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- 1 RelocaTE2: a high resolution transposable element insertion site mapping tool for
- 2 population resequencing
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| 11 | Abstract |
|----|---|
| 12 | Background |
| 13 | Transposable element (TE) polymorphisms are important components of population genetic |
| 14 | variation. The functional impacts of TEs in gene regulation and generating genetic diversity have |
| 15 | been observed in multiple species, but the frequency and magnitude of TE variation is under |
| 16 | appreciated. Inexpensive and deep sequencing technology has made it affordable to apply |
| 17 | population genetic methods to whole genomes with methods that identify single nucleotide and |
| 18 | insertion/deletion polymorphisms. However, identifying TE polymorphisms, particularly |
| 19 | transposition events or non-reference insertion sites can be challenging due to the repetitive |
| 20 | nature of these sequences, which hamper both the sensitivity and specificity of analysis tools. |
| 21 | Methods |
| 22 | We have developed the tool RelocaTE2 (http://github.com/stajichlab/RelocaTE2) for |
| 23 | identification of TE insertion sites at high sensitivity and specificity. RelocaTE2 searches for |
| 24 | known TE sequences in whole genome sequencing reads from second generation sequencing |
| 25 | platforms such as Illumina. These sequence reads are used as seeds to pinpoint chromosome |
| 26 | locations where TEs have transposed. RelocaTE2 detects target site duplication (TSD) of TE |
| 27 | insertions allowing it to report TE polymorphism loci with single base pair precision. |
| 28 | Results and Discussion |
| 29 | The performance of RelocaTE2 is evaluated using both simulated and real sequence data. |
| 30 | RelocaTE2 demonstrate high level of sensitivity and specificity, particularly when the sequence |
| 31 | coverage is not shallow. In comparison to other tools tested, RelocaTE2 achieves the best |
| 32 | balance between sensitivity and specificity. In particular, RelocaTE2 performs best in prediction |
| 33 | of TSDs for TE insertions. Even in highly repetitive regions, such as those tested on rice |
| 34 | chromosome 4, RelocaTE2 is able to report up to 95% of simulated TE insertions with less than |
| 35 | 0.1% false positive rate using 10-fold genome coverage resequencing data. RelocaTE2 provides |
| 36 | a robust solution to identify TE insertion sites and can be incorporated into analysis workflows in |
| 37 | support of describing the complete genotype from light coverage genome sequencing |



Introduction

38

39 Transposable elements (TE), mobile DNA of the genome, are drivers of genomic innovation 40 (Bennetzen & Wang 2014; Cordaux & Batzer 2009). They can act as mutagens to disrupt gene 41 functions or induce novel gene functions by providing enhancers or promoters that alter host 42 gene expression (Feschotte 2008; Lisch 2013). In plants, TEs have been shown to contribute to 43 several key trait innovations in crop domestication (Lisch 2013). Systematic analysis of TE 44 insertions and gene expression also suggests widespread roles of TEs in altering gene regulation 45 (Kunarso et al. 2010; Lynch et al. 2011; Sundaram et al. 2014). It was found that 600-2000 46 genetic variants between individuals in the human population and 200-300 variants between 47 Arabidopsis accessions could be attributed to TE polymorphisms (Quadrana et al. 2016; Stewart 48 et al. 2011). Although the magnitude of these polymorphisms is small compared to SNPs or 49 other insertion/deletions, some TE polymorphisms are proximal to protein coding genes and can 50 have large impacts on gene function or gene regulation (Cowley & Oakey 2013; Quadrana et al. 51 2016; Stewart et al. 2011). 52 Two categories of bioinformatics tools have been developed to identify TE polymorphisms from 53 population resequencing data. One type employs a strategy similar to that used to discover 54 structural variations. These tools identify discordant pairs of sequence reads based on the 55 chromosomal position of read alignments to indicate genomic inversions, insertions, deletions or 56 other complex rearrangements (Campbell et al. 2008; Korbel et al. 2007). Software for TE mapping scrutinize genomic loci with discordant read pairs to see if known TE sequences are can 57 58 be implicated near the rearrangement site. These tools, such as Retroseq (Keane et al. 2013) and 59 TEMP (Zhuang et al. 2014), are generally highly sensitive and can locate TE insertions and 60 absences to a 10-50 bp resolution. A second category of tools operates by first identifying by 61 similarity any sequence reads containing partial or complete known TE sequences. Any sequence 62 containing a TE is a "junction-read" which contains partial TE and partial unique host genomic 63 sequence. These tools eliminate the TE sequence from these junction-reads and search the 64 remaining 5' or 3' flanking sequence against the host organism genome sequence to identify the 65 element's location. These junctions-based tools, including RelocaTE (Robb et al. 2013) and ITIS 66 (Jiang et al. 2015), are able to detect the exact location and TSD characteristic of TE insertion 67 sites. This second category of tools is ideal for identifying new insertions from population 68 resequencing data because it can accurately detect an insertion location along with the TSD.



69 However, most of these tools are designed to search a single transposable element representative 70 sequence at a time, which sacrifices speed for increased sensitivity and specificity. The extended 71 runtime limits the feasibility of applying these tools when searching thousands of TEs in 72 hundreds or thousands of individuals. 73 RelocaTE2 is an improved version of RelocaTE where we have implemented a junction-based 74 approach that can search multiple template TEs in the same pass through short read sequencing 75 data, streamlining the computational approach. Using simulated datasets, we show that 76 RelocaTE2 is highly sensitive even in low coverage resequencing data or on chromosomes with 77 a high repetitive sequence content with a specificity of greater than 99%. In comparing 78 performance of related tools, RelocaTE2 was the most sensitive and specific tool in our tests 79 profiling human and rice population genomics data. The tool is presented as a useful resource for 80 analyzing population dynamics of TEs in genomic resequencing data. 81 **Materials & Methods** 82 RelocaTE2 Workflow 83 RelocaTE2 is based on the previous algorithm implemented in RelocaTE (Robb et al. 2013), 84 which uses junction reads to find insertion sites of TEs. In RelocaTE2, we re-implement the 85 search strategy to enable identification of multiple TEs in a single search, greatly increasing the 86 speed and enabling searches for hundreds or thousands of candidate TE families in a genome 87 (Fig. 1). We also implement new features in the algorithm to automatically identify TSDs and 88 remove false junction reads (Fig. 1). 89 Briefly, the workflow initiates by matching a library of known repeat elements against short sequence reads generated by next generation sequencing, typically Illumina paired-end reads, 90 91 using BLAT with the sensitive setting "-minScore=10 -tileSize=7" (Kent 2002; Robb 92 et al. 2013). Every read with similarity to repeat elements is denoted as an informative read and 93 will contain a partial or complete copy of a TE. Informative reads that contain partial matches at 94 the boundaries of the repeat elements are trimmed to remove the TE region so that only the 95 regions flanking the element remain in either one or both of the paired-end reads (denoted as 96 junction reads). Untrimmed versions of each junction read and its pair (denoted as full reads) are 97 used as controls to filter false positive junction reads.



| 98 | Sequence reads comprised entirely of repeat elements are ignored, but their read pair is kept |
|-----|--|
| 99 | (denoted as supporting reads). These junction, full, and supporting reads, are all aligned to the |
| 100 | reference genome using BWA (v0.6.2) with the default setting "-1 32 -k 2" (Li & Durbin |
| 101 | 2009). Mapped reads are sorted by chromosome order and windows of 2,000 bp are evaluated to |
| 102 | define insertion clusters. In each insertion cluster, additional subclusters are further refined based |
| 103 | on the mapping position of junction reads to address the possible scenario of multiple insertion |
| 104 | sites within a window. TSD position and sequence are identified if the subcluster is supported by |
| 105 | junction reads from both upstream and downstream of the TE insertion site. |
| 106 | Next, a series of cleaning steps are used to filter low quality candidate insertion sites: i.) remove |
| 107 | insertion sites that are only supported by low quality junction reads (map quality < 29); ii.) |
| 108 | remove insertion site only supported by less than 3 junction reads total on the left or right flank |
| 109 | when there are additional insertion sites which pass these filters in the same window. iii.) remove |
| 110 | insertion sites only supported by junction reads and located within 10 bp range of an annotated |
| 111 | TE in the reference genome. RelocaTE2 output reports the number of junction reads and |
| 112 | supporting reads from both upstream and downstream of candidate TE insertion sites. Only |
| 113 | confident insertions, defined as having at least one supporting junction read flanking the |
| 114 | upstream or downstream of insertion sites and at least one junction read or one supporting read |
| 115 | supporting the other end of TE insertion, are provided in the default output: |
| 116 | "ALL.all_nonref_insert.gff". Additional information about all candidate sites are provided in |
| 117 | alternative output file: "ALL.all_nonref_insert.raw.gff". |
| 118 | Simulated data for evaluation of TE insertion tools |
| 119 | Simulated datasets were created by randomly inserting TEs into sequence records of |
| 120 | chromosomes 3 (OsChr3) and 4 (OsChr4) of rice (Oryza sativa japonica). OsChr3 is primarily |
| 121 | made up of euchromatic regions, whereas OsChr4 has the sequence complexity consistent with |
| 122 | heterochromatic regions and is a typical feature of many plant genomes (Zhao et al. 2002). |
| 123 | Fourteen TEs families found in rice genomes comprised of 7 DNA Transposons: mPing, nDart, |
| 124 | Gaijin, spmlike, Truncator, mGing, nDarz and 7 RNA Retrotransposons: Bajie, Dasheng, |
| 125 | Retro1, RIRE2, RIRE3, Copia2, karma, were used. The insertion simulations were performed by |
| 126 | choosing 200 random insertion sites on each chromosome in three independent replicates. Each |
| 127 | simulated insertion site was generated by selecting one random chromosome position, one |
| 128 | random TE, and inserting the element along with the expected TSDs. After generating 200 |



129 random insertions, a new genome sequence was generated along with a GFF3 file containing the 130 recorded insertion locations to support the performance evaluation of the dataset. Paired-end 131 reads of all simulated chromosomes were simulated by pIRS (pirs simulate –1 100 –x coverage – 132 m 500 –v 100) (Hu et al. 2012). For each dataset, simulate sequence reads at sequence depths of 133 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15, 20, 40-fold coverage were generated. 134 Real sequence data for evaluation of TE insertion tools 135 Three sets of data, an individual human genome, HuRef, an individual rice genome, IR64, and 136 population resequencing data of 50 rice and wild rice genomes (Levy et al. 2007; Schatz et al. 137 2014; Xu et al. 2012), were used to evaluate the performance of RelocaTE2 and TEMP. High 138 quality genome assemblies of HuRef and IR64 were used to evaluate the accuracy of TE 139 genotyping tools by comparing the assembled sequences to the reference genome. The HuRef 140 (also known as Venter genome) has been extensively studied for TE insertions (Xing et al. 141 2009). Previous work identified 574 Alu elements that have been experimentally verified and can 142 be treated as a gold standard data set for evaluation (Hormozdiari et al. 2010; Xing et al. 2009). 143 Paired-end sequence reads of 10-fold depth were simulated from HuRef as test dataset by pIRS 144 (pirs simulate -1 100 -x coverage -m 500 -v 100) (Hu et al. 2012). 145 RelocaTE2 and TEMP were tested and their results compared to the Human Genome Reference 146 Consortium genome (GRCh36 or hg18). A second dataset, the finished reference genome 147 assembly of rice strain IR64, was explored utilizing available Illumina sequencing reads (Schatz 148 et al. 2014). RelocaTE2 and TEMP were tested on 20-fold genome coverage of 100 bp paired-149 end Illumina short reads (SRA accession: SRR546439) aligned to the rice reference genome 150 (MSU7). A third dataset of resequencing data from 50 strains of rice and wild rice population 151 with an average sequencing depth of 17-fold (Xu et al. 2012). RelocaTE2 and TEMP were tested 152 on the sequencing libraries from each of these 50 strains to assess their consistency across 153 datasets with varying sequence depth and genetic diversity. RelocaTE and ITIS were not 154 included in the biological data testing because of the prohibitively long run times on these large 155 datasets and their poor performance on simulated datasets. 156 **Detection of TE insertions using RelocaTE2, RelocaTE, TEMP and ITIS** RelocaTE2, RelocaTE, TEMP and ITIS were run with default parameter settings on simulated 157 158 data. The results were filtered to evaluate the best performing parameters for each tool.

RelocaTE2 was tested with parameters "--len cut match 10 --len cut trim 10



160 --mismatch 2 --aligner blat", which uses BLAT as the search engine (--aligner 161 blat), allows for 2 mismatches (--mismatch 2) in matched sequence between reads and 162 repeat elements (--len cut match 10), and only keeps sequence fragments that have at 163 least 10 bp after trimming repeat elements from reads (--len cut trim 10). RelocaTE was tested using parameters "--len cutoff 10 --mismatch 0", which uses BLAT as 164 165 search engine by default and allowed 0 bp mismatch (--mismatch 0) for matched sequence 166 between reads and repeat elements (--len cutoff 10). It should be noted that the mismatch 167 setting in RelocaTE is the ratio of base pairs in the alignment between reads and repeat elements 168 that can be mismatched, not an integer number of allowed mismatches, as used in RelocaTE2. 169 Singleton calls from RelocaTE's results, which are sites supported by only one read, were 170 removed. TEMP was tested with parameters "-m 3", which allow for three mismatches 171 between reads and repeat elements. Singleton calls from TEMP's results were removed when 172 testing on simulated data to achieve a balance between sensitivity and specificity. ITIS was 173 tested with default parameters, which filtered TE calls with at least one read supporting from 174 both ends of TE insertions. For analysis of the HuRef genome, the IR64 genome and the 50 rice 175 and wild rice strains, RelocaTE2 and TEMP were run with default parameter settings as 176 described above. The TEMP results were filtered to keep only TE calls with supporting and/or 177 junction reads from both ends of TE insertions to achieve a comparable balance between 178 sensitivity and specificity. 179 **Results and Discussions** 180 Performance of RelocaTE2, RelocaTE, TEMP and ITIS on simulated data 181 RelocaTE2 was first compared to RelocaTE, TEMP and ITIS using the simulated datasets. Each 182 dataset of simulated rice chromosomes, OsChr3 and OsChr4, was virtually sheared to simulated 183 paired-end short reads at a coverage ranging from 1 to 40-fold. At high sequencing coverage 184 (≥10-fold), RelocaTE2, TEMP and ITIS were able to identify >99% of simulated insertions on 185 OsChr3, whereas the performance of RelocaTE was much lower (85%) (Fig. 2A). At lower 186 sequencing coverage, e.g. 3-fold, only RelocaTE2 and TEMP were able to achieve ≥95% 187 sensitivity on OsChr3 (Fig. 2A). Furthermore, TEMP was able to identify 83% and 93% of 188 simulated insertions on OsChr3 at very low sequence coverage of 1-fold and 2-fold, respectively 189 (Fig.



220

190 2A). RelocaTE2 had a sensitivity of 53% and 83% on OsChr3 for the 1-fold and 2-fold coverage 191 due to the removal of TE insertions supported by only one read (singleton) or supported by reads 192 from only one end of TE insertions (insufficient insertions), which can result in many false 193 positives (Fig. 2A). 194 RelocaTE2, RelocaTE and TEMP showed >99% specificity on OsChr3 at multiple levels of 195 sequence coverage (Fig. 2B). In contrast, the specificity of ITIS was much lower (<90%), even 196 when run on the high sequence coverage dataset on OsChr3 (Fig. 2B). In comparing recall rates 197 of TSDs, RelocaTE2 and ITIS had similar performance and achieved the highest recall rate of 198 98% and 91% respectively, on OsChr3 at ~10-fold coverage (Fig. 2C). The recall rate of TSDs 199 for both TEMP and RelocaTE depended on sequence depth and achieved only 37% and 60%, 200 respectively, at 10-fold coverage (Fig. 2C). All the tools performed worse on OsChr4 as 201 compared to OsChr3 (Fig. 2D-F). RelocaTE2 demonstrated a lower average sensitivity (92%) on 202 OsChr4 when compared OsChr3 (96%) (Fig. 2A, D). Similarly, TEMP had a slightly lower 203 sensitivity (95%) on OsChr4 than on OsChr3 (97%) (Fig. 2A, D). However, RelocaTE2 and 204 RelocaTE demonstrated high level of the specificity (>99%) while TEMP performed at a slightly 205 lower specificity (98%) on OsChr4 compared to >99% on OsChr3 (Fig. 2B, E). In comparing 206 TSD accuracy on OsChr4, on average 81% of RelocaTE2 calls correctly identified the TSD, 207 whereas only 31% of TEMP calls were correct (Fig. 2C, F). 208 **Evaluation of RelocaTE2 and TEMP on biological datasets** 209 We evaluated TE identifying tools in the HuRef genome and benchmark the sensitivity and 210 specificity of these tools using 574 experimental verified Alu insertions in HuRef genome and 211 genomic comparison between HuRef genome and GRCh36. RelocaTE2 and TEMP reported 212 similar results and identified 83% (479/574) and 76% (438/574) of standard insertion sites (Fig. 213 3A). Comparing the HuRef genome with GRCh36 suggested that 89% and 95% of insertions 214 identified by RelocaTE2 and TEMP, respectively, were real insertions (Fig. 3A). In addition, 215 RelocaTE2 predicted TE insertion sites with higher precision (9 \pm 6 bp) compared to TEMP (366 216 $\pm 170 \text{ bp}$). 217 RelocaTE2 and TEMP were used to analyze data from the rice strain IR64 and the results were 218 evaluated by comparing the genome assembly of IR64 with MSU7. RelocaTE2 identified 648

insertion sites while the genome comparison revealed that 93% of insertions were true positives

(Fig. 3A). TEMP identified 362 insertions, of which 50% (183/362) overlapped with RelocaTE2



221 (Fig. 3A). The specificity of TEMP was estimated to be 86%, slightly lower than RelocaTE2 222 (93%) (Fig. 3A). However, TEMP was found to be less sensitive than RelocaTE2 in the rice 223 genome, only calling 362 sites as compared to 648 by RelocaTE2 (38% vs. 90%, Fig. 3A). 224 Moreover, RelocaTE2 predicted TE insertion junctions of 3 ± 1 bp, which was much smaller 225 than TEMP (393 \pm 199 bp). 226 RelocaTE2 and TEMP were used to identify TE polymorphisms in 50 resequenced rice and wild 227 rice strains, which contain substantial sequence diversity and population structure (Xu et al. 2012). The results from these two tools were well correlated ($R^2 = 0.96$, P value = 2.2e-16) and 228 229 predicted more TE insertions in the diverged population of wild rice, O. nivara and O. rufipogon, 230 and even in the *indica* population than *japonica* rice which close to the reference genome (Fig. 231 3B). On average 72% of the sites predicted in these 50 rice and wild rice strain by RelocaTE2 232 and TEMP overlapped. Many insertion sites from TEMP were predicted with only supporting 233 read flanking one end of an insertion, which produced large variations in predicted junctions of 234 TE insertion sites (118 \pm 151 bp). In contrast, RelocaTE2 reported most of TE insertions 235 supported by junction reads or supporting reads on both ends, which resulted in accurate 236 insertion junction predictions $(3 \pm 2 \text{ bp})$. 237 **Runtime performance** 238 We implemented the searching process for TE insertion to run on multiple processors in Python. 239 The process is relatively memory efficient. When searching TEs in the rice genome for example, 240 one process generally uses less than 1 Gb memory. The running time of RelocaTE2 depends on 241 number of processors used. Searching 3000 templates of transposable elements with 20-fold 242 genome coverage sequencing data of the rice genome takes 3-4 hours for RelocaTE2 using 32 243 CPUs including the alignment steps. TEMP identifies transposable element insertions from a 244 BAM file. It takes ~1 hours for TEMP for the same project using single process. RelocaTE 245 (version 1) and ITIS take at least days for the same rice datasets and can be prohibitively difficult 246 to run on large datasets with multiple templates due to the serial searching approach of their 247 implementation. **Conclusions** 248 249 We present RelocaTE2 as a new tool for mapping TE insertions to base-pair resolution from 250 resequencing data. RelocaTE2 identifies multiple TE families in a single search with high 251 sensitivity and specificity. The evaluation of these tools on simulated and biological datasets



| 232 | support the use of Relocate 2 for analysis of genomes of plants and animals and molecule it can |
|-----|--|
| 253 | generate very high quality genotyping of TE insertions from resequencing datasets of modest |
| 254 | sequencing depths. TEMP is fast and achieves well balance between sensitivity and specificity in |
| 255 | identifying TE polymorphisms, particularly when the sequence depth is shallow. Although the |
| 256 | precision of TSD prediction of TEMP is not very sensitive compared to RelocaTE2 and other |
| 257 | tools. We recommend using TEMP in scenarios when accuracy of TSD is not very critical. The |
| 258 | high resolution mapping of TE insertions sites will enable detailed analysis of the interaction of |
| 259 | TEs and genes and as structural variations that vary in populations. |
| 260 | |
| 261 | Competing Interests |
| 262 | The authors declare that there are no competing interests. |
| 263 | Author Contributions |
| 264 | Jinfeng Chen conceived and designed the study, wrote the code, analyzed the data, wrote the |
| 265 | paper, and prepared figures and/or tables. |
| 266 | Travis R. Wrightsman tested the code, wrote the manual, and reviewed drafts of the papers. |
| 267 | Susan R. Wessler and Jason E. Stajich conceived and designed the study, wrote the paper, and |
| 268 | reviewed drafts of the papers. |
| 269 | Data Availability |
| 270 | The source code in Python, manual, and sample data of RelocaTE2 are available for download at |
| 271 | https://github.com/stajichlab/RelocaTE2. |
| 272 | |
| 273 | |
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| 279 | 38422-20955. |
| 280 | |
| 281 | References |



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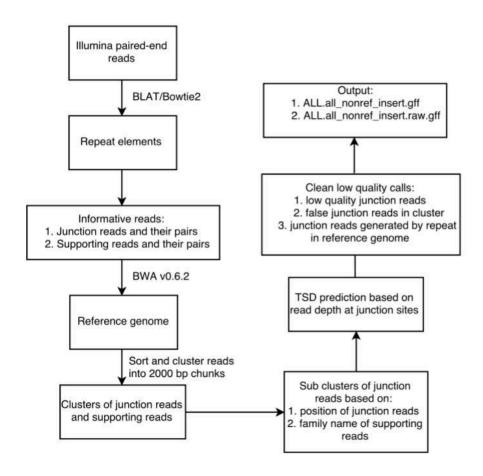


Figure 1. Workflow for identification of transposable element insertions in population resequencing data using Illumina paired-end reads.

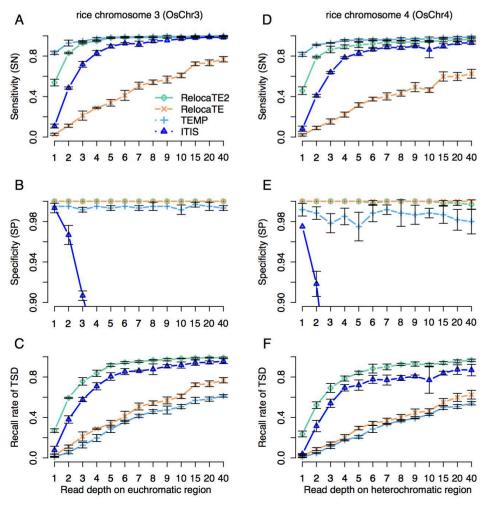


Figure 2. Performance of RelocaTE2, RelocaTE, TEMP and ITIS on simulated rice data.

Comparison of tool performance on rice chromosome 3 for Sensitivity (**A**) Specificity (**B**) Recall rate of TSD (**C**) and comparison of performance on rice chromosome 4 for Sensitivity (**D**), Specificity (**E**), Recall rate of TSD (**F**). Simulations of 200 random transposable element (TE) insertions were generated for rice chromosome 3 (OsChr3) and rice chromosome 4 (OsChr4) with three replicates. A series of datasets with varying sequence depths (from 1 to 40) were generated for each simulation dataset. Sensitivity (SN), Specificity (SP) and Recall rate of target site duplication (TSD) of each tool were estimated for each of these datasets and plotted against sequence depth. The error bars show the standard deviation of three replicates with different sets of 200 random TE insertions. SN was defined as the percentage of calls within 100 base pairs of 200 random TE insertions. SP was defined as the percentage of calls not within 100 base pairs of 200 random TE insertions. Recall rate of TSD was defined as the percentage of true positive calls that correctly matched the simulated TSD of TE insertions.

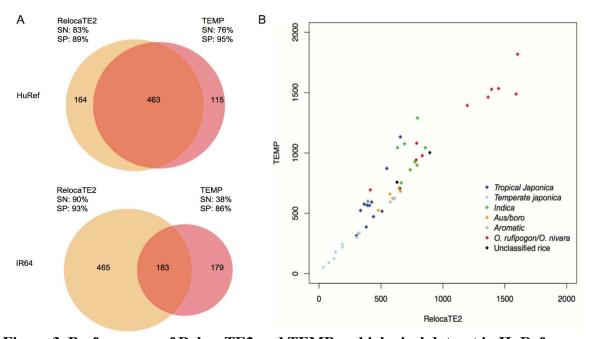


Figure 3. Performance of RelocaTE2 and TEMP on biological dataset in HuRef genome, IR64 genome, and 50 rice and wild rice strains. A. Venn diagram of the overlap in non-reference TE insertions identified in the HuRef genome and the rice IR64 genome using RelocaTE2 and TEMP. Sensitivity (SN) and Specificity (SP) were assessed by comparing the assembled HuRef genome to the GRCh36 reference genome and the assembled IR64 genome to the MSU7 reference genome. SN was defined as the percentage of validated calls out of all validated calls by either RelocaTE2 or TEMP. SP was defined as the percentage of validated calls out of all calls by each tool. B. Comparison of the number of non-reference TE insertions of 14 TE families in 50 rice and wild rice strains identified by RelocaTE2 and TEMP. Strains are

color-coded based on subpopulation classification.