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# Evolutionary analysis of vision genes identifies potential drivers of visual differences between giraffe and okapi

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**Background.** The capacity of species to respond and perceive visual signal is integral to their evolutionary success. Giraffe is closely related to okapi, but the two species have broad range of phenotypic differences including their visual capacities. Vision studies rank giraffe's visual acuity higher than all other artiodactyls despite sharing similar vision ecological determinants with most of them. To what extent giraffe unique visual capacity and its difference with okapi is reflected by changes in their vision genes is not understood.

**Methods.** The recent availability of giraffe and okapi genome provided opportunity to identify giraffe and okapi vision genes. Multiple strategies were employed to identify thirty-six candidate mammalian vision genes in giraffe and okapi genomes. Quantification of selection pressure was performed by a combination of branch-site test of positive selection and clade models of selection divergence through comparing giraffe and okapi vision genes and their corresponding orthologous sequences from other mammals obtained from public gene banks.

**Results.** Signatures of selection was identified in key genes that could potentially underlie giraffe and okapi visual adaptations. Importantly, some genes that contribute to optical transparency of the eye and those that are critical in light signaling pathway were found to show signatures of adaptive evolution or selection divergence. Comparison between giraffe and other ruminants identifies significant selection divergence in *CRYAA* and *OPN1LW* in giraffe. Significant selection divergence was identified in *SAG* while positive selection was detected in *LUM* when okapi is compared with ruminants and other mammals. Sequence analysis of *OPN1LW* showed that at least one of the sites known to affect spectral sensitivity of the red pigment is uniquely divergent between giraffe and other ruminants.

**Discussion.** By taking a systemic approach to gene function in vision, the results provide the first molecular clues associated with giraffe and okapi vision adaptation. At least some of the genes that exhibit signature of selection may reflect adaptive response to differences in giraffe and okapi habitat. Moreover, requirement for long distance vision associated with predation likely played an important role in the adaptive pressure on giraffe vision genes.

1 **Evolutionary analysis of vision genes identifies potential drivers of visual**  
2 **differences between giraffe and okapi**

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29 **Abstract**

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31 to their evolutionary success. Giraffe is closely related to okapi, but the two species  
32 have broad range of phenotypic differences including their visual capacities. Vision  
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38 identify giraffe and okapi vision genes. Multiple strategies were employed to identify  
39 thirty-six candidate mammalian vision genes in giraffe and okapi genomes.  
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49 divergence in *CRYAA* and *OPNILW* in giraffe. Significant selection divergence was  
50 identified in *SAG* while positive selection was detected in *LUM* when okapi is  
51 compared with ruminants and other mammals. Sequence analysis of *OPNILW* showed  
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53 uniquely divergent between giraffe and other ruminants.

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58 distance vision associated with predation likely played an important role in the  
59 adaptive pressure on giraffe vision genes.

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## 62 **Introduction**

63 Visual cognition is critical to health, survival and evolutionary success of terrestrial  
64 vertebrates. In mammals visual cognition manifests itself into several sub-responses  
65 arising from light signal processing: visual acuity which is the capacity for the eye to  
66 resolve closely spaced objects, contrast sensitivity, motion perception, depth  
67 perception which is the three dimensional view of the object, and color discrimination  
68 (Osorio & Vorobyev 2005; Kohn, 2007; Heesy & Hall, 2010). These visual elements  
69 are inextricably linked to species evolutionary success in terms of their  
70 competitiveness at food acquisition, predator avoidance, suitable mate recognition,  
71 intra-specific communication and finding suitable habitat. Vision and ecological  
72 studies appear to show that considerable distinction in vision perceptiveness exists  
73 between giraffe and other artiodactyls including its close relative, the okapi. Giraffes  
74 have excellent aerial vision reinforced by their long necks, which is uniquely the  
75 highest among ruminants and predominantly rely on vision communication relative to  
76 other senses (Young & Isbell, 1991; Mitchell et al., 2013; VanderWaal et al., 2013;  
77 Veilleux & Kirk, 2014). By contrast, okapi have poor eyesight adapted to low-light  
78 environment and depend heavily on their smell and hearing acuities to exploit the  
79 environment (Lindsey, Green & Bennett, 1999; Greive & Iwago, 2003). Giraffe better  
80 visual acuity measured at 25–27 cycles per degree than okapi could be a function of  
81 their respective ecology, since giraffe inhabit the light illuminated Savannah habitat  
82 while okapi are specifically restricted to low-light environment in the deep forests of

83 Congo. However, the basis of giraffe's uniquely excellent vision even among other  
84 artiodactyls sharing the same environment remains enigmatic.

85 Adaptive evolution on vision can operate at three levels namely, at organ level,  
86 cellular and biochemical level. At the level of the organ, mammals have evolved  
87 specialized organ, the eye, to transmit and focus incident light on a photosensitive  
88 retina which convert the visual image into neural signals for onward transmission to  
89 the image processing optic centers in the brain via the optic nerve. The complex  
90 interaction of species and their environment with respect to the visual tasks they  
91 perform has resulted in different eye sight specialization among mammals. Broadly,  
92 mammals have evolved differential spatial positioning of the eyes relative to the head  
93 which enables either to use a single eye to focus on a single point (monocular vision)  
94 or use both eyes for the same purpose (binocular vision) (Pettigrew, 1986). Variations  
95 in the gross morphology of the eyes can also be found in closely related species.  
96 Giraffes, for example, have fairly round eye orbits which provide an increased  
97 binocular field of vision and depth perception while okapi orbits are more elongated  
98 laterally which could be advantageous in their peripheral vision (Lindsey, Green &  
99 Bennett, 1999).

100 Transmission of light to the neurosensory retina and transduction of light signal into  
101 neural information for eventual transmission to the brain is primarily accomplished by  
102 specialized tissue and cell types of the eye (Jeon, Strettoi & Masland, 1998; Sivak,  
103 Andison & Pardue, 1999; Purves, Augustine & Fitzpatrick, 2003; Cepko, 2014).  
104 Transparent cornea and lens combine to transmit and refract light towards the retina.  
105 The photoreceptors (rods and cones) detect light and pass it as electrochemical signal  
106 to the bipolar and horizontal cells. Bipolar and horizontal cells relay the signals from  
107 photoreceptors to amacrine and ganglion cells via synaptic contacts. Various species  
108 have different tissue-level and cellular adaptations to optimize for their vision  
109 requirements. Specific patterning of collagen fibrils and proteoglycans across the  
110 cornea stroma determines differences in corneal light transparency, refractive power  
111 and ability to filter out ultraviolet (UV) light among vertebrate species (Winkler et al.  
112 2015). The eye lens is composed of various crystallins proteins which determine its

113 transparency and refractive power. The refractive index of the lens is associated with  
114 its shape and both parameters were shown to vary between species depending on their  
115 visual requirements (Pierscionek & Augusteyn 1993). Moreover, visual acuity tends to  
116 be higher in mammals with smaller relative cornea and lens sizes (Veilleux & Kirk,  
117 2014). In the retina, between species variations in the number and relative  
118 distributions of rods and cones allow for variations in polychromatic vision and  
119 nocturnal or diurnal habits of mammalian species (Wikler & Rakic, 1990; Peichl,  
120 2005; Perry & Pickrell, 2010). Also, topographic heterogeneity in ganglion cell  
121 density in the retinas of different species may provide differential capacities in  
122 transferring information to the brain. This is expected to contribute to variations in  
123 visual acuity in mammals. As demonstrated by anatomical and behavioral  
124 measurements of variation in visual performances in various species, species with  
125 higher ganglion cell density generally have increased visual acuity than species with  
126 lower ganglion cell density (Rolls & Cower, 1970; Pettigrew et al, 1988; Collin &  
127 Pettigrew, 1989; Coimbra et al., 2013).

128 Many biochemical processes involving several genes have roles in vision, the most  
129 widely studied process being the molecular genetic basis of the light signaling  
130 mediated by the light pigments, interacting proteins and other proteins downstream  
131 the signaling pathway. Photopigment rhodopsin, located on rod cells disk membranes,  
132 specifically mediate vision in the dark and its signaling desensitization requires direct  
133 interaction of phosphorylated rhodopsin with arrestin (Vishnivetskiy et al., 2007).  
134 Color vision is primarily mediated by cone cells through photopsins comprising of  
135 short-, middle- and long-wavelength sensitive opsins. Comparison of extant and  
136 ancestral vision genes reveals episodes of nucleotide substitutions that critically  
137 impact on spectral tuning of short- and long- wavelength light pigment to vary and  
138 coincide with fundamental differences in green-red color detection among mammals  
139 (Yokoyama & Radlwimmer, 1998; Yokoyama, 2002; Horth, 2007). The “five-site  
140 rule” proposed by Yokoyama & Radlwimmer (1998) which generally applies across  
141 mammals predicts that allelic variations at critical functional sites (i.e. sites 180, 197,  
142 277, 285 and 308) of the long-wavelength sensitive opsin determines species-specific  
143 spectral sensitivity in the red range of the visible spectrum. More recently, it has been

144 shown that variations in specific allelic combination among some of the five sites of  
145 long-wavelength sensitive opsin could confer adaptive significance on ecologically  
146 relevant traits. For example, it has been observed that the amino acids variation at  
147 three of the five sites, that is sites 180, 277 and 285, influence the ability of some  
148 primates to distinguish different wavelengths in the red color range important for  
149 seeing the ripe fruit (Matsumoto et al., 2014).

150 For such an evolutionarily important trait as vision, genes associated with vision  
151 processes will often be subject to purifying selection and therefore are expected to be  
152 conserved over evolutionary timescales (Lamb, 2011). However, we recently  
153 published giraffe genome and detected few of its coding genes associated with vision  
154 to show signatures of adaptation (Agaba et al., 2016). These genes included  
155 *Peripherin-2 (PRPH2)* and *Cytochrome P450 family 27 (CYP27B1)*. *PRPH2* encodes a  
156 protein intergral to rods and cones and mutations in this genes cause various forms of  
157 retininis pigmentosa, pattern dystrophies and macular degenerations (Keen &  
158 Inglehearn, 1996). *CYP27B1* codes for an enzyme that hydroxylate Vitamin D to  
159 modulate normal calcium and phosphorus homeostasis required for proper  
160 development and maintenance of bones. Recently, additional *CYP27B1* functions in  
161 relation to vision have been proposed. These include participating in pathways that  
162 counteract inflammation, angiogenesis, oxidative stress, and fibrosis that confer  
163 protection for various retinopathies such as age-related macular degenerations in mice  
164 and humans (Parekh et al., 2007; von Lintig et al., 2010; Morrison et al., 2011).

165 In order to elucidate on the evolutionary processes underlying disparity in giraffe and  
166 okapi vision, we take advantage of the availability of giraffe and okapi genomes to  
167 analyze thirty-six (36) candidate ‘visual’ genes through comparison with those of  
168 closely related species. The objectives are first to identify genes exhibiting signatures  
169 of adaptive evolution and/or divergent selection and secondly to relate sequence  
170 changes in giraffe and okapi vision proteins to possible change in visual functions.

## 171 **Materials and Methods**

### 172 **Identification of candidate genes**



173 To obtain vision genes multiple strategies were utilized to identify proteins with direct  
174 or probable roles in vision. The initial step involved downloading cattle protein  
175 sequences from ENSEMBL (Flicek et al. 2012) and screening for proteins annotated  
176 with gene ontology terms “phototransduction” (GO: 0007601), and “visual  
177 perception” (GO: 0007602). The corresponding cattle nucleotide sequences for cattle  
178 vision protein queries were also obtained from ENSEMBL. We used PANTHER (Mi et  
179 al., 2013) to screen for proteins functionally annotated with GO vision terms. Since  
180 GO annotation is a computational functional assignment, the reliability of gene  
181 function in vision was checked by a careful literature curation. Searches for the  
182 literature proof of gene involvement in vision was performed based upon at least one  
183 of the following criteria: (i) the presence of Ocular/Cortical Visual Impairment-  
184 associated mutations in human orthologue; (ii) expression in the eye since genes  
185 expressed in a given organ at high levels are likely vital in the development and  
186 function of that organ and, (iii) interaction with known visual genes and loss of vision  
187 in knockout or sporadic mutant mice. Only genes with at least two references linking  
188 to a role in vision were selected. Orthologous mapping of cattle vision proteins to  
189 giraffe and okapi genomes identified 36 genes which were used for further analysis  
190 (Supplemental File 1).

### 191 **The lineages, gene sequence alignments and gene trees**

192 Other mammalian taxa were selected on the basis of availability of sequences for the  
193 candidate vision genes in the refseq dataset of GENBANK (Benson et al., 2013) or  
194 ENSEMBL. Sequences with questionable protein coding quality status based upon  
195 having incomplete coding sequence or presence of internal stop codons were removed.  
196 The sequences for giraffe and okapi candidate vision genes were obtained by  
197 performing TBLASTN search using cattle proteins against giraffe and okapi genome  
198 sequences that were generated as part the giraffe genome project (Supplemental File  
199 2). Also through TBLASTN searches with cattle vision proteins queries, orthologous  
200 nucleotide sequences for all 36 vision genes for the target species were downloaded  
201 from NCBI RefSeq mRNA or non-redundant nucleotide database. In case of existence  
202 of multiple isoforms for a single gene, the isoform with length similar or closest to  
203 giraffe and okapi sequences was selected. This is in recognition of the fact that

204 isoforms with similar length are likely evolutionarily conserved with similar function  
205 among species (Villanueva-Cañas, Laurie & Alba, 2013). The final list of species,  
206 ENSEMBL identity for cattle sequences, RefSeq accession numbers for  
207 sequences/isoforms obtained from NCBI and corresponding length for each coding  
208 sequence are provided in Supplemental File 3.

209 The coding DNA sequences for each gene were translated to the corresponding protein  
210 sequence and sequences with internal termination codons were discarded. The protein  
211 sequences were then aligned using MUSCLE release 3.8 (Edgar, 2004), subsequently  
212 the protein sequence alignment was then used a guide for the production of coding  
213 sequence alignment for each gene. This procedure was implemented using RevTrans  
214 (Wernersson & Pedersen, 2003). Phylogenetic trees for each gene were constructed  
215 using the HKY85 substitution model of nucleotide evolution and maximum likelihood  
216 framework implemented in PhyML Version 3.0 (Guindon & Gascuel, 2003) and  
217 bootstrapping with 100 replicates was performed to be certain of the robustness of the  
218 resulting phylogenies.

### 219 **Estimation of the average rates of non-synonymous and synonymous substitutions**

220 In order to examine if overall rates of evolution in vision genes contributed to  
221 divergence in vision capabilities between giraffe and okapi, the rates of non-  
222 synonymous substitutions per non-synonymous sites (dN) and synonymous  
223 substitutions per synonymous sites (dS) were estimated for each branch of the tree  
224 using the free ratio model of the codeml program in the PAML package (Yang, 2013).  
225 The free-ratio model independently estimates dN, dS and dN/dS for each branch by  
226 assuming that every branch in a tree has a different evolutionary parameter. This is  
227 not an explicit statistical test for selection but the key parameters obtained may  
228 provide the first line of evidence in terms of relative strength of selection among  
229 species.

### 230 **Identification of genes and amino acid residues under positive selection**

231 To determine adaptive evolution on giraffe and okapi vision genes, signatures of  
232 positive selection acting across giraffe and okapi lineages against the background of  
233 broad range of mammals was independently assessed for each vision gene. The

234 branch-site test for positive selection was used to identify genes showing signatures of  
235 adaptive evolution. The test applies codon models of evolution using normalized  
236 nonsynonymous to synonymous substitution rate ratio ( $\omega$  or dN/dS) by assuming that  
237 adaptive evolution is a rare event during evolution of species and only few sites along  
238 the proteins will be affected by positive selection (Zhang, Nielsen & Yang, 2005). As  
239 such, it is required to hypothesize *a priori* a branch expected to have evolved under  
240 positive selection termed as “foreground”. The likelihood scores of branch-site  
241 alternative and null models based on dN/dS as implemented in CODEML in the PAML  
242 package were compared using the likelihood ratio test (LRT). Significant case of  
243 positive selection was only assumed if LRT yielded  $p < 0.05$  using the chi-squared  
244 distribution at one degree of freedom. For genes that were identified to be under  
245 significant positive selection, amino acid residues in the protein sequences were  
246 identified that were predicted by Bayes empirical Bayes (BEB) approach to belong to  
247 the codon class of positive selection on the foreground lineages (Yang, Wong &  
248 Nielsen, 2005).

#### 249 **Clade models analyses of selection divergence**

250 It has been recently observed that phenotypic adaptive evolution in vision can also be  
251 contributed by divergent selection in orthologous proteins of ecologically divergent  
252 species (Weadick & Chang, 2012; Schott et al., 2014). To explore whether giraffe and  
253 okapi differences in vision could be partly explained by divergent selection on their  
254 vision proteins, the two species were independently compared with other ruminants by  
255 applying PAML’s Clade Model C (CmC) (Bielawski & Yang, 2004). CmC partitions  
256 different branches within the phylogeny as “background” and “foreground” as well as  
257 existence of three site categories, two of which experience uniform selection across  
258 the entire phylogeny (either purifying selection ( $0 < \omega_0 < 1$ ) or neutral evolution ( $\omega_1 =$   
259  $1$ )) while the third is allowed to vary between background ( $\omega_2 > 0$ ) and foreground ( $\omega_3$   
260  $> 0$ ) branches. The recently developed M2a\_rel (Weadick & Chang, 2012) serves as a  
261 useful null model for the CmC. In this analysis, since the cornea, lens and retina are  
262 central optical systems in animal vision, only genes that contribute to the structural  
263 properties of cornea and lens and those that are known to play critical role in the light  
264 signaling function were investigated. Twenty (20) proteins were identified in our total

265 vision gene list: *Cyclic Nucleotide Gated Channel Alpha 2 (CNGA2)*, *Cyclic*  
266 *Nucleotide Gated Channel Alpha 4 (CNGA4)*, *Crystallin Alpha A (CRYAA)*, *Guanine*  
267 *nucleotide-binding protein G(t) subunit alpha-1 (GNAT1)*, *Guanine nucleotide-*  
268 *binding protein G(t) subunit alpha-2 (GNAT2)*, *Guanine nucleotide-binding protein*  
269 *subunit beta-1 (GNB1)*, *Guanine nucleotide-binding protein G(t) subunit gamma-T1*  
270 *(GNGT1)*, *Guanylate Cyclase Activator 1A (GUCA1A)*, *Guanylate Cyclase Activator*  
271 *1B (GUCA1B)*, *Lumican (LUM)*, *Long-wave-sensitive opsin-1 (OPN1LW)*, *Short-wave-*  
272 *sensitive opsin-1 (OPN1SW)*, *Phosphodiesterase subunit delta (PDE6D)*,  
273 *Phospholipase C beta 4 (PLCB4)*, *Retinol dehydrogenase 11 (RDH11)*, *Retinol*  
274 *dehydrogenase 12 (RDH12)*, *RPE-retinal G protein-coupled receptor (RGR)*,  
275 *Rhodopsin (RHO)*, *Retinal Pigment Epithelium-Specific Protein 65kDa (RPE65)* and  
276 *S-antigen (SAG)*. In the genes which showed significant selection divergence,  
277 potential significance of selection divergence was assessed by examining sites which  
278 had significant Bayes posterior probability ( $> 0.75$ ) in the divergent site class between  
279 giraffe or okapi and other ruminants. We assessed these sites for possible functional  
280 consequences based on literature review of functional studies.

281

## 282 **Results**

### 283 **Positive selection pressure within the visual genes of giraffe and okapi**

284 Based on average rates of evolution as determined by dN, dS and dN/dS parameters as  
285 estimated by the free-ratio model, no significant differences of the three evolutionary  
286 parameters were observed between giraffe and okapi (Supplemental File 4). In both  
287 species, overall dN, dS and dN/dS were lower than 0.005, 0.05 and 0.1, respectively,  
288 suggesting that vision genes have generally evolved under strong purifying selection  
289 as expected. Since positive selection tend to be episodic by affecting few amino acid  
290 sites along particular lineage, the widely used branch-site models are robust means of  
291 discovering cases of positives selection in a gene for given species. Previously, we  
292 used the branch-site test in a genome-wide screen and detected positive selection in  
293 *PRPH2* and *CYP27B1* in the giraffe lineage. We have also used the branch-site test

294 here to further examine whether some okapi vision genes are also associated with  
295 adaptive evolution. The results show *LUM* as a candidate for positive selection among  
296 the 36 vision genes in the okapi lineage (Figure 1). Substitution analysis shows that  
297 the majority of sites (> 80%) are conserved between okapi, other ungulates and  
298 cetaceans (Figure 1B). In fact, positive selection in okapi's *LUM* is predicted to occur  
299 at a single codon site, GCG, at position 36 which encodes Alanine. The corresponding  
300 codon position in giraffe is AGA while in other species is AGG both of which encode  
301 Arginine. Clearly, the common ancestor of okapi and giraffe must have had Arginine  
302 at this *LUM* site. The peculiar observation is that R36A substitution seems to have  
303 required at least two substitutions in the lineage leading to okapi. Also, positive  
304 selection at this site is associated with strong BEB posterior probability (0.94) (Figure  
305 1A).

### 306 **Divergent selection pressure has shaped the evolution of giraffe and okapi** 307 **important vision genes**

308 We also examined among twenty genes critical to light transmission and light  
309 signaling pathway which genes exhibit signature of divergent selection. After setting  
310 giraffe and okapi as foreground lineages against the background of other ruminant  
311 species significant results were obtained for three genes: *SAG*, in okapi, and *CRYAA*  
312 and *OPNILW*, in the giraffe lineage (Table 1). *SAG* binds to photoactivated and  
313 phosphorylated rhodopsin which desensitize the receptor and regulates the signaling  
314 process; the mutation in the gene causes congenital stationery night blindness and  
315 other retinal diseases (Kuhn, et al., 1984; Fuchs et al., 1995; Nakazawa et al., 1998).  
316 *CRYAA* is a structural protein in the lens that provides its structural integrity and  
317 contributes to the transparency and refractive index of the lens; mutations in the gene  
318 result into congenital cataract disorders (Litt et al., 1998; Horwitz, 2003; Nagaraj et  
319 al., 2012). *OPNILW* is induced by light photons to change its conformation following  
320 isomerization of its 11-*cis*-retinal into all-*trans*-retinal triggering phototransduction  
321 cascade. In humans, the maximum sensitivity of *OPNILW* is at 560 nm of the light  
322 spectrum which makes it more sensitive to the red color than any other opsin. Defects  
323 in the gene have been found to affect color blindness (Nathans et al., 1986; Nathans et  
324 al., 1993). □

325 In all three significant cases, vast majority of the sites (about 95%) were under strong  
326 purifying selection in both foreground and background lineages to keep their  
327 functional integrity while the proportion of divergent site classes were about 5%. The  
328 proportions of neutrally evolving sites were negligible. Notably, divergently evolving  
329 sites were under stronger purifying selection in the foreground lineages in the two  
330 genes, *SAG* and *CRYAA*, than in the background lineages. However, in divergent site  
331 class for *OPNILW*, giraffe as a foreground lineage showed a remarkable case of rate  
332 acceleration ( $\omega = 339.6$ ) compared with other ruminant lineages. Because it is  
333 theoretically possible for novel functions to be associated with selection divergence in  
334 orthologous genes we next identified sites predicted to have high ( $> 0.75$ ) posterior  
335 probability score as determined by PAML's Bayesian computation. According to the  
336 five-sites rule, substitutions involving Serine (S), Alanine (A), Tyrosine (Y),  
337 Histidine (H), Phenylalanine (F) and Threonine at five key sites (i.e. sites 180, 197,  
338 277, 285 and 308 of the mature opsin encoded by *OPNILW*) have been observed to  
339 exert cumulative change in spectral shifts. In particular, the S180A, H197Y, Y277F,  
340 T285A and A308S substitutions modulate absorption spectrum by decreasing 7, 28, 7,  
341 15 and 16 nm from the maximum wavelength in an additive manner, respectively,  
342 while the reverse substitutions increases it by the same measures (Yokoyama &  
343 Radlwimmer, 1999). Significant posterior probability scores were found in *OPNILW*  
344 at two sites, 180 and 233, the sites which are observed to be uniquely variant between  
345 giraffe and other ruminants (Figure 2). Except for A180S substitution, the residues at  
346 remaining critical sites of *OPNILW* are identical between giraffe and other ruminants  
347 which apparently suggest that optimal detection in the red color range could be  
348 different between giraffe and other ruminants. The second giraffe specific substitution  
349 (T233S) occurs at another spectrally important site within the red pigment, where the  
350 A233S substitution has been observed to shift the wavelength by 1 nm (Winderickx et  
351 al., 1992). However, we do not think that this substitution is functionally  
352 consequential in terms of spectral tuning for color sensitivity between giraffe and  
353 other ruminants as both Serine and Threonine are hydroxyl-bearing amino acids  
354 (Merbs & Nathans, 1993).

355 To gain further insight into the functional significance of giraffe's *OPN1LW* selection  
356 divergence, we phylogenetically examined the long-wavelength sensitive opsin across  
357 broad range of mammals for possible functional convergence associated with the five  
358 critical sites. It can be observed that the entire *OPN1LW* gene tree is faithfully  
359 concordant with species phylogeny (Figure 3A). However, the resultant tree using  
360 only codons corresponding to sites 180, 197, 277, 285 and 308 of *OPN1LW* reveals  
361 interesting positional shifts and clustering. Apparently, giraffe is observed to cluster  
362 within an artificial clade together with pinnipeds, bats and some primates (Figure 3B).  
363 The overrepresented allele at the five sites is Serine, Histidine, Tyrosine, Threonine  
364 and Alanine (henceforth denoted here as SHYTA) for sites 180, 197, 277, 285 and  
365 308, respectively, in this clade. The giraffe SHYTA allele is observed in common with  
366 some old-world monkeys, walrus and vesper bats. The similarity of SHYTA allelic  
367 combination may reflect species-specific evolutionary pressure resulting in functional  
368 convergence among evolutionarily distant species in color discrimination in the red  
369 range of the visible spectrum.

370

## 371 Discussion

372 The development of distinct attributes between species for a given trait is very  
373 complex and likely involves multiple genes. Vision is a typical trait that requires  
374 modulated actions of many genes, some of which with tissue- and/or cell-type  
375 restricted functions (Siegert et al., 2012). The involvement of many genes suggests  
376 that the evolutionary divergence of complex traits that require coordinated functions  
377 of multiple tissue/cell types constituting a complex organ, such as the eye, cannot be  
378 fully explained by a single gene or a single tissue. In this study, we examined several  
379 genes specific to different tissues of the eye that are involved in different aspects of  
380 vision function to determine general and specific factors underlying giraffe excellent  
381 vision and its disparity in vision with okapi.

382 Our approach of studying many genes with diverse functions in vision afforded us the  
383 opportunity to identify several genes that potentially underlie visual adaptations in

384 giraffe and okapi as well as providing insight into the extent of the action of natural  
385 selection on vision phenotype. In both species, we discovered positive selection and  
386 significant selection divergence in genes with predominant roles in corneal, lens and  
387 retinal functions suggesting that the focal point of selection on vision phenotype may  
388 not be limited to a single optical unit. Rather, the interplay of different functional  
389 elements in vision appears to be mirrored by the operation of natural selection on  
390 functionally diverse vision genes, possibly to adjust species' vision to their particular  
391 ecological settings.

392 Vision plays a fundamental role in the survival of most animals. Giraffes are the  
393 longest-necked mammals which depend heavily on their eyesight to feed,  
394 communicate and avoid predators. Interestingly, Mitchell et al. (2013) observed that  
395 giraffe features associated with good vision seemed to be correlated with its long  
396 neck. In addition to our previous finding of positive selection on *PRPH2* and  
397 *CYP27B1*, this study identifies selection divergence in *CRYAA* and *OPN1LW* between  
398 giraffe and other ruminants. Coordinated evolutionary changes on vision genes  
399 associated with skeletal physiology, lens transparency and color vision could provide  
400 insights into molecular basis of giraffe's long distant and acute vision. We compared  
401 giraffe's red opsin with other ruminants and observed changes that could provide  
402 giraffe with unique color-based tuning to match with spectral reflectance of the  
403 surrounding environment. The notable change is the A180S substitution at one of the  
404 five functionally significant sites of the red opsin, which confers giraffe with an  
405 SHYTA allele compared with an AHYTA allele observed in okapi and other  
406 ruminants. Based on the five-sites rule, this is expected to provide giraffe with at least  
407 5 nm spectral-shift toward red when compared with other ruminants (Yokoyama &  
408 Radlwimmer, 1998; Yokoyama & Radlwimmer 1999; Matsumoto et al., 2014).

409 Adaptive significance for the *OPN1LW* difference between giraffe and other  
410 ruminants can only be speculated upon. Giraffes, just like all other wild ruminants,  
411 have lions as their most frequent predators (Bercovitch and Berry 2009; Periquet et al.  
412 2012). However, giraffe height advantage to see lions from afar likely presents  
413 challenges in identifying camouflaged lions in the background of tall dry grass of the



414 semi-arid Savannah (Owen-Smith, 2008; Davidson et al., 2013). Perhaps the SHYTA  
415 genotype provides giraffes with enhanced ability to discriminate between dry  
416 savannah vegetation and lions. It is also notable to observe that the SHYTA genotype  
417 is possessed by other mammals including some bat species and some fruit-eating old-  
418 world primates which may signify convergent solution for similar or related problem.  
419 For fruit-eating primates, possessing SYT at three of the five spectrally important  
420 sites of *OPN1LW* is observed to be advantageous in helping identify ripe fruits at a  
421 distance (Matsumoto et al., 2014). The importance of red color vision in bats is not  
422 clear but some bat species including vespertilionid bats possess intact, functionally  
423 constrained *OPN1LW* gene that probably helps in hunting fruits or for other purposes  
424 (Wang et al., 2004).

425 Okapis, on the other hand, live in low-light environment and compared with other  
426 ruminants which live in the open environment of the Savannah, they are hidden from  
427 many predators such as lions. Our study showed that the genes *LUM* and *SAG* have  
428 undergone, respectively, positive selection and significant selection divergence in the  
429 okapi lineage. Recently, rod arrestin (*SAG*) was found to show strong evidence of  
430 signatures of convergent evolution in species adapted to dim-light vision (Shen et al.,  
431 2012). The evolutionary changes in a gene associated with corneal transparency  
432 (*LUM*) together with coordinated changes in a gene that is important in rod mediated  
433 vision (*SAG*) could confer okapi with complex mechanisms associated with  
434 requirement for low light vision and exploitation of the deep forest niche.

435 *LUM* is a low molecular weight leucine-rich proteoglycan with keratan sulfate side  
436 chain specifically expressed in the cornea as a regulator for organizing collagen fibers  
437 in the cornea (Blochberger et al., 1992; Meek & Knupp, 2015). Although functional  
438 studies to assess precise role of the site predicted to have been affected by positive  
439 selection are missing, it has been shown that *LUM* deficiency in mice leads to  
440 disruption in corneal transparency (Chakravarti et al., 1998). We speculate that  
441 positive selection in *LUM* is a result of okapi vision adaptation to maintain corneal  
442 transparency driven by their confinement in deep forests where ambient light levels  
443 are reduced. Alternatively, it's possible that positive selection in *LUM* could be linked

444 with okapi eye adaptations related to UV light transmission. Douglas & Jeffery (2014)  
445 shows okapis to possess a higher degree of UV transmission through their ocular  
446 media than closely related artiodactyls. What is particularly interesting to note is that  
447 mammals with a high degree of UV transmission have reduced visual acuity and more  
448 adapted to dim light vision (Douglas & Jeffery, 2014). This might point to the  
449 existence of evolutionary switch in a system of genes important for vision which  
450 simply work to adapt species to dim light environments and also expanding their  
451 spectral range to improve visual sensitivity.

## 452 **Conclusions**

453 Subset of genes known to play functional role in vision has been analyzed in order to  
454 identify if remarkable differences in vision between giraffe and okapi is associated  
455 with adaptive evolution. The finding that visual genes are highly conserved in their  
456 evolution signifies strong purifying selection in giraffe and okapi visual genes.  
457 However, putative evidence of significant positive selection and selection divergence  
458 is observed on some key vision genes in both giraffe and okapi. Signature of selection  
459 in genes functionally associated with important optical elements of the eye, such as  
460 the cornea, the lens and the retina, could be indicative of concerted, organ-level  
461 impact of natural selection in adjusting species' vision to their respective  
462 environment. This demonstrate the importance of system-level understand of  
463 molecular evolution associated with complex traits (Invergo et al., 2013). We believe  
464 that comparative evolutionary vision studies such as this could contribute to the  
465 understanding of the molecular genetic system underlying vision in mammals in  
466 general.

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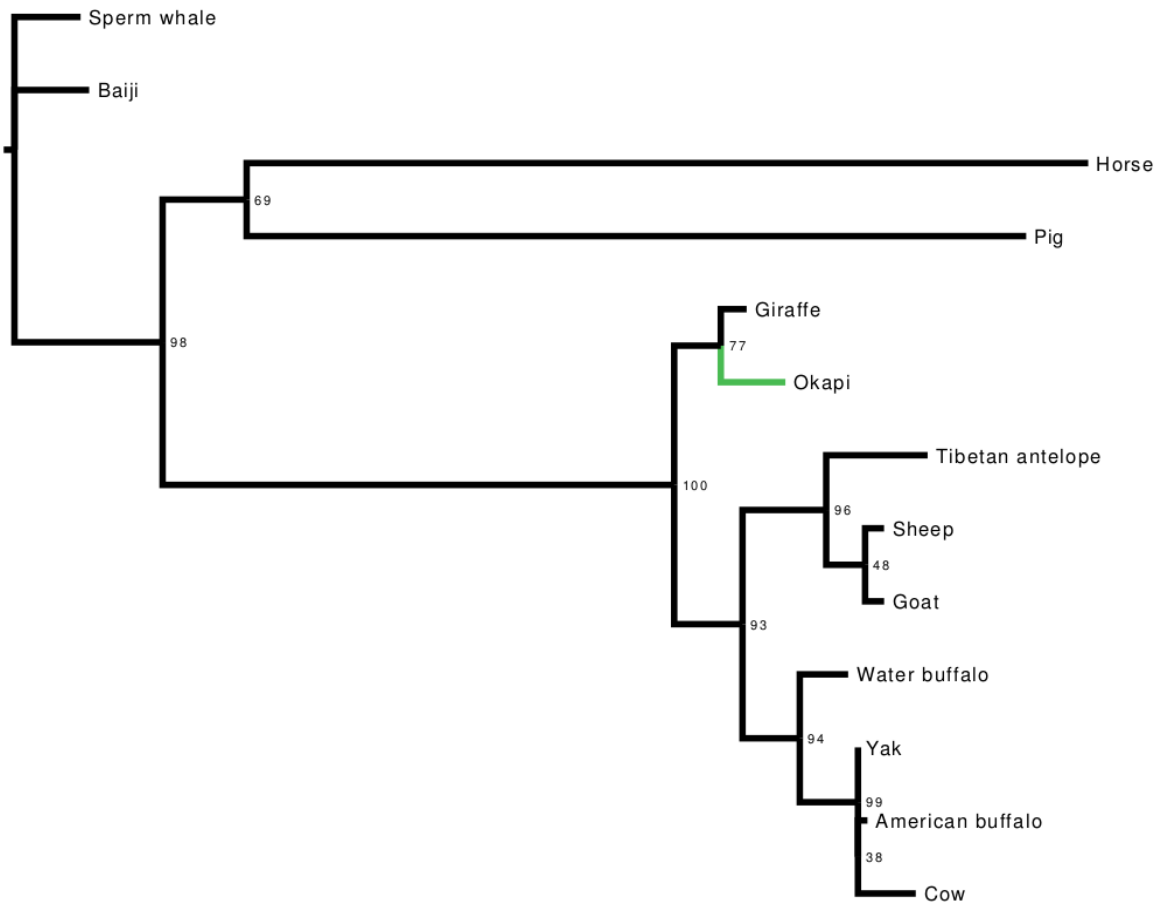
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689

690 **Figure 1.** Positive selection in *Lumican* (*LUM*) is predicted to have occurred in okapi (adapted to  
691 deep-forest) when compared to other ruminants inhabiting light illuminated environment. (A)  
692 PhyML generated maximum likelihood *LUM* gene tree that was used in branch-site test for  
693 positive selection setting okapi as a foreground lineage. The numbers adjacent to the nodes are  
694 posterior probability bootstrap support. (B) *LUM* protein alignment showing positions at which  
695 okapi differ with species within ruminant, cetacean, equine and pig families. Conserved positions

696 are omitted from the alignment. The (\*) indicate identical amino acid with okapi's residue used  
 697 as reference. The codon predicted to have undergone positive selection is at position 36 which  
 698 encodes a unique amino acid in okapi compared with other species in the alignment.

699 (A)



#### Branch-Site Model

	Null	Alternative
InL	-2741.7	-2734.2
LRT		15
P value		0.0001

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703 (B)

	2	3	5	7	8	12	14	15	16	18	19	21	22	23	24	25	27	28	29	31	32	33	36	53	64	70	87	97	114	129	141	150	154	167	168	180	184	185	189	200	201	203	205	210	231	233	234	244	248	250	257	272	294	319	320	322	34											
Okapi	N	L	V	P	L	L	G	S	A	S	T	L	D	Y	Y	D	Y	S	F	Q	S	I	A	T	T	P	E	Q	R	Y	K	N	S	F	I	V	L	K	S	M	T	L	S	S	R	S	A	E	S	V	V	S	D	R	I	Q	M											
Giraffe	*	*	*	*	*	*	*	R	*	*	*	*	*	*	*	*	*	*	*	*	*	*	R	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Cow	*	*	*	*	*	*	*	G	*	*	*	P	*	*	*	E	*	D	*	*	A	L	R	S	S	*	D	*	K	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	H	*	*	*	*		
Yak	*	*	*	*	*	*	*	G	*	*	*	P	*	*	*	*	*	D	*	*	P	M	R	S	S	*	D	*	K	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	H	*	*	*	*	*		
Water buffalo	*	*	*	*	*	*	*	G	*	*	*	*	*	*	*	*	*	D	*	*	*	R	S	S	*	D	*	K	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	T	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	H	*	*	*	*				
American buffalo	*	*	*	*	*	*	*	G	*	*	*	P	*	*	*	*	*	D	*	*	P	M	R	S	S	*	D	*	K	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	H	*	*	*	*					
Sheep	*	*	*	*	*	*	*	G	*	*	*	P	*	*	*	*	*	D	*	*	*	R	S	S	*	D	*	K	*	*	*	H	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	H	*	*	*	*						
Tibetan antelope	*	*	*	*	*	*	*	G	*	*	*	P	*	*	*	*	*	D	*	*	*	R	S	S	*	D	*	K	*	*	H	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	N	*	H	*	*	*	*							
Goat	*	*	*	*	*	*	*	G	*	*	*	P	*	*	*	*	*	D	*	*	*	R	S	S	*	D	*	K	*	*	H	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	H	*	*	*	*						
Sperm whale	*	*	M	*	*	*	*	C	*	G	S	Y	-	*	E	*	D	-	*	L	*	*	R	S	L	D	*	K	*	*	*	*	*	*	*	F	*	*	*	*	*	M	*	*	*	*	G	*	*	I	*	N	E	*	*	*	*											
Baiji	*	*	M	*	*	*	*	C	*	G	S	Y	-	*	E	*	D	-	*	L	*	*	R	S	L	D	*	K	*	*	*	*	*	F	R	*	*	*	M	*	*	*	*	N	G	A	*	I	*	N	E	*	*	*	*	*	*	*										
Horse	*	P	A	T	F	*	S	G	T	G	Y	Y	*	D	*	N	-	I	L	*	*	R	S	*	*	*	*	*	H	R	H	T	M	V	I	F	R	*	*	S	*	T	*	*	N	G	*	G	I	*	N	E	*	L	H	*												
Pig	H	*	*	T	*	T	*	*	*	G	H	Y	*	D	D	*	*	-	*	L	*	*	R	S	*	*	D	E	*	*	*	*	*	*	*	*	F	R	G	*	*	*	*	*	H	*	G	*	*	I	L	N	E	*	*	*	*											

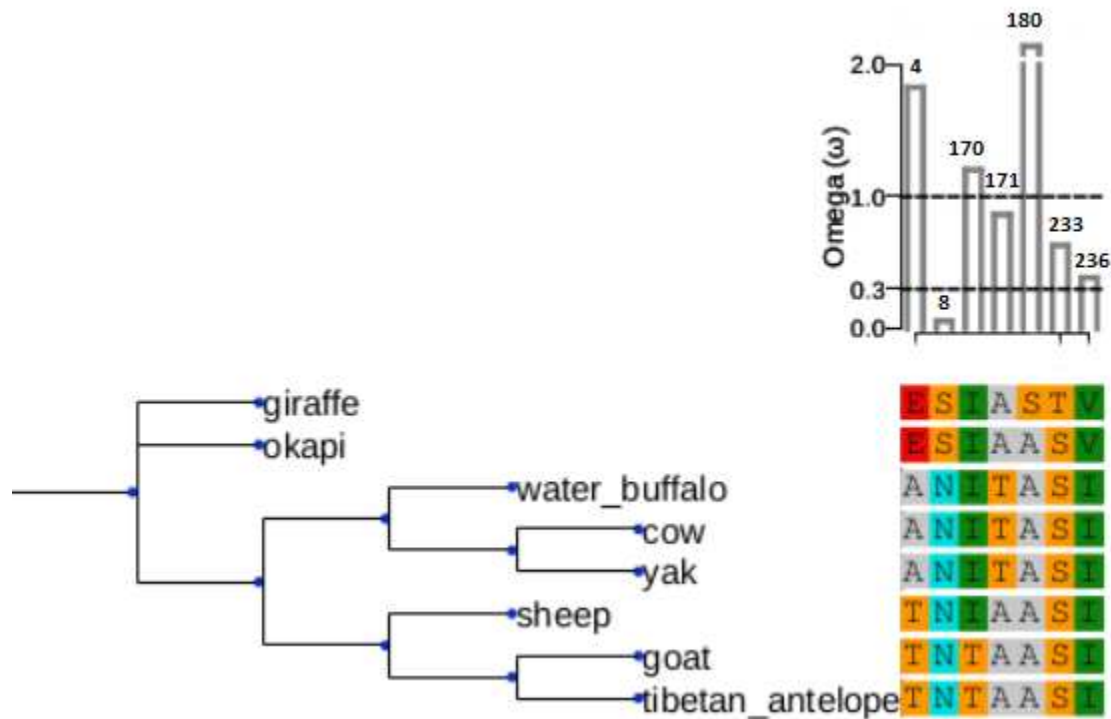
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707 **Figure 2.** Selection divergence in long-sensitive opsin pigment (*OPNILW*) between giraffe and  
 708 closely related shorter ruminant species. Giraffe's *OPNILW* sequences were found to be identical  
 709 between NZOO and MA1 (Agaba et al., 2016) verifying that the identified substitutions are  
 710 likely real. Substitution analysis shows seven variant sites (4, 8, 170, 171, 180, 233 and 236)  
 711 which differ between giraffe and any ruminant species shown in the phylogeny. Variant sites 180  
 712 and 233 have Bayes posterior probability of 0.93 and 0.89 respectively. Of these two sites, site  
 713 180 is predicted to have  $\omega$  ratio > 1 by site-wise likelihood ratio analysis (Massingham &  
 714 Goldman, 2005).

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728 **Figure 3.** Evolutionary relationship in mammals as revealed by *OPNILW* gene using (A) its  
 729 entire coding sequence and (B) using codons 180, 197, 277, 285, and 308 coding for the mature  
 730 peptide region of the long wavelength sensitive opsin. For species whose sequences were  
 731 obtained from public database Refseq or Genbank accession numbers for the respective  
 732 sequences are shown. \* Humans are polymorphic at residue 180 with Serine and Alanine as  
 733 common amino acids.

734 **A:**

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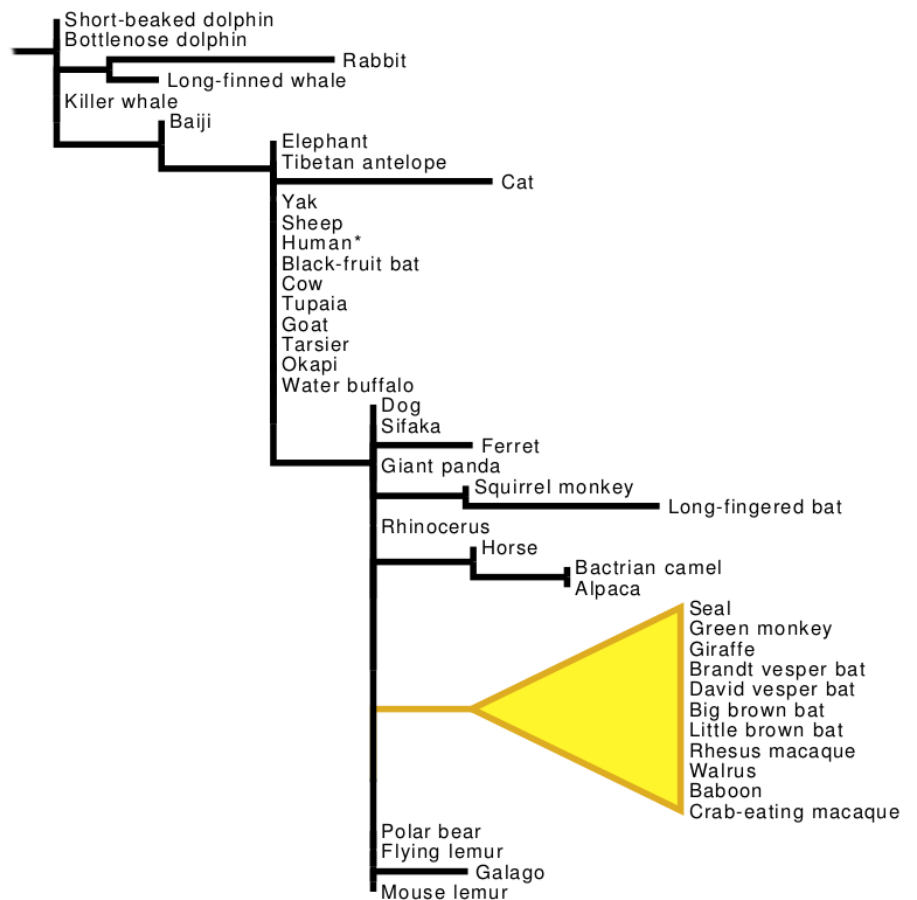
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744 **B:**

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753 Table 1. Significant selection divergence in three vision genes between giraffe or okapi (Clade 1) against the background of ruminant  
 754 species (Clade 0)

Gene	Giraffe							Okapi						
	lnL		LRT	Site classes			P- value	lnL		LRT	Site classes			P-value
	M2a_rel	CmC		0	1	2		CmC	LRT		0	1	2	
<i>CRYAA</i>	-936.5	-933.8	5.3	$P_0 = 0.9$ $\omega_0 = 0.0$	$P_1 = 0.0$ $\omega_1 = 1$	$P_2 = 0.1$ $\omega_{Clade\ 0} = 1.4$ $\omega_{Clade\ 1} = 0.0$	0.02	-935.1	2.8	$P_0 = 0.9$ $\omega_0 = 0.0$	$P_1 = 0.0$ $\omega_1 = 1$	$P_2 = 0.1$ $\omega_{Clade\ 0} = 1.2$ $\omega_{Clade\ 1} = 0.0$	0.09	
<i>SAG</i>	-2177.5	-2176.8	1.6	$P_0 = 0.4$ $\omega_0 = 0.05$	$P_1 = 0.1$ $\omega_1 = 1$	$P_2 = 0.5$ $\omega_{Clade\ 0} = 0.5$ $\omega_{Clade\ 1} = 0.2$	0.2	-2175.5	4.1	$P_0 = 0.95$ $\omega_0 = 0.08$	$P_1 = 0.0$ $\omega_1 = 1$	$P_2 = 0.05$ $\omega_{Clade\ 0} = 0.0$ $\omega_{Clade\ 1} = 2.3$	0.04	
<i>OPNILW</i>	-1780.6	-1778.2	4.7	$P_0 = 0.96$ $\omega_0 = 0.0$	$P_1 = 0.03$ $\omega_1 = 1$	$P_2 = 0.01$ $\omega_{Clade\ 0} = 0.0$ $\omega_{Clade\ 1} = 339.6$	0.03	-1780.2	0.7	$P_0 = 0.95$ $\omega_0 = 0.0$	$P_1 = 0.0$ $\omega_1 = 1$	$P_2 = 0.05$ $\omega_{Clade\ 0} = 0.9$ $\omega_{Clade\ 1} = 0.0$	0.4	

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