

1 **Marathon penguins – reasons and consequences of long-range**
2 **dispersal in Fiordland penguins / Tawaki during the pre-moult**
3 **period**

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19 **Abstract**

20 Migratory species often roam vast distances bringing them into contact with diverse conditions and
21 threats that could play significant roles in their population dynamics. This is especially true if long-
22 range travels occur within crucial stages of a species' annual life-cycle. Crested penguins, for
23 example, usually disperse over several hundreds of kilometres after completing of the energetically
24 demanding breeding season and in preparation for the costly annual moult. A basic understanding
25 of crested penguins' pre-moult dispersal is therefore paramount in order to be able to assess factors
26 affecting individual survival. The Fiordland penguin / tawaki, the only crested penguin species
27 breeding on the New Zealand mainland, is currently one of the least studied and rarest penguin
28 species in the world. We successfully satellite tracked the pre-moult dispersal of 17 adult Tawaki
29 from a single colony located in the species' northern breeding distribution. Over the course of 8-10
30 weeks the penguins travelled up to 2,500 km away from their breeding colony, covering total
31 swimming distances of up to 6,800 km. During outbound travels all penguins headed south-west
32 within a well-defined corridor before branching out towards two general trip destinations. Birds
33 leaving in late November travelled towards the Subtropical Front some 800 km south of Tasmania,
34 whereas penguins that left in December headed further towards the subantarctic front. Using K-
35 select analysis we examined the influence of oceanographic factors on the penguins' dispersal.
36 Water depth, surface current velocity and sea level anomalies had the greatest influence on penguin
37 movements at the subantarctic Front, while sea surface temperature, chlorophyll a concentration
38 were key for birds travelling to the subtropical front. We discuss our findings in the light of
39 anthropogenic activities (or lack thereof) in the regions visited by the penguins as well as the
40 potential consequences of Tawaki pre-moult dispersal for the species' breeding distribution on the
41 New Zealand mainland.

42 Introduction

43 Conservation of migratory animal species is a daunting task. Unlike sedentary species that
44 principally utilize spatially definable habitats which, at least in theory, allows for a holistic
45 conservation approach, migratory species often roam vast distances, traversing or entering regions
46 with differing conservation challenges [1,2]. While many migratory land bird species can be
47 monitored with comparatively simple measures along their flyways and in their breeding, stop-
48 over, or over-wintering destinations [3], the journeys of migratory seabirds with open-ocean
49 destinations can be studied only with technological assistance [4]. At least some basic knowledge
50 about such species' whereabouts outside the breeding period is vital to be able to put population
51 trends into a valid ecological context [5]. This is particularly true when it comes to the
52 interpretation of survival rates and the assessment of factors influencing population dynamics [6].
53 Crested penguins from the New Zealand region provide a good example of this challenge.

54 New Zealand hosts one third of the world's penguin species within its Exclusive Economic Zone [7].
55 Of these, four belong to the genus of crested penguins (*Eudyptes*), three of which are endemic to
56 New Zealand, namely the Erect-crested penguin (*E. sclateri*), the Snares penguin (*E. robustus*) and
57 the Fiordland penguin / Tawaki (*E. pachyrhynchus*). (Note: For brevity and clarity, throughout this
58 paper we will refer to the latter species only as *Tawaki*, its native and legal name in New Zealand.)
59 Populations of both, Erect-crested and Tawaki, are believed to be undergoing significant declines
60 [8]. The reason for these declines are unclear, though ocean warming and associated changes in
61 marine productivity have been suspected to play a role [9]. While the Erect-crested and Snares
62 penguins occur only on subantarctic islands, Tawaki is the only crested penguin species to breed on
63 the New Zealand mainland, where it is exposed to a variety of threats ranging from introduced
64 predators to human disturbance [10]. Unlike its subantarctic congeners, Tawaki are subject to
65 frequent monitoring efforts so that any substantial negative changes in population size can be met

66 with conservation actions. However, in order to succeed, such actions require at least a basic
67 understanding of factors responsible for any population changes. This is especially vital in times of
68 limited resources for conservation [11,12].

69 Adult survival is the vital rate for long-lived seabird populations [13]. In penguins, the pre-moult
70 phase is crucial for adult survival [14]. Chick rearing duties generally result in substantial loss of
71 body mass which needs to be replenished rapidly in preparation for the energy demanding annual
72 moult [15]. During the breeding season, Tawaki lose up to 50% of their pre-breeding weight which
73 they have to fully restore on their annual 60-80 day pre-moult foraging trips between December
74 and February [10]. As penguins have to remain on land for at least three weeks to complete the
75 moult [16], it is important for them to be able to access abundant food resources during the pre-
76 moult period. Breeding sites are devoid of penguins at this stage so that it can be assumed that the
77 birds have offshore foraging destinations. It is conceivable that the penguins during that time visit
78 regions that are subject to large scale industrial fisheries which can have profound impacts on
79 survival rates of other top-level predators [17]. Hence, interpretations of annual survival rates must
80 consider the species' exposure to sea-based threats and therefore require knowledge of their pre-
81 moult dispersal.

82 We studied the pre-moult journeys of Tawaki after the conclusion of the 2016 breeding season at
83 one of the species' core breeding sites on the southern Westcoast of the South Island, New Zealand.
84 Our goal was to locate the ocean regions the birds visit during their dispersal period, and thus to
85 identify the environmental variables associated with the penguins' selected dispersal trajectories.

86 **Material and Methods**

87 **Study species & site**

88 With an estimated population size of 5,500-7,000 mature birds the Fiordland penguin / Tawaki
89 (*Eudyptes pachyrhynchus*) is the third-rarest penguin species world-wide and is classified under the

90 IUCN Red List criteria as ‘Vulnerable’ [18]. It is endemic to the south-western ranges of New
91 Zealand’s South Island (southern West Coast and Fiordland) as well Stewart Island and its outliers
92 [10] (Fig 1). In 2014, a long-term study was launched to investigate the species’ marine ecology
93 (‘The Tawaki Project’, <http://www.tawaki-project.org>) over its entire breeding range [19].

94 We investigated pre-moult dispersal of penguins breeding along a remote stretch of coastline south
95 of Gorge River, South Westland (S44.188, E168.188). The site is located approximately 30 km from
96 the nearest settlement, Jackson Bay on the West Coast of New Zealand’s South Island (Fig 1). Tawaki
97 live and breed in loose colonies in primary forest along the coast. The current estimate of the
98 population size for the region (Cascade River, S44.029, E168.374, to Martins Bay, S44.335,
99 E167.997) is around 870 breeding pairs [20], which represents nearly one third of the species’
100 global population.

101 **Satellite telemetry**

102 We deployed Sirtrack Kiwisat 202 satellite transmitters (LxWxH: 60x27x17mm; weight: 32g) on
103 adult penguins to track their at sea movements between the end of their breeding season (August-
104 November) and their annual moult (February-March) [16]. Between 11 and 13 November 2016, a
105 total of 20 birds, 12 males and 8 females determined from body weights and bill dimensions [16],
106 was fitted with devices using black adhesive tape (Tesa 4651, Beiersdorf AG, Germany) following
107 the methods described in [21]. In addition to tape, rubber glue (Pattex Classic, Henkel AG, Germany)
108 was applied to the device base to provide additional bond. After attachment with tape, the top
109 surface of the wrapped device was sealed with 2-component epoxy glue (Weicon Epoxy Minute
110 Adhesive, Weicon GmbH & Co. KG, Germany) to prevent the tape from loosening over time and to
111 provide a smooth finish to enhance hydrodynamics. Battery life of the devices was expected to be 6
112 months and, therefore, sufficient to cover entire pre-moult trips which were expected to last
113 between 8 and 12 weeks [10].

114 **Argos data processing**

115 A total of 3,989 positions were recorded for 19 birds; one device failed to transmit any location
116 information. Moreover, signals from two birds stopped before they initiated their pre-moult trip,
117 most likely due to technical failures or device loss. The data from the remaining 17 birds was
118 filtered to remove short-term foraging trips performed by the birds before their final departure, so
119 that 2,827 positions remained. Of these, 1,384 positions (48.9%) did not have an accuracy
120 estimation (ARGOS location classes 'A' and 'B'), so that simple data filtering by location classes only
121 (e.g. Pütz et al., 2014) would have unduly reduced the numbers of positions obtained. Instead, the
122 Speed-Distance-Angle-filter algorithm [23] was applied in R [24] using the corresponding package
123 'argosfilter' [25]. The 'sdfilter' algorithm is based on the traveling speed of the tracked animal,
124 distance between successive locations, as well as turning angle, and requires the specification of a
125 maximum traveling speed threshold [23]. We used a threshold of 2.0 m/s based on the mean of
126 penguin swimming speeds as reported in [26]. After applying the filter, 2,326 positions (82.3%)
127 were retained for further analysis. As satellite tags often transmitted several positions per day, we
128 calculated daily means of both time-of-the-day per positions and location. For each bird, mean time-
129 of-the-day was calculated by converting date and time of fixes recorded on a given calendar day
130 (UTC time code) into UNIX timestamps (i.e. number of seconds elapsed since midnight 1 January
131 1970), calculating the mean for these timestamps, and back-converting the result to date-time
132 format. Averaging of locations was achieved by using 'geomean' (R package 'geosphere'; [27]) on
133 daily fixes. Thus, only a single mean time and position per day and individual remained for
134 subsequent analysis. 'Daily travel distances' and 'average travel speeds' were then determined using
135 the great-circle-distance between consecutive mean daily positions (function 'distCosine' from
136 'geosphere') and the corresponding time differences.

137 **Spatial data analysis**

138 Basic spatial analysis was performed in ArcGIS [28] using filtered daily positions. Firstly, distances

139 were calculated between consecutive points of each bird's filtered data set. Trip length was
140 calculated as cumulative sum of these distances. The position furthest away from the location of
141 device deployment was defined as 'maximum range'.

142 Filtered satellite locations were used to compute kernel density distributions. To account for
143 incomplete data sets, two different density distributions were calculated, namely kernels of the
144 outward (i.e. movement away from the breeding colony) and, conversely, the inward-bound
145 portions of recorded trips. For the outward-bound kernels, satellite positions recorded between the
146 day of departure and the day that birds reversed their travel trajectory ('trip reversal date') were
147 used. Data from birds for which no trip reversal date could be determined were excluded from the
148 kernel analysis. Similarly, inward-bound kernels were determined from satellite positions recorded
149 between the trip reversal date and the day the penguins made landfall; only data from birds that
150 reached mainland waters (<5 km from coast) were included in the analysis. 20, 40, 60 and 80%
151 quantile kernel densities were calculated using the 'Geospatial Modelling Environment' [29].

152 **Environmental data**

153 Foraging movements were plotted against selected oceanographic variables to assess their
154 influence on the penguins. Oceanographic data were derived from the following sources.

155 Bathymetry data was obtained as 250m gridded data from NIWA, Wellington, NZ

156 (<https://www.niwa.co.nz/our-science/oceans/bathymetry/download-the-data>). Sea Surface

157 Temperature (SST) and Chlorophyll a (CHL A) concentration measured at 4km resolution from

158 Nasa's AquaMODIS program were downloaded from <https://oceancolor.gsfc.nasa.gov/>, OSCAR third

159 degree resolution ocean surface currents were accessed via

160 https://podaac.jpl.nasa.gov/dataset/OSCAR_L4_OC_third-deg, and Sea Level Anomalies (SLA) were

161 acquired from

162 https://podaac.jpl.nasa.gov/dataset/SEA_SURFACE_HEIGHT_ALT_INTERIM_GRIDS_L4_2SATS_5DAY

163 [6THDEG V JPL1609](#). All data sets were obtained as rolling 32-day composites encompassing the
164 period from 18 December 2016 to 18 January 2017, except for SLA which is only available as 5-day
165 composites. The seven SLA data sets available for the date range 15 December 2016 to 19 January
166 2017 were averaged using the 'Raster Calculator' tool in in ArcGIS [28] to match the temporal
167 resolution of the other data sets. Finally, Mixed Layer Depth (MLD) data available as 0.5x0.5°
168 gridded data was obtained from the CSRIO Atlas of Regional Seas, which is a modelled data set
169 based on various oceanographic profile data collected over the past 50 years [30].

170 For the subsequent habitat selection analysis, all environmental data were reprocessed to a
171 matching grid with a 57.4 km cell size representing the lowest common spatial resolution of all data
172 sets, i.e. based on the MLD data set. All data sets were resampled using the 'Resample Raster'
173 processing function in ArcGIS.

174 **Habitat selection at journey destination**

175 To determine the environmental variables that best describe the ocean regions targeted by the
176 penguins during the pre-moult dispersal period, we conducted a K-select habitat selection analysis
177 [31]. For this we used only satellite data for the nine birds for which the trip reversal date was
178 recorded. Satellite fixes representing the 10 days before and after each bird's trip reversal date were
179 extracted and used for the subsequent analysis. Individual habitat selection was assessed using
180 marginality that describes the difference between the mean environmental conditions at each
181 penguin's trip destination, and the mean conditions sought out by each bird based on their
182 movements while at their destination. K-select analysis involves a PCA on the marginality vectors of
183 each animal and extracts the relevant part of the individual habitat selection. If all animals exhibited
184 the same patterns of habitat selection, all marginality vectors would be oriented in the same
185 direction. For an in-depth description of this methods and its mathematical derivation refer to [31].
186 Basic statistical analyses were carried out in R [24]. Linear mixed-effects models were conducted

187 using the package 'nlme' [32], K-select analysis was performed in R using the package 'adehabitat'
188 [33].

189 **Results**

190 A total of 20 penguins were handled for transmitter deployment of which 17 were weighed. Mean
191 weight of all birds was $3,162 \pm 395$ g ($n=18$). Males were generally heavier (mean weight: $3,415 \pm 298$
192 g, $n=10$) than females ($2,800 \pm 171$ g, $n=7$).

193 **Basic trip parameters**

194 Satellite transmitter deployments on 19 birds yielded valid data; between 1 and 8 positions were
195 recorded per day (Figure 1). Location averaging brought the total fix number to 681 daily fixes. 17
196 birds commenced pre-moult trips between 12 November and 18 December 2016 (median date: 2
197 December 2016; Table 1). Nine birds were tracked until they initiated their return journey. The trip
198 reversal dates ranged from 27 December 2016 to 26 January 2017 (median date: 6 January 2017),
199 between 23 and 42 days (median: 32 days, $n=9$) after the start of the journey. By then the birds had
200 distanced themselves a median 1,973 km (range: 1,371-2,440 km, $n=9$) from their origin (Figure 1).
201 Complete trips could be determined for five birds, all of which required less time to return to the
202 mainland (median: 29 days, range: 23-36 days, $n=5$) when compared to the outward-bound portion
203 of their journey (Table 1). Total swimming distance of completed trips ranged between 3,505 and
204 6,801 km (median: 5,381 km, $n=5$).

205 **Kernel densities**

206 Nine birds could be satellite tracked until they initiated the return journey (Table 1). A total of 322
207 filtered, daily positions were used to calculate the outward-bound kernel densities, revealing
208 consistent travelling trajectories. The majority of positions were recorded within a 300-400 km
209 wide corridor (lateral spread of 80% kernel) that extended more than 2,000 km to the south-west of
210 the New Zealand mainland (Figure 2a). The complete journey could be determined for five birds and

211 resulted in 116 filtered daily positions to calculate inward-bound portion of their trips. At this stage,
212 movement trajectories were far less consistent with positions being spread out more than 1,000 km
213 (Figure 2b).

214 **Daily travel distances**

215 Daily travel distances indicate a steady increase during the first third of the penguins' journey from
216 about 20 km per day to an average 50 km per day (Figure 3). During the second third, distances
217 travelled per day remained between 40 and 60 km per day, before the penguins increased their
218 daily travel quota steadily to cover an average 80 km or more per day towards the end of their
219 journeys.

220 **Trip destinations**

221 Trip destinations can be broadly categorized into two regions, one south of the Subtropical Front
222 (STF, n=4 birds) and another just south of the Subantarctic Front (SAF, n=5 birds) (Figure 1).
223 Depending on the trip destination there were obvious differences in basic trip parameters (Table 1).
224 Both maximum range and daily travel distance proved to be significantly different depending on
225 whether birds foraged at the STF or travelled further on to the SAF (Table 2). Maximum ranges of
226 birds foraging at the STF were nearly 750 km shorter when compared to birds visiting the SAF.
227 Moreover, penguins that moved to the SAF tended to depart a week later and travelled more than
228 10 km further per day. The sex of the birds had no noticeable effects on trip parameters (Table 2).

229 **Habitat selection at trip destinations**

230 Satellite data of oceanographic features indicate differences at the two main trip destinations
231 (Figure 4). This difference manifested also in the K-select analysis. Axis 1 and 2 accounted for 81%
232 of the marginality in all individuals (Figure 5a) and were, thus, retained in the analysis. Penguins
233 travelling to the STF selected waters with greater mixed layer depth and higher Chlorophyll a
234 concentration (Figure 5c&d, orange arrows), whereas penguins at the SAF (Figure 5c&d, blue

235 arrows) preferred waters with reduced depth, increased sea level anomaly, generally associated
236 with increased surface currents.

237 **Discussion**

238 **Device impact and reasons for cessation of transmission**

239 Externally attached devices inevitably alter the hydrodynamics of penguins [34] which can
240 negatively affect foraging parameters and success [35,36]. This is especially true for devices
241 featuring an external antenna as used in this study which further increases drag [37]. We took
242 several measures to mitigate any negative effects, such as attaching the device to the lower back
243 [34]. Most devices ceased transmission before the penguins had completed their pre-moult
244 journeys, for either technological or biological reasons. Devices failing to transmit shortly after
245 device attachment probably had either technical failures or were a result of suboptimal attachment
246 so that penguins were able to remove the units, a behaviour occasionally observed in penguins
247 fitted with various types of external devices [38–40]. Similarly, cessation of transmission later on
248 during the tracking period might have been due to device detachment. Obviously, the death of a
249 study bird, e.g. predation or starvation, could also explain a premature end of transmission, the
250 presence of the attached device could contribute to such an outcome. However, considering that the
251 average deployment weight of birds that could be tracked until their return to the mainland was
252 lower (mean body mass: $3,000 \pm 354$ g, $n=5$) than that of birds where transmission ceased
253 prematurely ($3,525 \pm 373$ g, $n=12$) device loss is the more likely explanation. Therefore, we assume
254 that the transmitters were not detrimental to the penguins and have not essentially altered the
255 birds' behaviour.

256 **Spatial segregation of trip destinations**

257 There were two distinct groups of Tawaki that foraged either at the Subtropical Front (STF) or the
258 Subantarctic Front (SAF): Birds that started their pre-moult trips in the second half of November all

259 foraged closer to the STF, whereas birds that left in first half of December tended to travel to the
260 SAF. As birds used very similar outward-bound travel trajectories (Figure 2a), it appears likely that
261 the choice of trip destination is determined by the individual circumstances (e.g. being a breeder or
262 not) rather than environmental conditions encountered by the birds during their outward travel.

263 Marked sex-dependent differences in the choice of foraging habitat were reported during the pre-
264 moult stage in Macaroni penguins from Crozet and Kerguelen [41] as well as Rockhopper penguins
265 from Marion Island [42]. However, stable isotope analyses in Southern Rockhopper penguins from
266 the Falkland Islands (Malvinas) showed that during the pre-moult stage both sexes forage on the
267 same trophic levels indicating common foraging grounds [43]. This also applies to Tawaki –
268 penguins of both sexes travelled either to the STF or SAF.

269 A possible explanation for this behaviour is that the choice of the trip destination is related to
270 breeding status, which unfortunately we could not determine with certainty for birds fitted with
271 PTTs. Breeding Tawaki usually depart on pre-moult trips after their chicks have fledged towards the
272 end of November and early December [16]. Timing of breeding can vary between years and location
273 [44]. However, at the time of the PTT deployments, the breeding areas still contained many chicks
274 that had yet to finish their moult to juvenile plumage making it likely that fledging occurred in early
275 December. As such it could be that the group of penguins that departed later and travelled to the
276 SAF still attended chicks at the time of deployment, whereas penguins visiting the STF may have
277 been either non-breeders or failed breeders. This would correspond to observations by [16] that
278 non-breeders depart colonies before breeding adults. Moreover, non-breeders are believed to
279 return first to moult in late January and early February [16] which matches the return dates of birds
280 that foraged at the STF (Table 1).

281 Spatial segregation of breeders and non-breeders during the pre-moult dispersal might be an
282 indication of different dietary needs of birds in the two groups. Breeding is an energetically

283 demanding period for birds as they have to balance the need for provisioning their offspring with
284 their own sustenance [45,46]. Especially during the incubation and early chick-guard period,
285 Tawaki spend long periods at the nest necessitating fasting spells of several weeks [16]. Moreover,
286 prey targeted by penguins to feed their chicks can be different from the food more adequate for
287 adult penguins [47]. Hence, it is plausible to assume that dietary needs of breeding birds that had
288 limited access to food more suited for self-sustenance for more than two months, is different from
289 the requirements of non-breeders that were not constrained by nesting duties.

290 **Environmental properties at trip destinations**

291 The marine habitats in the two regions targeted by Tawaki during the pre-moult differed
292 considerably. At the STF warm, salty, and micronutrient-rich waters from the subtropics meet cold,
293 fresh, and macronutrient-rich subantarctic surface waters [48,49]. The resulting mixing processes
294 at the front fuel high biological productivity [50]. The habitat selection analysis showed that
295 penguins at the front preferred regions with increased surface Chlorophyll a concentration (Figure
296 5). Stable isotope ratios ($\delta^{13}\text{C}$) in penguin feathers, which provide information about prey
297 consumption in the weeks prior to moult, correlate with increased Chlorophyll a concentrations,
298 suggesting beneficial foraging conditions in regions of elevated phytoplankton biomass [51].
299 Greater volumes of zooplankton are generally associated with increased phytoplankton biomass
300 [52].

301 The SAF separates Subantarctic Mode Water (SAMW), which is characterised by low stratification
302 potential and increased transfer of nutrients, oxygen and CO_2 into the interior ocean, and low saline
303 Antarctic Intermediate Water (AIMW) which subducts at the SAF [53]. The SAF also represents the
304 northern boundary of the Antarctic Circumpolar Current (ACC) which results in the formation of
305 substantial mesoscale ocean features, i.e. eddies, that play an important role for the accumulation of
306 biological productivity [54]. Penguins travelling to the SAF showed a preference for ocean regions

307 with increased surface currents and generally lower water depths and increased slope gradients
308 (Figure 5) due to the presence of sea mounts (Figure 1). These factors all support the formation of
309 eddies which are clearly discernible as clusters of increased and reduced Sea Level Anomalies
310 (Figure 4d). Similar mesoscale structures have been found to be important predictors for the pre-
311 moult movements of other crested penguins [55–57].

312 How these different marine habitats are reflected in the penguins' diet is difficult to assess. [56]
313 argue that the physical properties of mesoscale features could facilitate the accumulation of
314 macrozooplankton such as krill. During the breeding season, squid and fish tend to play more
315 important roles in the diet of Tawaki [58,59]. The coastal regions of New Zealand's South Island are
316 influenced by oceanographic processes in the STF which closely follows the continental shelf [60].
317 This suggests at a principal fish diet in penguins foraging at the STF. However, pending stable
318 isotope analyses of feather samples taken from Tawaki over the past three years – including those
319 fitted with satellite tags for this study – will provide more detailed insights into how spatial
320 segregation during pre-moult trips reflects in the trophic niches the birds occupy.

321 Two birds exhibited almost congruent travel paths on their homeward journeys on which they
322 followed the Macquarie Ridge (Figure 1) for more than 1,000 km towards the New Zealand
323 mainland. The birds did not travel together but were one week apart, so that it can be assumed that
324 external factors determined their congruent travel trajectories. In this case, it was likely the
325 presence of the underwater ridge which influences local oceanographic processes [49,60] that the
326 penguins can use for way finding [61].

327 **Comparison to other penguin species**

328 To date, only a small number of studies have examined the foraging movements of penguins during
329 their pre-moult dispersal. Emperor penguins travel up to 1,245 km away from the breeding
330 colonies to moult [62], although it appears that shorter distances ranging around 500 km are more

331 common in the species [63]. However, unlike Tawaki, Emperor penguins do not moult at their nest
332 sites and do not return to their colonies before the commencement of the next breeding season [64].
333 Macaroni and Rockhopper penguins from Marion Island in the south-west Indian Ocean travelled
334 only around 900 and 700 km away from their breeding colonies during the pre-moult stage,
335 respectively [56]. Similar ranges were observed in Macaroni penguins from the Crozet and
336 Kerguelen archipelagos in southern Indian Ocean [41]. Like in Tawaki, the destinations of those
337 birds were major oceanic fronts. However, both species breed in localities that are located closer to
338 these fronts.

339 **Biogeographical implications**

340 Distances covered by Tawaki during the pre-moult phase are extraordinary and appear to be at the
341 extreme of what a flightless, deep-diving bird species can accomplish in a reasonably short time.
342 This raises a number of questions that in combination have substantial implications for the breeding
343 distribution of the species.

344 Why do the Tawaki perform what must be energetically demanding journeys? The waters around
345 the New Zealand mainland are highly productive especially during the summer months when the
346 penguins undertake their pre-moult journeys [65]. Moreover, both Little and Yellow-eyed penguins,
347 the other two penguin species endemic to the New Zealand mainland, are sedentary and remain in
348 the vicinity of their breeding colonies all year round suggesting a stable diet situation even through
349 the winter [66].

350 Perhaps the pre-moult destinations at two major subantarctic fronts of Tawaki are genetically pre-
351 disposed? It has been suggested that broad migratory patterns in birds have a genetic basis [67–69].
352 While this mechanism has recently been dismissed in dispersive seabird migration [70,71], the
353 consistency of travelling trajectories in Tawaki heading towards their pre-moult destination (Figure
354 2a) indicate far more goal-oriented, less dispersive migratory patterns.

355 Does Tawaki's capability to travel such vast distances in a relatively short time indicate good body
356 condition at the end of the breeding season compared to other crested penguin species?
357 Rockhopper penguins are about the same body size and mass as Tawaki [7]. Rockhopper penguins
358 leaving on their pre-moult journey from Marion Island weighed a mean 2.6 ± 0.2 kg (n=13 birds)
359 [56]. In comparison, Tawaki in this study appear to have been substantially heavier (3.1 ± 0.4 kg,
360 n=17). This might be an indication that the Gorge River penguins left in a better body condition than
361 penguins from Marion Island. It suggests that the Tawaki experience better feeding conditions
362 during the breeding season than Rockhopper penguins so that they leave for their pre-moult
363 journey in better shape, allowing them to travel greater distances than the penguins from Marion
364 Island. Conversely, however, this would mean that in seasons of poor foraging conditions off the
365 New Zealand mainland, such long-distance travels might be detrimental for Tawaki survival.

366 Is the penguins' apparent fixation on reaching subantarctic Frontal systems a limiting factor in their
367 breeding distribution? The Tawaki from Gorge River breed towards the northern most extreme of
368 the species' breeding range at Heretaniwha Point, some 120 km further north [10]. Fossil records
369 suggest that historically Tawaki may have been more wide-spread on New Zealand's South Island
370 [72,73]; a breeding attempt has even been reported from the North Island [74]. However, if that
371 were the case the penguins would have to travel an additional 1,000 km to reach (and return from)
372 the pre-moult travel destinations identified in this study. This would likely not be a viable migration
373 scenario for the penguins under any circumstances. The location of the Subtropical Front in the
374 Tasman Sea is believed to have shifted between 200 and 800 km southwards in the past 25,000
375 years [75]. Hence, while it seems plausible that the Tawaki population may have extended as far as
376 the top of the South Island, it seems unlikely that the species ever gained a foothold on the North
377 Island. To the east of New Zealand the STF is restricted to bathymetry and has not changed
378 significantly [75]; hence, the fossil records from regions from the northern-eastern ranges of New

379 Zealand's South Island [72] likely originate from vagrant individuals rather than representing
380 indications for a more wide-spread historical breeding distribution in New Zealand.

381 **Potential for fisheries interactions**

382 The areas used by the tawaki penguins tracked in this study do not seem to overlap with areas of
383 intense fishing effort in most part of the routes (<http://globalfishingwatch.org/map/>), except in the
384 area immediately adjacent to the coast of New Zealand used by penguins when they leave and
385 return to the mainland (Figure 6). Similarly, the southern Tasman Sea does not show a very high
386 level of maritime traffic compared to other regions, although the penguin routes cross some
387 navigation routes that link New Zealand with Australia
388 (<https://www.marinetraffic.com/en/ais/home/centerx:151.0/centery:-45.0/zoom:4>). Hence, at
389 least during their pre-moult migration Tawaki seem to face little to no risk from regional
390 anthropogenic influences, unlike the other New Zealand mainland species [76,77]

391 **Conclusions**

392 This study emphasizes the need to expand tracking of this species in the future, allowing
393 determination of the width of the penguins' migration corridor, the timing of movements, and the
394 most critical areas for protection. In terms of adult survival one of the key demographic parameters
395 for population developments [13,77], the pre-moult period is probably the most critical stage in the
396 annual life-cycle in crested penguins. After an often energetically demanding breeding season,
397 obtaining food quickly to build up resources for the similarly costly moult is crucial and renders the
398 penguins particularly vulnerable to environmental perturbations [14]. Thus, monitoring of the pre-
399 moult dispersal will provide vital information to understand the developments of key demographic
400 parameters which drive population trends in this endemic, rare and threatened penguin species.

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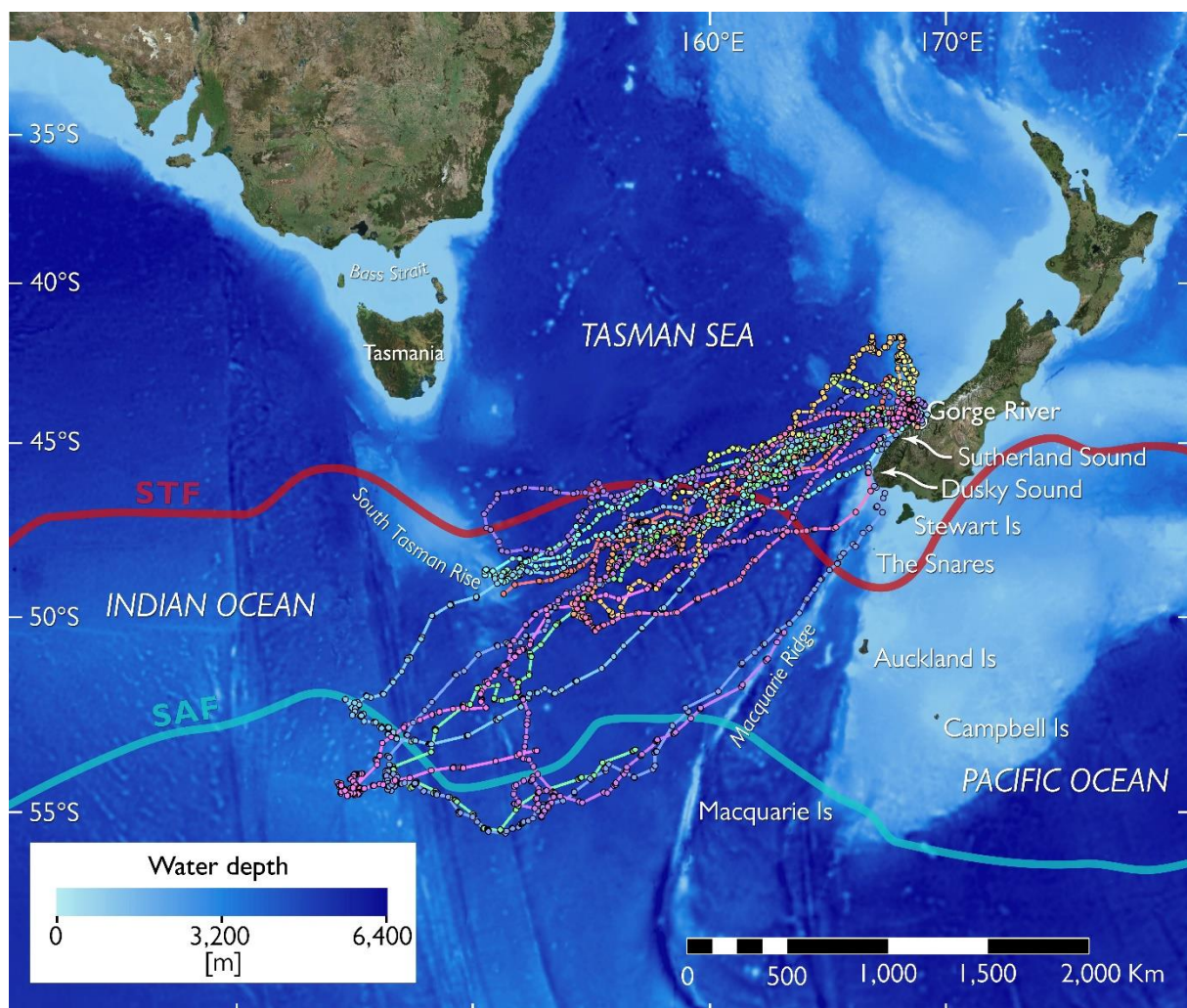
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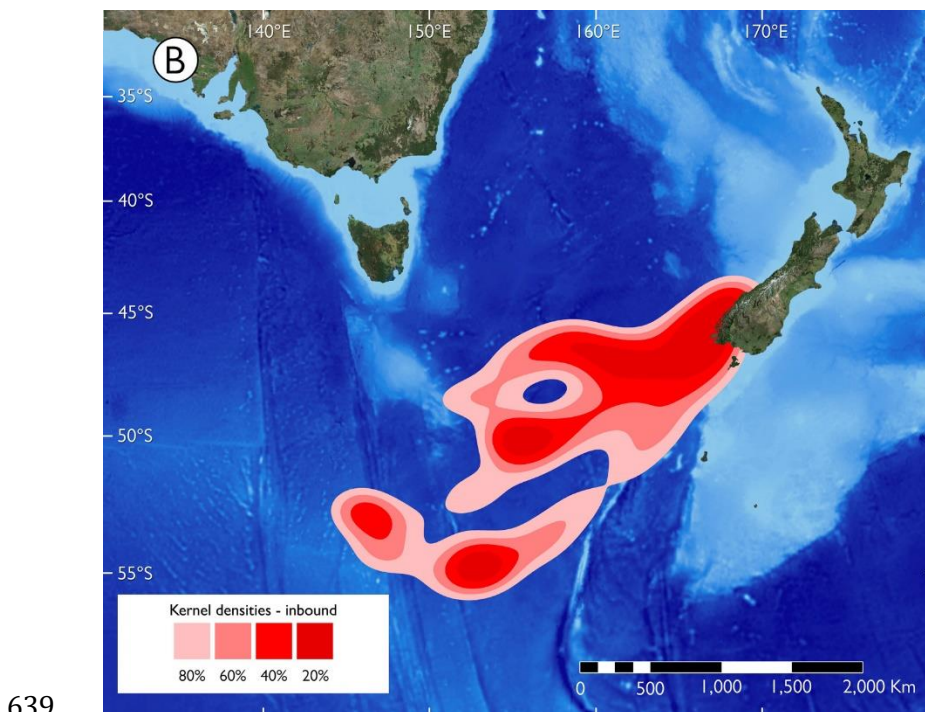
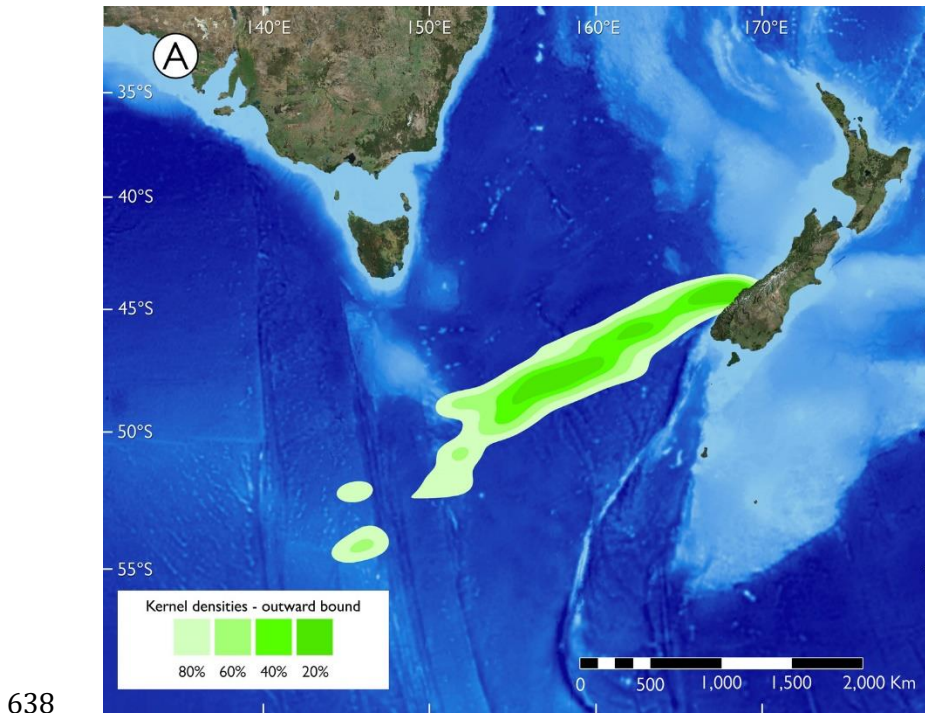
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- 624

625 **Figure 1. Overview of the Southern Tasman Sea bathymetry and important geographic**
626 **and oceanographic features.** The main oceanic fronts are indicated as red (Subtropical Front,
627 STF) and light blue lines (Subantarctic Front, SAF). Traveling paths of 17 tawaki from Gorge
628 River, South Westland, New Zealand, during their pre-moult journey of between November 2016
629 and March 2017 are shown as coloured dots and lines. Each colour represents a different
630 individual. Tracks were derived from filtered satellite data before daily averaging; see methods
631 for details. Note that of the 17 birds... reached their foraging destination and 5 birds completed
632 their tracks – i.e. they returned to land to moult before the satellite transmitters stopped
633 working.

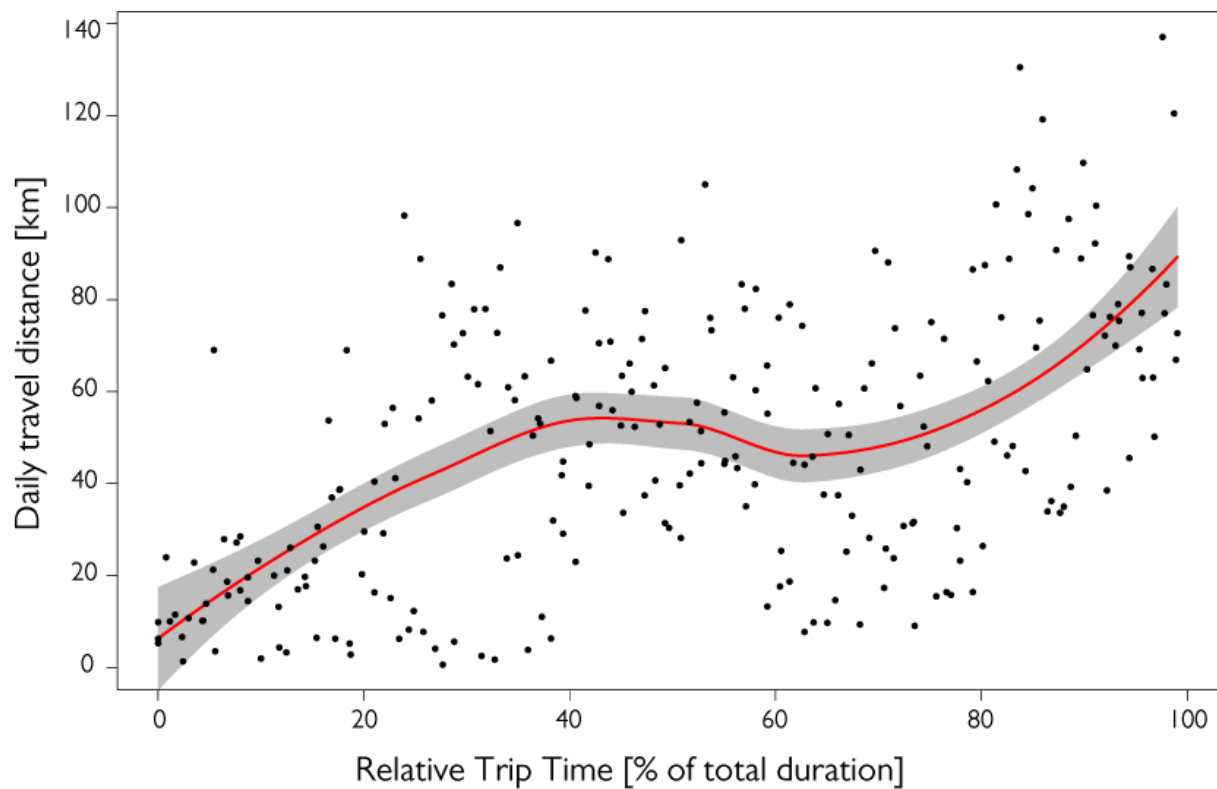


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635 **Figure 2. Kernel densities of Tawaki positions during the outward-bound portion of their**
636 **pre-moult journey (A) and their inbound journey (B).** Note that only complete data sets
637 were used for calculation of kernels; see methods for details.

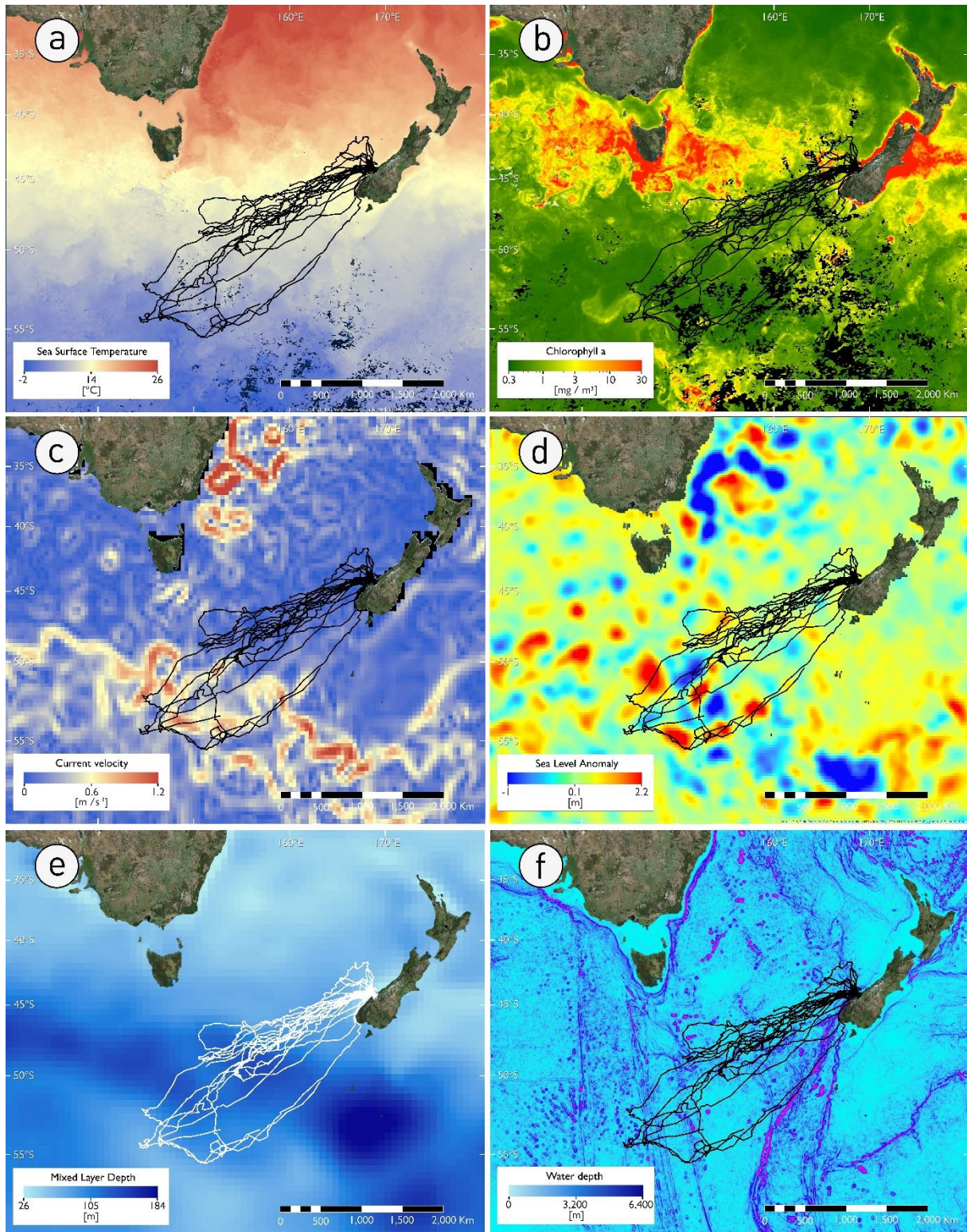


640 **Figure 3. Daily travel distance over the course of pre-moult journeys of five tawaki.** Only
641 data from birds completing their journey while satellite transmitters were still active were
642 included. Due to differences in journey duration (range: 66-77 days, see Table 1) temporal
643 distribution of daily travel distances (black dots) is plotted against the relative time of the trip.
644 The red line indicates local polynomial LOESS regression (Cleveland & Devlin, 1988) and the
645 corresponding 95% confidence interval.



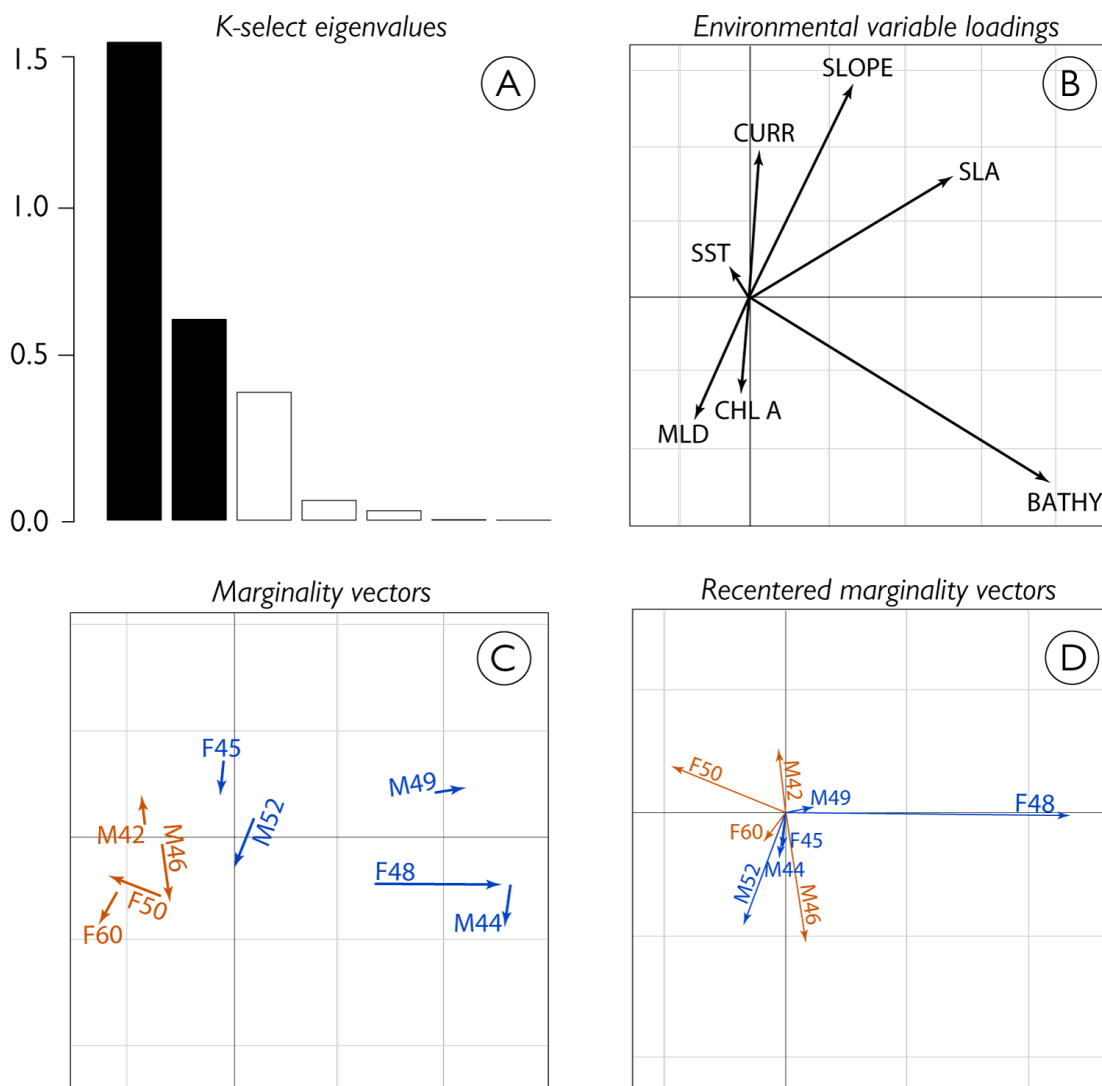
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647 **Figure 4. Tawaki pre-moult movements in relation to various environmental variables. a)**
648 **Sea Surface Temperature (SST), b) Surface Chlorophyll a concentration, c) Surface current**
649 **velocity, d) Sea Level Anomaly (SLA), e) Mixed Layer depth (MLD) and, f) Bathymetry slope. All**
650 **variables represent rolling 32-composited centred around the median trip reversal date**
651 **(04.01.2017), except MLD (see methods).**



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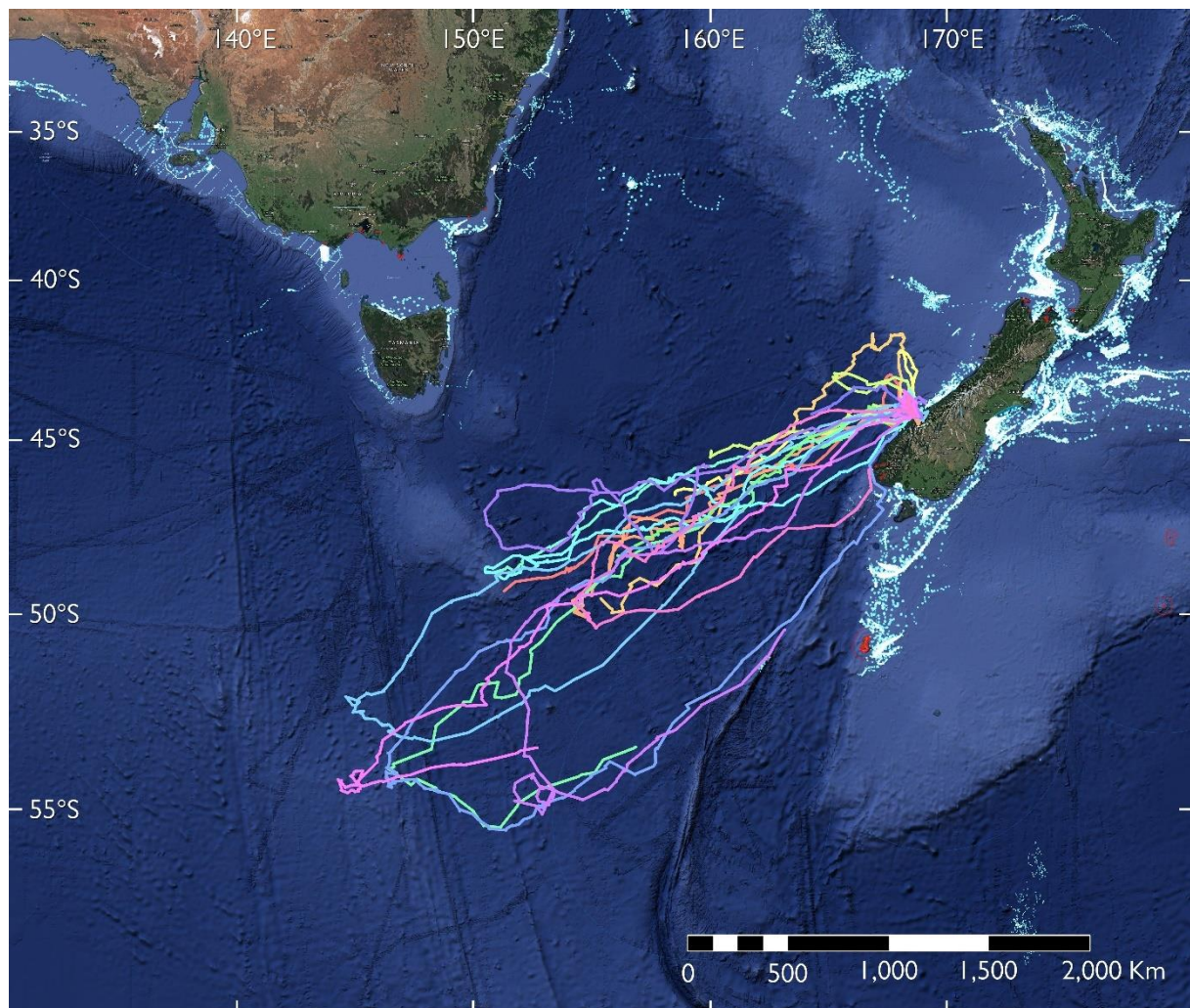
653 **Figure 5. Habitat selection of nine Tawaki during two weeks centred around their trip**
 654 **reversal date, i.e. at their pre-moult journey destinations.** (A) Bar chart of the K-select
 655 eigenvalues measuring the mean marginality explained by each factorial axis. (B) Loadings of
 656 environmental variables on the first two factorial axes – surface current velocity (CURR),
 657 seafloor sloping gradient (SLOPE), Sea Level Anomaly (SLA), water depth (BATHY), Chlorophyll
 658 a concentration (CHLA A), Mixed Layer Depth (MLD) and Sea Surface Temperature (SST). Note
 659 that water depth (BATHY) is measured as negative integers so that its loading is reversed in
 660 comparison with all other variables. (C) Marginality vectors of the individual penguins, where
 661 the base of the arrows indicates the mean composition of the habitat at the journey destination,
 662 while arrow length and direction correspond to the mean characteristics of the habitat the
 663 individuals moved towards during that time. (D) Re-centered projection of the marginality
 664 vectors such that habitat availability is the same for all animals.



665

666

667 **Figure 6. Commercial fishing activity in relation to Tawaki pre-moult dispersal.** Light blue
668 to white areas indicate regions with intense fisheries activities during the four months from
669 November 2016 to February 2017. Fishing activity data were sourced from Global Fishing Watch
670 (<http://globalfishingwatch.org/map/>).



671

672 **Table 1. Overview of individual trip statistics of 17 adult Tawaki performing their pre-moult dispersal after completion of the breeding**

673 **Season 2016.** Trip destinations ("Trip Dest") could be broadly distinguished as Subtropical Front (STF) and Subantarctic Front (SAF). Abbreviations for
 674 landfall locations are Gorge River (GR), Sutherland Sound (SS), and Dusky Sound (DS); see figure 1 for an overview of tracks and locations. Travel speed
 675 (Daily travel distance) is provided as Median and range.

BirdID	Sex	Body mass [kg]	Trip Start	Trip End / Last Fix	Trip Duration [days]	Landfall location	Trip Length [km]	Max Range [km]	Trip Dest	Trip reversal	Outward journey [days]	Inbound journey [days]	Daily travel distance [km/day]	
													median	range
<i>Complete Trips</i>														
F45	female	2.50	18-12-16	22-02-17	66	GR	5,381	1,973	SAF	26.01.17	39	23	69.7	0.7-222.8
M49	male	3.60	05-12-16	20-02-17	77	GR	5,597	2,252	SAF	16.01.17	42	35	68.9	1.8-180.5
F50	female	2.90	19-11-16	01-02-17	74	GR	4,459	1,617	STF	27.12.16	38	36	64.4	4.2-178.8
M42	male	3.05	22-11-16	27-01-17	66	SS	3,505	1,371	STF	01.01.17	40	26	54.8	1.3-122.9
F48	female	2.95	03-12-16	08-02-17	67	DS	6,801	2,288	SAF	08.01.17	36	31	83.0	5.0-215.8
<i>Inbound journey incomplete</i>														
M44	male	3.40	13-12-16	02-02-17	51		4,870	2,440	SAF	24.01.17	42		69.9	1.0-222.8
M46	male	3.85	05-12-16	22-01-17	48		3,448	1,564	STF	28.12.16	23		70.9	3.7-139.1
M52	male	3.95	01-12-16	18-01-17	48		4,244	2,245	SAF	06.01.17	36		78.4	3.1-225.4
F60	female	2.90	01-12-16	11-01-17	41		2,993	1,392	STF	27.12.16	26		58.7	1.3-122.4
<i>Outward journey incomplete</i>														
F47	female	3.00	30-11-16	08-12-16	8		473	364					36.2	7.1-89.1
M51	male	3.20	01-12-16	28-12-16	27		1,798	1,594					61.3	2.3-134.9
F53	female	2.65	01-12-16	03-12-16	2		154	153					14.7	3.8-63.7
M54	male	3.45	06-12-16	26-12-16	20		1,420	1,167					47.9	3.4-131.7
M56	male	3.40	11-12-16	21-12-16	10		927	828					59.5	0.4-142
M58	male	3.00	04-12-16	19-12-16	15		1,121	888					62.3	1.0-172.8
M59	male	3.25	12-11-16	06-01-17	55		2,686	1,280					49.6	3.1-101.2
F61	female	2.95	11-12-16	01-01-17	21		2,057	1,635					76.2	2.0-177.3

678 **Table 2. Linear mixed-effects models of the main trip parameters for nine Tawaki fitted**
 679 **with satellite transmitters during their pre-moult dispersal (December 2016 – February**
 680 **2017).** The base model uses trip destination (Subtropical Front, STF or Subantarctic Front, SAF)
 681 as well as sex as fixed effects, and BirdID as random effect. Note that only data from birds that
 682 completed the outward-bound portion of their journey before transmitters stopped working
 683 were included in the analysis. Also note that trip duration and total trip length could only be
 684 determined for five birds.

	PARAM ~ DESTINATION+SEX+(1 BIRDID)				
	Estimate	Std Error	DF	t	p
Departure Date [days]*					
Intercept	08-12-2016	4.77	6	8950.755	<0.001
Front (STF)	-11.3	5.34	6	-2.121	0.078
Sex (Male)	-0.7	5.34	6	-0.128	0.903
Max Range [km]					
Intercept	2190.2	93.47	6	23.433	<0.001
Front (STF)	-745.4	104.49	6	-7.133	<0.001
Sex (Male)	82.4	104.49	6	0.788	0.461
Daily travel distance [km/day]					
Intercept	74.9	4.29	6	17.459	<0.001
Front (STF)	-11.9	4.80	6	-2.488	0.047
Sex (Male)	-1.6	4.80	6	-0.326	0.756
Trip duration [days]					
Intercept	69.1	4.59	2	15.066	0.004
Front (STF)	-0.4	6.49	2	-0.066	0.953
Sex (Male)	2.6	6.49	2	0.396	0.730
Trip length [km]					
Intercept	6156.7	478.54	2	12.866	0.006
Front (STF)	-1823.1	676.75	2	-2.703	0.114
Sex (Male)	-691.1	676.75	2	-1.021	0.415

685 * Transformed to Julian Date for analysis. Intercept value has been back-transformed for clarity.