

1 Title: Endocrine Disruption: Where have we been, interpretation of data, and lessons learned
2 from Tier 1

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4 Running head: Lessons learned from Tier 1

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22 **ABSTRACT**

23 In response to the requirements of the US EPA's Endocrine Disruptor Screening Program, Tier 1
24 assays have been performed with a number of pesticides over the past several years. These
25 assays are designed to be used in concert as a screen for potential interactions with vertebrate
26 estrogen, androgen, and thyroid systems. The results of the 11 assays in the Tier 1 battery are
27 then used, along with other lines of evidence, to determine whether a chemical is endocrine-
28 active and, as a consequence, might be a candidate for Tier 2 testing. An overview of the Tier-1
29 testing program was presented in Session Two of the Society of Environmental Toxicology and
30 Chemistry (SETAC) North America Focused Topic Meeting: *Endocrine Disruption Chemical*
31 *Testing: Risk Assessment Approaches and Implications* (February 4 – 6, 2014). Subsequent
32 presentations discussed the concept of weight-of-evidence (WoE) and assessment of Tier 1
33 results in a WoE framework. The importance of scientifically credible, transparent approaches
34 for conducting WoE analyses was recognized, and approaches for framing the hypotheses,
35 evaluating the data, assigning weight to different endpoints relative to their diagnostic
36 effectiveness, and assessing confounding factors were presented. In recognition of the cross-
37 species conservation of the hypothalamic-pituitary-gonadal axis among vertebrates, a subset of
38 the Tier-1 *in vivo* assays may be useful for more rapidly screening chemicals for potential
39 endocrine activity.

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41 Keywords: Endocrine disruption, Endocrine Disruption Screening Program, testing, weight-of-
42 evidence

43 INTRODUCTION

44 Session Two of the Society of Environmental Toxicology and Chemistry (SETAC) North
45 America Focused Topic Meeting: *Endocrine Disruption Chemical Testing: Risk Assessment*
46 *Approaches and Implications* (February 4 – 6, 2014) focused on the experience gained to date
47 with implementation of the Tier 1 testing of U.S. EPA’s Endocrine Disruptor Screening Program
48 (EDSP), and how these data can be used to make decisions about the need for further testing.
49 Leslie Touart presented an overview of the 11 assays in the Tier 1 screening battery. Keith
50 Solomon discussed the concept of using weight-of-evidence (WoE) in risk assessment, illustrated
51 by an example on the potential effects of atrazine on fish, amphibians, and reptiles. Ellen
52 Mihaich described a hypothesis-based weight of evidence framework that was developed to
53 evaluate experimental data, with a proposed specific use in evaluating results of the Tier 1
54 screening battery. Amy Blankinship provided an overview of the conceptual basis of the WoE
55 guidance used by the USEPA to evaluate Tier 1 data for identifying the need for additional (Tier-
56 2) testing. The session concluded with a presentation by Gary Ankley on an analysis indicating
57 that it appears possible to use just two of the current Tier-1 tests as initial “gate keeper” assays,
58 following which chemicals may be exempted from further testing or subjected to additional,
59 confirmatory analyses with other existing Tier-1 assays.

60 SESSION PRESENTATION SUMMARIES

61 *USEPA Endocrine Disruptor Screening Program (EDSP) Tier-1 Battery Overview by: Leslie*
62 *Touart*

63 The suite of 11 Tier-1 EDSP assays is specifically designed to detect chemicals with the
64 potential to interact with the estrogen, androgen, and thyroid (EAT) systems in vertebrates,

65 through mechanisms such as activation and antagonism of target nuclear hormone receptors, and
66 inhibition of hormone synthesis (<http://www.epa.gov/endo/>). Given the complex interactive
67 nature of the endocrine system, if the objective is to comprehensively detect their potential to
68 disrupt endocrine regulated processes, it is clear that chemicals should be tested for apical effects
69 (e.g., the ability to alter growth, development, or reproductive processes) and their potency in *in*
70 *vitro* assays of receptors and synthesis of sex steroids. A battery of screening tests has been
71 developed which includes a range of taxonomic groups and sufficient diversity of endpoints to
72 maximize sensitivity and minimize false negatives. There are five *in vitro* assays focused on
73 binding to and transactivation of the estrogen receptor, binding to the androgen receptor, and
74 inhibition of synthesis of sex steroids. There are six *in vivo* Tier-1 screens, four utilizing rats
75 (uterotrophic and Hershberger assays; male and female pubertal assays), one with the fathead
76 minnow (fish short-term reproduction assay; FSTRA), and one with the amphibian *Xenopus*
77 *laevis* (amphibian metamorphosis assay; AMA). Although each of the Tier-1 assays provides
78 unique data, the suite was purposefully designed to result in some redundancy with respect to
79 detecting endocrine pathways of concern (Table 1). The *in vitro* assays provide sensitivity and
80 mechanistic clues, while the *in vivo* assays provide for integrative responses and metabolism and
81 distribution considerations. The results of the Tier-1 battery are to be interpreted in a WoE
82 context, rather than the sum of positive and negative assays. Some endpoints are more
83 diagnostic/specific than others, and effects seen in multiple endpoints and multiple assays carry
84 the most weight. There are two possible interpretations of the outcome of the Tier-1 battery:
85 either the potential for EAT activity exists, which warrants analysis in Tier-2 testing, or there is
86 low or no potential for EAT activity. A FIFRA Science Advisory Panel meeting held in 2008 to
87 review the Tier-1 screening battery concluded that, based on the state of the science at the time,

88 the set of assays were an appropriate starting point to detect potential endocrine disruptors and
89 should continue to be refined and developed. In summary, multiple assays are required to
90 comprehensively screen endocrine, androgen, and thyroid hormone systems. The *in vitro* assays
91 are suitable for well-understood mechanisms (e.g., receptor binding), while the *in vivo* assays
92 with intact hypothalamic-pituitary-gonadal (HPG) and hypothalamic-pituitary-thyroidal (HPT)
93 axes are useful for efficiently screening complex processes. The totality of the results of the Tier-
94 1 screening battery are needed to support WoE conclusions about the potential of a chemical to
95 interact with vertebrate EAT systems.

96

97 *Use of weight of evidence for characterizing adverse outcome pathways in risk assessment by:*

98 ***Keith Solomon***

99 Information and data on chemicals from studies published in the open literature are
100 increasingly being used for assessment purposes by regulatory agencies in many jurisdictions,
101 including North America and Europe. Because most of these studies are not conducted to the
102 Good Laboratory Practices (GLP) standards as required by regulatory agencies, there is a need to
103 assess their quality and relevance in light of the regulatory endpoints being considered. To aid in
104 interpretation and to use these data in regulatory decision-making, they need to be integrated into
105 lines of evidence that inform adverse outcome pathways (AOPs) and lines of evidence related to
106 apical endpoints such as survival, growth, development, and reproduction.

107 There are important differences between studies published in the open literature and those
108 conducted under GLP guidelines for regulatory agencies. Published studies often are
109 incompletely documented, raw data are rarely available, and many studies do not follow
110 standardized protocols. In addition, studies used in reviews and meta-analyses may be subjected

111 to selection bias or, in a worse case, there may be selection bias where negative (no effect)
112 results are not published (Walker et al. 2008). In contrast, studies conducted under GLP with
113 Quality Assurance and Quality Control (QA/QC) are required by regulation in many
114 jurisdictions, are completely documented, the raw data are available, most studies are conducted
115 using standardized protocols, and there is no publication bias; all observations are documented.
116 For this reason, GLP studies with QA/QC cannot always be directly compared to or combined
117 with published studies for a WoE analysis for decision making. A WoE analysis of the potential
118 effects of atrazine on fish, amphibians, and reptiles (Van Der Kraak et al. 2014) was conducted
119 using quantitative methods to characterize the strength and relevance of published and GLP
120 studies. This brief overview describes a subset of data taken from Van Der Kraak et al. (2014)
121 with a specific focus on reproductive outcomes in fish, amphibians, and reptiles.

122 In this example, the strength of the experimental methods and the ecological relevance of
123 the observed responses from over 2000 studies and experiments were scored. The detailed
124 methods of scoring are reported (Van Der Kraak et al. 2014) and are not repeated here. Briefly,
125 the strength of the methods was scored based on various aspects of the studies, such as the
126 experimental design and conduct, the use of appropriate controls, measures of exposures, the
127 inclusion of environmentally realistic concentrations, number of concentrations, quality control,
128 and transparency of data. These criteria are similar to those suggested by Klimisch et al. (1997).
129 The relevance of the each response was assessed by scoring statistical significance, concentration
130 or dose-response, its relevance to an appropriate apical endpoint, and a biologically plausible
131 mechanism. The WoE process was inclusive and no studies were excluded, except those with
132 mixtures where the individual components were not tested individually. Results were presented
133 graphically where strength and relevance were shown separately for easy interpretation and are

134 supported with details of the experimental procedures (see SI provided with Van Der Kraak et al.
135 2014).

136 AOPs (Ankley et al. 2010) are used to characterize links between responses at lower
137 levels of biological organization and apical endpoints such as survival, growth, development, and
138 reproduction (Figure 1). AOPs provide the framework for extrapolation of effects to other
139 organisms/taxa or to identify reliable and robust biomarkers that can be used in place of the
140 apical endpoint. Responses from multiple studies at each level of an AOP can be subjected to
141 WoE analysis. If one or more apical endpoints (4 and 5 in Figure 1) have been characterized
142 under WoE, and the combination of these indicates no or *de minimis* effects at environmentally
143 relevant exposures, an analysis of AOPs is not needed. In this case any effects observed at lower
144 levels of organization are “trumped” or negated by lack of effect on apical endpoints and those at
145 lower levels are most likely only bioindicators of exposure or adaptive response. However, if
146 one or more of the apical endpoints indicates relevant effects at environmentally-relevant
147 exposures, then a characterization of AOP might be useful to better understand the response.
148 Because responses in an AOP are concatenated, a break in the chain at any point in the pathway
149 (illustrated by the red X in Figure 1) provides evidence that the responses are not important for
150 apical effects and that regulatory action would not be needed.

151 To illustrate the combination of AOPs with WoE analysis, reproductive responses to
152 atrazine in fish, amphibians, and reptiles were combined in graphs showing the mean scores for
153 multiple responses and their uncertainty (see Van Der Kraak et al. (2014) for details) in four
154 links of an AOP. These links in the AOP chain were at the biochemical (A), cellular (B), organ
155 (C), and organism (D) levels (Figure 2). The organismal level is apical. As can be seen from the
156 graphics in Figure 2 (A to D), the mean values for relevance of all the responses in the AOP

157 chain cluster at the low end of the relevance scale. The means and uncertainty of the scores
158 provide the basis for testing risk hypotheses in the WoE framework. These analyses suggested
159 that there was a *de minimis* risk of adverse effects at all levels of the AOP. Strictly speaking, the
160 lack of effects at the organismal level would negate the need for AOP analysis but the example is
161 illustrative of the robustness of the response as effects at all levels of the AOP are of low
162 relevance. This provides greater assurance that the lack of response is real and not just due to a
163 lack of data or measures at different levels of organization. As is indicated by the error-bars
164 (Figure 2), there was less uncertainty in the scores for relevance than the scores for strength. The
165 scores from strength for these responses (see details in Van Der Kraak et al. 2014) ranged from
166 low to high but the high-strength scores were consistent in indicating very low or *de minimis*
167 relevance.

168 In conclusion, the use of a formal, well described, transparent, and quantitative process
169 for WoE provides a helpful tool for conducting risk assessment. It is more objective and, when
170 combined with analysis of AOPs, provides more clarity and understanding of the significance of
171 effects. The example provided is directed specifically to reproduction but the process is
172 applicable to areas other than risk assessment; however, different and response-specific methods
173 of scoring may be needed.

174

175 ***"Weighing" the Evidence: Relevance and Transparency in the Evaluation of Endocrine***

176 ***Activity by: Ellen Mihaich***

177 A comprehensive, hypothesis-based weight of evidence (HB-WoE) framework was
178 developed to be applicable to any determination relying on experimental data, with a proposed
179 specific formulation for evaluating results of the U.S. EPA's Tier-1 Endocrine Screening Battery

180 (ESB) (Borgert et al. 2011a). The framework requires that before any WoE determinations are
181 considered, each experimental endpoint be weighted according to its relevance for deciding each
182 of 8 hypothesis addressed by the ESB. These hypotheses test whether or not the chemical under
183 evaluation has the potential to act as an (anti)-estrogen, (anti)-androgen, (anti)-thyroid, or induce
184 or inhibit steroidogenesis. The purpose of an *a priori* relevance weighting is to ensure a level of
185 transparency and objectivity exceeding that possible from WoE processes claiming a basis in
186 professional judgment alone. Ideally, quantitative relevance weighting (Wrel) values would be
187 derived from data revealing the positive and negative predictive value of the various endpoints
188 for the hypotheses addressed by the ESB assays. Because the ESB assays have not been
189 validated to that level (Borgert et al. 2011b), obviating the derivation of quantitative Wrels, this
190 method provides for endpoints to be ranked according to 4 categories based on interpretations of
191 relevant literature (Borgert et al. 2014). Although these Wrel rankings necessarily involve
192 professional judgment, their *a priori* derivation based on a defined rationale (Borgert et al. 2014)
193 enhances transparency nonetheless and renders any WoE determinations based on them
194 amenable to methodological scrutiny according to basic scientific premises. To make WoE
195 determinations for a particular substance, the framework requires combining Wrel
196 values/rankings for each hypothesis with response weightings (Wres) derived from the ESB data.

197 The method has been more fully described by Borgert et al. (2014). Wrels were
198 determined by ranking the endpoints by hypothesis according to the following definitions below.
199 Although no hypothesis can be decided on the results of a single assay, “interpretable” means
200 that the results for an endpoint provide information relevant to the hypothesis, without
201 clarification from other endpoints. Whether a hypothesis is supported requires consideration of
202 results from all relevant (#1, #2, #3) assays and endpoints. Rank 1 endpoints are typically *in vivo*

203 endpoints, specific & sensitive for the hypothesis and interpretable without other endpoints.
204 Rank 2 includes many *in vitro* endpoints that are sensitive and specific, but less informative than
205 Rank 1. Rank 3 includes many apical *in vivo* endpoints that are relevant for the hypothesis, but
206 are only corroborative of Rank #1 and #2 endpoints. Rank 4 endpoints were considered not
207 relevant for the hypothesis.

208 Data for the test chemicals are evaluated for each hypothesis individually, beginning with
209 Rank 1 and continuing through Rank 3 endpoints. The response to Rank 1 endpoints guides the
210 evaluation and interpretation of information from lower-ranked endpoints. Responses in Rank 1
211 are a preliminary indication that the hypothesis is or is not supported. Rank 2 endpoints are then
212 evaluated, with consistent positive responses among Rank 1 and 2 endpoints considered
213 sufficient support, and consistent negative responses considered refutation of the hypothesis.
214 Rank 3 endpoints are then consulted for consistency and, together with the strength of response
215 (Wres) in Rank 1 and 2 endpoints, temper or strengthen the conclusion. The interpretation
216 becomes more complex if Rank 2 endpoints are inconsistent with negative results in Rank 1
217 endpoints. In this case, the strength of the response in Rank 2 endpoints becomes even more
218 critical, as does an evaluation of Rank 3 endpoints, along with a consideration of the potential
219 reasons that Rank 1 endpoints might not respond. Some overarching guidelines for interpretation
220 can be established. Rank 1 endpoints cannot be dismissed for inconsistency with Rank 2. Rank
221 3 endpoints, in contrast, provide little useful information other than as corroboration for findings
222 in Ranks 1 and 2. Situations in which Rank 2 and 3 are consistent, but inconsistent with Rank 1
223 endpoints present the greatest challenge, and no general statements can be made.

224 Published data from genistein was used to illustrate the application of this WoE
225 framework and process for determining the potential for genistein to act as an estrogen agonist.
226 Genistein is an isoflavone present in plant foods like soy, fava beans, and clover. Phytoestrogens
227 like genistein are known to cause effects on reproduction in female ruminants, such as sheep and
228 cattle (Adams 1995), and have been well studied to understand potential impacts on humans
229 given the number of populations using a diet high in soy. For brevity, summary results are
230 presented only for the estrogen agonist hypothesis in Table 2. In this example, although there are
231 studies that provide some conflicting results (data not shown), the overall weight of the evidence
232 of the data for genistein would support the estrogen agonist hypothesis. While few studies use
233 positive controls because of animal use concerns, and specific positive controls would be needed
234 to address each hypothesis being tested, some studies with genistein have employed compounds
235 such as ethinyl estradiol (Kim et al. 2005) which allows for an estimation of estrogenic potency.
236 Each additional hypothesis and the appropriately ranked endpoints would be considered
237 separately; more detail on endpoint ranking can be found in Borgert et al. (2014).

238 This HB-WoE framework has been criticized for excessive detail, burdensome number
239 and impossible requirements for quantitative rankings, and excessive time required to complete
240 the process. As shown here, these criticisms are unfounded. The HB-WoE framework (Borgert
241 et al. 2011a) provides a means for transparent, objective conclusions about ESB results, and
242 moreover, streamlines the evaluation by allowing the analyst to appropriately allocate time and
243 attention to the most definitive information. Although it is not yet possible to attain the goal of
244 data-derived quantitative W_{rel} and W_{res} values, use of explicit W_{rel} rankings, derived *a priori*
245 and applied similarly for each hypothesis, helps to ensure transparency and consistency, a feature
246 absent from WoE approaches based solely on professional judgment. Despite an absence of

247 positive and negative control data in some ESB assays, Wres information can often be gleaned
248 from Rank 1 and some Rank 2 endpoints, including an estimate of potency differences. The HB-
249 WoE framework provides for efficient processing and interpretation of ESB data by considering
250 the results of Rank 1 through 3 endpoints in consecutive order for each hypothesis. It provides
251 for a systematic method for identifying and resolving inconsistencies in results from ESB and
252 other scientifically relevant information and obviates a need to consider less definitive
253 information unless it could help to resolve an ambiguous interpretation.

254

255 ***Weight of Evidence: Evaluating Results from Tier-1 Screening for the U.S. EPA Endocrine***
256 ***Disruptor Screening Program by: Amy Blankinship***

257 In 2011, the United States Environmental Protection Agency's Office of Chemical Safety
258 and Pollution Prevention (EPA/OCSPP) published a guidance document for the Endocrine
259 Disruptor Screening Program (EDSP) which presented a weight of evidence (WoE) approach for
260 evaluating Tier-1 screening data for identifying the need for additional (Tier-2) testing (USEPA
261 2011). The function of the EDSP Tier-1 screening process is to identify chemicals that have the
262 potential to interact with the estrogen, androgen, or thyroid (E, A, or T) pathways and evaluate
263 the need for additional testing. The WoE guidance document provides general guidance in
264 support of EPA efforts to integrate and interpret data submitted in response to orders for Tier-1
265 screening; however, the guidance is not considered binding and reviewers may deviate from the
266 guidance where circumstances warrant. As described in the guidance document, the WoE
267 process identifies how the individual lines of evidence are assembled and integrated along two
268 concepts (*i.e.*, complementarity and redundancy) within the conceptual framework of an adverse

269 outcome pathway (AOP). Broadly, there are four main steps outlined in the guidance which
270 provide the foundation for WoE evaluations. The first step is to assemble and evaluate the
271 individual studies for their scientific quality and relevance in evaluating potential endocrine
272 interaction(s). The second step is to integrate the data along different levels of biological
273 organization while examining the extent of concordance (robustness) of complementarity (*i.e.*,
274 the concordance of endpoints within an assay that measures multiple endpoints) and redundancy
275 (*i.e.*, the concordance of endpoints/responses across assays) in the observed responses across
276 these different levels of biological organization. The third step is to then characterize the main
277 lines of evidence as well as any conclusions. Finally, the last step is to evaluate whether
278 additional testing is needed based on the evidence and conclusions described above.

279 As mentioned, the first step is to assemble and evaluate the available scientific data. Data
280 for the EDSP Tier-1 WoE evaluation falls into one of two categories: 1) EDSP Tier-1 data, and
281 2) other scientifically relevant information (OSRI). The EDSP Tier-1 data represent a battery of
282 11 assays consisting of *in vitro* and mammalian and wildlife *in vivo* assays. OSRI may include
283 published literature studies as well as studies conducted under USEPA (often referred to as Part-
284 158 data) or OECD guidelines submitted in support of registration of pesticides or other
285 chemicals. Each study is evaluated for scientific quality and relevance for informing interactions
286 with the E, A, or T pathway. Additionally, the concordance or consistency (complementarity) of
287 the responses in the individual study is evaluated. For the Tier-1 *in vivo* assays, often multiple
288 endpoints are measured in each assay. Decision logic trees were developed for some Tier-1 *in*
289 *vivo* assays in an effort to help guide the investigator/reviewer in interpreting results across
290 multiple endpoints within an assay (Ankley and Jensen 2014; USEPA 2009). Evaluation of the
291 potential confounding effects of overt toxicity in the study as well as the relative degree of

292 diagnostic utility of a specific endpoint for discerning whether or not the chemical has interacted
293 with the endocrine system are considered. The collective response of the individual endpoints, as
294 well as the conditions under which they were expressed, are considered when evaluating an
295 overall indication of potential interaction as measured by the study.

296 The second step in this WoE process is to formulate hypotheses and integrate the
297 available data along different levels of biological organization. Two key elements in the
298 integration of data as well as characterizing the extent to which the available data support a
299 hypothesis that a chemical has the potential to interact with E, A, or T pathways are the concepts
300 of complementarity and redundancy. These two concepts provide a basis for considering the
301 plausibility, coherence, strength, and consistency of the body of evidence. The current EDSP
302 Tier-1 screening assays are meant to evaluate whether or not a chemical can interact with E, A
303 and T consisting of different levels of biological organization from a molecular initiating event
304 such as receptor binding through potential adverse effects in apical endpoints such as sexual
305 development and fecundity at the whole organism level. Transitions to higher levels of biological
306 organization can indirectly provide information on potential compensatory capabilities of an
307 individual organism.

308 After the data have been assembled and integrated, the third step is to characterize the
309 main lines of evidence along with the conclusions; this characterization involves three
310 components. The first component is whether the data provide relevant, robust and consistent
311 evidence in terms of complementarity and redundancy as well as biological plausibility. Second,
312 is at what level of biological organization were the responses observed and whether organisms
313 exhibit compensatory responses at higher-levels of biological organization. Finally, an

314 evaluation of under what conditions did the responses occur including discussions regarding
315 whether the responses were observed in the presence of overt or systemic toxicity. The presence
316 of overt and/or systemic toxicity introduces uncertainty in the ability to distinguish effects
317 specifically related to an endocrine-mediated effect from a non-endocrine toxic response. This
318 uncertainty in distinguishing whether the responses were endocrine-mediated was discussed at
319 the FIFRA Scientific Advisory Panel (SAP) meeting in July 2013 that evaluated scientific issues
320 associated with the WoE evaluation of the EDSP Tier-1 screening process. The SAP stated that ,
321 *“In summary, the Panel agreed that little, if any, weight should be placed on signs of endocrine*
322 *disruption in the presence of overt toxicity. All effects in endocrine sensitive tissues should be*
323 *evaluated in terms of primary interactions with the endocrine system vs. secondary effects*
324 *related to toxicity in non-endocrine organs or overall disruptions in homeostasis”* (Schlenk and
325 Jenkins, 2013; Page 12; SAP 10/30/2013). Therefore, EPA considers multiple lines of evidence
326 in including the observed responses in the Tier-1 assays and OSRI in the context of a chemical’s
327 physical/chemical properties and its known modes of action in its overall characterization of a
328 chemical’s potential to interact with the E, A or T pathway. Adequately addressing these three
329 main questions is fundamental to the WoE process and in determining whether additional data
330 are needed.

331 In addition to characterizing the WoE, reviewers also consider: 1) uncertainties and their
332 potential impact to conclusions; 2) discussion of key studies; 3) description of inconsistent or
333 conflicting data; 4) overall strength of evidence supporting a conclusion; and, 5) what, if any,
334 additional data are needed and why. Assessing the need for additional data is based on a case-
335 by-case analysis which will include integration of existing knowledge on the chemical including
336 relevant hazard and exposure information. In summary, the evaluation of the EDSP Tier-1

337 screening process and ultimate decision for any additional testing is based on a totality of the
338 scientific evidence.

339 ***Cross-Species Conservation of Endocrine Pathways Provides a Basis for Reevaluation of***
340 ***EDSP Tiered Testing Paradigm: by Gerald Ankley***

341 Many structural and functional aspects of the HPG axis are known to be highly
342 conserved, but the relative significance of this from a regulatory toxicology perspective has
343 received comparatively little attention. High-quality data generated through development and
344 validation of Tier-1 tests for the USEPA Endocrine Disruptor Screening Program (EDSP) offer a
345 unique opportunity to compare responses of mammals versus fish to chemicals that may affect
346 shared pathways within the HPG axis. The analysis described by Ankley and Gray (2013)
347 focused on data generated with model chemicals that act (primarily) as estrogen receptor
348 agonists (17 α -ethynylestradiol, methoxychlor, bisphenol A), androgen receptor agonists
349 (methyltestosterone, 17 β -trenbolone), androgen receptor antagonists (flutamide, vinclozolin,
350 p,p'-DDE) or inhibitors of different steroidogenic enzymes (ketoconazole, fadrozole, fenarimol,
351 prochloraz). All 12 chemicals had been tested in the EDSP fish short-term reproduction assay
352 (FSTRA) and in one or more of the four *in vivo* Tier-1 screens with rats (Uterotrophic,
353 Hershberger, male and female pubertal assays). In most cases there was high concordance
354 between the fish and rat assays with respect to identifying chemicals that impacted specific HPG
355 pathways of concern, with the test chemicals producing positive results in the fish and one or
356 more of the rat assays. However, some assays were clearly superior to others in terms of
357 detecting specific pathways; for example, the effects of inhibitors of steroid hormone synthesis
358 were most obvious in the FSTRA, whereas the activity of androgen receptor antagonists were

359 clearest in the Hershberger and male pubertal assays. Based on this analysis it appears possible to
360 use just two of the current Tier-1 tests, the FSTRA and the male pubertal assay, to ensure full
361 coverage of HPG axis pathways of concern. Specifically, these two tests could serve as initial
362 “gate keeper” assays, following which chemicals may be exempted from further testing
363 (negatives) or (when positive) subjected to additional, confirmatory analyses with other existing
364 Tier-1 assays. This would greatly enhance throughput of chemicals through initial testing, both
365 in terms of resource utilization and timing.

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369 **DISCLAIMER**

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453 Figure 1: Graphical illustration of an adverse outcome pathway. Outcomes at levels 4 and 5 are
454 apical.

455 Figure 2: Illustration of the combination links in the AOP for reproduction for atrazine in fish,
456 amphibians, and reptiles. The symbols indicate the mean score for relevance and strength and the
457 vertical and horizontal bars $2 \times \text{SE}$ of the mean score (from data in Van der Kraak et al. 2014)
458

459 Table 1. Ability of the Tests in the Tier 1 Battery to Detect Endocrine Activity

Estrogen, Androgen, Thyroid, and Steroidogenesis Pathways	Derivation of Detection Ability
Estrogenic Activity	ER Binding and ERTA Uterotrophic Female Pubertal Fish Short-Term Reproduction Assay
Anti-estrogenic Activity	ER Binding Female Pubertal Fish Short-Term Reproduction Assay
Androgenic Activity	AR Binding Hershberger Male Pubertal Fish Short-Term Reproduction Assay
Anti-androgenic Activity	AR Binding Hershberger Male Pubertal Fish Short-Term Reproduction Assay
Modulation of Steroidogenesis	Steroidogenesis and Aromatase Assays Male and Female Pubertals Fish Short-Term Reproduction Assay
Modulation of Aromatase	Steroidogenesis and Aromatase Assays Female Pubertals Fish Short-Term Reproduction Assay
Altered Hypothalamic-Pituitary Function	Male and Female Pubertals Fish Short-Term Reproduction Assay Amphibian Metamorphosis Assay
Anti-thyroid Activity	Male and Female Pubertals Amphibian Metamorphosis Assay
Thyromimetic Activity	Amphibian Metamorphosis Assay

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461

462 Table 2: Summary of Hypothesis-Based WoE Evaluations for Genistein for the Estrogen Agonist
463 Hypothesis

	Rank 1	Rank 2	Rank 3
Genistein	Vitellogenin in male fish inconsistent (possibly due to route of exposure) [a,b] Uterotrophic assays positive [c]	ERTA activation [d]; observed fish histopath [b], some changes in rat testes [e], some female pubertal changes [e].	ER binding positive; corroborative observations in pubertal endpoints [e]; steroid hormone changes in fish [b].

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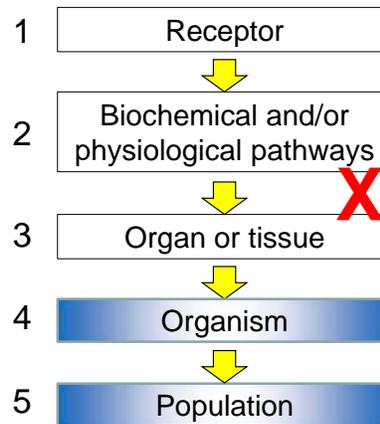
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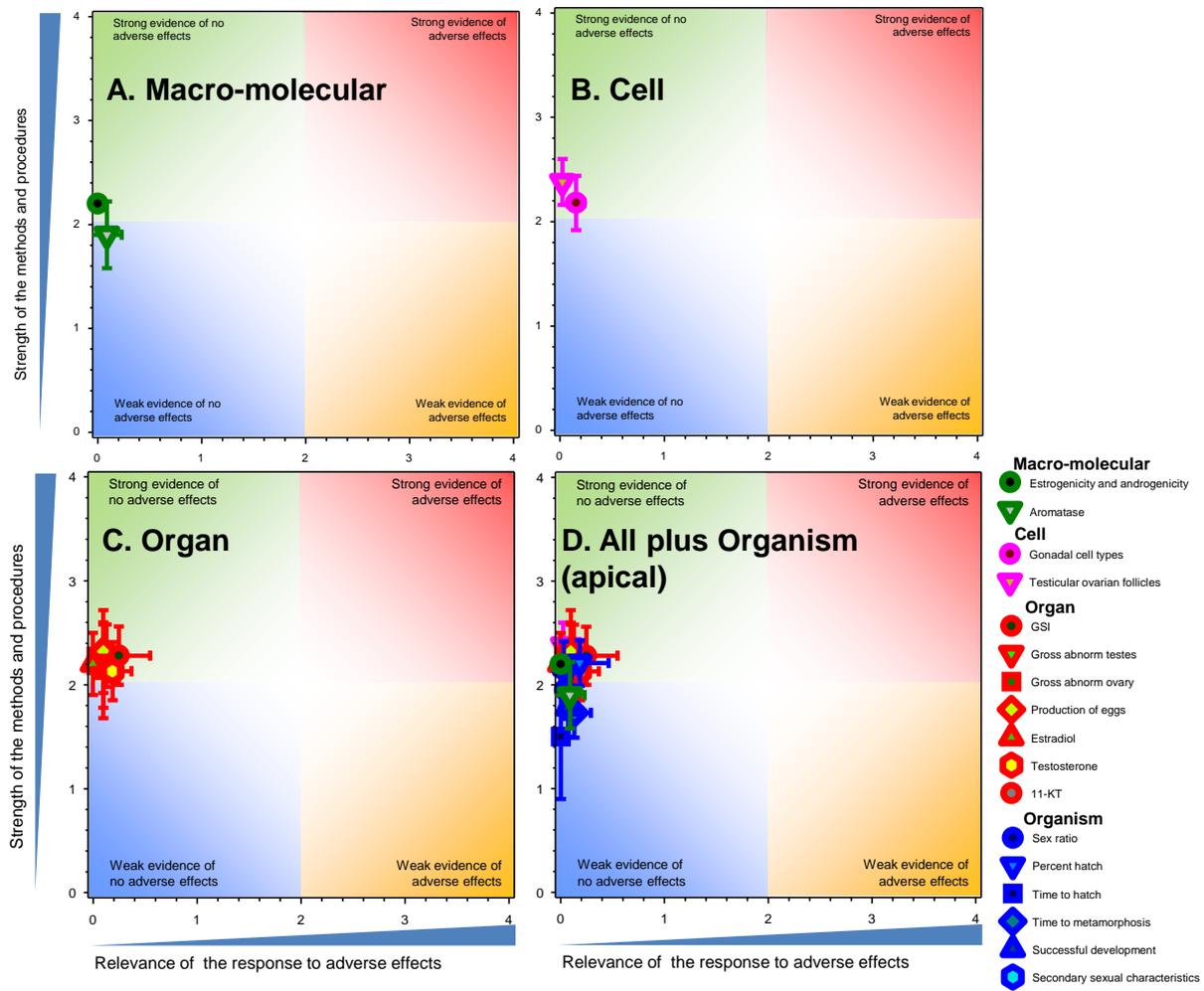
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