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- 1 RelocaTE2: a high resolution transposable element polymorphism mapping tool for
- 2 population resequencing
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11	Abstract
12	Background
13	Transposable element (TE) polymorphisms are important components of population genetic
14	variation. The functional impacts of TEs in gene regulation and generating genetic diversity have
15	been observed in multiple species, but the frequency and magnitude of TE variation is under
16	appreciated. Inexpensive and deep sequencing technology has made it affordable to apply
17	population genetic methods to whole genomes with methods that identify single nucleotide and
18	insertion/deletion polymorphisms. However, identifying TE transposition events or
19	polymorphisms can be challenging due to the repetitive nature of these sequences, which hamper
20	both the sensitivity and specificity of analysis tools.
21	Methods
22	We have developed the tool RelocaTE2 (http://github.com/stajichlab/RelocaTE2) for
23	identification of TE polymorphisms at high sensitivity and specificity. RelocaTE2 searches for
24	known TE sequences in whole genome sequencing reads from second generation sequencing
25	platforms such as Illumina. These sequence reads are used as seeds to pinpoint chromosome
26	locations where TEs have transposed. RelocaTE2 detects target site duplication (TSD) of TE
27	insertions allowing it to report TE polymorphism loci with single base pair precision.
28	Results and Discussion
29	The performance of RelocaTE2 is evaluated using both simulated and real sequence data.
30	RelocaTE2 demonstrates a higher level of sensitivity and specificity when compared to other
31	tools. Even in highly repetitive regions, such as those tested on rice chromosome 4, RelocaTE2 is
32	able to report up to 95% of simulated TE insertions with less than 0.1% false positive rate using
33	10-fold genome coverage resequencing data. RelocaTE2 provides a robust solution to identify
34	TE polymorphisms and can be incorporated into analysis workflows in support of describing the

complete genotype from light coverage genome sequencing.



Introduction

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37	Transposable elements (TE), mobile DNA of the genome, are drivers of genomic innovation
38	(Bennetzen & Wang 2014; Cordaux & Batzer 2009). They can act as mutagens to disrupt gene
39	functions or induce novel gene functions by providing enhancers or promoters that alter host
40	gene expression (Feschotte 2008; Lisch 2013). In plants, TEs have been shown to contribute to
41	several key trait innovations in crop domestication (Lisch 2013). Systematic analysis of TE
42	insertions and gene expression also suggests widespread roles of TEs in altering gene regulation
43	(Kunarso et al. 2010; Lynch et al. 2011; Sundaram et al. 2014). It was found that 600-2000
44	genetic variants between individuals in the human population and 200-300 variants between
45	Arabidopsis accessions could be attributed to TE polymorphism (Quadrana et al. 2016; Stewart
46	et al. 2011). Although the magnitude of these polymorphisms is small compared to SNPs or
47	other insertion/deletions, some TE polymorphisms are proximal to protein coding genes and can
48	have large impacts on gene function or gene regulation (Cowley & Oakey 2013; Quadrana et al.
49	2016; Stewart et al. 2011).
50	Two categories of bioinformatics tools have been developed to identify TE polymorphisms from
51	population resequencing data. One type employs a strategy similar to that used to discover
52	structural variations. These tools identify discordant pairs of sequence reads based on the
53	chromosomal position of read alignments to indicate genomic inversions, insertions, deletions or
54	other complex rearrangements (Campbell et al. 2008; Korbel et al. 2007). Software for TE
55	mapping scrutinize genomic loci with discordant read pairs to see if known TE sequences are can
56	be implicated near the rearrangement site. These tools, such as Retroseq (Keane et al. 2013) and
57	TEMP (Zhuang et al. 2014), are generally highly sensitive and can locate insertion sites to a 10-
58	50 bp resolution. A second category of tools first identify by similarity, any sequence reads
59	containing known TE sequences. The tools excise the TE sequence from the reads and search the
60	remaining 5' or 3' flanking sequence against the host organism genome sequence to find the
61	element's genomic location. These tools, including RelocaTE (Robb et al. 2013), T-lex2 (Fiston-
62	Lavier et al. 2015), and ITIS (Jiang et al. 2015), are able to detect the exact location of insertion
63	sites and TSDs characteristic of TEs. This second category of tools is ideal for identifying new
64	insertions from population resequencing data because it can accurately detect an insertion
65	location and identify the sequence of TSD. However, most of these tools are designed to search
66	with only a single TE at a time, which sacrifices speed for increased sensitivity and specificity.



- The extended runtime limits the feasibility of applying these tools when searching thousands of
- TEs in hundreds or thousands of individuals.
- In RelocaTE2, an improved version of RelocaTE, we implement a junction-based approach that
- can search multiple template TEs in the same pass through the sequencing data, streamlining the
- 71 computational approach. Using simulated datasets, we show that RelocaTE2 is highly sensitive
- even in low coverage resequencing data or on chromosomes with high repetitive sequence
- content has a specificity of greater than 99%. RelocaTE2 performed as the most sensitive and
- specific tool in our tests profiling human and rice population genomics data and can be widely
- used for analyzing population dynamics of TEs.

Materials & Methods

RelocaTE2 Workflow

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- RelocaTE2 is based on the previous algorithm implemented in RelocaTE (Robb et al. 2013),
- which uses junction reads to find insertion sites of TEs. In RelocaTE2, we re-implement the
- search strategy to enable identification of multiple TEs in a single search, greatly increasing the
- speed and enabling searches for hundreds or thousands of candidate TE families in a genome
- 82 (Fig.1). We also implement new features in the algorithm to automatically identify TSDs and
- 83 remove false junction reads (Fig.1).
- Briefly, the workflow initiates by matching a library of known repeat elements against short
- 85 sequence reads generated by next generation sequencing, typically Illumina paired-end reads,
- using BLAT with the sensitive setting "-minScore=10 -tileSize=7" (Kent 2002; Robb
- et al. 2013). Every read with similarity to repeat elements is denoted as an informative read and
- will contain a partial or complete copy of a TE. Informative reads that contain partial matches at
- 89 the boundaries of the repeat elements are trimmed to remove the TE region so that only the
- 90 regions flanking the element remain in either one or both of the paired-end reads (denoted as
- 91 junction reads). Untrimmed versions of each junction read and its pair (denoted as full reads) are
- 92 used as controls to filter false positive junction reads.
- 93 Sequence reads comprised entirely of repeat elements are ignored, but their read pair is kept
- 94 (denoted as supporting reads). These junction, full, and supporting reads, are all aligned to the
- 95 reference genome using BWA (v0.6.2) with the default setting "-1 32 -k 2" (Li & Durbin
- 96 2009). Mapped reads are sorted by chromosome order and windows of 2,000 bp are evaluated to



97	define insertion clusters. In each insertion cluster, additional subclusters are further refined based
98	on the mapping position of junction reads to address the possible scenario of multiple insertion
99	sites within a window. TSD position and sequence are identified if the subcluster is supported by
100	junction reads from both upstream and downstream of the TE insertion site.
101	Next, a series of cleaning steps are used to filter low quality candidate insertion sites: i.) remove
102	insertion sites that are only supported by low quality junction reads (map quality < 29); ii.)
103	remove insertion site only supported by less than 3 junction reads total on the left & right flank
104	when there are additional insertion sites which pass these filters in the same window. iii.) remove
105	insertion sites only supported by junction reads and located within 10 bp range of an annotated
106	TE in the reference genome. RelocaTE2 output reports the number of junction reads and
107	supporting reads from both upstream and downstream of candidate TE insertion sites. Only
108	confident insertions, defined as having at least one supporting junction read flanking the
109	upstream or downstream of insertion sites and at least one junction read or one supporting read
110	supporting the other end of TE insertion, are provided in the default output:
111	"ALL.all_nonref_insert.gff". Additional information about all candidate sites are provided in
112	alternative output file: "ALL.all_nonref_insert.raw.gff".
113	Simulated data for evaluation of TE insertion tools
114	Simulated datasets were created by randomly inserting TEs into sequence records of
115	chromosomes 3 (OsChr3) and 4 (OsChr4) of rice (Oryza sativa japonica). OsChr3 is primarily
116	made up of euchromatic regions, whereas OsChr4 has the sequence complexity consistent with
117	heterochromatic regions and is a typical feature of many plant genomes (Zhao et al. 2002).
118	Fourteen TEs families found in rice genomes comprised of 7 DNA Transposons: mPing, nDart,
119	Gaijin, spmlike, Truncator, mGing, nDarz and 7 RNA Retrotransposons: Bajie, Dasheng,
120	Retro1, RIRE2, RIRE3, Copia2, karma, were used. The insertion simulations were performed by
121	choosing 200 random insertion sites on each chromosome in three independent replicates. Each
122	simulated insertion site was generated by selecting one random chromosome position and then
123	one random TE and TSDs of the expected size was generated for each TE. After generating 200
124	random sites and TE assignments, a new genome sequence was generated with the TEs inserted
125	at corresponding locations. A GFF3 file with the insertion locations recorded was produced to
126	support the evaluation of the performance of each tool on the dataset. Paired-end reads of all
127	simulated chromosomes were simulated by pIRS (pirs simulate –1 100 –x coverage –m 500 –v



- 128 100) (Hu et al. 2012). For each dataset, simulate sequence reads at sequence depths of 1, 2, 3, 4,
- 129 5, 6, 7, 8, 9, 10, 15, 20, 40-fold coverage were generated.
- 130 Real sequence data for evaluation of TE insertion tools
- Three sets of data, an individual human genome, HuRef, an individual rice genome, IR64, and
- population resequencing data of 50 rice and wild rice genomes (Levy et al. 2007; Schatz et al.
- 133 2014; Xu et al. 2012), were used to evaluate the performance of RelocaTE2 and TEMP. High
- quality reference genome assemblies of HuRef and IR64 were used to evaluate the accuracy of
- TE genotyping tools by comparing the assembled sequences to the reference genome. The HuRef
- 136 (also known as Venter genome) has been extensively studied for TE insertions (Xing et al.
- 137 2009). Previous work identified 574 Alu elements that have been experimentally verified and can
- be treated as a gold standard data set for evaluation (Hormozdiari et al. 2010; Xing et al. 2009).
- Paired-end sequence reads of 10-fold depth were simulated from HuRef as test dataset by pIRS
- 140 (pirs simulate -1 100 -x coverage -m 500 -v 100) (Hu et al. 2012).
- RelocaTE2 and TEMP were tested and their results compared to the Human Genome Reference
- 142 Consortium genome (GRCh36 or hg18). A second dataset, the finished reference genome
- assembly of rice strain IR64, was explored utilizing available Illumina sequencing reads (Schatz
- et al. 2014). RelocaTE2 and TEMP were tested on libraries of 100 bp paired-end Illumina short
- reads (SRA accession: SRR546439) aligned to the rice reference genome (MSU7). A third
- dataset of resequencing data from 50 strains of rice and wild rice population with an average
- sequencing depth of 17-fold. RelocaTE2 and TEMP were tested on the sequencing libraries from
- each of these 50 strains to assess their consistency across datasets with varying sequence depth
- and genetic diversity. RelocaTE and ITIS were not included in the biological data testing
- because of the prohibitively long run times on these large datasets and their poor performance on
- simulated datasets.
- 152 Detection of TE insertions using RelocaTE2, RelocaTE, TEMP and ITIS
- RelocaTE2, RelocaTE, TEMP and ITIS were run with default parameter settings on simulated
- data. The results were filtered to evaluate the best performing parameters for each tool.
- 155 RelocaTE2 was tested with parameters "--len cut match 10 --len cut trim 10
- 156 --mismatch 2 --aligner blat", which uses BLAT as the search engine (--aligner
- 157 blat), allows for 2 mismatches (--mismatch 2) in matched sequence between reads and
- repeat elements (--len cut match 10), and only keeps sequence fragments that have at



159	least 10 bp after trimming repeat elements from reads (len_cut_trim 10). RelocaTE
160	was tested using parameters "len_cutoff 10mismatch 0", which uses BLAT as
161	search engine by default and allowed 0 bp mismatch (mismatch 0) for matched sequence
162	between reads and repeat elements (len_cutoff 10). It should be noted that the mismatch
163	setting in RelocaTE is the ratio of base pairs in the alignment between reads and repeat elements
164	that can be mismatched, not an integer number of allowed mismatches, as used in RelocaTE2.
165	Singleton calls from RelocaTE's results, which are sites supported by only one read, were
166	removed. TEMP was tested with parameters "-m 3", which allow for three mismatches
167	between reads and repeat elements. Singleton calls from TEMP's results were removed when
168	testing on simulated data to achieve a balance between sensitivity and specificity. ITIS was
169	tested with default parameters, which filtered TE calls with at least one read supporting from
170	both ends of TE insertions. For analysis of the HuRef genome, the IR64 genome and the 50 rice
171	and wild rice strains, RelocaTE2 and TEMP were run with default parameter settings as
172	described above. The TEMP results were filtered to keep only TE calls with supporting and/or
173	junction reads from both ends of TE insertions to achieve a comparable balance between
174	sensitivity and specificity.
175	Results and Discussions
176	Performance of RelocaTE2, RelocaTE, TEMP and ITIS on simulated data
177	RelocaTE2 was first compared to RelocaTE, TEMP and ITIS using the simulated datasets. Each
178	dataset of simulated rice chromosomes, OsChr3 and OsChr4, was virtually sheared to simulated
179	paired-end short reads at a coverage ranging from 1X to 40X. At high sequencing coverage
180	(≥10X), RelocaTE2, TEMP and ITIS were able to identify >99% of simulated insertions on
181	OsChr3, whereas the performance of RelocaTE was much lower (85%) (Fig.2A). At lower
182	sequencing coverage, e.g. 3X, only RelocaTE2 and TEMP were able to achieve ≥95% sensitivity
183	on OsChr3 (Fig.2A). Furthermore, TEMP was able to identify 83% and 93% of simulated
184	insertions on OsChr3 at very low sequence coverage of 1X and 2X, respectively (Fig.2A).
185	RelocaTE2 had a sensitivity of 53% and 83% on OsChr3 for the 1X and 2X coverage due to the
186	removal of TE insertions supported by only one read (singleton) or supported by reads from only
187	one end of TE insertions (insufficient insertions), which can result in many false positives
188	(Fig.2A).



189 RelocaTE2, RelocaTE and TEMP showed >99% specificity on OsChr3 at multiple levels of 190 sequence coverage (Fig.2B). In contrast, the specificity of ITIS was much lower (<90%), even 191 when run on the high sequence coverage dataset on OsChr3 (Fig.2B). In comparing recall rates 192 of TSDs, RelocaTE2 and ITIS had similar performance and achieved the highest recall rate of 193 98% and 91% respectively, on OsChr3 at ~10X coverage (Fig.2C). The recall rate of TSDs for 194 both TEMP and RelocaTE depended on sequence depth and achieved only 37% and 60%, 195 respectively, at 10X coverage (Fig.2C). All the tools performed worse on OsChr4 as compared to 196 OsChr3 (Fig.2D-F). RelocaTE2 demonstrated a lower average sensitivity (92%) on OsChr4 197 when compared OsChr3 (96%) (Fig.2A,D). Similarly, TEMP had a slightly lower sensitivity 198 (95%) on OsChr4 than on OsChr3 (97%) (Fig.2A,D). However, RelocaTE2 and RelocaTE 199 demonstrated high level of the specificity (>99%) while TEMP performed at a slightly lower 200 specificity (98%) on OsChr4 compared to >99% on OsChr3 (Fig.2B,E). In comparing TSD 201 accuracy on OsChr4, on average 81% of RelocaTE2 calls correctly identified the TSD, whereas 202 only 31% of TEMP calls were correct (Fig.2C,F). 203 **Evaluation of RelocaTE2 and TEMP on biological datasets** 204 We evaluated TE identifying tools in the HuRef genome and benchmark the sensitivity and 205 specificity of these tools using 574 experimental verified Alu insertions in HuRef genome and 206 genomic comparison between HuRef genome and GRCh36. RelocaTE2 and TEMP reported 207 similar results and identified 83% (479/574) and 76% (438/574) of standard insertion sites 208 (Fig.3A). Comparing the HuRef genome with GRCh36 suggested that 89% and 95% of 209 insertions identified by RelocaTE2 and TEMP, respectively, were real insertions (Fig.3A). In 210 addition, RelocaTE2 predicted TE insertion sites with higher precision (9 ± 6 bp) compared to 211 TEMP $(366 \pm 170 \text{ bp})$. 212 RelocaTE2 and TEMP were used to analyze data from the rice strain IR64 and the results were 213 evaluated by comparing the genome assembly of IR64 with MSU7. RelocaTE2 identified 648 214 insertion sites while the genome comparison revealed that 93% of insertions were true positives 215 (Fig.3A). TEMP identified 362 insertions, of which 50% (183/362) overlapped with RelocaTE2 216 (Fig.3A). The specificity of TEMP was estimated to be 86%, slightly lower than RelocaTE2 217 (93%) (Fig.3A). However, TEMP was found to be less sensitive than RelocaTE2 in the rice 218 genome, only calling 362 sites as compared to 648 by RelocaTE2 (38% vs. 90%, Fig.3A).



219 Moreover, RelocaTE2 predicted TE insertion junctions of 3 ± 1 bp, which was much smaller 220 than TEMP (393 \pm 199 bp). 221 RelocaTE2 and TEMP were used to identify TE polymorphisms in 50 resequenced rice and wild 222 rice strains, which contain substantial sequence diversity and population structure (Xu et al. 223 2012). The results from these two tools were well correlated ($R^2 = 0.96$, P value = 2.2e-16) and predicted more TE insertions in the diverged population of wild rice, O. nivara and O. rufipogon, 224 225 and even in the *indica* population than *japonica* rice which close to the reference genome 226 (Fig.3B). On average 72% of the sites predicted in these 50 rice and wild rice strain by 227 RelocaTE2 and TEMP overlapped. Many insertion sites from TEMP were predicted with only 228 supporting read flanking one end of an insertion, which produced large variations in predicted 229 junctions of TE insertion sites (118 ± 151 bp). In contrast, RelocaTE2 reported most of TE 230 insertions supported by junction reads or supporting reads on both ends, which resulted in 231 accurate insertion junction predictions (3 \pm 2 bp). 232 **Runtime performance** 233 We implemented the searching process for TE insertion to run on multiple processors in Python. 234 The process is relatively memory efficient. When searching TEs in the rice genome for example, 235 one process generally uses less than 1 Gb memory. The running time of RelocaTE2 depends on 236 number of processors used. Searching 3000 templates of transposable elements with 20X 237 genome coverage sequencing data of the rice genome takes 3-4 hours for RelocaTE2 using 32 238 CPUs including the alignment steps. TEMP identifies transposable element insertions from a 239 BAM file. It takes ~1 hours for TEMP for the same project using single process. RelocaTE 240 (version 1) and ITIS take at least days for the same rice datasets and can be prohibitively difficult 241 to run on large datasets with multiple templates due to the serial searching approach of their 242 implementation. **Conclusions** 243 244 We present RelocaTE2 as a new tool for mapping TE polymorphisms to base-pair resolution 245 from resequencing data. RelocaTE2 identifies multiple TE families in a single search with high 246 sensitivity and specificity. The evaluation of these tools on simulated and biological datasets 247 support the use of RelocaTE2 for analysis of genomes of plants and animals and indicate it can 248 generate very high quality genotyping of TE insertions from resequencing datasets of modest



249	sequencing depths. The high resolution mapping of TE insertions sites will enable detailed
250	analysis of the interaction of TEs and genes and as structural variations that vary in populations.
251	
252	Competing Interests
253	The authors declare that there are no competing interests.
254	Author Contributions
255	Jinfeng Chen conceived and designed the study, wrote the code, analyzed the data, wrote the
256	paper, and prepared figures and/or tables.
257	Travis R. Wrightsman tested the code, wrote the manual, and reviewed drafts of the papers.
258	Susan R. Wessler and Jason E. Stajich conceived and designed the study, wrote the paper, and
259	reviewed drafts of the papers.
260	Data Availability
261	The source code in Python, manual, and sample data of RelocaTE2 are available for download at
262	https://github.com/stajichlab/RelocaTE2.
263	
264	
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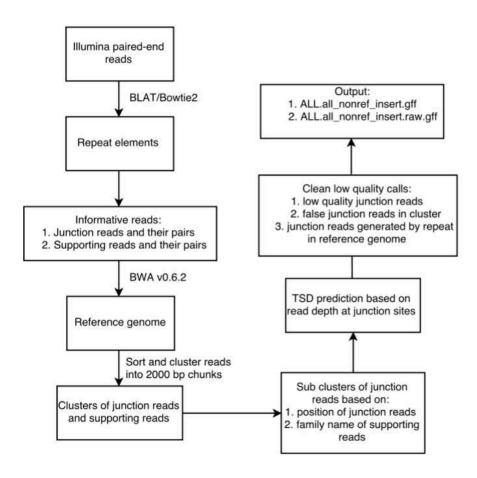


Figure 1. Workflow for identification of transposable element insertions in population resequencing data using Illumina paired-end reads.

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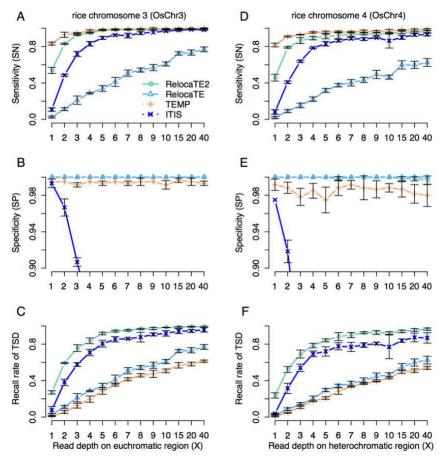
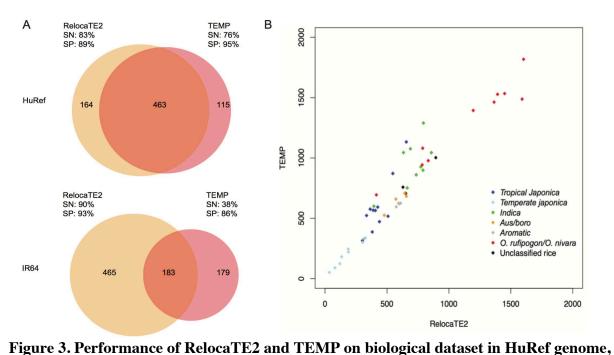


Figure 2. Performance of RelocaTE2, RelocaTE, TEMP and ITIS on simulated rice data.

Simulations of 200 random transposable element (TE) insertions were generated for rice chromosome 3 (OsChr3) and rice chromosome 4 (OsChr4) with three replicates. A series of datasets with different sequence depths (from 1X to 40X) were generated for each simulation dataset. Sensitivity (SN), Specificity (SP) and Recall rate of target site duplication (TSD) of each tool were estimated for each of these datasets and plotted against sequence depth. The error bars show the standard deviation of three replicates with different sets of 200 random TE insertions. SN was defined as the percentage of calls within 100 base pairs of 200 random TE insertions. SP was defined as the percentage of calls not within 100 base pairs of 200 random TE insertions. Recall rate of TSD was defined as the percentage of true positive calls that correctly matched the simulated TSD of TE insertions. The results illustrate how tools perform on chromosomes which are primarily euchromatic or heterochromatic using OsChr3 and OsChr4 respectively.



IR64 genome, and 50 rice and wild rice strains. A. Venn diagram of the overlap in non-reference TE insertions identified in the HuRef genome and the rice IR64 genome using RelocaTE2 and TEMP. Sensitivity (SN) and Specificity (SP) were assessed by comparing the assembled HuRef genome to the GRCh36 reference genome and the assembled IR64 genome to the MSU7 reference genome. SN was defined as the percentage of validated calls out of all validated calls by either RelocaTE2 or TEMP. SP was defined as the percentage of validated calls out of all calls by each tool. **B**. Comparison of the number of non-reference TE insertions of 14 TE families in 50 rice and wild rice strains identified by RelocaTE2 and TEMP. Strains are

color-coded based on subpopulation classification.