

Using machine learning techniques and different color spaces for the classification of Cape gooseberry (*Physalis peruviana* L.) fruits according to ripeness level

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The classification of fresh fruits according to their ripeness is typically a subjective and tedious task; consequently, there is growing interest in the use of non-contact techniques such as those based on computer vision and machine learning. In this paper, we propose the use of non-intrusive techniques for the classification of Cape gooseberry fruits. The proposal is based on the use of machine learning techniques combined with different color spaces. Given the success of techniques such as artificial neural networks, support vector machines, decision trees, and K-nearest neighbors in addressing classification problems, we decided to use these approaches in this research work. A sample of 926 Cape gooseberry fruits was obtained, and fruits were classified manually according to their level of ripeness into seven different classes. Images of each fruit were acquired in the RGB format through a system developed for this purpose. These images were preprocessed, filtered and segmented until the fruits were identified. For each piece of fruit, the median color parameter values in the RGB space were obtained, and these results were subsequently transformed into the HSV and L*a*b* color spaces. The values of each piece of fruit in the three color spaces and their corresponding degrees of ripeness were arranged for use in the creation, testing, and comparison of the developed classification models. The classification of gooseberry fruits by ripening level was found to be sensitive to both the color space used and the classification technique, e.g., the models based on decision trees are the most accurate, and the models based on the L*a*b* color space obtain the best mean accuracy. However, the model that best classifies the cape gooseberry fruits based on ripeness level is that resulting from the combination of the SVM technique and the RGB color space.

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ABSTRACT

The classification of fresh fruits according to their ripeness is typically a subjective and tedious task; consequently, there is growing interest in the use of non-contact techniques such as those based on computer vision and machine learning. In this paper, we propose the use of non-intrusive techniques for the classification of Cape gooseberry fruits. The proposal is based on the use of machine learning techniques combined with different color spaces. Given the success of techniques such as *artificial neural networks*, *support vector machines*, *decision trees*, and *K-nearest neighbors* in addressing classification problems, we decided to use these approaches in this research work. A sample of 926 Cape gooseberry fruits was obtained, and fruits were classified manually according to their level of ripeness into seven different classes. Images of each fruit were acquired in the *RGB* format through a system developed for this purpose. These images were preprocessed, filtered and segmented until the fruits were identified. For each piece of fruit, the median color parameter values in the *RGB* space were obtained, and these results were subsequently transformed into the *HSV* and *L*a*b** color spaces. The values of each piece of fruit in the three color spaces and their corresponding degrees of ripeness were arranged for use in the creation, testing, and comparison of the developed classification models. The classification of gooseberry fruits by ripening level was found to be sensitive to both the color space used and the classification technique, e.g., the models based on decision trees are the most accurate, and the models based on the *L*a*b** color space obtain the best mean accuracy. However, the model that best classifies the cape gooseberry fruits based on ripeness level is that resulting from the combination of the SVM technique and the *RGB* color space.

Keywords: Cape gooseberry, artificial neural networks, support vector machines, decision trees, K-nearest neighbors

1 INTRODUCTION

2 The Cape gooseberry (*Physalis peruviana* L.), known as the goldenberry in English-speaking countries
3 and as aguaymanto in Peru, is a plant native to the South American Andes (Salazar et al., 2008; Luchese
4 et al., 2015). This plant has attracted the interest of functional food markets (emerging markets of growing
5 economic importance) due to its medicinal, nutritious, and pharmaceutical properties (Erkaya et al., 2012;
6 Ramírez et al., 2013; Vásquez-Parra et al., 2013). The food industry needs to provide fruits of high and
7 homogeneous quality, being necessary to improving their production methods to ensure high quality
8 during manufacturing and commercialization (Benedito et al., 2006).

9 For fresh fruits, such as the Cape gooseberry, an important step in ensuring high quality is sorting,
 10 which is currently based on the visual inspection of color, size, and shape parameters (Zhang et al., 2014).
 11 However, visual inspection has certain disadvantages, as it is subjective, variable, tedious, laborious,
 12 inconsistent and easily influenced by the environment (Arakeri and Lakshmana, 2016). Consequently, there
 13 is growing interest in reducing this subjectivity using innovative and non-contact measurements such
 14 as artificial vision systems. These types of systems measure the entire surface of the sample, making
 15 them more representative than colorimeters, which are based on point-to-point measurements (Chen et al.,
 16 2010; Romano et al., 2012; Sozer, 2016; Brosnan and Sun, 2004).

17 Computer vision systems (CVSs) are currently employed in the classification of horticultural prod-
 18 ucts and in monitoring such products for defects and bruising (Romano et al., 2012). At present, the
 19 development of computer vision systems is focused on defining new methods for the evaluation of
 20 color and shape parameters. In this context, color receives special interest because it is an important
 21 sensory attribute providing necessary quality information for human perception. Consumers tend to prefer
 22 products that have a uniform appearance and vivid colors. Color has been closely associated with quality
 23 factors (ripeness, variety, and desirability) and food safety. Therefore, color is an essential element of
 24 classification for most food products (Castro et al., 2017; Oliveira et al., 2016; Avila et al., 2015; Wu and
 25 Sun, 2013).

26 Each color that humans can recognize in an image is a combination of the so-called primary colors,
 27 *red, green, and blue*, which can be arranged in a color space to facilitate the specification of colors
 28 in a standardized and widely accepted form. In essence, a color space is the specification of a three-
 29 dimensional coordinate system and a subspace of this scheme in which a single point represents each
 30 color. Nevertheless, there is more than one color space, and each color space can be classified into
 31 hardware-orientated spaces, human-orientated spaces, and instrumental spaces, as proposed by Wu and
 32 Sun (2013).

- 33 • *Hardware-orientated spaces.* These color spaces were defined based on the properties of the
 34 hardware devices used to reproduce the colors. In this category, the most popular color spaces are
 35 *RGB, YIQ*, and *CMYK*.
- 36 • *Human-orientated spaces.* These color spaces are based on hue-saturation. The most popular color
 37 spaces in this category are *HSI, HSL, HSV* and *HSB*. These spaces correspond to the concepts
 38 of tint, shade, and tone, which are specified by an artist based on inherent color characteristics.
 39 However, as with human vision, human-orientated spaces are not sensitive to small variations in
 40 color and are therefore not suitable for evaluating changes in product color during processing.
- 41 • *Instrumental spaces.* Color spaces such as *XYZ, L*a*b**, and *L*u*v** are used for color instruments.
 42 Unlike hardware-oriented spaces, which have different coordinates for the same color for various
 43 output media, the color coordinates of an instrumental space are the same on all output media.

44 The main features of the color parameters based on the works in Leon et al. (2006) and Zakaluk and
 45 Ranjan (2006) are detailed in Table 1. As can be seen, each color space was developed for a particular
 46 purpose; each color space has certain advantages when used in classification and identification problems.

Table 1. Color parameters used for classification.

Space	Parameter	Description
<i>RGB</i>	<i>R</i>	Red measured in digital image [0, 255]
	<i>G</i>	Green measured in digital image [0, 255]
	<i>B</i>	Blue measured in digital image [0, 255]
<i>HSV</i>	<i>H</i>	Hue derived from <i>RGB</i> [0, 360]
	<i>S</i>	Saturation derived from <i>RGB</i> [0, 100]
	<i>V</i>	Value derived from <i>RGB</i> [0, 100]
<i>L*a*b*</i>	<i>L*</i>	Luminosity derived from <i>RGB</i> [0, 100]
	<i>a*</i>	Red/green opponent colors [-128, 127]
	<i>b*</i>	Yellow/blue opponent colors [-128, 127]

47 Thus, although the systems directly provide information in the *RGB* space, some works, such as
 48 that realized by Du and Sun (2008), have aimed to determine whether there is any difference in the
 49 classification caused by the selected color space or by the utilized segmentation technique.

50 According to Wu and Sun (2013), “In the color measurement of food, the $L^*a^*b^*$ color space is the
 51 most commonly used due to the uniform distribution of colors and because it is perceptually uniform.”

52 In the image analysis process, pattern recognition is a qualitative analysis method, of which the
 53 supervised methods are most commonly used. Supervised learning is an automatic learning task that infers
 54 a function given labeled training data. In the fruit inspection industry, the support vector machine (SVM),
 55 k-nearest neighbor (KNN), artificial neural network (ANN), and decision tree (DT) pattern classification
 56 methods are the most commonly used (Arabasadi et al., 2013; Vithu and Moses, 2016).

57 The use of computer vision systems to determine ripeness level has been studied for a variety of fruits,
 58 including apples, bananas, blueberries, dates, mangoes, and tomatoes. Table 2 summarizes the main
 59 studies on fruit ripening using computer vision systems. However, for the Cape gooseberry, there are no
 60 reports about the use of image analysis for ripeness stage classification.

Table 2. Fruit/Vegetable ripening evaluation using expert system techniques in different color spaces.

Item	Color space	Processing method	Accuracy	Ref.
Apple	HSI	SVM	95	Xiaobo et al. (2007)
Apple	$L^*a^*b^*$	MDA	100	Cárdenas-Pérez et al. (2017)
Avocado	<i>RGB</i>	K-Means	82.22	Roa Guerrero and Meneses Benavides (2014)
Banana	$L^*a^*b^*$	LDA	98	Mendoza and Aguilera (2004)
Banana	<i>RGB</i>	ANN	96	Paulraj et al. (2009)
Blueberry	<i>RGB</i>	KNN and SK-Means	85-98	Li et al. (2014)
Date	<i>RGB</i>	K-Means	99.6	Pourdarbani et al. (2015)
Lime	<i>RGB</i>	ANN	100	Damiri and Slamet (2012)
Mango	<i>RGB</i>	SVM	96	Nandi et al. (2014)
Mango	$L^*a^*b^*$ and HSB	MDA	90	Vélez-Rivera et al. (2014)
Mango	$L^*a^*b^*$	LS-SVM	88	Zheng and Lu (2012)
Oil palm	$L^*a^*b^*$	ANN	91.67	Fadilah et al. (2012)
Pepper	<i>HSV</i>	SVM	93.89	Elhariri et al. (2014)
Persimmon	$RGB + L^*a^*b^*$	QDA	90.24	Mohammadi et al. (2015)
Tomato	<i>HSV</i>	SVM	90.8	El-Bendary et al. (2015)
Tomato	<i>RGB</i>	DT	94.29	Goel and Sehgal (2015)
Tomato	<i>RGB</i>	LDA	81	Polder et al. (2002)
Tomato	$L^*a^*b^*$	ANN	96	Rafiq et al. (2016)
Watermelon	YCbCr	ANN	86.51	Shah Rizam et al. (2009)

61 Thus, we present a novel study classifying Cape gooseberry fruits using different color spaces and
 62 four of the leading supervised learning techniques. The principal objective is to determine which color
 63 space and which method of classification are the most appropriate for classifying Cape gooseberry fruits
 64 according to their level of ripeness.

65 MATERIALS AND METHODS

66 Cape gooseberry fruit samples

67 A sample of gooseberry fruits from a plantation located in El Faro village, Celendin Province, Cajamarca,
 68 Peru [UTM: -6.906469, -78.257071] was collected. This sample consisted of 926 Cape gooseberry fruits
 69 at different ripeness levels.

70 Computer vision system for grading Cape gooseberries

71 The hardware and software that constitute this system are described below.

- 72 • *Conveyor belt.* The conveyor belt is 160 cm long, 25 cm wide, and 80 cm high. The speed is
 73 adjustable, and the conveyor is operated by an EPLI motor (MS 632-4 60 Hz, 0.18 KW, 0.25 HP,
 74 220 V, 1570 RPM).

75 • *VGA webcam*. The utilized webcam has the following specifications:

76 – Trademark: Halion

77 – Model: HA-411

78 – Resolution: 1280x720 pixels

79 This webcam was located 35 cm above the sample.

80 The internal walls of the CVS were painted black to avoid light leakage and exterior reflections of
81 the room in a method similar to that realized by Pedreschi et al. (2006).

82 • *Lighting source*. A directional lighting system composed of two long fluorescent tubes (Philips
83 TL-D Super, cold daylight, 80 cm, 36 W) was distributed symmetrically on both sides of the sample,
84 and a circular fluorescent tube (Philips GX23 PH-T9, cold daylight, 21.6 cm, 22W) was located at
85 the top.

86 • *Computer*. We used a laptop (Intel(R) Pentium(R) Dual-Core CPU T4200 @ 2.00 GHz and 3.0 GB
87 RAM).

88 • *Informatics tool for data acquisition*. A computer tool was developed to control the acquisition of
89 the images and their subsequent analysis. This tool was implemented using MATLAB.

90 Methodology

91 In subsequent sections, this methodology is described in detail.

92 Data extraction

93 In this step, information on the color parameters in three color spaces was collected from each fruit in the
94 sample, and the sample was classified according to its ripeness grade.

95 **Visual classification by color**. Fruits in the samples were classified according to the ripening stage in
96 seven states, similar to the method used by Bravo and Osorio (2016), using the surface color as indicated
97 by the NTC 4580 sensor (Colombian Technical Normative) for Cape gooseberry and the visual scale
98 proposed by Fischer et al. (2005); see Figure 1.

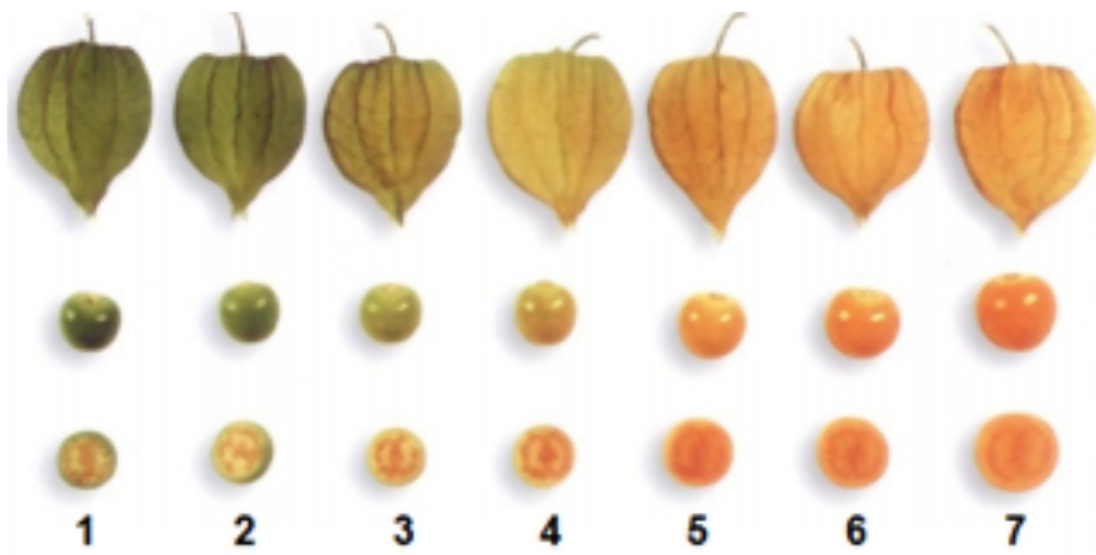


Figure 1. Ripeness states of Cape gooseberry.

99 **Image acquisition and pre-processing**. The steps in this stage, based partially on the methodology
100 proposed by Arakeri and Laksmna (2016), are detailed below:

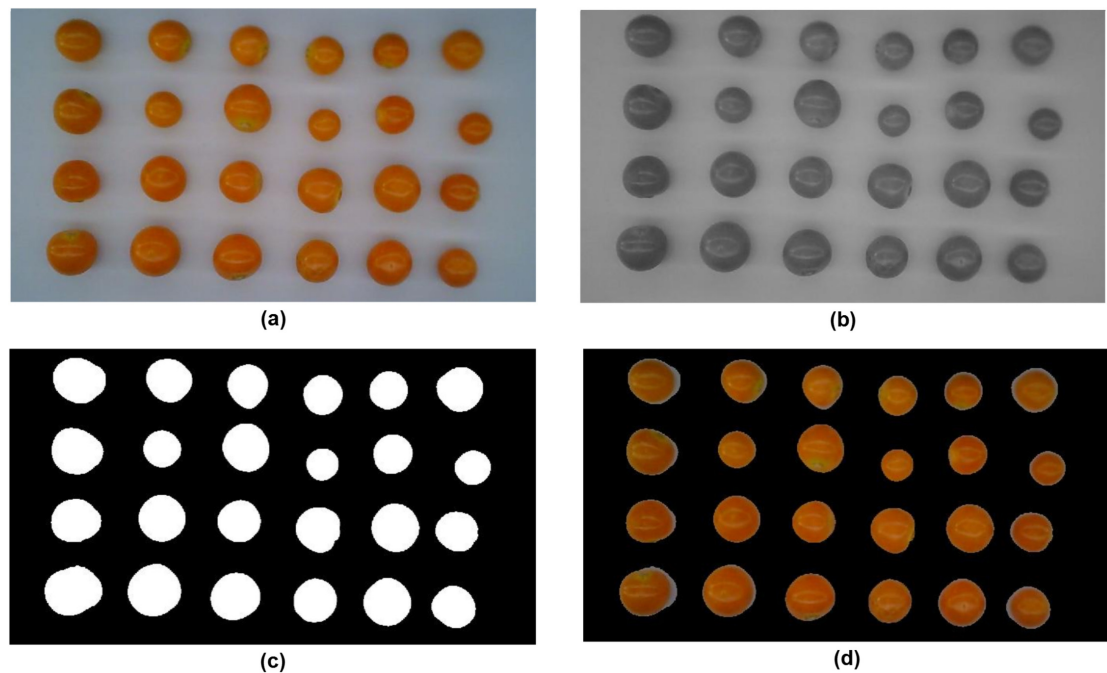


Figure 2. Images of acquisition and preprocessing stages.

- 101 • *Location of samples.* Fruits for each class, determined in the previous steep, were placed on the
 102 conveyor belt; these fruits were arranged in matrixial dispositions of four rows and between five to
 103 seven columns. The fruits were successively displayed on the conveyor belt until the entire class of
 104 fruits was completed.
- 105 • *Image acquisition.* Fruits were conveyed to the corresponding area for image acquisition. The
 106 software component discussed in Section was used to capture the images, and each image was
 107 stored (see Figure 2(a)) according to the corresponding class.
- 108 • *Image enhancement.* The images were enhanced by a Gaussian filter; the function shown in Eq. 1
 109 was used for this purpose.

$$g(x, y) = \frac{1}{2\pi\sigma} e^{-\frac{(x^2+y^2)}{2\sigma^2}} \quad (1)$$

- 110 where
 111 g = Filtered image
 112 x, y = Position of pixel
 113 σ = Standard deviation of Gaussian filter.

- 114 • *Segmentation.* The images were converted to grayscale, shown in Figure 2(c), and thresholding
 115 segmentation based on Eq. 2 was used. The results were images for which samples are isolated
 116 from background and their pixels identified, as shown in Figure 2(d).

$$h(x, y) = \begin{cases} 1 & \text{if } g(x, y) \geq T \\ 0 & \text{if } g(x, y) < T \end{cases} \quad (2)$$

- 117 where
 118 h = Segmented image
 119 x, y = Position of pixel
 120 T = Threshold value.

121 **Obtaining space color parameters.** From each fruit in the segmented images, shown in Figure 2(d),
122 the median values of the color parameters in the *RGB* color space were determined similarly to the work
123 of Blasco et al. (2007). Then, these median values were converted to *HSV* and *L*a*b** color spaces using
124 the *rgb2hsv* and *rgb2lab* functions of the MATLAB software. All these values, linked to each fruit in the
125 different classes, were stored in a database for the subsequent modeling stage.

126 **Modeling and validation**

127 The dataset obtained from the 926 fruits evaluated was used for the construction of the models and their
128 subsequent validation. Then, to perform this stage, the dataset was divided into 70 percent (649 fruits) for
129 modeling and 30 percent (277 fruits) for validation.

130 **Modeling.** In this stage, four supervised machine learning techniques were used for modeling; these
131 techniques consider the categorical labels when data entries x_1, x_2, \dots, x_n must be assigned to predefined
132 classes C_1, C_2, \dots, C_m . In multi-class classification, the input is to be classified into only one of n
133 non-overlapping classes. In the following paragraphs, each technique is detailed.

134 • **ANN.** This non-linear supervised classification method uses mathematical models to simulate
135 biological neural networks. A common type of ANN is the radial basis function ANN (RBF-ANN),
136 which is used for classifying into different classes by finding common features between samples of
137 the known feature class. This type of network has nonlinearity embedded in the transfer functions
138 of its hidden-layer neurons, making the optimization of tunable parameters a linear search (Dash
139 et al., 2000; Kong et al., 2016). Figure 3a shows a schematic representation of this type of ANN,
140 which was proposed by Beale et al. (2012).

141 The Neural Network Toolbox in MATLAB was used for the implementation of the sorting models
142 based on the ANN technique. Specifically, we used the *newpnn* function to create and train the
143 RBF-ANN, and the *sim* function was used for the simulation stage.

144 • **DT.** This technique is a tree-based exemplification of the knowledge used to represent the classifica-
145 tion rules. The internal nodes of a tree represent tests of an attribute; each branch represents the
146 outcome of the test, and leaf nodes represent class labels. Traversing the branch from root to leaf
147 node decodes the information enclosed in the form of if-then statements, and each branch leads to a
148 single rule. Figure 3b shows a schematic of this technique, which was proposed by Safavian and
149 Landgrebe (1991). Therefore, DT can be exploited to automatically generate the rules without the
150 need of a human expert (Goel and Sehgal, 2015; Safavian and Landgrebe, 1991).

151 For fitting and applying, the multi-class classifier function *fitctree* and *predict* from Matlab's
152 *Machine Learning Toolbox* were used.

153 • **SVM.** SVM is a supervised non-parametric statistical learning technique that is widely used for
154 classification by constructing a hyperplane or a set of hyperplanes in a high-dimensional space
155 (Xiaobo et al., 2007; Nandi et al., 2014). Figure 3c shows the support vectors and the hyperplane
156 separating the two classes, which are defined by squares and triangles.

157 In this case, we use the *fitcecoc* function to fit models and the *predict* function to predict labels;
158 both function are also implemented into Matlab's *Machine Learning Toolbox*

159 • **KNN.** KNN is a non-parametric classification technique cache of all the training data that predicts
160 the response of the new sample by analyzing a certain number of the nearest neighbors in the feature
161 space of the sample (Unay and Gosselin, 2007; Pourdarbani et al., 2015).

162 Figure 3d shows an example of this technique. The element to be classified is the sun symbol. For
163 $k = 3$, this object is classified as the triangle class since there is only one square and two triangles
164 inside the circle that contains them. If $k = 9$, this object is classified as the square class since there
165 are four triangles and five squares inside the outer circle.

166 Again, we used MATLAB's Machine Learning Toolbox, where the *fitcknn* function was used to
167 train the model and the *predict* function was used to predict the labels.

168 **Validation.** After obtaining the class for each fruit in the validation sample, the performance of each
169 classifier and color space was determined using the confusion matrix. This technique is one of the most

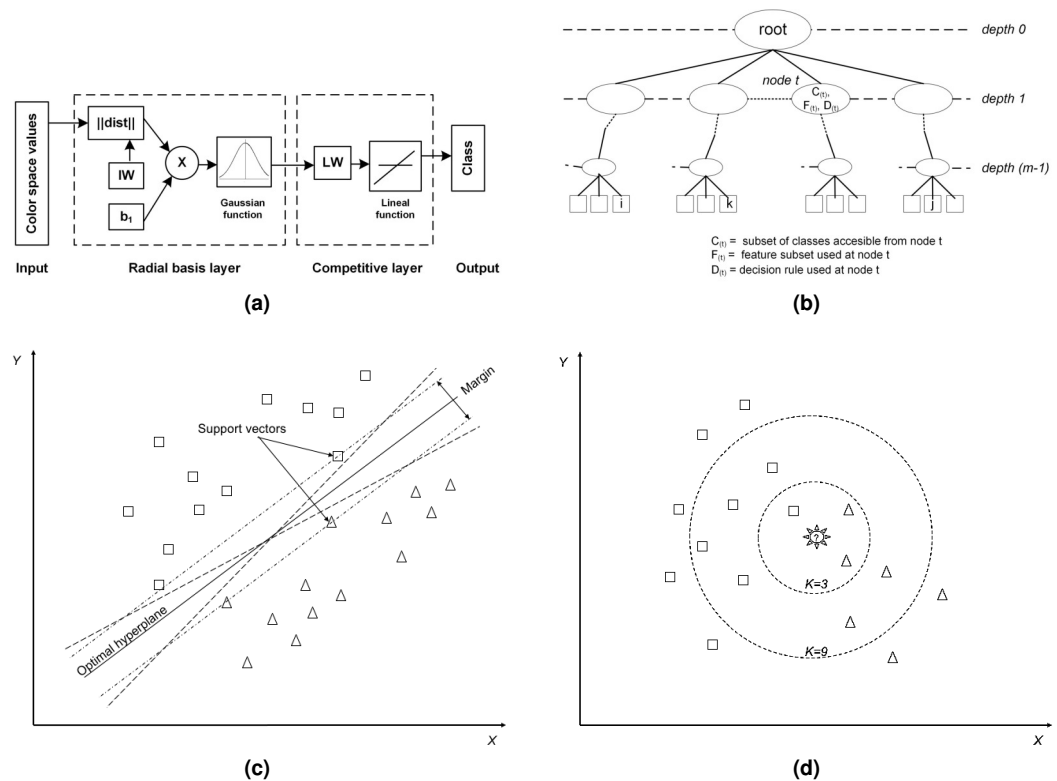


Figure 3. Four supervised machine learning techniques used in this work for modeling. (a) Generalized RBF-ANN structure; (b) Generalized DT structure; (c) SVM example; and (d) KNN example.

170 commonly used techniques in the machine learning community and contains information about the actual
 171 and predicted ratings obtained by a classification system.

172 A confusion matrix has two dimensions (real and predicted). Each row represents the instances of a
 173 real class, while each column represents the cases of a predicted class. Table 3 shows the basic form of
 174 the confusion matrix for a multi-class classification problem, where N_{ij} represents the number of samples
 175 that belong to class C_i but that are classified as class C_j .

Table 3. Generalized confusion matrix for several classes.

		Predicted class (C_j)				
		C_1	...	C_j	...	C_n
Actual class (C_i)	C_1	$N_{1,1}$...	$N_{1,j}$...	$N_{1,n}$

	C_i	$N_{i,1}$...	$N_{i,j}$...	$N_{i,n}$

	C_n	$N_{n,1}$...	$N_{n,j}$...	$N_{n,n}$

176 From the information contained in a confusion matrix, some performance measures can be defined,
 177 among them *accuracy*, *precision*, *recall* and *f-measure*. These measures are determined by classification
 178 errors made by the classifier. The terms true positive (TP), true negative (TN), false positive (FP) and
 179 false negative (FN) are counters used to contrast the class indicated by the classifier and the actual class,
 180 which are defined by Eqs. 3-6. The *positive* and *negative* terms refer to the classification produced by the
 181 classifier, while the expressions *true* and *false* refer to whether the classification is consistent with the
 182 actual value of the label.

183 According to Deng et al. (2016), *accuracy* is the proportion of the total number of predictions that

184 were correct and is defined by Eq. 7; *precision* is a measure of the accuracy provided that a specific class
 185 has been predicted and is defined by Eq. 8; *recall* is a measure of the ability of a prediction model to
 186 select instances of a certain class from a data set and is defined by Eq. 9; and *f-measure* is the harmonic
 187 mean of precision and recall and is defined by the Eq. 10.

$$TP_i = N_{ii} \quad (3)$$

$$FN_i = \sum_{k=1}^n N_{ik} - TP_i \quad (4)$$

$$FP_i = \sum_{k=1}^n N_{ki} - TP_i \quad (5)$$

$$TN_i = FN_i + FP_i \quad (6)$$

$$Accuracy = \frac{\sum_{i=1}^n TP_i}{\sum_{i=1}^n \sum_{j=1}^n N_{ij}} \quad (7)$$

$$Precision_i = \frac{TP_i}{TP_i + FP_i} \quad (8)$$

$$Recall_i = \frac{TP_i}{TP_i + FN_i} \quad (9)$$

$$F-Measure_i = 2 \times \frac{Precision_i \times Recall_i}{Precision_i + Recall_i} \quad (10)$$

188 To measure the influence that color space may have on the results of a classification technique, exten-
 189 sive experimentation was carried out, in which the general precision obtained by the degree of ripening
 190 for Cape gooseberry fruits was compared for each combination of color parameters and classification
 191 techniques. For each of the twelve combinations of the proposed machine learning techniques (ANN, DT,
 192 SVM, and KNN) and the selected color spaces (*RGB*, *HSV*, and *L*a*b**), the performance measures were
 193 determined using Eqs. 7-10. In this work, we take the *f-measure* as the main measure for analysis.

194 RESULTS

195 Cape gooseberry color during ripening

196 The color parameter values for each color space are shown in Figure 4. As observed in Figure 4a, the
 197 parameter *R* presents an upward trend throughout the ripening process, starting at 75 and increasing to a
 198 maximum value of 150. In contrast, the parameter *G* begins at 89 and ends at 63, and the parameter *B*
 199 shows some variation between 0 and 45.

200 For the *HSV* color space, Figure 4b shows that the parameter *H* exhibits a downward trend starting
 201 at 0.19 and ending at 0.07. The parameter *S* shows a little variability, with median values fluctuating
 202 between 0.98 and 1. Finally, the parameter *V* exhibits an upward trend throughout the maturation process,
 203 with a minimum value of 0.35 and maximum of 0.60.

204 Concerning the *L*a*b** color space, Figure 4c shows that the parameter *L** presents slight variability,
 205 oscillating between 36.5 and 45.3. The parameter *a** has an upward trend that starts at -17.37 and reaches
 206 a maximum of 32.39. Finally, the parameter *b** fluctuates between 41.48 and 51.53.

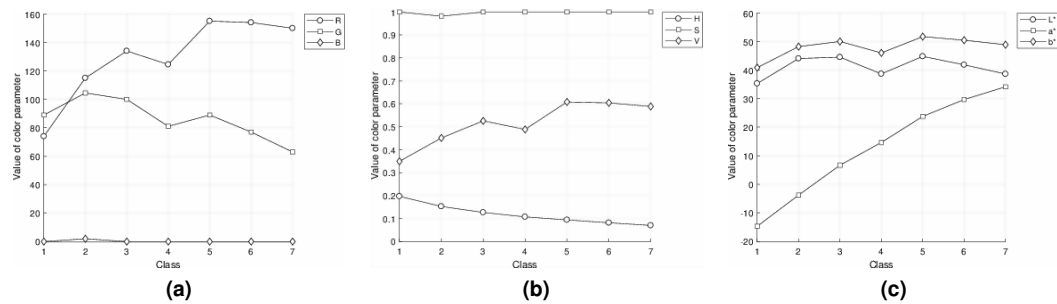


Figure 4. Color spaces for Cape gooseberry at different ripeness levels. (a) *RGB*; (b) *SVM*; and (c) $L^*a^*b^*$.

Table 4. Color parameters in $L^*a^*b^*$ space in ripeness stage.

Parameter	Obtained	Source	
		Vásquez-Parra et al. (2013)	Puente et al. (2011)
L^*	38.11 ± 3.89	54.04 ± 0.34	71.37 ± 1.10
a^*	32.40 ± 3.89	23.67 ± 0.30	15.20 ± 0.48
b^*	48.05 ± 3.81	59.85 ± 0.29	61.76 ± 1.34

Mean \pm standard deviation.

207 Model evaluation

208 The results of the twelve classification models proposed in this research are shown in Tables 5-8, where
209 each table groups the results by machine learning techniques.

210 Table 5 shows the results of the evaluation of the models based on the ANN technique; obtaining
211 accuracy between 50.18% and 75.75% for $L^*a^*b^*$ and *HSV* color-based models.

Table 5. Confusion matrix for ANN model using three color spaces.

RGB	Class 1	Class 2	Class 3	Class 4	Class 5	Class 6	Class 7	Metrics	
Class 1	30	1	0	0	0	0	0	Precision	69,97 %
Class 2	13	17	5	0	0	0	0	Recall	74,97 %
Class 3	10	3	18	2	1	0	0	Specificity	76,67 %
Class 4	5	0	3	19	5	0	0	F-Measure	76,44 %
Class 5	8	0	0	3	33	6	0		
Class 6	3	0	0	0	11	27	2		
Class 7	6	0	0	0	0	12	34		
HSV								Metrics	
Class 1	31	0	0	0	0	0	0	Precision	51,21 %
Class 2	3	19	10	1	2	0	0	Recall	44,55 %
Class 3	0	4	10	2	16	0	2	Specificity	49,38 %
Class 4	0	4	0	14	5	0	9	F-Measure	56,38 %
Class 5	0	0	0	0	34	0	16		
Class 6	0	1	0	0	28	0	14		
Class 7	0	0	0	2	19	0	31		
$L^*a^*b^*$								Metrics	
Class 1	31	0	0	0	0	0	0	Precision	76,73 %
Class 2	0	23	11	1	0	0	0	Recall	73,37 %
Class 3	0	2	28	4	0	0	0	Specificity	69,65 %
Class 4	0	0	6	18	7	1	0	F-Measure	72,17 %
Class 5	1	0	2	3	37	7	0		
Class 6	0	0	0	2	9	28	4		
Class 7	0	0	0	1	0	7	44		

212 Table 6 shows the results obtained by the DT technique. The DT model based on the $L^*a^*b^*$ color
213 space achieves better accuracy (75.09%). Although, the results of the models based on the *RGB* and *SVM*
214 color spaces achieved accuracies very close to those obtained by the model based on the $L^*a^*b^*$ color

215 space ($RGB = 72.92$ and $HSV = 74.37$).

Table 6. Confusion matrix for DT model using three color spaces.

RGB	Class 1	Class 2	Class 3	Class 4	Class 5	Class 6	Class 7		
Class 1	31	0	0	0	0	0	0	Metrics	
Class 2	1	26	8	0	0	0	0	Precision	74,45 %
Class 3	0	4	26	3	1	0	0	Recall	70,71 %
Class 4	0	0	6	21	3	2	0	Specificity	73,40 %
Class 5	0	1	3	7	27	12	0	F-Measure	78,36 %
Class 6	0	0	1	1	6	30	5		
Class 7	0	0	0	1	0	10	41		
HSV									
Class 1	31	0	0	0	0	0	0	Metrics	
Class 2	0	24	11	0	0	0	0	Precision	76,00 %
Class 3	0	3	27	3	1	0	0	Recall	72,00 %
Class 4	0	0	5	19	7	1	0	Specificity	73,85 %
Class 5	0	0	1	2	40	7	0	F-Measure	79,56 %
Class 6	0	0	0	1	10	21	11		
Class 7	0	0	0	0	0	8	44		
L*a*b*									
Class 1	31	0	0	0	0	0	0	Metrics	
Class 2	0	22	12	0	1	0	0	Precision	77,35 %
Class 3	0	1	24	5	4	0	0	Recall	73,58 %
Class 4	0	0	5	21	6	0	0	Specificity	69,16 %
Class 5	0	0	5	4	34	7	0	F-Measure	73,41 %
Class 6	0	0	0	0	9	28	6		
Class 7	0	0	0	0	0	4	48		

216 Table 7 presents the results of the SVM models In this the SVM model based on the *RGB* color space
 217 obtained the best accuracy (79.42%). The model based on the *L*a*b** color space obtained an accuracy
 218 of 77.62%, which is very close to that achieved by the model based on *RGB*. However, the model based
 219 on the *HSV* color space yielded poor results ($accuracy = 33.57\%$).

Table 7. Confusion matrix for SVM model using three color spaces.

RGB	Class 1	Class 2	Class 3	Class 4	Class 5	Class 6	Class 7		
Class 1	31	0	0	0	0	0	0	Metrics	
Class 2	0	24	11	0	0	0	0	Precision	80,82 %
Class 3	0	1	28	4	1	0	0	Recall	77,62 %
Class 4	0	0	6	22	3	1	0	Specificity	78,29 %
Class 5	0	0	1	6	39	4	0	F-Measure	76,47 %
Class 6	0	0	0	0	7	31	5		
Class 7	0	0	0	0	0	7	45		
HSV									
Class 1	18	13	0	0	0	0	0	Metrics	
Class 2	0	23	0	0	0	0	12	Precision	24,93 %
Class 3	0	4	0	0	1	0	29	Recall	12,42 %
Class 4	0	6	0	0	0	0	26	Specificity	20,75 %
Class 5	0	0	0	0	0	0	50	F-Measure	36,19 %
Class 6	0	0	0	0	0	0	43		
Class 7	0	0	0	0	0	0	52		
L*a*b*									
Class 1	31	0	0	0	0	0	0	Metrics	
Class 2	0	25	10	0	0	0	0	Precision	79,06 %
Class 3	0	2	28	4	0	0	0	Recall	75,57 %
Class 4	0	0	6	19	4	3	0	Specificity	72,17 %
Class 5	0	0	1	4	39	6	0	F-Measure	74,66 %
Class 6	0	0	0	0	7	30	6		
Class 7	0	0	0	0	0	9	43		

220 As seen in Table 8, the model based on the $L^*a^*b^*$ color space obtained the best accuracy (75.81).
 221 However, the results of the models based on the RGB and SVM color spaces reported accuracy results very
 222 close to those obtained by the model based on the $L^*a^*b^*$ color space ($RGB = 72.56$ and $HSV = 72.92$).

Table 8. Confusion matrix for KNN model using three color spaces.

RGB	Class 1	Class 2	Class 3	Class 4	Class 5	Class 6	Class 7	Metrics	
Class 1	30	1	0	0	0	0	0	Precision	74,66 %
Class 2	2	22	10	1	0	0	0	Recall	71,47 %
Class 3	0	4	25	3	2	0	0	Specificity	74,52 %
Class 4	0	1	4	21	6	0	0	F-Measure	76,86 %
Class 5	0	0	0	3	40	7	0		
Class 6	0	0	0	1	12	28	2		
Class 7	0	0	0	1	0	16	35		

HSV	Class 1	Class 2	Class 3	Class 4	Class 5	Class 6	Class 7	Metrics	
Class 1	31	0	0	0	0	0	0	Precision	74,08 %
Class 2	1	25	9	0	0	0	0	Recall	70,28 %
Class 3	0	7	21	2	4	0	0	Specificity	73,93 %
Class 4	0	0	4	22	5	1	0	F-Measure	78,97 %
Class 5	0	0	0	4	39	7	0		
Class 6	0	0	0	1	13	23	6		
Class 7	0	0	0	0	0	11	41		

$L^*a^*b^*$	Class 1	Class 2	Class 3	Class 4	Class 5	Class 6	Class 7	Metrics	
Class 1	31	0	0	0	0	0	0	Precision	77,26 %
Class 2	0	23	11	1	0	0	0	Recall	73,47 %
Class 3	0	2	28	4	0	0	0	Specificity	69,76 %
Class 4	0	0	6	18	7	1	0	F-Measure	72,31 %
Class 5	0	0	2	3	38	7	0		
Class 6	0	0	0	2	9	28	4		
Class 7	0	0	0	1	0	7	44		

223 In Figure 5, a summary of the results of this research work is shown, primarily using the f -measure
 224 for analysis. Each of the twelve proposed classification models obtained different f -measures. The SVM
 225 model with the HSV color space exhibited the worst results (f -measure = 24.24%). The models based on
 226 the KNN and DT techniques yielded good results regardless of the color space used (f -measure > 73%);
 227 between these two techniques, the DT model obtained slightly better results. Additionally, the models
 228 based on the $L^*a^*b^*$ color space give good results (f -measure > 75%).

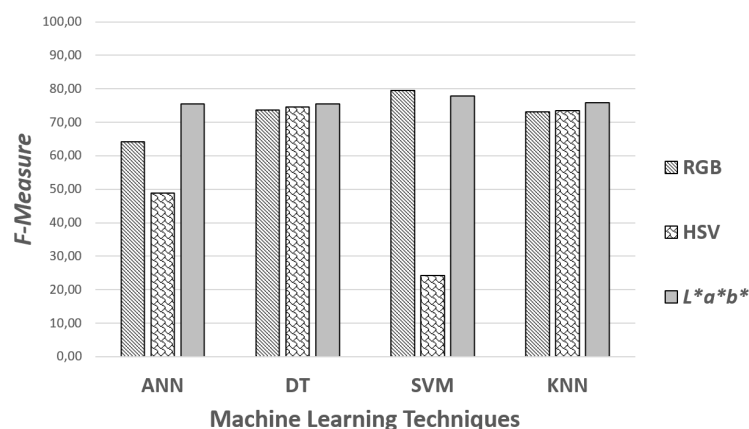


Figure 5. F-measure analysis.

229 Finally, for the classification of Cape gooseberry fruits by level of ripeness, the best model is that
 230 based on the SVM technique and the RGB color space. This model obtains an f -measure = 79.58%.

231 DISCUSSION

232 As explained in Itle and Kabelka (2009) and Cárdenas-Pérez et al. (2017), changes in the parameters L^* ,
233 a^* and b^* are associated with increases in carotenoid levels and a loss of chlorophyll in the pericarp. In
234 this sense Table 4 compares the median values for the $L^*a^*b^*$ space obtained in this work against Puente
235 et al. (2011) and Vásquez-Parra et al. (2013). The differences in the $L^*a^*b^*$ parameters obtained in this
236 research and previous reports are because the cultivar, ripeness stage or cultivation procedure for each
237 sample was different in each study, as suggested by Oliveira et al. (2016).

238 Understanding that changes in the different color parameters are related to ripening stage is needed to
239 evaluate the accuracy of each technique according to color space used for a classifier, so:

- 240 • *ANN*. This technique has already been successfully used to classify fruits according to their level of
241 ripeness. Some examples of the use of this technique can be found in the following works: Paulraj
242 et al. (2009), Damiri and Slamet (2012), Fadilah et al. (2012), and Shah Rizam et al. (2009). As can
243 be seen in Table 5, the accuracy of the ANN models is significantly influenced by the chosen color
244 space. The ANN model based on the $L^*a^*b^*$ color space obtained suitable accuracy (75.45%) in
245 the Cape gooseberry fruit classification, which agrees with the results obtained by Fadilah et al.
246 (2012).
- 247 • *DT*. It was successfully used by Goel and Sehgal (2015) to classify tomatoes according to their
248 ripeness level using *RGB* color space and was capable of classifying the fruits with an accuracy of
249 94.29%. In our case, in addition to the *RGB* color space-based model, we built models for the *HSV*
250 and $L^*a^*b^*$ color spaces, observing that color space slightly influences the quality of the results.
- 251 • *SVM*. The SVM technique has been used by Xiaobo et al. (2007) to classify apples using the *HSI*
252 color space. In combination with the *RGB* color space, Nandi et al. (2014) used SVM to classify
253 pieces of mango fruit. In both studies, it was possible to classify the fruits with an accuracy greater
254 than 95%. In our case the best accuracy was 79.42% for *RGB* space color model and the poor
255 ($accuracy = 33.57\%$) for the *HSV* color space.
- 256 • *KNN*. Many studies have achieved results with excellent levels of accuracy; e.g., Unay and Gosselin
257 (2007) classified apple stems with an accuracy of up to 99%. Regarding problems related to the
258 classification of fruits according to their maturation degree, Li et al. (2014) presented the results of
259 their study on identifying blueberries in different stages of growth. Among the classification models
260 constructed in their work, the model based on the KNN technique obtained the best accuracy (86%)
261 using the *RGB* color space. Our results using $L^*a^*b^*$ color spaces shown the best accuracy (75.81
262 %), and similar results for *RGB* and *SVM* ($RGB = 72.56$ and $HSV = 72.92$).

263 As shown in Tables 5-8, the classification of Cape gooseberry fruits by the degree of ripeness is
264 sensitive to both the color space used and the classification technique used. In this sense, the mean
265 accuracy obtained for *RGB*, *HSV*, and $L^*a^*b^*$ were 72.29%, 57.76%, and 75.99% respectively. These
266 results are similar to those reported by Blasco et al. (2007), who used the LDA classifier and found
267 that *RGB* and $L^*a^*b^*$ present similar and slightly higher accuracy values compared to those obtained in
268 $L^*u^*v^*$ space.

269 CONCLUSIONS

270 The purpose of this research was to develop a non-intrusive system for classifying gooseberry fruits
271 according to their degree of maturity. Twelve classification models were developed. These models were
272 the result of combining four machine learning techniques (*ANN*, *KNN*, *DT*, and *SVM*) and three color
273 spaces (*RGB*, *HSV*, and $L^*a^*b^*$).

274 The choice of color space was found to influence the accuracy of the sorting systems, and this dynamic
275 is observed mainly in the models based on the *ANN* and *SVM* techniques. Meanwhile, the models based
276 on the *KNN* and *DT* techniques yielded good results regardless of the color space used. On the other hand,
277 the models based on the $L^*a^*b^*$ color space produced good results regardless of the machine learning
278 technique employed. However, the classifier developed from the *SVM* technique and *RGB* color space
279 gave the best performance in terms of *accuracy* and *f-measure* ratios.

280 Future works should evaluate the use of different color spaces associatively to determine whether
281 synergies exist in the ripening classification process.

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