Modulation of transcriptional activity in brain lower grade glioma by alternative splicing

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Proteins that modify the activity of transcription factor (TF), often called modulators, play a vital role in gene transcriptional regulation. Alternative splicing is a critical step of gene processing and it can modulate gene function by adding or removing certain protein domains, and therefore influences the activity of a protein. The objective of this study is to investigate the role of alternative splicing in modulating the transcriptional regulation in brain lower grade glioma (LGG), especially transcription factor ELK1, which is closely related to various diseases, including Alzheimer's disease and down syndrome. Results showed that changes in the exon inclusion ratio of proteins APP and STK16 are associated with changes in the expression correlation between ELK1 and its targets. Meanwhile, the structural features of the two modulators are strongly associated with the pathological impact of exon inclusion. Our analysis suggests, protein in different splicing level could play different functions on transcription factors, hence induces multiple genes dysregulation.

1   **Modulation of transcriptional activity in brain lower grade glioma by**

2   **alternative splicing**

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Abstract

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12 role in gene transcriptional regulation. Alternative splicing is a critical step of gene processing and

13 it can modulate gene function by adding or removing certain protein domains, and therefore

14 influences the activity of a protein. The objective of this study is to investigate the role of

15 alternative splicing in modulating the transcriptional regulation in brain lower grade glioma (LGG),

16 especially transcription factor ELK1, which is closely related to various diseases, including

17 Alzheimer's disease and down syndrome. Results showed that changes in the exon inclusion ratio

18 of proteins APP and STK16 are associated with changes in the expression correlation between

19 ELK1 and its targets. Meanwhile, the structural features of the two modulators are strongly

20 associated with the pathological impact of exon inclusion. Our analysis suggests, protein in

21 different splicing level could play different functions on transcription factors, hence induces

22 multiple genes dysregulation.

Keywords

24 alternative splicing, amyloid precursor protein, EST domain-containing protein Elk-1, lower grade

25 glioma, modulator, serine/threonine kinase 16

Introduction

27 Alternative splicing (AS) is a key regulator of gene expression as it generates numerous

28 transcripts from a single protein-coding gene. In humans, over 95% of multi-exonic protein-coding

29 genes undergo AS(Wang, Sandberg et al. 2008), and AS plays an important role in cellular

30 differentiation and organism development(Castle, Zhang et al. 2008, Wang, Sandberg et al. 2008).

31 As AS affects numerous genes and is highly important for regulating a given gene's normal

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32   expression and tissue specificity, it is not surprising that changes in AS are frequently associated

33   with human diseases, such as cancers(Kozlovski, Siegfried et al. 2017) and neurodegenerative

34   diseases(Scotti and Swanson 2016). Recent genome-wide analyses of cancer transcriptomes have

35   demonstrated that splicing changes are often global rather than gene specific(Jung, Lee et al. 2015).

36   Undoubtedly, widespread splicing changes, such as altered cassette exon inclusion ratios of

37   proteins, influence the expression of numerous genes and consequently cause aberrant gene

38   regulation.

39   Lower grade glioma (LGG) is a type of cancer that develops in the glial cells of the brain.

40   Tumors are classified into grades I, II, III or IV based on standards set by the World Health

41   Organization(Ostrom, Gittleman et al. 2013). Regardless of tumor grade, tumors compress normal

42   brain tissue as they grow, frequently causing disabling or fatal effects. The Cancer Genome Atlas

43   (TCGA) consortium has produced a comprehensive somatic landscape of glioblastoma by

44   combining molecular and clinical data, and TCGA has become a valuable resource for studying

45   gene deregulation in LGG.

46   Modulators are proteins that modify the activity of transcription factors (TFs) and influence the

47   expression of their target genes. Our current knowledge of TF modulation mainly comes from

48   experimental studies that measure the expression levels of a few target genes(Lachmann, Xu et al.

49   2010). The objective of this study is to explore the role of AS in modulating the transcriptional

50   activities of TFs in LGG. The modulated relationships among TF-modulator-targets are inferred

51   using a known probabilistic model, named GEM(Babur, Demir et al. 2010). EST domain-

52   containing protein Elk-1 (ELK1) is one of the TFs whose regulation activity is most influenced by

53   162 splicing events, corresponding to 123 AS modulator proteins. Finally, amyloid precursor

54   protein (APP) and serine/threonine kinase 16 (STK16), modulators whose exon inclusion ratios

55   are associated with the activity of ELK1, are analyzed in detail.

56   **Materials & Methods**

57   *Construction of triplets*

58   We implemented a known algorithm named GEM(Babur, Demir et al. 2010) to predict (splicing

59   modulator-TF-target) triplets. There are four input types: gene expression profiles, gene splicing

60   profiles, modulator list and transcription factor-target relations. The modulator hypothesis predicts

61   that the correlation between the expression levels of the TF and the target must change as the

62   splicing level of the modulator changes. The percentage of exon inclusion ratio (PSI) is used to

63   estimate the splicing level of a candidate modulator in LGG. We established a 5% false discovery

64   rate as the threshold to call the triplets.

65   *Data processing and selection*

66   RNA-Seq data were download from the TCGA-LGG data portal as bam files. STAR aligner

67   (version 2.3.0) was used to uniquely align each file uniquely to the hg19 human genome. We kept

68   uniquely aligned reads with a minimum splice junction overhang of five nucleotides using default

69   parameters. Gene expression level is estimated using a tool named NGSUtils (version 0.5.9)

70   (Breese and Liu 2013) with the default parameters for calling gene expression. The splicing level

71   (PSI) is estimated using a probabilistic model called Mixture of Isoforms (MISO)(Katz, Wang et

72   al. 2010). The TF-target relations are derived from the ENCODE (The Encyclopedia of DNA

73   Elements) project. The workflow of data processing and selection is described in Figure1.

74   For the candidate modulators, we keep the splicing events where over 95% samples have

75   confidence interval (CI) less than 0.25 and only analyze predicted cassette exons that have at least

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76   10 reads supporting exon inclusion or exclusion in at least one sample. We fill the missing PSI

77   value of a sample with the median PSI value of that splicing event. Finally, AS events were

78   selected based on candidate modulators whose PSI IQR (interquartile range) were larger than 0.1

79   As the input data require sufficient variability, we filtered out genes whose gene expression

80   coefficient variation (CV) was less than 50% and keep genes in which over 95% of samples had

81   expression values.

82   *Database and related software*

83   The implementation of GEM is available through SourceForge

84   (https://sourceforge.net/projects/modulators). Statistical analysis and data processing were

85   performed using R version 3.0.1 (www.r-project.org). DAVID(Dennis, Sherman et al. 2003) and

86   IPA (Ingenuity Pathway Analysis) were used to perform gene function and pathway analysis.

87   Protein-protein interactions were predicted by the STRING database (http://string-db.org).

88   **Results and Discussion**

89   *Global inferring modulators of all TFs*

90   We assume that all TFs have the potential ability to interact with their modulator candidates.

91   Seven hundred and sixty-five AS events were considered as putative modulators, and 173,598 TF-

92   target pairs composed of 74 TFs and 17,425 targets were used to infer modulated triplets. The

93   number of inferred splicing modulators varied across all TFs, and the percent of influenced targets

94   ranged from 0 to 33.5% for each TF (Figure 2).

95   Figure 3 summarizes the number of modulators of 26 TFs whose influence targets over 10%.

96   The number of inferred modulators ranges from 1 to 262. EST domain-containing protein Elk-1

97   (ELK1) was one of the 26 TFs that had the greatest number of predicted modulators. A total of

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98　262 splicing events corresponding to 187 proteins were identified as ELK1 modulators because

99　their splicing outcome highly correlated with changes in ELK1's transcriptional activity.

100　*Gene function analysis of ELK1 modulators in LGG*

101　ELK1 is a member of the ETS transcription factor family, which is closely related to various

102　diseases, including Alzheimer's disease, down syndrome and breast cancer, in a dose-dependent

103　manner(Peng, Yang et al. 2017). It can significantly regulate the expression of c-Fos, which is a

104　key gene for cell proliferation and differentiation(Chambard, Lefloch et al. 2007). In this study,

105　we inferred five hundred and forty splicing events as ELK1 modulators.

106　Figure 4A summarizes the distribution of inferred modulators of ELK1. Two hundred and sixty-

107　two modulators influence over 10% of ELK1's targets, 49 modulators influence at least 20% of its

108　targets, and 5 modulators influence more than 30% of its targets, including

109　'chr2:39931221:39931334: +@chr2:39934189:39934326: +@chr2:39944150:39945104: +'

110　(TMEM178A, Transmembrane protein 178A precursor), 'chr2:74685527:74685798:

111　+@chr2:74686565:74686689: +@chr2:74686770:74686872: +' (WBP1, WW domain binding

112　protein 1), 'chr2:36805740:36806008: -@chr2:36787928:36788008: -

113　@chr2:36785581:36785656: -' (FEZ2, Fasciculation and elongation protein zeta-2),

114　'chr5:175788605:175788809: -@chr5:175786484:175786570: -@chr5:175782574:175782752:'

115　(KIAA1191, Putative monooxygenase p33MONOX), 'chr2:74685527:74685798:

116　+@chr2:74686565:74686679: +@chr2:74686770:74686872: +' (WBP1).

117　As many inferred modulators may have similar protein functions or function related, we

118　performed pathway and function enrichment analysis to explore the functions of these modulated

119　genes. We filtered modulators whose influenced targets less than 10% and finally 262 splicing

120　events as modulators corresponding to 129 proteins are remained as ELK1 final modulators. After

6

121 removing duplicated gene symbols and unannotated genes, 126 proteins can be mapped to the

122 Ingenuity Knowledge Base that are subject to core analysis.

123 Results show that over 80% of these splicing proteins related to cancer are enriched and most

124 of the enriched canonical pathways are overlapped with certain genes. As Table 1 summarized,

125 these modulators are enriched in three types of diseases, including neurological disease,

126 organismal injury and abnormalities disease, and cancer, respectively. Molecular and cellular

127 function enrichment analysis showed that over 20% of the modulators were associated with

128 cellular movement (28/123), cellular assembly and organization (32/123), and cellular function

129 and maintenance (26/123); 11% and 8% of the modulators were highly enriched in cell

130 morphology (14/123), and cell-to-cell signaling and interaction process (10/123), respectively.

131 Top5 modulator-enriched pathways (Figure 4B) are highly ($p < 0.05$) associated with signaling

132 processes, including clathrin-mediated endocytosis signaling, CTLA4 signaling in the cytotoxic T

133 lymphocyte pathway, nNOS signaling in neurons and calcium signaling pathways.

134 *APP modulates ELK1 transcriptional activity*

135 Amyloid precursor protein (APP) was one of modulators of interest and its analysis is described

136 in detail here. An interaction between APP and ELK1 is mentioned in the STRING database.

137 Several AS isoforms of APP have been observed in humans. The isoforms range in length from

138 639 to 770 amino acids, and certain isoforms are preferentially expressed in neurons; changes in

139 the neuronal ratio of these isoforms have been associated with Alzheimer's disease (Matsui,

140 Ingelsson et al. 2007).

141 One splicing event of APP detected as a modulator was "chr21:27354657:27354790:-@

142 chr21:27372330:27372497:-@chr21:27394156:27394358:-". Different inclusion ratios of the

143 alternatively spliced exon in APP protein influence 18.6% of the targets of ELK1, and the 7th exon,

7

144   which contains a vital domain named BPT/Kunitz inhibitor (BPTI) (residues 291-341), is the

145   alternatively spliced exon. The splice isoforms that contain the BPTI domain possess protease

146   inhibitor activity.

147   According to GEM algorithm, unmodulated ELK1 activity was classified into three categories

148   according to α_f: activation if positive, inhibition if negative, and inactive if zero. Similarly, by

149   comparing α and β coeffcients, modulators are classified into three classes: enhance, attenuate or

150   invert the activity of the ELK1. Hence, there are six possible categories of action. The APP

151   modulation categories and their interpretations are listed in supplement file Table S1.

152   As Table 2 summarized, without APP modulation of APP, unmodulated ELK1 inhibits 172

153   targets, and activates 31 targets. However, when APP interacts with ELK1 as a modulator, the

154   original transcriptional activity of ELK1 becomes different: APP attenuates ELK1 inhibition roles

155   on 164 targets, inverts inhibition activity on 8 targets, and enhances activation on 14 targets.

156   Meanwhile, APP also inverts or attenuate ELK1 activity on 31 targets, including 1 targets activity

157   is inverted and 30 targets activity is attenuated.

158   We randomly selected four targets (ANKRD34A, DDX27, DVL3 and HEATR1) of ELK1 to

159   explore the different activities of ELK1 under the modulation of differential inclusion levels of

160   APP protein. Ideally, the inclusion level of the splicing modulator and expression of ELK1 should

161   have high variance and low correlation in the samples. We divided rank-ordered PSI values of

162   APP splicing modulators, extracting ELK1 and its target samples that were consistent with APP

163   splicing modulator samples in upper/lower tertile, and estimated the differences in correlation

164   between ELK1 and its target using Spearman's correlation.

165   Figure 5A shows the examples of APP-modulated ELK1 target genes and the corresponding

166   action modes. As shown in Figure 5A, when the exon inclusion level of APP was in lower tertile,

<div align="center">8</div>

167   an increase in the gene expression level of ELK1 resulted in a significant increase in the gene

168   expression of its target ANKRD34A. Spearman's correlation of gene expression between ELK1

169   and ANKRD34A was 0.71 (p < 2.2e-16), which means that in this condition, ELK1 plays an

170   enhancement role on its target. However, when the PSI value of the APP modulator is in upper

171   tertile, the correlation decreased into 0.30 (p = 0.0085). For the other two targets, DDX27 and

172   DVL3, the correlations changed from -0.47 and -0.53, respectively, to non-significant (p > 0.1).

173   For these three cases, the APP modulator attenuates the activation of ELK1. The opposite

174   modulation occurs on target HEATR1. When the exon is spliced out of the protein, ELK1 negative

175   regulates the expression of HEATR1 with a correlation as -0.38 (p = 0.0005); however, when the

176   exon is excluded from the mature mRNA, the APP modulator inverts the activation of ELK1 on

177   its target with a correlation of 0.70 (p < 2.2-16).

178   We evaluated the exon's impact on APP protein using ExonImpact (Li, Feng et al. 2017). The

179   results showed that the alternatively spliced exons of APP protein that we detected have a high

180   probability (0.57 and 0.48) of being associated with disease. This result indicates that changes in

181   the inclusion or exclusion level of spliced exons can lead to significant changes with respect to

182   APP protein function.

183   Figure 5B visualized the global effect of changing the inclusion ratios of alternately spliced

184   exons in APP and influences on the relationship between ELK1 and its target. The two groups of

185   samples are selected based on the 7th exon inclusion ratio of APP. The high and low inclusion

186   groups contain samples with the top and bottom 30% of PSI values. The correlation patterns

187   between ELK1 and its targets in the two groups are different, clearly showing that different splicing

188   levels of APP can modulate the transcriptional activity of ELK1.

189   *STK16 modulates ELK1 transcriptional activity*

9

190   The   AS   event

191   "chr2:220111379:220111598:+@chr2:220111835:220111968:+@chr2:220112137:220112257:+"

192   for protein serine/threonine kinase 16 (STK16) is another interesting modulator that we identified.

193   Inferred STK16 modulated triplets and their modulation categories are listed in Table S2. STK16

194   is a membrane-associated protein kinase that phosphorylates on serine and threonine residues. An

195   interaction between STK16 and ELK1 is inferred from the biochemical effect of one protein upon

196   another in the BioGrid database. The alternatively spliced exon that acts as a modulator of SKT16

197   is the 4th exon and it locates in a region that encodes for a kinase domain named Pkinase that is

198   associated with the protein's proton acceptor.

199   Figure 6A shows the modulating effect of STK16 on ELK1, and TMEM60 is one of targets we

200   randomly detected. The samples in the two groups are selected using the same method mentioned

201   above. A negative correlation (-0.37, $p = 0.0004$) is only shown when the exon is included in the

202   final product. The exon's impact in protein function analysis (Li, Feng et al. 2017) shows that this

203   alternatively spliced exon has a high disease probability of 0.67, which indicates that changes in

204   the exon inclusion or exclusion ratio might cause a gain or loss in protein function. We know that

205   the specific alternatively spliced exon of STK16 encodes a kinase domain, so it is not surprising

206   that the loss of this exon will cause a change in protein function and may ultimately influence

207   numerous normal gene functions.

208   Figure 6B shows the global modulating effect of STK16 on ELK1. The low and high inclusion

209   groups contain samples with the top and bottom 30% of PSI values, which indicate exon exclusion

210   and inclusion in the final protein. A positive correlation between ELK1 and its targets is clearly

211   shown when the exon is excluded, whereas this correlation becomes negative when the exon is

212   included. This result suggests that the 4th cassette exon in STK16 is important to final protein

213   function and that changes in the splicing level of STK16 are associated with the differential

214   transcriptional activity of ELK1.

Conclusions

216   We globally dissected the role of AS in regulating the transcriptional activity of TFs in LGG

217   using TCGA-LGG data. ELK1 was one of TFs with the greatest number of inferred modulators,

218   e.g., APP and STK16. The results show that changes in the AS of APP and STK16 proteins are

219   associated with changes in the transcriptional activity of ELK1 and that the structural features of

220   the two proteins are strongly associated with the pathological impact of exon inclusion. The

221   presented results provide important insights on the modulating role of AS on transcription

222   regulation in LGG.

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225 improved the early version of this manuscript.

226

227 Competing interests

228 The authors declare that they have no competing interests.

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263

264   **Additional files**

265   Additional file 1: Table S1. Inferred APP modulated triplets and their modulation categories.

266   Additional file 2: Table S2. Inferred STK16 modulated triplets and their modulation categories

267

Table 1(on next page)

ELK1 modulators protein function and disease enrichment (p<0.001)

Each number in the table indicates the account of ELK1 modulators enriched in specific function or disease. The statistical threshold is p<0.001.

Molecular and Cellular Functions			
Cellular assembly and organization	32	Cellular movement	28
Cellular function and maintenance	26	Cell morphology	14
Cell-to-cell signaling and interaction	10		
Diseases and Disorders			
Neurological disease	37	Organismal injury and abnormalities disease	113
Cancer	110		

Table 2 (on next page)

Interpretation of the categories of APP modulation, and the inequality constraints that the category should satisfy

Each number in the table indicates the number of triplets in each classification. '+' and '-' signs in the columns indicate significantly positive and negative values, respectively.

Modulation classification	Explanation	#triplets	γ	α_f	β_f	β_m	$\alpha_f + \beta_m$
Attenuates inhibition	F, alone, inhibits T – M attenuates F activity	164	+	-			
Enhances inhibition	Modulated F inhibits T	0	-		-	-	-
Inverts inhibition	F, alone, inhibits T - M inverts F activity	8	+	-	+	+	+
Inverts activation	F, alone, activates T – M inverts F activity	1	-	+	-	-	-
Enhances activation	Modulated F activates T	14	+		+	+	+
Attenuates activation	F, alone, activates T – M attenuates F activity	30	-	+			

'+' and '-' signs in the columns indicate significantly positive and negative values, respectively.

Figure 1(on next page)

Workflow for data processing and selection.

The whole workflow including three parts: obtain the transcriptional profile, expression and splicing calling and construct the modulated triplets.

Transcriptome profiling
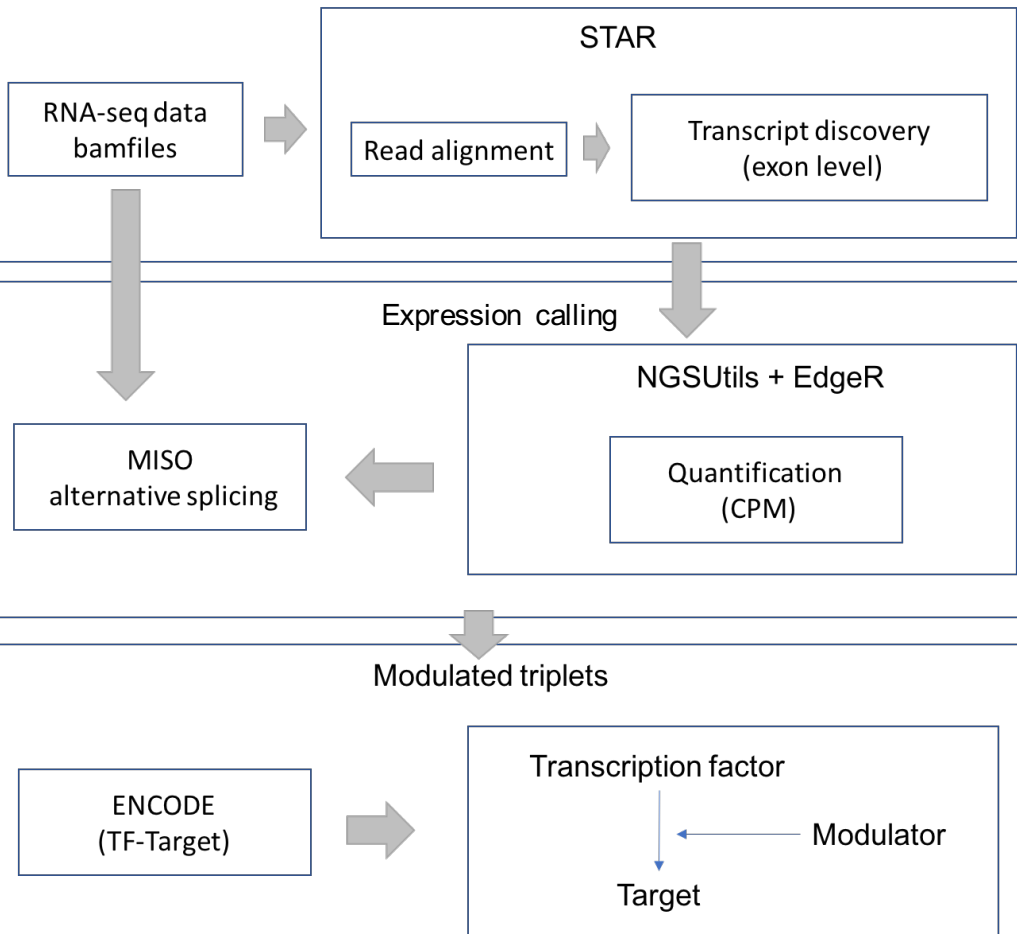
Figure 2(on next page)

The effect of transcription factors activity regulated by splice modulator proteins.

Each row represents a candidate modulator and each column indicates a transcription factor.

The color much darker means a much higher percent targets of TF is influenced.

Figure 3(on next page)

Summarized counts of inferred modulators of TFs.

The c-axis represents the transcription factor list, and the y-axis represents the counts of inferred modulators. The number on each TF indicates the number of modulators of each TF that influence more than 10% of its targets.
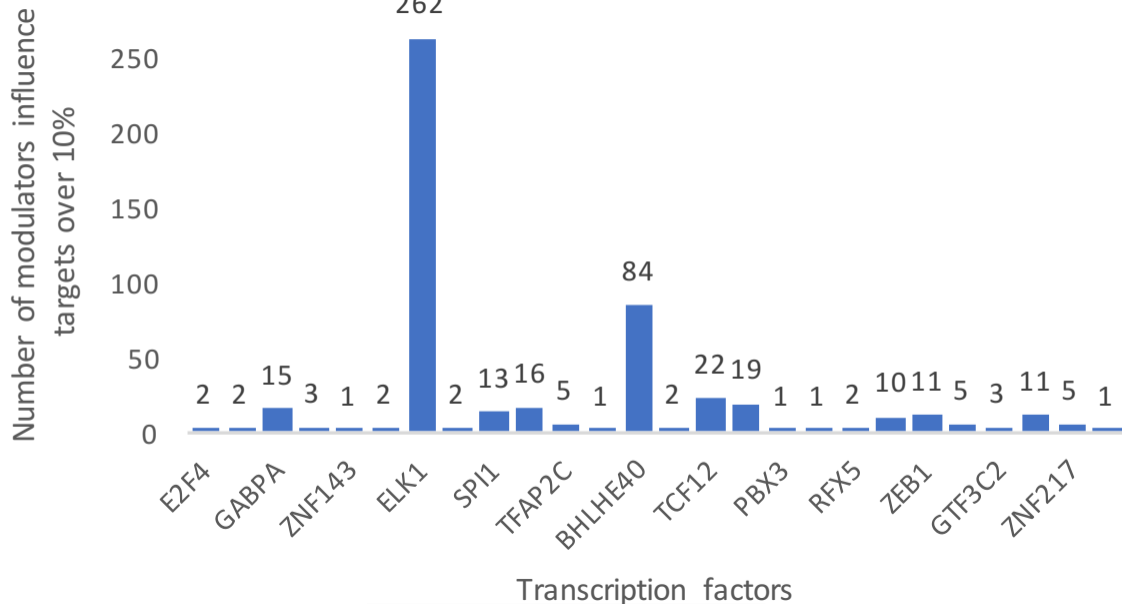
Figure 4(on next page)

Statistical analysis of the modulators of ELK1.

(A) Distribution of the number of ELK1 modulators. The x-axis represents the percentage of targets influenced by modulator proteins. The y-axis indicates the percent of modulators of ELK1. The number noted on each column indicates the percent of modulators in each classification. (B) IPA of ELK1 modulators that influenced over 10% of its targets. The x-axis is the -log10 transformed p of each enriched pathway (y-axis).

(A)

Percent of splicing modulators (%)

>30%	0.93
20%-30%	8.15
15%-20%	10.37
10%-15%	10.56
5%-10%	18.52
<5%	51.48

Percent of targets influenced by splicing modulators

(B)

Enriched pathways

Pathway	log10(p-value)
Glutamate Receptor Signaling	1.34
Inositol Pyrophosphates Biosynthesis	1.38
nNOS Signaling in Neurons	1.49
Virus Entry via Endocytic Pathways	1.61
Calcium Signaling	1.69
Epithelial Adherens Junction Signaling	1.94
Lipid Antigen Presentation by CD1	2.03
CTLA4 Signaling in Cytotoxic T Lymphocytes	2.52
Clathrin-mediated Endocytosis Signaling	5.5

log10(p-value)

Figure 5(on next page)

APP is a modulator that influences the activity of ELK1 in LGG.

(A) Examples of different correlations between ELK1 and its targets under the modulation of APP with differential splicing levels. (B) Visualization of how APP regulates the stability of ELK1 protein. Gene expression profiles are displayed with genes in rows and samples in columns. Expression values of each gene are rank transformed, median centered and rescaled between [-0.5, 0.5]. Samples were partitioned based on the alternatively spliced exon inclusion level of APP and sorted by the expression levels of ELK1 within each partition.
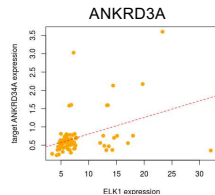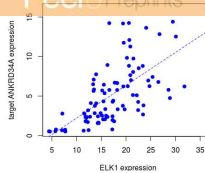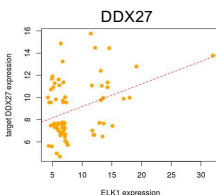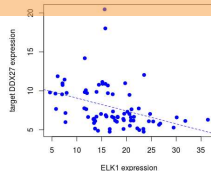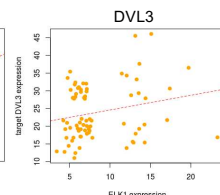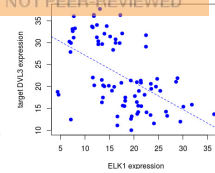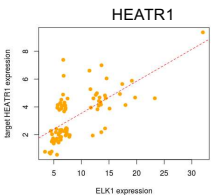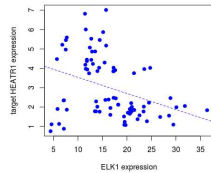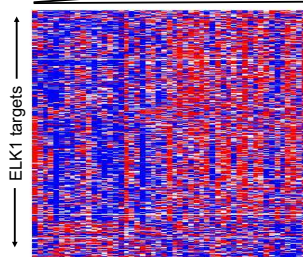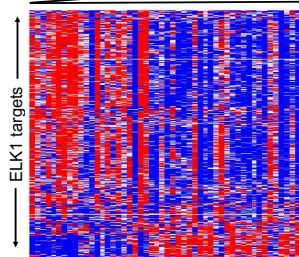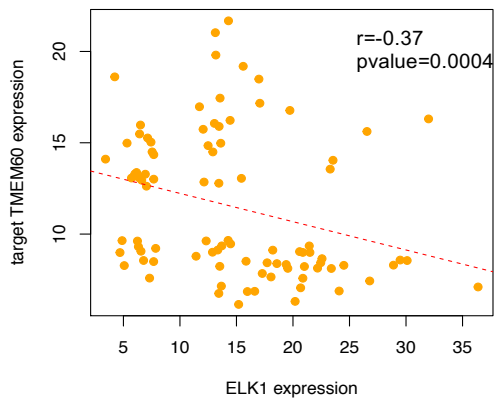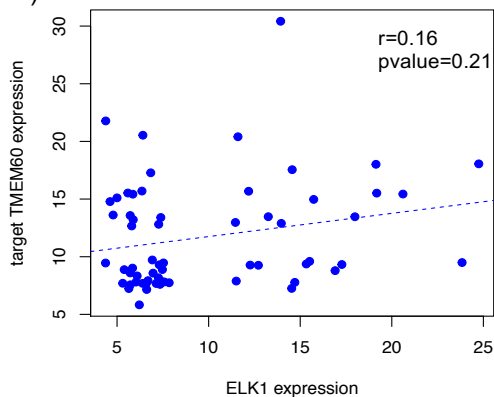
Figure 6(on next page)

STK16 is a modulator that affects the transcriptional activity of ELK1 in LGG.

(A) Examples of differential regulation activities of ELK1 on its target under the modulation of STK16 with differential splicing levels. The spliced exon is excluded in the final production of STK16 in the first scenario, and the exon is included in the final production of STK16 in the second scenario. (B) STK16 regulates the stability of ELK1. See Figure 3B for interpretation of this graph.
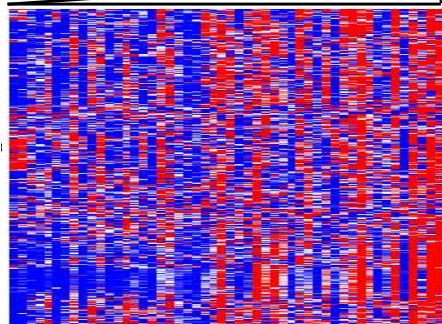
(A)

(B)

Splicing PSI low   chr2:220111379:220111598:+   Splicing PSI high
                          @chr2:220111835:220111968:+
                          @chr2:220112137:220112357:+