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29

30 **Abstract:** Massively parallel DNA sequencing opens up opportunities for bridging multiple
31 temporal and spatial dimensions in biodiversity research, thanks to its efficiency to recover
32 millions of nucleotide polymorphisms. Here we identify the current status, discuss the main
33 challenges, and look into future perspectives on biodiversity genomics focusing on insects,
34 which arguably constitute the most diverse and ecologically important group among all animals.
35 We suggest 10 simple rules that provide a succinct step-by-step guide and best-practices to
36 anyone interested in biodiversity research through the study of insect genomics. To this end, we
37 review relevant literature on biodiversity and evolutionary research in the field of entomology.
38 Our compilation is targeted at researchers and students who may not yet be specialists in
39 entomology or molecular biology. We foresee that the genomic revolution and its application to
40 the study of non-model insect lineages will represent a major leap to our understanding of insect
41 diversity.

42

43

44 **Introduction**

45 The global decline in biodiversity is unquestionable (Barnosky et al., 2011). The rate of species
46 diversity loss is comparable to those of ancient mass-extinction events (Ceballos et al., 2015).

47 However, our understanding of the mechanisms that form and maintain species diversity and the
48 impact of environmental disturbances on biodiversity remains limited. Not only do the current

49 methodologies to quantify biodiversity at different temporal and spatial scales need to be

50 profoundly revised (Vellend, 2017), but also a multi-disciplinary effort is necessary to fully

51 understand species diversity and its evolution. In order to maximize efforts when analyzing

52 biodiversity, large datasets need to be generated for hundreds or thousands of specimens with as

53 few steps as possible, following easy-to-implement protocols. Massively parallel DNA

54 sequencing, also called high-throughput sequencing or next-generation sequencing, has been one

55 of the leading technologies for the generation of molecular data since the mid 2000s (Metzker,

56 2010; Mardis, 2017; Shendure et al., 2017). By using a multiplexing approach, massively parallel

57 sequencing outperforms automated Sanger sequencing in efficiency to recover genomic

58 information, which can be used to understand species diversity variation in time and space.

59 In this article, we aim to review and to provide a practical guideline on the use of massively

60 parallel DNA sequencing technologies with a focus on one of the largest biotic radiations on

61 Earth: insects. These six-legged invertebrates represent more than half of all known eukaryotic

62 species (Grimaldi & Engel, 2005; Mora et al., 2011; Stork et al., 2015; Stork, 2018) and they are

63 one of the most important components of eukaryotic biodiversity in terms of abundance and

64 ecology. However, as much as 80% of insect diversity, and therefore much of the Earth's

65 biodiversity, remains to be formally described (Hamilton et al., 2010; Scheffers et al., 2012;

66 Stork, 2018). While there is so much undescribed insect diversity in the nature, a significant

67 number may already be deposited within museum collections in need of formal description
68 (Suarez & Tsutsui, 2004; Veijalainen et al., 2012). Therefore, the study of biodiversity through
69 massively parallel sequencing applied to insects, using both mass-sampling techniques in the
70 field and the archived material at public and private collections, is timely and represents a
71 significant opportunity to advance our understanding of life on Earth.

72 This article fills a gap in the literature in the form of a simple, concise and hopefully easy-to-
73 follow guideline to study biodiversity using insects and massively parallel sequencing.
74 Accordingly, this review is primarily targeted at researchers and students who may not yet be
75 experts in entomology or molecular biology.

76

77 **Survey Methodology**

78 The authors of this paper are familiar with entomological mass-sampling techniques, specimen
79 preservation and storage for genomic work, massively parallel sequencing and post-sequencing
80 bioinformatic tools. We discussed the relevant literature on these topics during a two-day
81 workshop titled “Insect diversity and evolution on the era of genomics”, held on the 27th and 28th
82 February 2017 in Gothenburg, Sweden. During this meeting, we reviewed published literature
83 related to biodiversity and evolutionary research using insects, including but not limited to
84 methods, reviews and original articles. In order to unveil the number of publications using
85 insects and high-throughput sequencing over years, the most popular sequencing platforms and
86 library preparations, we ensured an unbiased procedure by searching the literature stored in the
87 Web of Science™ Core Collection on 22nd November, 2018. We used 12 combinations of the
88 keywords: “insect” + “biodiversity”/“museum”/“metabarcoding”/“phylogenom*” + “next

89 generation sequencing”/“high throughput sequencing”/“single molecule sequencing”. We
90 searched for publications from 2006, the year of release of the first truly high-throughput
91 sequencing platform (Goodwin, McPherson & McCombie, 2016), to November 2018. We
92 retrieved a total of 118 publications (File S1) and we filtered this list by type of article (original
93 article, review, others). In addition, based on our expertise, we added to this list 18 relevant
94 original articles that were not retrieved in our search using Web of Science. In total, we selected
95 91 original articles that generated sequence data by massively parallel sequencing for discussion
96 below (File S2). We acknowledge that this is not a complete list of studies on this topic, but we
97 consider them to be representative for the work being conducted in the last years.

98

99

100 **Ten Simple Steps to Study Biodiversity through Insect Genomics**

101 We structure this article in 10 simple rules (Fig. 1), formulated in a way that we hope will be
102 accessible for readers who may not yet be familiar with entomological or massively parallel
103 sequencing approaches. Based on these recommendations, we hope that readers will eventually
104 be capable of 1) better interpret the results and conclusions coming from published insect
105 biodiversity research, and 2) start planning a multi-dimensional study of biodiversity using
106 insects as target group and high-throughput sequencing. Overall, we briefly review the current
107 state in biodiversity and evolutionary research through the study of insect diversity. We identify
108 a series of limitations and challenges currently faced by these studies, but we also find hopeful
109 approaches to study biodiversity patterns through the perspective of insects.

110

111 *Rule 1: Define the questions and scope of the study*

112 Producing genomic data is no longer a major challenge for many research groups. Instead, many
113 researchers seem to be producing large amounts of data, without always having a clear idea of
114 how to properly use them afterwards. Although it may seem obvious, we consider important to
115 stress that careful thinking and planning is required to define the research questions and
116 hypothesis of any study, and how to best address them. This is particularly important when
117 dealing with a data-rich, novel technology such as massively parallel DNA sequencing. A few
118 projects might be totally discovery-driven with no prior expectations, but in general it is
119 preferable to clearly define the hypotheses to be tested *a priori*, and how. This will then inform
120 on the whole chain of methods and analyses. There is no ‘one size fits all’ methodology when it
121 comes to biodiversity and evolutionary studies.

122 With massively parallel DNA sequencing, the study of evolutionary relations can be
123 complemented with fast quantification of diversity and abundances. It also facilitates research on
124 species interactions such as studies on ecological networks through metabarcoding (Toju, 2015),
125 and in environmental samples (Shokralla et al., 2012) or even from the ethanol used for
126 preservation of historical specimens (Linard et al., 2016). However, economical limitations exist
127 regarding the number of specimens and the extent of their genomes that can be sequenced in a
128 typical project (Wachi, Matsubayashi & Maeto, 2018). Therefore, researchers should choose
129 from a series of available sequencing approaches that best suits their research questions (see Rule
130 7). For example, if the focus is on finding potential loci involved in adaptation and speciation, a
131 reduced representation of the genomes might be cost-efficient because several individuals from
132 different populations could be pooled in one sequencing experiment. If the aim is instead to

133 profile many organisms within insect communities, DNA metabarcoding may provide a fast
134 quantification of diversity and relative abundances in relation to total biomass.

135

136 *Rule 2: Set up your collaborations strategically*

137 A major challenge in the study of evolution from populations to species is the lack of non-
138 genomic data, including taxonomic, paleontological, and ecological information. Despite the
139 abundance of genomic information that can nowadays be generated, major challenges remain to
140 1) increase field expeditions in search of the unknown diversity, 2) incorporate fossil data in
141 phylogenies based on molecular data, and 3) study the phenotypes and life history data in
142 specimen collections. Naturally, the most efficient direction to integrate such different
143 perspectives is to establish and strengthen a collaborative network. For example, working along
144 with paleontologists might bring a temporal perspective in the study of evolution and
145 biodiversity dynamics (Marshall, 2017). Collaborating closely with ecologists would strengthen
146 the study of adaptation and the mechanisms of speciation. A comprehensive knowledge of life
147 history data, insect ecologies, or common garden experiments are ideal to tease apart adaptive
148 from non-adaptive variation. Moreover, Natural History Museums (NHMs) are the repositories
149 of our natural world and include not only archived specimens but also valuable historical,
150 demographic, life-history, and genetic data that can add additional dimensions to evolutionary
151 research (Burrell, Disotell & Bergey, 2015; Buerki & Baker, 2016). For example, population
152 range expansion in historical times (Ryan et al., 2018), host-parasite interaction changes after
153 human disturbances (Gottdenker et al., 2016), or the effect of current climate change on the

154 structure of populations (Basset et al., 2015), are topics that could be directly benefited by
155 incorporating the information from NHM collection records (Burrell, Disotell & Bergey, 2015).
156 Collaborative networks are also very important to be more efficient at planning budgets and to
157 set the standards for whole-genome sequencing. For example, the Vertebrate Genomes Project
158 (<https://vertebrategenomesproject.org/>) is a large collaborative network with the aim to sequence
159 and annotate high-quality genome sequences of all 66,000 extant vertebrate species. Although
160 such large collaborative networks are yet missing for the insect research community, large
161 projects focusing on insect diversity and evolution have been successful at disentangling
162 phylogenetic relationships (e.g., the 1KITE project; <http://www.1kite.org/>) and for the
163 coordination of efforts for whole genome sequencing among research groups (e.g., Sadd et al.,
164 2015).

165

166 *Rule 3: Go to the field*

167 We are worried that the rapid increase of genetic data in public databases might discourage
168 students and researchers from generating novel data. Instead, we argue that field work is
169 absolutely essential to the advancement of our field, and should be part of every biologist's
170 education as well as part of the routine of more senior researchers. Fieldwork will also benefit
171 museum collections, and vice-versa: museum collections –through genetic and morphological
172 studies based on specimens– will benefit fieldwork. Of course, there might be lines of research
173 that do not demand fieldwork, but even taxonomists, method developers, and researchers in other
174 disciplines may profit from the experience of regularly studying and responsibly collecting
175 specimens or samples in nature. Extensive field surveys are often required to obtain a

176 representative inventory of insect assemblages at both local and regional scales; but such surveys
177 represent only a minority of all entomological field studies. This is problematic given the high
178 species richness and varying abundance, habits and seasonality of insects, including parasitoids,
179 predators, scavengers, leaf-chewers, sap-suckers, among others (Stork, 2018). A careful selection
180 of field sampling methods, along with proper understanding of their function and targeted
181 groups, is thus critical (Noyes, 1989) (see Table 1 for an overview of main mass-sampling
182 methods and Fig. 2).

183 For some cases, such as in biodiversity assessments, it may be enough to conduct simple and
184 rapid field surveys. However, in other cases, such as in exhaustive inventories or when studying
185 diversity dynamics through time and space, greater mass-sampling efforts may be needed. Such
186 campaigns require a combination of multiple methods, longer term inventories and wide
187 expertise, together with effective ways to estimate true species richness based on collected
188 samples (Vogel, 2017). For example, in a recent tropical large-scale species inventory, Borkent
189 & Brown (2015) investigated local species richness of cloud forest Diptera (true flies) for more
190 than one year by using two Malaise traps and a wide range of supplementary collecting methods.
191 In addition to these, a one-week intensive “Diptera-Blitz” was conducted by a large network of
192 experts, inspired on the BioBlitz concept (e.g., Lundmark, 2003) which aims at recording most
193 of biodiversity at one locality during a short time period. In another case study, Gómez et al.
194 (2018) sampled the Western Amazonian local parasitoid wasp diversity by using 41 Malaise
195 traps in three separate field campaigns and seasons, with a total sampling effort of 230 Malaise-
196 trap months scattered throughout 1998 to 2011 (one Malaise-trap month corresponds to one trap
197 collecting in the field for a period of one month). In this case, despite the massive sampling
198 effort, cumulative species curves suggested that a significant portion of the local parasitoid

199 diversity remained unobserved; a fact that can be generalized for many other tropical insect
200 groups. Reviews of entomological collection methods for both mass-sampling and group-specific
201 research are available in the literature and are essential reading before field collections (e.g.,
202 Agosti et al., 2000; Basset et al., 2003; Lamarre et al., 2012; Larsen, 2016).

203 Needless to say, be a sensible collector! Many insects are rare and threatened, so every collecting
204 effort should be associated with a risk assessment, even informally if not required by law. There
205 are also many federal and international regulations to follow, such as those stipulated under the
206 Nagoya Protocol under the Convention on Biological Diversity (<https://www.cbd.int/abs/about/>)
207 and the CITES legislation (<https://www.cites.org/>). In addition, researchers should follow all
208 good practices for Access and Benefit Sharing (e.g.,
209 <https://naturwissenschaften.ch/organisations/biodiversity/abs/goodpractice>), and deposit their
210 specimens in public NHMs.

211

212 *Rule 4: Treat your specimens well to enhance their use*

213 The amount and quality of isolated genomic DNA from insect collections depend on a myriad of
214 factors, including killing reagents, method of preservation of specimens in the field, and final
215 voucher storage conditions (Kanda et al., 2015; Short, Dikow & Moreau, 2018). For example,
216 Dillon et al., (1996) (see also Reiss, Schwert & Ashworth, 1995; Gilbert et al., 2007b) found that
217 specimens killed with ethanol yielded significantly higher quantities of high quality DNA
218 compared to other killing/preservation agents such as ethyl acetate vapor, formalin or ethylene
219 glycol. Moreover, rapid and effective drying of the specimens in the field, especially in the
220 tropics, are important for voucher preservation and may be an alternative to freezing-based

221 preservation (Prendini, Hanner & DeSalle, 2002); cryopreservation is the formal name for the
222 technique that uses very low temperatures to preserve tissues and specimens. Initiatives to
223 establish large cryobanks are important (Koebler, 2013), although these technologies are
224 currently limited to very few large and well-funded NHMs (Corthals & Desalle, 2005).
225 Preservation of specimens in ethanol and at low temperatures is ideal, but may cause logistic
226 problems during transportation and would make the collections highly flammable. Propylene
227 glycol may be a safer alternative and logistically easier to transport than ethanol (Ferro & Park,
228 2013), and it might even be used to attract certain arthropod species (Höfer et al., 2015). The use
229 of ethylene glycol may provide reasonable amounts of DNA regardless of specimen age, and
230 with lesser risks in the field (Dillon, Austin & Bartowsky, 1996).

231 The age of specimens seems not to be a critical factor for obtaining DNA for massively parallel
232 sequencing (e.g., as in snakes archived in museum collections, (Ruane & Austin, 2017); see also
233 Table 2 for an overview of published studies using archived insects). DNA fragmentation
234 increases with time, while the median fragment sizes decrease, but these changes do not happen
235 linearly over time (Sawyer et al., 2012). Rather than age, preservation and storage methods are in
236 fact better predictors of DNA quality isolated from old specimens (Burrell, Disotell & Bergey,
237 2015). Evidently, due to the fragmented nature of ancient DNA, PCR-based techniques are
238 overall not successful to recover genetic data. Fortunately, evidence suggests that fragmented
239 DNA due to age or preservation reagents does not dramatically affect the performance of PCR-
240 free, massively parallel sequencing (e.g., Tin, Economo & Mikheyev, 2014; Timmermans et al.,
241 2016; Carøe et al., 2018).

242 Despite the advantages of using massively parallel DNA sequencing over Sanger when dealing
243 with old specimens, the success of current sequencing approaches still depends in some cases on

244 the quality of isolated DNA, such as in RAD-seq and single-molecule sequencing. For these
245 reasons, minimal specimen damage in the field and during storage is always strongly advisable.

246

247 *Rule 5: Work closely with taxonomists*

248 The tasks of taxonomists, including the identification, description, and classification of species in
249 meaningful groupings, are unfortunately sometimes neglected. The high diversity and density of
250 insects, coupled with laborious taxonomic assessment and lack of resources for taxonomists,
251 makes the morphological identification of every specimen sampled by mass-collecting
252 techniques a difficult and high resource-consuming task. The so-called “taxonomic impediment”
253 (di Castri, Vernhes & Younes, 1992) encompasses two general difficulties: 1) not enough
254 resources and training are allocated to taxonomic work and 2) few people are working in
255 taxonomy thus slowing down the rate of species discovery, identification, and classification
256 (Wheeler, Raven & Wilson, 2004; de Carvalho et al., 2007; Ebach, Valdecasas & Wheeler, 2011;
257 Audisio, 2017).

258 We may be in the midst of a revolution in taxonomy to cope with recent technological advances
259 (Dubois, 2011; Ceríaco et al., 2016; Garnett & Christidis, 2017; Raposo et al., 2017; Thorpe,
260 2017). At the same time, it is also clear that in the meantime, entomological research must use
261 complementary approaches to reliably estimate diversity through time and among localities.
262 Therefore, taxonomists should be part of any biodiversity studies using insect genomics, and the
263 DNA sequences generated by such studies should be seen as a necessary supplement to the
264 traditional work of taxonomists.

265

266 *Rule 6: Isolate DNA in the right way*

267 Most recent studies using massively parallel DNA sequencing, even those on ancient insects,
268 have used commercial kits for DNA isolation, thus reducing time, complexity, and health risks in
269 laboratory procedures (Staats et al., 2013; Heintzman et al., 2014; Kanda et al., 2015; Blaimer et
270 al., 2016; Pitteloud et al., 2017). However, in-house methods might be more effective than
271 commercial kits when working with old samples having little and low-quality DNA (e.g., see
272 laboratory protocols in (Gilbert et al., 2007c; Meyer et al., 2016). Whenever possible, non-
273 destructive protocols for DNA isolation are preferable when working with valuable, archived
274 specimens or with bulk samples such as those coming from insect mass-collecting techniques
275 that later need to be taxonomically curated. However, there is surprisingly little data available
276 comparing the efficiency of destructive vs. non-destructive protocols applied to insects (but see
277 Gilbert et al., 2007a; Nieman et al., 2015). A number of non-destructive DNA isolation protocols
278 have been proposed (e.g., Thomsen et al., 2009; Castalanelli et al., 2010; Tin, Economo &
279 Mikheyev, 2014), but in general they vary depending on the targeted insect group. For example,
280 insects whose external structure are not delicate, including Diptera, Hymenoptera and
281 Coleoptera, tend to be more resistant to submergence of whole specimen in extraction buffers,
282 giving higher DNA yields (Heintzman et al., 2014; Tin, Economo & Mikheyev, 2014). In other
283 more delicate groups such as Lepidoptera, the use of abdomens is advisable, given that in many
284 cases the abdomens need to be removed from the individual for genitalia preparation (Knölke et
285 al., 2005). In other insect groups that hold sufficient starting material for DNA isolation in
286 particular tissues, such as muscles in the massive legs of Orthoptera (grasshoppers, locusts,
287 crickets) and large beetles, grinding one leg might not be a significant loss to the collection
288 (Tagliavia et al., 2011).

289 Many curators at NHMs may be reluctant to provide specimens for molecular studies, with valid
290 reasons, since most species might consist of singletons or very rare collections (Lim, Balke &
291 Meier, 2012). The design of selective sampling, minimizing the damage of collections, is
292 therefore crucial. As a side note, there has not been any discussion in the literature about the
293 suitability for massively parallel sequencing using the hundreds of thousands, or perhaps
294 millions, DNA aliquots generated in the past three decades for Sanger-sequencing work. In
295 principle, old DNA aliquots of low quantities and potentially fragmented may face the same
296 constraints of using archived specimens from NHMs or other collections, and might thus be
297 processed using laboratory protocols designed for old specimens (e.g., library preparation,
298 sequencing approach) (Tin, Economo & Mikheyev, 2014; Kanda et al., 2015; Suchan et al.,
299 2016; Timmermans et al., 2016).

300 Highly-degraded DNA material, such as those coming from museum specimens, might not be
301 suitable for single-molecule DNA sequencing or by certain short-read sequencing protocols such
302 as RADseq. High molecular weight is only ensured from fresh specimens that have been stored
303 at low temperatures. Moreover, in single-molecule sequencing technologies such as PacBio® (see
304 Rule 7), the required DNA quantity may demand the use of more than one individual when
305 insects are tiny (Pacific Biosciences, 2018). Additionally, dissections of insects prior to genomic
306 DNA isolation might be necessary in single-molecule DNA sequencing, in order to avoid
307 inadvertently sequencing the DNA of symbionts, or when the focus of the study is on a particular
308 insect microbiome (e.g., the gut microbiota).

309

310 *Rule 7: Revise your DNA sequencing approach*

311 At this point, you should already have decided which sequencing approach will be best suitable
312 to address your research question(s), but now you should carefully evaluate the quality of DNA
313 that you *de facto* were able to obtain, and decide on which sequencing approach to really follow.

314 Reviews on massively parallel DNA sequencing approaches can be found in the literature
315 (Mamanova et al., 2010; Metzker, 2010; Mardis, 2017). Below, we categorize and briefly
316 describe available massively parallel DNA sequencing technologies of potential interest for
317 entomological biodiversity research (see Table 3 for a summary of such methods and key
318 publications). The current leading short-read DNA sequencing technology is from Illumina, Inc.:
319 approximately 68% of the studies we were able to find that used high-throughput sequencing on
320 insects were conducted using this platform (Fig. 3A). We have grouped the main approaches
321 used in the study of entomological biodiversity into three categories (Table 3): 1) targeted-
322 sequencing, 2) non-targeted, reduced-representation of whole genome, and 3) whole-genome
323 skimming. In addition, emerging single-molecule DNA sequencing technologies, such as those
324 developed by Oxford Nanopore Technologies Ltd. and PacBio (Pacific Biosciences of
325 California, Inc.), can accelerate the amount of DNA data recovery in real time (Thompson &
326 Milos, 2011). We consider these technologies as promising, despite the fact that they have only
327 been recently implemented for the study of insect diversity (e.g., in the genome assembly of a
328 firefly, Coleoptera, (Fu et al., 2017)). Below we provide a summary of these techniques.

329 Targeted Sequencing: This is a highly-efficient approach when the aim is to recover DNA
330 markers with a particular rate of evolution (fast and slow) or under different selective pressures
331 (Lemmon & Lemmon, 2013). Moreover, because it targets only a tiny subset of the whole
332 genome, targeted sequencing is cost-effective as tens or hundreds of specimens can be pooled
333 together in a single sequencing experiment (Mamanova et al., 2010). In fact, about 65% of

334 published studies focusing on insects or their symbionts have used some form of targeted
335 sequencing (Fig. 3B). Targeted sequencing is particularly useful when working with
336 environmental samples, such as those coming from mass-sampling techniques (Morinière et al.,
337 2016). For example, metabarcoding, an approach that targets a barcoding region such as the COI
338 mitochondrial gene, can be useful in the study of evolution among environments and in
339 biodiversity assessments. This is because metabarcoding might be more reliable, faster and
340 replicable than traditional biodiversity surveys (Ji et al., 2013; Zhou et al., 2013; Vesterinen et
341 al., 2016), although they should rather be seen as complimentary (Ritter et al., 2019).

342 There are two usual ways to target particular loci: 1) through PCR or 2) by using “baits”-based
343 in-vitro capture. PCR has the advantage of being cheap but the development of universal primers
344 is the main limitation because sequence specificity to desired loci decreases through mutation
345 and long divergence times among lineages. Nevertheless, PCR-based amplicon sequencing is so
346 far the main method used in published studies with a focus on insects or their symbionts (ca.
347 60% of reviewed studies; Fig. 3B). On the other hand, target capture using hybridizing baits
348 instead of PCR can be expensive (baits need to be specially synthesized) but has the advantages
349 of 1) simplify laboratory procedures (one can pool several specimens for the capture
350 experiment), 2) target a wider range of lineages despite evolutionary distance among them, 3)
351 reduce amplification biases due to PCR primer design and relative abundance of DNA molecules
352 in a pool of specimens, and 4) it might still work with highly fragmented DNA such as those
353 coming from archived specimens at NHMs.

354 Prior genomic information, either published annotated genomes or transcriptomes, is needed in
355 order to design target-enrichment probes, which are the hybridizing baits that pull out the
356 targeted loci for sequencing. Probe kits targeting conserved regions primarily for phylogenomic

357 purposes have been published for those insect orders having good genomic reference databases
358 (Faircloth et al., 2015; Faircloth, 2016a; Young et al., 2016; Breinholt et al., 2018). Recent
359 attempts to integrate baits-based capture into metabarcoding have had different degrees of
360 success, such as the sequencing of non-target organisms or pseudogenes on the negative side
361 (Shokralla et al., 2016), or the recovery of sequences of very rare species in a pool of samples
362 and the quantification of relative abundance and biomass on the positive side (Dowle et al.,
363 2016).

364 Random reduced-representation of genome: Restriction-site-associated DNA (RAD) sequencing
365 has proven to be a cost-efficient approach to generate millions of single nucleotide
366 polymorphisms (SNPs), both neutral and under selection (Andrews et al., 2016). However, there
367 are two possible caveats.

368 Firstly, restriction enzyme sites may not be evolutionarily conserved. Thus, RAD-seq seems to
369 be restricted to populations or closely-related species. However, a recent protocol targeting
370 RAD-seq markers (hyRAD) may ameliorate the lack of phylogenetic conservation of restriction
371 enzyme sites across divergent lineages (Suchan et al., 2016).

372 Secondly, the amount and quality of DNA might impose a limitation to RAD-seq. For example,
373 Tin, Economo & Mikheyev (2014), using ant specimens as old as 100 years, were able to recover
374 SNPs, but were unsuccessful at genome mapping due to the extremely short DNA fragments and
375 imprecise DNA size selection. Long DNA fragments are needed for an efficient restriction
376 enzyme activity. An alternative reduced-representation method called MIG-seq (Suyama &
377 Matsuki, 2015) might work with moderately fragmented DNA, because it is based on PCR
378 without restriction enzyme digestion steps.

379 Whole-genome skimming: This is the simplest approach in terms of sequence library
380 preparation. It consists of randomly, shallowly sequencing the whole-genome of an individual,
381 including both mitochondrial and nuclear content. Furthermore, when working with historical
382 specimens with highly fragmented DNA, one can skip the step of fragmentation (usually through
383 sonication) during library preparation (Suchan et al., 2016; Timmermans et al., 2016). Whole-
384 genome skimming has been applied in a number of insect studies, proving that the method is fast
385 and can recover entire mitochondrial genomes from even old museum material (Staats et al.,
386 2013), and low-copy nuclear protein-coding genes (Maddison & Cooper, 2014; Kanda et al.,
387 2015).

388 With the expected decrease in sequencing prices, target sequencing approaches may no longer be
389 a cost-effective choice in the future. For instance, recent studies have identified the benefits of
390 mitochondrial metagenomics (MMG). This technique produces longer barcodes with larger
391 numbers of SNPs, because it uses mitogenomes instead of only the COI fragment, and PCR-free
392 library preparation (e.g., Crampton-Platt et al., 2015). This in turn allows the use of highly-
393 fragmented DNA from old specimens, and permits a more reliable quantification of relative
394 abundance (biomass) in mass-sampling collections (Crampton-Platt et al., 2015, 2016;
395 Cicconardi et al., 2017; Gómez-Rodríguez et al., 2017). However, it has been noted that having a
396 reference genome is important to improve mapping and discovery of homologous SNPs in the
397 nuclear genome (Tin, Economo & Mikheyev, 2014), which may yet restrict the use of whole-
398 genome skimming and the recovery of nuclear data in insect groups with poor genomic
399 information.

400 Single-molecule sequencing approaches such as those developed by PacBio® and Oxford
401 Nanopore Technologies, Ltd. The portability of some devices (e.g., Oxford Nanopore

402 MinION™) that can generate DNA sequences in real-time and in virtually any place in the world
403 is a main advantage of these technologies. Indeed, DNA sequencing has already been performed
404 in remote field locations, dealing with for example vertebrates (Menegon et al., 2017) and plants
405 (e.g., Parker et al., 2017). The use of MinION™ in DNA barcoding in insects has proven to be
406 fast (ca. 2 hours), cheap (<USD 2 per sample) and reliable when correction pipelines are used to
407 overcome the yet high basecall error rates (> 10%) (Mardis, 2017; Shendure et al., 2017;
408 Srivathsan et al., 2018).

409 Taxonomic biases in bulk material coming from mass-sampling techniques have been reported
410 when working with rDNA amplicons, perhaps associated with the different fragment lengths
411 across insect orders (Krehenwinkel et al., 2018). On the other hand, laboratory protocols are
412 simplified and DNA amplification is not necessary in single-molecule sequencing, which is
413 beneficial for a more accurate quantification of DNA molecules present in the sample pool
414 (Thompson & Milos, 2011). Single-molecule sequencing also promises to drastically reduce
415 costs, meaning that the time when having complete genome sequences for any living insect
416 might be even closer than previously thought (Kelley et al., 2014). Finally, the long reads that
417 single-molecule sequencing approaches generate might help resolve long repeat elements in the
418 genome, thus providing invaluable scaffold for short reads to improve accuracy in assembly and
419 annotation of insect genomes (e.g., see Richards & Murali, 2015).

420 The quality of reference genomes and chromosome-scale scaffolds can be improved by
421 combining long-range and short-read sequencing technologies. For example, PacBio and
422 Nanopore sequencing can overcome repetitive elements by sequencing long DNA fragments,
423 while more accurate short-read sequencing technologies like Illumina can sort out the high error
424 rate of long-range sequencing platforms. For instance, this approach has led to 200-fold increases

425 in contig assembly length and the filling of many gaps in genomes left by short-read approaches
426 only (for example, in avian genome assemblies, Korlach et al., 2017).

427

428 *Rule 8: Choose the most suitable tools for data analyses*

429 Although genomic sequencing is becoming easier and more affordable, processing the data
430 generated remains a major bottleneck in many projects. Bioinformatic pipelines have been
431 implemented during the past two decades of massively parallel sequencing, thus researchers
432 nowadays count with standard procedures to analyze genomic DNA. For example, packages for
433 cleaning and assembling reads exist for bait-based targeted sequencing, such as PHYLUCE
434 (Faircloth, 2016b) and SECAPR (Andermann et al., 2018), as well as for RADseq analysis, such
435 as Stacks (Rochette & Catchen, 2017). However, there remain limitations and challenges. For
436 example, missing data in supermatrices for phylogenomic studies might hinder statistical power
437 in the inference of species relationships, but their effects in systematic biases are yet unclear
438 (Misof et al., 2014b,a). Moreover, taxonomic sampling in phylogenomics is usually lower than in
439 published Sanger-sequencing work, which may bias systematic inference in insect higher-level
440 phylogenies (Behura, 2015). In general, phylogenomic dataset sizes increase as sequencing costs
441 per base pair decreases over time (Bravo et al., 2018).

442 A number of pipelines have been published for analyzing amplicon-based, target-sequencing
443 data from environmental samples (Schloss et al., 2009; Caporaso et al., 2010; Boyer et al., 2016).
444 Such programs provide a delimitation of Operational Taxonomic Units (OTUs), the analogs of
445 species, derived from sequence similarity of typically 97 %. However, assigning thresholds to
446 define analogs of species is problematic because 1) there is a risk to artificially increase or

447 decrease local diversity as compared to morphology-based taxonomic assessments, 2) inflated
448 OTU richness might be related to sequence chimeras and sequencing errors (but see recent
449 methods to alleviate this; e.g., Frøslev et al., 2017), and 3) there is a lack of standardization of
450 threshold values in the literature, reducing the comparability potential of results across studies
451 (Huse et al., 2010; Oliver et al., 2015; Alberdi et al., 2018). The shortcomings of using
452 thresholds to define OTUs might even escalate when studying the entomofauna of hyperdiverse
453 regions such as the tropics. In those cases, there are usually no good estimates of genetic
454 variability between species and a large portion of tropical insects are not represented in reference
455 databases. In any case, the preservation and morphological study of vouchers are critical to
456 validate taxonomic assignments and thresholds.

457 Mitochondrial metagenomics could in principle improve OTU assignments and species
458 delimitation because contigs span different barcode regions (COI, ND2, 16S rDNA) (Tedersoo et
459 al., 2015; Liu et al., 2016; Srivathsan et al., 2016) and risks of primer-related biases are
460 ameliorated (Taberlet et al., 2012; Tang et al., 2014). Whilst approaches such as log-binomial
461 normalizations (through DeSeq2 and CSS) have attempted to normalize metabarcoding data
462 (McMurdie & Holmes, 2014), results via PCR-based approaches remain semi-quantitative at best
463 (Pawluczyk et al., 2015). However, metagenomic studies of insects have generally been limited
464 only to their microbiomes (Cox-Foster et al., 2007; Suen et al., 2010; Shi et al., 2013). It is
465 difficult to assess the convenience of metagenomics in more complex environmental insect
466 samples because 1) *de novo* assembly of mixed mitogenomes remains challenging due to the
467 scarcity of reference mitogenomes, and 2) as the number of individuals in a pool increases,
468 sequencing depth needs to be significantly increased in order to get large enough k-mers/contigs

469 to partition different mitogenomes. (but see some exceptions in Crampton-Platt et al., 2015,
470 2016; Cicconardi et al., 2017; Gómez-Rodríguez et al., 2017).

471

472 *Rule 9: Make your data and results publicly available*

473 From a practical viewpoint, what is not in a database does not exist (or nearly so). Databases are
474 not only the repositories of genomic information, but also an indispensable tool in the study of
475 biodiversity and evolution. They also allow the reproduction of results and use for other purposes
476 such as in biodiversity assessments. Biodiversity and evolutionary studies might benefit from the
477 hundreds of insect genome projects already published and registered in GenBank (Yeates et al.,
478 2016) and InsectBase (Yin et al., 2016). In the study of species interactions, such as in host-
479 parasite and feeding habits, a reference database is important because in many cases the
480 identification of taxa through morphological comparison becomes impossible. Examples include
481 the study of internal parasites (Schoonvaere et al., 2016), gut microbiota (Hammer et al., 2017),
482 and highly-degraded organic material as in dietary content (Pompanon et al., 2012).

483 Initiatives such as BOLD (Ratnasingham & Hebert, 2007) and the widespread usage of the COI
484 barcode will certainly contribute to the assignments of OTU thresholds when studying tropical
485 communities (García-Robledo et al., 2013). However, building local databases that include
486 several markers would complement metabarcoding studies in the identification and delimitation
487 of species (Deagle et al., 2014). Several national reference databases have been implemented or
488 are underway, such as the newly initiated DNAMark project in Denmark
489 (<https://dnamark.ku.dk/>). That initiative aims to provide a reference database for 1,000 species
490 with full mitochondrial sequences, along with nuclear sequences derived from shotgun

491 sequencing. Other initiatives to catalogue national biodiversity have also been put forward in
492 Germany (Hendrich et al., 2015), Norway (NorBOL, <http://www.norbol.org/>) and Finland
493 (FinBOL; <http://www.finbol.org/>), which together are further expanding the BOLD project
494 worldwide.

495

496 *Rule 10: Disseminate your findings*

497 Research articles are the standard way to communicate results to the scientific community.
498 However, misinterpretations of scientific findings can be common in the literature aimed for the
499 general public and decision-makers. Thus, public outreach should be explicitly considered as part
500 of project design. Moreover, because scientific research is a collaborative enterprise (see Rule 2),
501 it is important to discuss and reach a consensus with collaborators before spreading findings to
502 the general public. This is particularly important given the recent misunderstandings on
503 biodiversity research that have been reported, and the urge to include both factual evidence and
504 ethical arguments in communications to the general public (Antonelli & Perrigo, 2018).

505 Given that diversity estimates can fluctuate significantly depending on the way data are produced
506 and analyzed (e.g., as in metabarcoding; Frøslev et al., 2017; Alberdi et al., 2018) special care
507 should be taken when presenting these findings. In general, we advocate for approaches that do
508 not artificially inflate diversity estimates. Furthermore, the access of scientific knowledge and
509 data by governmental bodies is still restricted, especially in low and lower-middle income
510 countries. Biodiversity is a cornerstone in Environmental Impact Assessments, but animal groups
511 such as insects remain underrepresented in biodiversity assessments in species-rich countries
512 (Ritter et al., 2017).

513

514 Perspectives and Conclusions

515 In this article we have identified general challenges, including: 1) *insufficient evaluation of non-*
516 *destructive methods applied to insects*, in order to generate DNA of high quantity and quality
517 from fresh, mass-collections and archived specimens, 2) *limitations to genomic data analyses*,
518 including missing genomic information from datasets and methods for estimating diversity and
519 abundance in environmental samples, and 3) *limited taxonomic, ecological, and life history*
520 *knowledge*, which is not being produced at the same pace as genomic data.

521 Insects are ideal study organisms because they show remarkable diversity in species number and
522 ecology, being the dominant eukaryotic group in most terrestrial and freshwater environments.

523 The integration of ecology and evolution is achievable with the new massively parallel
524 sequencing approaches, which offer the possibility to generate datasets that can be used in the
525 study of biodiversity at different spatiotemporal scales. For example, the evolutionary framework
526 of local insect communities can now be inferred in a single sequencing effort (Crampton-Platt et
527 al., 2015), while the study of populations and speciation using massively parallel sequencing can
528 be better understood with a comprehensive knowledge of local variations (Jiggins, 2016).

529 Altogether, we expect that the increase of molecular data together with more taxonomic and
530 ecological studies will allow a better understanding of biodiversity and evolution.

531

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538

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1105 PMM, CDR and AA conceived and led the workshop; all authors participated in the workshop
1106 titled "Biodiversity research through the study of insect genomics" organized by the Gothenburg
1107 Global Biodiversity Centre in Gothenburg, Sweden; all authors contributed with discussion and
1108 ideas for the paper; PMM, CDR and AA organized the structure of the article, PMM wrote the
1109 first draft of the article, and all authors contributed to and approved the final version of the
1110 article.

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1112 **Conflict of Interests**

1113 The authors declare no conflict of interests.

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1115 **Tables**

1116 **Table 1. Representative description of methods for mass sampling of insects and their**
1117 **application for NGS.** Note that this is not a comprehensive list and is only aimed at providing
1118 an overview of available possibilities of widespread use. In Costs (equipments and consumables
1119 per sampling effort), we roughly categorized them as Low (approx. < US \$50), Medium (approx.
1120 US \$50 – \$100), High (approx. > US \$100).

1121 **Table 2: Overview of massively parallel DNA sequencing methods applied to insect**
1122 **museum specimens.** This is a selection of studies covering a variety of taxonomic groups,
1123 sampling strategies and sequencing approaches.

1124 **Table 3: Examples of massively parallel DNA sequencing methods applied to insects.** These
1125 studies were among the first that used high-throughput methods to investigate insect diversity. A
1126 more comprehensive list of published studies is presented in File S2.

1127

1128 **Figures**

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1130 **Figure 1: Flowchart illustrating the 10 rules proposed here to study biodiversity through**
1131 **insect genomics.**

1132

1133 **Figure 2: Entomological mass-sampling techniques. The photos depict some of the most**
1134 **popular sampling methods outlined in Table 1. (A) Van Someren-Rydon trap, which**

1135 **targets fruit-feeding butterflies. (B) Pitfall trap, which is used to collect forest floor**
1136 **insects—Inset photograph within the red frame depicts the content of pitfall trap. (C)**
1137 **Winkler, an insect collecting device for species inhabiting the leaf litter and soil. (D)**
1138 **Malaise trap, which targets strong-flying insects. (E) The content deposited in the collecting**
1139 **vessel of a Malaise trap. (F) Flight interception, which collects insects flying into the**
1140 **barrier. Photo credits: A: Phil DeVries; B: Martin Nielsen; C: Matthias Seidel; D: Martin**
1141 **Nielsen; E: Daniel Marquina; and F: Emmanuel Arriaga-Varela.**

1142

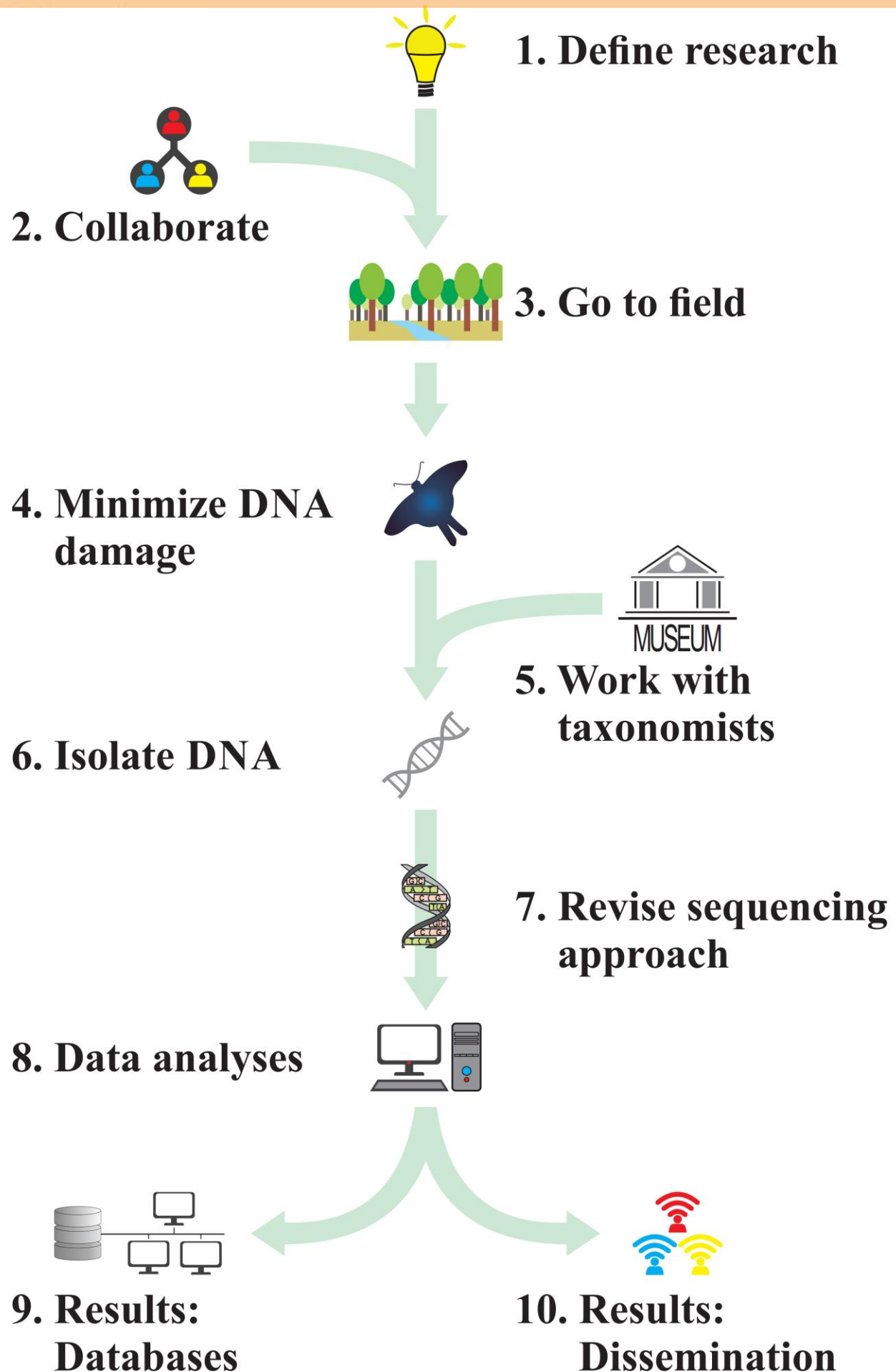
1143 **Figure 3: Overview of published studies focusing on insect diversity and evolution using**
1144 **massively parallel sequencing. (A) The main sequencing platforms (SM stands for single-**
1145 **molecule, including those from PacBio and Oxford Nanopore technologies). (B) The main**
1146 **library preparation methods used for high-throughput sequencing (WG stands for whole-**
1147 **genome sequencing). (C) Number of publications by year (**our search was conducted on**
1148 **22nd November 2018). (D) Cumulative publications over time (number of publications in**
1149 **logarithmic scale). In general, about 68% of the studies we were able to find (Supplemental**
1150 **File S2) were conducted in Illumina platform, whereas about 65% of all studies have used**
1151 **some form of targeted sequencing.**

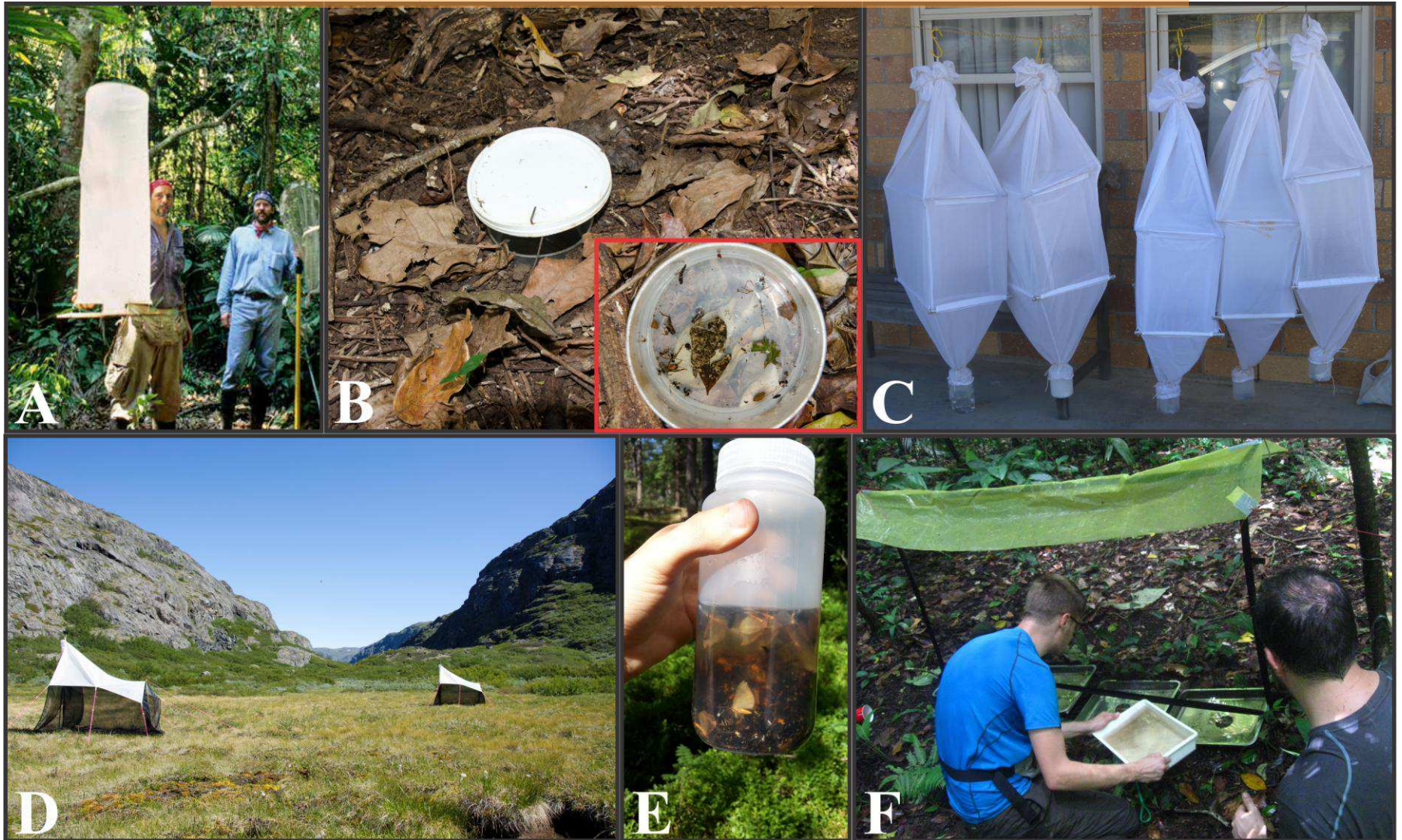
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Method	Example	Taxa targeted	Equipment costs	Suitability for genomic research	Sampling effort	Limitations
Trap-sampling	<i>Van Someren-Rydon</i>	Fruit-feeding butterflies, from forest floor to canopy	Low; negligible if self-built	Yes; no killing reagent; baits such as fermenting fruit, faeces, rotting meat	Minimum: 5 traps in forest, 10 traps in open areas; Collection: once or twice per day; Personnel: 2 people, collection and record; Complement: opportunistic hand collection	Need for long-term data due to different butterfly communities throughout the year; Other feeding guilds are missing, such as nectar-feeding
Trap-sampling	<i>Pitfall</i>	Forest floor insects such as dung beetles, flies, ants	Low; negligible if self-built	Depending on killing reagent; best results if done with detergent and water, propylen glycol; baits such as human dung	Minimum: 20 traps per day; linear transect; Collection: at least once per day; Personnel: 1 person; Complement with flight intercept traps	Lot of ethanol must be replaced every week to prevent DNA decay
Leaf-litter collector	<i>Mini-Winkler</i>	Leaf-litter and soil insects, such as ants, beetles	Medium	Yes; 95% EtOH most commonly used as killing reagent	Minimum: 20 collectors, each with 1 m ² leaf litter; Collection: once, if extraction is run in parallel; Personnel: 2 people recommended; Complement with bait-traps and hand collecting	Limited to forested areas, and not suitable during peak of dry or rain season; No sampling of vegetation-associated, canopy or subterranean insects
Flying-insect collector	<i>Malaise</i>	Strong-flying insects, such as Hymenoptera and Diptera	High	Yes; 95% EtOH most commonly used as killing reagent	Minimum: 2 traps for fast surveys; Collection: little care, leave in field for 2–4 weeks; Personnel: 1 person; Complement with flight interception traps	Placement of trap in "likely" flight paths, thus a component of subjectivity is introduced
Flying-insect collector	<i>Flight interception</i>	Flying insects, such as beetles, cockroaches, crickets	Low; negligible if self-built	Depending on killing reagent; best results if done in salt-saturated water and detergent, propylen glycol; formaldehyde solutions but in detriment of DNA recovery	Minimum: 2 traps for fast surveys; Collection: once or twice per day; Personnel: 1 person; Complement with bait and light traps	Ideal for slow-flying insects, which hit the plastic sheet and fall in the container with killing reagent
Insecticidal knockdown	<i>Canopy fogging</i>	Arboreal insect community	High	Yes; insecticide as killing reagent	Collection: laborious and problems with pseudoreplication; Complement with canopy light trapping and flight interception traps	Canopy access still limited; High demand on logistics; Risk of local environmental damage (minimized through the use of rapidly decaying insecticides)

Publication	Taxon group	Samples analyzed	Sequencing approach and platform	Output
Staats <i>et al.</i> (2013)	<i>Flies and beetles</i>	Number: 3 specimens; Age: 1992–1995; Tissue: 1–3 legs, thorax, whole specimen (destructive protocol)	Shotgun whole genome skimming; Illumina HiSeq™ 2000	Read depth: 3.5x – 146.1x (mt genome); % Mapping: 0.002 – 0.82 (mt genome); Contamination: 1 specimen extensive bacteriophage & fungal DNA
Tin <i>et al.</i> (2014)	<i>Flies and ants</i>	Number: 11 specimens; Age: 1910–1976; Tissue: whole specimen (non-destructive protocol)	Shotgun whole genome skimming; RAD-tag; Illumina MiSeq™ & HiSeq™ 2500	Read depth: 0.08x – 1.0x (whole genome); % Mapping: 19 – 76 (whole genome); Contamination: not reported
Heintzman <i>et al.</i> (2014)	<i>Beetles</i>	Number: 4 specimens; Age: Late Pleistocene (C ¹⁴), 1875–1950 (museum); Tissue: 1 hind leg, pronotum, elytron (destructive protocol)	Shotgun whole genome skimming; Illumina HiSeq™ 2000	Reads aligned to reference: 0.009% – 0.225x (mt genome & 5 nuclear loci); % Insect contigs: 0.25 – 46.5; Contamination: up to ca. 20% mammalian sequences in contigs
Maddison & Cooper (2014)	<i>Beetles</i>	Number: 1 specimen; Age: 1968; Tissue: whole specimen (non-destructive protocol)	Shotgun whole genome skimming; Illumina HiSeq™ 2000	Read depth: not reported (8 gene targets); % Gene length coverage: 95 – 100 (8 gene targets); Contamination: not reported
Kanda <i>et al.</i> (2015)	<i>Beetles</i>	Number: 13 specimens; Age: 1929–2010; Tissue: whole specimen (non-destructive protocol)	Shotgun whole genome skimming; Illumina HiSeq™ 2000 (2 lanes)	Read depth: 0.44x – 4.64x (67 gene targets); N50: 280 – 700 (67 gene targets); Contamination: possible in some specimens but not quantified
Timmermans <i>et al.</i> (2016)	<i>Butterflies</i>	Number: 35 specimens; Age: 1980–2005; Tissue: 1 leg (destructive protocol)	Shotgun whole genome skimming; Illumina MiSeq™ (1/3 flow cell)	% Coverage: 0 – 100 (mt coding loci); Contamination: not reported; Failure rate: 4 out of 35 specimens any reads matching mt genomes
Suchan <i>et al.</i> (2016)	<i>Butterflies and grasshoppers</i>	Number: 60 specimens; Age: 1908–1997; Tissue: legs (destructive protocol)	Target capture of RAD probes; Illumina MiSeq™ & HiSeq™ (one lane each)	Median depth: 10x (for each SNP); % Matrix fullness: 52 – 72.5 (RAD loci); Contamination: ca. 9 % of contigs were of exogenous origin
Blaimer <i>et al.</i> (2016)	<i>Carpenter bees</i>	Number: 51 specimens; Age: 1894–2013; Tissue: 1 leg (destructive protocol)	Target capture of Hymenopteran UCEs; Illumina MiSeq™	Average coverage: 7.4x – 182.4x (UCE loci); Recovered loci: 6 – 972 (UCE per sample); Contamination: not reported
Pitteloud <i>et al.</i> (2017)	<i>Butterflies</i>	Number: 32 specimens; Age: 1929–2012; Tissue: legs (destructive protocol)	PCR Multiplex & Shotgun sequencing; Illumina MiSeq™	Length sequences (bp): 109 – 7297 (mt and rDNA loci); Contamination: not reported

Approach	Case reference	Main applications	Taxon group	Impact
Whole-transcriptome shotgun	Misof <i>et al.</i> (2014)	<u>Phylogenomics</u>	<i>Class Insecta</i>	First phylogenomic study to cover all hexapod orders
Whole-genome shotgun	Tang <i>et al.</i> (2014)	<u>Mitochondrial metagenomics</u>	<i>Several insect orders</i>	Pioneering proof-of-concept study to show feasibility of PCR-free mitogenome sequence in bulk samples
RAD-seq	Tin <i>et al.</i> (2014)	<u>Phylogenetics; Museomics</u>	<i>Flies and ants</i>	One of the first insect museomic studies using massive parallel sequencing, and a guideline for non-destructive DNA isolation and library preparation
Target capture	Suchan <i>et al.</i> (2016)	<u>Phylogeography</u>	<i>Butterflies and grasshoppers</i>	New method to target RAD probes (hyRAD). Proof-of-concept using divergent taxa and archived specimens
Target capture	Faircloth <i>et al.</i> (2015)	<u>Phylogenomics</u>	<i>Hymenoptera</i>	Enrichment of Ultraconserved Elements (UCE) of the Hymenoptera order
Single-molecule	Kelley <i>et al.</i> (2014)	<u>Comparative Genomics</u>	<i>Antarctic midge</i>	Single-molecule real time whole-genome sequencing using PacBio® RS II System





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