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Population diversity and relatedness in Sugarbirds (Promeropidae: *Promerops* spp.)

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Sugarbirds are a family of two socially-monogamous passerine species endemic to southern Africa. Cape and Gurney's Sugarbird (*Promerops cafer* and *P. gurneyi*) differ in abundance, dispersion across their range and in the degree of sexual dimorphism in tail length, factors that affect breeding systems and potentially genetic diversity. According to recent data, *P. gurneyi* are in decline and revision of the species' IUCN conservation status to a threatened category may be warranted. It is therefore necessary to understand genetic diversity and risk of inbreeding in this species. We used six polymorphic microsatellite markers and one mitochondrial gene (ND2) to compare genetic diversity in *P. cafer* from Cape Town and *P. gurneyi* from Golden Gate Highlands National Park, sites at the core of each species distribution. We describe novel universal avian primers which amplify the entire ND2 coding sequence across a broad range of bird orders. We observed high mitochondrial and microsatellite diversity in both sugarbird populations, with no detectable inbreeding and large effective population sizes.

1 Introduction

2 The ability to analyse genetic markers at a population level has markedly increased our
3 ecological insight and capacity for conservation planning at the intraspecific population level. By
4 contrasting patterns of genetic diversity at rapidly mutating nuclear encoded loci, such as
5 microsatellites, combined with rapidly mutating mitochondrial (mtDNA) loci, inferences can be
6 made regarding historical gene-flow (Johnson, Toepfer & Dunn, 2003), historical range
7 expansion (Brito, 2007), and sex-biased dispersal (Melnick & Hoelzer, 1992). The use of
8 previously identified microsatellites is advantageous as they can be studied using PCR-based
9 fragment scoring methods (Oliveira *et al.*, 2006), which require minimal costs. Additionally,
10 microsatellites are generally highly polymorphic due to mutational instability (Ellegren, 2004),
11 and thus serve as effective population genetic markers.

12 Sugarbirds (Promeropidae) are a family of two socially-monogamous nectivorous passerine
13 species endemic to Southern Africa, with the Cape Sugarbird (*Promerops cafer*) occurring in the
14 fynbos biome of south-western South Africa and Gurney's Sugarbird (*Promerops gurneyi*)
15 occurring in the grasslands of eastern South Africa, Swaziland and Zimbabwe (Figure 1).
16 Sugarbird occurrence and abundance is closely tied with that of shrubs in the family Proteaceae.
17 The distribution of *P. gurneyi* is fragmented in comparison to that of *P. cafer*, owing to the
18 sparse occurrence of the silver sugarbush (*Protea roupelliae*), a fire sensitive species that is
19 Gurney's Sugarbird's preferred source of food, shelter and nesting sites (de Swardt, 1991). By
20 contrast, *P. cafer* occurs in a region with a much greater diversity, abundance and more even
21 dispersion of Proteaceae, many of which are used by this species. *Promerops gurneyi* is currently
22 listed as a species of 'Least Concern' both globally and within southern Africa (Taylor &
23 Wanless, 2015; BirdLife International, 2018). However, recent data presented by Lee *et al.*
24 (2017) suggests that populations of *P. gurneyi* are in serious decline. The modification of
25 grassland habitat in South Africa, through transformation for agriculture and changes in fire and
26 grazing regimes, has also been implicated in the decline of the Yellow-breasted Pipit (Pietersen
27 *et al.*, 2017), which shares most of its distribution with *P. gurneyi*.

28 Sugarbirds exhibit fierce territoriality toward other nectivorous birds, including the Malachite
29 and Orange-breasted Sunbirds, and toward other Sugarbirds with which they compete for
30 renewable nectar resources and mating opportunities (Daniels, 1987). Given that *Protea* utilized

31 by *P. gurneyi* are sparser and less diverse than those utilized by *P. cafer* (Calf, Downs & Cherry,
32 2003), and given that territory size and quality have effects on breeding success, *P. cafer* may be
33 substantially less energetically constrained than *P. gurneyi* in terms of foraging and reproduction
34 (Calf, Downs & Cherry, 2001). Thus, we expect that the abundance and distribution of
35 *Proteaceae*, such as *Protea roupelliae*, could have significant effects on the breeding system,
36 population size, and seasonal movements of *P. gurneyi*.

37 Extremely high rates of extra-pair paternity (EPP) (>70%) have been observed in *P. cafer*
38 (Henderson, 1999; McFarlane *et al.*, 2009), and is thought to be associated with their extreme
39 sexual dimorphism in tail length, with long-tailed males being more likely to succeed in extra-
40 pair copulations than short-tailed males. Foerster *et al.*, (2003) showed that high rates of EPP
41 promotes increased offspring heterozygosity and fitness in the Blue Tit (*Parus caeruleus*), which
42 may also be the case for *P. cafer*, and is consistent with high genetic diversity observed in
43 previous studies (Feldheim, Mcfarlane & Bowie, 2006). In contrast, the breeding system of *P.*
44 *gurneyi* is poorly understood, and rates of EPP have not yet been measured. However, given the
45 low levels of sexual dimorphism in *P. gurneyi*, we assume that rates of EPP may be lower.
46 Alternatively, male reproductive success in *P. gurneyi* may be more heavily influenced by other
47 factors, such as resource availability (O'Brien & Dawson, 2011).

48 Given this disparity in occurrence, abundance and sexual selection we predict that *P. gurneyi*
49 populations may show lower genetic diversity than *P. cafer*, and greater risk of inbreeding within
50 habitat patches. In this study we aimed to characterize and compare genetic diversity, relatedness
51 and genetic drift in populations of *P. gurneyi* and *P. cafer*. We also investigated whether
52 inbreeding poses a risk to the persistence of a population of *P. gurneyi* in Golden Gate Highlands
53 National Park, within the Maloti-Drakensberg bioregion of Southern Africa. We compared this
54 with a population of *P. cafer* from Helderberg Nature Reserve, in the south-western Cape. These
55 populations are at the centre of each species distribution and were the sites of previous ecological
56 studies, that provided the samples analysed here. We used six polymorphic microsatellite
57 markers (Feldheim, Mcfarlane & Bowie, 2006) and designed universal avian primers for the
58 entire mitochondrial NADH dehydrogenase II (ND2) gene to compare levels of heterozygosity,
59 inbreeding, and effective population size between these populations. Our results should serve as
60 a useful basis for future phylogeographic and conservation assessments of *P. gurneyi*.

61 **Methods and materials**

62 **Sample collection and DNA extraction**

63 Blood samples were obtained by brachial vein puncture (one droplet, approximately 20 μ l per
64 bird) from a population of *P. gurneyi* in Golden Gate Highlands National park, Free-State, in
65 1998, and from one individual at Sani Pass, KwaZulu-Natal, in 2004. Samples were similarly
66 obtained from a population of *P. cafer* in Helderberg, Western-Cape, in 1999, where sampling
67 was targeted around nests. All samples were collected during prior ecological studies (Calf,
68 Downs & Cherry, 2001; 2003) under permits from the relevant provincial conservation agency
69 (Free State Department of Environmental Affairs and Tourism and Cape Nature Conservation
70 Permits respectively to Kathleen Calf and Gordon Scholtz, 1998-1999) with mist netting of birds
71 licensed (KMCT) under the South African national bird ringing scheme
72 (<http://safring.adu.org.za>). Samples were stored in 1mL of a modified PBS solution (phosphate
73 buffered saline blood storage buffer: 3 mM KCl, 8 mM Na₂HPO₄, 2 mM KH₂PO₄, 0.14 M NaCl,
74 6 mM EDTA, 0.2% NaN₃ (w/v)) which was kept on ice after sampling and stored long-term at -
75 20°C. This buffer includes EDTA to prevent enzymatic digestion of nucleic acids, while the
76 Sodium Azide, NaN₃, is an antimicrobial.

77 Samples from other species, used to determine the taxonomic range of ND2 primer
78 amplification, were available from previous studies in our laboratory. These included mountain
79 pipit, *Anthus hoeschi*, blue crane, *Anthropoides paradiseus*, Angolan cave chat, *Cossypha*
80 *ansorgei*, Cape parrot, *Poicephalus robustus*, Namaqua sandgrouse, *Pterocles namaqua*, and
81 eastern clapper lark, *Mirafra fasciolata*. Tissue samples of chicken, *Gallus gallus domesticus* and
82 Ostrich, *Struthio camelus*, were obtained from supermarket meat, and marsh owl, *Asio capensis*,
83 from a roadkilled individual sampled from a carcass found beside the R50 road at Delmas (Long,
84 Lat: 028.681, -26.141).

85 We extracted genomic DNA by salting out. Digests were prepared by adding 1 mg blood to 500
86 μ L DNA lysis buffer (100 mM NaCl, 50 mM Tris.HCl, 100 mM EDTA, 1% SDS w/v)
87 supplemented with 0.2 mg proteinase-K, before incubation at 57°C overnight. Following
88 digestion, a volume of 20 μ L RNase A (25 mg/mL) was added, before incubation at 37°C for 60
89 minutes. Proteins and other cellular contents were precipitated by addition of 180 μ L 5 M NaCl
90 (final concentration 1.3 M) followed by agitation and centrifugation. DNA was then extracted by

91 combining the supernatant with ice-cold isopropyl alcohol (1:1), washed with 70% ethanol, and
92 resuspended in $\frac{1}{2}$ x TE buffer (5 mM tris, 0.5 mM EDTA). We confirmed successful extraction
93 using agarose gel electrophoresis (1% agarose, 1 x TAE buffer, 100V, 15 min), assessed DNA
94 quantity and quality using a NanoDrop™ spectrophotometer, and prepared working stocks of 50
95 ng/ μ L.

96 **Microsatellite genotyping**

97 We used multiplex PCRs to amplify the six microsatellite loci developed by Feldheim,
98 Mcfarlane & Bowie (2006). Reactions contained 5 μ L Platinum® PCR Multiplex Mix (Applied
99 Biosystems), 25 ng template DNA, 0.015 M of each primer (Table 1), made up to a final volume
100 of 10 μ L using ultra-pure H₂O. Reactions were amplified in an Applied Biosystems™ 2720
101 thermocycler under the following conditions: long denaturation at 95°C for 10 min followed by
102 33 cycles of denaturation at 95°C for 30 sec, annealing at 56°C for 1 min 30 sec, and extension at
103 72°C for 30 sec, with a final extension step at 60°C for 30 min.

104 We used agarose gel electrophoresis to confirm successful PCR amplification (2% agarose, 1 x
105 TAE buffer, 100V, 15 min), and conducted fragment analysis on an ABI3500xl Genetic
106 Analyzer with a Liz-500 size standard (Applied Biosystems) at the DNA Sanger Sequencing
107 Facility, University of Pretoria. We analysed electropherogram results using GeneMarker®
108 v1.95 (SoftGenetics) and designed separate genotyping panels for either species. We checked the
109 consistency of fragment assignment by replicate amplification and scoring of 20% of samples.

110 **ND2 primer design**

111 Sorenson *et al.* (1999) developed a range of universal avian mitochondrial primers, however,
112 most of these make use of degenerate sites which reduce their utility for sequencing. We used the
113 Primer3 v.2.3.7 (Untergasser *et al.*, 2012) plugin in Geneious® vR10.2.2 to design universal
114 avian ND2 primers against *Taeniopygia guttata* (NC_007897.1) with comparative alignment
115 across 16 other bird species (Table 2). Primer L3977 is within the Methionine tRNA gene
116 (positions 3958 – 3977 in *T. guttata*). Primer H5191 is within the Asparagine tRNA gene
117 (positions complementing 5211 - 5191 in *T. guttata*). Giving an expected product length of 1254
118 bp (1213 bp target sequence, including 23 bp of the Methionine tRNA, the complete 1041 bp of
119 ND2, 70 bp Tryptophan tRNA gene, 69 bp complementary to the Alanine tRNA and 10 bp non-
120 genic nucleotides). We authenticated our primers by PCR amplification across a “Noah’s ark” of

121 birds and used NCBI BLAST to confirm successful gene-targeting for a subset of individuals
122 following Sanger sequencing. By designing primers in the conserved tRNAs flanking ND2, we
123 were able to amplify the entire ND2 coding sequence across a broad range of species using a
124 single protocol.

125 **Mitochondrial gene sequencing**

126 Mitochondrial genes were amplified in 10 μ L PCR reactions comprised of 1X PCR buffer, 1.5
127 mM MgCl₂, 0.2 mM dNTPs, 0.4 μ M forward and reverse primer (Table 1), 0.5 U/ μ L
128 Supertherm® Taq polymerase, and 2 μ L of template DNA, made up to final volume with ultra-
129 pure H₂O. Reactions were placed into an Applied Biosystems™ 2720 thermocycler for
130 amplification under the following conditions: long denaturation at 94°C for 5 min, followed by
131 33 cycles of denaturation at 94°C for 30 sec, annealing at 56°C for 30 sec, and extension at 72°C
132 for 45 sec, followed by 2 cycles of denaturation at 94°C for 30 sec and extension at 72°C for 1
133 min 30 sec. Successful amplification was confirmed using agarose gel electrophoresis (2%
134 agarose, 100V, 15 min), and DNA precipitation was performed to remove unincorporated dNTPs
135 and primers in preparation for sequencing.

136 Cycle-sequencing reactions were each comprised of 1 μ L BigDye® Terminator v3.1 Ready
137 Reaction Mix, 1 μ L BigDye® Sequencing Buffer, 3.2 μ M forward primer (Table 1), and 2 μ L
138 template DNA, made up to a final volume of 10 μ L with ultra-pure H₂O. Reactions were placed
139 into an Applied Biosystems™ 2720 thermocycler for amplification under the following
140 conditions: long denaturation at 96°C for 3 min, followed by 30 cycles of denaturation at 96°C,
141 and annealing and extension at 60°C for 4 min. Following DNA precipitation, cycle-sequencing
142 products were sequenced on either ABI3730xl or ABI3500xl DNA Analysers at the Sanger
143 Sequencing Facility, University of Pretoria. The process was then repeated using the reverse
144 primer to confirm sequences and increase coverage.

145 **Data analysis**

146 We used Cervus 3.0.7 (Kalinowski, Taper & Marshall, 2007) to measure allele frequencies at the
147 6 microsatellite loci, Genepop v4.6 (Rousset, 2008) to test for deviations from Hardy-Weinberg
148 and linkage disequilibrium among loci, and Micro-checker v2.2.3 (Van Oosterhout *et al.*, 2004)
149 to identify and correct for null-alleles. We conducted parentage analysis using Cervus 3.0.7
150 (Kalinowski, Taper & Marshall, 2007) in order to identify parent-offspring trios. We conducted

151 parent-pair simulations for 10000 offspring under the conservative assumption that 25% of
152 potential fathers had been sampled after trail runs with different parameters, allowing 2
153 mismatches to account for the Z-linked locus (Pro86) as well as any null-alleles or misscoring.

154 We used Coancestry V1.0.1.7 (Wang, 2011) to calculate pairwise relatedness estimates (Queller
155 and Goodnight, 1989) and inbreeding coefficients (Lynch and Ritland, 1999) for both sugarbird
156 populations, and used R-Studio V1.1.383 (Ross *et al.*, 1996) to graph the frequency distribution
157 of pairwise relatedness estimates. We then estimated the effective size of both populations using
158 the linkage-disequilibrium method (Waples & Do, 2010) implemented in NEEstimator V2.01 (Do
159 *et al.*, 2014) with Jack-knifing across loci. Given that *P. cafer* exhibits high rates of EPP and
160 given that rates of EPP have not yet been measured in *P. gurneyi*, we estimated effective
161 population sizes assuming both random and monogamous mating.

162 Sampling of *P. cafer* was targeted around nests, and thus our *P. cafer* dataset included a higher
163 proportion of close relatives (parent-offspring, siblings, and half-sibling). In order to investigate
164 whether sampling methods may affect our estimates of effective population size, we used Friends
165 and Family (de Jager *et al.*, 2017) to produce a reduced *P. cafer* dataset with a lower overall
166 mean relatedness. This analysis identified groups of potentially related individuals (relatedness >
167 0.25), and randomly removed individuals from each group until sample size was equal for both
168 datasets.

169 We used MEGA 7.0.26 (Kumar, Stecher & Tamura, 2016) to align and trim our mitochondrial
170 sequence data and to calculate nucleotide diversity. We then converted our sequence data to
171 NEXUS format using PGDSpider v2.1.1.2 (Lischer & Excoffier, 2012), and used Popart v1.7
172 (Bandelt, Forster & Röhl, 1999) to construct a median-joining ND2 haplotype network. In
173 addition to the sequence data that we produced, complete ND2 coding sequences of both *P. cafer*
174 (accession number DQ125990) and *P. gurneyi* (accession number GU16832.1) were downloaded
175 from GenBank and included in our analyses.

176 **Results**

177 **Microsatellite diversity**

178 All 6 microsatellite loci were highly polymorphic in both *P. cafer* and *P. gurneyi* (Table 2).

179 There were no significant deviations from Hardy-Weinberg equilibrium within loci but there was

180 a general tendency towards a slight deficiency of heterozygotes across loci (10 of 12
181 comparisons). Numbers of alleles and heterozygosity were slightly higher in *P. cafer* than *P.*
182 *gurneyi*. We suspected null-alleles at the marker Pro66 due to excess homozygosity and failed
183 amplification of this locus in one sample, and so we used Micro-checker to obtain corrected
184 genotypes at this locus.

185 Additionally, 1-2 base pair shifts were observed at several Pro66 alleles (4bp repeat motif), these
186 scored consistently across replicate PCRs and parent-offspring comparisons and were considered
187 as separate alleles in analyses. We also observed a slight overlap in allele size ranges between
188 markers Pro25 and Pro86 in the *P. cafer* panel, both of which are labelled with the same
189 fluorophore. Fortunately, most individuals with alleles in the overlapping region were identified
190 as females, which are hemizygous for the Z-linked Pro86, and so manual scoring was possible.

191 **ND2 primer authentication**

192 As shown in Table 3, the region to which the forward primer L3977 binds is highly conserved
193 across all 17-species included in our alignment, with only one variable site. The region to which
194 H5191 binds is less conserved, with 6 variable sites across 17 species, however, all 11 of the bird
195 species included in the “Noah’s ark” produced clean bands following PCR amplification with the
196 avian ND2 primers L3977 and H5191 (Figure 2). Of the 5-additional species selected for sanger
197 sequencing (*A. hoeschi*, *A. paradiseus*, *C. ansorgei*, *S. camelus*, and *P. robustus*), each sample
198 yielded over 1100bp of high quality sequence data (GenBank accession numbers; MG972851,
199 MG972852, MG972853, MG972854, and MG97285).

200 **Mitochondrial diversity**

201 We obtained 1150bp, including the complete ND2 coding sequence, from 10 *P. cafer* (GenBank
202 accession numbers; MG972856- MG972865) and 15 *P. gurneyi* (GenBank accession numbers;
203 MG972866- MG972879). These were combined for phylogenetic analysis with the single
204 existing ND2 sequences of each species from GenBank, each of which was a singleton
205 haplotype. MtDNA diversity matches the pattern from microsatellites, with higher nucleotide
206 and haplotype diversity observed in *P. cafer* ($\pi = 0.0024$; $H = 0.84$) compared to *P. gurneyi* (π
207 $= 0.0012$; $H = 0.76$), despite a smaller sample of the former. We observed 8 haplotypes in *P. cafer*
208 and 6 haplotypes in *P. gurneyi* (Figure 3).

209 **Inbreeding and relatedness**

210 Mean relatedness and inbreeding coefficients were low for both species. Bell-shaped curves were
211 obtained for both relatedness frequency distributions (Figure 4), with mean relatedness being
212 slightly lower in *P. gurneyi* (mean = -0.022; variance = 0.040) than in *P. cafer* (mean = -0.016;
213 variance = 0.030) with wide variance. Mean inbreeding coefficients were slightly higher for *P.*
214 *gurneyi* (mean $F = 0.028$; variance = 0.026) than for *P. cafer* (mean $F = 0.024$; variance = 0.013).
215 We also used Cervus to confirm four parent-offspring pairs in our *P. gurneyi* dataset and six
216 parent-offspring pairs in our *P. cafer* dataset, although we suspect that the proportion of second
217 order relatives is significantly higher in our *P. cafer* dataset due to sampling of some half-sibling
218 fledgelings

219 **Effective population size**

220 The effective population size of *P. cafer* in Helderberg, Western-Cape, was estimated to be 99
221 individuals (66-182; 95% CI) assuming random mating and 198 individuals (132-356; 95% CI)
222 assuming monogamous mating. The effective population size of *P. gurneyi* in Golden Gate
223 Highlands National Park, Free-State, was estimated to be 133 individuals (55-983; 95% CI)
224 assuming random mating and 223 individuals (111-1627; 95% CI) assuming monogamous
225 mating. The reduced *P. cafer* dataset, filtered for relatives, yielded somewhat higher estimates of
226 effective population size, of 157 individuals (87-582; 95% CI) assuming random mating, and
227 316 individuals (175-1144; 95% CI) assuming monogamous mating.

228 **Discussion**

229 Both sugarbird species exhibit high levels of microsatellite and mitochondrial diversity, with
230 relatively large local effective population sizes and no detectable inbreeding. This is consistent
231 with previous findings in *P. cafer* (Feldheim, Mcfarlane & Bowie, 2006) and other African
232 nectivorous birds, such as the orange-breasted sunbird (Chan, Van Vuuren & Cherry 2011) and
233 the Ruwenzori double-collared sunbird (Bowie, Sellas & Feldheim, 2010).

234 High diversity in *P. gurneyi* was unexpected, given their fragmented occurrence and the sparse
235 distribution of suitable habitat. Seasonal migration of *P. gurneyi* has been inferred bird
236 monitoring projects, such as the South African Bird Atlas Project 2 – (SABAP2) citizen science
237 project. These movements include altitudinal movements within regions, and movement from the
238 inland escarpment to the south-east coast (Hockey, Dean & Ryan, 2004; de Swardt, 1991;

239 SABAP2, 2017). One possibility is that diversity in *P. gurneyi* is maintained through
240 unrecognised gene-flow among regional populations, which are separated by large areas of
241 unsuitable habitat. Alternatively, high levels of diversity may reflect a historically large
242 metapopulation of *P. gurneyi* within this particular region. Our study site, Golden Gate
243 Highlands National Park is one of several large protected areas within the Maloti-Drakensberg
244 Bioregion, the largest untransformed area within the distribution of *P. gurneyi*. Stands of *Protea*
245 *rouPELLIAE*, a key feature of this species habitat, are dispersed across this bioregion, with
246 intervening *Protea caffra* shrubs and other nectar providing plants providing connectivity among
247 patches. SABAP2 results suggest that the Maloti-Drakensberg area is the stronghold of this
248 species, with the possibility of more extensive connections to the south-eastern coastal area. The
249 single sample from Sani Pass, 130 km SE of Golden Gate, in the Ukhahlamba-Drakensberg
250 World Heritage area, shared a mitochondrial haplotype with the Golden Gate population but also
251 carried several unique microsatellite alleles suggesting the possibility of population divergence
252 across this region but not long-term isolation.

253 Thus our samples from the north-eastern periphery of a large and well connected regional
254 population may not reflect diversity in other isolated regions further North in Mpumalanga and
255 Limpopo provinces of South Africa, and the eastern highlands of Manicaland province,
256 Zimbabwe. We expect that the inclusion of samples from these regions would yield additional
257 mitochondrial haplotypes and private microsatellite alleles. Irrespective of the contribution of
258 phylogeographic structure to this diversity, it is unlikely that inbreeding poses an immediate risk
259 to the persistence of *P. gurneyi* in the Golden Gate Highlands National Park.

260 **Differences in genetic diversity**

261 All measures of diversity were higher in *P. cafer* than in *P. gurneyi*. Several factors could
262 contribute to this disparity. Firstly, our sample of *P. cafer* was somewhat larger than that of *P.*
263 *gurneyi*. This is unlikely to be a substantial contributor to interspecific differences in diversity as
264 substantial samples (> 48 individuals) were analysed from each species, each from a single
265 population at the centre of the species range. Some of our measures, such as heterozygosity and
266 nucleotide diversity, are robust to variation in sample size. Although the proportion of close
267 relatives was low in both datasets, this was higher in *P. cafer*, which would tend to reduce
268 observed diversity in that species, contrary to the observed differences. Additionally, these

269 differences in diversity remained after randomly subsampling *P. cafer* to compare equal sized
270 samples.

271 Secondly, there may potentially be some degree of ascertainment bias – we used microsatellite
272 markers which were developed in *P. cafer* and selected for high allelic diversity (Feldheim,
273 McFarlane & Bowie, 2006), therefore, there may be lower allelic diversity at these markers in *P.*
274 *gurneyi* due to increased mutational stability (Huang *et al.*, 2016). All microsatellite markers
275 showed high diversity in both species, with extensive overlap in allele size ranges and a low and
276 similar frequency of null alleles. Ascertainment bias would not affect differences in mtDNA
277 diversity.

278 Diversity may be influenced by the promiscuous breeding system in *P. cafer*, which shows a
279 high level of extra-pair paternity despite long-term social monogamy (Henderson, 1998;
280 McFarlane *et al.*, 2009). *Promerops gurneyi* also shows long-term social monogamy, however
281 the frequency of extra-pair paternity may be lower in this species, where territories are more
282 dispersed and reduced sexual dimorphism implies lower levels of sexual selection than in the
283 extremely dimorphic *P. cafer*. We believe that the best explanation for higher diversity in *P.*
284 *cafer* may higher abundance and higher connectivity across the species' distribution, in the
285 Protea rich fynbos biome.

286 **Inbreeding and relatedness**

287 Our panel only included 6 microsatellite markers, but all were highly polymorphic and effective
288 in assigning parentage. The frequency distribution of relatedness estimates yielded bell-shaped
289 curves for both populations (Figure 4), suggesting that our sampling was not biased by related
290 individuals. Mean relatedness was low for both populations, and slightly higher for *P. cafer* (-
291 0.016) compared to *P. gurneyi* (-0.022). This may be a reflect more first and second order
292 relatives in our *P. cafer* dataset (six parent-offspring pairs and several sibling pairs and half
293 siblings) compared to that of *P. gurneyi* (four parent-offspring pairs).

294 Mean inbreeding coefficients were low in both populations, with little variation in the degree of
295 inbreeding among individuals. We detected slightly higher rates of inbreeding in *P. gurneyi*
296 (mean $F = 0.028$) compared to *P. cafer* (mean $F = 0.024$), which may be attributed to higher
297 overall diversity in *P. cafer*. In total, we conclude that it is highly unlikely that inbreeding poses

298 a threat to the persistence of either *P. gurneyi* in the Maloti-Drakensberg or *P. cafer* in the South-
299 western Cape. However, it remains to be seen whether the same can be said of the several
300 smaller disjunct regional populations of *P. gurneyi*.

301 **Effective population size**

302 Sugarbirds form socially-monomamous breeding pairs which often re-unite in subsequent
303 breeding seasons, however, while *P. cafer* exhibits high rates of EPP (>70%), the breeding
304 system of *P. gurneyi* remains poorly understood. We predict that the mating system of
305 Sugarbirds is not random, nor is it purely monogamous. The linkage disequilibrium method
306 implemented in NEEstimator V2.01 requires specification of either a random or monogamous
307 mating system, we estimated the effective size of both populations assuming either random or
308 monogamous mating.

309 The effective size estimated for each population was considerably larger than our sample size,
310 suggesting that both populations were derived from relatively large and stable historical
311 populations. The effective population size of *P. cafer* was estimated as 99 individuals (66-182;
312 95% CI) under the assumption of random mating, and 198 individuals (132-356; 95% CI) under
313 the assumption of monogamous mating. The effective population size of *P. gurneyi* was
314 estimated as 133 individuals (55-983; 95% CI) under the assumption of random mating, and 223
315 individuals (111-1627; 95% CI) under the assumption of monogamous mating. Estimates of
316 effective size based in linkage are upwardly biased by sample size, with confidence intervals
317 strongly influenced by the number of loci analysed. Estimates of effective population size were
318 larger for *P. gurneyi* than for *P. cafer*, perhaps due to the slightly higher proportion of relatives
319 in the *P. cafer* dataset. There are wide and broadly overlapping confidence intervals around all
320 these estimates. These figures may be understood as indices of local abundance and relatedness,
321 with values larger than sample size suggesting that additional sampling is required to attain
322 stable estimates of long-term effective population size.

323 In contrast to sampling of *P. gurneyi*, sampling of *P. cafer* was targeted around nests, and
324 therefore contained a higher proportion of relatives. Filtering the *P. cafer* dataset for relatives
325 yielded a much higher estimate of effective population size, with 95% upper confidence intervals
326 comparable to those estimated for *P. gurneyi*. This demonstrates that slight differences in
327 sampling strategies can affect interspecific comparisons of effective population size, specifically

328 when using methods based on Linkage-Disequilibrium. In future it may be necessary to use
329 coalescent-based Bayesian approaches which are independent of sample size.

330 **Conclusions**

331 We observed unexpectedly high microsatellite and mitochondrial diversity in *P. gurneyi* with no
332 detectable inbreeding. It remains unclear whether differences in genetic diversity between *P.*
333 *gurneyi* and *P. cafer* reflect differences in breeding systems or connectivity among regional
334 populations. In future studies we hope to determine whether phylogeographic structure exists
335 between the disjunct regional populations of *P. gurneyi* in southern Africa, however, sample
336 collection is made difficult by the sparse distribution of *P. roupelliae* and the unpredictable
337 seasonal movements of this species in relation to weather and flowering feed trees. We also
338 intend to increase our panel of nuclear markers by including universal avian microsatellites
339 (Dawson *et al.*, 2013) and to extend our comparative assessment to similarly fragmented
340 declining grassland species such as the Drakensberg Rockjumper, the Sentinel Rock Thrush, and
341 the Ground Woodpecker.

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Figure 1 (on next page)

Sugarbird species distributions and sampling sites

Distribution of Cape (red) and Gurney's (green) Sugarbirds. Large circles show sampling sites at Cape Town (lower left) and Golden Gate Highlands National Park (mid right), respectively. The small circle indicates the single sample of *P. gurneyi* from Sani Pass.

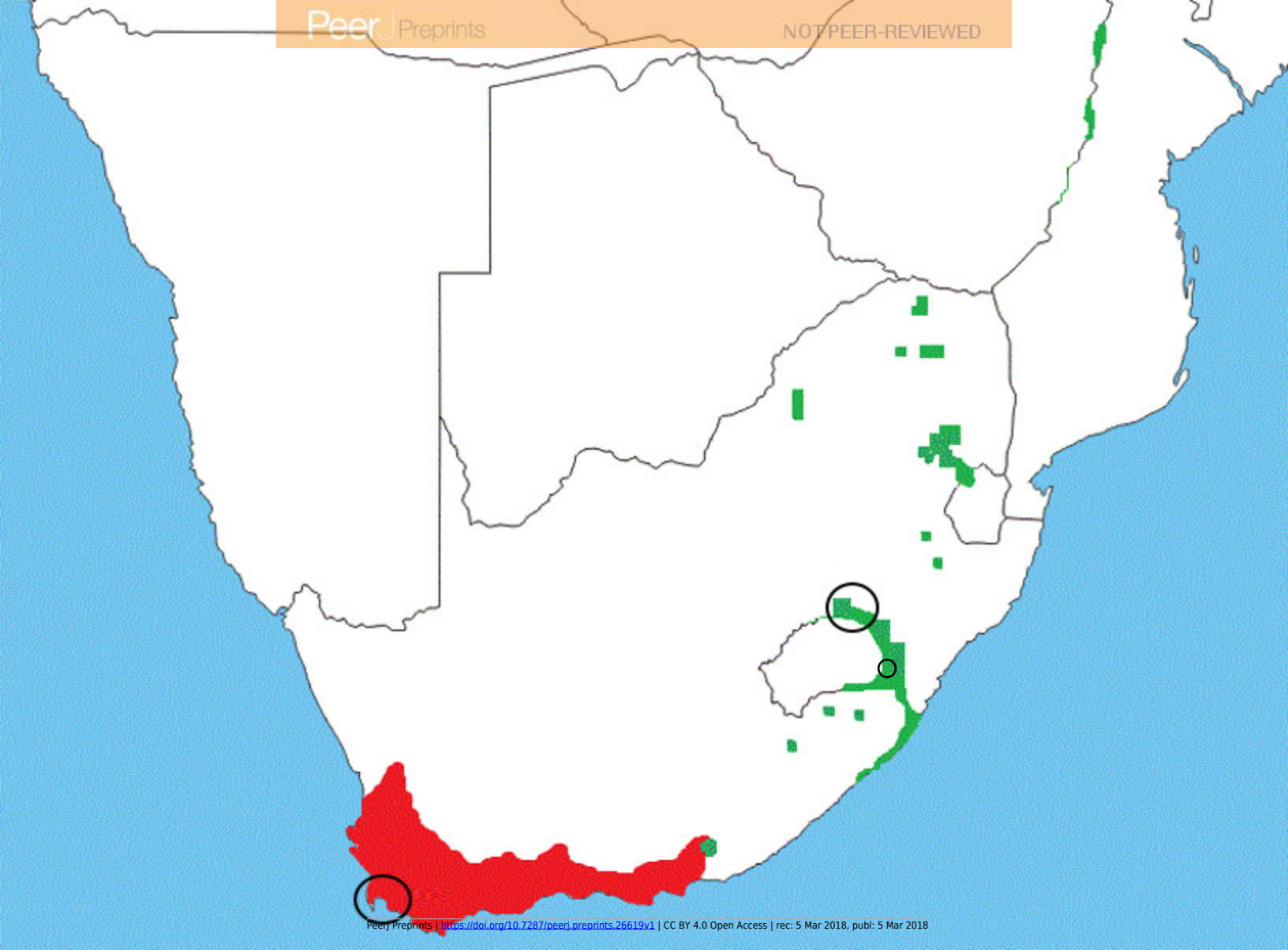


Figure 2 (on next page)

PCR results from L3997 - H5190 universal avian ND2 primers

100bp ladder

(-) control

*Promerops gurneyi**Gallus gallus domesticus**Asio capensis**Anthus hoeschi**Mirafra fasciolata**Cossypha ansorgei**Pterocles namaqua**Poicephalus robustus**Anthropoides paradiseus*

1500bp

100bp

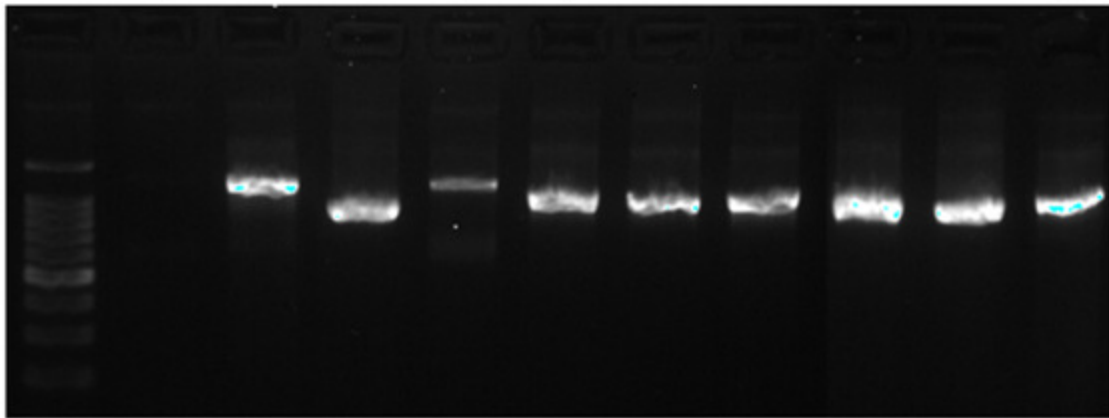


Figure 3 (on next page)

ND2 haplotype networks for Cape and Gurney's Sugarbirds

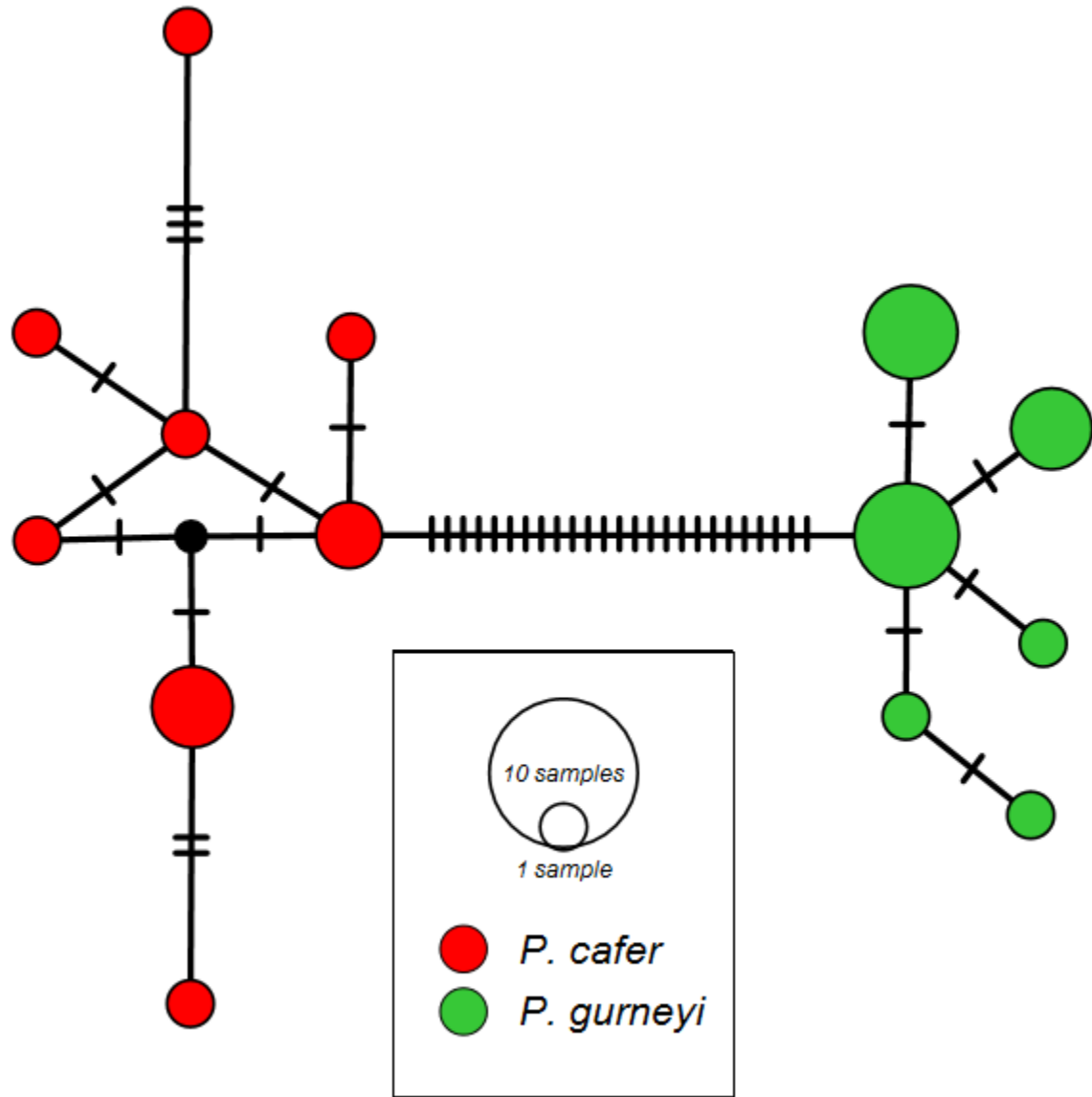


Figure 4 (on next page)

Distribution of pairwise relatedness estimates in Cape and Gurney's Sugarbirds

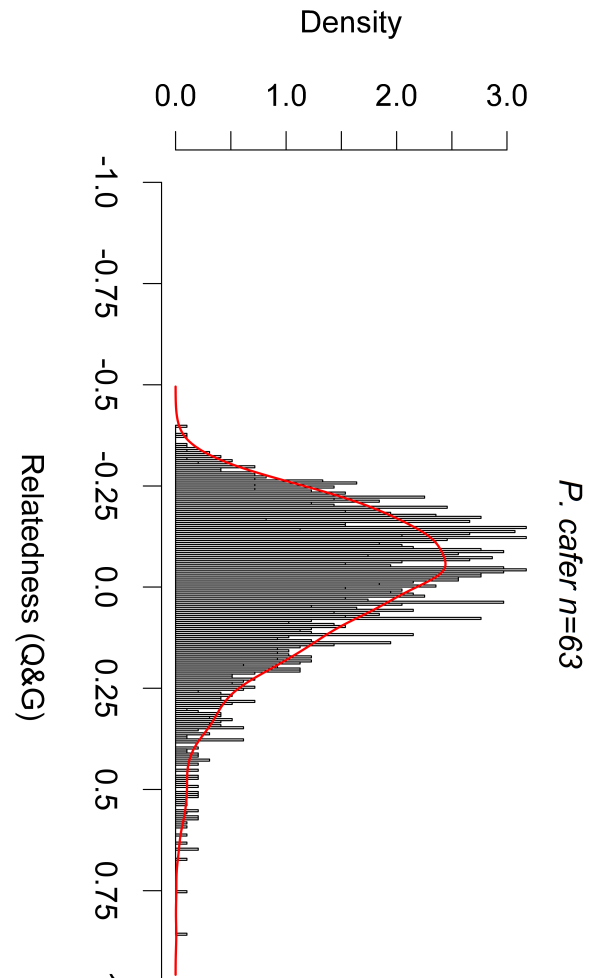
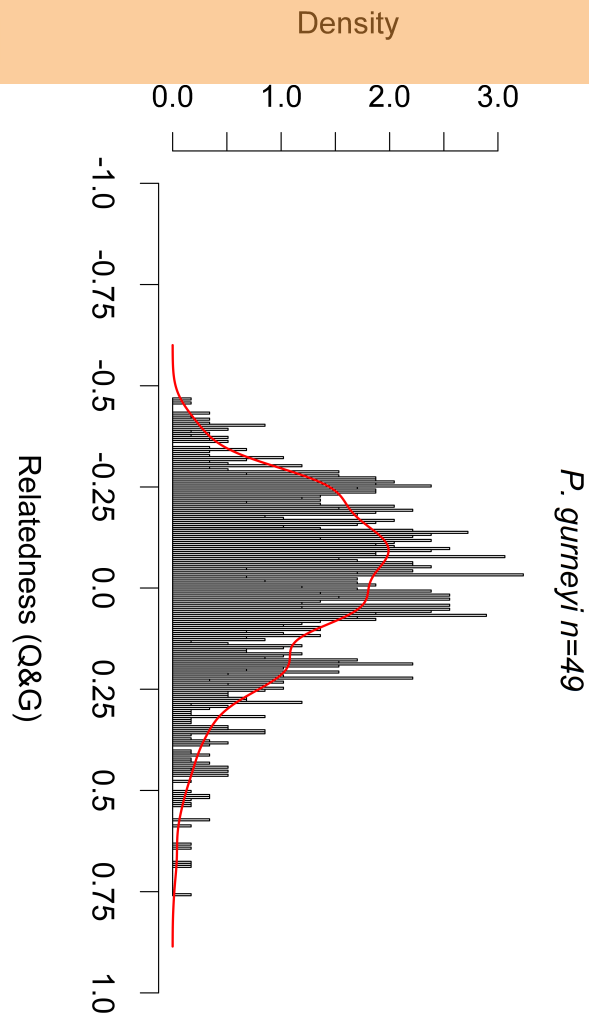


Table 1 (on next page)

Microsatellite and mitochondrial primer sequences

Locus	Repeat type	Primer sequence 5' to 3'	Reference
Pro24	Hexa	F: TCGTCATCTTGCAACCAAAA (FAM) R: TCAGCAGCAAACATGAAACC	(Feldheim <i>et al.</i> 2006)
Pro25	Tetra	F: CGAGAGCCAGGATTCATTTTCC (VIC) R: AGCCAGAATTTGTCCTGTCTG	(Feldheim <i>et al.</i> 2006)
Pro66	Tetra	F: GCTTGATTAAGGTGCCGAAA (NED) R: GCAGGACACAGAGCACTCAA	(Feldheim <i>et al.</i> 2006)
Pro86	Penta	F: CAGACCTTGGAACAGGCTTC (VIC) R: GGCTCCCTCAATTCCTTCTC	(Feldheim <i>et al.</i> 2006)
Pro19	Tetra	F: TGGAACAGTCCACTTCATGC (NED) R: CAACTTTCCTAGCAAAAAGGCAC	(Feldheim <i>et al.</i> 2006)
Pro90	Tetra	F: TTGGAGGGAAGAAGATCTGGG (PET) R: CATTCTTGCCCATCTGCTG	(Feldheim <i>et al.</i> 2006)
ND2		F(L3977) : GGCCCATACCCCGAAAATGA R(H5191) : GGATCGAAGCCCATCTGCCTA	(This study)

Table 2 (on next page)

Genetic diversity in Cape and Gurney's Sugarbirds

Diversity statistics in *P. gurneyi* and *P. cafer*. Statistics for microsatellite loci are Number of alleles (N_A), Sample size (n), Observed heterozygosity (H_o), Expected heterozygosity (H_e), Polymorphic Information Content (PIC), Locus inbreeding coefficient - potentially representing observed homozygote excess due to null alleles ($F(\text{null})$) and Allele size ranges. Mitochondrial statistics also include nucleotide diversity (π) and gene-diversity (H , equivalent to H_e).

Locus	N_A	n	H_o	H_e	PIC	F(null)	Size range (bp)
Pro24	14	49	0.82	0.84	0.81	0.010	181-262
Pro25	9	49	0.80	0.81	0.78	0.003	201-235
Pro86	9	24	0.83	0.84	0.80	-0.006	302-352
Pro19	10	49	0.78	0.85	0.82	0.042	166-203
Pro66	11	31	0.71	0.88	0.86	0.096	319-355
Pro90	12	49	0.90	0.87	0.85	-0.019	204-241
Mean	10.5	-	0.81	0.85	0.82		
Locus	N_A	n	π	H	Size (bp)		
ND2	6	15	0.0012	0.76	1041		

P. cafer

Locus	N_A	n	H_o	H_e	PIC	F(null)	Size range (bp)
Pro24	19	63	0.86	0.92	0.90	0.029	187-324
Pro25	16	63	0.84	0.83	0.81	0.012	185-278
Pro86	16	37	0.81	0.89	0.87	0.040	273-352
Pro19	8	63	0.76	0.79	0.75	0.006	154-186
Pro66	20	61	0.84	0.92	0.91	0.046	275-340
Pro90	15	63	0.87	0.88	0.87	0.003	180-245
Mean	15.2	-	0.83	0.87	0.85		
Locus	N_A	n	π	H	Size (bp)		
ND2	8	11	0.0024	0.84	1041		

Table 3(on next page)

ND2 primer site alignment across Avian orders

Alignment of ND2 primer sequences across 17 bird species spanning several orders. Genbank accession numbers for sequences used in primer design are shown at left. L3977 in tRNA-Met matches perfectly to all species excepting a 1bp mid-primer A-G transition in *Aythya americana*. H5191 matches perfectly to *Taeniopygia guttata* (the design reference) with 1-3 mid-primer mismatches in all other species.

