

1 **Ribosome display: a potent display technology used for selecting and evolving specific**
2 **binders with desired properties**

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14 **Abstract**

15 Currently, a variety of display technologies have been developed in the life science field,
16 such as phage display, ribosome display, and yeast display. Many studies have found that display
17 technologies are powerful and universal methods when they are combined with large genetically
18 encoded binder libraries, which results in the generation of high-performance binders against
19 nearly any antigen interested. As a result, display technologies are widely applied to molecular
20 biology, clinic and medicine. Ribosome display based on cell-free display systems has been
21 established as a different type of technology for 23 years until now. Compared to other related
22 methods, ribosome display possesses unique advantages and is successfully exploited to the
23 selection for functional and specific binders *in vitro*, exhibiting potent development prospects.
24 Here in this paper, we will review the theories and advantages of ribosome display, and will
25 highlight how it is being used now to select and evolve functional proteins as well as applications
26 in diagnostics and therapeutics.

27 **Keywords:** ribosome display, display technologies, selection, affinity maturation, stability
28 optimization, evolution, diagnostics, therapeutics

29 **Introduction**

30 Over the past decades, researchers have established various display techniques with the
31 rapid development of molecular biology and proteomics. Meanwhile, the development level of
32 these disciplines and relatives allow the generation of antibody, polypeptide or nucleic acid
33 libraries featuring large populations and broad diversity. Display techniques in combination with
34 binder libraries have proven to provide a powerful means to isolate individual variants with
35 characteristics of high potency (Sergeeva et al. 2006). So far, plenty of display tools have been
36 developed. In general, these approaches are mainly divided into two categories: cell-based and
37 cell-free techniques (Farajnia et al. 2014). The versatile principle of display technologies is that
38 they create a linkage between phenotype (the binding molecule displayed) and genotype

39 (corresponding genetic information encoding that binding molecule) (McCafferty & Schofield
40 2015).

41 As the most diffusely used and maturest in-vitro antibody selection method, phage display
42 belonging to cell-based techniques was first elucidated by Smith (Smith 1985) in 1985. Several
43 evident characteristics of this technique facilitate its widespread application triumphantly, for
44 example, operational flexibility and convenience, high concentration and small size of phage
45 particles existing in solution, and low cost of propagation. Nowadays, phage display turns into a
46 quite useful approach in multifarious scientific fields: developing diagnostic or therapeutic
47 reagents in medicine (Imai et al. 2011), developing nanomaterials in material science (Petrenko
48 2008), and identifying receptor agonists or antagonists in cell biology. In terms of therapeutic
49 reagents, phage-displayed antibody libraries are utilized to obtain effective reagents against
50 multiple toxins, such as botulinum neurotoxin (Miethe et al. 2014), tetrodotoxin (Wang et al.
51 2014), and ricin (Pelat et al. 2009), which obtains neutralizing antibodies with affinities in the
52 nanomolar or picomolar range.

53 Cell surface display methods involve bacterial display (Georgiou et al. 1997), yeast display
54 (Boder & Wittrup 1997), and mammalian cell display (Higuchi et al. 1997), emerging as newer
55 kinds of display methods in the 1990s, which have unique advantages because of the introduce of
56 fluorescence-activated cell sorting (FACS) for quantitative selection compared to phage display.
57 As a resultful alternative choice to phage display, bacterial display is exploited for displaying
58 enzymes to offer improved production technologies for industrial applications that overcome
59 limitations of traditional processes (Muñoz-Gutiérrez et al. 2012). Yeast as eukaryotic
60 microorganism owns post-translational modifications as well as processing machinery and
61 conditions homologous to the mammals' (BoderRaeszadeh-Sarmazdeh & Price 2012). All of
62 these efficiently facilitate production of correctly folded proteins characterized by pragmatic
63 activities. To date, yeast display has been testified to be an indispensable and efficient way for
64 affinity maturation in antibody engineering (van Rosmalen et al. 2017). A few achievements

65 have expanded application domains of yeast display, such as in the non-antibody protein
66 engineering field (Mei et al. 2017). Another cell-based display platform is mammalian cell
67 surface display. Noticeably, the mammalian expression system is inherently competent for
68 processing and secreting eukaryotic proteins. Consequently, mammalian cell display offers vast
69 potential to display full-length human antibodies. Recently, a method (Bowers et al. 2014) which
70 incorporated mammalian cell display with *in vitro* somatic hypermutation (SHM) was introduced
71 to identify or mature therapeutic antibodies. Nevertheless, an obvious challenge remains to be
72 solved that relatively low transformation efficiency of mammalian cells diminishes the actual
73 repertoire size in contrast with phage display, leading to unlikely straightforward isolation of
74 antibodies with remarkable affinity. Moreover, the mammalian cell proliferation rate is slower,
75 and such cells require pretty harsher culture conditions *in vitro* than microbial cells. These
76 drawbacks necessitate great efforts to improve the mammalian cell display platform.

77 Ribosome display and mRNA display are the two most repeatedly used cell-free display
78 systems, taking place entirely *in vitro*. The strategy of mRNA display was set up chiefly by
79 Roberts and Szostak (Roberts & Szostak 1997) on the basis of ribosome display. The high-
80 throughput screening capability of mRNA display extends its application areas in protein-protein
81 interactions. As new intrabodies, RasIn1 and RasIn2 which were selected and affinity matured
82 respectively via mRNA display not only exhibited outstanding selectivity for the GTP-bound Ras
83 and little or no specific interaction with close Ras homologs, but also still ensured functionality
84 under cytosol environment (Cetin et al. 2017).

85 In the past two decades, ribosome display has been gaining great attention from scientists
86 from different fields because of its unique advantages compared to other display technologies.
87 The broad application fields of this technology include antibody engineering, proteomics,
88 diagnostics and therapeutics. It allows the selection for affinity, stability and enzymatic activity.
89 The most powerful applications of ribosome display have been the rapid isolation and direct
90 evolution of high-affinity functional proteins, particularly antibodies. It accelerates the

91 production process of novel, active and correctly folded antibodies. This review will discuss the
92 theories and advantages of this technology, and survey recent advances in selecting and evolving
93 functional proteins. Finally, we will provide a summary on its applications in diagnostics and
94 therapeutics. Before this review, we have done some researches, and related papers were
95 published (Li 2015; Li et al. 2015), another manuscript on ribosome display is in preparation.

96 **Survey Methodology**

97 We conducted a systematic literature search for relevant studies published between 1994
98 and 2018 using databases which included Web of Science, Google Scholar, Baidu Scholar,
99 PubMed and Scopus. We used single or a combination of the following keywords: “ribosome
100 display”, “cell-free display system*”, “diagnostics and therapeutics”, “evolution”, “evolv*”,
101 “antibody librar*”. No language restrictions were applied. Furthermore, additional articles
102 referring to these selected studies were also found by cross-referencing. We scanned the
103 reference lists of the selected studies to identify any further studies, and discussed new
104 developments and research directions related to ribosome display.

105 **Ribosome display**

106 **The principle and advantages of ribosome display**

107 Originally proposed as an alternative to selection for target-binding peptides by Mattheakis
108 (MattheakisBhatt & Dower 1994a) and his coworkers, ribosome display shares an analogous
109 concept with other display systems which is the physical association of phenotype and genotype.
110 The complete ribosome display includes several standard steps (Douthwaite & Jackson 2012):
111 preparation of large DNA libraries, *in vitro* transcription and translation, selection and recovery
112 of selected mRNA, reverse transcription and PCR amplification for further selection rounds or
113 analysis. The DNA libraries are typically a collection of various PCR fragments. A prominent
114 feature in this technology is the generation of non-covalent ternary complexes (polypeptide–
115 ribosome–mRNA). The elimination of translational stop codons on mRNA assures that the

116 newly synthesized peptide fails to be released from the ribosome as well as its encoding mRNA.
117 While the release of the polypeptide and mRNA are realized by the release factors (RFs) (Marr et
118 al. 2011) and ribosome recycling factors (RRFs) (Shang et al. 2014) respectively in normal
119 conditions. Generally speaking, the peptide gene of interest is integrated with a T7 promoter, a
120 stem loop, and a translation initiation sequence (the prokaryotic Shine-Dalgarno or eukaryotic
121 Kozak sequence) at its 5' site, and is fused to a spacer sequence and a stem loop on the 3' site
122 (**Fig. 1**). All of these elements constitute the DNA cassette necessary to ribosome display.
123 Studies (Hanes & Plückthun 1997; He & Taussig 1997; He & Taussig 2002) demonstrate that
124 gene III of filamentous phage M13, C κ (constant region of Ig κ chain), and the CH3 domain of
125 human IgM are all suitable to serve as spacers. It is the spacer length that affects display
126 efficiency. When establishing a human single chain variable fragment (scFv) gene library, some
127 authors (Zhao et al. 2009) amplified the coding gene of amino acids 211–299 of gene III of
128 filamentous phage M13mp19 as the spacer, and identified high-affinity scFvs binding to RVGp
129 specifically as well as rabies virus. Bencurova (Bencurova et al. 2015) *et al.* designed a distinct
130 and universal ribosome display construct for producing mRNA–ribosome–VHH complexes both
131 in diverse cell-free expression systems and in viable *Escherichia coli* (*E. coli*) cells (*in vivo*). In
132 addition to components essential for transcription and translation, the encoding gene of VHH
133 was also fused with the F2 fragment consisting of mCherry, Myc-tag, tether, SecM arrest
134 sequence, and 3' stem loop during the construction of the DNA expression cassette in which the
135 SecM stall sequence captured the translation in *E. coli* cells bringing about the formation of
136 stable ribosomal complexes. Thereby, the expression of ternary complexes became rapid and
137 cost-saving by this pipeline.

138 Like mRNA display, ribosome display circumvents transformation to microbial cells, thus a
139 tremendous encoded library incorporating 10^{12} - 10^{14} independent sequences can be established
140 rapidly depending on PCR amplifications during a shorter date. In fact, the maximal amount of
141 practical ribosomes included in cell-free expression systems limits the upper size of ribosome-

142 displayed libraries. Furthermore, some special molecules, such as potent toxins (Pacheco et al.
143 2015), proteolytically sensitive proteins, and proteins possessing non-natural or chemically
144 modified amino acids (Manandhar et al. 2017; Tada et al. 2013), can also be exhibited on the
145 ribosomal surface, which would be futile ordinarily employing cell-surface display platforms.
146 More importantly, due to PCR reactions involved in every selection cycle, additional DNA
147 diversification is consecutively accomplished by PCR-based mutagenesis technologies,
148 promoting affinity maturation and molecular evolution totally *in vitro*.

149 **The choice of a cell-free translation system**

150 Undoubtedly, transcription and translation call for cell machinery. Four eukaryotic (rabbit
151 reticulocyte lysates, wheat germ extracts, insect cell extracts, and human cell extracts) and one
152 prokaryotic (*E. coli* S30 extracts) cell-free translation systems already commercialized are
153 commonly used and obtainable conveniently. Researchers choose the apposite expression system
154 mainly according to the origin and succeeding applications of displayed proteins. In other words,
155 eukaryotic proteins synthesis would be performed in eukaryotic translation systems. However,
156 this is not absolute. There is no definite evidence that eukaryotic cell-free expression systems
157 perform better with proteins from eukaryotic sources than with prokaryotic proteins (Douthwaite
158 & Jackson 2012). Hanes (Hanes et al. 1999) and other authors prepared different DNA constructs
159 of scFv fragments applicable for ribosome display utilizing an optimized *E. coli* S30 extracts and
160 two optimized rabbit reticulocyte systems (coupled and uncoupled). After making a comparison,
161 they concluded that the amount of full-length scFvs expressed by *E. coli* system were 30% more
162 than those translated by the rabbit reticulocyte system in same reaction volumes; moreover, the
163 uncoupled rabbit reticulocyte system whose efficiency was about 100-fold lower than that of *E.*
164 *coli* S30 extracts showed no inherent advantage of better folding of scFvs over the *E. coli* system.
165

166 **Ribosome-displayed library selection cycles *in vitro***

167 A complete selection cycle involves a few moves, starting with the preparation of the

168 ribosome display libraries and ending up with the retrieve of mRNA and reconstruction of the
169 DNA cassette. Iterative selection cycles are able to be carried out under experimental conditions
170 which permit extra human manipulation if necessary. At present, ribosome display is chiefly
171 applied to obtain therapeutic or diagnostic antibodies characterized by high affinity and stability
172 from naïve, immune or synthetic antibody repertoires. Due to not implicating biological cells,
173 ribosome-displayed unbiased selection cycles aiming at any antigen can be achieved with naïve
174 and synthetic libraries in reality. Some special antigens, such as self-antigens, haptens, and toxic
175 molecules, possess no immunogenicity, low immunogenicity, and toxicity severally. As a result,
176 *in vivo*-based display technologies can't accomplish the isolation of binders targeting these
177 antigens.

178 **Selection cycle steps**

179 In simple terms, the biopanning (selection) procedure incorporates antigen presentation,
180 incubation, wash and elution (**Fig. 2**). For the method of solid-phase selection, researchers
181 immobilize the target antigen on certain solid surface (e.g., microtiter plates, sensor chips, and
182 immune tubes) via direct adsorption using the coating buffer or indirect capture with an antigen-
183 binding protein, streptavidin or others. Despite the simple operation, effectiveness and high
184 throughput of direct adsorption, it is likely to generate issues that epitopes of interest on some
185 antigens may be not consistent with their natural states, or this method may arouse partial
186 denaturation of protein antigens (ZahndAmstutz & Pluckthun 2007). These problems signify that
187 isolated antibodies will not recognize corresponding natural antigens. However, the indirect
188 capture approach can overcome the drawbacks to some extent (Pardon et al. 2014). Binding of
189 ternary complexes to the antigen presented can also happen in solution, followed by washing
190 away non-specific and low-affinity binders inside libraries. In this method, researchers capture
191 the antigen ordinarily realized by the biotin-streptavidin system and magnetic beads;
192 alternatively, they adopt fixed antigen-binding or tag-specific antibodies to immunocapture the
193 antigen, while the tag is present on the antigen. Biopanning in solution avoids changing the

194 antigen conformation and increases binding chances between the antigen and its specific
195 molecules. Thence, this approach makes the isolation of low-copy and desirable binders
196 successful. Whereas, anti-streptavidin binders or anti-antibodies (targeting the antigen or tag)
197 binders could be identified simultaneously. Preincubation of ribosomal complexes with coated
198 streptavidin, antibodies referred to just now or other molecules is inevitable to eliminate non-
199 specific binding molecules (Zadravec et al. 2016; Zhao et al. 2016). Furthermore, when
200 incubating ternary complexes with antigen analogues for pre-binding, independent individuals
201 will be acquired which recognize that antigen specifically without cross-reactivity to antigen
202 analogues (Pan et al. 2012). We have already been aware that ribosome-displayed libraries
203 embody vast amount of dissimilar sequences, whereas the realistic effective library size can be
204 decreased owing to the emergence of stop codons incorporated during PCR amplifications
205 probably. Additionally, another reason is that the configuration of polysome complexes or DNA
206 mutation improves the ratio of truncated nascent peptides or proteins generated by cell-free
207 expression systems. To conquer these challenges, the preselection step is equally applicable to
208 remove problematic sequences and enlarge the proportion of complete proteins or peptides,
209 yielding libraries with better quality. Subtractive panning is applied to isolate active antibodies
210 targeting haptens while not binding to their carrier proteins such as BSA (Liu et al. 2012;
211 SheedyRoger MacKenzie & Hall 2007). In a research (Rothe et al. 2007), the authors performed
212 six rounds of subtractive panning against the non-correlative Fc-fusion protein CD22-Fc from a
213 T-NHL related scFv library by ribosome display. Next, the resultant “subtracted” ribosome
214 complex supernatant was panned on the target antigen CD28-Fc in every selection cycle.
215 Binding analysis outcome revealed that both the selected hscFv cl83 and cl184 exhibited no
216 specific binding to CD22-Fc. The power of subtractive panning turned out to be markedly
217 formidable.

218 Except for solid-phase selection and selection in solution, other selection methods include
219 direct selection targeting integral cells or tissue slices, in-vivo selection, and automated screening

220 based on the high-throughput screening technology.

221 After washing away unbound complexes repeatedly, the attached mRNA inside complexes
222 which bind specifically to the antigen needs to be recovered and then undergoes reverse
223 transcription and PCR (RT-PCR) reactions to rescue the genotype for the next selection cycle or
224 for analysis by cloning and sequencing. A powerful recovery procedure is crucial to obtain rare
225 binders that aim at the antigen of interest and carry extraordinary features. Presently, two major
226 recovery methods have been explored successfully. The method referred to as prokaryotic
227 ribosome disruption (Hanes & Plückthun 1997) (**Fig. 2A**) disconnects ternary complexes by
228 treatment of the elution buffer incorporating a certain concentration of EDTA
229 (AhangarzadehBandeypour & Kazemi 2017), in which the released mRNA must be purified for
230 subsequent RT-PCR amplifications. While the distinct eukaryotic in situ RT-PCR (Gan & Jewett
231 2016; He & Taussig 1997) (or “direct RT-PCR”) (**Fig. 2B**) approach allows DNA recovery
232 directly from selected ribosome complexes with no procedure of above-mentioned complexes
233 dissociation and mRNA purification. The in situ RT-PCR method supplies relatively simplified
234 operation, greatly lowers the probability of mRNA loss because of degradation, and is regarded
235 as a way to accelerate realizing automation of ribosome display. Even so, there may be certain
236 selection scenarios, where selection rounds are performed on immobilized target antigens or cell
237 monolayers, making in situ RT-PCR impossible. Experiments have suggested that prokaryotic
238 ribosome disruption conditions are less efficient for DNA recovery from eukaryotic ribosome-
239 bound mRNA than in situ RT-PCR. Pan (Pan et al. 2012) *et al.* dissociated eukaryotic ribosomal
240 complexes with EDTA after washing thrice and observed that only one of seven clones showed
241 higher specific-binding activity against the antigen protein. They attributed this result to a
242 significant proportion of undissociated mRNA which still existed in the form of ribosomal
243 complexes after EDTA treatment. From these unsatisfactory facts, we deduce that the
244 prokaryotic elution conditions can not be directly transferable to eukaryotic ribosome display
245 systems. In other words, it is obligatory to optimize some conditions of prokaryotic ribosome

246 disruption to enable it effective for the disintegration of eukaryotic complexes. In an example
247 (Douthwaite et al. 2006), the comparison of prokaryotic and eukaryotic ribosome display
248 systems proved that EDTA-based ribosome disruption functioned quite efficiently for recovering
249 mRNA sequences from prokaryotic complexes. Further, authors verified that reverse
250 transcription conditions could be applied to the eukaryotic ribosome complex disruption. The
251 elution conditions may be temperature-dependent as the reverse transcription buffer alone failed
252 to release mRNA sequences. Eventually, they offered an improved step-by-step protocol
253 advisable for ribosome display based on eukaryotic in-vitro translation systems. In this protocol,
254 the ribosome disruption step was implemented with PBS containing 100mM EDTA and 10
255 $\mu\text{g/ml}$ *S.cerevisae* RNA, reacting at 50°C for 5 min.

256 In brief, whether we choose prokaryotic ribosome disruption or eukaryotic in situ RT-PCR
257 as the recovery method, it will not destroy the combination of the target antigen and its specific
258 binding protein. This is no doubt conducive to the selection for high-affinity binders. On the
259 contrary, competitive elution using an excess of free antigen as the competitor disrupts antigen-
260 antibody complexes. The concrete amount of the competitor rests with the relative affinity of
261 binders to the antigen, while a 10- to 100-fold molar excess is generally adding. This kind of
262 elution strategy shows a tendency to obtain specific binders recognizing precise epitopes on the
263 antigen surface (Veggiani et al. 2011). Unfortunately, the competitive elution approach is
264 inclined to acquire low-affinity binders.

265 **Key factors to successful selection**

266 The successful selection is closely related to the proper folding of displayed binders to
267 active structures. Molecular chaperones (Douthwaite & Jackson 2012; Murray & Baliga 2013),
268 such as heat shock proteins, Dna K, and Dna J, accompany with the protein synthesis process in
269 the translation mixture, serving as one of significant factors for protein folding. Researches have
270 indicated that the ribosome itself also plays an important role in insuring correct structures of
271 binders (Blondel et al. 2016). Besides, several scaffold based libraries especially antibody

272 libraries demand to be exogenously supplemented with protein disulfide isomerase when
273 translation *in vitro* to form disulfide bonds for stability. Adding right amount of oxidized or
274 reduced glutathione (ForsterCornish & Blacklow 2004) into the cell-free translation mix is
275 another instance of facilitating the production of functional binders. In a word, divergent in-vitro
276 expression systems are flexible tools on the grounds of allowing addition of favorable reagents to
277 meet our requirements for further optimization and improvement (Chong 2014).

278 Clearly, the stability of ternary complexes directly affects display efficiency, as well as the
279 successful selection for ligand-binding molecules. A few measures have been adopted to enhance
280 and maintain ternary complexes stability: high concentration of magnesium ions contained in the
281 selection buffer, low temperature (4 °C) during the whole selection process, and addition of an
282 antisense RNA complementary to tmRNA existing in the *E. coli* extract which grants the
283 freedom of nascent polypeptides. Kim (Kim et al. 2007) *et al.* paid their attention on how to
284 stabilize mRNA -ribosome-protein complexes. They introduced a pseudoknot derived from the
285 genomic RNA of infectious bronchitis virus between VH/K and spacer sequence to establish the
286 ribosome display construct. This kind of mRNA secondary structure gave rise to ribosomal
287 pausing validly while translation *in vitro*. The study observed that the pseudoknot-including
288 DNA cassette showed higher affinity selection efficiency than that without a pseudoknot, which
289 implied that this pseudoknot conformation certainly stabilized ternary complexes.

290 **In-vitro protein directed evolution by ribosome display**

291 An intrinsic prominent advantage of ribosome display can be described as permitting
292 Darwinian protein evolution to occur *in vitro* via alternating cycles of mutagenesis and selection.
293 The rapid and sustainable development of biomedical science and protein engineering puts
294 forward higher demands for the functional characteristics of proteins against various antigens.
295 Great efforts have been made to improve either affinity or stability of protein binders, thence
296 currently establishing a number of evolutionary strategies (**Table 1**).

297 **Affinity maturation by in-vitro diversification strategies**

298 Affinity optimization of proteins means improving binding characteristics. This process is
299 significant for medical and biotechnological applications of selective binders. Nowadays, in-vitro
300 diversification and modified selection strategies, which can be carried out separately or
301 simultaneously, contribute to yielding higher-affinity binding proteins. In-vitro diversification
302 producing approaches can be classified into two wide categories: targeted methods and random
303 mutagenesis (non-targeted) methods (Harel & Benhar 2012). Random mutagenesis more closely
304 imitates the in-vivo process of somatic hypermutation events, and as a consequence, it brings
305 sequence diversity into the pre-existing DNA libraries. Strategies like hotspot mutagenesis,
306 parsimonious mutagenesis, and CDR walking pertain to targeted methods, which empower
307 randomness to occur in given positions (e.g. specific CDRs or framework regions) supposed to
308 be advantageous to antigen recognition in accordance with relative structural information and
309 molecular modelling data of proteins. Hotspot mutagenesis and parsimonious mutagenesis are
310 included in site-directed mutagenesis. Mutational hotspots (Chowdhury & Pastan 1999; Jiao et al.
311 2017) can be interpreted as short nucleotide sequence motifs inside DNA fragments with a
312 characteristic of being innately liable to hypermutations during the process of in-vivo affinity
313 maturation. Some concrete information with respect to mutational hotspots was reported
314 previously. The identified hotspots comprise direct and inverted repeats, palindromes, secondary
315 structures, and certain consensus sequences that can be utilized as targets for mutation. PTH22
316 (Yau et al. 2005), a peptide targeting VHH selected from the llama-derived naïve phage display
317 library, was chosen as the lead binder to construct a mutant library relying on an AGY/RGYW
318 mutational hotspot mutagenesis approach coupled with ribosome display. In this case, CDR2 and
319 CDR3 codons that incorporated mutational hotspots AGY and RGYW were randomized
320 selectively, leading to a library with 5.12×10^{11} variants in theory. The highest affinity-
321 improvement mutant was isolated after three rounds of selection with up to an over 30-fold
322 increase in contradistinction to the progenitor sequence determined by surface plasmon
323 resonance (SPR). However, the enhancement in affinity was moderate, the reason could be that

324 some of the AGY/RGYW mutational hotspots played a role in other aspects of VHH evolution
325 except affinity maturation. Parsimonious mutagenesis (PM) is another protein evolution
326 technique where mutagenic oligodeoxynucleotides are designed to minimize the redundancy of
327 the coding gene and restrict the number of mutations. To restrict mutation numbers, scientists
328 aim at blocks of around six successive residues generally per library (Thom et al. 2006) using
329 degenerate oligonucleotides, or they utilize biased nucleotide mixtures biasing for the parent
330 amino acids, which can prevent mutagenesis of all positions in a designated region from
331 emerging and reduce the quantity of variants no longer recognizing the antigen to enhance the
332 functional library size. PM has been developed to mature certain antibodies, such as a humanized
333 rat anti-RAGE monoclonal antibody (Finlay et al. 2009). The mutant library created by PM holds
334 a high proportion of well-folded and potentially active binding molecules, since the oligos used
335 mutate only about half of targeted amino acid residues and keep the remaining residues
336 indispensable to neutralize antigens conserved.

337 According to the currently existing literatures, it appears that non-targeted mutagenesis
338 strategies are also frequently proposed to improve protein potency *in vitro*. These strategies
339 consist of error-prone PCR, error-prone Q β RNA replicase, *E. coli* mutator strains, and shuffling,
340 introducing diversifications into random positions throughout the whole pre-existing DNA
341 sequences before or in-between selection cycles. The principle of error-prone PCR is utilizing
342 the low-fidelity polymerase to give rise to point mutations randomly across the gene sequences
343 when PCR amplifications proceed. Experiments have illustrated that combining error-prone PCR
344 with ribosome display can be a robust approach to evolve protein biophysical characteristics
345 containing affinity and specificity. In a previous study, highly Her2 ECD-specific designed
346 ankyrin repeat proteins (DARPs) with low nanomolar affinities were obtained from large
347 synthetic ribosome-displayed libraries. To make the selected binders become qualified
348 candidates for therapeutic and diagnostic applications, Zahnd (Zahnd et al. 2007) *et al.* extended
349 randomization to frameworks of the selected pools and matured their affinity by error-prone PCR

350 combined with subsequent three rounds of selection under very stringent conditions. The usage
351 of dNTP analogs increased the error rate, and the sequencing data of mutant pools indicated that
352 the average mutation rate was 1.3–5.9 mutations at framework positions per gene. The
353 equilibrium dissociation constants (K_D) of the highest-affinity DARPin (H10-2-G3) evaluated by
354 kinetic SPR measurements was up to 90 pM, a 3000-fold affinity improvement in contrast with
355 the consensus framework variant. Cross-reactivity tests of some mutants showed that specificity
356 was retained after affinity maturation. At the level of structure, authors interpreted that amino
357 acid substitutions in frameworks resulted in subtle conformational changes of DARPins. These
358 changes adapted the binding site to the target surface, which was thought to be the grounds for
359 affinity maturation. *E. coli* mutator strains (CoiaHudson & Irving 2001; Ponsel et al. 2011), such
360 as mutD5-FIT and XL1-RED available commercially, are conditional mutants which can lead to
361 random mutations of antibody genes and be beneficial to rapidly select novel antibodies with a
362 notable affinity enhancement or expression level increase. Single base transversion or transition
363 events take place at a higher rate in such *E. coli* mutator cells than that in corresponding normal
364 cells. When achieving antibody affinity refinement with mutator cells, the protocol executes
365 iterative steps of mutation, selection, and amplification. As effective recombination-based ways
366 to exploit molecular diversity, shuffling technologies are universally applied to rapid evolution
367 of proteins. Normally, three approaches can be taken: DNA shuffling, chain shuffling, and
368 staggered extension process (StEP, for short). In DNA shuffling, library DNA members are
369 digested by DNase I into different gene fragments. Whereafter, these homologous segments
370 serve as primers for each other and are randomly reassembled into full-length mutant sequences
371 as a result of PCR cycles. Initially exploited by Stemmer (Stemmer 1994), the aforementioned
372 principle of DNA shuffling is similar to the in-vivo molecular evolution mechanism of somatic
373 hypermutation. Three rounds of mutagenic DNA shuffling and two backcrossing cycles were
374 performed on parental DNA of β -lactamase for evolution. The published results of panning on
375 increasing cefotaxime dosage suggested that a 32000-fold improvement in minimum inhibitory

376 concentration could be noticed. To build up quite highly diverse ribosome-displayed pools of
377 variants, DNA shuffling (Dreier & Plückthun 2011) is employed to recombine mutations after
378 randomization by error-prone PCR in selection procedures, or collaborate with adequate
379 selection strategies such as off-rate selection, both having the great capacity to produce highly
380 potent proteins. Chain shuffling (Klarenbeek et al. 2016; Marks 2004) is likewise believed to
381 successfully facilitate evolution of antibodies in affinity at a faster rate than that *in vivo*. This
382 approach consists in recombining V_H and V_L repertoires of antibodies with each other at random.
383 Specifically speaking, the technique keeps one variable region fixed and shuffles the other
384 followed by pairing with the constant chain. Then, the resulting secondary library is expected to
385 seek for promising antibodies with superior properties. As one more PCR-based shuffling
386 strategy, the in-vitro DNA recombination efficiency of StEP is comparable to that of DNA
387 shuffling, which has been validated by the published literature (Zhao & Zha 2006). The
388 distinction between the two methods lies in the missing manipulation of DNA fragmentation by
389 the enzyme and the feasibility of operation in a single PCR tube in StEP. The modified PCR
390 technique StEP brings about template switching when DNA fragments anneal to templates due to
391 extremely shortened polymerase-catalyzed extension time. A mutant pool full of chimeric
392 progeny clones is constructed after repetitive rounds of denaturation and abbreviated
393 annealing/extension. Note that functional progeny sequences in the library account for a large
394 percentage. So far, scientists have taken full advantage of StEP to engineer multiple antibodies,
395 enzymes, and viruses to endow them with reinforced or new functions, and ultimately achieved
396 satisfactory and inspiring results. The highest-affinity single-domain antibody CSF2A (Sheedy et
397 al. 2006) targeting IAA was derived from a naïve llama library and evolved in affinity by StEP
398 PCR which shuffled CDRs of CSF2A with those of four different IAA-specific sdAbs. SPR
399 determination revealed that the affinity of two discovered shuffled antibodies was similar to that
400 of CSF2A. Even so, cross-reactivity experiments offered no proof that such shuffled clones
401 interacted with any of auxinic herbicides. In addition, the StEP method generated an L-

402 asparaginase mutant which carried higher half-inactivation temperature contrasted with the wild-
403 type enzyme. To sum up, recombination-based mutagenesis methods favor round-by-round
404 accumulation of beneficial mutations rapidly in variant pools as selection cycles are conducted.

405 Nevertheless, non-targeted mutagenesis strategies have a disadvantage that they apparently
406 heighten the ratio of invalid or nonfunctional clones appearing in mutant pools, which implies
407 that we must build up large libraries incorporating adequate active species to satisfy the needs of
408 biopanning. Some studies (Groves & Osbourn 2005; Thom et al. 2006), searching for high-
409 affinity specific binders, concentrate on integrating non-targeted mutagenesis strategies with
410 targeted methods to evolve antibodies. Ribosome display is confirmed as a suited medium to
411 combine these strategies. Such integration allows for simultaneous optimization of non-targeted
412 regions, accompanied by targeted region evolution. For instance, affinity maturation was
413 performed on the IL-13-neutralizing antibody BAK1—a therapeutic candidate for the
414 treatment of asthma—by in-vitro protein evolution approaches along with phage and ribosome
415 display (Thom et al. 2006) . In this paper, authors randomized BAK1 targeting its amino acids
416 within H-chain CDR3, followed by introducing error-prone PCR amplifications in between
417 rounds of ribosome display. The selected BAK1.1 scFv was optimized relying on CDR walking
418 mutagenesis in VH CDR1 and VH CDR2 to achieve further improvement in potency and affinity.
419 Finally, the collaboration of targeted and random mutagenesis strategies yielded a BAK1.45
420 variant, exhibiting 345-fold improvement in IC50 and 167-fold enhancement in affinity over the
421 parental antibody BAK1 determined by the TF-1 proliferation assay and kinetic analysis
422 respectively. Certainly, the aforesaid combination approach is prone to achieve more favorable
423 potency gains than targeted mutagenesis methods alone. It is very important that reliable affinity
424 optimization methods are capable of sampling broad regions of protein sequence space and
425 selecting for mutants with excellent performance.

426 **Affinity maturation by modified selection strategies**

427 Several well-established modified selection strategies can also add tremendous value to

428 protein affinity maturation. One of them is off-rate selection (Huang et al. 2015; Zahnd et al.
429 2004) which has been defined as a valuable tool to obtain high-affinity binders from libraries by
430 researches. An off-rate selection procedure in concert with ribosome display can be implemented
431 on the antigen in the immobilized form or in solution. Nonetheless, the biotin-labeled (or
432 otherwise tagged) antigen in solution is preferred, as there is a great chance that immobilizing the
433 target antigen on a solid surface could alter its premier conformation. Here, we take the
434 biotinylated antigen as an example to describe the selection process. In this selection strategy, the
435 ribosomal complexes are first exposed to the biotin-tagged antigen; after equilibration, a large
436 excess of unbiotinylated antigen is adding to the selection reaction together with an optimum
437 incubation time, trapping each complex dissociating fast from the biotinylated antigen. The
438 library members with lower off rates still retain bound to the biotinylated antigen, rescued
439 subsequently by the streptavidin-coated magnetic beads. The published literature (ZahndSarkar
440 & PlÃ¼ckthun 2010) has evidenced that the most vital parameters for searching for the lowest
441 off-rate binders are the incubation time of the complexes with unlabeled competitor antigen and
442 the ratio of competitive antigen to labeled antigen. Extending the exposure time to the competitor
443 means enhancing the selection pressure, causing a stronger possibility that the ribosomal
444 complexes dissociate from the labeled ligand. Starting from a single PrP binder, scientists
445 constructed a scFv antibody library with DNA-shuffling and error-prone PCR using dNTP
446 analogs (LuginbÃ¼hl et al. 2006). To mature the P scFv, they applied five repeated cycles of
447 off-rate selection in the entire course of ribosome display. As a critical factor in the experimental
448 set-up, the competitive incubation time was gradually prolonged from 12 h up to ten days. This
449 selection strategy managed to isolate the affinity-improved scFv named C1 against BoPrP (90–
450 105), which was the tightest peptide-binding antibody at that time with a K_D value of 1.2 pM
451 heightened by about 13-fold compared to the original P scFv.

452 Other useful selection strategies taken by many published articles (Chin et al. 2015;
453 Douthwaite & Jackson 2012; GrimmSalahshour & Nygren 2012) are reducing the target antigen

454 concentration and increasing the frequency and stringency of washing steps in a stepwise manner
455 during sequential selection rounds, which can increase the likelihood of obtaining high-affinity
456 binding molecules.

457 **Stability optimization strategies**

458 Other than accelerating affinity maturation of antigen-binding molecules, ribosome display
459 technology has been developed to evolve binders in stability. An approach for stability
460 optimization depends on altering redox potential of the cell-free translation system in ribosome
461 display, which is trustworthy and implemented in many experiments. For example, DTT can be
462 added during the ribosome complex production step to generate antibodies that still fold
463 correctly under the reducing environment (Buchanan et al. 2012; Dreier & Plückthun
464 2012). Now we know that disulfide bonds are crucial factors for maintaining stability and
465 activity, a common feature of antibodies. It could be speculated that considerable or even total
466 activity of antibodies could lose attributing to lacking disulfide bridges. While, such stable
467 antibodies acquired by this rapid method act as "intrabodies" (Chames et al. 2009) showing
468 functional expression in the cytoplasm to inactive target antigens intracellularly. In a scenario
469 (Jermutus et al. 2001), an anti-hag scFv was evolved for stability with ribosome display by
470 choosing decreasing redox potentials as selection pressure. During every translation process
471 within the five selection rounds, the concentration of DTT was gradually heightened from
472 0.5mM to 10 mM, which impaired the formation of disulfide bonds. The selected mutant scFvs
473 still folded stably in the existence of DTT and maintained the ability to bind antigen specifically.
474 What's more, urea denaturation experiments gave delightful results that these mutants manifested
475 a higher stability compared with the wild type under the circumstance where disulfide linkages
476 were able to form again.

477 The fact that the addition of DTT into the translation system indeed achieves a stability
478 improvement of the evolved proteins enlightens us that other selection pressures, such as the
479 presence of proteases, heat or organic solvents (Hussack et al. 2011), can be employed in

480 cooperation with ribosome display to find out binders equipped with corresponding better
481 stability.

482 **Applications of ribosome display in diagnostics and therapeutics**

483 So far, ribosome display has been exploited in developing therapeutics and diagnostics in
484 several scientific fields (AhangarzadehBandeypour & Kazemi 2017; Heyduk & Heyduk 2014;
485 Qi et al. 2009), such as cancer treatment, allergic disorders, infectious diseases, autoimmune and
486 metabolic diseases. The prominent virtue of this *in vitro* display system is that it enables toxic
487 substrates to be displayed, which not only broadens the range of displayed molecules, but also
488 provides an increased probability to discover diagnostic and therapeutic candidates carrying the
489 characteristic of desired specificity and potency. Analysis to large amounts of data created by
490 human genome sequencing (Consortium 2004) has contributed to the discovery of disease-
491 related genes serving as drug targets. Ribosome display, an ideal means adopted in drug
492 discovery, is gradually playing a more prominent role in the process of drug discovery. The
493 technology has applications in obtaining recombinant antibodies, peptides, enzymes, and ligand-
494 binding proteins. In the past few years, various antibodies have been authorized for diagnostic
495 and therapeutic applications (Galan et al. 2016), and the number of antibodies accepted for
496 clinical use has been increasing. In addition, scientists recently make further advances in
497 improving the efficacy of antibodies for therapeutic applications by which people are able to
498 treat some kinds of cancers, arthritis, immune and infectious diseases (Mahmuda et al. 2017).
499 Nonetheless, all of the therapeutic reagents as well as antibodies have side effects and limitations.
500 Therefore, in view of these shortcomings such as immunogenicity, some researchers direct their
501 work towards developing novel non-immunoglobulin affinity proteins considered as an
502 alternative choice to current antibodies used in the fields of diagnostics and therapeutics.

503 In some examples, ribosome display is conducted to select DARPins from synthetic libraries
504 with affinities in picomolar range against different targets of interest (Binz et al. 2004; Dreier et
505 al. 2011; Stefan et al. 2011; Zahnd et al. 2007). DARPins have significant success in acting as

506 diagnostic or therapeutic reagents, which attributes to their advantages, for instance, DARPins
507 can fold well in cell free translation systems reliant on ribosome display, have one-tenth of the
508 molecular weight of a full IgG on the average and possess better specificity and higher
509 thermodynamic stability. The smaller structural size means a great power on capillary
510 extravasation, tissue penetration and diffusion. Selected DARPins targeting human EGFR-2
511 provide the ability to inhibit the proliferation of breast cancer cells. Furthermore, due to efficient
512 pharmacokinetics and favorable tumor penetration, EGFR-2-specific DARPins can be promising
513 drug carriers which convey a high concentration of drugs to the tumor sites precisely. Zellweger
514 (Zellweger et al. 2017) and other scientists conducted recursive rounds of selection to obtain
515 anti-huFcγRII DARPins with ribosome display. Detailed analysis on the selected binding protein
516 D11 involving SPR and flow cytometry indicated its high affinity and specificity. They also
517 generated a bispecific molecule D11_E53 which could recognize FcγRIIB and FcεRI-bound IgE
518 simultaneously. In the cellular degranulation assays, they observed that D11_E53 not only
519 inhibited allergen-induced basophil degranulation remarkably in a dose-dependent manner, but
520 also performed quite better compared to the therapeutic antibody. Furthermore, D11_E53 exerted
521 great in-vivo functionality of alleviating antigen-induced systemic anaphylaxis. Thus, D11_E53
522 had broad application prospects in efficient allergy therapeutics. Another non-immunoglobulin
523 alternative to antibodies is designed albumin binding domains (ABD) (Zadravec et al. 2016). The
524 investigators found that the selected Shiga toxin 1 B subunit binders based on the ABD scaffold
525 held great promise for coping with a severe medical issue stemming from Shiga toxin-generating
526 bacteria. Ribosome display is also proposed to develop novel early diagnostic reagents or
527 therapeutic drugs against Hepatitis C virus (HCV) infection. In an endeavor, 12-mer peptides
528 specifically binding to the HCV E2 envelope glycoprotein were produced from a distinct random
529 12-mer peptide library through a 13-cycle selection by ribosome display (Chen et al. 2010). The
530 affinity data of the four obtained peptides measured by the SPR technique unveiled that PE2D
531 with a K_D of 19 nM had the highest binding affinity. Not only that, the following virus capture

532 assays on PE2D also demonstrated its validity for targeting HCV particles and diagnosing HCV
533 infection at the early stage. For further characterization, the authors investigated the inhibitory
534 effects of PE2D on HCV infection of Huh-7.5.1 target cells. It was encouraging that PE2D
535 exhibited extremely strong effects on blocking the hepatocyte binding ability of E2 and
536 inhibiting HCVcc from entering into hepatocytes. In summary, there were ample reasons to
537 consider that the selected peptide PE2D was well suited to serve as an early-diagnostic agent or a
538 therapeutic drug for HCV infection.

539 Overall, these promising results provide strong evidence that ribosome display can discover
540 diagnostic or therapeutic candidates aiming to multiple diseases. These candidates which are
541 superior to existing drugs are worthy of further clinical research. And from another perspective,
542 these examples extend the application area of this technology.

543 **Concluding remarks**

544 Since Mattheakis (MattheakisBhatt & Dower 1994b) *et al.* first reported ribosome display in
545 1994, this technology has been diffusely employed to selection of functional proteins,
546 polypeptides or others, and molecular directed evolution entirely *in vitro*. Moreover, the
547 enormous display power of ribosome display permits it to be combined with high-throughput
548 protein microarrays to assess protein–protein interactions, which opens doorways into the
549 proteomics field. However, there still exist limitations to conventional ribosome display, such as
550 low stability of ternary complexes and nuclease-susceptible mRNA, making it less popular than
551 phage display. In addition, nucleases, proteases and other inhibitory factors inevitably appear in
552 the cell-extract-based cell-free translation systems. To overcome these shortcomings, scientists
553 reconstituted the PURE (protein synthesis using recombinant elements) system (Douthwaite &
554 Jackson 2012; KanamoriFujino & Ueda 2014; Ohashi et al. 2007) for protein synthesis *in vitro*.
555 This system contains individually prepared and purified components necessary for gene
556 expression in *E. coli*, and has few nucleases and proteases, thereby increasing mRNA recovery
557 rate and producing stable ternary complexes. All in all, ribosome display as a potent tool is

558 demonstrating its value in many domains. We anticipate that more significant advances will be
559 made to perfect this technique so that it may yield versatile molecules for various applications.

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Figure 1

The DNA cassette used for ribosome display.

The gene of interest is integrated with a T7 promoter, a stem loop, and a translation initiation sequence (the prokaryotic Shine-Dalgarno or eukaryotic Kozak sequence) at its 5' site, and is fused to a spacer sequence and a stem loop on the 3' site. MCS stands for multiple cloning sites. Adapted from ref. (Douthwaite & Jackson 2012).

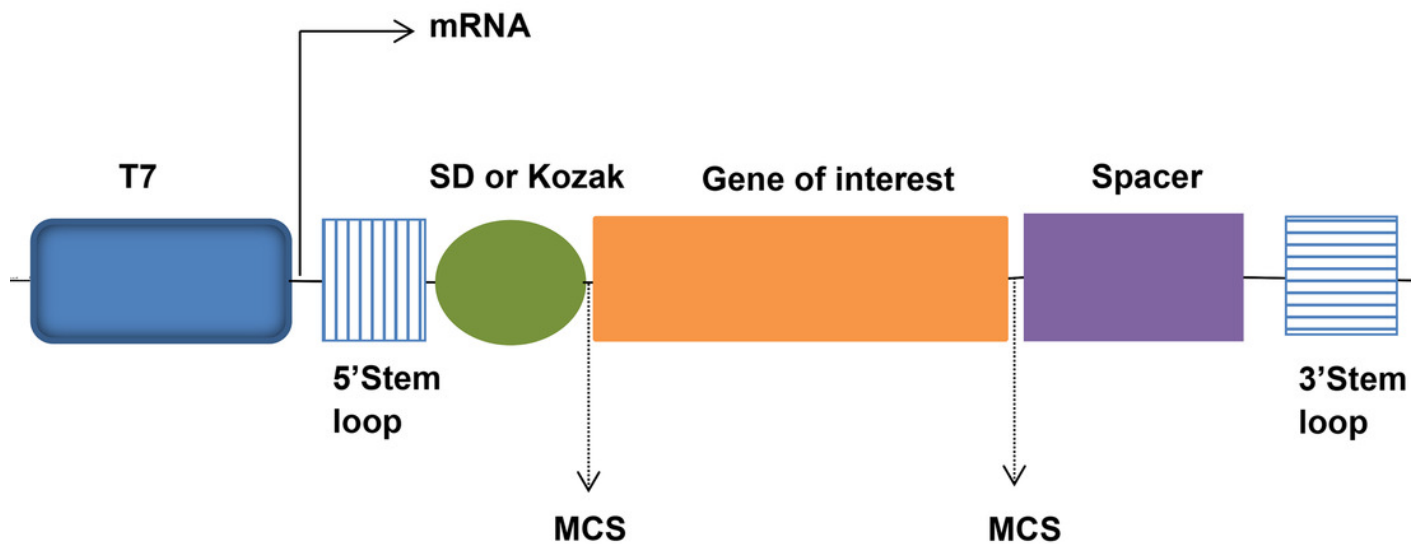


Figure 2

Schematic representation of the ribosome display selection cycle.

The DNA library is transcribed into mRNA. When the resultant mRNA is translated using a cell-free expression system *in vitro*, ternary complexes are generated due to the elimination of translational stop codons on mRNA. These complexes are directly applied to affinity selection on the immobilized antigen or the biotinylated antigen in solution which can be captured with streptavidin using magnetic beads. After washing away unbound ribosomal complexes, antigen-specific complexes are dissociated by EDTA to release mRNA. The purified mRNA is subjected to RT-PCR to recovery DNA for the next selection cycle or for analysis by cloning and sequencing. Alternatively, the DNA recovery is achieved by *in situ* RT-PCR from selected complexes without complexes dissociation and mRNA purification. (A): The panning cycle of prokaryotic ribosome display. (B): the panning cycle of eukaryotic ribosome display. Adapted from ref. (Douthwaite et al. 2006).

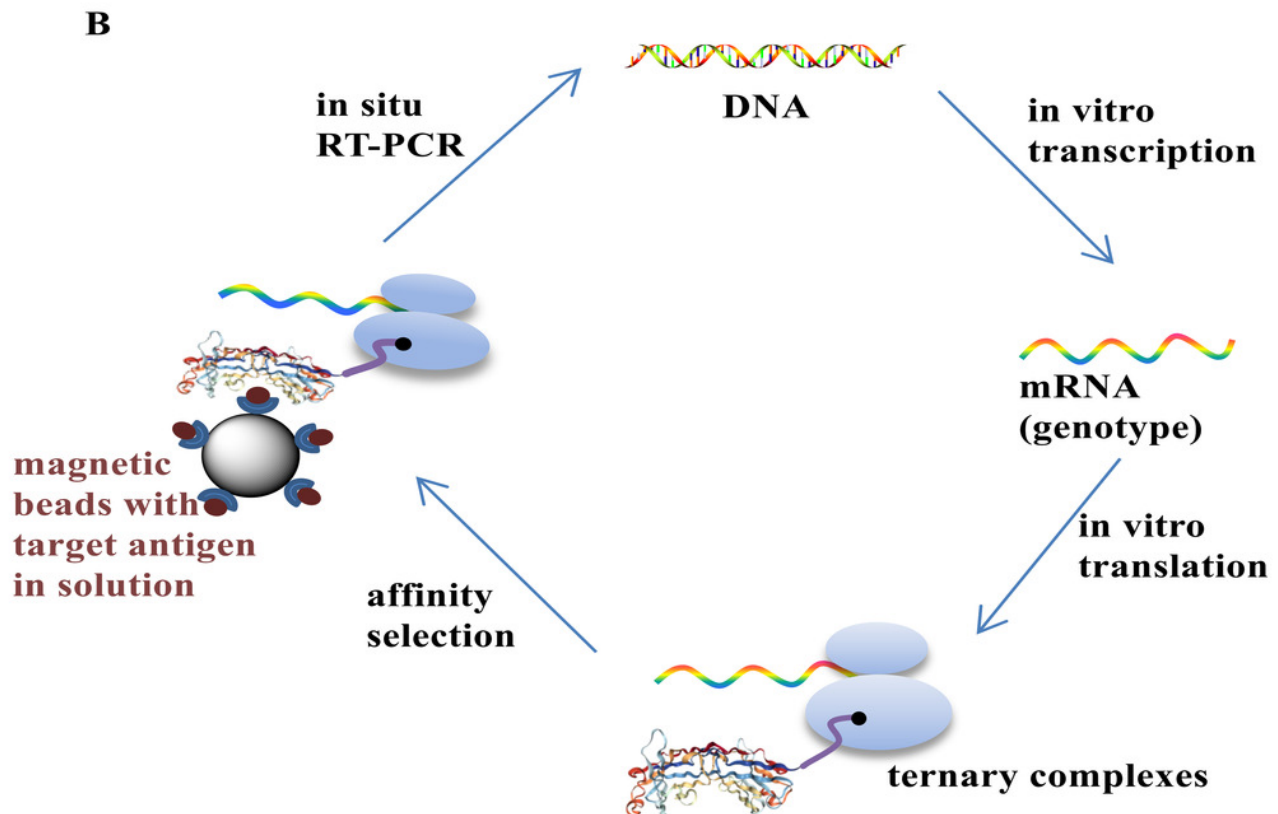
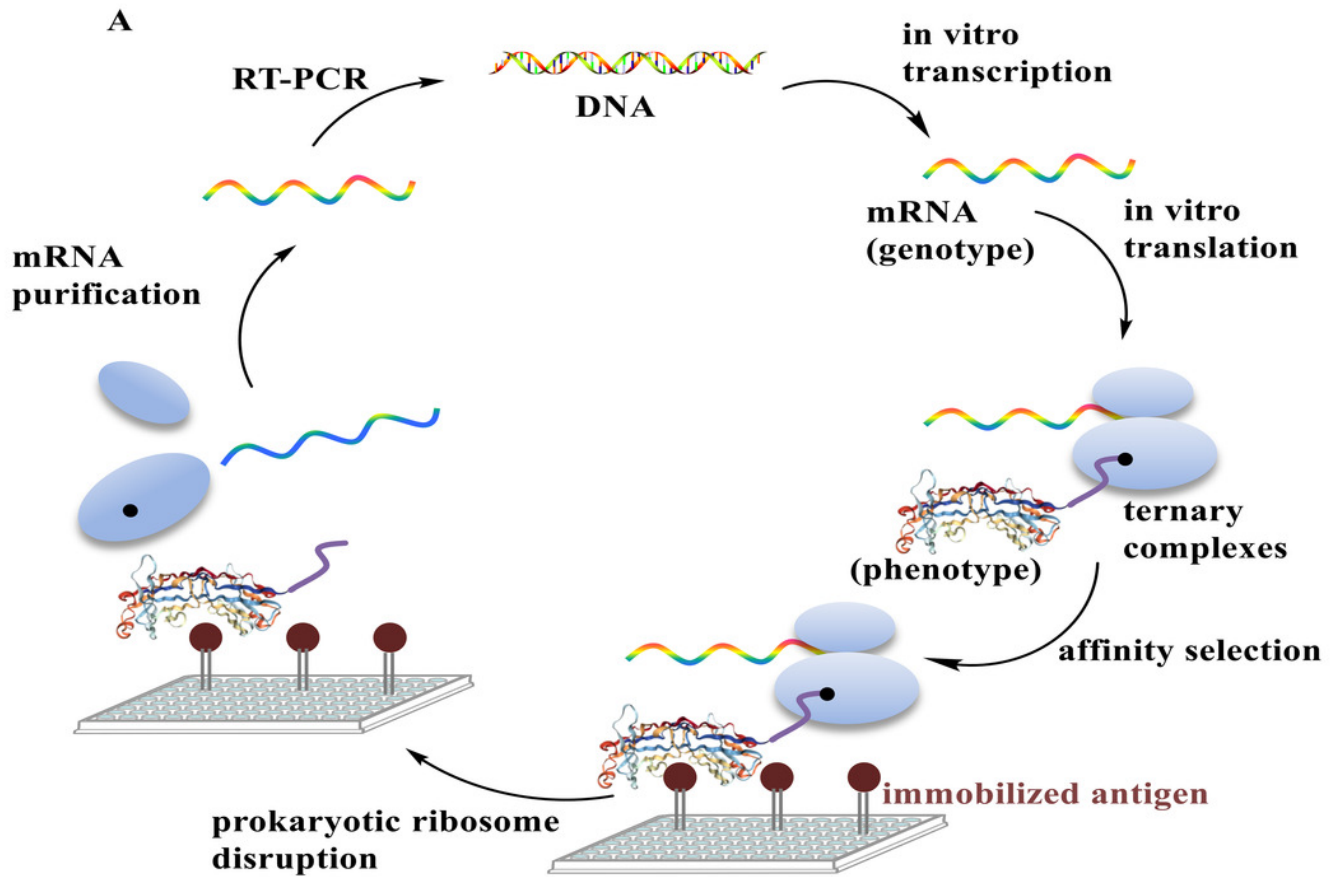


Table 1 (on next page)

Different protein directed evolution strategies in vitro.

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Table 1 Different protein directed evolution strategies in vitro

Aspects of evolution	Directed evolution strategies in vitro	Characteristics	Relative application	Ref.
	Targeted strategies			
	Hotspot mutagenesis	Hotspots are innately prone to hypermutations	PTH22	(Yau et al. 2005)
	Parsimonious mutagenesis	Mutagenic oligodeoxynucleotides are designed to minimize the redundancy of the coding gene and restrict the number of mutations.	XT-M4	(Finlay et al. 2009)
	CDR walking	Mutations are only introduced in short (4–6) amino acid sequences related to a single CDR.	SWA11	(Shapira et al. 2015)
	Non targeted strategies			
Affinity	In-vitro diversification strategies			
	Error-prone PCR	It gives rise to point mutations randomly across the gene sequences.	Her2 ECD-specific DARPins	(Zahnd et al. 2007)
	<i>E. coli</i> mutator strains	Single base transversion or transition events take place at a higher rate in such <i>E. coli</i> mutator cells than that in corresponding normal cells.	Anti-Ag antibodies	(Irving et al. 2002)
	DNA shuffling	It is based on repeated cycles of point mutagenesis, recombination and selection.	CTX-M-15 β -lactamase	(Po et al. 2017)
	Chain shuffling	It can generate high-affinity antibodies from immunized animals quite rapidly.	Scfvs	(Lou & Marks 2010)
	Staggered extension process	The feasibility of operation in a single PCR tube; there is no need to digest DNA by the enzyme.	CSF2A	(Sheedy et al. 2006)

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Table 1 Continued

Aspects of evolution	Directed evolution strategies in vitro	Characteristics	Relative application	Ref.
		Off-rate selection	It uses the free or unlabeled antigen as the competitor.	LoopDARPin (Schilling et al. 2014)
	Modified selection strategies	Subtractive panning	This method increases the likelihood of isolating high-affinity binders	anti-CD28 scFvs (Rothe et al. 2007)
		Reducing antigen concentration and Increasing the frequency and stringency of washing steps gradually	The ease of operation	anti-hRaf-1 affibody molecules (Grimm et al. 2012)
Stability	Optimization strategies	Altering redox potential of the cell-free translation system	It can isolate stable binding antibodies under a reducing environment	G-CSF and EPO (Buchanan et al. 2012)
		Adding proteases into the cell-free translation system	It can obtain protein binders resistant to proteases	VHHs (Harmsen et al. 2006)

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