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Cryoelectrolysis - electrolytic processes in a frozen physiological saline medium.

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- 14 Email address: <u>brubinsky@gmail.com</u>
- 16 Abstract:
- 17 Background: Cryoelectrolysis is a new minimally invasive tissue ablation surgical technique that
- 18 combines the ablation techniques of electrolytic ablation with cryosurgery. The goal of this study
- 19 is to examine the hypothesis that electrolysis can take place in a frozen aqueous saline solution.
- 20 Method: To examine the hypothesis we performed a cryoelectrolytic ablation protocol in which
- 21 electrolysis and cryosurgery are delivered simultaneously in a tissue simulant made of
- physiological saline gel with a pH dye. We measured current flow, voltage and extents of freezing
 and pH dye staining.
- **Results:** Using optical measurements and measurements of currents, we have shown that electrolysis can occur in frozen physiological saline, at high subzero freezing temperatures, above the eutectic temperature of the frozen salt solution. It was observed that electrolysis occurs
- when the tissue resides at high subzero temperatures during the freezing stage and essentially
- 28 throughout the entire thawing stage. We also found that during thawing, the frozen lesion
- 29 temperature raises rapidly to high subfreezing values and remains at those values throughout
- 30 the thawing stage. Substantial electrolysis occurs during the thawing stage. Another interesting
- 31 finding is that electro-osmotic flows affect the process of cryoelectrolysis at the anode and
- 32 cathode, in different ways.
- 33 **Discussion:** The results showing that electrical current flow and electrolysis occur in frozen saline
- 34 solutions imply a mechanism involving ionic movement in the fluid concentrated saline solution
- 35 channels between ice crystals, at high subfreezing temperatures. Temperatures higher than the
- 36 eutectic are required for the brine to be fluid. The particular pattern of temperature and electrical
- 37 currents during the thawing stage of frozen tissue, can be explained by the large amounts of
- 38 energy that must be removed at the outer edge of the frozen lesion because of the solid/liquid
- 39 phase transformation on that interface.
- 40 **Conclusion**: Electrolysis can occur in a frozen domain at high subfreezing temperature, probably
- 41 above the eutectic. It appears that the most effective period for delivering electrolytic currents
- 42 in cryoelectrolysis is during the high subzero temperatures stage while freezing and immediately
- 43 after cooling has stopped, throughout the thawing stage.

45 =Introduction.

46

47 Tissue ablation with minimally invasive and non-invasive methods has emerged as an important 48 branch of surgery. Various physical and chemical phenomena are used to ablate tissue, each with 49 their advantages and disadvantages, and particular applications. For example, thermal ablation 50 with nanoparticles (Kennedy, Bickford et al. 2011), thermal ablation with radiofrequency 51 electromagnetic waves (Gazelle, Goldberg et al. 2000), thermal ablation with freezing, 52 cryosurgery (Rubinsky 2000), chemical ablation that employs the products of electrolysis 53 (Nilsson, von Euler et al. 2000) and non-thermal irreversible permeabilization of the cell 54 membrane, non-thermal irreversible electroporation, (Rubinsky 2010). Recently, our group has 55 become involved in studying combinations of these ablation techniques. The combinations 56 examined include: electrolysis and electroporation; cryosurgery and electroporation; and 57 cryosurgery and electrolysis (Lugnani, Zanconati et al. 2015, Rubinsky, Guenther et al. 2015, 58 Stehling, Guenther et al. 2016). This paper pertains to the latter, the combination of cryosurgery 59 and electrolysis, termed cryoelectrolysis (Lugnani, Zanconati et al. 2015); which is a largely 60 unexplored process. Cryoelectrolysis, is marked by its potential to utilize the advantages of both 61 cryosurgery and electrolytic ablation while overcoming their disadvantages. First, a brief review 62 on the principles and attributes of cryosurgery and electrolytic ablation when used separately, 63 followed by the principles of cryoelectrolysis and a description of the hypothesis examined in this 64 work.

65

66 Cryosurgery

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68 Cryosurgery is the ablation of undesirable tissues by freezing (Rubinsky 2000). The procedure 69 employs a cryogenic fluid internally cooled cryosurgical probe, inserted in the undesirable tissue. 70 The freezing propagates from the cryoprobe surface outward to freeze and, hopefully, thereby 71 ablate the entire undesirable tissue. An important finding in cryosurgery is that the extent of 72 freezing can be monitored in real time, by essentially every medical imaging techniques (Gilbert, 73 Onik et al. 1984, Onik, Cooper et al. 1984, Rubinsky, Gilbert et al. 1993). This facilitates real time 74 control over the extent of freezing. However, it was also found that cells can survive freezing at 75 high subzero freezing temperatures. Therefore, cells can survive on the outer rim of the frozen 76 lesion or around blood vessels, within the frozen lesion. Thus, the extent of freezing seen on 77 medical imaging does not correspond to the extent of cell death. Currently, to increase the 78 probability that all the cells in the frozen lesion are ablated, surgeons employ two to three cycles 79 of freezing and thawing, which makes the procedure excessively long. Also, attempts are made 80 to enhance cell death throughout the frozen lesion, by chemical means (Baust, Hollister et al. 81 1997, Koushafar, Pham et al. 1997, Clarke, Baust et al. 2001, Mir and Rubinsky 2002). A disadvantage of the chemical methods is the need to inject chemicals in the treated volume; a 82 83 procedure that suffers from lack of control and precision.

- 84
- 85 Electrolytic Ablation.
- 86

87 Electrolytic ablation, also known as Electro-Chemical Therapy (EChT), is a tissue ablation 88 technique that employs products of electrolysis for cell ablation (Nilsson, von Euler et al. 2000). 89 In EChT a direct electric current is delivered to the treatment field through electrodes that are 90 inserted in the treated tissue. New chemical species are generated at the interface of the 91 electrodes and tissue as a result of the electric potential driven transfer between the electrode 92 electrons and ions or atoms in the tissue. The various chemical species produced near the 93 electrodes diffuse away from the electrodes, into tissue, in a process driven by differences in 94 electrochemical potential. Tissue ablation by electrolysis is caused by two factors: the cytotoxic 95 environment developing due to local changes in pH, as well as the presence of some of the new chemical species formed during electrolysis. Electrolytic ablation requires very low direct 96 97 currents (tens to hundreds of mA) and very low voltages (single to low tens of Volts) (Nilsson, von 98 Euler et al. 2000). This is advantageous, because it makes the devices used for this technology 99 extremely simple and safe. However, the procedure is long, from tens of minutes to hours. The 100 length is related to the slow diffusion of electrochemically produced species in tissue and the 101 need for high concentrations of electrolytic products to cause cell death. A clinical study on tissue 102 ablation with electrolysis states that -- "Currently, a limitation of the technique is that it is time 103 consuming" (Fosh, Finch et al. 2002, Fosh, Finch et al. 2003).

104

105 Cryoelectrolysis

106

107 The idea for tissue ablation by cryoelectrolysis, i.e. a combination of cryosurgery and electrolytic 108 ablation, emerged from fundamental studies on the process of freezing in physiological saline 109 solutions (Rubinsky 1983), (Rubinsky and Ikeda 1985, Rubinsky, Lee et al. 1987, Rubinsky and Pegg 110 1988, Rubinsky, Lee et al. 1990, Ishiguro and Rubinsky 1994). Figure 1 is a compendium of data 111 from a number of our earlier studies and is brought here in a modified form, to facilitate a better 112 understanding of the concept. Panels A, B, C and D, illustrate a series of events that occur on the 113 solid-liquid interface during the solidification process in physiological saline. These events are 114 driven by a thermodynamic condition known as constitutional supercooling (Rubinsky 1983). 115 Constitutional supercooling predicts that even in a one dimensional solidification process, the 116 solid/liquid change of phase interface is thermodynamically unstable and cannot remain planar. 117 The sequence of panels, A, B, C, show how the interface becomes perturbed during the freezing 118 process. Finger like ice crystals form and develop as dendritic structures. Ice has a very tight 119 crystallographic structure and cannot contain any solutes. Therefore, the solutes previously 120 contained in the volume now occupied by ice gather in the liquid between the ice crystal fingers. 121 Panel D, shows the ultimate outcome of the freezing process in saline. High concentration brine 122 solutions reside between finger like ice crystals. The concentration of the brine increases towards 123 lower temperatures, until it reaches the eutectic at about – 21.1 °C. Panels E, F, G show results 124 from experiments in which we froze saline solutions with red blood cells. Panel E is from the higher temperature tip of the finger like ice crystal structures. Panel F is for a lower temperature 125 126 and panel G, is a further lower temperature. The white arrows point to the brine channels. It is 127 evident that as the temperature decreases the volume of the channels decrease and 128 concentration of brine increases. Panel H is a low temperature scanning electron micrograph of 129 frozen liver. Here, ice forms inside the blood vessels (BV) and sinusoids (s) and the concentrated

130 brine (light areas) surrounds the ice crystals and is in contact with the cells. The white arrow

131 points to the concentrated brine and cells.



132 133

Figure 1

Cryoelectrolysis combines cryosurgery with electrolysis to overcome the limitations of 134 135 cryosurgery and electrolysis used separately. The idea for the concept of cryoelectrolytic ablation 136 was inspired by the findings described above, namely, that freezing of tissue increases the 137 concentration of solutes around cells, by removing the water from the solution in the form of ice 138 (Rubinsky and Pegg 1988). Freezing also causes cell membrane lipid phase transition, disrupts the 139 cell membrane lipid bilayer and causes it to become permeabilized (Mir and Rubinsky 2002). 140 From the data in Figure 1, it occurred to us that freezing of tissue in the presence of products of 141 electrolysis will increase the concentration of the products of electrolysis around the cell. 142 Furthermore, freezing induced cell membrane permeabilization will expose the interior of cells 143 to the products of electrolysis and enhance cell death. The permeabilization of the cell 144 membrane by freezing should decrease the concentration of the electrolytic products needed to 145 cause cell death. Because the production of the electrolytic products is a time dependent 146 reaction, decreasing the amount of electrolytic compounds needed for cell ablation, should 147 shorten the time of an electrolytic induced mechanism of cell ablation. This is the basic principle 148 of the cryoelectrolytic ablation concept proposed in (Lugnani, Zanconati et al. 2015). In that 149 concept, the targeted tissue is first treated with electrolysis to generate products of electrolysis 150 in the targeted volume; after which the targeted tissue is frozen to increase the local 151 concentration and the exposure of the cell interior to the products of electrolysis in the frozen 152 lesion. Theoretically the cryoelectrolysis combination should require lower concentrations of 153 products of electrolysis i.e. shorter period of electrolysis and only one freeze thaw cycle. This 154 should yield a shorter procedure than conventional electrolytic ablation or multiple freeze-thaw 155 cycles of cryosurgery and, increase cell ablation in the frozen lesion by the dual mechanisms of 156 freezing and electrolysis in the frozen lesion. The ability to image the extent of the frozen region, 157 combines the advantages of real time image monitoring of cryosurgery with enhanced cell 158 ablation by the combination freezing and electrolysis, in the frozen region.

159

Our first study on cryoelectrolysis was designed to examine the hypothesis that the combination of electrolysis and freezing, delivered as described above, is more effective at cell ablation than either electrolysis or freezing alone. The first study employed a protocol in which electrolysis was delivered first, followed by freezing. Experiments on animal tissue have confirmed our hypothesis and have shown that cryoelectrolysis is more effective at cell ablation than either cryosurgery or electrolytic ablation, alone (Lugnani, Zanconati et al. 2015).

166

167 While a protocol that employed first electrolysis and then freezing is faster than conventional 168 electrolysis or the use of several freeze thaw cycles in conventional cryosurgery, the study in this 169 paper was designed to explore an idea that may lead to a protocol that may be even faster. We 170 think that the time of the procedure would be shorter, if, electrolysis and freezing, which are 171 both diffusion limited processes, could be done simultaneously. The idea for this new concept 172 was inspired by the same known, fundamental observation, described in regards to Fig.1; that 173 freezing of tissue increases the concentration of solutes around cells, by removing the water from 174 the solution in the form of ice (Rubinsky and Pegg 1988). These high concentration of solutes 175 form brine channels within the frozen tissue (Rubinsky, Lee et al. 1987, Rubinsky, Lee et al. 1990, 176 Ishiguro and Rubinsky 1994). The hypothesis that we have set to examine in this study is that the 177 channels of high concentration brine in a frozen saline medium could serve as electrical conduits 178 for the process of electrolysis. Therefore, while ice is not electrically conductive, electrolysis could 179 be done through the high concentration brine channels in the frozen region, simultaneously with 180 freezing and thawing.

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184 Materials and Methods

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The goal of this study is to examine the hypothesis that electrolysis can occur in frozen saline. In our study we employed a physiological saline gel to simulate tissue and used a modified commercial cryosurgery probe to deliver both cold and to serve as the electrolysis probe. The extent of freezing was monitored visually through change in opacity during freezing and the extent of the electrolysis was monitored also visually using a pH dye. Voltage and electrical current was measured throughout the experiments, to ascertain if and how electrical current flows through the frozen medium.

193

194

195 Materials

196

197 A physiological saline based agar was used to simulate tissue. One liter of water was mixed with 198 9 grams NaCl and 7 grams of agarose (UltraPure Agarose, Invitrogen). The solution was stirred

and heated for 10 minutes and then removed from heat. Two pH indicator dyes were added after

five minutes of cooling. For analysis of electrolysis near the anode, methyl red (Sigma-Aldrich[®], St. Louis, MO, USA), 1 mL per 100 mL agar solution, was used. For analysis of electrolysis near the cathode we used Phenolphthalein Solution 0.5 wt. % in Ethanol (Sigma-Aldrich) at a concentration of 5 ml per liter agar (or 1 ml per 100 ml agar solution) solution. The agar was cast in a 20 cm diameter cylindrical glass vessel whose radial walls were coated with a 200 μm thick copper foil. The height of the gel cast is 4 cm.

- 206
- 207 Experimental devices and set-up
- 208

209 The two panels in Fig. 2, show photographs of the experimental setup. For the cryoelectrolysis 210 experiment we used a Endocare® R2.4 cryoprobe with a diameter of 2.4 mm connected to an 211 Endocare® single port control console device regulating flow duration and monitoring feed-back 212 temperatures (Endocare Inc. Austin, TX, USA). The probe is supplied by a pressurized Argon gas 213 container through the control console, at a constant pressure of 3000 psi. The cooling of the 214 Endocare[®] stainless steel cryoprobe is through a Joule-Thomson internal valve. The cooling 215 process is typical to all Endocare® cryoprobes of this type. The probe temperature reaches – 216 180 °C, at a rate of cooling governed in part by the thermal environment in which the probe is inserted. A 30 μm foil of gold was wrapped several times around the cryoprobe, to minimize the 217 participation of the electrode metal in the process of electrolysis. The metal body of the probe 218 219 was connected to a DC power supply (Agilent E3631A, Santa Clara CA, USA), to also serve as an 220 electrolysis electrode. In a typical experiment the cryoelectrolysis probe was inserted vertical into 221 the center of the gel. The electrical circuit consists of the power supply, the cryoelectrolysis probe 222 electrode in the center of the gel, the gel and the copper electrode around the gel vessel. The gel 223 was infused with methyl red when the cryoelectrolysis probe served as the anode and with 224 phenolphthalein when the probe served as a cathode. A 1mm T type thermocouple (Endocare®) 225 was inserted to the vicinity of the cryoprobe at a distance of less than 5 mm from the outer 226 surface of the probe, as shown in Fig. 2. The temperature was recorded continuously, throughout 227 the experiment. It should be emphasized that this is not the temperature at the probe, but rather 228 in the gel at a distance from the probe. A camera was focused on the experimental setup to 229 continuously record the position of the change of phase interface, the position of the pH front, 230 the voltage, current and time.





232 Experimental protocol

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234 In this study, we performed first a number of experiments with electrolysis only, without freezing, 235 to determine the currents and time of application needed to obtain measureable data for the 236 extent of electrolysis in our experimental set-up. From these experiments we chose values of 400 237 mA, 200 mA and 50 mA. The range of electrical currents tested are typical to clinical electrolytic 238 ablation procedures, (Nilsson, von Euler et al. 2000, Lugnani, Zanconati et al. 2015). Similarly, 239 preliminary experiments were performed with freezing only to evaluate the time of freezing 240 needed to obtained measurable frozen lesions in our experimental configuration. From these 241 results we chose ten minutes of freezing and fifteen minutes for thawing.

242

243

244 The following experimental procedure was employed in all the experiments. The experimental 245 protocol was designed to examine all aspects of the hypothesis of this study; electrolysis before 246 freezing, electrolysis during freezing and electrolysis during thawing. The electrical circuit, 247 comprised of the cryoelectrolysis probe, the gel and the copper vessel walls, was connected to 248 the power supply first. It remained connected throughout the experiment, during freezing and 249 thawing. The first minute was electrolysis alone. The flow of cryogen began one minute after the 250 circuit was connected to the power supply, initiating the freezing. Constant pressure of 3000 psi 251 was used to generate the Argon gas flow in a manner typical to clinical cryosurgical treatment 252 with the cryosurgery probe we used. The flow of cryogen was delivered for ten minutes, during 253 which the gel froze. This is the stage in which freezing and electrolysis were delivered 254 simultaneously. After ten minutes, the flow of the cryogen was stopped and the frozen lesion 255 was left to thaw, in situ. The electrical circuit remained connected to the power supply for 256 additional 15 minutes after the flow of the cryogen was stopped. This represents the stage in 257 which thawing and electrolysis occurs simultaneously.

258

We performed three repeats of each experiment with 400mA, 200 mA and 50 mA currents for both the central electrode anode and the central electrode cathode for a total of 18 cryoelectrolysis experiments with the protocol described above. The voltage was allowed to change to provide the desired current. However, the saturation voltage of the power supply used in this study is 25V and the system cannot provide a higher voltage. Therefore, when changes in resistance demanded a voltage higher than 25V, the current dropped and eventually stopped.

265 266

267 Results and discussion

268

The primary goal of this study is to examine the hypothesis that electrolysis can occur in a frozenaqueous saline solution. We will bring here results that support the hypothesis.

271

272 Figure 3, presents a compilation of photographs that illustrate several important observations,

typical to all the experiments performed in this study. Panels 3A, and 3B, are images of the

274 progression of the pH front during a preliminary study in which there was only electrolysis,

275 without freezing. The goal of these two panels is to illustrate the appearance of a typical process

276 of electrolysis in a pH stained gel. The cryoelectrolysis probe served as the anode and delivered 277 400 mA. Panel 3A, shows the radially symmetric pH front around the anode. The panels show a 278 cylindrical pH stained region around the probe. This is the region in which the products of 279 electrolysis reside. The interface between the stained and unstained regions is referred in this 280 paper as the, pH front. The process of electrolysis was continued for several minutes and panel 3B shows the extent of electrolysis at a later time. Obviously the pH front has advanced, while 281 282 remaining radially symmetric. The white arrow points to an observation of importance to 283 cryoelectrolysis. Diffusion and iontophoresis driven electro-osmosis, are the physical 284 mechanisms that cause the propagation of the pH front from the electrode outward. The electro-285 osmotic flow is an important aspect of electrolytic ablation in tissue (Lugnani, Zanconati et al. 286 2015, Phillips, Raju et al. 2015, Phillips, Rubinsky et al. 2015, Rubinsky, Guenther et al. 2015, 287 Rubinsky, Guenther et al. 2016). The flow is from the anode to the cathode. The white arrow 288 points to a dark gap that has formed between the electrode and the gel. (Inserts in Fig 3 are 289 magnified views of the region near the electrode) The gap was caused by the electro-osmotic 290 driven flow of solution, away from the anode, towards the cathode. The later panels in this figure 291 will illustrate the significance of this electro-osmotic flow to cryoelectrolysis.

292

293 Panels 3C, and 3D, are images of the progression of the pH stained region and of the frozen region 294 during a typical cryoelectrolytic protocol of the type described in the materials and methods 295 section. The cryoelectrolysis probe served as anode and delivered 400 mA. Panel 3C shows the 296 appearance of the frozen lesion at the end of the freezing stage of the protocol. The dashed 297 arrow point to the edge of the frozen lesion. Panel 3D is a photograph from the same experiment 298 taken several minutes after the cooling was stopped, while the power supply continued to deliver 299 current to the electrical circuit. Two interesting observations emerge. While the extent of the frozen lesion in panel 3D has not changed from that in panel 3C; the pH stained region has 300 301 expanded beyond the frozen lesion. This demonstrates that the process of electrolysis can occur 302 through ice, during the thawing stage. Similar observations were made with all the currents 303 tested and in all the repeats. This is an important observation, which will be discussed later in the 304 context of Figures 4 and 5. The white arrow shows that the electro-osmotic flow generated gap 305 formed between the electrode and the gel during conventional electrolysis, also occurs during 306 cryoelectrolysis. This further strengthens the evidence that electrolysis occurs through a frozen 307 region.

308

309 Panels 3E, and 3F, are images of the progression of the pH front (the pH stained area) and of the 310 ice front (the frozen lesion) during a typical cryoelectrolytic protocol of the type described in the 311 materials and methods section when the cryoelectrolysis probe served as the cathode and 312 delivered 50 mA. Obviously, the appearance of the treated areas in panels 3E and 3F is completely 313 different from that in panels 3C and 3D. Panel 3E is from an earlier stage of the cryoelectrolysis 314 protocol, during which, both electrical current and cryogen cooling, were delivered by the 315 cryoelectrolysis probe, simultaneously. It is important to observe that both, a pH stained region 316 and a frozen lesion have formed and they propagate away from the probe. However, in the case 317 of a cathode centered electrode, the propagation is in an asymmetric way. The lack of symmetry 318 is evident in comparison with panel 3C. The difference is caused by the direction of the electro-319 osmotic flow, which in this case, is towards the cryoelectrolysis cathode probe. This generates a

320 high flow rate of solution, at the cryoelectrolysis cathode probe - gel interface. We have observed 321 a flow of water gushing out at the interface between the cryoelectrolysis probe and the gel, 322 regardless of the current magnitude used and in all the cryoelectrolysis cathode probe study 323 repeats. The water also contains a mixture of gas (hydrogen from the reduction reaction near the 324 cathode). Evidence of the process can be seen from the red dots spread over the right hand side 325 of the gel (dotted arrow in panel 1EG). The red dots are caused by the splashed droplets of high 326 pH fluid. The electro-osmotic pressure has caused various random and detrimental effects, when 327 the cryoelectrolysis probe is the cathode. For higher currents, of 200 mA and 400 mA, the electro-328 osmotic pressure driven flow has caused fractures and cracks in the gel. For the lower currents 329 of 50 mA it produced the lack of symmetry seen in panels 3E and 3F. The electro-osmotic pressure 330 caused events, occur at random and the cracks formation is not predictable.

331

332 Panel 3E was taken during the last stage of the experiment; a stage in the typical cryoelectrolysis 333 protocol in which the cooling was stopped and only electrolysis occurs through the frozen region 334 that is thawing. This is at a similar stage in the protocol to that in which panel 3D photograph was taken. Here, we observe that the pH front has propagated irregularly both within and beyond the 335 336 frozen lesion. The propagation of the pH front occurred while the frozen lesion still exists. This 337 demonstrates that the process of electrolysis can occur through a frozen domain when the 338 cryoelectrolysis probe is either anode or cathode. The lack of symmetry in the appearance of the 339 pH front in panel 3F can be, probably, attributed to cracks that form in the gel because of the electro-osmotic pressure. These cracks favor certain directions of propagation of the electrolytic 340 products flow. The magnified insert of the region near the cryoelectrolysis cathode probe 341 342 provides further evidence on the effect of the electro-osmotic flow. The dark gap between the 343 cryoelectrolysis anode probe and the gel in panels 3C and 3D does not form when the 344 cryoelectrolysis probe is the cathode. In fact, the white arrows point to a bulging volume of ice 345 formed in the vicinity of the cryoelectrolysis probe. The insert also shows a crack in the gel, filed 346 with ice. While qualitatively similar results were observed in all the repeats of the cathode 347 centered experiments, the quantitative appearance was different from repeat to repeat because 348 of the random appearance of the electro-osmotic flow generated cracks.

349

In summary, this part of the study reveals two important physical phenomena related to cryoelectrolysis: a) electrolysis can occur through a frozen milieu at both, the anode and the cathode, b) electro-osmotic flows play an important part in the physical events that occur during cryoelectrolysis. Because of electro-osmotic flows the outcome of the procedure, is different between a cryoelectrolysis cathode probe and a cryoelectrolysis anode probe. The results tentatively suggest that it may be beneficial to use for cryoelectrolysis only the anode and employ a surface electrode (similar to that used in radiofrequency ablation) as the cathode.



359

360

Figure 3

361 Figures 4 and 5 are typical to all the anode center experiments of this study. They were chosen 362 to illustrate the events that are relevant to the hypothesis and which occur during a typical 363 processes of cryoelectrolysis. We focus here on the anode center experiments because for this 364 configuration, the results in the different repeats and with the different currents were similar, 365 unlike for the cathode centered experiments. The cathode center experiments were different from experiment to experiment because of the random formation of electro-osmotic flow 366 induced cracks. We will illustrate the observations with results in which the cryoelectrolysis 367 probe was the anode and the current was set to, 200 mA. 368



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- 371

Figure 4

372 Figure 4 is a sequence of images showing the pH front and the ice front at different instances in 373 time during the cryoelectrolysis protocol. Panel 4A, shows the appearance of the pH stained 374 region, one minute after the start of the experiment, just prior to the start of the cooling process. 375 Panel 4B shows the appearance of the ice front and of the pH front one minute after the start of 376 freezing and two minutes after the start of the experiment. It is evident from comparison with 377 panel 4A that during this one minute of freezing, the ice front and the pH front have both 378 advanced. This is an important observation as it demonstrates that electrolysis occurs during 379 freezing. However, Panels 4C, and 4D show that after one minute of freezing, the pH front stops 380 advancing (no electrolysis) while the ice front propagates further. This shows that there are 381 conditions in which electrolysis does not occur in a frozen solution. Panels 4D to 4I, show that 382 after the coolant has stopped flowing through the cryoprobe, the extent of the frozen lesion 383 remains unchanged for a long period of time. However, the extent of the pH dye stained region 384 increases in time and eventually extends beyond the frozen lesion.

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387 Figure 5 is from the same experiment as Figure 4. It displays, the data measured during that 388 experiment. The panels show, from top to bottom: the diameters of the pH stained region and 389 of the frozen lesion, the measured current, the measured voltage, the calculated resistance and 390 the temperature of the thermocouple, as a function of time during the cryoelectrolysis process 391 examined in this study. The first minute of the protocol is electrolysis, without freezing. Figure 5 392 shows that during this first minute the current is constant at 200 mA, the temperature is constant 393 at 15 C, the voltage is about 8 V, resistance is constant and the extent of the pH dye stained 394 region increases in time. All these are evidence of a process of electrolysis. Cooling the probe, 395 began one minute after the start of the experiment. As soon as cooling began, the temperature 396 measured by the thermocouple began to drop. (It should be emphasized that the thermocouple 397 is at a distance from the probe, and does not measure the temperature of the probe, which is 398 lower than the thermocouple measurement.) The other curves in Fig. 5 show that the diameter 399 of the freezing zone increases in time, throughout the ten minutes of cooling. During the first 400 minute of cooling (freezing) there is current and the extent of the pH dye stained region 401 increases. The resistance increases, the voltage increases to the maximum that the power supply can deliver (25V) and the current decreases to zero after about one minute of freezing. The 402 403 resistance becomes, in fact, infinite after one minute of freezing. Nevertheless, it is important to 404 notice that during the first minute of freezing there is electrolysis and current flows through the 405 frozen lesion. Cooling continues for ten minutes, during which the thermocouple measured 406 temperature drops further, the frozen zone expands and no current flows through the frozen 407 lesion. After ten minutes of cooling, the flow of the cryogen is stopped, while the power supply 408 for electrolysis remains on. The thermocouple reading shows that the temperature in the frozen 409 region begins to increase as soon as the cooling has stopped. However, an interesting 410 phenomenon occurs. The temperature remains at a high subzero value, below the freezing 411 temperature for the remainder of the experiment, i.e. the temperature around the probe 412 (electrode) is below freezing. Visual observation displayed on the top panel in Fig. 5 and in Fig. 4 413 (panels D to I) show that the extent of the frozen lesion does not change to the end of the 414 experiment. Within a minute after the cooling has stopped and the temperature began to 415 increase, the current increases, the voltage drops and the resistance drops. The pH dye stained 416 region begins to increase and eventually becomes larger than the frozen lesion. Taken together 417 this presents evidence that electrolysis occurs in the frozen lesion after freezing has stop.

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419

420 The results displayed in Figs. 4 and 5 are typical to all the anode center experiments. They 421 demonstrate that electrolysis occurs in a frozen saline solution at high subzero temperatures. 422 The results are consistent with the hypothesis and are explained in the formulation of the 423 hypothesis in the introduction and in Fig. 1. The mechanism responsible for electrolysis in a high 424 subzero frozen media is associated with the process of freezing in solutions and tissues, as 425 described in the introduction. Ice has a tight crystallographic structure and cannot contain any 426 solutes. Constitutional supercooling dictates that during freezing of a solution, finger like ice 427 crystals form and the salt is rejected along the ice crystals (Rubinsky 1983). High concentration 428 salt solutions form along the ice crystals. This phenomenon occurs during freezing of any aqueous 429 medium, in solutions (Ishiguro and Rubinsky 1994), gels (Preciado, Shandakumaran et al. 2003) 430 and tissues (Rubinsky and Pegg 1988). While the electrical conductivity of ice is essentially zero,

electrical currents can flow through these high concentration brine channels until the 431 432 temperature reaches the eutectic – 21.1 °C. Panels 1E, 1F and 1G, show that as the temperature 433 decreases, the channels become narrower, until eutectic is reached. Eutectic is a solid phase and 434 ionic movement ceases. This explain the observed increase in resistance during the first minute 435 of freezing and the decrease in resistance after cooling has stopped and the temperature of the 436 frozen tissue began to increase. This result is important in designing cryoelectrolysis protocols, 437 because it shows that electrolysis can occur in a frozen domain, only at high subzero 438 temperatures; most likely below the eutectic. Therefore, in cryoelectrolytic ablation, it should be 439 beneficial to reside longer at high subfreezing temperatures during the freezing stage. This is in marked contrast to current cryosurgery freezing protocols in which freezing is done rapidly to 440 441 low subzero freezing temperatures.

442

443 The phenomena observed after cooling has stopped are particularly interesting and of value to 444 designing a cryoelectrolysis ablation protocol. Figure 5 shows that the temperature measured by 445 the thermocouple begins to raise as soon as the cooling stops. However, the measured temperature remains close to, albeit lower, than the phase transformation temperature for most 446 447 of the remainder of the cryoelectrolysis protocol. This is a phenomenon we have observed and 448 studied in the past (Rubinsky and Cravalho 1979, Hong and Rubinsky 1995). To better understand 449 the phenomenon, we bring here Fig 6. It is a qualitative depiction of results from mathematical 450 analysis of thawing of frozen cylinders in (Rubinsky and Cravalho 1979, Hong and Rubinsky 451 1995). The figure shows that when a frozen domain begins to thaw from the exterior, as is also 452 the case in the cryoelectrolysis protocol, the temperature of the frozen region raises rapidly 453 towards the change of phase temperature. However, the melting, which propagates from the 454 exterior of the frozen domain towards the interior is very slow, relative to the raise of the 455 temperature in the frozen domain. Therefore, the frozen domain, stays at high subfreezing 456 temperatures throughout the process of melting.





Figure 6

459 An explanation for this phenomenon was provided first in (Rubinsky and Cravalho 1979). The 460 phenomenon is related to the fact that the change in enthalpy during phase transition of ice into 461 water is very large relative to the change in enthalpy due to change in the temperature of the 462 ice. Briefly, during melting, heat is extracted from the frozen domain, through the change of 463 phase interface, by the environment surrounding the interface. The temperature of the change 464 of phase interface is fixed by equilibrium thermodynamics of a two phase system at constant pressure. For physiological saline it is – 0.56 °C. As long as there is an ice and water mixture in a 465 466 domain, the temperature of that domain cannot exceed the thermodynamic phase transition 467 temperature of the solution. The phase transformation process (melting) occurs only on the 468 change of phase interface, which propagates very slowly, because the large change in enthalpy 469 involved. Since the enthalpy associated with changes of temperature in the frozen domain are 470 very small relative to the change in enthalpy by phase transformation, the temperature of the 471 frozen region becomes elevated and reaches the phase transition temperature fast, throughout 472 the frozen region; while the region is still frozen (Rubinsky and Cravalho 1979). Consequently, 473 while the extent of the frozen regions remains essentially unchanged at the end of cooling (panels 474 4E to 4I) the temperature of the frozen region raises to become close and below the change of 475 phase temperature, for a long period of time; Fig 5, bottom temperature curve. The temperature 476 measurements in Figure 5 validate this explanation. The increase in the temperature of the frozen 477 region has several effects. Figure 5 shows that there is a gradual increase in current and a decrease in resistance, soon after cooling stops. Consequently, there is a process of electrolysis, 478 479 and the pH front expands beyond the margin of the frozen region, while the region is still frozen 480 (panels 4E to 4I).

482 Figure 5 shows that indeed current begins to flow through the high subzero temperature region 483 of frozen gel, soon after cooling stops. Unavoidable, flow of ionic current is associated with 484 electrolysis and this is why the pH front advances while the tissue is still frozen, albeit at high 485 subzero temperatures. The flow of current through the brine channels most likely elevated the 486 local temperature of these channels and may cause local melting and expansion or collapse of 487 the brine channels. It is possible that this phenomenon is responsible for the jumps in voltage 488 measured occasionally (see Fig. 5). We have seen various sudden jumps in voltage, during the 489 period after the cooling has stopped, in all the experiments.

490

491 The physiological effects of electrolysis during the thawing process remain to be examined with living tissue. However, we anticipate that the electrolysis during the thawing process will be 492 493 effective at tissue ablation. While the phenomenon of concentrating the products of electrolysis 494 by freezing, does not occur anymore, the cell membrane is still permeabilized by cold and 495 provides access to the products of electrolysis. Furthermore, the thawing stage during 496 cryosurgery is unavoidable long. Delivering current during that stage may have a dual effect. It 497 may shorten the length of thawing because of the Joule heating effect and enhance cell death by 498 the products of electrolysis. This is why delivering electrolytic currents during the thawing stage 499 of cryoelectrolysis may be desirable.

500

501 Conclusion

502

503 The primary goal of this study was to examine the hypothesis that electrolysis can occur in frozen 504 aqueous saline. The combined effect of freezing and electrolysis was studied in a tissue simulant 505 made of a physiological solution of agar with pH dyes. The most important finding of this study 506 is that electrolysis can occur in a frozen aqueous saline and the hypothesis is proven. To the best 507 of our knowledge, this is the first time that electrolysis through ice was observed and reported. 508 This finding is valuable for designing cryoelectrolysis protocols. It demonstrates that the 509 processes of freezing and of electrolysis can be done simultaneously. It appears that the most 510 effective period for delivering electrolytic currents is during the high subzero temperatures while 511 freezing and immediately after cooling has stopped, throughout the thawing stage.

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- 513
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516 List of Figures:

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521 **Figure 1:** Compendium of schematic and experimental results to serve as an explanation for the

- 522 fundamental concepts of cryoelectrolysis. (This figure is a compendium of unpublished data
- 523 from one of the authors BR)
- 524
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- 528 **Figure 2:** A) Photograph of experimental system: a electrode on container surface, b –
- 529 cryoelectrolysis probe, c DC power supply, d thermocouple, e camera, f cryosurgery
- 530 probe pressure monitor; B) close-up of the gel and electrodes;
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540 Figure 3: Illustration of typical cryoelectrolysis process. Photographs of the pH front and freezing 541 front in different experiments: A) electrolysis only, 400 mA current, B) electrolysis only, 400 mA 542 current at a later time from panel A, C) cryoelectrolysis with cryoelectrolysis probe as the anode, 543 400 mA, D) cryoelectrolysis with cryoelectrolysis probe as the anode, 400 mA pH front and ice 544 front at a later time from panel C, E) cryoelectrolysis with cryoelectrolysis probe as the cathode, 545 50 mA, F) cryoelectrolysis with cryoelectrolysis probe as the cathode, 50 mA, pH front and ice 546 front at a later time from panel E,. Top photo earlier time. Bottom photo later time Black arrow - pH front, black dashed arrow - ice front, white line - interesting feature near the 547 548 cryoelectrolysis probe. Photographs A and B, C and D, E and F, are to the same scale. 549

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Figure 4: Progression of a pH front and a ice front during a typical cryoelectrolysis protocol. Results shown as a function of time after the start of the experiment (in minutes); A) 1min, B) 2 min, C) 3.5 min, D) 11 min, E) 12.5 min, F) 16 min, G) 18.5 min, H) 21, I) 26 min. All the figures are at the same scale (cm scale shown). The margin of the pH front is marked with a dark arrow and of the ice front with a dotted dark arrow. A feature of interest near the cryoelectrolysis probe marked with a white arrow.

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Figure 5: Data from an experiment in which the cryoelectrolysis probe served as the anode and the preset current was 200 mA. From top to bottom: the diameter of the ice front (green line) and of the pH front (blue line); current; voltage; overall resistance; temperature, as a function of time in minutes.





565 Figure 6: Qualitative depiction of the temperature distribution at various times during the thawing of a frozen cylinder of pure water, at an initial temperature of – 40 °C, when the outer 566 surface of the cylinder is 10 C. the time of the curves, increases in the direction of the arrow. The 567 568 location of the interface between the frozen tissue and the unfrozen tissue domain corresponds 569 to the 0 °C isotherm. The domain at a temperature lower than 0 °C is frozen. The figure is a 570 qualitative depiction of the results in (Rubinsky and Cravalho 1979) 571

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