# Factors affecting the fatty acid composition of mesopelagic fish of the continental slope in the South China Sea

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Study of the ecology of mesopelagic fish is central to assessing the active biological pump in the ocean, especially in the mesopelagic layer. As a consequence of the small size and pressure change, traditional stomach content analysis is not useful for analyzing the feeding behavior of mesopelagic fish. The use of  $\delta^{13}$ C and fatty acid analyses can help to resolve this problem. The mesopelagic fish examined in this study were collected from the continental slope in the north of the South China Sea (SCS), and are compared with nearshore SCS fish and fish collected from the Southern Ocean. The unusually high lipid content of the mesopelagic fish resulted in  $\wedge \delta^{13}$ C values (i.e., the difference in  $\delta^{13}$ C between unextracted and extracted tissues) exceeding 1‰, which is more than the enrichment factor in the food web. Thus, extraction of lipids was conducted prior to  $\delta^{13}$ C isotope analysis for the study of trophic interactions of mesopelagic fish. Compared with other fish, mesopelagic fish had high C18:1n-9/C18:1n-7 and C20:1n-9/ C18:1n-7 ratios, which confirms that plankton is their main dietary source. Diatoms comprise a higher proportion of phytoplankton in the Southern Ocean and a lower proportion in the SCS, and this is reflected in the C20:5n-3/C22:6n-3 (EPA/DHA) ratio in mesopelagic fish in each region. The low EPA/DHA ratio in SCS fish indicates that diatoms are not the main component in the diet of mesopelagic fish. The SCS mesopelagic fish had higher C20:4n-6/C22:6n-3 (ARA/DHA) and C20:4n-6/C20:5n-3 (ARA/EPA) ratios than fish in the Southern Ocean. This result suggests that physical factors (e.g., temperature) also affect the fatty acid composition of these fish, particularly because certain fatty acids enable the fish to better adapt to extreme environmental conditions. Future studies of the synthesis of fatty acids in particular species should take account of both the dietary sources and physical factors in their environment.

#### **1** Factors affecting the fatty acid composition of mesopelagic fish

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17 Abstract

Study of the ecology of mesopelagic fish is central to assessing the active biological pump in 18 the ocean, especially in the mesopelagic layer. As a consequence of the small size and pressure 19 change, traditional stomach content analysis is not useful for analyzing the feeding behavior of 20 mesopelagic fish. The use of  $\delta^{13}$ C and fatty acid analyses can help to resolve this problem. The 21 mesopelagic fish examined in this study were collected from the continental slope in the north of 22 the South China Sea (SCS), and are compared with nearshore SCS fish and fish collected from 23 the Southern Ocean. The unusually high lipid content of the mesopelagic fish resulted in  $\Delta\delta^{13}$ C 24 values (i.e., the difference in  $\delta^{13}$ C between unextracted and extracted tissues) exceeding 1‰, 25 26 which is more than the enrichment factor in the food web. Thus, extraction of lipids was 27 conducted prior to  $\delta^{13}$ C isotope analysis for the study of trophic interactions of mesopelagic fish. 28 Compared with other fish, mesopelagic fish had high C18:1n-9/C18:1n-7 and C20:1n-9/C18:1n-7 ratios, which confirms that plankton is their main dietary source. Diatoms comprise a higher 29 30 proportion of phytoplankton in the Southern Ocean and a lower proportion in the SCS, and this is 31 reflected in the C20:5n-3/C22:6n-3 (EPA/DHA) ratio in mesopelagic fish in each region. The low 32 EPA/DHA ratio in SCS fish indicates that diatoms are not the main component in the diet of 33 mesopelagic fish. The SCS mesopelagic fish had higher C20:4n-6/C22:6n-3 (ARA/DHA) and C20:4n-6/C20:5n-3 (ARA/EPA) ratios than fish in the Southern Ocean. This result suggests that 34 35 physical factors (e.g., temperature) also affect the fatty acid composition of these fish, 36 particularly because certain fatty acids enable the fish to better adapt to extreme environmental 37 conditions. Future studies of the synthesis of fatty acids in particular species should take account 38 of both the dietary sources and physical factors in their environment.

#### 39. Introduction

The rapid increase in demand for high-quality food poses great challenges for global commercial marine fisheries (Davies et al., 2009), and has stimulated the search for new fishery

resources. Mesopelagic fish, which are distributed worldwide except for the Arctic region (Catul 42 et al., 2011), comprise a biomass of more than 10 billion tons and constitute a potential solution 43 to this problem (Kaartvedt et al., 2012; Irigoien et al., 2014). These fish occur in the ocean at 44 depths between 200 and 1000 m (Irigoien et al., 2014). Most mesopelagic fish migrate upward 45 46 into the epipelagic layer at night and return to the mesopelagic zone in the daytime (Catul et al., 2011; Davison, 2011; Hudson et al., 2014). Because of their diel vertical migration (DVM), 47 mesopelagic fish link primary consumers including copepods and zooplankton to higher 48 49 predators including large pelagic fish, benthic fish, and marine mammals (Choy et al., 2012; Collins et al., 2012; Olivar et al., 2012). They play an important role in ocean food webs (Cherel 50 51 et al., 2010; Kaartvedt et al., 2012), and their DVM behavior makes a significant contribution to 52 the transfer of organic matter from the upper productive layer to deeper layers (Radchenko 2007; 53 Hernández-León et al., 2010; Dypvik et al., 2012). However, the huge biomass of these fish is 54 underutilized. Knowledge of the biological and ecological characteristics of mesopelagic fish will 55 improve our understanding of their role in the biological pump, and enable assessment of whether they can be sustainably exploited in the future. 56

To address ecological questions, more research on the body composition and diet of mesopelagic fish is required. Traditional stomach content analysis does not reflect long-term feeding behavior, and readily degradable material in the diet can be underestimated (Wan et al., 2010). Fatty acid biomarker and stable isotope ( $\delta^{13}$ C) methods can overcome the disadvantages of stomach content analysis, and have been successfully used in studies of fish dietary sources and trophic positions (Koussoroplis et al., 2011; Cui et al., 2015). However, these methods have rarely been used in the study of mesopelagic fish.

Fatty acids and fatty acid ratios have been used as biomarkers for various food sources. Many fatty acids in the marine environment can only be biosynthesized by certain bacteria, phytoplankton, and macroalgal species, making them useful as biomarkers of these dietary sources (Stowasser et al., 2009a; Wan et al., 2010). However, extreme environmental conditions (e.g., large changes in temperature) can have marked effects on fish physiology (Arts and Kohler,

69 2009), as the fish must change its fatty acid composition to maintain the fluidity of their cell 70 membranes (Parrish, 2009). Highly unsaturated fatty acids (HUFAs; FAs having  $\geq$ 20 carbon 71 atoms and  $\geq$ 3 double bonds) play important structural and functional roles in adaptation to 72 environmental stressors (Arts and Kohler, 2009). Dietary fatty acids can have profound effects on 73 nonspecific fatty acid composition, although physical factors can also affect the content of 74 specific fatty acids (Bell and Sargent, 2003; Koussoroplis et al., 2011).

The  $\delta^{13}$ C isotope signature provides a chemical record of primary production sources in higher 75 trophic consumers (Fry, 2006; Logan and Lutcavage, 2013). The  $\delta^{13}$ C is more depleted in the 76 77 synthesis of lipids than in the synthesis of proteins and carbohydrates (DeNiro and Epstein 1977; 78 Pomerleau et al., 2014). Most mesopelagic fish have a higher lipid content than other fish 79 (Stowasser et al., 2009b; Hoffman et al., 2010; Koussoroplis et al., 2011). Thus, the variation in lipid content in different fish can mask the dietary  $\delta^{13}$ C, making dietary reconstructions difficult 80 81 (Post et al., 2007; Svensson et al., 2014). The considerable biases caused by lipid content among 82 mesopelagic fish need to be taken into account when studying their dietary sources.

83 The South China Sea (SCS) is the largest semi-closed sea in the western tropical Pacific Ocean, and the second largest marginal sea worldwide (Su, 2004; Wang et al., 2011). The 84 85 physical environment of the northern slope of the South China Sea (NSSCS) is complex (Su, 86 2004; Gong et al., 2013, 2015). Li et al. (2005) reported large diversity and numbers of 87 mesopelagic fish on the NSSCS. However, there is limited knowledge of the ecology of mesopelagic species in this region. A greater understanding of their body composition and diet 88 89 would help clarify the role of mesopelagic species in the active biological pump, and enable assessment of their potential for sustainable exploitation in the future. 90

In this study, we investigated the ecological characteristics of mesopelagic fish from the NSSCS using fatty acid and stable isotope analyses. The factors potentially controlling the composition of fatty acids were also evaluated by comparison of samples from the near-shore region of the SCS and the Southern Ocean. In particular, we studied the impact of lipid content on the  $\delta^{13}$ C of mesopelagic fish, as this is essential for further studies of trophic interactions.

#### 96 2. Materials and methods

97 2.1 Sampling

98 Fish were collected from the continental slope of the SCS during a cruise carried out in 99 October 2014 (R/V Nan Feng), and sampling of the nearshore SCS was carried out in May 2011 100 using a local fishing boat (Fig. 1). The fish from the shallow slope of the SCS (stations L1 and 101 L4) were caught using a bottom trawl having a 150 m mouth perimeter and a 51.5 m headope 102 length. Fish from the deep slope of the SCS (stations L2 and L3) were caught using a mid-layer 103 trawl having a 136.1 m mouth perimeter and a 30.0 m headope length. Plankton were collected 104 by vertical trawling using a net having mesh sizes of 76, 167, and 505 µm. The samples were 105 washed using filtered seawater, and filtered onto pre-combusted (450°C, 5 h) 47 mm GF/F filters. 106 All samples were stored frozen at -20°C until transferred to the laboratory. In the laboratory, 107 muscle tissue was excised from below the dorsal fin, and the skin and scales were removed. The 108 tissue samples were lyophilized in a freeze dryer (LOC-1; Christ, Germany) and stored at -40°C 109 until analysis (Cui et al., 2012, 2015). The dried muscle was powdered using a mortar and pestle. 110 Sampling dates, locations, and water depths are listed in Table 1. Data on mesopelagic fish and 111 plankton from the Southern Ocean were obtained from previous publications (Stubing and 112 Hagen, 2003; Stowasser et al., 2009b).

**113** 2.2 Fatty acid analysis

The fatty acid composition was determined from a known quantity of tissue extracted using a 114 115 dichloromethane-methanol solvent system (2:1 v/v, using 0.01% BHT), based on the Folch 116 method for total lipid determination (Folch et al., 1957; Cui et al., 2015). For the dorsal muscle 117 samples, approximately 15 ml of a mixture of dichloromethane and methanol (2:1) was added to 118 100 mg of sample. The mixture was extracted and centrifuged (3000 rpm, 10 min), and the upper 119 organic solvent layer was transferred to a flask using a pipette. The solvent was evaporated to dryness under a stream of N<sub>2</sub> at room temperature, weighed, and the lipid content was calculated 120 121 as weight percent of the unextracted freeze dried tissue (Svensson et al., 2014). The extracted

122 tissue was dried under a stream of  $N_2$  at room temperature and used for  $\delta^{13}C$  measurement.

123 The fatty acids were transformed to fatty acid methyl esters (FAMEs) using a mixture of 124 methanol (containing 5% HCl) and n-hexane, and held at 50°C for approximately 12 h (Eder, 125 1995). The FAMEs were analyzed using gas chromatograph mass spectrometry (7890A GC with 126 a 5975C MSD; Agilent, USA) equipped with a DB-FFAP capillary column (30 m length, 0.25 127 mm i.d., 0.25 µm film thickness; Agilent, USA). As an internal recovery standard, C21:0 was 128 added to the samples, and C19:0 methyl ester was added as an internal quantification standard. 129 The injector and detector temperatures were both 250°C. Injections (1  $\mu$ l) were made at 60°C, and the temperature was increased to 170°C at a rate of 30°C/min. The temperature was held 130 constant for 5 min, then increased to 220°C at 1°C/min, and held at this temperature for 10 min. 131 Nitrogen was used as the carrier gas at a flow rate of 1 ml/min. FAMEs were identified by 132 comparison of retention times with commercial standards (37 Component FAME Mix; 133 134 Supelco<sup>TM</sup>). The content of particular fatty acids is expressed as the relative percentage of the 135 total fatty acid content, based on peak areas. The fatty acid recovery rate in the analysis was >80%. If more than one sample was analyzed, the data are reported as the mean  $\pm$  the standard 136 137 deviation (SD). Fatty acids are named using the shorthand notation CA:Bn-X, where A indicates the number of carbon atoms, B is the number of double bonds, and X indicates the position of the 138 139 first double bond relative to the terminal methyl group (Budge et al., 2006; El-Sabaawi et al., 140 2010).

141 2.3 Stable isotope analysis

142 Stable carbon isotope ratios ( $\delta^{13}$ C) were measured for tissue before extraction (unextracted 143 tissue;  $\delta^{13}$ C<sub>bulk</sub>) and following extraction (extracted tissue;  $\delta^{13}$ C<sub>extracted</sub>). Dried powdered samples 144 were weighed into tin cups for <sup>13</sup>C analysis. Stable carbon and nitrogen isotopes were measured 145 using an isotope ratio mass spectrometer (Finnegan Delt plus XP; Thermo, Germany). The results 146 were normalized to Vienna Pee Dee Belemnite standard (PDB) for  $\delta^{13}$ C (Overman and Parrish, 147 2001). The stable isotope ratios are expressed in  $\delta$  notation of units per mill as follows 148 (Pomerleau et al., 2014; Cui et al., 2015):

149  $\delta X$  (‰) = ((R<sub>sample</sub>/R<sub>standard</sub>) - 1) × 1000

where  $X = {}^{13}C$  and  $R = {}^{13}C/{}^{12}C$ . The precision of the stable isotope analyses was  $\pm 0.1\%$ . The C:N ratio is expressed as a molar ratio.

152 2.4 Statistical analysis

For  $\delta^{13}C$  values, paired comparisons were made between extracted tissue ( $\delta^{13}C_{\text{extracted}}$ ) and 153 154 unextracted tissue ( $\delta^{13}C_{bulk}$ ). All data on fatty acid compositions are presented as the mean  $\pm$  SD. 155 Principal component analysis (PCA) was used to investigate variation in the fatty acid signatures among fish species, and to identify which fatty acids were most responsible for the variation 156 (Stowasser et al., 2009b). PRIMER 5.0 and SPSS 23.0 software were used for data analysis 157 (Prigge et al., 2012). The data were transformed (arcsine of the square root) to ensure normality 158 (percentage data), or log 10 transformed to ensure homogeneity of variances (Li et al., 2011). 159 160 Based on the PCA scores the fish were separated into several groups based on species and 161 locations. Similarity analysis was performed to determine whether the differences in fatty acid 162 composition among the groups were significant.

#### 163 **3. Results**

164 3.1 Fish lipid content, C: N, and  $\Delta \delta^{13}$ C

The fish lipid content, C:N, and  $\Delta\delta^{13}$ C values (i.e., the difference in  $\delta^{13}$ C value between 165 166 unextracted and extracted tissue) are listed in Table 2. Fish from the shallow slope of the SCS 167 were considered SCS epipelagic fish, based on their water layer habitat. Fish from the deep slope 168 of the SCS were considered SCS mesopelagic fish because of their DVM behavior in the deep slope of the SCS. Analysis of the lipid content, C:N, and  $\Delta \delta^{13}$ C parameters showed large 169 170 differences between epipelagic and mesopelagic fish. The mesopelagic fish had a higher lipid 171 content, C:N, and  $\Delta\delta^{13}$ C than the epipelagic fish. There was a significant effect of lipid extraction on the  $\delta^{13}$ C values for the mesopelagic fish. Following lipid extraction, the  $\delta^{13}$ C value for the 172 173 mesopelagic fish changed by >1%, while for epipelagic fish the change was less than precision, 174 and for Nemipterus bathybius and Decapterus macrosoma there was almost no change (Table 2).

Figure 2 shows significant positive relationships between the lipid content and the C:N ratio (P < 176 -0.001  $P^2$  -0.001 -

176 0.001,  $R^2 = 0.83$ ), the C:N ratio and  $\Delta \delta^{13}C$  (P < 0.001,  $R^2 = 0.64$ ), and the lipid content and  $\Delta \delta^{13}C$ 177 (P < 0.001,  $R^2 = 0.73$ ).

178 3.2 Fatty acid composition of SCS fish

The fish from the SCS varied in their fatty acid compositions. For most fish, polyunsaturated fatty acids (PUFA) were the major compounds, accounting for 30%–60% of the total fatty acid content. The saturated fatty acid (SFA) content did not vary substantially amongst all fish. Of the fatty acids identified, C16:0, C18:0, C18:1n-9, C20:4n-6, C20:5n-3, and C22:6n-3 comprised 90% of the total fatty acid content, with other fatty acids typically comprising <3%.

184 The PCA results show good separation of the fatty acid signatures of the fish and plankton. The PCA identified four main groups (Fig. 3a), with components PC1 and PC2 accounting for 55.4% 185 186 of the variation. The plankton and fish were separated into two distinct groups. Based on factor 187 loading plots (not shown), PC1 comprised mainly the fatty acids C14:0, C18:4n-3, C15:0, 188 C18:3n-3, and C22:6n-3, while PC2 comprised mainly C18:1n-7, C20:1n-9, and C18:1n-9. The plankton had higher percentages of C14:0, C18:4n-3, C15:0, and C18:3n-3 fatty acids than the 189 190 fish. The content of C14:0 in plankton was about 7%-15%, compared with 0.7%-4% in fish. In addition, the C18:4n-3, C15:0, and C18:3n-3 contents in plankton were >1%, compared with 191 192 <1% in fish; the fish had more C22:6n-3 than did the plankton. Comparison of fish from different 193 areas shows that the SCS slope fish had higher percentages of C18:1n-9 and lower percentages of 194 C18:1n-7 than the nearshore SCS fish. The SCS mesopelagic fish had the highest C18:1n-9/C18:1n-7 and C20:1n-9/ C18:1n-7 ratios, with the nearshore fish having the lowest ratios and 195 196 the SCS epipelagic fish having intermediate values (Fig. 4b). Based on differences in the ratios of specific fatty acids, the mesopelagic fish could be separated from the epipelagic fish using PCA. 197

198 3.3 Fatty acid composition of mesopelagic fish

The SCS mesopelagic fish had stable SFA contents. PUFAs were the major compounds, accounting for 30%–54% of the total fatty acid content. Of the fatty acids identified, C16:0, C18:0, C18:1n-9, C20:4n-6, C20:5n-3, and C22:6n-3 made up ~90% of the total fatty acid

content. Other fatty acids typically comprised <3%. However, Southern Ocean mesopelagic fish 202 have been reported to show variable contents of various fatty acids (Stowasser et al., 2009b). 203 204 Based on the PCA, the mesopelagic fish and plankton from the SCS and the Sourthern Ocean were separated into four groups based on fatty acid contents (Fig. 5a). The four groups include 205 206 the SCS plankton, the Southern Ocean plankton, the SCS mesopelagic fish, and the Southern 207 Ocean mesopelagic fish. PC1 separated the groups into different oceanic regions, while PC2 208 separated them into plankton and mesopelagic fish. Based on factor loading plots (not show) the C22:6n-3, C16:0, C18:1n-7, C20:4n-6 and C20:1n-9 fatty acids were shown to have contributed 209 210 mainly to PC1, while the C14:0 and C20:5n-3 fatty acids contributed mostly to PC2. The ratios of 211 the major fatty acids were calculated based on the PCA result. The plankton and mesopelagic fish 212 from the Southern Ocean had higher C20:5n-3/C22:6n-3 (EPA/DHA) ratios, and the EPA/DHA 213 ratio in Southern Ocean plankton was >1. The plankton and mesopelagic fish from the SCS had higher C20:4n-6/C22:6n-3 (ARA/DHA) and C20:4n-6/C20:5n-3 (ARA/EPA) ratios than those 214 215 from the Southern Ocean. The ARA/EPA ratio in SCS mesopelagic fish was several times higher 216 than that in the SCS plankton (Fig. 5b).

#### 217 4. Discussion

218 4.1 Impact of lipid content on fish

In the traditional method  $\delta^{13}$ C is moderately enriched (<1‰) during trophic transfer (Layman 219 et al., 2012; Pomerleau et al., 2014). The  $\delta^{13}$ C analysis provides information regarding the 220 221 sources of primary production in the ecosystem, and the flow of carbon from primary producers 222 to consumers (Logan and Lutcavage, 2013). However, carbon discrimination occurs during lipid 223 synthesis, and as a result the  $\delta^{13}C$  of lipids is more depleted than that of proteins and carbohydrates (Hoffman and Sutton, 2010). Furthermore, a high lipid content can mask the origin 224 225 of the carbon incorporated into the tissues of an organism (DeNiro and Epstein 1977; Pomerleau et al., 2014). Therefore, the lipid content can strongly influence the interpretation of  $\delta^{13}$ C data. 226 227 In the present study, the lipid content of mesopelagic fish was >30% (Table 2), similar to the

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content reported for mesopelagic fish of the Southern Ocean, but higher than that reported for 228 229 freshwater and coastal fish (Stowasser et al., 2009a; Hoffman et al., 2010). A high lipid content enables mesopelagic fish to easily adapt to daily vertical migrations (Catul et al., 2011; Davison, 230 2011; Hudson et al., 2014). These vertically migrating fish have high levels of lipids for activity 231 232 and energy storage (Childress et al., 1980). Thus, the high lipid content plays an important role in the migration of mesopelagic fish and is a unique characteristic of such fish. In the present study, 233 the  $\Delta \delta^{13}$ C value for lipids was usually >1‰, which exceeds the typical level of  $\delta^{13}$ C enrichment 234 in the food chain (Fig. 2a). Comparison of trophic levels based on  $\delta^{13}$ C values shows that carbon 235 236 discrimination leads to the differences between mesopelagic fish and species having a low lipid content, including epipelagic fish. The unextracted  $\delta^{13}$ C did not accurately reflect the differences 237 caused by various dietary sources. Hence,  $\delta^{13}$ C values based on lipid content should be used in 238 studies of the trophic structure of mesopelagic species. 239

The C:N ratio was positively correlated with the lipid content; its value was controlled by the 240 molecular structure of protein and lipid (Post et al., 2007; Svensson et al., 2014). The C:N ratio 241 242 may reflect the lipid content to some extent. The precision of the  $\delta^{13}$ C analysis was  $\pm 0.1$ %. In our study the impact of lipids could be ignored when the lipid content of fish tissues was <17% (C:N 243 < 3.5), which is consistent with the reports of Post et al. (2007) and Layman et al. (2012). 244 However, in our study the mesopelagic fish had a lipid content >30% (C:N > 4.4), and this could 245 have a substantial impact on analyses. If established methods are used to study the dietary 246 sources of mesopelagic fish, erroneous results are inevitable. Consequently, lipid correction is 247 248 particularly important in analyses of mesopelagic fish. The relationship between the C:N ratio 249 and the lipid content could be used to develop a mathematical normalization method in future 250 studies to overcome the impact of lipid content.

251 4.2 Geographical location affected the fatty acid composition of SCS fish

Fatty acids and fatty acid ratios are used as biomarkers of different food sources, and their analysis can indicate the relative importance of one food source over another. The C18:1n-7 fatty acid has been used as a biomarker for sediment and suspended particulate matter, while the

C18:1n-9 and C20:1n-9 fatty acids have been used in studies of plankton and zooplankton, respectively (Dalsgaard et al., 2003; Kattner and Hagen, 2008; Stowasser et al., 2009b). Thus, high C18:1n-9/C18:1n-7 and C20:1n-9/C18:1n-7 ratios show that plankton is the dominate dietary source, whereas low ratios indicate that organic matter was obtained mainly from sediment and suspended particulate matter.

260 Figure 3a shows that the PCA analysis separated the plankton and fish from the continental 261 slope and nearshore SCS into four groups. In this context, there are fundamental differences 262 between plankton and fish. Phytoplankton and zooplankton can biosynthesize fatty acids (Hagen 263 and Auel, 2001), but fish are unable to biosynthesize some fatty acids; they acquire these from 264 dietary sources. Because of physiological differences, the plankton and fish show differences in 265 fatty acid contents. Furthermore, the fatty acids of the fish from the SCS and the Southern Ocean 266 were influenced by differences in dietary sources resulting from their different geographical 267 locations, which reflect diverse sources of organic matter. Hence, analysis of specific fatty acids 268 can reflect dietary sources because the source impacts the fatty acid compositions of fish.

Geographically, the nearshore SCS is close to Hainan Island. River inputs of detritus from 269 270 Hainan Island are the dominant nutrient source to this ecosystem, which is influenced by multiple 271 physical processes, including coastal upwelling and tidal shoaling (Liu et al., 2011; Song et al., 272 2012). These phenomena lead to bathymetric variations in ecosystem characteristics, and diverse 273 physical processes regulate important environmental factors and further control the ecosystem in this area. In view of the water depth and the multiple physical processes, organic matter from 274 275 sediments may also be an important dietary source for nearshore fish. River inputs, coastal 276 upwelling, and tidal-shoaling interact with each other, providing the potential for nutrients in 277 suspended particulate matter and sediments to contribute to the diet of nearshore fish. Relative to 278 the nearshore SCS, the northern continental slope of the SCS is hundreds of kilometers from the 279 mainland. In addition, strong bottom currents along the slope (North Pacific Intermediate Water 280 and North Pacific Deep Water), and the Kuroshio Current from the Luzon Strait, are major factors influencing this area (Yang et al., 2010; Gong et al., 2013, 2015; He et al., 2013). The 281

complicated processes made the nutrient inputs of detritus from the Pearl River was limited.
Compared with the nearshore fish, the slope plankton contributed more to the diet of SCS slope
fish. The ratios of characteristic fatty acids show that geographical factors influenced the fatty
acid compositions of fish from the continental slope and nearshore areas of the SCS, through
their effects on fish dietary sources.

287 Figure 4a shows that the deep slope mesopelagic fish were differentiated from the epipelagic 288 fish of the shallow slope area. The C20:1n-9/C18:1n-7 and C18:1n-9/C18:1n-7 ratios are different between the two groups of fish. The depth of the shallow slope area is <200 m, 289 290 compared with 2000–3000 m in the deep slope area. Shallow slope upwelling could carry organic 291 matter from the sediment to the upper water in the shallow slope area, but the potential for this to 292 occur in the deep slope area is limited. Compared with the epipelagic fish, these factors have little 293 impact on the mesopelagic fish. The mesopelagic fish migrate up to the epipelagic zone in the 294 night, and migrated back to the mesopelagic zone during the day. The diel vertical migration and 295 habitat of mesopelagic fish indicate that their diet is from the euphotic layer (Catul et al., 2011), 296 mainly plankton in this layer (Davison, 2011; Hudson et al., 2014). The present results are 297 consistent with this view, as the fatty acid signals of plankton were higher in mesopelagic than epipelagic fish. Briefly, the mesopelagic fish showed a different fatty acid composition than the 298 299 epipelagic fish, which is consistent with differences in their dietary sources caused by geographical factors. 300

301 4.3 Dietary sources and physical environment affect the fatty acid composition of mesopelagic302 fish

The DHA (C22:6n-3), EPA (C20:5n-3), and ARA (C20:4n-6) fatty acids have different functions in fish. DHA plays an important role in the cell membrane (Arts and Kohler, 2009), while EPA and ARA are precursors for eicosanoid hormones, which are involved in energy storage, immunity, and reproduction (Schmitz and Ecker, 2008; Parrish, 2009; Koussoroplis et al., 2011). More biologically active eicosanoids are derived from ARA than from EPA, although EPA restrained this process. Therefore, the ARA/EPA ratio may mirror the action of eicosanoids

in fish physiology (Sargent et al., 1999; Schmitz and Ecker, 2008; Koussoroplis et al., 2011). In
most marine fish the proportion of ARA is much lower than that of DHA and EPA, and its
importance has been neglected (Bell and Sargent, 2003). However, the ARA/DHA and ARA/EPA
ratios are essentially species-dependent, but can be affected by the environment (Recks and
Seaborn, 2007). Therefore, the physical environment is an important factor affecting the fatty
acid composition of fish (Koussoroplis et al., 2011).

As described above, plankton and mesopelagic fish use different fatty acids for physiological activities. The plankton synthesize fatty acids, but the fish assimilate essential fatty acids from their dietary sources. Therefore, the plankton and mesopelagic fish show large differences in their fatty acid compositions.

319 The Southern Ocean plankton have a high EPA/DHA ratio (> 1), indicating that diatoms are the 320 dominant phytoplankton in this area. It has previously been reported that diatoms and euphausiids are the dominate phytoplankton and macrozooplankton in the Southern Ocean, respectively 321 (Schmidt et al., 2012; Ward et al., 2012a, 2012b). The Southern Ocean mesopelagic fish mainly 322 feed on euphausiids (Stowasser et al., 2009b) in a simple food chain comprising diatoms, 323 euphausiids, and mesopelagic fish. Consistent with this simple food chain, the Southern Ocean 324 325 mesopelagic fish contained high signals of diatoms and had a high EPA/DHA ratio. In the present 326 study area, diatoms made up a small proportion of the phytoplankton, and this is mirrored in the 327 fatty acid signals of the plankton. Therefore, different dietary sources impacted the fatty acid compositions of mesopelagic fish. 328

Compared with those in the Southern Ocean, the SCS mesopelagic fish had higher ARA/DHA and ARA/EPA ratios. Because of the high levels of DHA, the ARA/DHA ratio in mesopelagic fish was not remarkably different between the two regions. However, the ARA/EPA ratio in SCS mesopelagic fish was very different from that in fish from the Southern Ocean. In addition, the ARA/EPA ratio in SCS mesopelagic fish was several times higher than that in SCS plankton. The higher ARA levels might enable better adaptation to variable seawater conditions, including salinity and temperature (Bell and Sargent, 2003). The differences in the ARA/DHA and

ARA/EPA ratios suggest that the mesopelagic fish were impacted by physical factors in the 336 environment. The Southern Ocean sampling stations were located near South Georgia. In this 337 area the salinity varies from 33.7 to 34.3, and the temperature ranges from 0.45°C to 8.2°C 338 (Young et al., 2011; Ward et al., 2012a). In the SCS the salinity ranged from 33.7 to 34.5 and the 339 340 temperature range was 2–27°C. The salinity varied very little in each area, and the levels were similar in both regions. Nevertheless, the SCS had a very large temperature variation from 341 342 surface to bottom waters, whereas in the Southern Ocean there was little temperature change. Because of the temperature variation (4°C to 27°C), during their diel vertical migration, SCS 343 344 mesopelagic fish need to be able to tolerate large temperature changes, and therefore need more 345 ARA. Thus, the temperature variation leads to SCS mesopelagic fish having a greater ARA 346 content than Southern Ocean mesopelagic fish. Moreover, their ARA content is several times 347 higher than that of their dietary source (SCS plankton). Thus, the fatty acid composition of SCS 348 mesopelagic fish is influenced by both their dietary source and temperature variations in their 349 habitat.

#### 350 Conclusion

The lipid content differs between SCS epipelagic and mesopelagic fish. When using  $\delta^{13}$ C to study trophic interactions among fish, an unusually high lipid content can cause  $\Delta\delta^{13}$ C to change more than the enrichment factor in the food web. Therefore, biases caused by variability in lipid content must be normalized. The extraction of lipids represents a good method for addressing the impact of lipid content in mesopelagic fish on  $\Delta\delta^{13}$ C levels. In addition, the relationship between the C:N ratio and lipid content may enable the development of a mathematical normalization method to account for the impact of lipids on  $\Delta\delta^{13}$ C levels.

Analysis of specific fatty acid ratios indicated that both the dietary source and the physical environment (temperature) affected the fatty acid composition of SCS mesopelagic fish. Compared with the nearshore fish and SCS epipelagic fish, plankton were the dominate dietary source of SCS mesopelagic fish, as their geographical location meant that they sourced little

362 organic matter from detritus and sediment. Therefore, dietary sources probably affect the fatty 363 acid composition of SCS mesopelagic fish, which have higher ARA/DHA and ARA/EPA ratios 364 than fish from the Southern Ocean. Furthermore, the ARA/EPA ratio in mesopelagic SCS fish 365 was several times higher than that in SCS plankton. The high ARA levels enable the mesopelagic 366 fish to tolerate the temperature fluctuations to which they are exposed in the SCS. The physical 367 environment also has an important influence on the fatty acid composition of fish. Future studies 368 of the synthesis of fatty acids in particular species should take account of both dietary sources 369 and physical factors in the environment.

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#### Table 1(on next page)

Data related to fish in this study.

#### NOT PEER-REVIEWED

| Table 1. Data related to | o fish in this study |
|--------------------------|----------------------|
|--------------------------|----------------------|

| Station | Longitude   | Latitude   | Date 2014 | Time        | Bottom Depth (m) | Sample Depth (m) | Species (N)                  | Length (mm) | Weight (g)    |
|---------|-------------|------------|-----------|-------------|------------------|------------------|------------------------------|-------------|---------------|
|         |             |            |           |             |                  |                  |                              |             |               |
| L1      | 114°09′30″E | 20°17′10″N | 10/13     | 14:00-17:00 | 120              | 120              | Nemipterus bathybius (3)     | 130-140     | 36.27-55.42   |
|         |             |            |           |             |                  |                  | Psenopsis anomala (3)        | 180-200     | 155.75-230.06 |
|         |             |            |           |             |                  |                  | Decapterus macrosoma (2)     | 150-183     | 44.58-75.45   |
|         |             |            |           |             |                  |                  | Pagrosomus major (4) 100-11  |             | 30.73-36.38   |
| L2      | 115°05′23″E | 18°55′56″N | 10/14     | 14:55-17:48 | 3000             | 380              | Diaphus luetkeni (2)         | 40-47       | 1.25-1.60     |
|         |             |            |           |             |                  |                  | Diplophos teania (3)         | 137-155     | 4.04-6.42     |
|         |             |            |           |             |                  |                  | Cubiceps natalensis (4)      | 105-130     | 16.20-30.35   |
|         |             |            |           |             |                  |                  | Viperfish (3)                | 210-260     | 1.20-2.37     |
| L3      | 115°31′53″E | 19°01′11″N | 10/20     | 0:30-3:30   | 2210             | 430              | Myctophum asperum (2)        | 63-65       | 3.80-4.08     |
|         |             |            |           |             |                  |                  | Myctophum obtusirostre (2)   | 77-85       | 5.44-6.88     |
|         |             |            |           |             |                  |                  | Viperfish (3)                | 160-175     | 4.49-9.36     |
| L4      | 115°01′22″E | 20°43′01″N | 10/16     | 14:44-17:07 | 120              | 120              | Apogon semilineatus (2)      | 105-106     | 14.31-18.43   |
|         |             |            |           |             |                  |                  | Priacanthus macracanthus (2) | 155-160     | 79.26-91.48   |
|         |             |            |           |             |                  |                  | Decapterus maruadsi (4)      | 170-195     | 64.47-84.18   |
|         |             |            |           |             |                  |                  | Upeneus bensasi (2)          | 140-145     | 42.21-49.98   |
|         |             |            |           |             |                  |                  | Champsodon atridorsalis (2)  | 118-120     | 12.29-14.06   |
| L5      | 110°54′24″E | 19°30′01″N | 05/10     | 8:00-20:00  | 50               | 30               | Clupanodon thrissa (2)       | 125-130     | 35.15-37.28   |
|         |             |            |           |             |                  |                  | Leiognathus equulus (2)      | 123-140     | 56.78-63.33   |
|         |             |            |           |             |                  |                  | Stolephorus indicus (3)      | 96-108      | 20.12-27.34   |
|         |             |            |           |             |                  |                  | Thryssa hamiltonii (3)       | 87-97       | 23.22-26.35   |

#### Table 2(on next page)

Lipid, C:N, and <sup>13</sup>C values of fish in this study.

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|                  | Species           | Lipid (X) | C:N | δ <sup>13</sup> C (‰) |           | Δδ <sup>13</sup> C (‰) |
|------------------|-------------------|-----------|-----|-----------------------|-----------|------------------------|
|                  |                   |           |     | Unextracted           | Extracted |                        |
| Epipelagic fish  | N. bathybius 1    | 8.7       | 2.9 | -18.5                 | -18.3     | 0.1                    |
|                  | N. bathybius 2    | 7.9       | 3.0 | -18.4                 | -18.2     | 0.2                    |
|                  | D. macrosoma 1    | 11.1      | 3.6 | -18.4                 | -18.2     | 0.2                    |
|                  | D. macrosoma 2    | 12.9      | 3.3 | -18.7                 | -18.5     | 0.2                    |
|                  | D. maruadsi 1     | 23.8      | 3.8 | -18.8                 | -18.2     | 0.6                    |
|                  | D. maruadsi 2     | 28.2      | 3.7 | -19.0                 | -18.3     | 0.7                    |
|                  | C. natalensis 1   | 25.1      | 3.8 | -19.3                 | -18.7     | 0.6                    |
|                  | C. natalensis 2   | 23.2      | 3.9 | -19.5                 | -19.0     | 0.5                    |
|                  | P. macracanthus 1 | 27.4      | 4.2 | -19.4                 | -18.7     | 0.7                    |
|                  | P. macracanthus 2 | 28.6      | 4.1 | -19.6                 | -18.8     | 0.8                    |
| Mesopelagic fish | D. luetkeni 1     | 36.0      | 4.5 | -20.6                 | -19.4     | 1.2                    |
|                  | D. luetkeni 2     | 35.1      | 4.4 | -20.5                 | -19.1     | 1.4                    |
|                  | D. teanial 1      | 31.5      | 4.7 | -19.9                 | -18.7     | 1.2                    |
|                  | D. teanial 2      | 34.3      | 4.8 | -19.7                 | -18.4     | 1.3                    |

# Figure 1

Locations of sampling stations in the South China Sea.



## Figure 2

Relationships between (a) lipid content and the C:N ratio, (b) the C:N ratio and  $\Delta\delta^{13}$ C, and (c) the lipid content and  $\Delta\delta^{13}$ C.

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# Figure 3

Principal component analysis of fatty acids in SCS plankton and fish.



## Figure 4

Principal component analysis of fatty acids in SCS slope fish.



# Figure 5

Ratios of characteristic fatty acids in SCS fish.



## Figure 6

Principal component analysis of fatty acids in plankton and mesopelagic fish.

Data on mesopelagic fish and plankton from the Southern Ocean were obtained from Stubing and Hagen (2003) and Stowasser et al., (2009b)



## Figure 7

Ratios of characteristic fatty acids in plankton and mesopelagic fish.

