soil organic carbon) ratios, density treatments had an influence on MBN concentration and inhibited SOC/STN (SOC, soil organic carbon). MT tended to accumulate more STN and produce lower DON/STN and generally higher microbial activity, which may be partly ascribed to the higher MBN value, MBN/STN ratio and lower DON/STN; and the water condition (water content, surface runoff and sediment loads) and light and soil temperatures may partly be responsible to the N pool dynamic in the different density treatments.

1 **Moderate thinning increases soil nitrogen in a *Larix principis-rupprechtii* (*Pinaceae*)**  
2 **plantations**

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10

11 **Abstract**

12 Changes in the concentration of soil N or its components of the soil may directly affect forestry ecosystem  
13 functioning. Thinning of forest stands, a widely used forestry management practice, may transform soil  
14 nutrients directly by altering the soil environment, or indirectly by changing above- or belowground plant  
15 biomass. The study objectives were to determine how tree stem density affects the soil N pool and what  
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18 thinning forests, removal of 15% of the trees, three plot repetitions), MT (35% removal) and HT (50% removal)  
19 and contrast: CK (no thinning control). The environmental index like the light condition, soil reoperation, soil  
20 temperatures and prescription was measured in the plots. Results indicated that STN (soil total nitrogen) was

21 affected by tree stem density adjustments in short-term, STN generally increased with decreasing tree stem  
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28 condition (water content, surface runoff and sediment loads) and light and soil temperatures may partly be  
29 responsible to the N pool dynamic in the different density treatments.

30

31 **Key words:** forests thinning; soil total nitrogen; soil microbial environment; nitrogen solubility

32

### 33 **1. Introduction**

34

35 Forest ecosystems have often been proposed to play a part in the effective mitigation of climate change  
36 (Canadell and Raupach 2008; Miles and Kapos 2008). Forest soils play a major role in global nutrient cycles,  
37 providing regulating and supporting services, and hence soils are one of the most important components of  
38 forest ecosystems (Bravo-Oviedo et al. 2015). Previous studies have suggested that increasing levels of  
39 nitrogen (N) deposition could impact the sustainability of carbon (C) sinks in forest ecosystems (Townsend et  
40 al. 1996), as a result of interactions between the carbon and nitrogen cycles (Rastetter et al. 1997). However,

41 due to the complexity of the interactions between both cycles, how these cycles are coupled remains poorly  
42 understood (Mcguire et al. 2003). Study (Aerts et al. 2012; Wieder et al. 2013) has shown that soil total  
43 nitrogen (STN), which has been widely studied in forest ecosystems (Hafner et al. 2005; Guan et al. 2015) and  
44 other land use conditions (Lehrsch et al. 2012; Zhao et al. 2017; Wang et al. 2017), responds to soil organic  
45 matter input; therefore, aboveground changes may potentially alter N pools in temperate forests.

46 Thinning treatments are frequently utilized in forest management to promote undergrowth renewal,  
47 increase biodiversity and improve soil fertility (Pariona et al. 2003). Management of stem density has been  
48 shown to be important for maintaining forest ecosystem services and long-term productivity, and is thus a  
49 focus of much scientific study (Jackson et al. 2002; Crow et al. 2002).

50 More than 80% of the N in soil exists in organic form (Schulten and Schnitzer, 1997). However, recent  
51 study in terrestrial ecosystems has been mainly focused on inorganic forms, such as ammonium ( $\text{NH}_4^+$ ) and  
52 nitrate ( $\text{NO}_3^-$ ) (Sigua and Coleman, 2006). STN is strongly correlated with the amount of available N in soil,  
53 and thus can influence soil microbial activity and humus formation (Bravo-Oviedo et al. 2015). Dissolved  
54 organic nitrogen (DON) availability may structure bacterial communities (Ren et al. 2016), responding rapidly  
55 to environmental change, DON dynamics can affect soil nutrient cycling, microbial activity and nutrient  
56 availability (Iqbal et al. 2010). Although total soil microbial biomass nitrogen (MBN) tends to be low in  
57 absolute value, its turnover represents a significant contribution to the global nitrogen cycle (Jenkinson et al.  
58 1988). The MBN reflects the activity of microorganisms (Wardle, 1992; Jiang et al. 2010). Global stocks of  
59 soil organic carbon (SOC) had reached 2344 Pg (Stockman et al. 2013), as a large percentage of the global soil  
60 carbon pool is stored in forest soils (Houghton, 1995; Dixon et al. 1994). Due the close relationship between C

61 and N in forest soils (Tateno et al. 1997; Cleveland et al. 2007) the SOC/STN ratio acts as an index of the  
62 degree of correlation between C and N availabilities (Ge et al. 2013), as well as a sensitive indicator of soil  
63 quality (Gravel et al. 2010). This SOC/STN ratio can also detect plant growth (Zhang et al. 2011; Wieder et al.  
64 2013).

65 Tree stem density adjustment via thinning is a common management practice in forest plantations; this  
66 widely used approach can affect the growth of the forest stand (Duan et al. 2010), aboveground plant biomass  
67 (Jessica et al. 2007) and understory biological diversity (Karlsson et al. 2002; Lähde et al. 2002). Thinning  
68 regulates the distribution of open growing space so that standing trees may benefit from reduced competition,  
69 increasing growth and tree health (Smith et al. 1997; Jandl et al. 2007). Afforestation increases soil nitrogen  
70 accumulation and modifies nitrogen availability for micro-organismal growth (Deng et al. 2014), thereby  
71 potentially influencing elemental cycles in terrestrial ecosystems (Li et al. 2012; Li et al. 2014). Study (Aerts,  
72 et al. 2012; Wieder et al. 2013) has also shown that soil N responds to changes in soil organic matter inputs,  
73 which can then impact microbial processes. While many studies have focused on the soil carbon cycle in forest  
74 ecosystems (Lal et al. 2004; Zou et al. 2005; Ares et al. 2010), rather less attention has been paid to the  
75 relationship between C and N. Knowledge of how the active organic form of soil nitrogen varies with stand  
76 tree stem density and how SOC and STN are mechanistically linked is lacking.

77 In this study, within-growing-season variation in soil active nitrogen components was quantified for four  
78 different stand densities within a *Larix principis-rupprechtii* plantation located in a Northern Chinese montane  
79 secondary forest. Study hypotheses were first that adjustments in the tree stem density would affect STN and  
80 second that soil N-components would play an important role in N cycling. The specific objectives were to

81 determine: (1) how STN varies with stand tree stem density; (2) the contributions of each soil nitrogen  
82 component to variation in the nitrogen pool overall under different stand densities and in different seasons; and  
83 (3) how the environmental factors changes related with the N pools.

84

## 85 **2. Materials and methods**

86

### 87 **2.1 Study area and experimental design**

88

89 The study was carried out in a plantation on Mt. Taiyue in Shanxi, North China (112°00'47" E, 36°47'05"  
90 N; 112°01'~112°15'E, 36°31'~36°43'N; elevation 2273–2359 m above sea level). This artificial forest is  
91 dominated by *Larix principis-rupprechtii* and has been protected since it was planted in the 1980s. The climate  
92 is the continental monsoon type with a humid, rainy summer and a cold, snowy winter. Mean annual air  
93 temperature is 8.7 °C, with an average minimum temperature of - 10.4°C in January and an average maximum  
94 of 17.4 °C in July. The frost-free period lasts an average of 125 days, with the earliest frost generally in  
95 October and latest frost in April. Average annual rainfall ranges between 600 and 650 mm·yr<sup>-1</sup>, with  
96 precipitation occurring mainly from July to September. The soil type in the study plantation are Haplic luvisols,  
97 ranging from 50–110 cm thick, according to the World Reference Base (WRB) soil classification system  
98 (IUSS Working Group WRB, 2006).

99 Sampling was performed in stands selected to reflect average altitude, grade, slope direction and soil  
100 conditions within the plantation, and measurements of these characteristics did not significantly vary among

101 stands at the beginning of the experiment. After quantifying the initial characteristics of each quadrat, three 25  
102 m × 25 m quadrats, or “sample areas”, were designated within each treatment in July 2010. Field sampling was  
103 conducted in 12 study treatments, with initial stand densities averaging 2160 stems ha<sup>-1</sup>. Three sample areas  
104 was designed for the 15% thinning (low thinning forests, LT) treatments randomly, with tree stem density  
105 adjusted to 1834 ± 12 stems ha<sup>-1</sup> (mean of three replications); three 35% thinning (moderate thinning forests,  
106 MT) treatments, with tree stem density adjusted to 1418 ± 7 stems ha<sup>-1</sup>; and three 50% thinning (heavy  
107 thinning forests, HT) treatments, with tree stem density adjusted to 1089 ± 3 stems ha<sup>-1</sup>. Thinning treatments  
108 included three no thinning contrast (CK) with 2160 ± 12 stems ha<sup>-1</sup>. The trees that were cut for thinning were  
109 removed from the plots and the understory plants remained. The dominant overstory vegetation in all stands  
110 was 35 years old *L. principis-rupprechtii*. Shrub species included *Elaeagnus umbellata* and *Rubus parvifolius*,  
111 and herbaceous species included *Carex rigescens* and *Dendranthema chanelii*. Detailed treatment  
112 characteristics are presented in Table 1 and Table 2.

113

## 114 2.2 Sampling and chemical analysis

115

116 Total soil carbon and nitrogen concentration were determined from soil samples collected from  
117 treatments at 0-10 cm, 10- 20cm, 20- 30cm, 30- 40cm, and 40- 50 cm depths using a cylindrical soil auger.  
118 Samples were collected at three time points throughout the growing season of 2015: spring, summer and  
119 autumn. Snow cover and freezing prevented collection of soil samples in the winter. Soil samples were  
120 collected from nine randomly chosen locations within each quadrat, and then combined according to depth to



121 form one homogenous composite sample per depth. Visible stones and organic residues were removed and  
122 each sample was sieved through 2-mm mesh prior to chemical analyses. After sifting, each composite soil  
123 sample was divided into two subsamples. One subsample was stored in a 4°C incubator for later determination  
124 of DON and MBN concentration. The second was air-dried and passed through a 0.25-mm sieve before  
125 determination of soil organic carbon (SOC) concentration, STN concentration, through a 2-mm sieve for soil  
126 pH.

127 SOC and STN concentrations were determined by dry combustion using an elemental analyzer (Thermo  
128 Scientific FLASH 2000 CHNS/O, USA). The MBN concentration was measured using an HCl<sub>4</sub>-fumigation  
129 extraction technique; 10.0 ± 0.5 g of fresh soil was fumigated with HCl<sub>4</sub>, then extracted with 40 mL of  
130 0.5mol·L<sup>-1</sup> K<sub>2</sub>SO<sub>4</sub>, shaken for 1 h at 350 r min<sup>-1</sup>, and filtered through a 0.45 μm membrane after centrifuging  
131 5min at 3000 r min<sup>-1</sup>. The filtrate concentration was quantified using a total organic carbon analyzer (Multi  
132 N/C 3000, Germany). The DON concentration was measured as the carbon concentration of non-fumigated  
133 soil samples (Boyer and Groffman 1996).

134 MBC was calculated as:

$$135 \qquad \qquad \qquad \text{MBC} = \text{EC} / k_{\text{EC}} \qquad \qquad \qquad (2)$$

136 In (1)  $E_C$  = (organic C extracted from fumigated soils) - (organic C extracted from non-fumigated soils)  
137 and  $k_{\text{EC}} = 0.54$

138 The soil texture was analyzed using the pipete method (Gee and Bauder, 1986). Air-dried soil samples  
139 that had been passed through a 1 mm sieve were used for soil pH determination; using a pH meter (Sartorius  
140 PB-10), pH was determined for a 1: 2.5 soil- water mixture. Gravimetric soil water concentration was

141 measured as mass lost after drying for 24 h at 105 °C. Meteorological data collected from a small fixed  
 142 weather stations beside the sample area.

143

### 144 **2.3 Environmental factors in the density adjustment plots**

145 Soil respiration was measured with an LI-8100 soil CO<sub>2</sub> flux system (LI-COR Inc., NE., USA) in the  
 146 middle and end of each moths during the three sampling seasons. The measurements were made on twelve  
 147 PVC collars on each plot during 10:00–17:00 h over a one-day period. The PVC collars on each plot were  
 148 systematically arranged. Soil temperature and volumetric soil water content at 5 cm depth were concurrently  
 149 measured near each PVC collar. Soil temperature and volumetric soil water content at 5 cm depth were  
 150 concurrently measured near each PVC collar. Each PVC collar is at 10 cm in diameter and 5 cm in height, with  
 151 3 cm insertion into soil.

152 Soil respiration for was the average of ever moth crossing the vegetation growing time (2 times each  
 153 month), computation formula is as follows:

$$154 \quad C_{RS} = \frac{R_S \cdot t \cdot C_{mol}}{10^6} \dots\dots\dots (2)$$

155  $C_{RS}$  (total carbon emission from soil respiration) gC·m<sup>-2</sup>;  $R_S$  (Soil respiration), μmol·m<sup>-2</sup>·s<sup>-1</sup>; t (time), s;  
 156  $C_{mol}$ , 12g·mol<sup>-1</sup>.  $C_{RS}$  in winter in this study accounted for 10% total carbon emission from soil respiration  
 157 annual (Wang, et al., 2002).

158 The forests light environments were collected in July 2015 and 2016. The canopy analyzer (WIN  
 159 SCANOPY 2010 a, Canada) was used to measured PPFd total over: photosynthetic photon flux density over  
 160 the forest, PPFd total under: photosynthetic photon flux density under the forest. The plot was divided into

161 three areas, which, in each region according to the left, middle and right is divided into three sub areas, a total  
162 of nine areas; in the center of each sub area the canopy analyzer was set up. Optical information collected and  
163 used the instrument software to analyze stand light environment (PPFD) back to the laboratory.

164 Surface runoff was measured by the runoff watershed method implementation, in each of the treatment,  
165 using asbestos shingle (set 5 m \* 10 m) along the slope embedded in the dug trenches (depth of 0.25 m, 10 m  
166 long), guarantee not outflow runoff field runoff, a total of three sides runoff field (two 10m long side and one  
167 short side 5m up of the slope), runoff long downhill a short edge set a tilt in the horizontal plane of intercepting  
168 trough (5 m \* 0.2 m \* 0.2 m of PVC produced), intercept tank placed below level of end surface runoff  
169 collecting device (30 L plastic bucket), cover collection device with asbestos shingle, surface runoff data was  
170 collected directly by measuring the water in the bucket. Then shaken the water in the bucket and took 500 ml  
171 sediment content of water samples back to the laboratory and used the filtration experiment to calculate the  
172 sediment. The water was collected in crossing the vegetation in 2015.

173

### 174 **2.3 Statistical Analysis**

175 All data in the tables and figures are presented as means (n= 15, 3 plot repeats \* 5 soil depths). Four-way  
176 analysis of variance was used to examine the impact of thinning treatment, season and soil depths, years and  
177 their interaction on STN, SOC, DON, MBN and soil pH values based on the post hoc Tukey-HSD test using  
178 the statistical package, IBM SPSS 20.0. One- way analysis of variance was used to examine the impact of  
179 thinning treatment in a season by T-test using SPSS. The least significant difference (LSD) test was used to

180 compare treatment means, with significant effects having  $p < 0.05$ . Pearson correlation coefficients were  
181 calculated for pairs of carbon and nitrogen variables and two-tailed t-tests carried out using SPSS 20.

182

### 183 **3. Results**

184

#### 185 **3.1 General characteristics of the soil**

186

187 Meteorological data, according to an automatic meteorological station indicated that precipitation was  
188 significantly higher in the summer than in the spring and autumn, the air temperature and 0- 10cm soil  
189 temperature also higher in summer, precipitation in 2016 was 1.3 times higher than 2015, Fig. 1.

190 No significant differences were found in the total phosphorus, bulk density, or mechanical composition of  
191 soil under different tree stem density treatments (Table 1). With tree stem density reduction, the forest  
192 understory became much brighter (from both direct, scattering and total radiation); the total photosynthetic  
193 photon flux density (PPFD) in the understory increased in the LT, MT and HT treatments, respectively,  
194 compared with the control ( $p < 0.05$ ), Table 2. Soil respiration was higher in the MT plots while not  
195 significantly. A higher soil temperature was measured in MT, only significant in 2015. Soil moisture was  
196 significant higher in HT compared with CK, Table 2.

197 The total biomass gradually decreases with increasing intensity of density regulation, the variance  
198 analysis showed that only between CK and HT process has significant difference ( $p < 0.05$ ) (Table 3).

199 Understory species composition was relatively simple in this *L. principis-rupprechtii* plantation, with the  
200 understory vegetation in the CK containing nine families, 13 genera and 14 herbaceous species. Dominant  
201 plants included species of *Compositae*, *Ranunculaceae* and *Rosaceae* families. In thinning treatments,  
202 understory plant species richness increased with decreasing tree stem density. Overall, the highest species  
203 richness was recorded in the MT treatment (Table S2). Soil nutrients decreased significantly with soil depth  
204 and generally accumulated to higher levels in summer (Table S2).

205

### 206 3.2 Soil total nitrogen

207

208 Tree stem density effects on STN were significant in five sampling seasons out of six, (Fig. 2-a). In 2015,  
209 spring ( $p = 0.0027$ ), (g N Kg<sup>-1</sup>): M ( $3.1 \pm 0.21$ ) > HT ( $2.9 \pm 0.33$ ) > CK ( $2.5 \pm 0.05$ ) > LT ( $2.3 \pm 0.11$  g). In  
210 summer ( $p = 0.002$ ), (g N Kg<sup>-1</sup>): MT ( $3.6 \pm 0.04$ ) > HT ( $3.4 \pm 0.21$ ) > LT ( $2.9 \pm 0.08$ ) > CK ( $2.7 \pm 0.19$ ). In  
211 autumn ( $p = 0.110$ ), (g N Kg<sup>-1</sup>): HT ( $3.2 \pm 0.42$ ) > MT ( $3.1 \pm 1.197$ ) > CK ( $2.7 \pm 0.29$ ) > LT ( $2.5 \pm 0.97$ ).  
212 Thus, STN was highest in spring and summer in the MT treatment compared with other treatments. Mean STN  
213 concentrations were 25% higher in the MT (30% thinning) and HT (50% thinning) treatments than in the less  
214 severe thinning treatments (i.e. LT - 15% thinning, and CK - 0% thinning).

215 In 2016, the response of STN content to density adjustments was similar to 2015, but bigger differences  
216 between more and less severe thinning treatments. In 2016, the tree stem density effects on STN were  
217 significant in spring ( $p = 0.003$ ), summer ( $p = 0.026$ ) and autumn ( $p = 0.003$ ). Across the three sampling seasons,  
218 (g N Kg<sup>-1</sup>): MT ( $3.2 \pm 0.44$ ) > HT ( $2.8 \pm 0.23$ ) > CK ( $2.4 \pm 0.24$ ) > LT ( $2.3 \pm 0.13$ ).

219 Accumulation of STN content was greater for the more thinned treatments (MT, HT) than the less thinned  
220 treatments (CK, LT) in the two sampling years, resulting in 26.1%, 24.9%, and 22.5% increases between less  
221 thinned and more thinned treatments in spring, summer, autumn, respectively in 2015 (Fig. 3- a); resulting in  
222 12.5%, 26.3%, and 48.9% increases between less thinned and more thinned treatments in spring, summer,  
223 autumn, respectively in 2016 (Fig. 3- b).

224

### 225 3.3 Tree density adjustment effects on soil organic nitrogen components

226

227 Tree stem density had little effect on dissolved organic nitrogen (DON) in the soil across the sampling  
228 seasons (Fig. 3a), only did in the summer of 2015 ( $p = 0.034$ ) though DON concentration varied little among  
229 thinning treatments (standard deviation  $< 5.75 \text{ mg N kg}^{-1}$ ). However, DON varied with the seasons ( $p < 0.001$ ),  
230 changing rapidly over the sampling period. The DON was 102.7% higher in summer than the other seasons.

231 The MBN, which reflects the microbial activity of forest soils, was highest in the MT treatment  
232 (compared with other treatments) across all seasons ( $p = 0.012$  in spring;  $p = 0.076$  in summer;  $p = 0.035$  in  
233 autumn) in 2015. MBN generally increased with decreasing tree stem density up to the MT treatment and then  
234 decreased in the HT treatment (Fig. 4a) ( $\text{mg N Kg}^{-1}$ ): in spring,  $\text{CK} < \text{HT} (7.7 \pm 0.79) < \text{LT} (8.8 \pm 1.16) < \text{MT}$   
235  $(10.8 \pm 0.30)$ ; in summer,  $\text{CK} (29.9 \pm 2.49) < \text{LT} (30.5 \pm 1.32) < \text{HT} (32.2 \pm 2.97) < \text{M} (36.4 \pm 0.93)$ ; and in  
236 autumn,  $\text{LT} (30.2 \pm 0.80) < \text{HT} (33.0 \pm 0.51) < \text{CK} (30.7 \pm 3.37) < \text{MT} (35.8 \pm 0.44)$ . However, in 2016,  
237 MBN was not affected by density adjustment significantly, ( $p = 0.165$  in spring;  $p = 0.555$  in summer;  $p =$

238 0.205 in autumn). In the summer of 2016, a significant higher MBN content was measured, which was 302.6%  
239 higher than the average MBN content across all the seasons and treatments.

240

### 241 **3.4 Relationships among soil nitrogen components**

242

243 The ratios of DON/STN and MBN/STN responded differently to both thinning treatments and seasonal  
244 changes (Fig. 3b and Fig. 4b). A one-way ANOVA revealed that both ratios differed among seasons ( $p < 0.01$ ),  
245 being higher in autumn and summer versus spring.

246 As noted, different from DON content the ratio DON/STN varied with tree stem density significantly in  
247 four sampling times out of six. DON/STN generally decreased with decreasing tree stem density down to the  
248 MT treatment and then increased in the HT treatment (Fig. 3b) (%): in spring 2015 ( $p = 0.027$ ), MT ( $1.42 \pm$   
249  $0.13$ ) < CK ( $1.59 \pm 0.05$ ) < LT ( $1.73 \pm 0.08$ ) < HT ( $1.78 \pm 0.04$ ); in summer 2015 ( $p = 0.003$ ), MT ( $6.13 \pm 0.16$ )  
250 < CK ( $6.58 \pm 0.20$ ) < LT ( $7.79 \pm 0.34$ ) < CK ( $8.47 \pm 0.76$ ); in autumn 2015, not significant ( $p = 0.10$ ); In spring  
251 2016 ( $p = 0.123$ ); in summer 2015 ( $p = 0.047$ ), MT ( $2.70 \pm 0.43$ ) < HT ( $3.22 \pm 0.91$ ) < CK ( $4.18 \pm 0.16$ ) < LT  
252  $4.51 \pm 0.55$ ); in autumn 2015 ( $p = 0.001$ ), MT ( $2.32 \pm 0.15$ ) < HT ( $3.18 \pm 0.22$ ) < CK ( $3.33 \pm 0.23$ ) < LT ( $3.45$   
253  $\pm 0.13$ ). Within each season, DON/STN was minimized in the MT thinning treatment.

254 Strong, positive correlations were found between SOC and STN ( $R = 0.894$ ,  $p < 0.001$ ,  $n=360$ ), DOC and  
255 DON ( $R=0.926$ ,  $p < 0.001$ ,  $n=360$ ), and between the DON and both MBC ( $R = 0.657$ ,  $p < 0.001$ ,  $n=360$ ) and  
256 DOC ( $R = 0.926$ ,  $p < 0.001$ ,  $n=360$ ). In contrast, the SOC/STN ratio was negatively correlated with STN ( $R =$   
257  $-0.427$ ,  $p < 0.001$ ,  $n=360$ ). (Fig. 5).

258

259 **4. Discussion**

260

261 **4.1 Effects of thinning treatments on the soil N pool and forest ecosystem**

262

263 The specific objectives of this study were to determine how STN varies with stand tree stem density in a  
264 *L. principis-rupprechtii* plantation, and how variation in each soil nitrogen component may drive patterns in  
265 STN. STN responded to density treatments, first increasing with decreasing density (up to the MT treatment)  
266 and then decreasing in HT (50% tree stem removal), indicating that thinning generally increased soil total  
267 nitrogen. However, this effect was limited to the growing season and was not seen in autumn.

268 The availability of soil N is widely regarded as a factor commonly restricting primary productivity  
269 (Sigurdsson, 2001) and the function of certain biochemical processes (Vitousek et al, 2010). Understory plant  
270 species were most abundant in the moderate thinning treatment of this study (MT, Table 2). Similarly, an  
271 experiment that followed mixed forests for 12 years after thinning showed that tree stem density reduction can  
272 improve the growth of woody species in stands significantly (Lei, 2005). Study in *Picea abies* (Heinrichs and  
273 Schmidt, 2009) and *Pseudotsuga menziesii* forests (Ares et al. 2010) also found that both forest species  
274 richness and the abundance of shrub and grass species increased with thinning intensity. Aboveground  
275 vegetation is one of the main source for soil N (nitrogen) pool (Achat et al. 2015), hence changes in species  
276 composition and biomass may affect STN. As we found here, understory plant species were most abundant in



277 the moderate thinning treatment (MT, Table S1). A more biomass was found in this study under MT if the tree  
278 layers (thinned layers) was not included (Table 3), echoed to a higher STN and SOC in the 35% thinning plots.

279 The forest density adjustment conducted in the *L. principis-rupprechtii* plantation caused a change in the  
280 environmental factors both from the up ground plants and the below, soil respiration, temperature and moisture  
281 in the soil at different levels, which may contribute to the differences of soil N pool. Light and space  
282 availability in the understory can change with thinning (Richards and Hart, 2011; Roberts, 2004) (and here,  
283 Table 1). Here, thinning treatments altered the total PPFD under and had no impact on the total PPFD over the  
284 forest canopy (Table 2). Other crucial environmental factors like soil temperatures, soil respiration changed  
285 with density adjustments, higher soil temperatures and soil respiration were measured in the MT, while not  
286 significant generally. The soil moisture was enhanced by the density adjustment significantly, with the  
287 thinning increased caused a higher soil moisture content (Table 2).

288 According to the *intermediate disturbance hypothesis* (Fox, 1979; Roxburgh et al. 2004; Huston 2014),  
289 moderate rates of disturbance to plant communities can maintain high species diversity. This was observed in  
290 an experimental *Cupressus funebris* plantation, where moderate thinning enhanced the diversity indices of both  
291 understory shrub and herbaceous species (Gong et al. 2015). Combinations of various environmental factors,  
292 such as understory plant species composition and light and space availability, may alter the soil environment to  
293 different extents, thus affecting STN concentrations.

294 Close relationships were found between STN and other soil properties. Plotting all the data (across  
295 treatments and seasons), it can be seen that higher STN concentrations also correspond to higher  
296 concentrations of SOC, DON, DOC and MBN (Table 4). Bravo-Oviedo et al. (2015) and further analysis

297 performed in the setting of this study revealed that density treatments affected various components of the soil  
298 N pool which are considered to be factors driving variation in total soil N.

299

#### 300 **4.2 Effects of thinning treatments on the SOC/STN ratio and soil N-components**

301

302 This study tested the hypothesis that moderate thinning treatments should increase STN through changes  
303 to a) the environmental factors in the forests and b) soil N-components and the solubility of the N pool.

304 Changes in DON can lead to significant modifications in soil nutrient stoichiometry, thereby affecting  
305 microbial activity and STN concentration (Iqbal et al. 2010; Aerts et al. 2012). Even though there was no  
306 significant correlation found between tree stem density and DON, thinning treatments did alter soil nitrogen  
307 characteristics, with one unit of STN containing less DON in the more extreme thinning treatments (Fig. 3b).  
308 The amount of DON can affect STN dynamics, as a higher DON/STN means a greater possibility of nitrogen  
309 loss through leaching, which would affect nitrogen accumulation rates. The DON/STN ratio was smallest  
310 under the MT treatment ( $p < 0.05$ ), the same treatment where the highest concentrations of STN were recorded  
311 in the spring and summer 2015, and summer, autumn 2016; this may partly explain STN dynamics across  
312 treatments, higher STN echo to a lower DON/STN.

313 Here, moderate tree stem density reduced nitrogen solubility, limiting nitrogen losses. An analysis of  
314 hydrological characteristics in the study area revealed abundant rainfall, which may cause fertilizer to wash  
315 away (Fig. 1 and Fig. 3). The effects of the moderate thinning treatment on the DON/STN ratio matched  
316 expectations, however DON alone did not.

317 Total soil DON and the DON/STN ratio varied with the season (Fig. 3). In summer, as the temperature  
318 gradually increased (Fig. 1), trees and grasses would have experienced abundant root growth, likely leading to  
319 an increase in root secretions or the amount of deciduous material around the root system (He et al. 2013); both  
320 soil STN and DON concentration rose continuously from spring to summer. Soil temperature was also higher  
321 and more precipitation occurred in the study plantation in the summer (Fig. 1). Higher temperatures can  
322 enhance microbial growth (Edwards et al. 2006), which can then be further facilitated by higher concentrations  
323 of DON providing more nitrogen for microbial growth (Iqbal et al. 2010), and in this study a close positive  
324 relation was measured between DON and MBC ( $R = 0.657$ ,  $p < 0.001$ ,  $n=360$ ). Meanwhile, the higher  
325 precipitation which was reported to be an important factor which affected N pool (Yu et. al, 2017) and  
326 resultant continuous nitrogen losses (via higher DON) might explain the reduction in STN in autumn, a higher  
327 surface runoff was found in higher thinning treatments (Fig. 6a) and a more sediment content was measured in  
328 the HT compared with MT across the plant growing seasons (Fig. 6b). This confirms the hypothesis that  
329 moderate thinning reduced DON/STN; thus, enhanced the STN (Fig. 2a and Fig. 3b).

330 Tree stem density had a more complex effect on the microbial index like MBN, MBC and SOC/STN. 302%  
331 higher MBN content was measured in summer of 2016 compared with the average MBN content of the two  
332 years. The MBN concentration tended to increase with decreasing tree stem density, reaching its highest level  
333 in the MT treatment before decreasing in HT; this pattern was echoed by the STN concentration (Fig 2a and  
334 Fig 4b), while only significant in spring and summer of 2015. In the sampling year of 2016, when there was  
335 130% more precipitation, MBN was not affected by density adjustments.

336 The MBN concentration and MBN/STN ratio were much higher in summer and autumn than in spring  
337 (Fig. 4), as also has been found in previous studies in temperate forest regions (Bohlen et al. 2008), indicating  
338 lower microbial activity at the beginning of the vegetative season. Adequate water availability and warmer  
339 temperatures for microbial growth likely produced the observed increase in MBN in summer (Fig. 1 and Fig  
340 4a). The observed average MBN/STN ratio (2.5%) was similar to the other temperate forest soils (1–3%)  
341 (Zhong and Makeschin, 2006).

342 Previous study has indicated that a lower SOC/STN ratio indicates an increment of the rate of microbial  
343 decomposition and of the nitrogen mineralization nitrogen mineralization (Springob and Kirchmann, 2003),  
344 and here, the SOC/STN ratio was negatively correlated with STN (Table 4). The MT treatment likely provided  
345 a better environment for microorganism growth, thus enhancing the rate of microbial decomposition. Greater  
346 microbial biomass could then increase the concentration of MBN, as shown in the Pearson relation (Fig. 5),  
347 because MBN and MBC were strongly positively correlated. Soil microbial biomass (as MBC or MBN) can be  
348 sensitive to changing soil conditions, a slight variation in the composition of soil organic matter (Liu, 2010) or  
349 environmental (Yi et al., 2007) changes may have changed the content, and hence has been suggested as an  
350 index of both soil environmental change and nutrient supply capacity (Hargreaves et al. 2003). The highest  
351 MBN (Fig. 4a) and lowest SOC/STN (Fig. 4c) were observed in the MT treatment in some seasons, suggesting  
352 that microbes might have been more active under intermediate tree stem densities.

353

## 354 5. Conclusions

355

356 Clear effects of thinning treatments were found on STN in a *Larix principis-rupprechtii* plantation three  
357 years after thinning. The STN concentration was greatest in the MT treatment. Moderate thinning treatments  
358 may have enhanced the soil N pool by changing a) the environmental factors and b) the solubility of soil N  
359 pool. These influences of density adjustment on N pool are likely driven by density effects on the labile N pool,  
360 like DON or MBN, varied with seasons, with contents of these components peaking in the summer when the  
361 water and heat condition was better for the carbon cycle. A lower DON/STN under intense thinning responses  
362 to a higher STN content, indicating the solubility of soil N pool was changed by the density treatments. The  
363 lower solubility created by the MT treatments is the key factor caused the more STN accumulation in this  
364 treatments. Environmental factors: soil temperature, soil moisture and light of plots creating a moderate (via  
365 better) conditions for microorganism and the plants also contribute to the STN accumulation. We recommend  
366 moderate density adjustment (1404 trees per ha) to *L. principis-rupprechtii* plantations to promote N retention  
367 and agree with the *intermediate disturbance hypothesis*, but still long-term studies are required to validate  
368 these findings.

369

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371

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377

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512

### 513 **Figure legends**

514

515 **Figure 1 Air temperature, 10cm soil temperature and average precipitation in the study**  
516 **treatments across the growing season.**

517

518 **Figure 2 Variation in the STN (a) and SOC (b) in different thinning treatments across the growing**  
519 **seasons in 2015 and 2016.** CK, the no thinning, control treatments. LT, the low thinning treatments (15%

520 thinning). MT, the moderate thinning sample treatments (35% thinning). HT, the heavy thinning sample  
521 treatments (50% thinning). STN, soil total nitrogen; SOC, soil total organic carbon. Each bar represents an  
522 average value across three replicate samples ( $n = 15$ ), i.e. three plots repeats  $\times$  five soil depths. Error bars  
523 represent standard errors around the three plot repeats. Different lowercase letters demarcate a significant  
524 difference among different density adjustments within the same sampling season ( $p < 0.05$ ). The same for  
525 Figure 3, 4 and 5.

526

527 **Figure 3 Variation in the DON (a) and DON/STN (b) in different thinning treatments across the growing**  
528 **seasons.** CK, the no thinning, control treatments. LT, the low thinning treatments (15% thinning). MT, the  
529 moderate thinning sample treatments (35% thinning). HT, the heavy thinning sample treatments (50%  
530 thinning). DON, dissolved organic nitrogen; STN, soil total nitrogen. Each bar represents an average value  
531 across three replicate samples ( $n = 15$ ), i.e. three plots repeats  $\times$  five soil depths. Error bars represent standard  
532 errors around the three plot repeats. Different lowercase letters demarcate a significant difference among  
533 different density adjustments within the same sampling season ( $p < 0.05$ ).

534

535 **Figure 4 Variation in the MBN (a), MBN/STN (b) and SOC/STN (c) in different thinning treatments**  
536 **across the growing seasons.** CK, the no thinning, control treatments. LT, the low thinning treatments (15%  
537 thinning). MT, the moderate thinning sample treatments (35% thinning). HT, the heavy thinning sample  
538 treatments (50% thinning). MBN, microbe biomass nitrogen; STN, soil total nitrogen; SOC, soil organic  
539 carbon. Each bar represents an average value across three replicate samples ( $n = 15$ ), i.e. three plots repeats  $\times$

540 five soil depths. Error bars represent standard errors around the three plot repeats. Different lowercase letters  
541 demarcate a significant difference among different density adjustments within the same sampling season ( $p <$   
542 0.05).

543

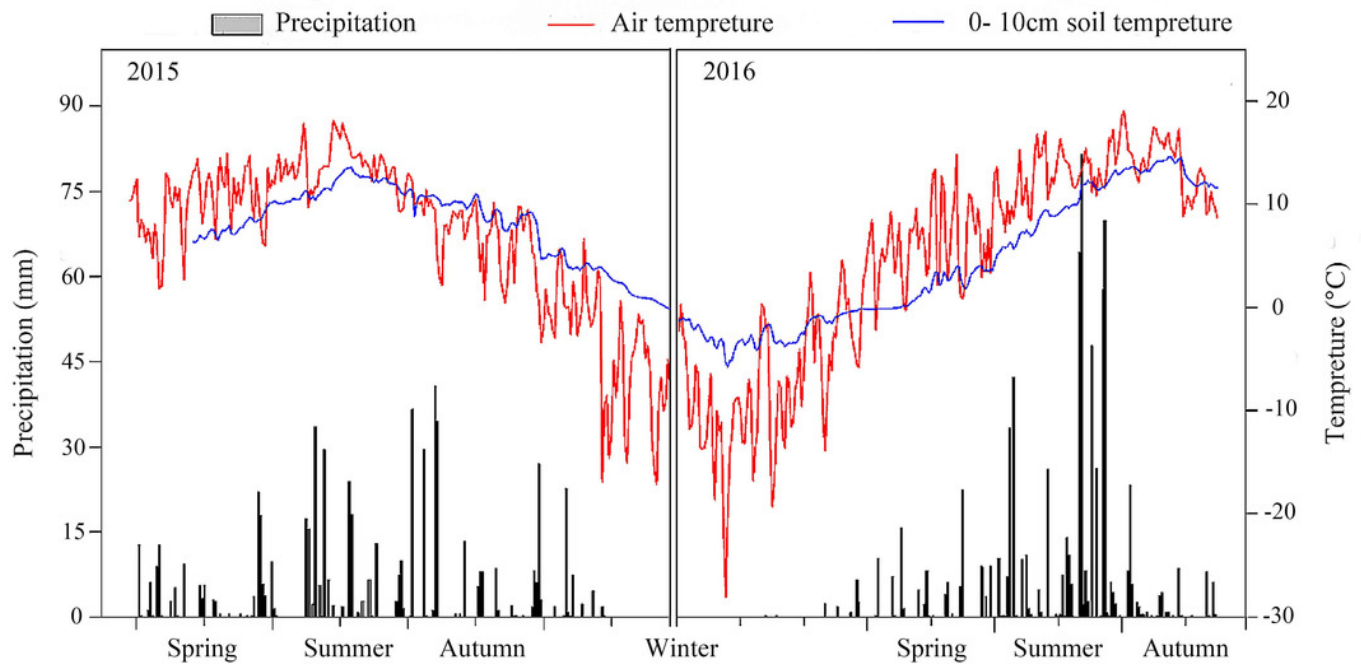
544 **Figure 5 Pearson relationship of different soil properties across thinning treatments, seasons and soil**  
545 **depths.**  $n= 360$ , i.e. four density treatments \* three seasons \* three repeats \* five soil depths \* two years.

546

547 **Figure 6 The surface runoff (a) and sediment (b) concentration under different thinning treatments**  
548 **across seasons.** The data was collected in 2015.

# Figure 1

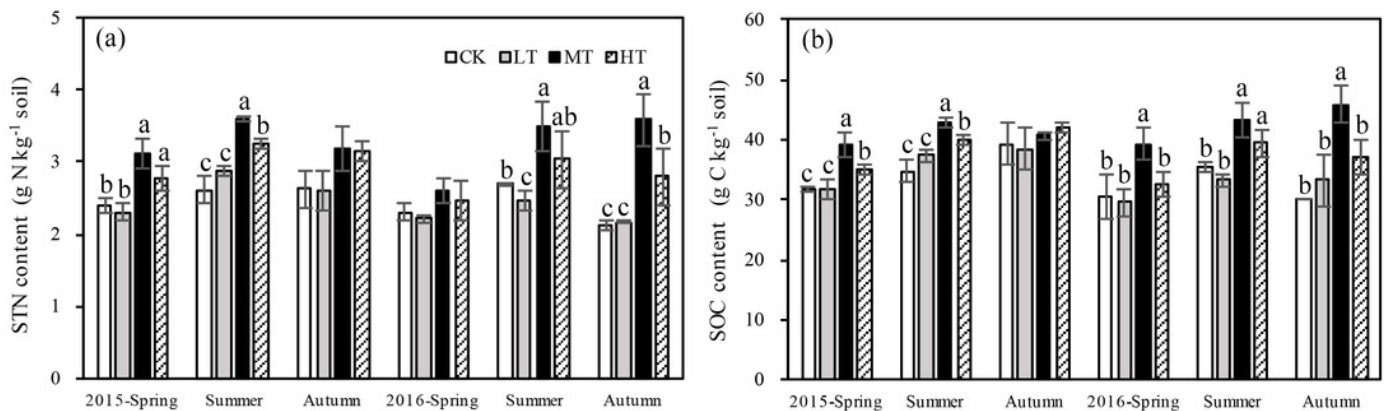
Figure 1 Air temperature , 10cm soil temperature and average precipitation in the study treatments across the growing season.



## Figure 2

Figure 2 Variation in the STN (a) and SOC (b) in different thinning treatments across the growing seasons in 2015 and 2016.

CK, the no thinning, control treatments. LT, the low thinning treatments (15% thinning). MT, the moderate thinning sample treatments (35% thinning). HT, the heavy thinning sample treatments (50% thinning). STN, soil total nitrogen; SOC, soil total organic carbon. Each bar represents an average value across three replicate samples ( $n = 15$ ), i.e. three plots repeats  $\times$  five soil depths. Error bars represent standard errors around the three plot repeats. Different lowercase letters demarcate a significant difference among different density adjustments within the same sampling season ( $p < 0.05$ ). The same for Figure 3, 4 and 5.

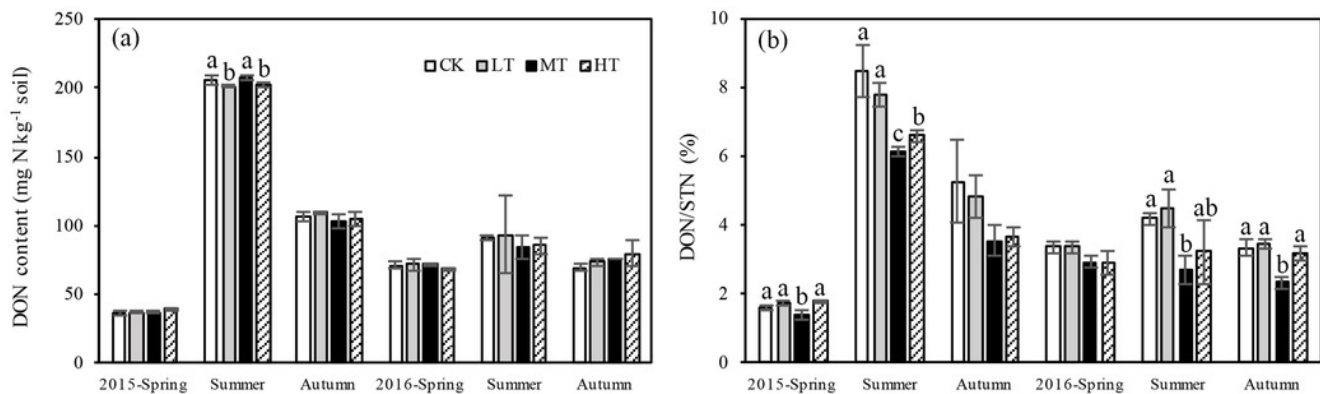




## Figure 3

Figure 3 Variation in the DON (a) and DON/STN (b) in different thinning treatments across the growing seasons.

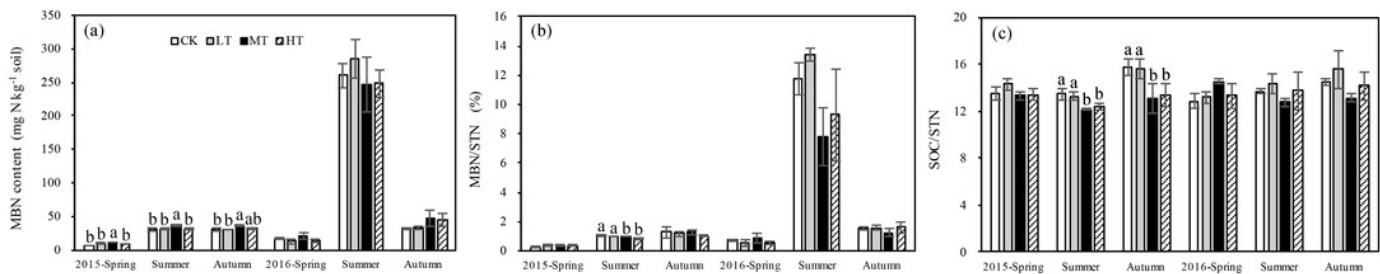
CK, the no thinning, control treatments. LT, the low thinning treatments (15% thinning). MT, the moderate thinning sample treatments (35% thinning). HT, the heavy thinning sample treatments (50% thinning). DON, dissolved organic nitrogen; STN, soil total nitrogen. Each bar represents an average value across three replicate samples ( $n = 15$ ), i.e. three plots repeats  $\times$  five soil depths. Error bars represent standard errors around the three plot repeats. Different lowercase letters demarcate a significant difference among different density adjustments within the same sampling season ( $p < 0.05$ ).



## Figure 4

Figure 4 Variation in the MBN (a), MBN/STN (b) and SOC/STN (c) in different thinning treatments across the growing seasons.

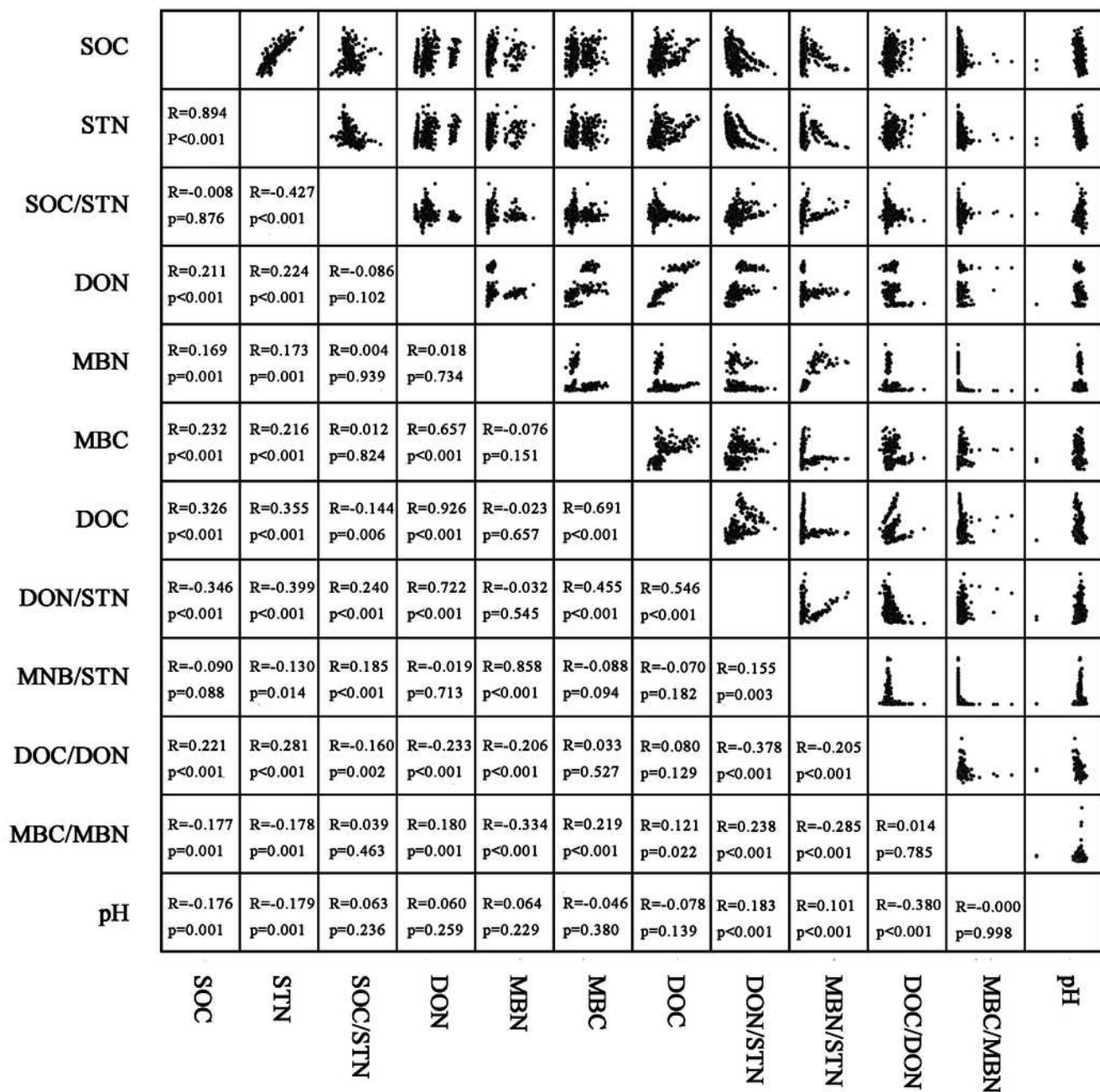
CK, the no thinning, control treatments. LT, the low thinning treatments (15% thinning). MT, the moderate thinning sample treatments (35% thinning). HT, the heavy thinning sample treatments (50% thinning). MBN, microbe biomass nitrogen; STN, soil total nitrogen; SOC, soil organic carbon. Each bar represents an average value across three replicate samples ( $n = 15$ ), i.e. three plots repeats  $\times$  five soil depths. Error bars represent standard errors around the three plot repeats. Different lowercase letters demarcate a significant difference among different density adjustments within the same sampling season ( $p < 0.05$ ).



## Figure 5

Figure 5 Pearson relationship of different soil properties across thinning treatments, seasons and soil depths.

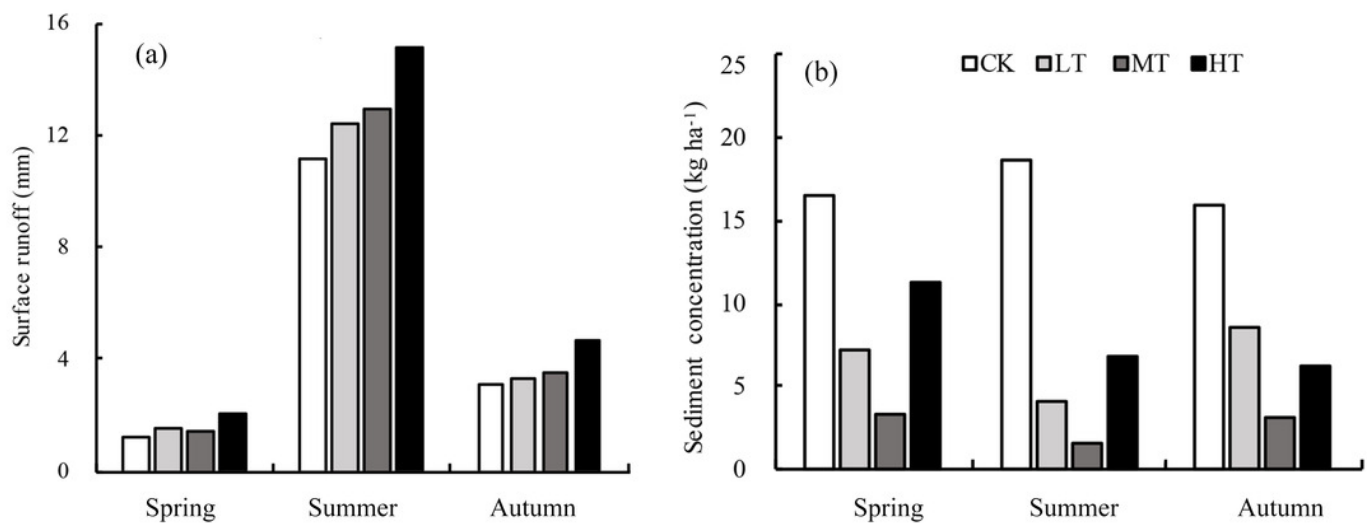
n= 360, i.e. four density treatments \* three seasons \* three repeats \* five soil depths \* two years.



## Figure 6

Figure 6 The surface runoff (a) and sediment (b) concentration under different thinning treatments across seasons.

The data was collected in 2015.



**Table 1** (on next page)

Table 1 Average characteristic measurements of experimental stands for density adjustment treatments in a 35-year-old *Larix principis-rupprechtii* plantation.

Standard errors of the mean are presented within parenthesis. Treatments: NFC: no thinning, control forest, LTF: low thinning forest, M: moderate thinning forest, H: heavy thinning forest.

Density adjustments and measurements of characteristics were performed in July, 2012.

Total phosphorus, bulk density, mechanical composition or bulk density of soil was measured in July of 2015 ( means  $\pm$  SD, n = 3)

**Table 1 Average characteristic measurements of experimental stands for density adjustment treatments in a 35-year-old *Larix principis-rupprechtii* plantation.**

Standard errors of the mean are presented within parenthesis. Treatments: NFC: no thinning, control forest, LTF: low thinning forest, M: moderate thinning forest, H: heavy thinning forest.

Density adjustments and measurements of characteristics were performed in July, 2012. Total phosphorus, bulk density, mechanical composition or bulk density of soil was measured in

July of 2015 ( means  $\pm$  SD, n = 3)

Treatment	Stems (ha <sup>-1</sup> )	Thinning (%)	Slope Gradient(°)	Mean Height (m)	Mean DBH (cm)	Total soil phosphorus (g kg <sup>-1</sup> )	Soil bulk density g cm <sup>-3</sup>	Mechanical composition	
								< 0.002mm	0.002~0.075mm
CK	2173 ( $\pm 12$ )	0	25 ( $\pm 3.6$ )	14.5 ( $\pm 1.21$ )	13.3 ( $\pm 1.29$ )	0.50 ( $\pm 0.032$ )	0.91 ( $\pm 0.070$ )	20.83 ( $\pm 4.263$ )	30.0 ( $\pm 0.000$ )
LT	1834 ( $\pm 12$ )	15	25 ( $\pm 3.6$ )	19.3 ( $\pm 1.21$ )	14.9 ( $\pm 1.29$ )	0.51 ( $\pm 0.032$ )	0.87 ( $\pm 0.070$ )	22.07 ( $\pm 4.263$ )	29.0 ( $\pm 0.000$ )
MT	1418 ( $\pm 7$ )	30	23 ( $\pm 0.5$ )	16.6 ( $\pm 0.21$ )	16.3 ( $\pm 0.02$ )	0.60 ( $\pm 0.071$ )	0.95 ( $\pm 0.024$ )	18.27 ( $\pm 2.117$ )	31.0 ( $\pm 2.000$ )
HT	1089 ( $\pm 3$ )	50	24 ( $\pm 2.0$ )	16.9 ( $\pm 0.31$ )	17 ( $\pm 0.65$ )	0.57 ( $\pm 0.034$ )	0.86 ( $\pm 0.010$ )	17.43 ( $\pm 1.156$ )	33.0 ( $\pm 2.000$ )

10

**Table 2** (on next page)

Table 2 The environmental factors of *L. principis-rupprechtii* plantation with different thinning treatments.

Soil respiration: carbon flux of soil respiration; PPFD total over: photosynthetic photon flux density over the forest, PPFD total under: photosynthetic photon flux density under the forest. The Soil respiration, soil temperature, soil moisture was measured in the vegetation growing seasons and the values were the means of 7 months from April to October. PPFD was measured in the summer seasons, July each year. Different superscripts indicate significant difference at  $p < 0.05$  in thinning treatments,  $n = 3$ .

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Environmental factors	Year	CK	LT	MT	HT
Soil respiration (g C m <sup>-2</sup> )	2015	297.6 ± 22.1 a	280.43±31.97 a	391.1 ± 40.6 a	356.7 ± 33.6 a
	2016	421.6 ± 47.3 a	391.08±70.42 a	507.5 ± 55.4 a	438.8 ± 45.3 a
PPFD total under (MJ·m <sup>-2</sup> ·d <sup>-1</sup> )	2015	4.6 ± 0.5c	5.9 ± 0.47b	6.5 ± 0.5 b	9.7 ± 0.5 a
	2016	4.8 ± 0.3 c	5.86 ± 0.21b	6.4 ± 1.0 b	8.1 ± 0.4 a
PPFD total over (MJ·m <sup>-2</sup> ·d <sup>-1</sup> )	2015	27.9 ± 1.2	28.3 ± 1.23	28.4 ± 0.3	28.5 ± 0.6
	2016	28.87 ± 1.07a	29.25 ± 0.14a	29.5 ± 1.1 a	30.4 ± 1.2 a
Soil temperature (°C)	2015	6.1 ± 0.1 b	6.3 ± 0.3 b	7.0 ± 0.2 a	6.5 ± 0.2 ab
	2016	7.7 ± 0.4 a	7.7 ± 1.2 a	8.5 ± 0.8 a	7.8 ± 0.6 a
Soil moisture (%)	2015	22.1 ± 0.8 b	24.2 ± 3.3 ab	27.1 ± 1.9 ab	28.7 ± 2.1 a
	2016	22.7 ± 1.4 b	24.1 ± 2.9 ab	25.0 ± 2.2 ab	28.2 ± 1.2 a



**Table 3** (on next page)

Table 3 Biomass (t ha<sup>-1</sup>) of *L. principis-rupprechtii* plantation with different thinning treatments.

Values mean  $\pm$  SD; different superscripts indicate significant difference at  $p < 0.05$  in thinning treatments in thinning treatments; the biomass data of tree were surveyed in July 2014

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6 **Table 3 Biomass (t ha<sup>-1</sup>) of *L. principis-rupprechtii* plantation with different thinning treatments.** Values mean ± SD;  
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8 the biomass data of tree were surveyed in July 2014

Components	Treatments				Mean
	CK	LT	MT	HT	
Tree layer	189.58 ± 2.06 a	159.17 ± 7.59 b	144.98 ± 5.58 bc	135.55 ± 3.44 c	157.32 ± 23.60
Understory layer	2.24 ± 0.25 a	2.83 ± 0.42 a	5.56 ± 1.14 a	6.95 ± 1.57 a	4.40 ± 2.23
Litter layer	61.88 ± 10.53 a	57.71 ± 14.55 a	62.35 ± 14.49 a	60.84 ± 19.38 a	60.70 ± 2.09
Total	253.70 ± 8.72 a	219.70 ± 22.48 ab	212.90 ± 17.33 ab	203.33 ± 18.67 b	222.41 ± 21.92

9

**Table 4**(on next page)

Table 4. Four-way ANOVA analysis of soil carbon-containing components to years, soil depth, seasons and density (or thinning treatment).

TN (Soil total nitrogen), MBC (microbial biomass carbon), DOC (dissolved organic carbon), SOC (soil organic carbon), EOC (KMnO<sub>4</sub> oxidizable carbon). \* means a significant difference under  $p < 0.05$ , \*\*  $p < 0.05$ ; ns means not static significant. For each components data was pooled from 360 independent samples e.g. Two sampling years\*three seasons\* four density treatments\*five soil depths\* three repetition.

Table 4. Four-way ANOVA analysis of soil carbon-containing components to years, soil depth, seasons and density (or thinning treatment). TN (Soil total nitrogen), MBC (microbial biomass carbon), DOC (dissolved organic carbon), SOC (soil organic carbon), EOC (KMnO4 oxidizable carbon). \* means a significant difference under  $p < 0.05$ , \*\*  $p < 0.05$ ; ns means not static significant. For each components data was pooled from 360 independent samples e.g. Two sampling years\*three seasons\* four density treatments\*five soil depths\* three repetition.

	SOC	TN	LOC	C/N	MBC	DOC	PH	LOC/SOC	MBC/SOC	DOC/SOC
Year	ns	ns	**	ns	**	**	**	**	*	**
Season	**	**	**	**	**	**	**	**	**	**
Treatment	**	**	**	**	**	*	ns	**	**	**
Depth	**	**	**	ns	**	**	**	ns	**	**
Year * Treatment	ns	ns	**	ns	ns	**	ns	**	*	**
Year * Depth	ns	**	ns	**	ns	**	ns	ns	ns	**
Season * Treatment	ns	ns	ns	ns	**	**	ns	ns	**	**
Season * Depth	*	ns	**	ns	**	**	ns	**	**	*
Treatment * Depth	ns	ns	**	ns	**	**	ns	ns	*	ns
Year * Treatment * Depth	*	ns	ns	ns	ns	ns	**	ns	ns	ns
Season * Treatment * Depth	*	ns	ns	ns	**	*	**	ns	**	*