

1 Unbalanced sentiment classification: an 2 assessment of ANN in the context of 3 sampling the majority class

4 Rodrigo Moraes¹, João F. Valiati², and Wilson P. Gavião Neto³

5 ¹Unidade de Graduação - UAGRAD, Universidade do Vale do Rio dos Sinos - UNISINOS,
6 Av. Unisinos, 950, São Leopoldo, RS, Brazil.

7 ²Artificial Intelligence Engineers - AIE, Vieira de Castro street, 262/206, Porto Alegre,
8 RS, Brazil.,

9 ³School of Engineering & IT, Centro Universitário Ritter dos Reis - UNIRITTER -
10 Laureate International Universities, Av. Manoel Elias, 2001, Porto Alegre, RS, Brazil.

11 Corresponding author:

12 Wilson P. Gavião Neto³

13 Email address: wilson_gaviao@uniritter.edu.br

14 ABSTRACT

15 Many people make their opinions available on the Internet nowadays, and researchers have been
16 proposing methods to automate the task of classifying textual reviews as positive or negative. Usual
17 supervised learning techniques have been adopted to accomplish such a task. In practice, positive
18 reviews are abundant in comparison to negative's. This context poses challenges to learning-based
19 methods and data undersampling/oversampling are popular preprocessing techniques to overcome the
20 problem. A combination of sampling techniques and learning methods, like Artificial Neural Networks
21 (ANN) or Support Vector Machines (SVM), has been successfully adopted as a classification approach
22 in many areas, while the sentiment classification literature has not explored ANN in studies that involve
23 sampling methods to balance data. Even the performance of SVM, which is widely used as a sentiment
24 learner, has been rarely addressed under the context of a preceding sampling method. This paper
25 addresses document-level sentiment analysis with unbalanced data and focus on empirically assessing
26 the performance of ANN in the context of undersampling the (majority) set of positive reviews. We
27 adopted the performance of SVM as a baseline, since some studies have indicated SVM as being less
28 subject to the class imbalance problem. Results are produced in terms of a traditional bag-of-words
29 model with popular feature selection and weighting methods. Our experiments indicated that SVM are
30 more stable than ANN in highly unbalanced (80%) data scenarios. However, under the discarding of
31 information generated by random undersampling, ANN outperform SVM or produce comparable results.

32 INTRODUCTION

33 Nowadays, a large number of users' opinions on products and services is available on the Internet and
34 marketing research have studied the power of consumers' reviews on purchasing decisions in e-commerce
35 (Lee et al., 2008; Cheung and Thadani, 2012; Hu et al., 2006; Park et al., 2007; Zhang and Tran, 2011).
36 Some results have indicated that the anonymity provided by the web motivates honest negative reviews
37 (Joinson, 2001; Woong Yun and Park, 2011), which can have a strong influence on reversing a positive
38 purchase decision (Liu, 2006; Markey and Hopton, 2000; Lee et al., 2008; Verhagen et al., 2013).

39 In order to deal with a large number of textual reviews, Opinion Mining and Sentiment Analysis
40 (OMSA) research area aims to analyze opinions automatically (Liu, 2012). Many studies in the literature
41 have successfully proposed to use Machine Learning (ML) techniques to classify reviews as expressing a
42 positive or negative sentiment (Pang et al., 2002; Turney, 2002; Pang and Lee, 2004; Blitzer et al., 2007;
43 Fersini et al., 2014). However, realistic contexts challenge ML-based approaches since the ratio of positive
44 and negative reviews is unbalanced (Nassiroussi et al., 2014; Blitzer et al., 2007; Li et al., 2011a,a, 2012;
45 Mountassir et al., 2012; Wang et al., 2013). Especially in the e-commerce domain, negative reviews

46 are substantially less frequent than positive ones (Schlosser, 2011; Li et al., 2011a; Burns et al., 2011),
47 which may result in a poor classification performance. To overcome this problem, popular approaches
48 balance the input data by (i) *undersampling* the majority class or (ii) adding samples to the minority class,
49 which is known as *oversampling* (He and Garcia, 2009). Although both techniques have complementary
50 advantages, only the undersampling approach is computationally feasible in some contexts that involve a
51 large amount of data. In addition, undersampling has shown better results than the random oversampling
52 in sentiment classification (Li et al., 2011a,b; Wang et al., 2013). As a disadvantage, undersampling may
53 cause learning algorithms to miss relevant information on the majority class, and the sensitiveness of an
54 algorithm to this scenario may support the choice for a given approach.

55 Support Vector Machine (SVM) is a learning algorithm commonly employed in the sentiment classifi-
56 cation literature (Ravi and Ravi, 2015; Tsytsarau and Palpanas, 2012; Tang et al., 2009) while Artificial
57 Neural Networks (ANN) has attracted less attention as an approach for sentiment learning (Bespalov et al.,
58 2011; Chen et al., 2011; Claster et al., 2010; Zhu et al., 2010), even though some results have indicated
59 that SVM does not outperform ANN in several contexts (Moraes et al., 2013; Ghiassi et al., 2013; Ravi
60 and Ravi, 2015). Although some studies have compared SVM with ANN under different levels of data
61 imbalance (without balancing data) (Moraes et al., 2013), a comparative study involving ANN and SVM
62 under the same context of loss of information, which is caused by the adoption of an undersampling
63 approach, is still unclear in the sentiment classification literature, as discussed in section .

64 In this paper, we address unbalanced document-level sentiment classification and focus on empirically
65 assessing the performance of ANN in the context of undersampling the (majority) set of positive reviews.
66 By involving SVM as a baseline, our research question is about investigating in *which circumstances ANN*
67 *tend to be less/more affected by an undersampling method?* The contributions of our work are:

- 68 1. A performance assessment of an ANN-based method under a context of data undersampling,
69 including a comparison with the well-established SVM, which is potentially less prone to the class
70 imbalance problem (Sun et al., 2009b; Japkowicz and Stephen, 2002).
- 71 2. A performance assessment of SVM on the benchmark dataset of Movies reviews (Pang and Lee,
72 2004) in the context of losing potentially critical information, which is caused by an undersampling
73 method. As discussed in section , although SVM have been widely used in sentiment learning
74 studies, there has been little discussion about the impact caused by a preceding sampling method
75 on their performance.
- 76 3. An empirical analysis of both ANN and SVM as a function of the number of selected features (i.e.,
77 terms), which is supposed to involve an increasing number of noisy terms due to the discard of
78 samples caused by an undersampling approach.

79 This paper is organized as follows. In order to approach a standard framework of experiments, Section
80 presents an overview of usual techniques in sentiment analysis. Section presents an overview of ANN
81 and SVM and their susceptibilities to unbalanced data. Section discusses the literature and justifies the
82 contributions of our work. Our experimental framework is reported in Section and results are discussed
83 in Section . Section summarizes our conclusions.

84 USUAL TECHNIQUES

85 Figure 1 shows an overview of steps and techniques commonly used in sentiment classification approaches.
86 We follow the popular *bag-of-words* model in which documents are represented as vectors, whose entries
87 correspond to individual terms of a vocabulary.

88 Pre-processing techniques involve removing *stopwords*, which are common terms like articles
89 and prepositions, and reducing term variations to a single representation by applying *stemming* tech-
90 niques (Weiss et al., 2004). Popular *stemmer* algorithms for the english language are Snowball (Porter,
91 2001), Porter (Porter, 1980) and the Lovin (Lovins, 1968).

92 Supervised techniques, which are adopted in the classification step (see Figure 1), are not usually
93 adapted to deal with realistic contexts in which the ratio of positive and negative reviews are unbalanced.
94 Techniques to deal with the problem of unbalanced datasets fall into two major categories: *data sampling*
95 and learning algorithm modification (López et al., 2012), which happen as part of pre-processing and
96 classification steps, respectively. As a pre-processing technique, data sampling aims to balance datasets

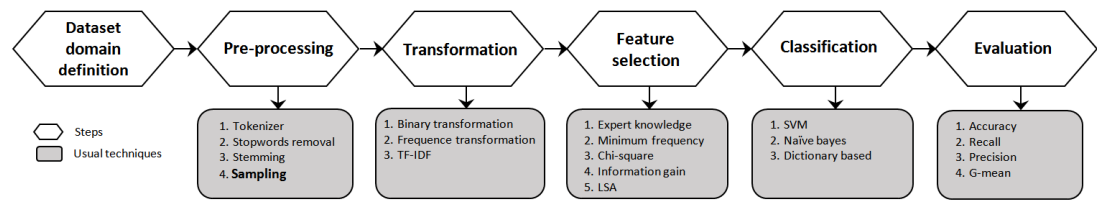


Figure 1. Steps and techniques that are commonly found in sentiment classification approaches.

97 by reducing the number of samples in the majority class (undersampling) or increasing the minority class
 98 (oversampling). Undersampling leads to data loss, while oversampling increases training time and may
 99 cause the effect of over-fitting (Tian et al., 2016). Li et al. (2011a) has reported random undersampling
 100 as a better choice when compared to (i) random oversampling and (ii) a cost-sensitive learning solution,
 101 which involves algorithm modifications (López et al., 2012).

Next, a numerical representation is computed from textual data. *Binary* representation is widely used and only takes into account presence or absence of a term in a document. The number of times a term occurs in a document (i.e., *term frequency*) is also used as a weighting scheme for textual data (Li et al., 2009; Paltoglou and Thelwall, 2010). TF-IDF (*Term Frequency - Inverse Document Frequency*) is one of the most popular representations and considers not only term frequencies in a document, but also the relevance of a term in the entire collection of documents. The classic TF-IDF_{*t,d*} (Manning et al., 2008) assigns to term *t* a weight in document *d* as

$$\text{TF-IDF}_{t,d} = \text{TF}_{t,d} \times \text{IDF}_t, \quad \text{where} \quad \text{IDF}_t = \log \frac{N}{\text{DF}_t}, \quad (1)$$

102 TF_{*t,d*} is the number of occurrences of term *t* in document *d*, *N* is the number of documents in the collection
 103 and DF_{*t*} is the number of documents in the collection that contain term *t*. Essentially, TF-IDF avoids
 104 assigning high scores to terms that occur too often in the dataset.

Another stage commonly found in sentiment classification approaches is feature selection. It can make learning algorithms more efficient/effective by reducing the amount of data to be analyzed as well as identifying relevant features to be considered in the learning process. Usual feature selection methods are *Document Frequency* (Pang et al., 2002; Dang et al., 2010; Bai, 2011), *Mutual Information* (Turney, 2002; Li et al., 2009), *Information Gain* (Abbasi et al., 2011; Li et al., 2009; Riloff et al., 2006; Abbasi et al., 2008), *Chi-square* (Abbasi et al., 2011; Li et al., 2009) and *Latent Semantic Analysis* (LSA) (Bespalov et al., 2011). None of them has been widely accepted as the best feature selection method for sentiment classification or text categorization, however, information gain has often been competitive (Abbasi et al., 2011; Xia and Zong, 2010; Li et al., 2009; Forman, 2003; Yang and Pedersen, 1997). It ranks terms by considering their presence and absence in each class (Berry and Kogan, 2010). A high score is assigned to terms that occur frequently in a class (and rarely in the others) as follows (Weiss et al., 2010):

$$IG(t) = \sum_{k=1}^C P(c_k) \log \frac{1}{P(c_k)} - \sum_{t \in \{t_p, \bar{t}_p\}} P(t) \sum_{k=1}^C P(t|c_k) \log \frac{1}{P(t|c_k)}, \quad (2)$$

105 where $P(c_k)$ is the prior probability of a document occurring in class c_k , $P(t)$ is the probability of term t
 106 occurring or not in a document, i. e. $P(t_p)$ and $P(\bar{t}_p)$ respectively. $P(t|c_k)$ is the conditional probability of
 107 term t occurring or not in a document of class c_k and C is the number of classes.

108 In general, sentiment analysis approaches in the literature can be differed in terms of the adopted
 109 approach for feature selection. On the other hand, Support Vector Machines has been widely used in the
 110 classification stage (Ravi and Ravi, 2015; Tsytsarau and Palpanas, 2012). Learning algorithms like SVM
 111 and ANN are also known as classifiers. Since documents are represented as vectors, a classifier aims to
 112 learn a decision boundary to assign them to one of C classes.

113 Classification performance metrics are usually based on a confusion matrix. Table 1 is a confusion
 114 matrix whose entries are given as a function of two typical classes in document-level sentiment classifica-
 115 tion, positive and negative documents. Accuracy is usual as a performance metric. However, when the
 116 quantification is applied over an unbalanced binary problem, it may lead to a biased interpretation against

117 the minority class (Barranquero et al., 2015). Therefore, recall and precision, as defined in Equations 3
118 and 4, are adopted to measure the classification performance on each class (Moraes et al., 2013).

Table 1. Confusion matrix.

	Predicted	
	Positive documents	Negative documents
Actual positive documents	# True Positive samples (<i>TP</i>)	# False Negative samples (<i>FN</i>)
Actual negative documents	# False Positive samples (<i>FP</i>)	# True Negative samples (<i>TN</i>)

$$\text{recall} = \frac{TP}{TP + FN} \quad (3)$$

$$\text{precision} = \frac{TP}{TP + FP} \quad (4)$$

119 Recall, as defined in Equation 3, is also known as Positive Recall, True Positive Rate or Sensitivity (Li
120 et al., 2011a). Negative Recall, also called as True Negative Rate or Specificity, combined with Positive
121 Recall constitute Geometric Mean (G-Mean), as defined in Equation 5 (He and Garcia, 2009; Kubat and
122 Matwin, 1997). G-Mean is appropriate to the unbalanced context (Barranquero et al., 2015) and has been
123 widely used in the unbalanced learning literature (Wu and Chang, 2003; Guo and Viktor, 2004; Wu and
124 Chang, 2005; Su and Hsiao, 2007; Li et al., 2011a; Romero et al., 2013).

$$\text{G-Mean} = \sqrt{\frac{TP}{TP + FN} \times \frac{TN}{TN + FP}} \quad (5)$$

125 ANN, SVM AND UNBALANCED DATASETS

126 This section provides a brief review of the fundamental aspects of the supervised classifiers ANN and
127 SVM. We adopted the performance of the SVM classifier as a baseline to evaluate results with ANN,
128 since it is a learning algorithm commonly employed in the sentiment classification literature (Ravi and
129 Ravi, 2015; Tsytzarau and Palpanas, 2012; Tang et al., 2009). Instead of providing a detailed description
130 of these approaches, we focus on reviewing concepts of ANN and SVM with the purpose of discussing
131 issues in the context of learning from unbalanced datasets.

132 Artificial Neural Networks

133 Neural networks derive features from linear combinations of input data, and then model the output as a
134 nonlinear function of these features (Hastie et al., 2001). As a result, ANN have been one of the most
135 popular forms of learning system (Russell and Norvig, 2009).

136 Typically, neural networks are represented as a network diagram, which is composed of linked nodes
137 or neurons. Usually, neurons are simple mathematical models that produce an output value in two steps.
138 First, each neuron computes a weighted sum of its inputs, and an output value is computed by applying an
139 *activation function* to the sum (Luger, 2008). An activation function can be a nonlinear function, which
140 ensures that the entire network can estimate a nonlinear function, like a nonlinear decision boundary.

141 Multilayer Perceptron (MLP) is an usual type of neural networks in which nodes are arranged in
142 layers, namely the input, the hidden and the output layer of nodes (Hastie et al., 2001). Each connection
143 has an associated weight, which is estimated by minimizing a global error function in terms of a gradient
144 descent training process (Haykin, 2008).

145 In case of training on unbalanced data, the gradient descent direction may be dominated by the
146 majority class and the training error may be minimized only for this class (Sun et al., 2009b; Anand et al.,
147 1993). Therefore, the training process may terminate before the error for the small class decrease (Sun
148 et al., 2009b).

149 Support Vector Machines

150 SVM is a supervised learning method with many qualities, and performs classification more accurately
151 than most other algorithms in many areas. Researchers have reported that SVM is perhaps the most
152 accurate method for text classification (Liu, 2011), and therefore it is widely used in sentiment learning
153 tasks (Tsytarau and Palpanas, 2012).

154 SVM is a linear method of finding an optimal hyperplane to separate two classes. When classes cannot
155 be linearly separated, the input data space is transformed into a higher-dimensional space so that data can
156 be linearly separable and suitable for the linear approach. *Kernel functions* are typically used to make this
157 transformation (Huang et al., 2006). This makes it possible to determine a nonlinear decision boundary,
158 which is linear in the higher-dimensional feature space, without computing the parameters of the optimal
159 hyperplane in a feature space of possibly high dimensionality (Haykin, 2008). Hence, the solution can be
160 written as a weighted sum of the values of a kernel function, which is usually evaluated only at some data
161 points (Horváth, 2003).

162 As a supervised classification approach, SVM seeks to maximize the distance to the closest training
163 points from either class to achieve better generalization on test data (Hastie et al., 2001). The solution
164 rely solely on those training data points that are at the margin of the decision boundary. These points are
165 the *support vectors*. Instead of minimizing a global error function in a gradient descent process, which
166 suffers from the existence of multiple local minima solutions, the parameters of the optimal separating
167 hyperplane can be obtained by solving a convex optimization problem.

168 SVM is potentially less susceptible to the class imbalance problem than other learning algorithms,
169 since the hyperplane between classes is supposed to be calculated with respect to only a few support
170 vectors and the class sizes may not affect the class boundary too much (Sun et al., 2009b; Japkowicz
171 and Stephen, 2002). Although some studies have shown good results of standard SVM algorithm on
172 unbalanced datasets (Sun et al., 2009a), many others have reported that SVM is sensitive to a class
173 imbalance scenario (Wu and Chang, 2003; Akbani et al., 2004), even in sentiment classification tasks
174 (Moraes et al., 2013). Wu and Chang (2003) and Akbani et al. (2004) have discussed some possible
175 reasons to explain what makes SVM sensitive to class imbalance. As an approach to overcome the
176 problem, Akbani et al. (2004) have also shown that the undersampling strategy may discard samples at
177 the class boundary, which may negatively affect the orientation of the separating hyperplane estimated by
178 the SVM algorithm.

179 Despite the difficulties of both ANN and SVM to deal with unbalanced data, and the fact that an
180 undersampling strategy may lose valuable information, satisfactory results have been reported for different
181 natures of data in the literature (Wang and Japkowicz, 2010; Sun et al., 2009a). Additionally, Moraes et al.
182 (2013) have indicated that SVM requires a high number of support vectors to classify sentiment (positive
183 versus negative reviews), which means that the results may be more dependent on the class sizes, and
184 consequently resulting in a worse performance of SVM when compared with ANN in some contexts.

185 RELATED WORK

186 Some studies have emphasized the spread of positive reviews in e-commerce. Schlosser (2011) have
187 found that 80% of e-commerce reviews are positive, which agrees with the findings of Kim et al. (2012)
188 in the sense that 99.1% of customers feedback on eBay are positives. On the other hand, although the
189 number of negative reviews is lower than the positive ones, their strong influence on purchasing decisions
190 has been confirmed (Chevalier and Mayzlin, 2006; Sen and Lerman, 2007). Verhagen et al. (2013)
191 discussed the importance of negative posts and their usefulness for both consumers and companies that
192 monitor their products and image. Cheung and Lee (2012) investigated the restaurant domain and built a
193 psychology model, which found that the act of sharing negative experiences can save others consumers
194 from uncomfortable situations and affect their intentions to post reviews.

195 In recent years, an increasing number of studies have proposed methods to automate the task of
196 classifying product or services reviews as being positive or negative (Ravi and Ravi, 2015). Regardless of
197 the development in this research field, a practical issue has attracted little attention: the *imbalance between*
198 *positive and negative reviews* mainly found in the e-commerce environment. The imbalance imposes
199 challenges to learning-based methods, like SVM, that have been performed successfully in balanced data
200 contexts (He and Garcia, 2009; Lane et al., 2012; Wang et al., 2013).

201 Burns et al. (2011) addressed sentiment classification on unbalanced datasets, however the experiments
202 have involved neither SVM nor ANN. Li et al. (2011b) and Li et al. (2011a) conducted experiments

203 on various domains of unbalanced reviews, like books, DVDs, electronics and kitchen. Although the
204 analysis has involved random undersampling and SVM, they have not combined in a single approach and,
205 therefore, there were no results produced by applying the undersampling technique followed by SVM.
206 In contrast, Wang et al. (2013) and Vinodhini and Chandrasekaran (2017) reported results that combine
207 SVM and a preceding data undersampling method. To the best of our knowledge, these works are the
208 only studies under such a scenario in the sentiment classification literature. However, Wang et al. (2013)
209 focused on comparisons of feature selection approaches with only SVM as the learning algorithm, and the
210 experiments have not involved the popular benchmark dataset of Movies reviews (Pang and Lee, 2004).
211 Vinodhini and Chandrasekaran (2017) have also involved only SVM in their experiments.

212 Perhaps the most conclusive experiments that compare the sensitiveness of an ANN-based method
213 with SVM-based approaches for unbalanced sentiment learning are reported in (Lane et al., 2012) and
214 (Moraes et al., 2013). Lane et al. (2012) discussed results on a broad setup of experiments, which
215 involves unbalanced datasets, different types of features and comparisons between learning algorithms
216 like Naïve Bayes, SVM and even ANN (Radial Basis Functions - RBF). Despite the variety of techniques
217 under comparison, the datasets used in the experiments are slightly unusual in the context of sentiment
218 classification literature, since the input data consists of documents collected from newspapers and
219 magazines, which were probably well-written by journalists, in contrast to regular consumers reviews
220 commonly found in e-commerce. In addition, class labels were manually assigned by trained analysts
221 in terms of *favourability* scores, which may be different from a rating assigned by the own author of a
222 review. As an interesting result, the Naïve Bayes learning algorithm has outperformed SVM and ANN in
223 the task of distinguishing between documents with generally positive and negative favourability, which is
224 contrary to many studies in the sentiment classification literature (Ravi and Ravi, 2015). Moraes et al.
225 (2013) has also compared ANN with SVM in the task of learning sentiment from unbalanced datasets,
226 however the algorithms were tested directly on unbalanced data and the experiments have not involved
227 any technique to mitigate the effects of class imbalance.

228 Based on the literature review above, our work contrasts with previous works as follows:

- 229 1. The effects of a preceding data sampling method on the performance of ANN have not been
230 discussed in the context of sentiment learning from unbalanced data;
- 231 2. The combination of SVM with a preceding undersampling technique is a popular approach to deal
232 with unbalanced datasets (Sun et al., 2009a). However, the impact caused by an undersampling
233 method on the classification performance of SVM and the conclusions of Akbani et al. (2004),
234 which has shown that an undersampling strategy may negatively affect SVM, have not been clearly
235 and completely addressed in the sentiment classification literature.
- 236 3. Consequently, a comparison between ANN and SVM has not also been discussed so that we can
237 answer the following question in the context of sentiment classification literature: *Which one tend*
238 *to be less/more affected by an undersampling method?*

239 EXPERIMENTAL FRAMEWORK

240 Our evaluation methodology involves two scenarios in which ANN is compared with SVM. First, we
241 evaluate the classifiers' performance on highly unbalanced datasets, with a data imbalance ratio around
242 80% and less negative than positive reviews, i.e. $\#Neg/\#Pos \approx 0.2$. The goal of the second scenario is
243 to assess how the classifiers' performance is affected by randomly undersampling the (majority) set of
244 positive reviews.

245 Both ANN and SVM classifiers were parameterized empirically in a grid search fashion guided
246 by better values of accuracy. We report the best result obtained among the different combination of
247 parameters. We used a classical Feed-Forward Neural Network (Multi-Layer Perceptron) with the Back-
248 Propagation algorithm. A single hidden layer was used and the number of neurons M was selected from
249 the set $M \in \{15, \dots, 55\}$. In addition, we used the scaled conjugated gradient to speed up the convergence
250 to a solution (Müller, 1993), as implemented in the Matlab software, and a non-linear function was
251 adopted as the activation function. The SVM classifier was trained by using the LIBSVM software
252 package (Chang and Lin, 2011) with a nonlinear kernel (radial basis function) and default parameter
253 values, except for the cost constant c whose values were selected from the interval $c \in [10^{-1}, 10^3]$.

254 We adopted a 10-fold cross-validation and each test fold consisted of 100 positive and 100 negative
 255 reviews. To generate the imbalance, a fraction of each training fold was randomly removed. Based on
 256 Burns et al. (2011) and Li et al. (2011a), which have collected datasets with the original unbalanced
 257 rate around 80%, we considered just 180 reviews for the (minority) negative class, and the positive class
 258 consisted of 900 training reviews.

259 For each training set, we ranked/selected terms by using the Information Gain (IG) technique (Yang
 260 and Pedersen, 1997), and evaluate the performance of the learning methods as a function of an increasing
 261 number of selected terms.

262 We adopted the Geometric Mean (G-Mean) to measure the classifiers' performance, as defined in
 263 Equation 5. G-Mean is high when the values of both True Positive Rate and True Negative Rate is high
 264 as well as the difference is small (Kubat et al., 1997). In addition, we adopted the recall and precision
 265 metrics to measure the performance of the classifiers on each class. In order to evaluate how different
 266 the performance is between SVM and ANN, we applied the Student's t-test with 5% of significance
 267 (Alpaydin, 2010).

268 Datasets and preprocessing

269 Our experiments involve four datasets of different domains, which include the classical movie reviews
 270 dataset broadly used in the literature, as proposed by Pang and Lee (2004). The other three datasets are
 271 reviews about GPS devices, books, and cameras collected from amazon.com, and each of them consists
 272 of 1,000 positive and 1,000 negative reviews randomly selected from the data source. The ground truth
 273 was obtained according to the customer 5-stars rating. Reviews with more than 3 stars were defined as
 274 being positive and reviews with less than 3 stars were labeled as being negative. Reviews with 3 stars are
 275 not included in our datasets.

276 The preprocessing of the datasets consisted of removing stopwords and stemming by applying the
 277 Snowball stemmer (Porter, 2001). We adopted a Bag-of-Words approach with single words (unigrams) to
 278 represent the reviews and TF-IDF as the weighting method (Manning et al., 2008). Table 2 characterizes
 279 the distribution of terms in the datasets after removing stopwords and stemming.

Table 2. Details of the datasets used in the experiments.

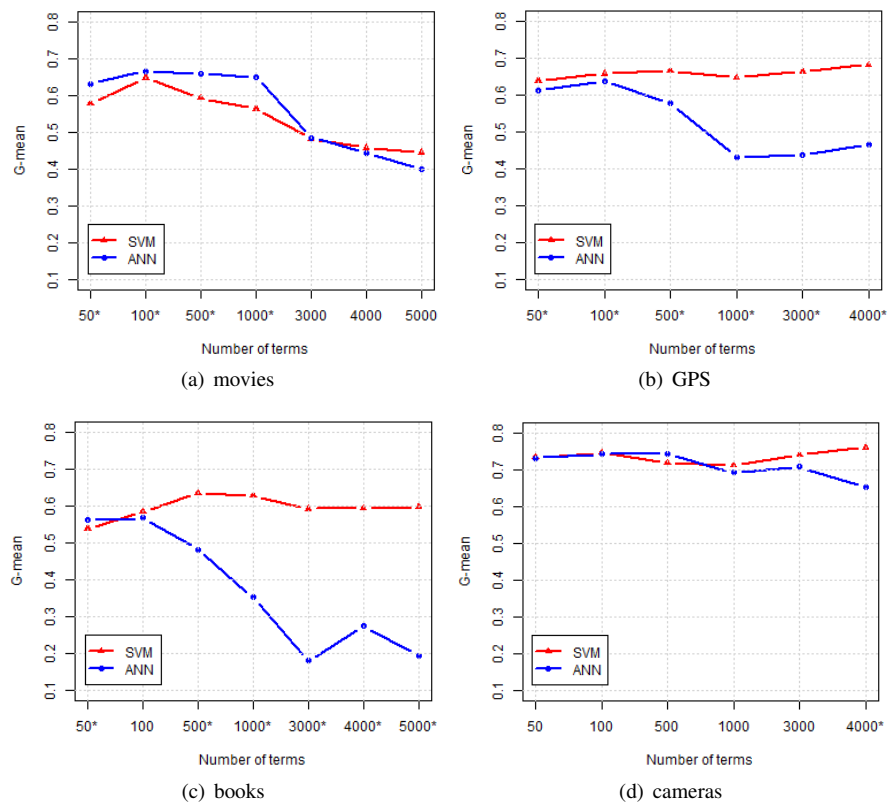
Domain	Number of distinct terms	Average number of terms per document
movies	25,456	665.6
GPS	6,880	171.5
books	10,422	189.9
cameras	5,996	122.6

280 RESULTS

281 Our results are given as a function of vocabulary sizes since we aim to compare the behavior of classifiers
 282 and their requirements to achieve better classification performance. The vocabularies consisted of terms
 283 that were best ranked by the IG technique in the training stage. We arbitrarily chose seven quantities of
 284 terms between 50 and 5,000. However, for some datasets, a low number of terms may result from the
 285 undersampling process and the resulting vocabulary size may not cover the entire range under analysis,
 286 and therefore no results are reported for some values of vocabulary sizes.

287 Figure 2 shows the average G-Mean for movies, GPS devices, books, and cameras datasets in the
 288 unbalanced context as a function of different number of selected terms, and Figure 3 shows the average
 289 G-Mean for the balanced scenario, which results from the undersampling approach. In the x-axes, the
 290 numbers of terms marked with "*" represent experiments in which the difference between the performance
 291 of ANN and SVM is statistically significant.

292 Tables 3 and 4 summarize the performance in terms of recall and precision for the unbalanced and
 293 undersampling contexts, respectively.

Figure 2. Unbalanced datasets: average G-Mean as a function of the number of selected terms.

294 Considering our results in the context of learning from unbalanced datasets (imbalance rate $\approx 80\%$),
 295 we observed the following:

- 296 • ANN outperformed SVM significantly in only 5 of 26 tests, while SVM outperformed significantly
 297 ANN in 12 tests.
- 298 • ANN tended to be more affected by noisy terms than SVM when the number of terms increases,
 299 as indicated by the decreasing G-Mean average for ANN in Figures 2(b)-(d). Since the selection
 300 of terms consisted of the top ranked terms according to IG score, it is reasonable assume that the
 301 larger is a set of selected terms, the higher is the chance of it containing less important (noisy)
 302 terms. Recall on the Negative class and precision on the Positive class (see Table 3) confirm the
 303 inferior performance of ANN.
- 304 • However, ANN was comparable with SVM in the Movies dataset, as shown in Figure 2(a). The
 305 reason for this may be due to the quality of terms in the dataset, since the reviews present character-
 306 istics that can result in a selection of terms with less noisy terms, like reviews with more terms (see
 307 Table 2) and terms that reach higher IG scores on average (Moraes et al., 2013).
- 308 • ANN was competitive with SVM when few terms (up to 100 terms) are selected to compose the
 309 vocabulary. Additionally, although the best performance of SVM has happened as a function of
 310 more than 100 terms, except for the Movies dataset (best performance at just 100 terms), it has not
 311 exceed 5% when compared with the performance achieved at 100 terms.

312 Considering our results in the context of undersampling the (majority) set of positive reviews, we
 313 observed the following:

- 314 • ANN outperformed SVM significantly in 6 of 22 tests, while SVM outperformed significantly ANN
 315 only twice.

Table 3. *Unbalanced datasets:* average recall and precision as a function of the number of selected terms. Best results for each classifier are in boldface.

Dataset	Metric	Classifier	Class	Number of terms						
				50	100	500	1,000	3,000	4,000	5,000
movies	Recall	ANN	Pos	0.967	0.963	0.972	0.971	0.987	0.984	0.988
			Neg	0.413	0.462	0.447	0.435	0.248	0.23	0.179
		SVM	Pos	0.953	0.936	0.94	0.937	0.931	0.925	0.942
			Neg	0.352	0.451	0.375	0.339	0.25	0.227	0.211
	Precision	ANN	Pos	0.622	0.642	0.637	0.632	0.569	0.564	0.548
			Neg	0.925	0.927	0.842	0.938	0.65	0.629	0.537
		SVM	Pos	0.596	0.631	0.601	0.586	0.553	0.544	0.544
			Neg	0.88	0.873	0.861	0.845	0.787	0.751	0.79
GPS	Recall	ANN	Pos	0.958	0.954	0.964	0.972	0.976	0.969	—
			Neg	0.393	0.427	0.355	0.206	0.21	0.24	—
		SVM	Pos	0.935	0.939	0.934	0.944	0.953	0.96	—
			Neg	0.436	0.46	0.475	0.445	0.462	0.484	—
	Precision	ANN	Pos	0.612	0.625	0.61	0.552	0.554	0.563	—
			Neg	0.904	0.903	0.914	0.694	0.697	0.59	—
		SVM	Pos	0.624	0.635	0.641	0.63	0.639	0.65	—
			Neg	0.874	0.884	0.879	0.89	0.909	0.926	—
books	Recall	ANN	Pos	0.957	0.966	0.976	0.992	0.995	0.991	0.996
			Neg	0.332	0.334	0.249	0.126	0.068	0.072	0.041
		SVM	Pos	0.94	0.935	0.933	0.941	0.944	0.946	0.944
			Neg	0.31	0.365	0.432	0.419	0.373	0.373	0.379
	Precision	ANN	Pos	0.589	0.592	0.566	0.531	0.516	0.517	0.509
			Neg	0.889	0.906	0.723	0.64	0.349	0.348	0.336
		SVM	Pos	0.577	0.595	0.622	0.619	0.601	0.601	0.603
			Neg	0.84	0.853	0.868	0.876	0.872	0.873	0.872
cameras	Recall	ANN	Pos	0.969	0.975	0.977	0.974	0.974	0.979	—
			Neg	0.554	0.565	0.566	0.493	0.509	0.438	—
		SVM	Pos	0.958	0.962	0.953	0.954	0.957	0.968	—
			Neg	0.562	0.577	0.543	0.532	0.571	0.597	—
	Precision	ANN	Pos	0.685	0.692	0.693	0.656	0.67	0.638	—
			Neg	0.947	0.957	0.86	0.85	0.753	0.851	—
		SVM	Pos	0.687	0.695	0.677	0.672	0.691	0.707	—
			Neg	0.93	0.939	0.919	0.92	0.93	0.948	—

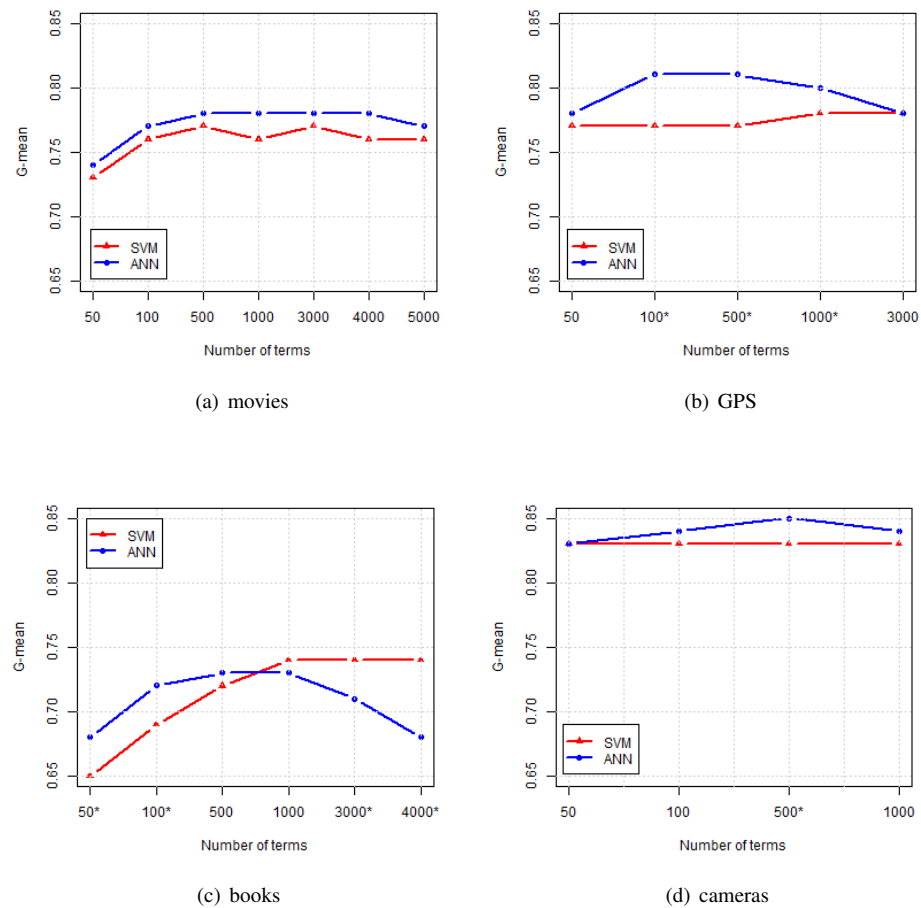
- 316 • Again, but less expressively, the increase in the number of selected terms tended to affect negatively
317 the ANN performance, as shown in Figures 3(b)-(d), except in the Movies dataset. ANN performed
318 as stable as SVM on the movies dataset (see Fig. 3(a)) and the reason may be due to the quality of
319 terms, as discussed above.
- 320 • In terms of recall and precision, both classifiers showed similar behaviors. Although the under-
321 sampling of positive reviews have significantly improved the performance of both classifier, in
322 comparison with the unbalanced scenario, recall on the Positive class remained higher than recall
323 on the Negative class as well as precision on the Negative class remained higher than precision on
324 Positive class.

325 DISCUSSION

326 In accordance with Moraes et al. (2013), our results indicated that SVM tend to be more stable than
327 ANN to deal with noisy terms in an unbalanced data context, since datasets of Books, GPS and Cameras
328 have produced more noisy terms than the Movies reviews one (Moraes et al., 2013), and the behavior of
329 G-Mean as a function of an increasing number of input (noisy) terms shown that the performance of ANN
330 tend to decrease below the performance of SVM. Additionally, it is interesting to note that, although the
331 number of reviews have been reduced considerably by the undersampling approach, ANN still tended to
332 outperform SVM in a balanced context. It agrees with the results reported by Moraes et al. (2013), which
333 were also produced in a context of balanced data, but with much more reviews since the experiments have
334 not involved undersampling techniques.

335 We adopted the classical neural classifier Multi-Layer Perceptron, but there are several kinds of
336 neural networks that could be used, some of them perhaps more suitable for treating high dimensional,
337 noise, and sparse data like textual information from the Internet. For example, a cost-sensitive neural
338 network (Zhou and Liu, 2006) or convolutional neural networks (Severyn and Moschitti, 2015).

Figure 3. *Balanced datasets (via undersampling):* average G-Mean as a function of the number of selected terms.



339 We used terms (unigrams) as input features in our experiments. However, other features like n-grams
 340 (Vinodhini and Chandrasekaran, 2017), Part-of-Speech (Wang et al., 2015), Joint Sentiment Topic (He
 341 et al., 2011) or improvements in the quality of features (Xia et al., 2016) could also open new possibilities
 342 of investigation.

343 CONCLUSION

344 Considering the importance of negative reviews in purchasing decisions and the fact that such reviews are
 345 less common than positive reviews in e-commerce, this paper addressed the task of classifying positive
 346 versus negative-oriented reviews in data unbalanced scenarios, and focused on assessing the performance
 347 of ANN in the context of undersampling the (majority) set of positive reviews. Our experiments empirically
 348 compared ANN with SVM as a function of selected terms in a bag-of-words (unigrams) approach.

349 Results indicated that ANN is less stable than SVM in an unbalanced context, considering an increasing
 350 number of selected terms to represent the documents. As observed in Moraes et al. (2013), more terms
 351 may involve more noise to represent documents, showing that the neural network classifier is more
 352 sensitive to noise than the SVM classifier.

353 On the other hand, despite the negative aspects of random undersampling (Liu et al., 2009; Akbani
 354 et al., 2004), in all the experiments that it was employed, G-mean rates were higher than those unbalanced
 355 experiments. Even though the undersampling approach discards samples of the majority class, the
 356 performance improvements in the minority class seem to justify such a disadvantage. Although only one

Table 4. *Balanced datasets (via undersampling):* average recall and precision as a function of the number of selected terms. Best results for each classifier are in boldface.

Dataset	Metric	Classifier	Class	Number of terms						
				50	100	500	1,000	3,000	4,000	5,000
movies	Recall	ANN	Pos	0.783	0.783	0.794	0.798	0.797	0.791	0.782
			Neg	0.702	0.759	0.769	0.762	0.767	0.762	0.753
		SVM	Pos	0.775	0.793	0.798	0.773	0.76	0.737	0.743
			Neg	0.696	0.727	0.744	0.758	0.775	0.784	0.776
	Precision	ANN	Pos	0.725	0.766	0.775	0.77	0.775	0.769	0.759
			Neg	0.766	0.779	0.79	0.793	0.794	0.786	0.776
		SVM	Pos	0.719	0.745	0.758	0.763	0.772	0.775	0.77
			Neg	0.755	0.778	0.787	0.771	0.764	0.749	0.751
GPS	Recall	ANN	Pos	0.853	0.843	0.846	0.82	0.814	—	—
			Neg	0.722	0.779	0.779	0.786	0.756	—	—
		SVM	Pos	0.796	0.793	0.804	0.828	0.852	—	—
			Neg	0.739	0.752	0.734	0.732	0.713	—	—
	Precision	ANN	Pos	0.756	0.792	0.793	0.795	0.77	—	—
			Neg	0.831	0.835	0.835	0.819	0.804	—	—
		SVM	Pos	0.753	0.763	0.752	0.756	0.748	—	—
			Neg	0.785	0.787	0.791	0.811	0.83	—	—
books	Recall	ANN	Pos	0.768	0.78	0.776	0.78	0.743	0.722	—
			Neg	0.617	0.661	0.689	0.688	0.675	0.654	—
		SVM	Pos	0.78	0.805	0.814	0.817	0.816	0.764	—
			Neg	0.558	0.597	0.644	0.677	0.668	0.711	—
	Precision	ANN	Pos	0.672	0.7	0.715	0.716	0.696	0.678	—
			Neg	0.736	0.753	0.755	0.76	0.727	0.702	—
		SVM	Pos	0.639	0.669	0.697	0.719	0.715	0.727	—
			Neg	0.731	0.757	0.779	0.788	0.786	0.753	—
cameras	Recall	ANN	Pos	0.874	0.86	0.866	0.865	—	—	—
			Neg	0.793	0.821	0.831	0.823	—	—	—
		SVM	Pos	0.855	0.847	0.856	0.866	—	—	—
			Neg	0.799	0.811	0.808	0.804	—	—	—
	Precision	ANN	Pos	0.81	0.829	0.837	0.831	—	—	—
			Neg	0.862	0.856	0.861	0.859	—	—	—
		SVM	Pos	0.811	0.818	0.818	0.816	—	—	—
			Neg	0.847	0.841	0.849	0.857	—	—	—

357 aleatory sample of positive opinions has been made, our experiments indicated that the undersampling
 358 strategy considerably benefits the ANN classifier. In situations where it is possible to select the best
 359 attributes, the ANN classifier could be competitive with or better than the SVM classifier.

360 Future work can extend this research to apply other approaches to treat the imbalance. As discussed
 361 by López et al. (2012), some studies have indicated that the drop in classifier's performance may be not
 362 solely caused by class imbalance, but it may be also related to intrinsic data characteristics like the degree
 363 of data overlapping among the classes. In this manner, a future contribution may be in analysing the
 364 influence of the imbalance ratio over the classification process as a function of a class overlapping metric
 365 on sentiment data.

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